

Chapter 5

Digital transmission through the AWGN channel

— by Prof. XIAOFENG LI
SICE, UESTC

- Introduction
- Geometric rep. of the sig waveforms
- Pulse amplitude modulation
- 2-d signal waveforms
- M-d signal waveforms
- Opt. reception for the sig. in AWGN
- Optimal receivers and probs of err



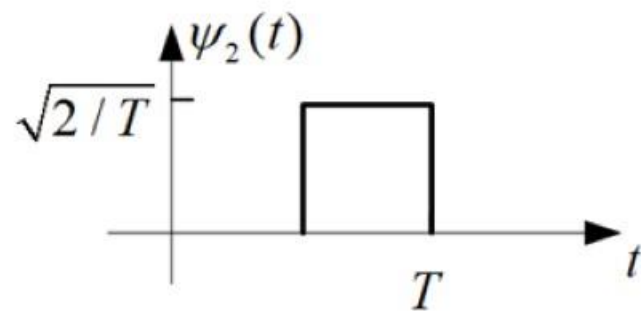
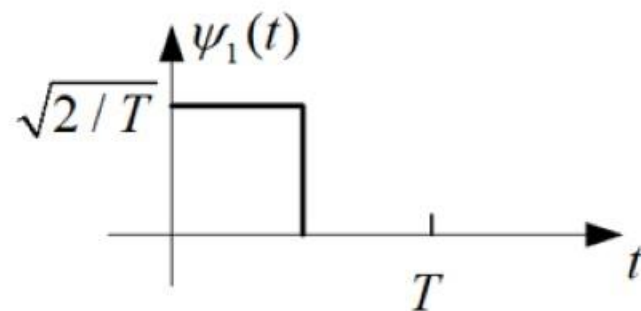
5.3.1 Baseband

Previous signals are all one dimensional.

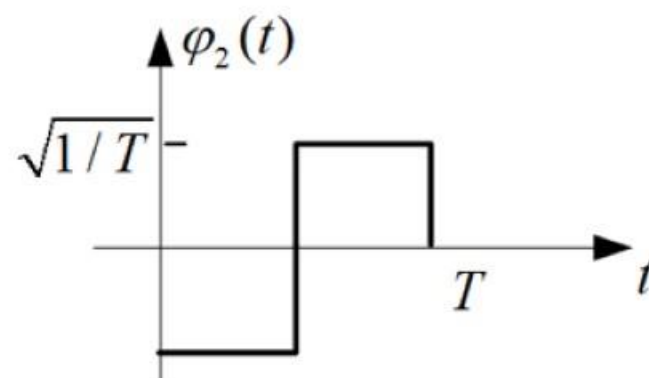
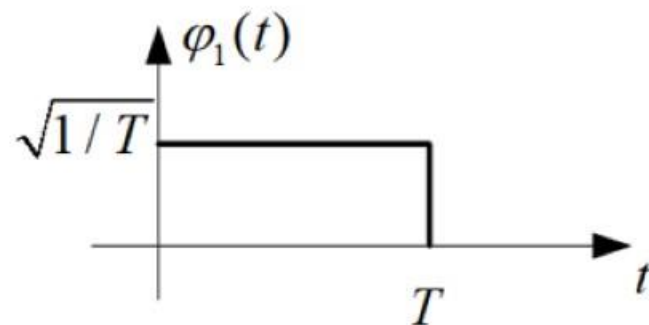
5.3.1 Baseband

Previous signals are all one dimensional. We need **2-d basis**:

1) two **overlapping** signals;



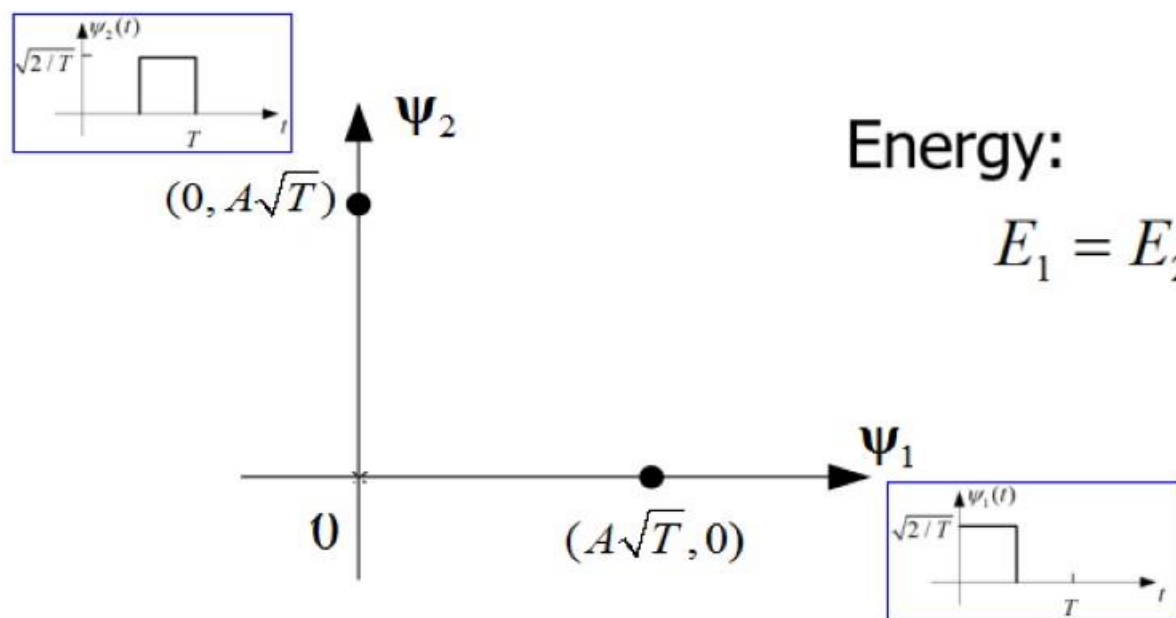
2) two **non-overlapping** signals



5.3.1 Baseband

Binary signals:

Scheme 1: $s_1(t) = A\sqrt{T} \times \psi_1(t)$ $s_2(t) = A\sqrt{T} \times \psi_2(t)$



Energy:

$$E_1 = E_2 = A^2 T$$

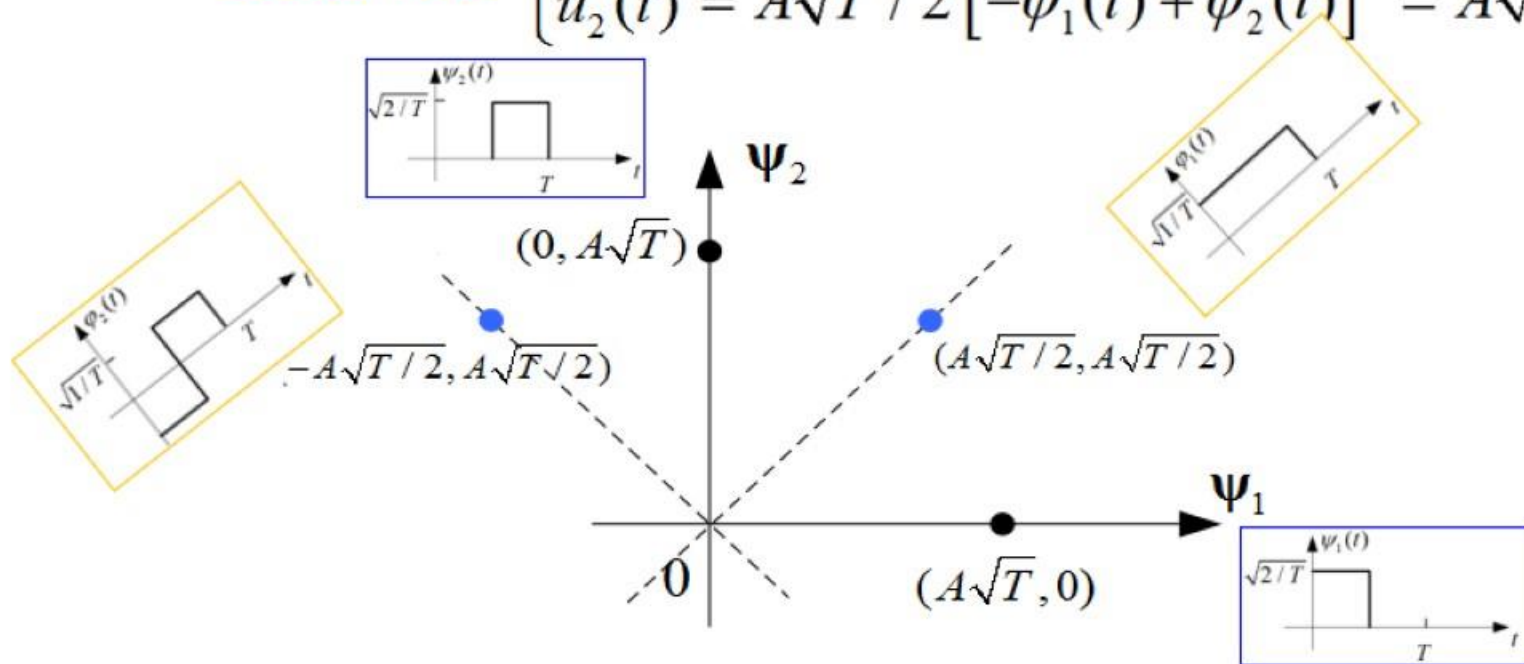
Q: What is the waveform for msg of 10110001101...?

5.3.1 Baseband

Binary signals:

Scheme 1: $s_1(t) = A\sqrt{T} \times \psi_1(t)$ $s_2(t) = A\sqrt{T} \times \psi_2(t)$

Scheme 2:
$$\begin{cases} u_1(t) = A\sqrt{T/2} [\psi_1(t) + \psi_2(t)] & = A\sqrt{T} \times \phi_1(t) \\ u_2(t) = A\sqrt{T/2} [-\psi_1(t) + \psi_2(t)] & = A\sqrt{T} \times \phi_2(t) \end{cases}$$



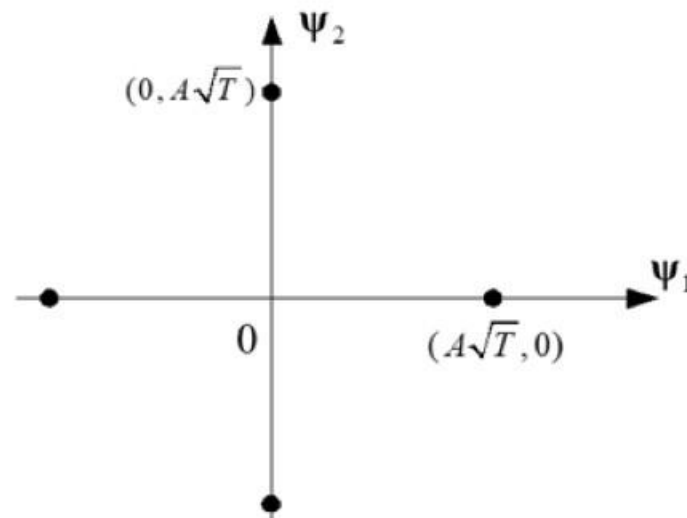
Q: What is the waveform for msg of 10110001101...?

5.3.1 Baseband

4-ary signals

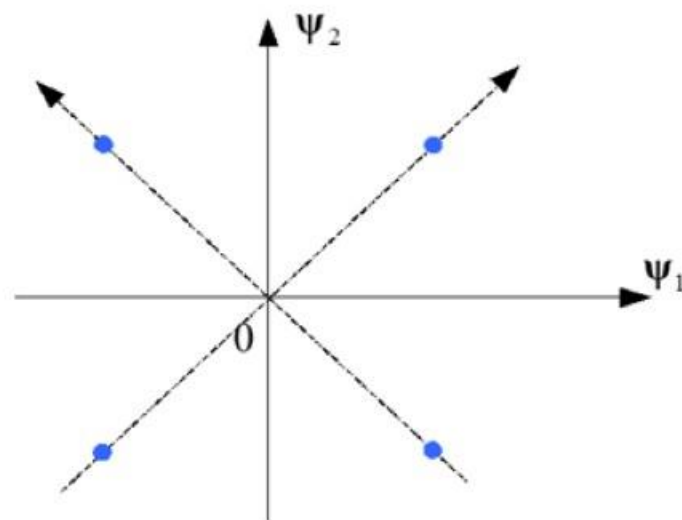
Scheme 1:

$$\begin{cases} s_1(t) = a_1\psi_1(t) \\ s_2(t) = a_2\psi_2(t) \\ s_3(t) = -a_1\psi_1(t) \\ s_4(t) = -a_2\psi_2(t) \end{cases}$$



Scheme 2:

$$\begin{cases} s_1(t) = a_{11}\psi_1(t) + a_{12}\psi_2(t) \\ s_2(t) = a_{21}\psi_1(t) + a_{22}\psi_2(t) \\ s_3(t) = -[a_{11}\psi_1(t) + a_{12}\psi_2(t)] \\ s_4(t) = -[a_{21}\psi_1(t) + a_{22}\psi_2(t)] \end{cases}$$



M-ary signals: more to See Fig5.15 and Fig5.16



5.3.2 Passband

Passband signals are cos-like

5.3.2 Passband

Passband signals are cos-like, and are generally in the form of,

$$\begin{aligned} s(t) &= \underline{r(t) \cos[2\pi f_c t + \theta(t)]} \\ &= r(t) \cos \theta(t) \cos(2\pi f_c t) - r(t) \sin \theta(t) \sin(2\pi f_c t) \\ &= \underline{a_c(t) \cos(2\pi f_c t) + a_s(t) \sin(2\pi f_c t)} \end{aligned}$$

With $\psi_1(t) = k_{c0} \boxed{\cos(2\pi f_c t)}$, $\psi_2(t) = k_{s0} \boxed{\sin(2\pi f_c t)}$. They are **2D signals**.

Note that $\Psi_1 \cdot \Psi_2 = k_{s0} k_{c0} \int_{-\infty}^{+\infty} g_T^2(t) \sin(2\pi f_c t) \cos(2\pi f_c t) dt = 0$

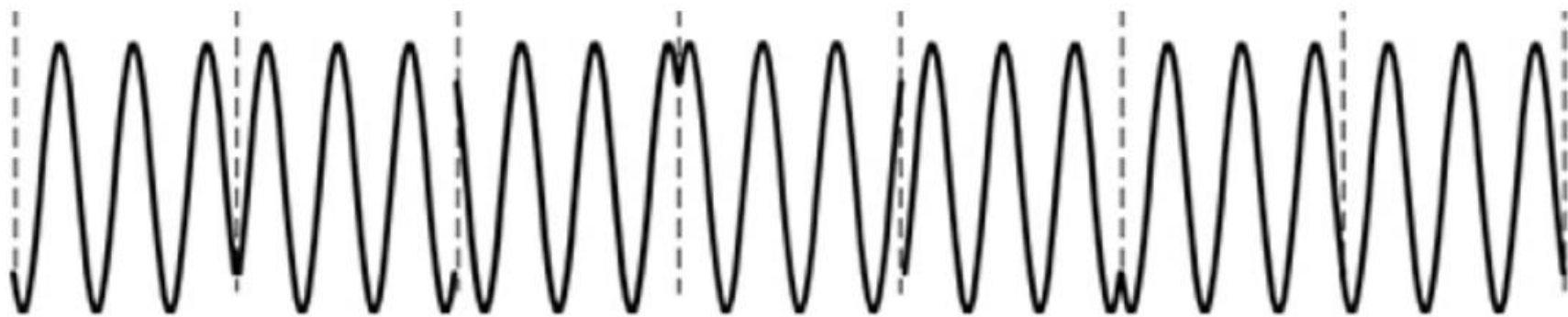
5.3.2 Passband

Carrier-phase modulated signals

$$u_m(t) = g_T(t) \cos\left(2\pi f_c t + \frac{2\pi m}{M}\right) \quad m = 0, 2, \dots, M-1$$

It is often called **PSK (Phase shift keying)**.

Take $M=4$, QPSK. There are 4 possible phases: $\theta_m = \frac{2\pi m}{M} = 0, \pi/2, \pi, 3\pi/2$



5.3.2 Passband

In fact,
$$u_m(t) = g_T(t) \cos\left(2\pi f_c t + \frac{2\pi m}{M}\right)$$
$$= A_{mc} g_T(t) \cos(2\pi f_c t) - A_{ms} g_T(t) \sin(2\pi f_c t)$$

where,
$$A_{mc} = \cos\left(\frac{2\pi m}{M}\right), \quad A_{ms} = \sin\left(\frac{2\pi m}{M}\right)$$

Let **basis** be $\psi_1(t) = k_0 g_T(t) \cos(2\pi f_c t)$, $\psi_2(t) = -k_0 g_T(t) \sin(2\pi f_c t)$

Where $k_0 = \sqrt{2/E_g}$, required by **unit** basis.

Then,
$$u_m(t) = \left(A_{mc} \sqrt{E_g/2}\right) \times \psi_1(t) - \left(A_{ms} \sqrt{E_g/2}\right) \times \psi_2(t)$$

And the m signal **points**,
$$\mathbf{u}_m = \left(A_{mc} \sqrt{E_g/2}, \quad A_{ms} \sqrt{E_g/2}\right)$$

5.3.2 Passband

In fact,
$$u_m(t) = g_T(t) \cos\left(2\pi f_c t + \frac{2\pi m}{M}\right)$$
$$= A_{mc} g_T(t) \cos(2\pi f_c t)$$

where,
$$A_{mc} = \cos\left(\frac{2\pi m}{M}\right), \quad A_{ms} = \sin\left(\frac{2\pi m}{M}\right)$$

Take $M=4$, QPSK.

$$\theta_m = \frac{2\pi m}{M} = 0, \pi/2, \pi, 3\pi/2,$$

$$\mathbf{u}_0 = (\sqrt{E_g/2}, 0), \mathbf{u}_1 = (0, \sqrt{E_g/2}),$$

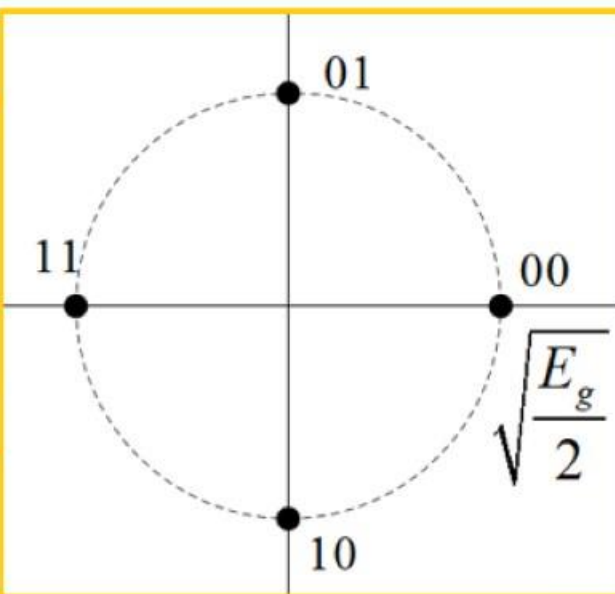
$$\mathbf{u}_2 = (-\sqrt{E_g/2}, 0), \mathbf{u}_3 = (0, -\sqrt{E_g/2})$$

Let **basis** be $\psi_1(t) = k_0 g_T(t) \cos(2\pi f_c t)$, $\psi_2(t) = -k_0 g_T(t) \sin(2\pi f_c t)$

Where $k_0 = \sqrt{2/E_g}$, required by **unit** basis.

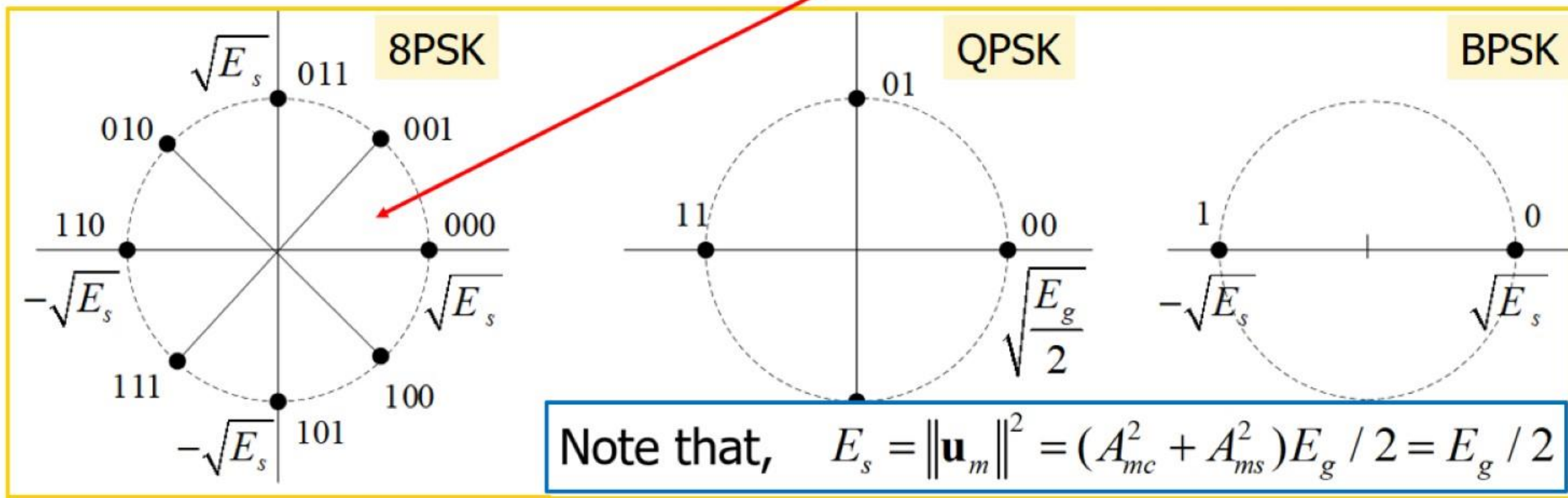
Then,
$$u_m(t) = \left(A_{mc} \sqrt{E_g/2}\right) \times \psi_1(t) - \left(A_{ms} \sqrt{E_g/2}\right) \times \psi_2(t)$$

And the m signal **points**, $\mathbf{u}_m = \left(A_{mc} \sqrt{E_g/2}, A_{ms} \sqrt{E_g/2}\right)$



5.3.2 Passband

In fact, $u_m(t) = g_T(t) \cos\left(2\pi f_c t + \frac{2\pi m}{M}\right)$



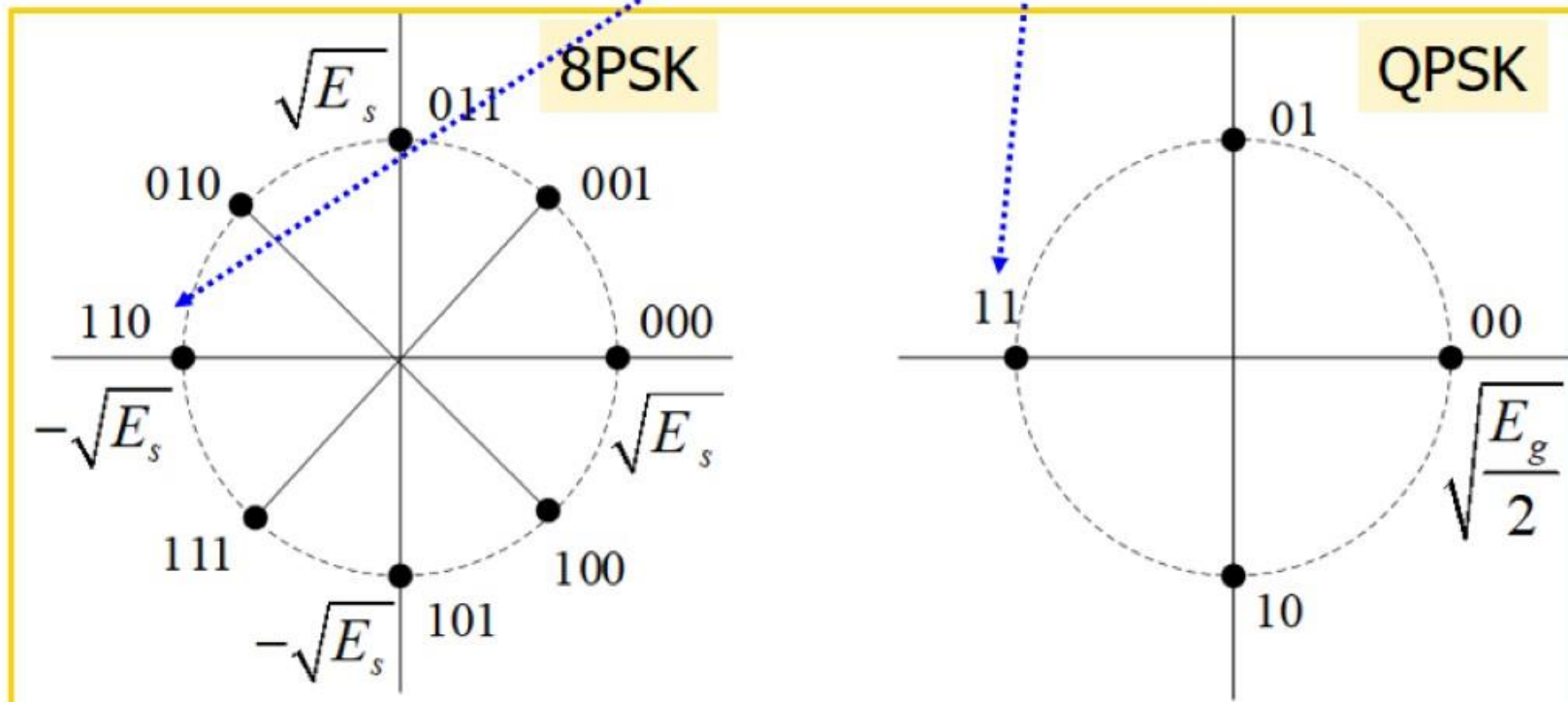
Constellation for $M=8, 4, 2$

$$\mathbf{u}_m = \left(A_{mc} \sqrt{E_g / 2}, A_{ms} \sqrt{E_g / 2} \right) \quad A_{mc} = \cos\left(\frac{2\pi m}{M}\right), \quad A_{ms} = \sin\left(\frac{2\pi m}{M}\right)$$

5.3.2 Passband

Gray code for M-ary

In fact, $u_m(t) = g_T(t) \cos\left(2\pi f_c t + \frac{2\pi m}{M}\right)$



Constellation for M=8, 4, 2

$$\mathbf{u}_m = \left(A_{mc} \sqrt{E_g / 2}, A_{ms} \sqrt{E_g / 2} \right) \quad A_{mc} = \cos\left(\frac{2\pi m}{M}\right), \quad A_{ms} = \sin\left(\frac{2\pi m}{M}\right)$$

Sym	Gray	Natural
0	0 0 0 0	0 0 0 0
1	0 0 0 1	0 0 0 1
2	0 0 1 1	0 0 1 1
3	0 0 1 0	0 0 1 1
4	0 1 1 0	0 1 0 0
5	0 1 1 1	0 1 0 1
6	0 1 0 1	0 1 1 0
7	0 1 0 0	0 1 1 1
8	1 1 0 0	1 0 0 0
9	1 1 0 1	1 0 0 1
10	1 1 1 1	1 0 1 0
11	1 1 1 0	1 0 1 1
12	1 0 1 0	1 1 0 0
13	1 0 1 1	1 1 0 1
14	1 0 0 1	1 1 1 0
15	1 0 0 0	1 1 1 1

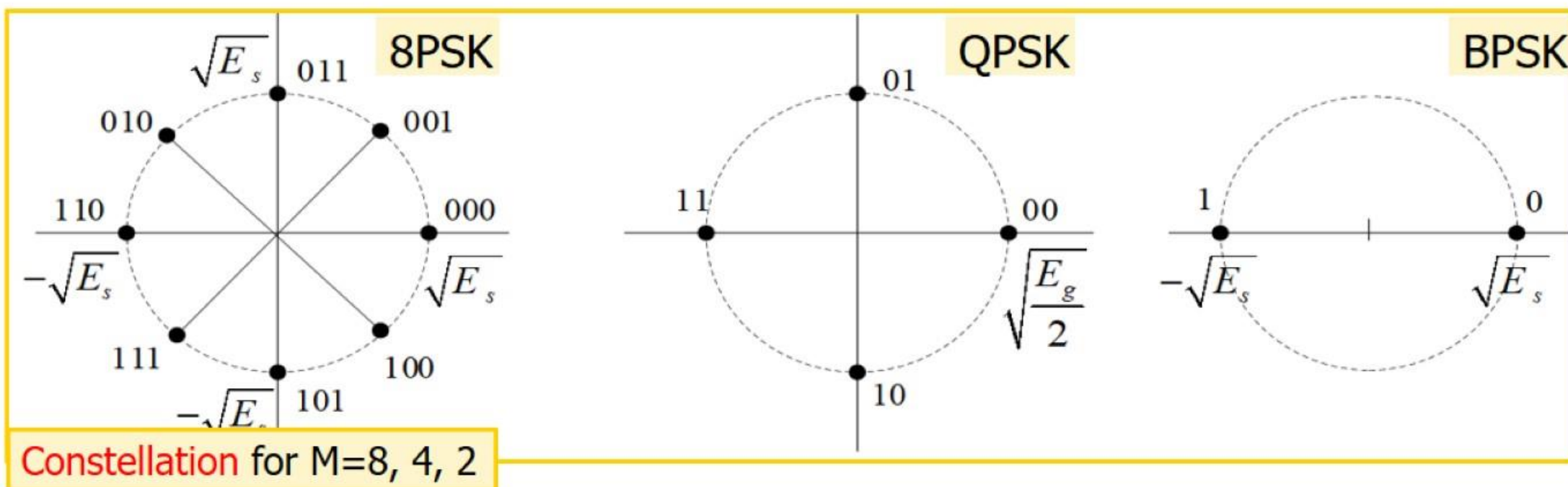
Reflection

5.3.2 Passband

In PSK, $u_m(t) = A_{mc}g_T(t)\cos(2\pi f_c t) - A_{ms}g_T(t)\sin(2\pi f_c t)$

where the information symbol corresponds **a pair** (A_{mc}, A_{ms}) . The signals are **2-d** points.

Note that the **constraint** of $A_{mc}^2 + A_{ms}^2 = \text{const.}$, i.e. the points are on a **circle**.

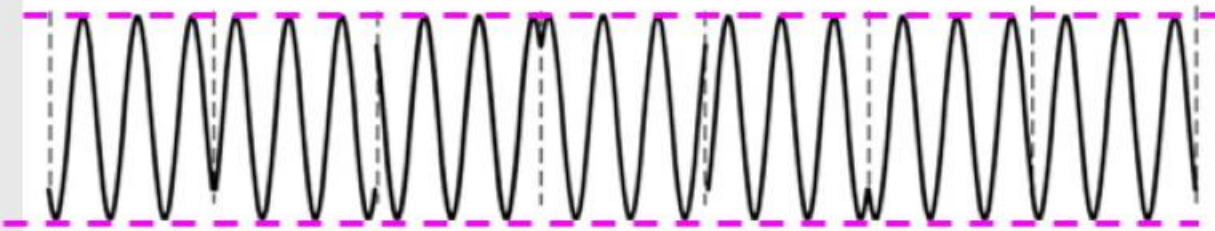


5.3.2 Passband

In PSK,
$$u_m(t) = A_{mc}g_T(t)\cos(2\pi f_c t) - A_{ms}g_T(t)\sin(2\pi f_c t)$$

where the information symbol corresponds **a pair** (A_{mc}, A_{ms}) . The signals are **2-d** points.

Note that the **constraint** of $A_{mc}^2 + A_{ms}^2 = \text{const.}$, i.e. the points are on a **circle**.

$\sqrt{E_s}$	011	8PSK	01	QPSK	BPSK
The waveforms of the PSK have constant envelope , a good feature in many applications.					
					
Constellation for M=8, 4, 2					

5.3.2 Passband

In PSK,
$$u_m(t) = A_{mc}g_T(t)\cos(2\pi f_c t) - A_{ms}g_T(t)\sin(2\pi f_c t)$$

where the information symbol corresponds **a pair** (A_{mc}, A_{ms}) . The signals are **2-d** points.

Note that the **constraint** of $A_{mc}^2 + A_{ms}^2 \neq \text{const.}$, i.e. the points are on a **circle**.

In general, what is the scheme
with **no constraint** on the points !

5.3.3 Quadrature Amplitude Modulation

Generally,

$$u_m(t) = x_m g_T(t) \cos(2\pi f_c t) - y_m g_T(t) \sin(2\pi f_c t)$$

where the information symbol corresponds a pair (x_m, y_m) , with **NO constraint** on the (x_m, y_m) .

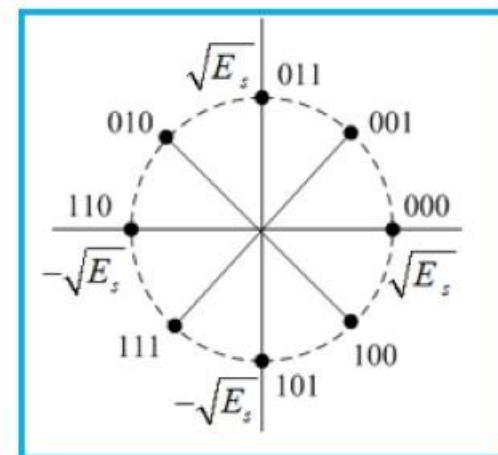
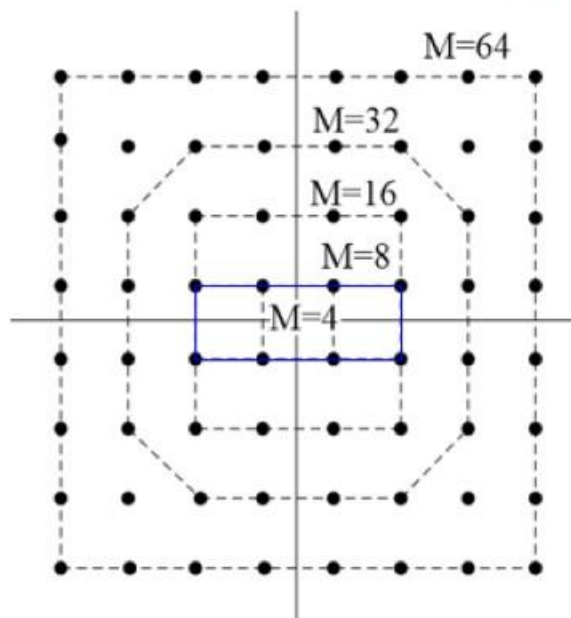
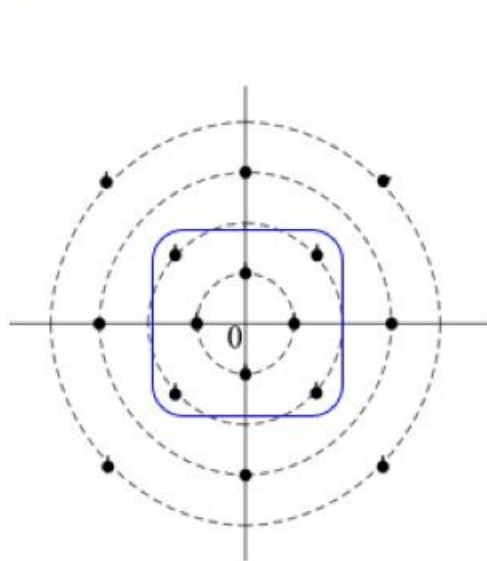
The signal **points** are, $\mathbf{u}_m = (k_1 x_m, k_1 y_m)$ where, $k_1 = \sqrt{E_g / 2}$

Due to the **orthogonality**, $\cos 2\pi f_c t$ and $\sin 2\pi f_c t$ are called **quadrature carriers**. This type of modulation is called **QAM (quadrature amplitude modulation)**.

5.3.3 QAM

The signal **points** are, $\mathbf{u}_m = (k_1 x_m, k_1 y_m)$ where, $k_1 = \sqrt{E_g / 2}$

In general, the followings are **constellations** for QAM.



The energy of signals are **unequal**, and we have,

$$E_m = \|\mathbf{u}_m\|^2 = (x_m^2 + y_m^2)k_1^2 = A_m^2 E_g / 2$$

The **average energy** is, $E_{av} = \frac{1}{M} \sum_{m=1}^M \|\mathbf{u}_m\|^2 = \frac{E_g}{2M} \sum_{m=1}^M A_m^2$

5.3.3 QAM

The signal **points** are, $\mathbf{u}_m = (k_1 x_m, k_1 y_m)$ where, $k_1 = \sqrt{E_g / 2}$

$$\begin{aligned}\text{Also, } u_m(t) &= x_m g_T(t) \cos 2\pi f_c t - y_m g_T(t) \sin 2\pi f_c t \\ &= \sqrt{x_m^2 + y_m^2} g_T(t) \left[\frac{x_m}{\sqrt{x_m^2 + y_m^2}} \cos 2\pi f_c t - \frac{y_m}{\sqrt{x_m^2 + y_m^2}} \sin 2\pi f_c t \right] \\ &= A_m g_T(t) \cos(2\pi f_c t + \theta_m)\end{aligned}$$

$$\text{where, } A_m = \sqrt{x_m^2 + y_m^2}, \quad \theta_m = \arctan \frac{y_m}{x_m}$$

A QAM signal can be given by a pair of radius and angle. So, QAM is also **combined amplitude- and phase-modulation**.

