



# Joint Extraction of Entities and Relations Based on a Novel Tagging Scheme

[Suncong Zheng](#), *Feng Wang, Hongyun Bao,  
Yuexing Hao, Peng Zhou, Bo Xu*

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Institute of Automation, Chinese Academy of Sciences

Reporter: Suncong Zheng

Email: [suncong.zheng@ia.ac.cn](mailto:suncong.zheng@ia.ac.cn), [zsctju@126.com](mailto:zsctju@126.com)

Conference: 2017 ACL

# Background



Donald Trump

45th U.S. President



[donalddjtrump.com](http://donalddjtrump.com)

Donald John Trump is the 45th and current President of the United States. Before entering politics he was a businessman and television personality. [Wikipedia](#)

**Born:** June 14, 1946 (age 70 years), Jamaica Hospital Medical Center

**Height:** 1.88 m

**Spouse:** [Melania Trump](#) (m. 2005), [Marla Maples](#) (m. 1993–1999), [Ivana Trump](#) (m. 1977–1992)

**Children:** [Ivanka Trump](#), [Tiffany Trump](#), [Eric Trump](#), [Donald Trump Jr.](#), [Barron Trump](#)

**Education:** [Wharton School of the University of Pennsylvania](#) (1968), [more](#)

## Unstructured text

At age 30 in 1977, [Trump](#) married his first wife, Czech model [Ivana Zelníčková](#), at the [Marble Collegiate Church](#)

.....

[Trump](#) was born and raised in [Queens](#), New York City, and earned an [economics](#) degree from the [Wharton School of the University of Pennsylvania](#).

## Structured Data

([Entity-1](#), Relation Type, [Entity-2](#))

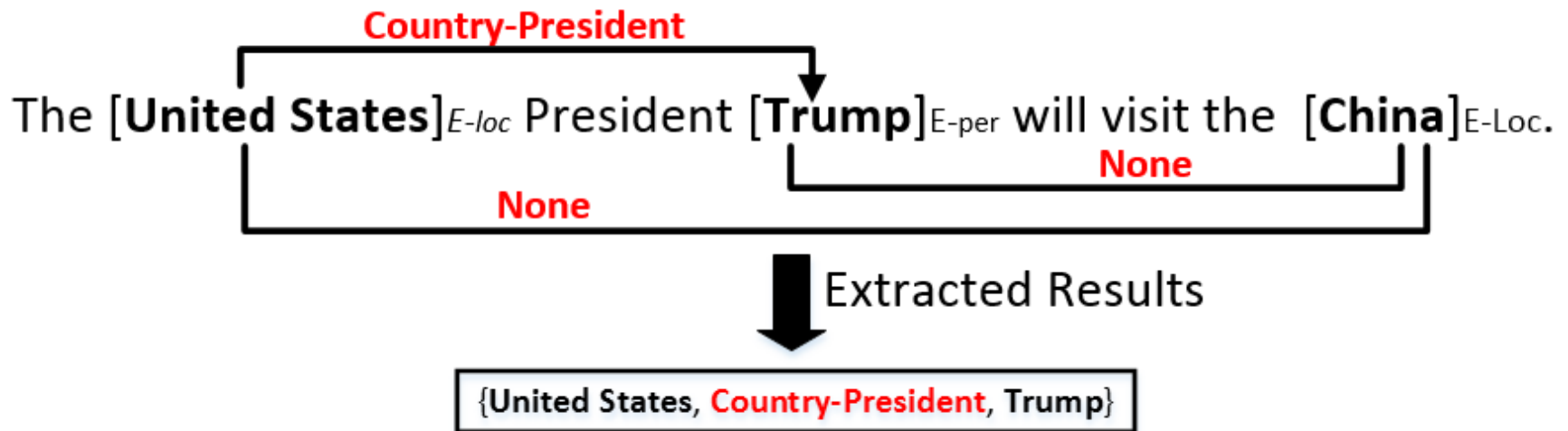
([Trump](#), Spouse, [Ivana](#))...

([Trump](#), Education, [Pennsylvania](#))...



# Problem Description

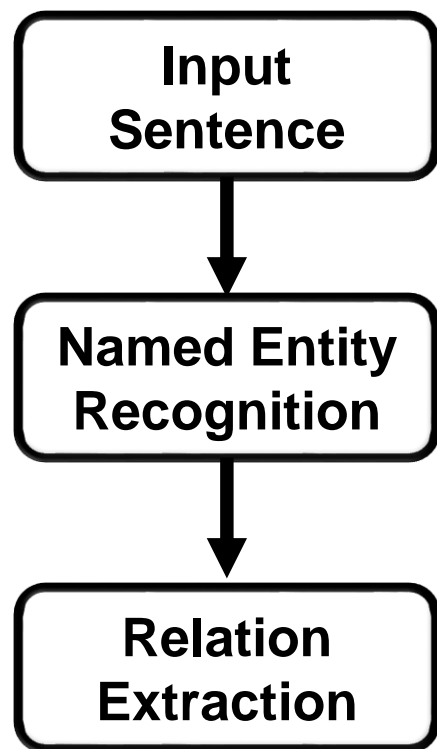
- Our task is to recognize entity mentions and extract their semantic relations simultaneously from unstructured text. The relation words are extracted from a predefined relation set which may not appear in the given sentence.



**A special triplet extraction:** subject and object are both entities, predicate is the predefined relation type.

# Existing Works

## □ Pipelined method:



The United States president Trump will visit China.

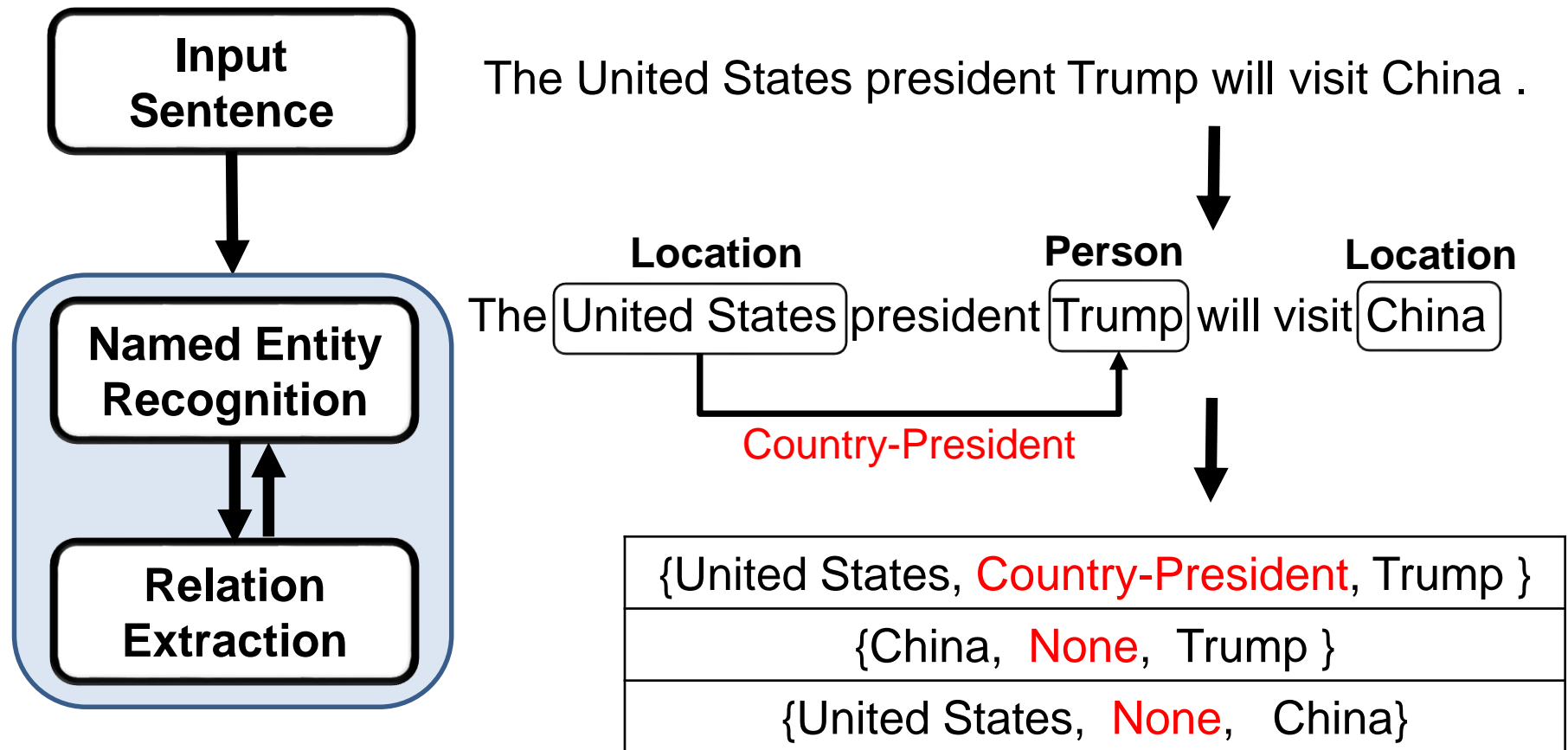
Location	Person	Location
United States	Trump	China

{United States, <b>Country-President</b> , Trump }
{China, <b>None</b> , Trump }
{United States, <b>None</b> , China}

**Error Propagation, Produce redundant information**

# Existing Works

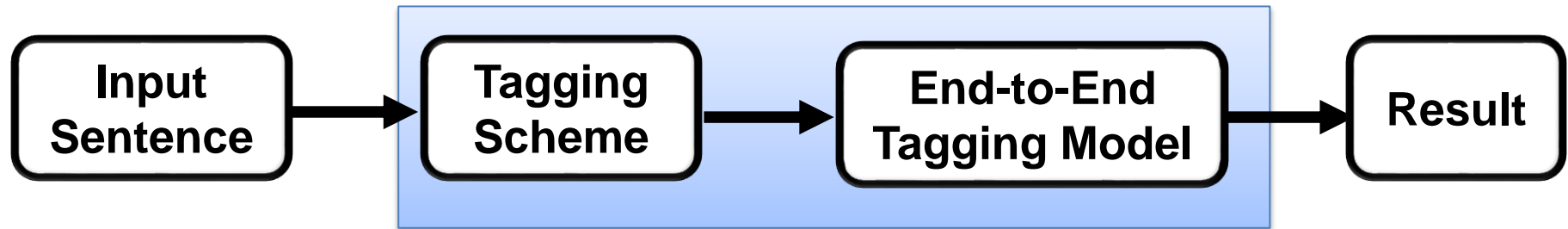
## □ Typical joint extraction method:



**Produce redundant information**

# Overview of Our Work

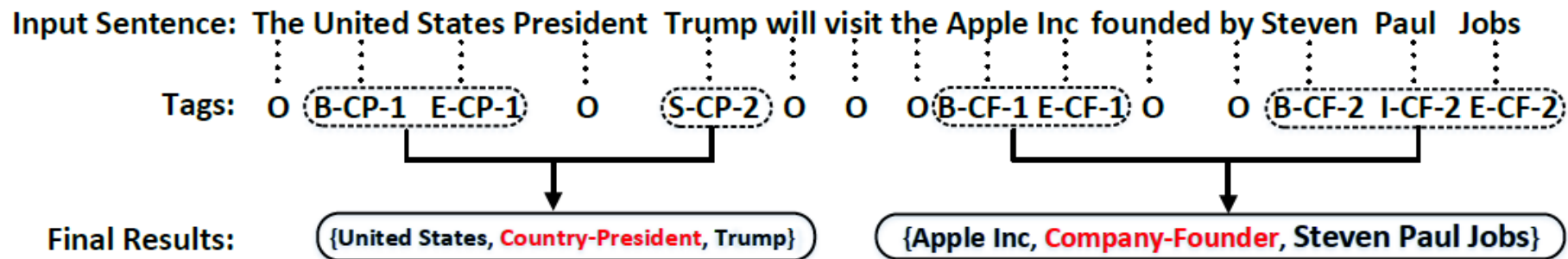
- Tagging scheme transforms the extraction problem into a tagging task.
- An end-to-end tagging model is used to extract the results.



# The Tagging Scheme

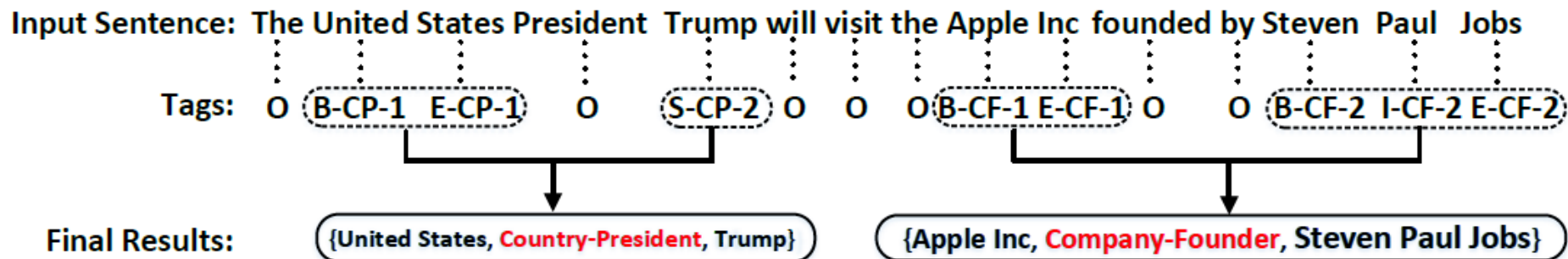
The special tags consist of three parts:

- Word position in the entity { B (begin), I (inside), E (end), S (single) }
- Relation type information { CF, CP, .... }
- Relation role information { 1 (entity 1), 2 (entity 2) }



# From Tag Sequence To Extracted Results

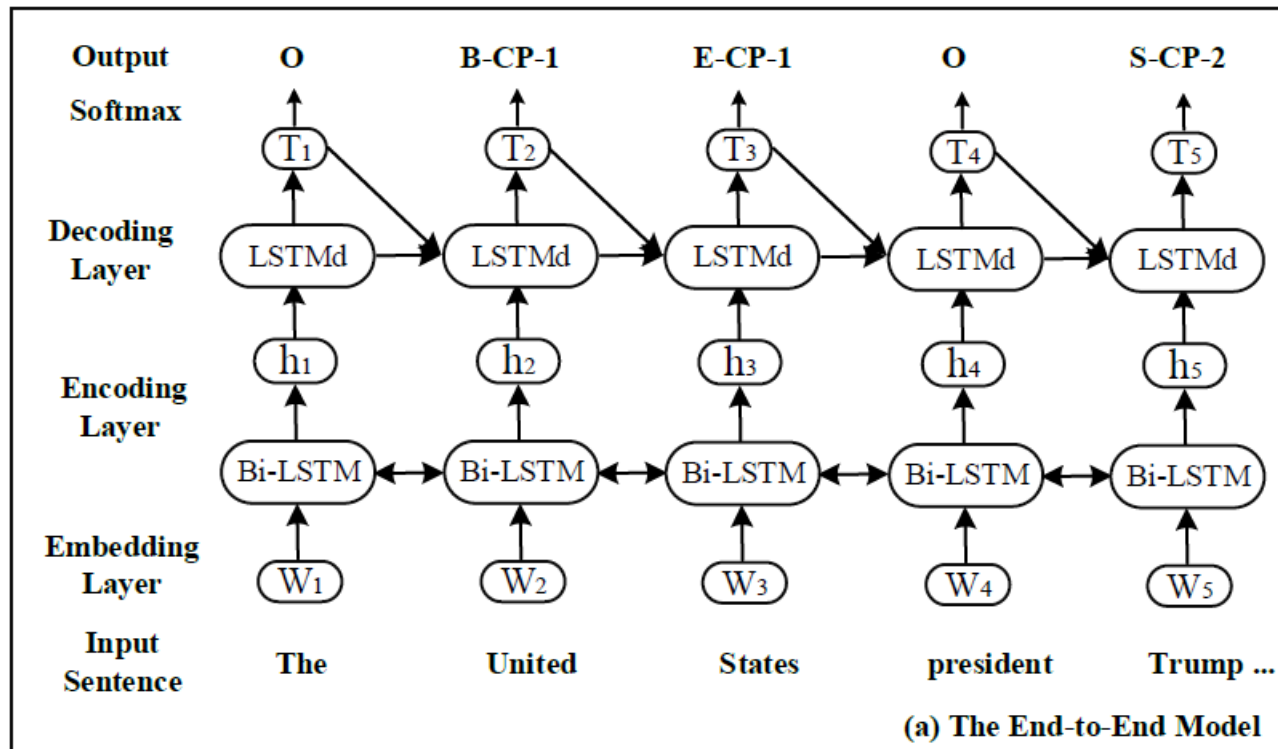
- Entities with the same relation type are combined into a triplet.
- If a sentence contains two or more triplets with the same relation type, we combine every two entities into a triplet based on the nearest principle.





# The End-to-end Model for Tagging

- A Bi-LSTM Encoding Layer.
- A LSTM Decoding Layer.
- A Biased Objective Function.



# The End-to-end Model for Tagging

## □ The LSTM memory block in Bi-LSTM Encoding Layer.

$$i_t = \delta(W_{wi}w_t + W_{hi}h_{t-1} + W_{ci}c_{t-1} + b_i),$$

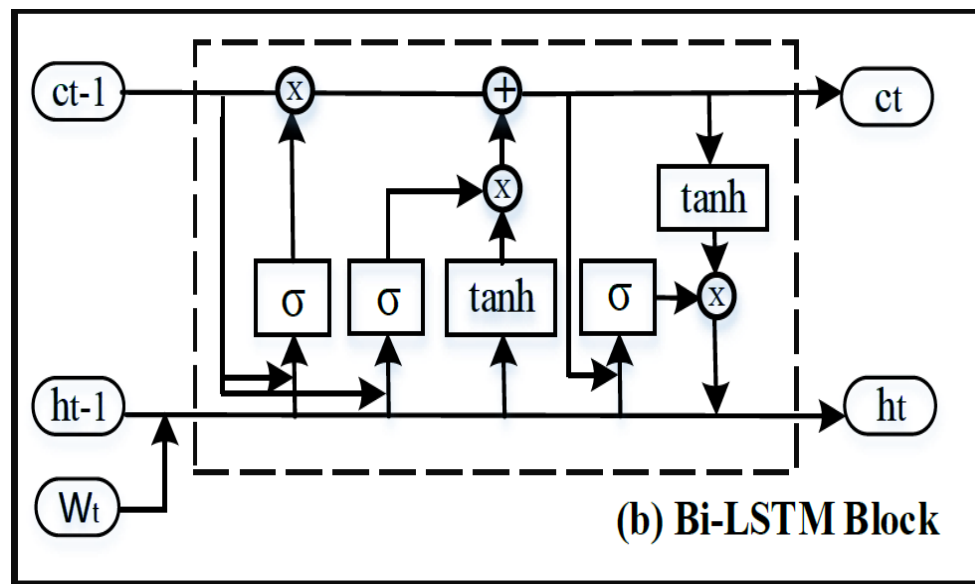
$$f_t = \delta(W_{wf}w_t + W_{hf}h_{t-1} + W_{cf}c_{t-1} + b_f),$$

$$z_t = \tanh(W_{wc}w_t + W_{hc}h_{t-1} + b_c),$$

$$c_t = f_t c_{t-1} + i_t z_t,$$

$$o_t = \delta(W_{wo}w_t + W_{ho}h_{t-1} + W_{co}c_t + b_o),$$

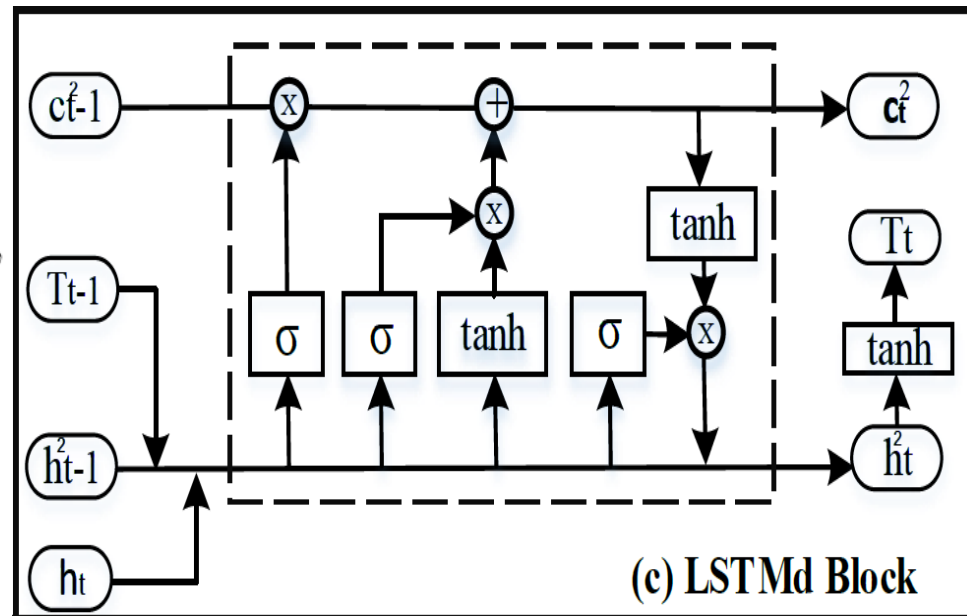
$$h_t = o_t \tanh(c_t),$$



# The End-to-end Model for Tagging

## □ The LSTM memory block in LSTM<sub>d</sub> decoding Layer.

$$\begin{aligned}
 i_t^{(2)} &= \delta(W_{wi}^{(2)}h_t + W_{hi}^{(2)}h_{t-1}^{(2)} + W_{ti}T_{t-1} + b_i^{(2)}), \\
 f_t^{(2)} &= \delta(W_{wf}^{(2)}h_t + W_{hf}^{(2)}h_{t-1}^{(2)} + W_{tf}T_{t-1} + b_f^{(2)}), \\
 z_t^{(2)} &= \tanh(W_{wc}^{(2)}h_t + W_{hc}^{(2)}h_{t-1}^{(2)} + W_{tc}T_{t-1} + b_c^{(2)}), \\
 c_t^{(2)} &= f_t^{(2)}c_{t-1}^{(2)} + i_t^{(2)}z_t^{(2)}, \\
 o_t^{(2)} &= \delta(W_{wo}^{(2)}h_t + W_{ho}^{(2)}h_{t-1}^{(2)} + W_{co}^{(2)}c_t + b_o^{(2)}), \\
 h_t^{(2)} &= o_t^{(2)}\tanh(c_t^{(2)}), \\
 T_t &= W_{ts}h_t^{(2)} + b_{ts}.
 \end{aligned}$$



# The End-to-end Model for Tagging

## □ The Biased Objective Function.

$$L = \max \sum_{j=1}^{|\mathbb{D}|} \sum_{t=1}^{L_j} (\log(p_t^{(j)} = y_t^{(j)} | x_j, \Theta) \cdot I(O) + \alpha \cdot \log(p_t^{(j)} \neq y_t^{(j)} | x_j, \Theta) \cdot (1 - I(O))),$$

$$I(O) = \begin{cases} 1, & \text{if } tag = 'O' \\ 0, & \text{if } tag \neq 'O'. \end{cases}$$

# Experimental setting

- **Dataset: The public dataset NYT** (New York Times news) [1]
  - The training corpus was heuristically labeled using distant supervision method without manually labeling.
  - The test set is manually labeled to ensure its quality.
  - The training data contains 353k triplets, and the test set contains 3,880 triplets. Besides, the size of relation set is 24.
- **Evaluation**
  - Precision/recall and f-measure for triplet (entity1; relation; entity2)
  - Head offsets of two entity mention + relation type

[1] Cotype: Joint extraction of typed entities and relations with knowledge bases (2017 WWW)

# Experimental setting

## □ Baselines:

- **The pipelined methods:** the NER results are obtained by CoType [1]
  - DS-logistic (Mintz et al., 2009)
  - LINE (Tang et al., 2015)
  - FCM (Gormley et al., 2015)
- **The jointly extracting methods:**
  - DS-Joint (Li and Ji, 2014)
  - MultiR (Hoffmann et al., 2011)
  - CoType (Ren et al., 2017)
- **The end-to-end tagging model:**
  - LSTM-CRF (Lample et al., 2016)
  - LSTM-LSTM (Vaswani et al., 2016)

[1] Cotype: Joint extraction of typed entities and relations with knowledge bases (2017 WWW)

# Performance Comparison

Methods	<i>Prec.</i>	<i>Rec.</i>	<i>F1</i>
FCM	0.553	0.154	0.240
DS+logistic	0.258	0.393	0.311
LINE	0.335	0.329	0.332
MultiR	0.338	0.327	0.333
DS-Joint	0.574	0.256	0.354
CoType	0.423	<b>0.511</b>	0.463
LSTM-CRF	<b>0.693 <math>\pm</math> 0.008</b>	0.310 $\pm$ 0.007	0.428 $\pm$ 0.008
LSTM-LSTM	0.682 $\pm$ 0.007	0.320 $\pm$ 0.006	0.436 $\pm$ 0.006
<b>LSTM-LSTM-Bias</b>	0.615 $\pm$ 0.008	0.414 $\pm$ 0.005	<b>0.495 <math>\pm</math> 0.006</b>

- The jointly extracting methods are better than pipelined methods, and the tagging methods are better than most of the jointly extracting methods.
- The precisions of the end-to-end models are significantly improved. But only LSTM-LSTM-Bias can be better to balance the precision and recall.

# Predicted Results on Triplet's Elements

- E1 and E2 represent the performance on predicting each entity, respectively.
- Regardless of relation type, if the head offsets of two corresponding entities are both correct, the instance of (E1, E2) is correct.

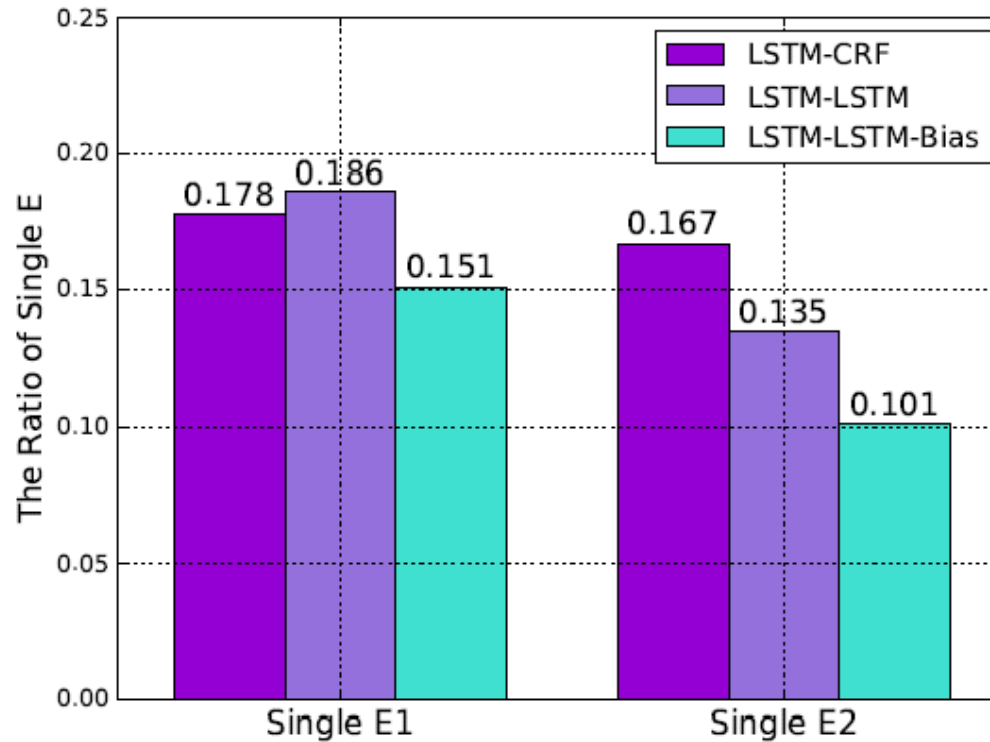
Elements	E1			E2			(E1,E2)		
	<i>Prec.</i>	<i>Rec.</i>	<i>F1</i>	<i>Prec.</i>	<i>Rec.</i>	<i>F1</i>	<i>Prec.</i>	<i>Rec.</i>	<i>F1</i>
LSTM-CRF	<b>0.596</b>	0.325	0.420	0.605	0.325	0.423	<b>0.724</b>	0.341	0.465
LSTM-LSTM	0.593	0.342	0.434	<b>0.619</b>	0.334	0.434	0.705	0.340	0.458
LSTM-LSTM-Bias	0.590	<b>0.479</b>	<b>0.529</b>	0.597	<b>0.451</b>	<b>0.514</b>	0.645	<b>0.437</b>	<b>0.520</b>

- Compare (E1,E2) with single E: some of the predicted entities do not form a pair.
- Compare (E1,E2) with Triplet : some of the test data is predicted to be wrong because the relation type is predicted to be wrong.



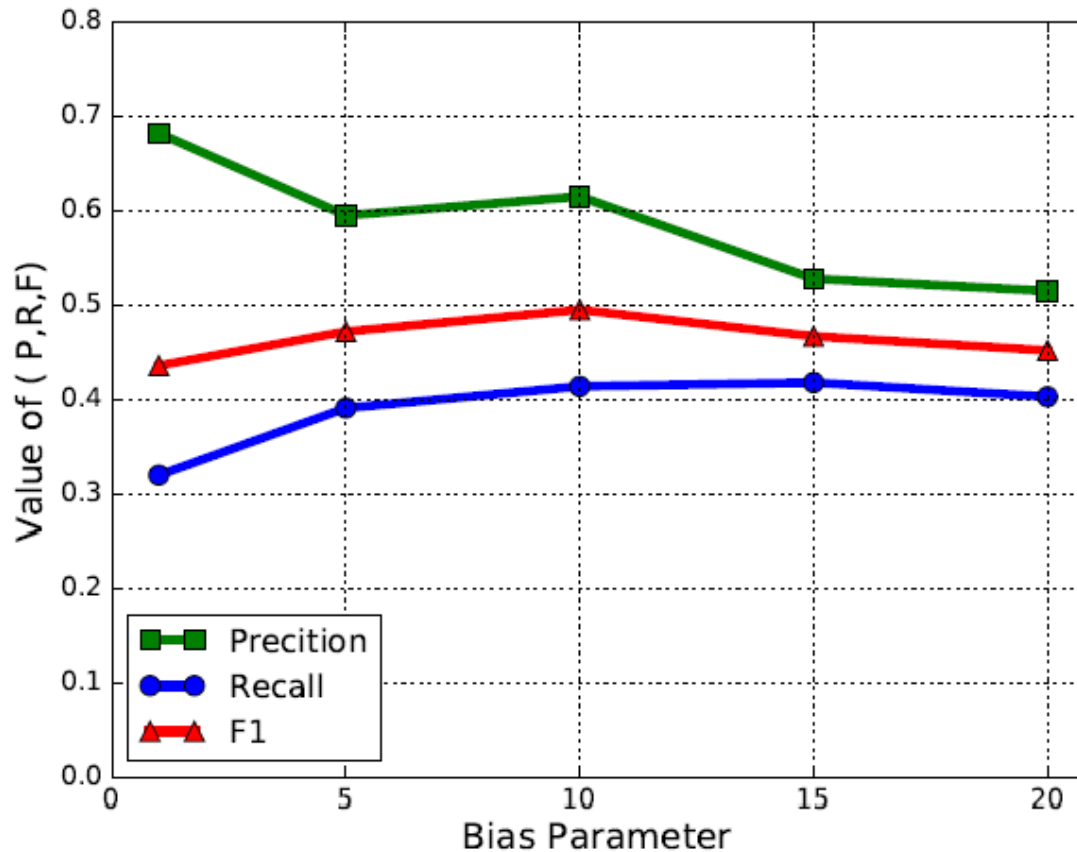
# The Ratio of Single Entity

- The single entities refer to those who cannot find their corresponding entities.



- LSTM-LSTM-Bias can effectively associate two entities when compared LSTM-CRF and LSTM-LSTM.

# The Effect of Bias Parameter



- If bias parameter is too large, it will affect the precision of prediction and if is too small, the recall will decline.

# Case Study --- (1)

- S1 represents the situation that the distance between the two interrelated entities is far away from each other, which is more difficult to detect their relationships.

Standard S1	<b>[Panama City Beach]</b> <sub>E2contain</sub> has condos , but the area was one of only two in <b>[Florida]</b> <sub>E1contain</sub> where sales rose in March , compared with a year earlier.
LSTM-LSTM	<b>Panama City Beach</b> has condos , but the area was one of only two in <b>[Florida]</b> <sub>E1contain</sub> where sales rose in March , compared with a year earlier.
LSTM-LSTM-Bias	<b>[Panama City Beach]</b> <sub>E2contain</sub> has condos , but the area was one of only two in <b>[Florida]</b> <sub>E1contain</sub> where sales rose in March , compared with a year earlier.

# Case Study --- (2)

- S2 is a negative example that shows these methods may mistakenly predict one of the entity.

Standard S2	All came from [Nuremberg] <sub>E2contain</sub> , [Germany] <sub>E1contain</sub> , a center of brass production since the Middle Ages.
LSTM-LSTM	All came from Nuremberg , [Germany] <sub>E1contain</sub> , a center of brass production since the [Middle Ages] <sub>E2contain</sub> .
LSTM-LSTM-Bias	All came from Nuremberg , [Germany] <sub>E1contain</sub> , a center of brass production since the [Middle Ages] <sub>E2contain</sub> .

# Case Study --- (3)

- S3 is a case that models can predict the entities' head offset right, but the relational role is wrong.

Standard S3	[Stephen A.] <sub>E2CF</sub> , the co-founder of the [Blackstone Group] <sub>E1CF</sub> , which is in the process of going public , made \$ 400 million last year.
LSTM-LSTM	[Stephen A.] <sub>E1CF</sub> , the co-founder of the [Blackstone Group] <sub>E1CF</sub> , which is in the process of going public , made \$ 400 million last year.
LSTM-LSTM-Bias	[Stephen A.] <sub>E1CF</sub> , the co-founder of the [Blackstone Group] <sub>E2CF</sub> , which is in the process of going public , made \$ 400 million last year.

# Conclusions & Future Work

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- A novel tagging scheme is proposed to jointly extract entities and relations, which can easily transform the extraction problem into a tagging task.
  - A jointly learning method: no error propagation,
  - Modeling triplet directly: no redundant information.
- An end-to-end tagging model is proposed to extract results.
  - Enhance the association between related entities.
- Future work: Based on the tagging scheme, then develop the end-to-multiple-end model to settle the triplet overlapping problem.



# Thank you!

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## Q&A

[suncong.zheng@ia.ac.cn](mailto:suncong.zheng@ia.ac.cn) , [zsctju@126.com](mailto:zsctju@126.com)