



INSTITUTE FOR DEFENSE ANALYSES

## **Predicting Trust in Automated Systems: Validation of the Trust of Automated Systems Test (TOAST)**

Daniel J. Porter, Project Leader

Caitlan A. Fealing

April 2022

Public release approved. Distribution is unlimited.

IDA Document NS D-33088

Log: H 2022-000190

INSTITUTE FOR DEFENSE ANALYSES  
730 East Glebe Road  
Alexandria, Virginia 22305



The Institute for Defense Analyses is a nonprofit corporation that operates three Federally Funded Research and Development Centers. Its mission is to answer the most challenging U.S. security and science policy questions with objective analysis, leveraging extraordinary scientific, technical, and analytic expertise.

#### About This Publication

This work was conducted by the Institute for Defense Analyses (IDA) under contract HQ0034-19-D-0001, Task C9089, "Trust In Automation," for the Office of the Director, Operational Test and Evaluation. The views, opinions, and findings should not be construed as representing the official position of either the Department of Defense or the sponsoring organization.

#### Acknowledgments

The IDA Technical Review Committee was chaired by Dr. V. Bram Lillard and consisted of Rachel A. Haga, John T from the Operational Evaluation Division.

#### For more information:

Daniel J. Porter, Project Leader  
dporter@ida.org • (703) 578-2869

Dr. V. Bram Lillard, Director, Operational Evaluation Division  
vlillard@ida.org • (703) 845-2230

#### Copyright Notice

© 2022 Institute for Defense Analyses  
730 East Glebe Road, Alexandria, Virginia 22305 • (703) 845-2000

This material may be reproduced by or for the U.S. Government pursuant to the copyright license under the clause at DFARS 252.227-7013 [Feb. 2014].

Rigorous Analysis | Trusted Expertise | Service to the Nation

INSTITUTE FOR DEFENSE ANALYSES

IDA Document NS D-33088

# **Predicting Trust in Automated Systems: Validation of the Trust of Automated Systems Test (TOAST)**

Daniel J. Porter, Project Leader

Caitlan A. Fealing



## Executive Summary

---

The number of people using autonomous systems for everyday tasks has increased steadily since the 1960s and has dramatically increased since the invention of smart devices that can be controlled via smartphone. Within the defense community, automated systems are currently used to perform search and rescue missions and to assume control of aircraft to avoid ground collision. Until recently, researchers have been able to gain insights on trust levels only by observing a human's reliance on the system. So it was apparent that researchers needed a method of quantifying how much an individual trusts the automated system they are using.

We developed the Trust of Automated Systems Test (TOAST scale) to serve as a validated scale capable of measuring how much an individual trusts a system.

“Trust is a psychological state in which a person makes themselves vulnerable because they are confident that” the automated system is capable and reliable enough to complete the task (Nave 2015). This definition of trust, adapted to fit in the autonomy context, indicates that understanding the capabilities, limitations, and performance of a system is required for trust.

Humans inherently base their trust in automated systems on the amount of risk associated with failing a task. The fundamental differences in potential failure penalties and failure frequencies between automated systems require researchers to view trust on a scale dependent on an expected penalty score according to the system's accuracy level. A lack of either of these things should correlate with a person over-trusting or under-trusting the system.

Given the case in which the researchers have a complete understanding of the autonomous system and all plausible situations while the participants do not, it should be possible for the researchers to estimate the levels of trust. To address this, the nine item TOAST scale has two main categories of questions: Understanding and Performance, with four and five questions, respectively. So far, this scale has been initially validated to detect differences in trust among military-affiliated operators, and current research is focused on extending this validation to civilians.

Additional TOAST details and information about other scales for test and evaluation are available at <https://testscience.org/validated-scales-repository/>.





# **Predicting Trust in Automated Systems: Validation of the Trust of Automated Systems Test (TOAST)**

Caitlan Fealing

April 27, 2022

**Institute for Defense Analyses**

730 East Glebe Road • Alexandria, Virginia 22305

# Trust is a key determinant of whether people will rely on automated systems

It is important to have a standard trust of autonomy scale so systems can be compared to one another

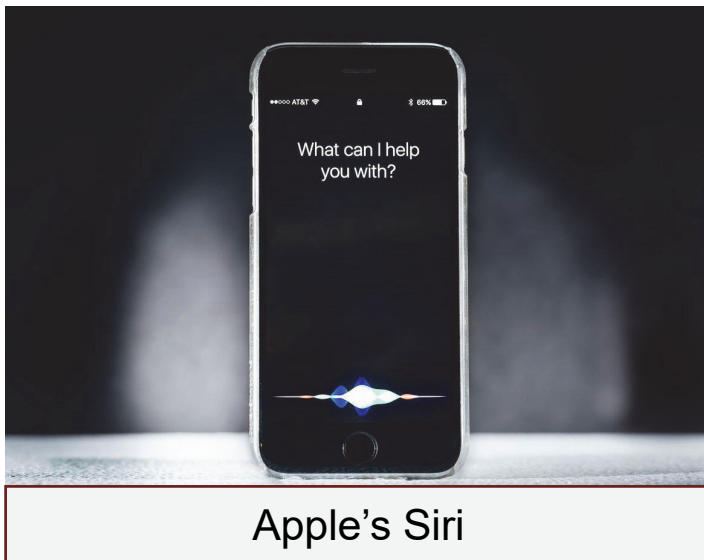


Trust of autonomous systems is a psychological state in which a person makes themselves vulnerable because they are confident that the automated system is capable and reliable enough to complete the task.<sup>1</sup>

<sup>1</sup>Nave, 2015; Button, 2017; Hoff, 2015; Lyons, 2016



# Trust is dependent on risk level and cannot be adequately measured by observing reliance



Less risk, low penalty



More risk, high penalty

- Sometimes the outcome is less risky, indicating less penalty for failure, but other times, failure can be catastrophic
- “Trust guides reliance when complexity and unanticipated situations make a complete understanding of the automation impractical.”<sup>2</sup>

<sup>2</sup>Lee, 2004

# The TOAST scale enables researchers to estimate levels of users' trust

TOAST decomposes trust into understanding and performance

<u>TOAST Questions</u>	
<u>Understanding</u>	<u>Performance</u>
<ul style="list-style-type: none"><li>• I understand what the system should do</li><li>• I understand the system's limitations</li><li>• I understand the system's capabilities</li><li>• I understand how the system executes tasks</li></ul>	<ul style="list-style-type: none"><li>• The system helps me achieve my goals</li><li>• The system performs consistently</li><li>• The system performs the way it should</li><li>• I feel comfortable relying on the information that the system provided</li><li>• I am rarely surprised by how the system responds</li></ul>

Wojton, 2020

# Understanding a well-performing autonomous system is the key to the most effective human-machine teams



Key benefits of studying trust of autonomous systems are:

1. Better predictions of how people will accept new autonomous systems
2. An emphasis on creating more human-compatible systems for combat scenarios

# References

- Wojton, H. M., Porter, D., T. Lane, S., Bieber, C., & Madhavan, P. (2020). Initial validation of the trust of automated systems test (TOAST). *The Journal of Social Psychology*, 160(6), 735-750.
- Button, Robert W. "Artificial Intelligence and the Military." *RAND Corporation*, 7 Sept. 2017, <https://www.rand.org/blog/2017/09/artificial-intelligence-and-the-military.html>.
- Devitt, S. K. (2018). Trustworthiness of autonomous systems. In *Foundations of trusted autonomy* (pp. 161-184). Springer, Cham.
- Hoff, K. A., & Bashir, M. (2015). Trust in automation: Integrating empirical evidence on factors that influence trust. *Human factors*, 57(3), 407-434.
- Jessup, S. A., Schneider, T. R., Alarcon, G. M., Ryan, T. J., & Capiola, A. The Measurement of the Propensity to Trust Technology.
- Lee, J. D., & Moray, N. (1994). Trust, self-confidence, and operators' adaptation to automation. *International journal of human-computer studies*, 40(1), 153-184.
- Lee, J. D., & See, K. A. (2004). Trust in automation: Designing for appropriate reliance. *Human factors*, 46(1), 50-80.
- Lees, M. N., & Lee, J. D. (2007). The influence of distraction and driving context on driver response to imperfect collision warning systems. *Ergonomics*, 50(8), 1264-1286.
- Nave, G., Camerer, C., & McCullough, M. (2015). Does oxytocin increase trust in humans? A critical review of research. *Perspectives on Psychological Science*, 10(6), 772-789.
- Nourani, M., Kabir, S., Mohseni, S., & Ragan, E. D. (2019, October). The effects of meaningful and meaningless explanations on trust and perceived system accuracy in intelligent systems. In *Proceedings of the AAAI Conference on Human Computation and Crowdsourcing* (Vol. 7, pp. 97-105).
- Soffar, Heba. "Military Artificial Intelligence (Military Robots) Advantages, Disadvantages & Applications." *Science Online*, 19 Jan. 2021, <https://www.online-sciences.com/robotics/military-artificial-intelligence-military-robots-advantages-disadvantages-applications/>.
- Yu, K., Berkovsky, S., Taib, R., Zhou, J., & Chen, F. (2019, March). Do I trust my machine teammate? an investigation from perception to decision. In *Proceedings of the 24th International Conference on Intelligent User Interfaces* (pp. 460-468).

## REPORT DOCUMENTATION PAGE

PLEASE DO NOT RETURN YOUR FORM TO THE ABOVE ORGANIZATION

<b>1. REPORT DATE</b> XX-04-2022	<b>2. REPORT TYPE</b> Draft Final	<b>3. DATES COVERED</b>	
		<b>START DATE</b>	<b>END DATE</b> Apr 2022
<b>4. TITLE AND SUBTITLE</b> Predicting Trust in Automated Systems: Validation of the Trust of Automated Systems Test (TOAST)			
<b>5a. CONTRACT NUMBER</b> Separate Contract	<b>5b. GRANT NUMBER</b>	<b>5c. PROGRAM ELEMENT NUMBER</b>	
<b>5d. PROJECT NUMBER</b> C9089	<b>5e. TASK NUMBER</b> C9089	<b>5f. WORK UNIT NUMBER</b>	
<b>6. AUTHOR(S)</b> Fealing, Caitlan, A.; Porter, Daniel, J.			
<b>7. PERFORMING ORGANIZATION NAME(S) AND ADDRESS(ES)</b> Institute for Defense Analyses 730 East Glebe Road Alexandria, Virginia 22305		<b>8. PERFORMING ORGANIZATION REPORT NUMBER</b> NS D-33088 H 2022-000190	
<b>9. SPONSORING/MONITORING AGENCY NAME(S) AND ADDRESS(ES)</b>		<b>10. SPONSOR/MONITOR'S ACRONYM(S)</b>	<b>11. SPONSOR/MONITOR'S REPORT NUMBER</b>
<b>12. DISTRIBUTION/AVAILABILITY STATEMENT</b> Public release approved. Distribution is unlimited.			
<b>13. SUPPLEMENTARY NOTES</b> Project Leader: Daniel Porter			
<b>14. ABSTRACT</b> Within the defense community, automated systems are currently used to perform search and rescue missions and to assume control of aircraft to avoid ground collision. Until recently, researchers have only been able to gain insights on trust levels by observing a human's reliance on the system, so it was apparent that researchers needed a validated method of quantifying how much an individual trusts the automated system they are using. IDA researchers developed the Trust of Automated Systems Test (TOAST scale) to serve as a validated scale capable of measuring how much an individual trusts a system. This presentation will outline the nine item TOAST scale's understanding and performance elements, and how it can effectively be used in a defense setting. We believe that this scale should be used to evaluate the trust level of any human using any system, including predicting when operators will misuse or disuse complex, automated and autonomous systems.			
<b>15. SUBJECT TERMS</b> Trust in Automated Systems Test (TOAST); autonomy; Trust in automation			
<b>16. SECURITY CLASSIFICATION OF:</b>		<b>17. LIMITATION OF ABSTRACT</b>	<b>18. NUMBER OF PAGES</b>
<b>a. REPORT</b> Unclassified	<b>b. ABSTRACT</b> Unclassified	<b>c. THIS PAGE</b> Unclassified	13
<b>19a. NAME OF RESPONSIBLE PERSON</b> Daniel Porter		<b>19b. PHONE NUMBER</b> 703-578-2869	