

#### Overview of the module

- Day 1 Concept of algorithm, Cryptography, recursion, Knapsack, Shortest Path
- Day 2 Complexity, Graph problems, Theory

### Organisation

- ▶ The exercices will be in python
- Please clone the following repository :
   https://github.com/nlehir/ALGO1.git
- Third party libs : matplotlib, numpy, networkx
- Optional but useful : ipdb (python debug) or another debugger

### Day 2

#### The problem of complexity

Time and space complexities Measuring time complexities Profiling Computing complexities Space complexity

#### Famous graph problems

Random graphs
Dominating set
Coloring
Independent Set

#### Theoretical problems

➤ Today we will **quantify** the **complexity** of several problems : how many operations are required to answer a given question, as a function of the size of the input ? Is it possible to **compute** an answer with a computer ?

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- Importantly, this is called the time complexity of the problem. It does not take the memory usage into account.
- ► However, we will also discuss **space complexity** that quantifies memory usage.

► The answer is that **it depends on the problem**. For some problems, it is very probable that there exists **no exact fast** solution (for instance the NP-hard problems)

## Average and worst case complexities

- ▶ Often, for a given algorithm, the exact number of operations needed will **depend on the instance of the problem**.
- ▶ It is possible to compute several complexities given a problem size *n*:
  - worst-case the maximum number of operation needed
  - average-case average complexity, averaged over a distribution on the input. Thus this distribution is to be known, or assumed.

# Measuring complexities

► Let us measure the time complexity of some simple programs. How ?

## Measuring complexities

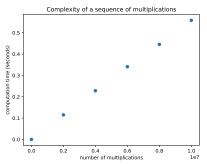
- ► Let us start by measuring the complexity of some simple programs.
- ▶ We can first measure the computing time.

#### Exercice 1 : Linear complexity

cd complexity/ and use linear\_complexity.py to verify that the complexity of a sequence of multiplications is proportionnal to its length.

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- It should look like this :

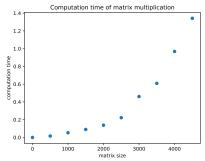


#### Exercice 2 : Non linear complexity

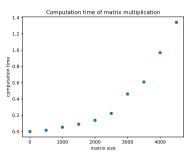
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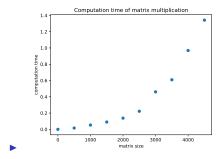


## Matrix multiplication



Let's give a rough approximation of the number of operations as a function of the size *n* of the matrix.

# Matrix multiplication



- ► Let's give a rough approximation of the number of operations as a function of the size *n* of the matrix.
- ▶ It should then be of order  $\mathcal{O}(n^3)$ . Remark: However, some **sub-cubic** algorithms exists: faster than  $n^3$

# Measuring the time?

▶ Why is **time** maybe not the best tool to evaluate the complexity of an algorithm ?

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- Why is time maybe not the best tool to evaluate the complexity of an algorithm ?
- ▶ It depends on the machine
- ▶ We could count the number of elementary operations instead.

## Experimental evaluation

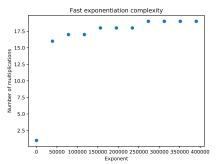
Exercice 3 : Counting the number of elementary operations

Please use a variable in exponentiation\_complexity.py to compute the number of operations in fast exponentiation and normal exponentiation.

#### Experimental evaluation

Exercice 3: Counting the number of elementary operations

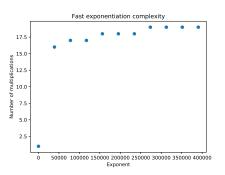
- Please use a variable in exponentiation\_complexity.py to compute the number of operations in fast exponentiation and normal exponentiation.
- It should look like :



#### Experimental evaluation

Exercice 3: Counting the number of elementary operations

▶ We note the **logarithmic complexity**  $\mathcal{O}(\log n)$ 



## Asymptotic behavior

▶ What matters is the **asymptotic** behavior, when  $n \to \infty$ 

## Asymptotic behavior

- ▶ What matters is the **asymptotic** behavior, when  $n \to \infty$
- ► This tells if the algorithm **scales** (still works when the instance of the problem is larger)

# Asymtptic behavior : $\mathcal{O}$ notation (notation de Landau)

Mathematically speaking, we say that  $f = \mathcal{O}(g)$  if the ratio  $\frac{|f(n)|}{|g(n)|}$  is **bounded**.

$$\exists A \ge 0, \forall n \in \mathbb{N} | \frac{f(n)}{g(n)} | \le A \tag{1}$$

- ▶ || means "absolute value"
- ▶ intuitively, this means that f is not bigger than g

# Asymptotic behavior : examples

$$n^2 + n = \mathcal{O}(?) \tag{2}$$

$$5 \times n^4 + 2178 \times n^3 + \log 3n = \mathcal{O}(?)$$
 (3)

# Asymptotic behavior : examples

$$n^2 + n = \mathcal{O}(n^2) \tag{4}$$

$$5 \times n^4 + 2178 \times n^3 + \log 3n = \mathcal{O}(n^4)$$
 (5)

### Asymtptic behavior : o notation

Mathematically speaking, we say that f=o(g) if the ratio  $\frac{|f(n)|}{|g(n)|}$  converges to 0 when  $n\to +\infty$ 

$$\lim_{n \to +\infty} \left| \frac{f(n)}{g(n)} \right| = 0 \tag{6}$$

ightharpoonup intuitively, this means that f is smaller than g

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$$\lim_{n \to +\infty} \left| \frac{f(n)}{g(n)} \right| = 0 \tag{7}$$

Please define this limit mathematically ?

### Asymtptic behavior : o notation

Mathematically speaking, we say that f=o(g) if the ratio  $\frac{|f(n)|}{|g(n)|}$  converges to 0 when  $n\to +\infty$ 

$$\lim_{n \to +\infty} \frac{f(n)}{g(n)} = 0 \tag{8}$$

•

$$\forall \epsilon > 0, \exists A \in \mathbb{R}, \forall n \ge A, \left| \frac{f(n)}{g(n)} \right| \le \epsilon$$
 (9)

# Asymptotic behavior : general rules

When  $n \to +\infty$ :

• if 
$$\alpha < \beta$$
,  $n^{\alpha} = o(n^{\beta})$ 

• if 
$$0 < a < b$$
,

• if 
$$\alpha > 0$$
,  $\beta \in \mathbb{R}$ ,  $(\log n)^{\beta} = o(n^{\alpha})$ 

• if 
$$a > 1$$
,  $n^{\alpha} = o(a^n)$ 

## Asymptotic behavior : equivalence

▶ We say that  $f(n) \sim g(n)$  when

$$f(n) \underset{n \to +\infty}{=} g(n) + o(g(n))$$
 (10)

## Asymptotic behavior : equivalence

▶ We say that  $f(n) \underset{n \to +\infty}{\sim} g(n)$  when

$$f(n) \underset{n \to +\infty}{=} g(n) + o(g(n)) \tag{11}$$

When talking about complexities, we will be interested in the simplest equivalent.

## Equivalence

Exercice 3: Find equivalents and the limits for the following functions:

$$u_n = 3n^3 - n^2(\sqrt{n}\sin n) + \cos(\sqrt{n})$$

$$v_n = -0.2 * n^n + 10 * n^2 * n!$$

- Maximum number of edges in a simple directed graph
- ► n!

### Examples of algorithms

- Fast exponentiation
- Naive exponentiation
- Merge sort
- Insertion sort
- Matrix multiplication
- Enumeration of subsets, TSP, coloring
- ► Enumeration of permutations

- ▶ Fast exponentiation  $\mathcal{O}(\log n)$
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- ▶ Matrix multiplication  $\mathcal{O}(n^{2.37})$
- ▶ Enumeration of subsets, TSP, coloring  $\mathcal{O}(2^n)$
- ▶ Enumeration of permutations  $\mathcal{O}(n!)$

# Orders of magnitude

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Taille	n log n	n <sup>3</sup>	<b>2</b> <sup>n</sup>
n = 20	60	8000	1048576
n = 50	196	125000	112589990700000
n = 100	461	1000000	12676506000000000000000000000000000000000
Hence the idea of a border between polynomial and evappential algorithms			

⇒ Hence the idea of a border between polynomial and exponential algorithms.

# **Profiling**

- Another useful tool to monitor the execution of a program is profiling
- ► From the python docs : "A profile is a set of statistics that describes how often and for how long various parts of the program executed"
- https://docs.python.org/3.6/library/profile.html

### **Profiling**

Exercice 4: Profiling a piece of code

**cd profiling** and profile some programs that we used before

## **Profiling**

#### Exercice 4: Profiling a piece of code

- cd profiling and profile some programs that we used before
- However note that when profiling profiling\_demo.py, the elementary multiplications are not taken into account in the profiling output.

### Computing complexities

We now want to compute some complexities with paper and pen. Let us focus on some intuitive rules:

- ► For a sequence of blocks :
- ► For a loop :

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We now want to compute some complexities with paper and pen. Let us focus on some intuitive rules :

- ► For a sequence of blocks : complexities sum up
- ► For a loop : complexities of all iterations sum up
- If a loop consists in similar iterations, its complexity is the product of the compexity of one iteration by the size of the loop.

## Running time

Exercice 5 : Computing a running time I

Please compute the running time and give the complexity of the following algorithm.

```
result = 0
for i in range(n):
    result += i**2
```

### Running times

Exercice 6 : Computing a running time II

Please compute the running time and give the complexity of the following algorithm.

### Running times

```
Exercice 7 : Computing a running time II
Could we have known that is was polynomial without performing
the exact computation ?

for i in range(n):
    for j in range(i):
        I = [i+j+k for k in range(n)]
```

### Some mathematical concepts

- Mathematical induction
- ▶ Applications : prime factors decomposition,  $\sum_{k=1}^{n} k$
- ► Optional

$$\sum_{k=1}^{n} k^2 ? (12)$$

$$\sum_{k=1}^{n} k^{3} ? (13)$$

### Insertion Sort

► We will study the classic **Insertion sort algorithm**, in order to illustrate the concept of **average-case complexity**.

### Insertion Sort

Exercice 8: Insertion sort:

**cd insertion\_sort/** and fix the function in **insertion\_sort.py** in order to perform the algorithm.

### Average-case complexity

- ▶ We assume a uniform\_distribution on the integer that we want to sort. All values have the same probability.
- ▶ What is the average-case complexity of the algorithm ?

## Complexity

Exercice 9: use the file **complexity.py** in order to check if our theoretical reslut is correct. You will need to fix the function **number\_of\_operations()** 

### Python sorting

In python, sort() uses a variant of mergesort. https://github.com/python/cpython/blob/master/Objects/listsort.txt

- Let us consider the case of evaluating polynoms
- ▶ A polynom is a function of the form  $f: x \to a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x + a_0$
- How many multiplications are involved with the naive method ?

- Let us consider the case of evaluating polynoms
- A polynom is a function of the form  $f: x \to a_n x^n + a_{n-1} x^{n-1} + \cdots + a_1 x + a_0$
- How many multiplications are involved with the naive method ?
- ▶ We look fot an algorithm that is faster than the naive solution.

Example of Horner algorithm when

$$P: x \to 7x^4 + 2x^3 - 5x + 1:$$

$$P(x) = (((7x+2)x+0)x-5)x+1$$
 (14)

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Example of Horner algorithm when

$$P: x \to 7x^4 + 2x^3 - 5x + 1:$$

$$P(a) = (((7a+2)a+0)a-5)a+1$$
 (16)

- ▶ How many multiplications are now involved ?  $\mathcal{O}(n)$ .
- So we went from quadratic to linear.

Example of Horner algorithm when

$$P: x \to 7x^4 + 2x^3 - 5x + 1:$$

$$P(x) = (((7x+2)x+0)x-5)x+1$$
 (17)

▶ We input the polynom to the algorithm as the list of the coefficients  $[a_n, a_{n-1}, \ldots, a_0]$ 

### **Evaluating polynoms**

### Exercice 9: Implementation of Horner Algorithm

Example of Horner algorithm when  $P: x \rightarrow 7x^4 + 2x^3 - 5x + 1$ .

$$P(x) = (((7x+2)x+0)x-5)x+1$$
 (18)

- ▶ We input the polynom to the algorithm as the list of the coefficients  $[a_n, a_{n-1}, \ldots, a_0]$
- Please modify complexity/horner.py so that it performs the horner algorithm.
- ▶ In order to test that our method is correct, we will test it against the method **polyval** from **numpy**.

### Horner

► What do you see if you write **help(numpy.polyval)** inside python ?

#### Horner

What do you see if you write help(numpy.polyval) inside python?

```
Horner's scheme [1] is used to evaluate the polynomial. Even so, for polynomials of high degree the values may be inaccurate due to rounding errors. Use carefully.

References
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.. [1] I. N. Bronshtein, K. A. Semendyayev, and K. A. Hirsch (Eng. trans. Ed.), *Handbook of Mathematics*, New York, Van Nostrand Reinhold Co., 1985, pg. 720.
```

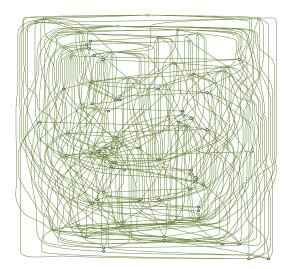
Figure: Horner is actually the method used by numpy

## Space complexty

Space complexity is the sum of :

- ▶ input space
- auxiliary space : temporary space used during the algorithm

# Graph problems



### Graph problems

We will look at famous graph problems, typically of the form :

- "what is the largest subset of nodes of the graph, verifying some property?"
- "what is the largest subset of edges of the graph, such that some property is verified?"

#### networx

We will use **networx** to visualize graphs.

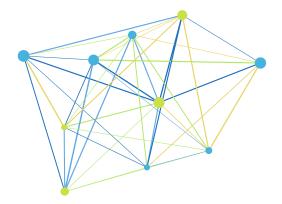


Figure: Undirected random graph generated with python

# Warm up question

Given an **unoriented** graph with n nodes, how many edges can we build ?

Notation of a graph : G(V, E)

V : set of n vertices

► *E* : set of edges

#### Warm up question

Given an **unoriented** graph with n nodes, how many edges can we build ?

Notation of a graph : G(V, E)

- V : set of n vertices
- ▶ E: set of edges, maximum size:  $\frac{n(n-1)}{2} = \binom{n}{2} = \frac{n!}{2!(n-2)!}$

#### **Network**x

▶ In order to do the following exercises, you will need **networx** (installed with the notebook).

Exercice 10: Please cd ./graphs/random\_graphs and use the notebook Random\_undirected\_graph.ipynb or random\_undirected\_graph.py to generate a random undirected graph with a chosen number of nodes and edges.

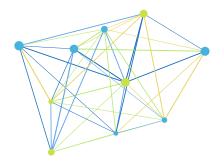


Figure: Random undirected graph with 10 nodes, 40 edges

Exercice 11: Please use **random\_directed\_graph.py** to generate a random directed graph with a chosen number of nodes and edges.

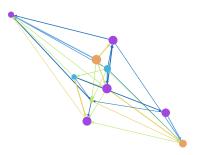
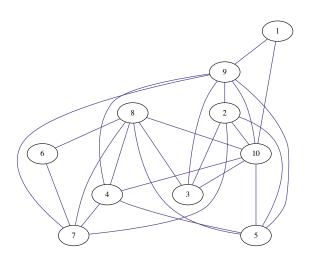


Figure: Random directed graph with 10 nodes, 30 edges



#### Dominating set

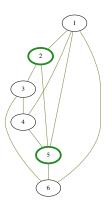
Say you want to cover a internet network. Some nodes (the emitters) are able to transmit information in the network, but not to all nodes: only to the nodes that are close enough.

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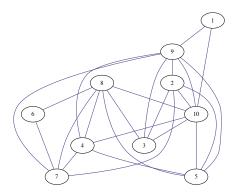
**Optimization problem:** You need to cover the network, but with the smallest possible number of emitters (because then it is less work).

Exercice 12: How would you formalize this problem with a graph?

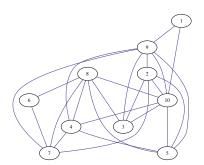


Mathematically speaking : if G(V, E) is the graph. We look for a **subset of nodes** D such that **all nodes in the graph** are the neighbor of **at least one node** in D. linewidth

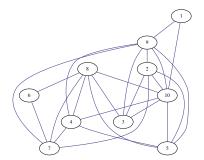
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Mathematically speaking: if G(V, E) is the graph. We look for a subset of nodes D such that all nodes in the graph are the neighbor of at least one node in D. And we want to pick the smallest D that "dominates" the network.



What is the most trivial dominating subset ?



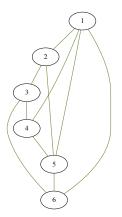


Figure: Some simple graph

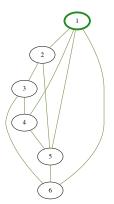


Figure: Is this a dominating subset ?

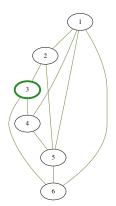


Figure: Is this a dominating subset ?

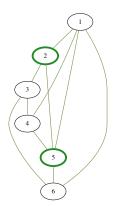
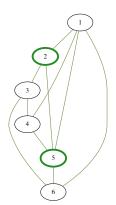


Figure: Is this a dominating subset ?

A **minimal dominating set** is a dominating set D such that removing any node from D prevents it from still beung dominating.



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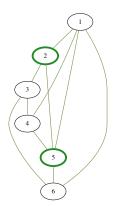
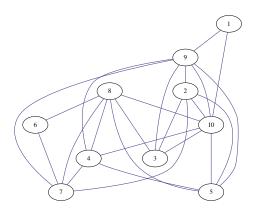
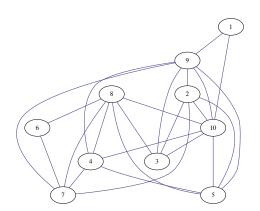


Figure: Is this a dominating subset? Yes. Is it minimal?

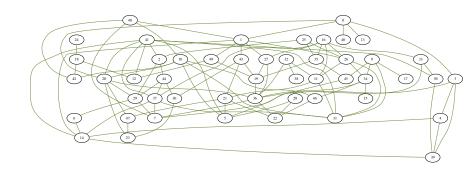
Please find a dominating set in this graph.



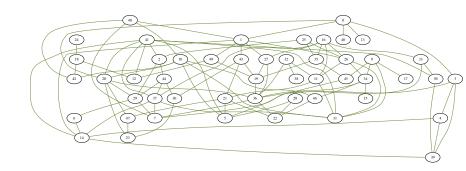
Please find a minimal dominating set in this graph.



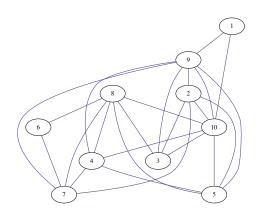
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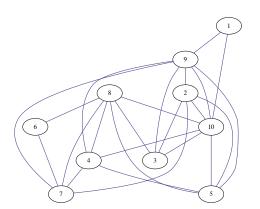
Is **minimal** the same thing as minimum?



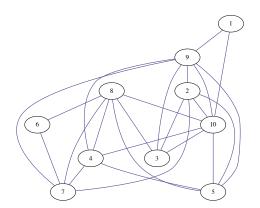
What would be the **exhaustive search** in the case of the Dominating set problem ?



How many possibilities do have to try as a function of n?

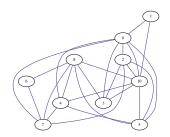


How many possibilities do have to try as a function of n? The number of subsets in [1:n] is :



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$$2^n = \sum_{k=0}^n \binom{n}{k} \tag{19}$$



#### Heuristic

Ok so the exhaustive search is no possible. So what method should we use ?

#### Heuristic

Ok so the exhaustive search is no possible. So what method should we use  $\ref{eq:constraints}$ 

Let's build a greedy algorithm.

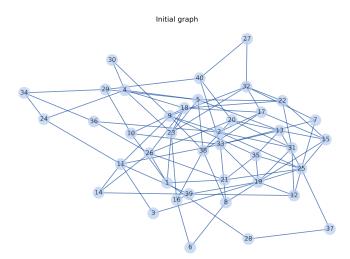
# Greedy algorithm

In a graph (unweighted), the **degree of a node** is its number of neighbors.

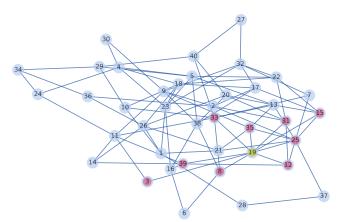
#### dominating set

Exercice 13: Greedy algorithm implementation cd graphs/dominating\_set and modify greedy\_standard.py in order to apply the greedy algorithm:

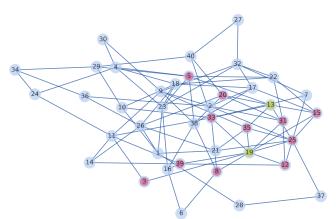
- sort nodes by degree
- progressively add the to the set until it's dominating



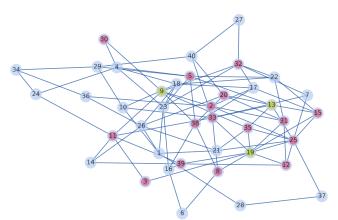
Subset size: 1 Algo step: 1



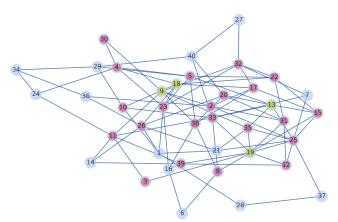
Subset size: 2 Algo step: 2



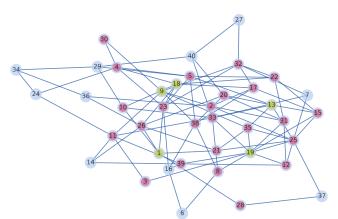
Subset size: 3 Algo step: 3



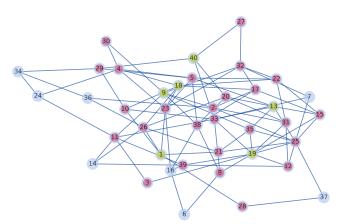
Subset size: 4 Algo step: 4



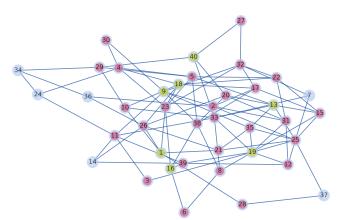
Subset size: 5 Algo step: 5



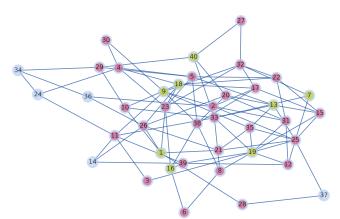
Subset size: 6 Algo step: 6



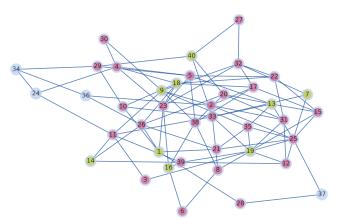
Subset size: 7 Algo step: 7



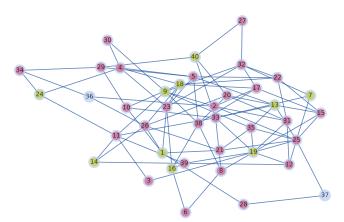
Subset size: 8 Algo step: 8



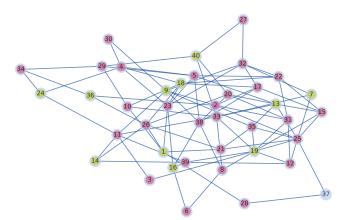
Subset size: 9 Algo step: 9



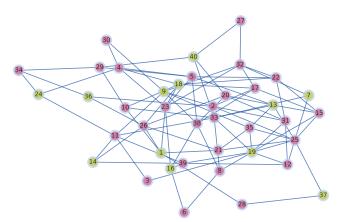
Subset size: 10 Algo step: 10



Subset size: 11 Algo step: 11



Subset size: 12 Algo step: 12



## dominating set

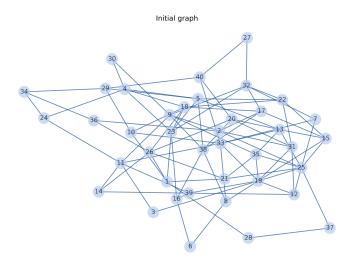
Exercice 13: Greedy algorithm implementation
Generate new instances of the problem using
generate\_problem\_instance.py and apply the algorithm to them.
You can use the file params.txt.

# Complexity

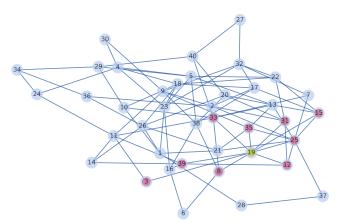
Exercice 14: What is the complexity of the greedy algorithm?

#### Variant

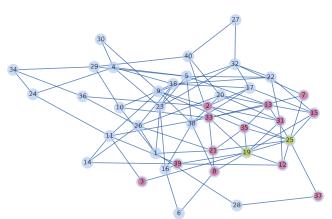
Exercice 15: Try to see what happens using a variant of the heurstic, where we can add nodes that are already dominated, to the (built) dominating set. Which method is faster? You can use **greedy\_bis.py** 



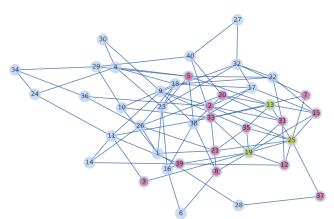
Subset size: 1 Algo step: 1



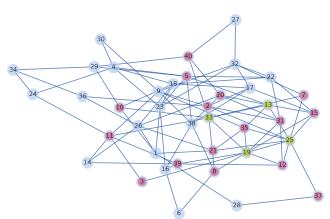
Subset size: 2 Algo step: 2



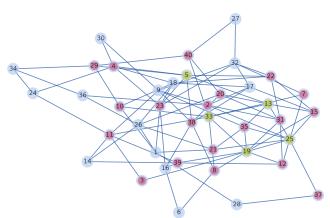
Subset size: 3 Algo step: 3



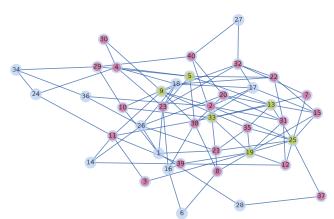
Subset size: 4 Algo step: 4



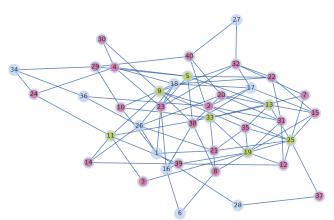
Subset size: 5 Algo step: 5



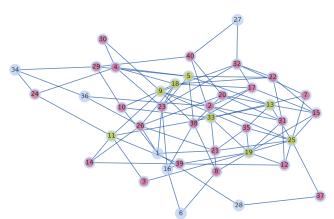
Subset size: 6 Algo step: 6



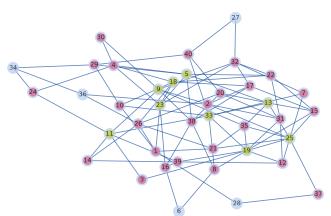
Subset size: 7 Algo step: 7



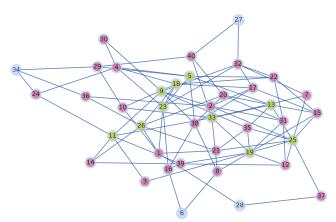
Subset size: 8 Algo step: 8



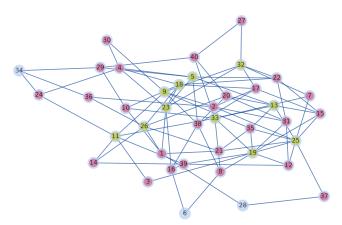
Subset size: 9 Algo step: 9



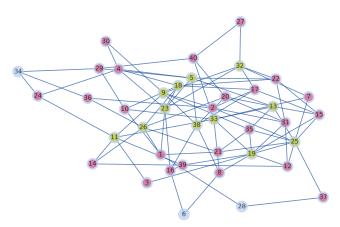
Subset size: 10 Algo step: 10



Subset size: 11 Algo step: 11



Subset size: 12 Algo step: 12



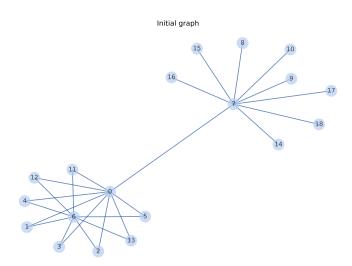
### Variant 2

Exercice 16: Implement of another variant where the degrees of the nodes are recomputed after each algorithm step.

You can use greedy\_ter.py

## Different performances

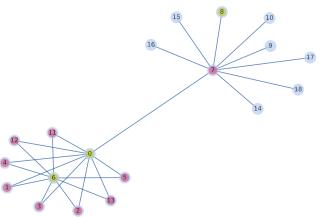
We have 3 variants of the algorithm, it seems that on most random cases "ter" works better (gives a smaller dominating set). Exercice 17: Can you find graph for which "standard" and "ter" are beaten by "bis"?



Subset size: 1 Algo step: 1 Method: standard

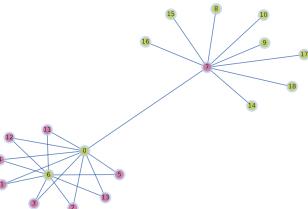
Subset size: 2 Algo step: 2 Method: standard

Subset size: 3 Algo step: 3 Method: standard



Subset size: 4 Algo step: 4 Method: standard

Subset size: 10 Algo step: 10 Method: standard



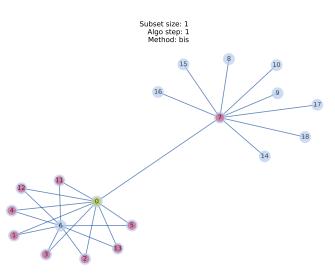
Subset size: 1 Algo step: 1 Method: ter

Subset size: 2 Algo step: 2 Method: ter

Subset size: 3 Algo step: 3 Method: ter

Subset size: 4 Algo step: 4 Method: ter

Subset size: 10 Algo step: 10 Method: ter



Subset size: 2 Algo step: 2 Method: bis

Subset size: 3 Algo step: 3 Method: bis

### Non optimal greedy algorithm

Exercice 18: Find a graph for which "standard" gives a very bad solution.

#### Non optimal greedy algorithm

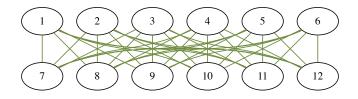


Figure: Complete bipartie graph

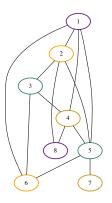
#### **Networkx**

The library networkx has some functions to work with most graph problems: https://networkx.org/documentation/stable/reference/algorithms/generated/networkx.algorithms.dominating.dominating\_set.html

### The coloring problem

Say you have a map with different countries. You need to assign a color to each country, so that two countries that have a common border are filled with a different color. We assume that we would like to use a small number of colors (the smaller, the better). Exercice 19: How would you formalize this problem with a graph?

#### The coloring problem



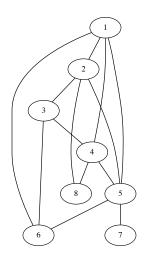
We want to find the smallest number of **fully disconnected subgraph** in a graph.

#### The coloring problem

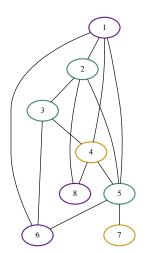
We want to find the smallest number of **fully disconnected subgraph** in a graph.

Each subgraph will be associated with a color.

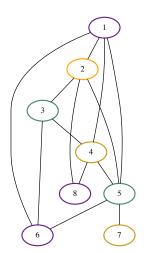
# Coloring



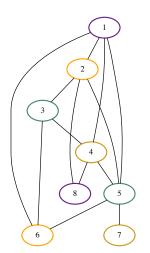
## Is this a coloring?



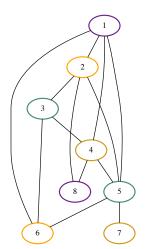
## Is this a coloring?



## Is this a coloring? yes



### Could we have used only 3 colors?



### Coloring

▶ What would be a trivial coloring?

#### Coloring

- ► What would be a trivial coloring ? assign a color to each node (very bad solution)
- ► Could you think of a heuristic?

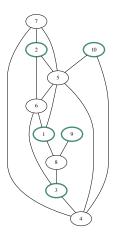
#### Other applications

- ▶ Planning activities (color : time in the day)
- Assigning frequencies (color : frequency)

### Independent set

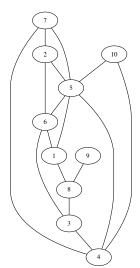
You have a group of people. Some people cannot work with each other. You want to build to largest possible team of people. Exercice 20: How would you formalize this with a graph?

### Independent Set

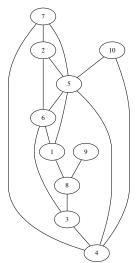


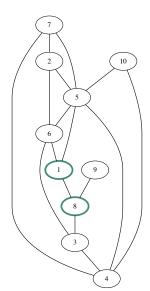
Assuming that an edge represents the fact that two persons cannot work with each other, we want to find the largest disconnected subgraph.

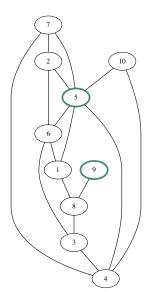
### Independent set

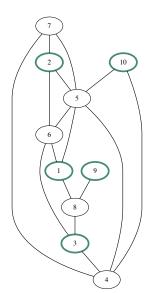


#### Independent set: what is a trivial independent set?

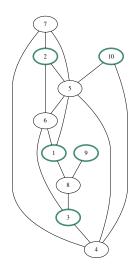








#### Maximal vs maximum independent set



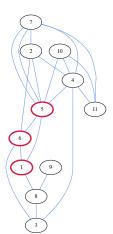
#### Complexity

- ▶ The running time *T* of an algorithm *A* is its running time on the worst possible input (instance *I*) it can get (for a given size)
- ▶ The complexity T(P) of a problem P is the running time of the best possible algorithm for that problem.

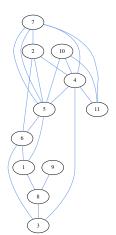
$$T(P) = \min_{A} \max_{I} T(P, A, I)$$
 (20)

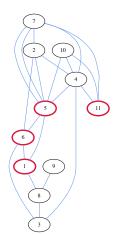
#### Equivalence between problems

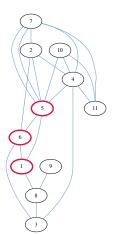
- Some problems have the same difficulty because they are equivalent
- Some are strictly more complex than others
- ► Hard problems : Maximum independent set, minimum coloring, smallest dominating set, TSP, etc.
- ► Easier problem : Shortest Path

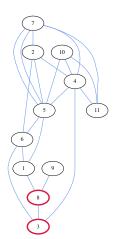


The maxium clique problem consists in finding the largest completely connected subgraph (the induced subgraph is complete)



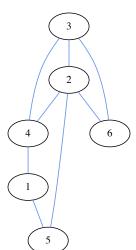


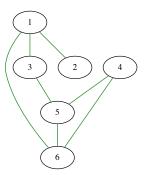


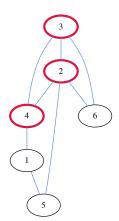


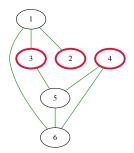
#### Equivalence between problems

Exercice 21: Can you relate the maximum clique problem to another problem we saw before ?









#### Polynomial-time reduction

To study a problem, it is sometimes useful to transform it into another.

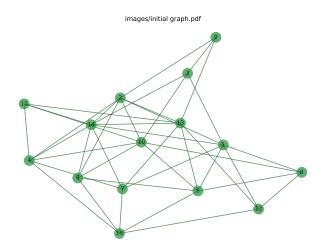
Exercice 22: Transformation **cd clique/** and use **complement\_graph.py** in order to transform a graph into its **complement graph**.

Exercice 22: Transformation

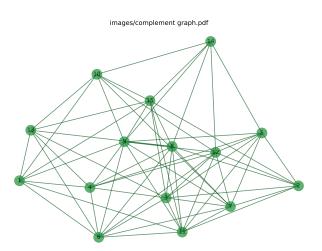
**cd clique/** and use **complement\_graph.py** in order to transform a graph into its **complement graph**.

What is the complexity of this operation?

## Complement graph



## Complement graph



### Dominating set to set covering

▶ This is another example of two problems that are equivalent.

#### Problems that are not equivalent

► Eulerian paths and hamiltonian paths

### Classes of complexity

- Problems have been gathered under classes of complexity
- ▶ **P** : we can obtain a solution with polynomial complexity
- ▶ **NP**: we can verify a solution in polynomial time (doesn't mean we can find a solution)
- ▶ **NP hard** : if it is in *P*, all *NP* problems are in *P*.
- ▶ NP complete : NP and NP hard

#### P=NP?

