

# Introduction to Algorithms

Part II. Problems.

B9 - Introduction to Algorithms

M-ALG-100

# Overview of the module

Day 1 Concept of algorithm, Cryptography, recursion, Knapsack, Shortest Path

Day 2 Complexity, Graph problems, Theory

# Organisation

- ▶ The exercices will be in python
- ▶ Please clone the following repository :  
`https://github.com/nlehir/ALG01.git`
- ▶ Third party libs : **matplotlib**, **numpy**, **pygraphviz**, **graphviz**.
- ▶ Optional but useful : **ipdb** (python debug) or another debugger

## Day 2

### The problem of complexity

- Time and space complexities

- Measuring time complexities

- Profiling

- Computing complexities

- Space complexity

### Famous graph problems

- Random graphs

- Dominating set

- Coloring

- Independent Set

### Theoretical problems

# Complexity

- ▶ Today we will **quantify** the **complexity** of several problems :  
how many operations are required to answer a given question,  
as a function of the size of the input ? Is it possible to  
**compute** an answer with a computer ?

# Complexity

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- ▶ Importantly, this is called the **time complexity** of the problem. It does not take the memory usage into account.

# Complexity

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- ▶ Importantly, this is called the **time complexity** of the problem. It does not take the memory usage into account.
- ▶ However, we will also discuss **space complexity** that, quantifies memory usage.

# Complexity

- ▶ The answer is that **it depends on the problem**. For some problems, it is very probable that there exists **no exact fast** solution (for instance the NP-hard problems)



## Measuring complexities

- ▶ Let us measure the time complexity of some simple programs.  
How ?

## Measuring complexities

- ▶ Let us start by measuring the complexity of some simple programs.
- ▶ We can first measure the computing time.

## Measuring execution times

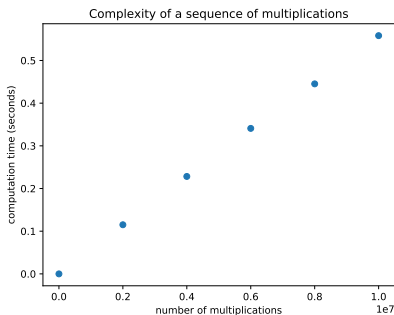
### Exercise 1: Linear complexity

- ▶ **cd complexity** and use **linear\_complexity.py** to verify that the complexity of a sequence of multiplications is proportionnal to its length.

## Measuring execution times

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- ▶ It should look like this :



## Measuring execution times

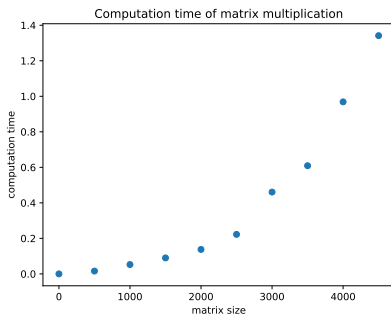
### Exercise 2 : Non linear complexity

- What happens with matrix multiplication ? modify **matrix\_multiplication.py** to estimate the computing time as a function of the size of the matrix.

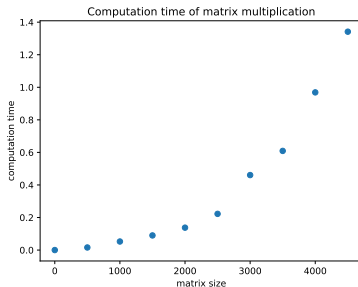
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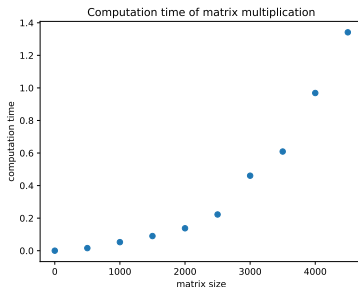


## Matrix multiplication



- Let's give a rough approximation of the number of operations as a function of the size  $n$  of the matrix.

## Matrix multiplication



- ▶ Let's give a rough approximation of the number of operations as a function of the size  $n$  of the matrix.
- ▶ It should then be of order  $\mathcal{O}(n^3)$ . However, some **sub-cubic** algorithms exists : faster than  $n^3$



## Measuring the time ?

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- ▶ It depends on the machine
- ▶ We could count the number of elementary operations instead.

## Experimental evaluation

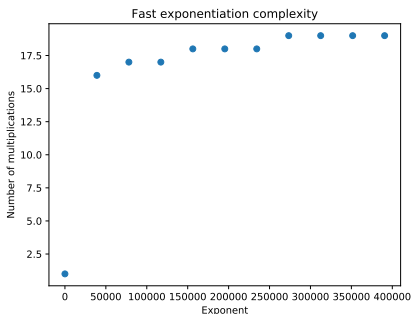
### Exercise 3: Counting the number of elementary operations

- ▶ Please use a variable in **exponentiation\_complexity.py** to compute the number of operations in fast exponentiation and normal exponentiation.

## Experimental evaluation

### Exercise 3 : Counting the number of elementary operations

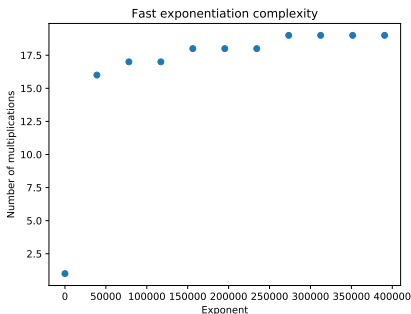
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- ▶ It should look like :



## Experimental evaluation

Exercise 3: Counting the number of elementary operations

- We note the **logarithmic complexity**  $\mathcal{O}(\log n)$



## Asymptotic behavior

- ▶ What matters is the **asymptotic** behavior, when  $n \rightarrow \infty$

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- ▶ What matters is the **asymptotic** behavior, when  $n \rightarrow \infty$
- ▶ This tells if the algorithm **scales** (still works when the instance of the problem is larger)



## Asymptotic behavior : $\mathcal{O}$ notation

- ▶ Mathematically speaking, we say that  $f = \mathcal{O}(g)$  if the ratio  $\frac{|f(n)|}{|g(n)|}$  is **bounded**.

$$\exists A \geq 0, \forall n \in \mathbb{N} \left| \frac{f(n)}{g(n)} \right| \leq A \quad (1)$$

- ▶  $||$  means "absolute value"
- ▶ intuitively, this means that  $f$  is not bigger than  $g$

## Asymptotic behavior : examples



$$n^2 + n = \mathcal{O}(?) \quad (2)$$



$$5 \times n^4 + 2178 \times n^3 + \log 3n = \mathcal{O}(?) \quad (3)$$

## Asymptotic behavior : examples



$$n^2 + n = \mathcal{O}(n^2) \quad (4)$$



$$5 \times n^4 + 2178 \times n^3 + \log 3n = \mathcal{O}(n^4) \quad (5)$$

## Asymptotic behavior : $o$ notation

- ▶ Mathematically speaking, we say that  $f = o(g)$  if the ratio  $\frac{|f(n)|}{|g(n)|}$  goes to 0 when  $n \rightarrow +\infty$

$$\lim_{n \rightarrow +\infty} \left| \frac{f(n)}{g(n)} \right| = 0 \quad (6)$$

- ▶ intuitively, this means that  $f$  is smaller than  $g$

## Asymptotic behavior : $o$ notation

- ▶ Mathematically speaking, we say that  $f = o(g)$  if the ratio  $\frac{|f(n)|}{|g(n)|}$  goes to 0 when  $n \rightarrow +\infty$

$$\lim_{n \rightarrow +\infty} \left| \frac{f(n)}{g(n)} \right| = 0 \quad (7)$$

- ▶ Please define this limit mathematically ?

## Asymptotic behavior : $o$ notation

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$$\lim_{n \rightarrow +\infty} \frac{f(n)}{g(n)} = 0 \quad (8)$$



$$\forall \epsilon > 0, \exists A \in \mathbb{R}, \forall n \geq A, \left| \frac{f(n)}{g(n)} \right| \leq \epsilon \quad (9)$$

## Asymptotic behavior : general rules

When  $n \rightarrow +\infty$  :

- ▶ if  $\alpha < \beta$ ,  $n^\alpha = o(n^\beta)$
- ▶ if  $0 < a < b$ , )
- ▶ if  $\alpha > 0$ ,  $\beta \in \mathbb{R}$ ,  $(\log n)^\beta = o(n^\alpha)$
- ▶ if  $a > 1$ ,  $n^\alpha = o(a^n)$

## Asymptotic behavior : equivalence

- We say that  $f(n) \sim_{n \rightarrow +\infty} g(n)$  when

$$f(n) = g(n) + o(g(n)) \quad (10)$$



## Asymptotic behavior : equivalence

- ▶ We say that  $f(n) \sim_{n \rightarrow +\infty} g(n)$  when

$$f(n) = g(n) + o(g(n)) \quad (11)$$

- ▶ When talking about complexities, we will be interested in the **simplest equivalent**.

## Equivalence

**Exercice 3 :** Find equivalents and the limits for the following functions :

- ▶  $u_n = 3n^3 - n^2(\sqrt{n} \sin n) + \cos(\sqrt{n})$
- ▶  $v_n = -0.2 * n^n + 10 * n^2 * n!$
- ▶ Maximum number of edges in a simple directed graph
- ▶  $n!$

## Examples of algorithms

- ▶ Fast exponentiation
- ▶ Naive exponentiation
- ▶ Merge sort
- ▶ Insertion sort
- ▶ Matrix multiplication
- ▶ Enumeration of subsets, TSP, coloring
- ▶ Enumeration of permutations

## Examples of algorithms

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- ▶ Enumeration of permutations  $\mathcal{O}(n!)$

## Orders of magnitude

### Orders of magnitude

Taille	$n \log n$	$n^3$	$2^n$
$n = 20$	60	8000	1048576
$n = 50$	196	125000	1125899907000000
$n = 100$	461	1000000	12676506000000000000000000000000

⇒ Hence the idea of a border between polynomial and exponential algorithms.

# Profiling

- ▶ Another useful tool to monitor the execution of a program is **profiling**
- ▶ From the python docs : "A profile is a set of statistics that describes how often and for how long various parts of the program executed"
- ▶ <https://docs.python.org/3.6/library/profile.html>

# Profiling

## Exercise 4: Profiling a piece of code

- ▶ **cd profiling** and profile some programs that we used before

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## Exercise 4: Profiling a piece of code

- ▶ **cd profiling** and profile some programs that we used before
- ▶ However note that when profiling **profiling\_demo.py**, the elementary multiplications are not taken into account in the profiling output.

## Computing complexities

We now want to compute some complexities with paper and pen.  
Let us focus on some intuitive rules :

- ▶ For a sequence of blocks :
- ▶ For a loop :

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## Computing complexities

We now want to compute some complexities with paper and pen.  
Let us focus on some intuitive rules :

- ▶ For a sequence of blocks : complexities sum up
- ▶ For a loop : complexities of all iterations sum up
- ▶ If a loop consists in similar iterations, its complexity is the product of the complexity of one iteration by the size of the loop.

## Running time

### Exercise 5: Computing a running time I

Please compute the running time and give the complexity of the following algorithm.

```
result = 0
for i in range(n):
    result += i**2
```

## Running times

**Exercise 6 :** Computing a running time II

Could we have known that it was polynomial without performing the exact computation ?

```
for i in range(n):  
    for j in range(i):  
        l = [i+j+k for k in range(n)]
```

## Running times

### Exercise 6 : Computing a running time II

Please compute the running time and give the complexity of the following algorithm.

```
for i in range(n):  
    for j in range(i):  
        l = [i+j+k for k in range(n)]
```

## Some mathematical concepts

- ▶ Mathematical induction
- ▶ Applications : prime factors decomposition,  $\sum_{k=1}^n k$
- ▶ Optional

$$\sum_{k=1}^n k^2 ? \quad (12)$$

$$\sum_{k=1}^n k^3 ? \quad (13)$$

## Horner Algorithm

- ▶ Let us consider the case of evaluating polynoms
- ▶ A polynom is a function of the form
$$f : x \rightarrow a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0$$
- ▶ How many multiplications are involved with the naive method ?

## Horner Algorithm

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- ▶ A polynom is a function of the form
$$f : x \rightarrow a_n x^n + a_{n-1} x^{n-1} + \dots + a_1 x + a_0$$
- ▶ How many multiplications are involved with the naive method ?
- ▶ We look for an algorithm that is faster than the naive solution.

## Horner Algorithm

- Example of Horner algorithm when  
 $P : x \rightarrow 7x^4 + 2x^3 - 5x + 1 :$

$$P(x) = (((7x + 2)x + 0)x - 5)x + 1 \quad (14)$$



## Horner Algorithm

- ▶ Example of Horner algorithm when

$$P : x \rightarrow 7x^4 + 2x^3 - 5x + 1 :$$

$$P(x) = (((7x + 2)x + 0)x - 5)x + 1 \quad (15)$$

- ▶ How many multiplications are now involved ?

## Horner Algorithm

- ▶ Example of Horner algorithm when  
 $P : x \rightarrow 7x^4 + 2x^3 - 5x + 1 :$

$$P(a) = (((7a + 2)a + 0)a - 5)a + 1 \quad (16)$$

- ▶ How many multiplications are now involved ?  $\mathcal{O}(n)$ .
- ▶ So we went from quadratic to linear.

## Horner Algorithm

- ▶ Example of Horner algorithm when

$$P : x \rightarrow 7x^4 + 2x^3 - 5x + 1 :$$

$$P(x) = (((7x + 2)x + 0)x - 5)x + 1 \quad (17)$$

- ▶ We input the polynom to the algorithm as the list of the coefficients  $[a_n, a_{n-1}, \dots, a_0]$

## Evaluating polynoms

### Exercise 7 : Implementation of Horner Algorithm

- ▶ Example of Horner algorithm when

$$P : x \rightarrow 7x^4 + 2x^3 - 5x + 1 :$$

$$P(x) = (((7x + 2)x + 0)x - 5)x + 1 \quad (18)$$

- ▶ We input the polynom to the algorithm as the list of the coefficients  $[a_n, a_{n-1}, \dots, a_0]$
- ▶ Please modify **complexity/horner.py** so that it performs the horner algorithm.
- ▶ In order to test that our method is correct, we will test it against the method **polyval** from **numpy**.

# Horner

- ▶ What do you see if you write **help(numpy.polyval)** inside python ?

## Horner

- What do you see if you write **help(numpy.polyval)** inside python ?

```
Horner's scheme [1]_ is used to evaluate the polynomial. Even so,  
for polynomials of high degree the values may be inaccurate due to  
rounding errors. Use carefully.
```

### References

-----

```
.. [1] I. N. Bronshtein, K. A. Semendyayev, and K. A. Hirsch (Eng.  
trans. Ed.), *Handbook of Mathematics*, New York, Van Nostrand  
Reinhold Co., 1985, pg. 720.
```

**Figure:** Horner is actually the method used by numpy

## Space complexity

Space complexity is the sum of :

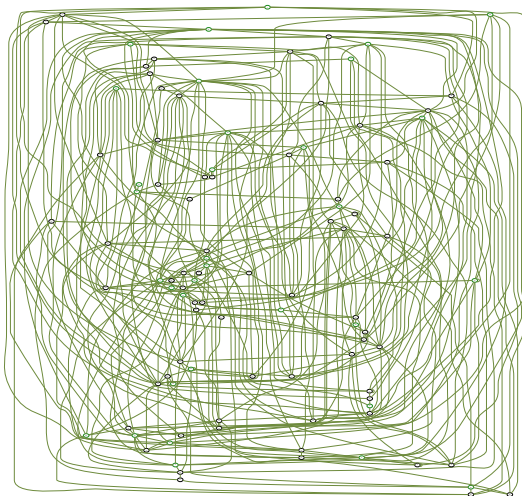
- ▶ input space
- ▶ auxiliary space : temporary space used during the algorithm

## Space complexity and sorting

We will illustrate space complexity with the example of sorting.



# Graph problems



# Graph problems

We will look at famous graph problems, typically of the form :

- ▶ "what is the largest subset of nodes of the graph, verifying some property ?"
- ▶ "what is the largest subset of edges of the graph, such that some property is verified ?"

## Graphviz

We will use graphviz to visualize graphs.

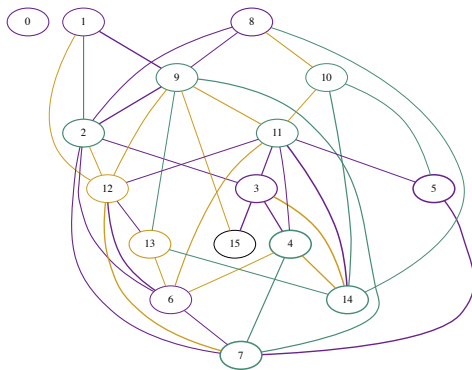


Figure: Undirected random graph generated with python

## Warm up question

Given an **unoriented** graph with  $n$  nodes, how many edges can we build ?

Notation of a graph :  $G(V, E)$

- ▶  $V$  : set of  $n$  vertices
- ▶  $E$  : set of edges

## Warm up question

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Notation of a graph :  $G(V, E)$

- ▶  $V$  : set of  $n$  vertices
- ▶  $E$  : set of edges, maximum size :  $\frac{n(n-1)}{2} = \binom{n}{2} = \frac{n!}{2!(n-2)!}$

# Graphviz

- ▶ In order to do the following exercises, you will need **graphviz**.

### Exercise 8: Generating a random graph

Please **cd ./graphs/random\_graphs** and use **random\_graph.py** to generate a random graph with 25 nodes and 100 edges

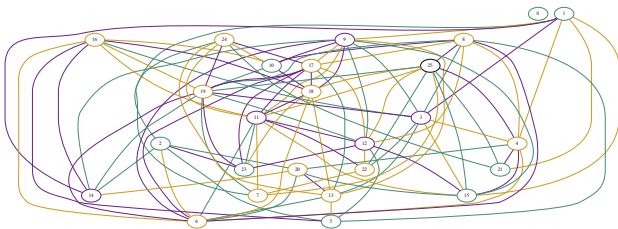
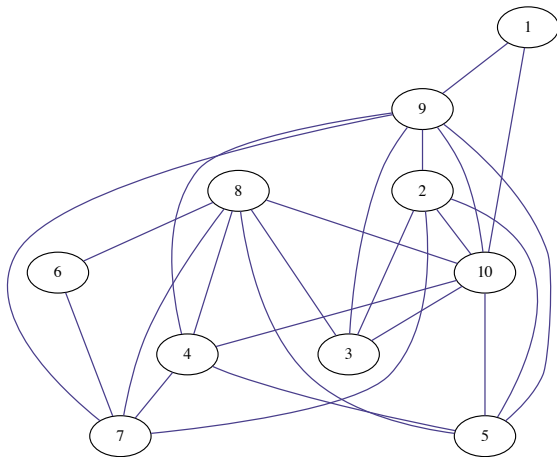


Figure: Random graph with 25 nodes, 100 edges

## The dominating set problem





## Dominating set

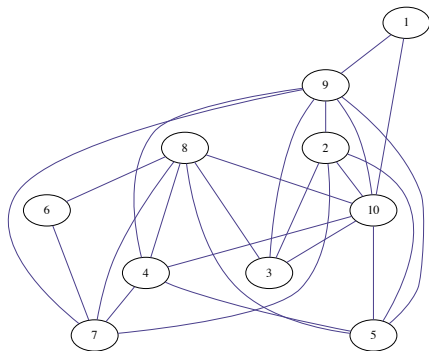
Say you want to cover a network. Some nodes are able to transmit information in the network, but not to all nodes : only the nodes that are close enough.

You need to cover the network, but with the smallest possible number of emitters (because then it is less work).

**Exercice 9 :** How would you formalize this problem with a **graph** ?

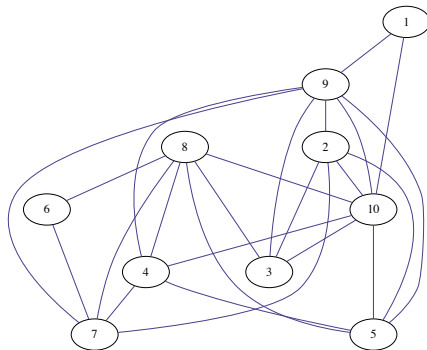
## The dominating set problem

For instance : we want to use the smallest possible number of emitters to cover a network.



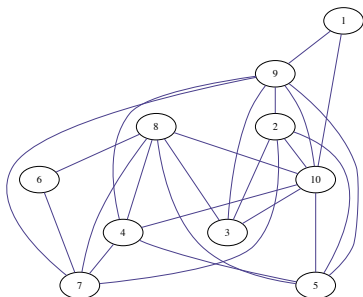
## The dominating set problem

Mathematically speaking : if  $G(V, E)$  is the graph. We look for a **subset of nodes**  $D$  such that **all nodes in the graph** are the neighbor of **at least one node** in  $D$ .



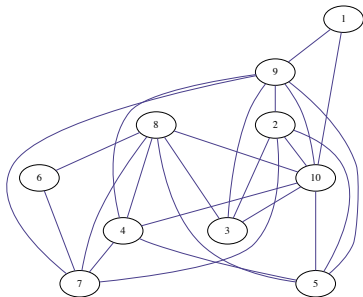
## The dominating set problem

Mathematically speaking : if  $G(V, E)$  is the graph. We look for a **subset of nodes**  $D$  such that **all nodes in the graph** are the neighbor of **at least one node** in  $D$ . And we want to pick the **smallest**  $D$  that works.



## The dominating set problem

What is the most trivial dominating subset ?



## Dominating set : example 1

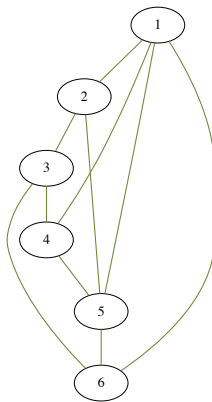


Figure: Some simple graph

## Dominating set : example 1

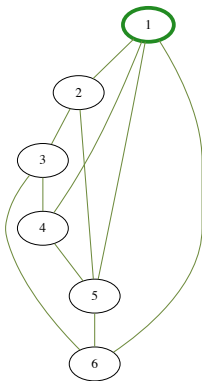


Figure: Is this a dominating subset ?

## Dominating set : example 1

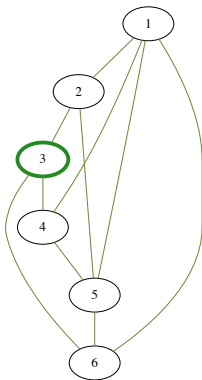


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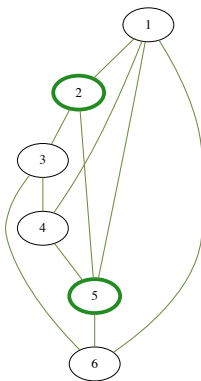


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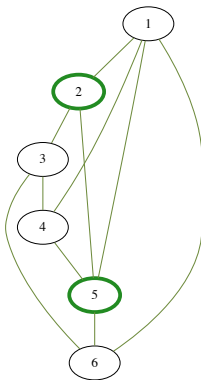


Figure: Concept of minimal dominating set ?

## Dominating set : example 1

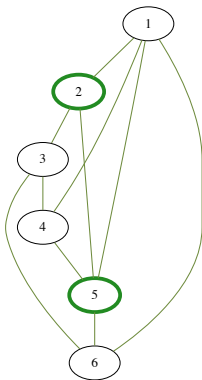
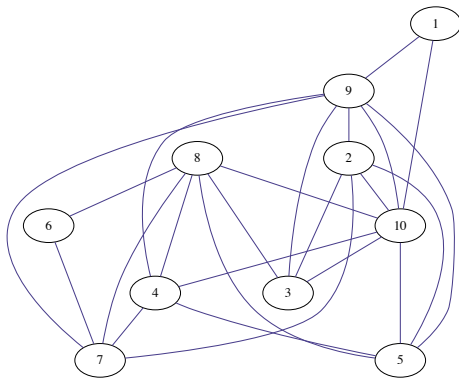


Figure: Is this a dominating subset ? Yes. Is it minimal ?

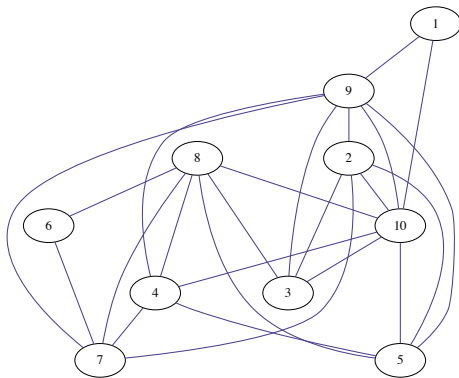
## Dominating set : example 2

Please find a dominating set in this graph.



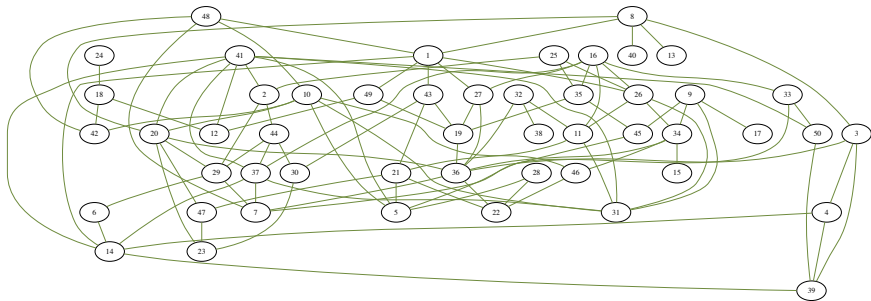
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Please find a **minimal** dominating set in this graph.



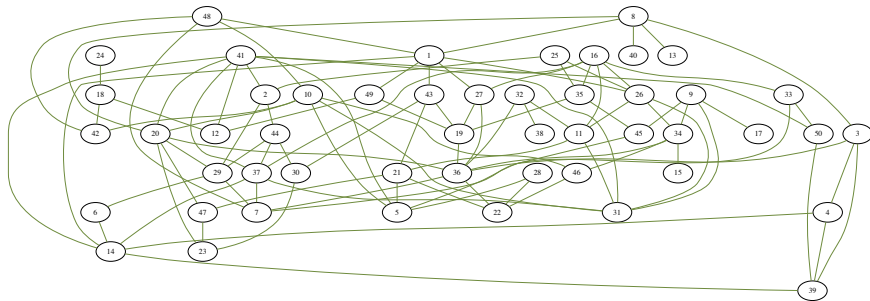
## Dominating set : example 3

Please find a **minimal** dominating set in this graph.



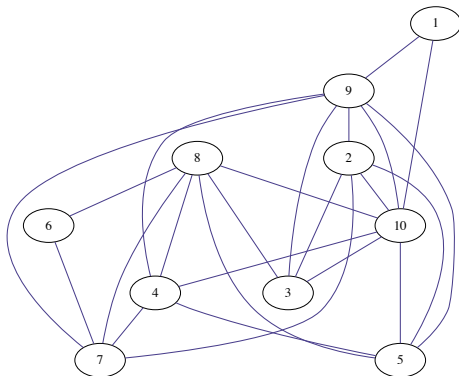
## Dominating set : example 3

Is **minimal** the same thing as minimum ?



## Dominating set : exhaustive search

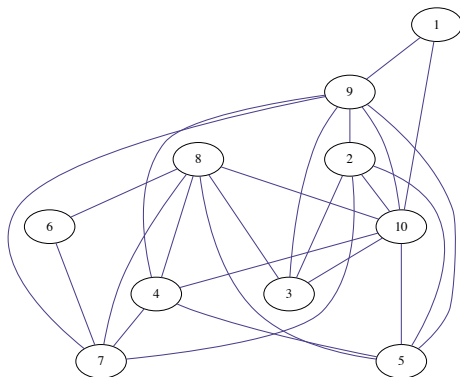
What would be the **exhaustive search** in the case of the Dominating set problem ?





## Dominating set : exhaustive search

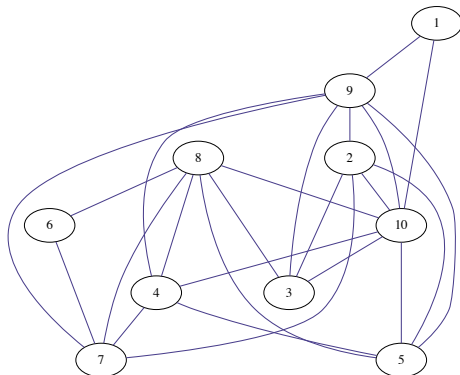
How many possibilities do have to try as a function of  $n$  ?



## Dominating set : exhaustive search

How many possibilities do have to try as a function of  $n$  ?

The number of subsets in  $[1 : n]$  is :

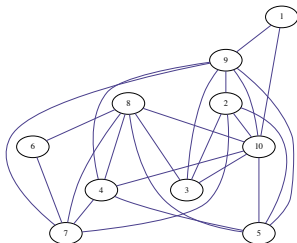


## Dominating set : exhaustive search

How many possibilities do have to try as a function of  $n$  ?

The number of subsets in  $[1 : n]$  is :

$$2^n = \sum_{k=0}^n \binom{n}{k} \quad (19)$$



## Heuristic

Ok so the exhaustive search is no possible. So what method should we use ?

## Heuristic

Ok so the exhaustive search is no possible. So what method should we use ?

Let's build a **greedy algorithm**.

## Greedy algorithm

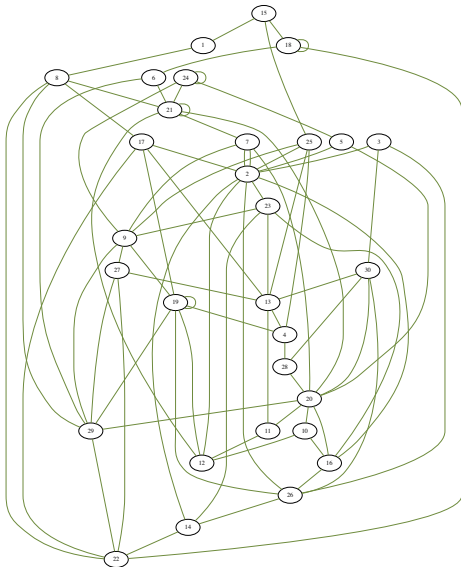
In a graph (unweighted), the **degree of a node** is its number of neighbors.

## dominating set

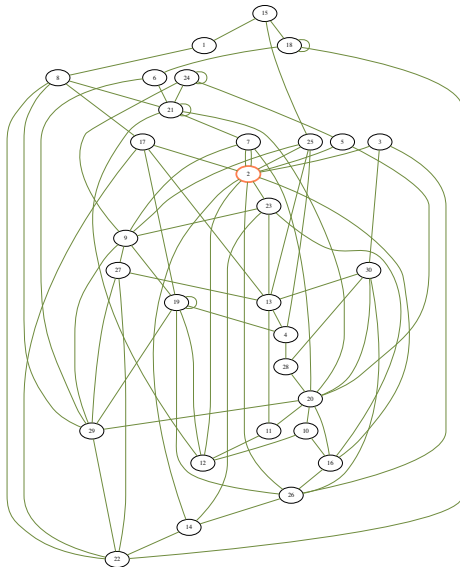
**Exercise 10:** Greedy algorithm implementation

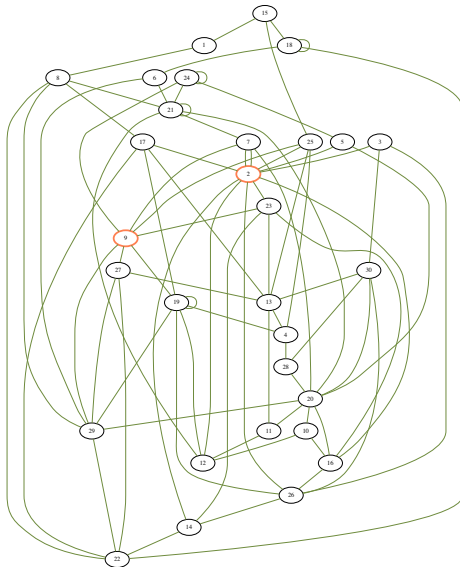
Please modify `./dominating_set_greedy_1.py` to apply the greedy algorithm :

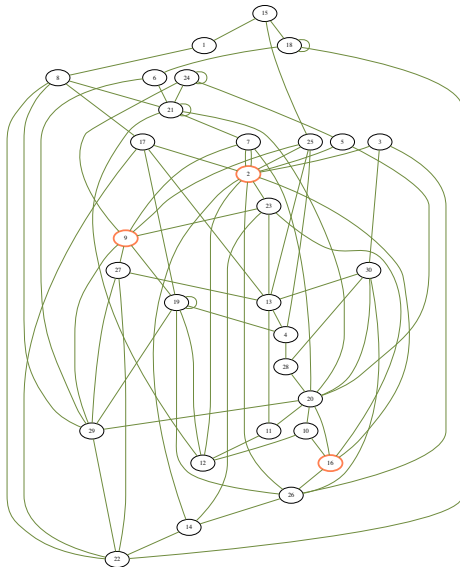
- ▶ sort nodes by degree
- ▶ progressively add the to the set until it's dominating

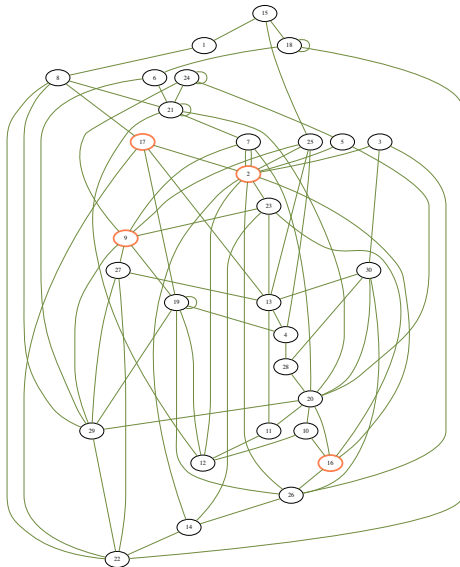


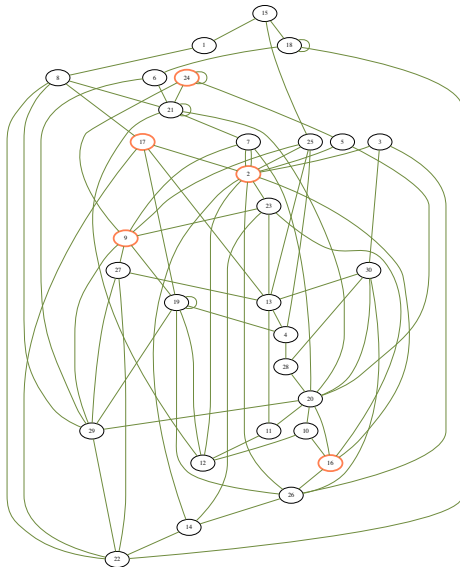


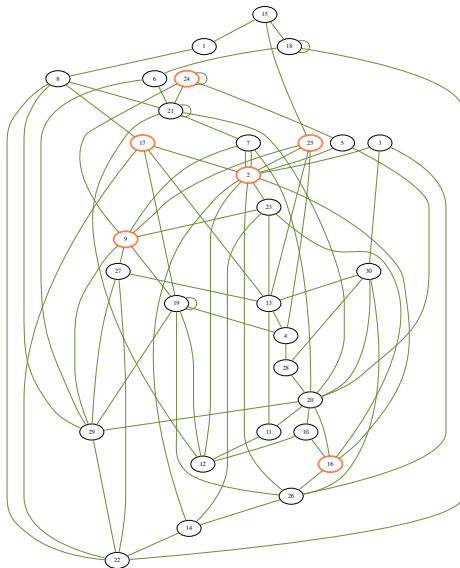


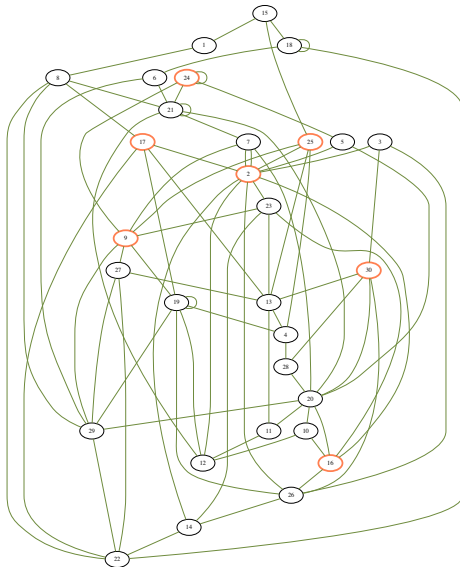


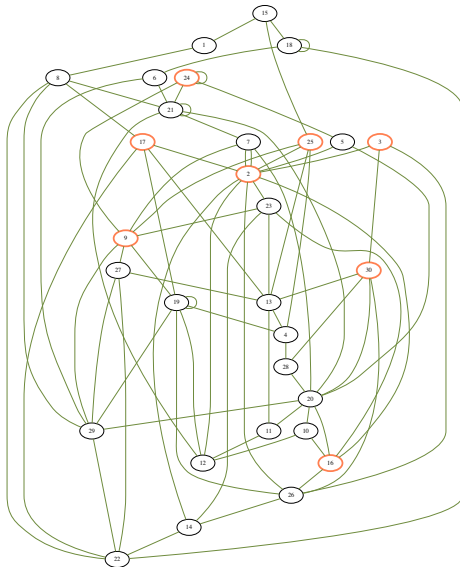




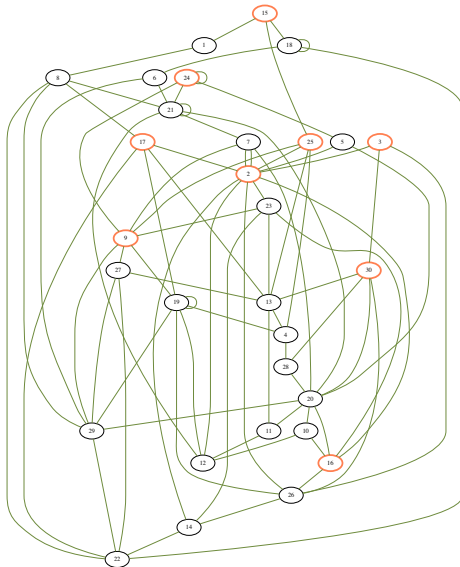


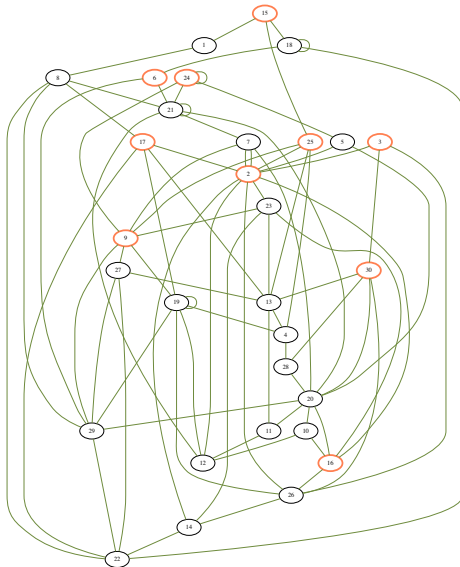












## dominating set

**Exercise 10:** bis : Greedy algorithm implementation

Generate new instances of the problem using **generate\_graph.py** and apply the algorithm to them.

## Complexity

**Exercise 11:** What is the complexity of the greedy algorithm ?

## Variant

**Exercise 12:** Try to see what happens using a variant of the heuristic, where we can add nodes that are already dominated, to the (built) dominating set. Which method is faster ?

You can use **dominating\_set\_alternative.py**

## Variant 2

**Exercise 13:** Implement of another variant where the degrees of the nodes are recomputed after each algorithm step.

## Non optimal greedy algorithm

Let us find an example where the greedy algorithm is clearly not optimal.

## Non optimal greedy algorithm

Let us find an example where the greedy algorithm is clearly not optimal.

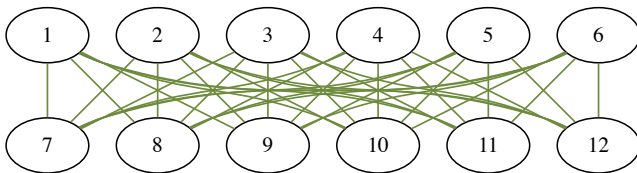


Figure: Complete bipartie graph



## The coloring problem

Say you have a map with different countries. You need to assign a color to each country, so that two countries that have a common border are filled with a different color. We assume that we would like to use a small number of colors (the smaller, the better).

**Exercise 14:** How would you formalize this problem with a graph ?

# The coloring problem

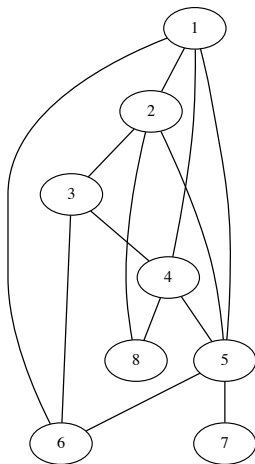
We want to find the smallest number of **fully disconnected subgraph** in a graph.

# The coloring problem

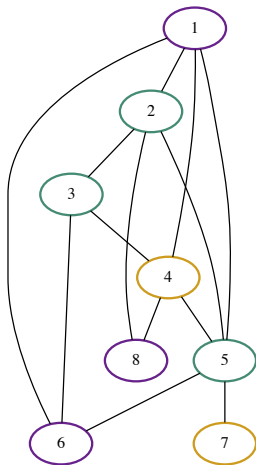
We want to find the smallest number of **fully disconnected subgraph** in a graph.

Each subgraph will be associated with a color.

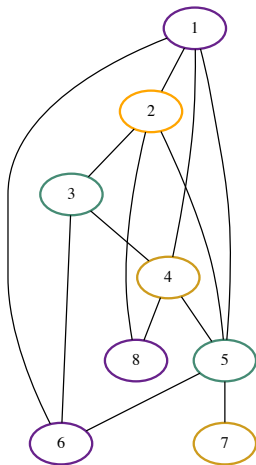
# Coloring



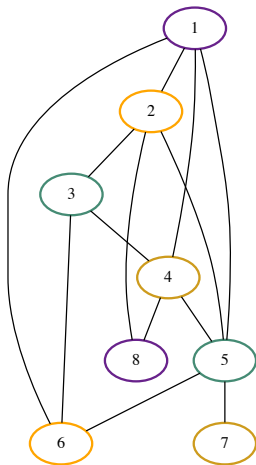
## Is this a coloring ?



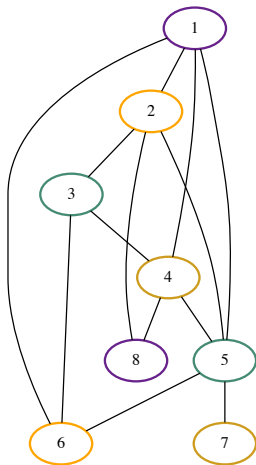
## Is this a coloring ?



Is this a coloring ? yes



Could we have used only 3 colors ?





# Coloring

- ▶ What would be a trivial coloring ?

# Coloring

- ▶ What would be a trivial coloring ? assign a color to each node (very bad solution)
- ▶ Could you think of a heuristic ?

## Other applications

- ▶ Planning activities (color : time in the day)
- ▶ Assigning frequencies (color : frequency)

## Independent set

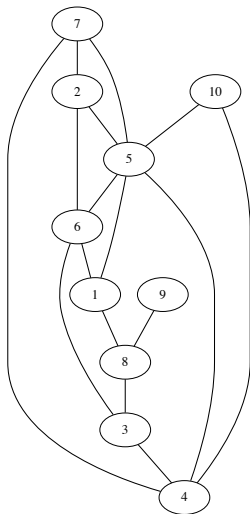
You have a group of people. Some people cannot work with each other. You want to build to largest possible team of people.

**Exercise 15 :** How would you formalize this with a graph ?

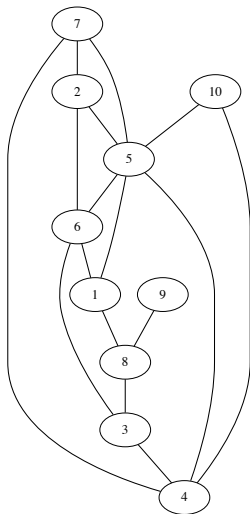
# Independent Set

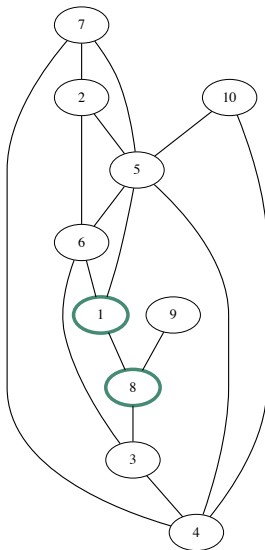
Assuming that an edge represents the fact that two persons cannot work with each other, we want to find **the largest disconnected subgraph**.

# Independent set

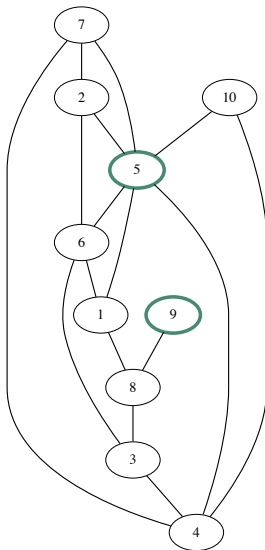


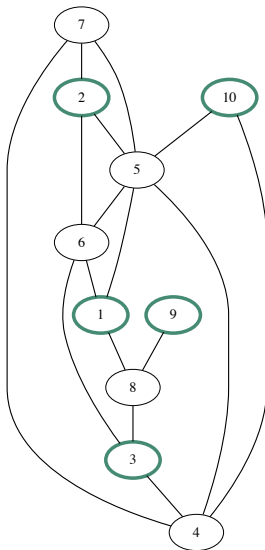
## Independent set : what is a trivial independent set ?



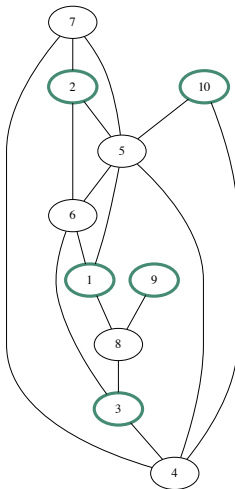








## Maximal vs maximum independent set



## Complexity

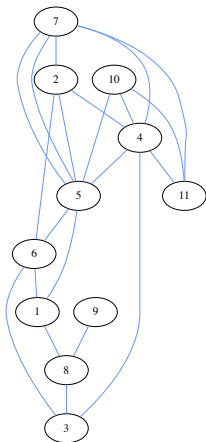
- ▶ Running time of an algorithm is its running time on the worst possible input (instance  $I$ ) it can get (for a given size)
- ▶ Complexity of a problem is the running time of the best possible algorithm for that problem.

$$T(P) = \min_A \max_I T(P, A, I) \quad (20)$$

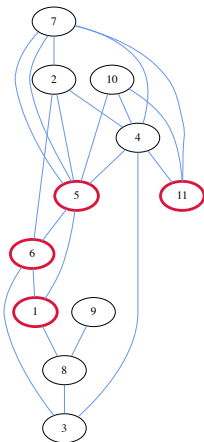
## Equivalence between problems

- ▶ Some problems have the same difficulty because they are equivalent
- ▶ Some are strictly more complex than others
- ▶ Hard problems : Maximum independent set, minimum coloring, smallest dominating set, TSP, etc.
- ▶ Easier problem : Shortest Path

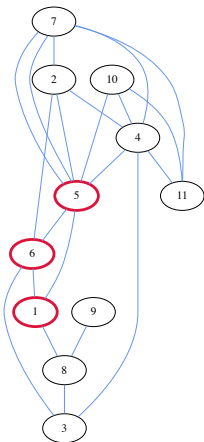
# Maximum clique problem



# Maximum clique problem

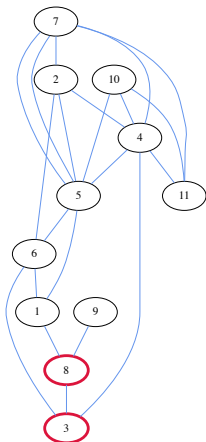


# Maximum clique problem





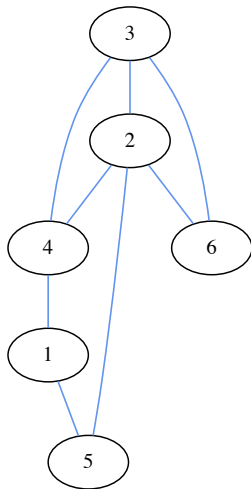
# Maximum clique problem



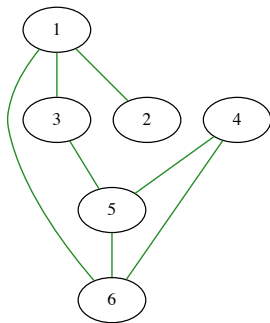
## Equivalence between problems

**Exercise 16:** Can you relate the maximum clique problem to another problem we saw before ?

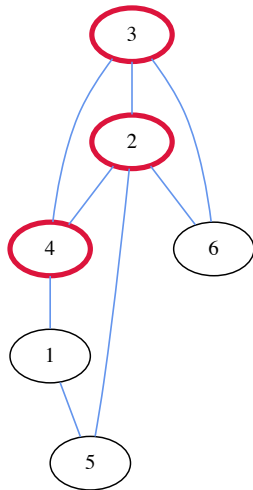
## Linking problems



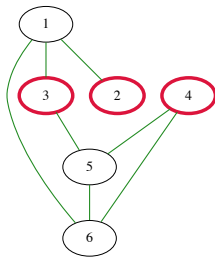
# Linking problems



## Linking problems



## Linking problems



## Polynomial-time reduction

To study a problem, it is sometimes useful to transform it into another.

# Transformation

**Exercise 17:** Please write a program that transforms a graph into its complementary graph (use **clique\_transform.py**)



# Transformation

**Exercise 17:** Please write a program that transforms a graph into its complementary graph (use **clique\_transform.py**) What is the complexity of this operation ? As a function of the number of nodes.

## Dominating set to set covering

- ▶ This is another example of two problems that are equivalent.

## Problems that are not equivalent

- ▶ Eulerian paths and hamiltonian paths

## Classes of complexity

- ▶ Problems have been gathered under **classes of complexity**
- ▶ **P** : we can obtain a solution with polynomial complexity
- ▶ **NP** : we can verify a solution in polynomial time (doesn't mean we can find a solution)
- ▶ **NP hard** : if it is in  $P$ , all  $NP$  problems are in  $P$ .
- ▶ **NP complete** : NP and NP hard

# $P=NP$ ?

