

# Gene sets expression

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## Limits of the gene-wise view of gene expression data

- Pleiotropy is ubiquitous: over-expression of a given gene provides ambiguous information about the underlying biological process
- Biological systems are highly redundant, non expression of a gene may be complemented by another

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## Limits of the gene-wise view of gene expression data

- The gene-wise view focus on large regulation amplitudes but will miss biological processes that involve groups of genes *coordinately* regulated at low levels
- Higher-level biological processes rely on the activity of many genes, not single genes
- Nearly all microarray-derived markers rely on multi-genes signatures

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## Limits of the gene-wise view of gene expression data

- The overlap of gene lists from replicated microarray studies has been notoriously low
- Interpreting lists of 100-1,000 of regulated genes is difficult in practice...
- ...or too easy: one can always make a biological argument by focusing on a subset of the list

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## Limits of the gene-wise view of gene expression data

It make sense to study the collective behavior of  
sets of functionally related genes, but

- What genes sets?
- What statistical procedures?

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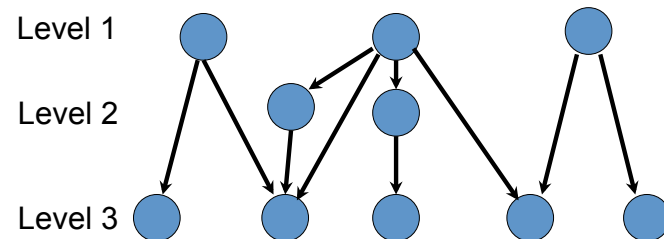
## The Gene Ontology

- "The Gene Ontology project provides a *controlled vocabulary* to describe gene and gene product attributes in any organism"
- It is a gene-centered database of gene function curated by human beings
- See [www.geneontology.org](http://www.geneontology.org)

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## The Gene Ontology: structure

- GO is a *directed acyclic graph*, i.e. a hierarchical structure. It goes from general to specific concepts



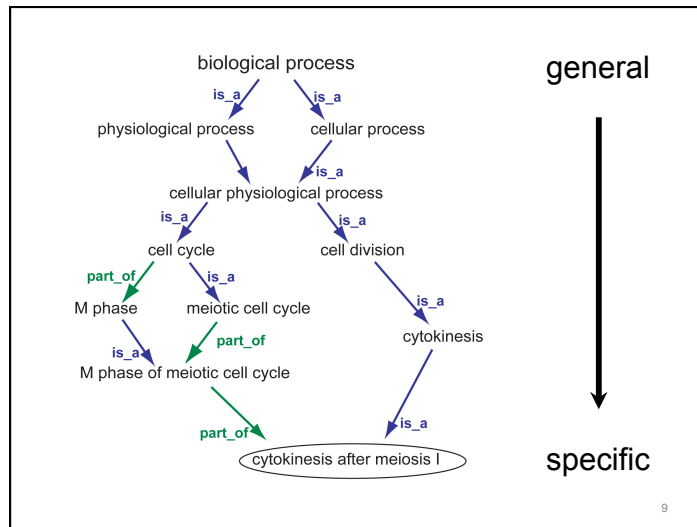
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## The Gene Ontology: main branches

There are three ontologies

- Biological process: cell cycle, apoptosis, oxydative phosphorylation,...
- Molecular function: catalytic activity, transporter,...
- Cellular compartment: nucleus, membrane,...

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## The Gene Ontology: evidence codes

Assignment of genes to GO categories are documented with evidence codes, for example:

- TAS: Traceable Author Statement
- EXP: Inferred from Experiment
- ISS: Inferred from Sequence or Structural Similarity
- Etc.

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## The Gene Ontology: some limits

- Biological functions are hard to describe with verbal language
- Gene functions are context-sensitive
- Assignments are biased by the history of biology research

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## Other gene sets databases

- Signalling pathways (KEGG, Biocarta)
- Chromosome cytobands
- Targets of known transcription factors, miRNAs
- Domains from same proteins
- Protein families
- Sets from high-throughput experiments
- Shared contribution to genetic diseases (from OMIM)
- Neighborhoods of known genes
- Etc.

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## Most available tools operate downstream of gene selection

### The user

1. provides a list of differentially expressed genes from his/her microarray experiments, e.g. the output of SAM
2. (provides a background gene list, e.g. genes present on the microarrays; it's the negative control)
3. selects a collection of functionally characterized gene sets (e.g. some level of the Gene Ontology)

### The statistical procedure

1. measures how each functional gene set overlaps with the user-supplied list of step #1.
2. corrects for multiple testing (many gene sets tested)

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The significance of overlap is estimated with a test of independence in a 2x2 contingency table

	Differentially expressed	Not differentially expressed
In gene set	18	31
Not in gene set	486	11,800

### May use

- $\chi^2$
- hypergeometric test
- etc.,...

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## This very popular approach is flawed and anticonservative

- Biological samples, not genes are replicated in actual microarrays experiments: the power of the test should increase with the number of samples, it is not
- Genes expression levels are not independent variables
  - › Artificially high power due to (inappropriate) gene sampling---there are >20,000 genes
  - › Overstated p-values due to departure from independence

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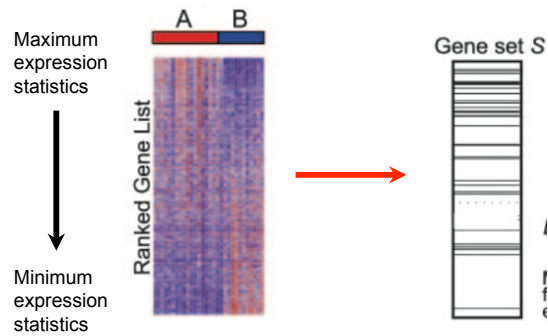
## Gene Set Enrichment Analysis

Subramanian et al. (PNAS, 2005) proposed a method, GSEA, that

- uses patient sampling
- does not require gene expression threshold
- comes with a large collection of experimentally derived gene sets

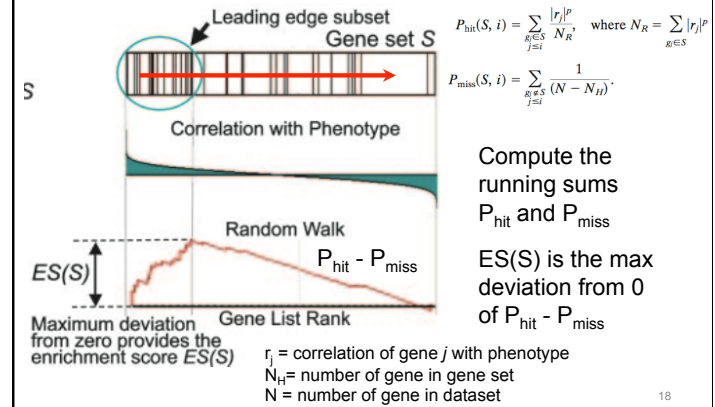
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## Step 1: compute enrichment score



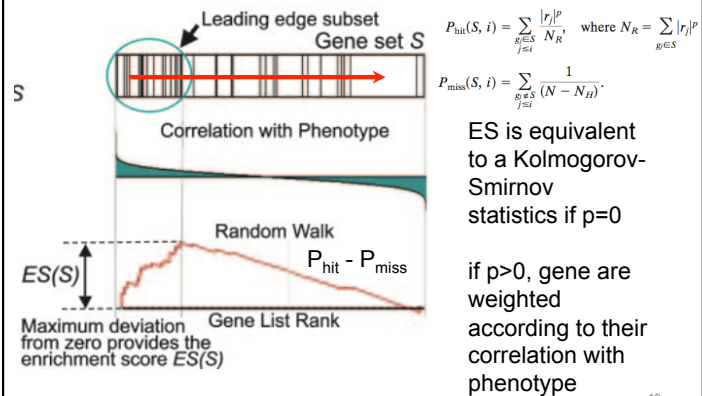
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## Step 1: compute enrichment score



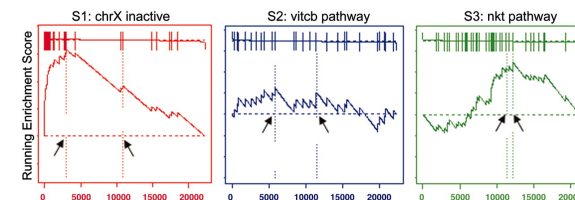
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## Step 1: compute enrichment score



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## Step 1: compute enrichment score



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## Step 2: estimate the significance of ES

Do many times:

1. permute sample labels at random
2. recompute ES

Compute p-values from permutation ES distribution

- › Power does depend on sample size
- › Gene correlation structure is preserved

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## Step 3: Adjust for multiple testing

Once steps 1 and 2 have been run for all gene sets

1. For all gene set  $S$ , adjust its ES for gene set size, by dividing  $ES(S, \text{original})$  and  $ES(S, \text{perm})$  by the mean of  $ES(S, \text{perm})$
2. Compute FDRs from normalized original and permutation ES

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## MSigDB

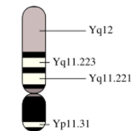
Gene sets from the Broad Institute (check updates)

- C1: cytogenetics
- C2: Functional sets (from experiments)
- C3: Regulatory motifs
- C4: Neighborhood sets
- C5: Gene Ontology
- C6: Oncogenic signatures
- C7: Immunological signatures

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## Control: Male vs. Female Lymphoblastoid cells lines

mRNA profiles from 15 male and 17 female lymphoblastoid cell lines

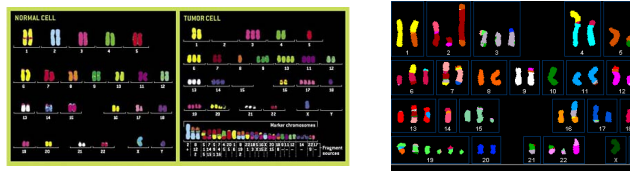


- testing C1 in the male>female comparizon detects chromosome Y, and cytobands Yp11 and Yq11 (the most gene-dense)
- surprisingly, testing C2 yields genes enriched in reproductive organs, testis and uterus, even when analysis is restricted to autosomal genes

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## Application: cytogenetic abnormalities in acute leukemias

- cancer cells often have an abnormal karyotype

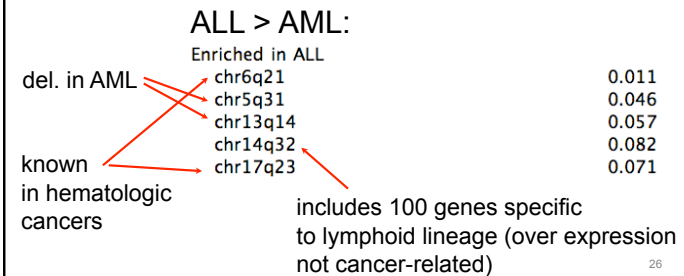


Human chromosomes, metaphase state

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## Application: cytogenetic abnormalities in acute leukemias

- Run GSEA with C1 on 24 acute lymphoid leukemias vs. 24 acute myeloid leukemias



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## Application: p53 status in cell lines

- p53 is mutated in as much as 50% of all human cancers
- it is a transcription factor that regulates genes in response to various cellular stresses, including DNA damage

### Idea

use published NCI-60 cell lines expression profiles (the p53 mutational status of 50 of them has been determined, 17 p53<sup>wt</sup>, 33 p53<sup>mut</sup>)

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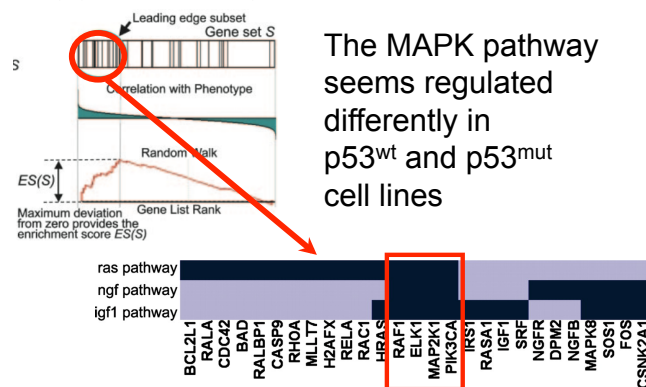
## Application: p53 status in cell lines

### Run C2 gene sets

Enriched in p53 mutant	
Ras signaling pathway	0.171
Enriched in p53 wild type	
Hypoxia and p53 in the cardiovascular system	0.001
Stress induction of HSP regulation	0.001
p53 signaling pathway	0.001
p53 up-regulated genes	0.013
Radiation sensitivity genes	0.078

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## Application: p53 status in cell lines



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## Application: outcome in two lung cancer studies

- Two lung cancer data sets of 62 and 86 primary tumors mRNA profiles
- Patients stratified in 'good' and 'bad' outcome
  - › Gene-wise analysis detects no outcome-related genes at  $q < 0.05$ , in either study
  - › The two top-100 genes lists seems very discordant (intersect of 12 genes)

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## Application: outcome in two lung cancer studies

- S1 = top 100 outcome-related genes in study 1
- S2 = top 100 outcome-related genes in study 2

GSEA finds that

- NES for S1 in study 2 is 1.9,  $p < 0.001$
- NES for S2 in study 1 is 2.1,  $p < 0.001$

Gene sets are more robust than individual genes

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## Application: outcome in two lung cancer studies

study 1

Enriched in poor outcome	
Hypoxia and p53 in the cardiovascular system	0.050
Aminoacyl tRNA biosynthesis	0.144
Insulin upregulated genes	0.118
tRNA synthetases	0.157
Leucine deprivation down-regulated genes	0.144
Telomerase up-regulated genes	0.128
Glutamine deprivation down-regulated genes	0.146
Cell cycle checkpoint	0.216

study 2

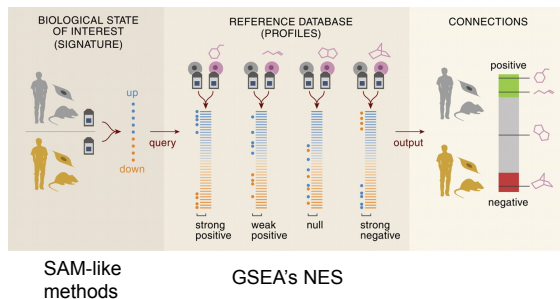
Enriched in poor outcome	
Glycolysis gluconeogenesis	0.006
vegf pathway	0.028
Insulin up-regulated genes	0.147
Insulin signalling	0.170
Telomerase up-regulated genes	0.188
Glutamate metabolism	0.200
Ceramide pathway	0.204
p53 signalling	0.179
tRNA synthetases	0.225
Breast cancer estrogen signalling	0.250
Aminoacyl tRNA biosynthesis	0.229

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## The connectivity map

Lamb et al. (Science, 2006) proposed a map connecting diseases and small molecules (e.g. drugs) via gene expression



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## The connectivity map

The first generation connectivity map

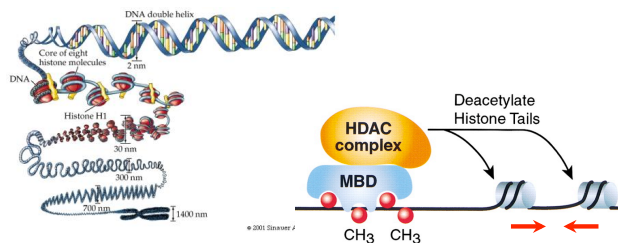
- 164 'perturbagen': FDA-approved drugs
- 4 cell lines: (MCF7, breast cancer; PC3, prostate cancer; HL60, leukemia; SKMEL5, melanoma)
- concentrations: 10 $\mu$ M
- timing: 6-12hrs
- untreated controls for each array batches

564 Affymetrix arrays (U133+, ~22,000 genes), 453 unique experiments

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## The connectivity map: connecting small molecules

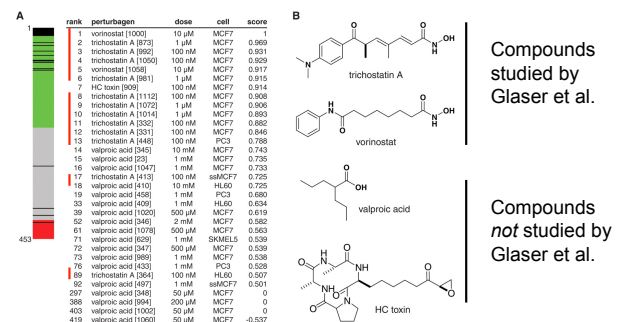
Histone deacetylase (HDAC) are enzymes that promote the binding of histones to DNA, hence the packing of DNA, hence they modulate gene expression



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## The connectivity map: connecting small molecules

Glaser et al. (Cancer Ther., 2003) uncovered 13 genes responsive to 3 HDAC inhibitors in 2 breast cancer cell lines. It can be used to query the cmap:



## The connectivity map: connecting small molecules

Frasor et al. (Cancer Res., 2004) treated MCF7 breast cancer cell lines with 17 $\beta$ -estradiol, the natural estrogen receptor ligand. Their 129 genes signature can be used to query the cmap:

A	rank	perturbagen	dose	cell	score
	2	estradiol [368]	100 nM	MCF7	0.936
	3	estradiol [373]	10 nM	ssMCF7	0.918
	4	genistein [1015]	10 $\mu$ M	MCF7	0.913
	5	estradiol [1079]	10 nM	MCF7	0.899
	6	estradiol [1021]	10 nM	MCF7	0.813
	8	alpha-estradiol [590]	10 nM	MCF7	0.809
	9	alpha-estradiol [403]	10 nM	ssMCF7	0.807
	10	estradiol [414]	10 nM	ssMCF7	0.794
	11	estradiol [121]	10 nM	MCF7	0.758
	12	genistein [1073]	10 $\mu$ M	MCF7	0.753
	13	genistein [638]	10 $\mu$ M	MCF7	0.730
	17	alpha-estradiol [1048]	10 nM	MCF7	0.646
	20	genistein [268]	1 $\mu$ M	MCF7	0.619
	21	estradiol [365]	100 nM	MCF7	0.610
	25	genistein [382]	10 $\mu$ M	MCF7	0.561
	27	genistein [267]	1 $\mu$ M	MCF7	0.552
	46	alpha-estradiol [122]	10 nM	MCF7	0.435
	51	estradiol [387]	10 nM	HL60	0.421
	64	estradiol [782]	10 nM	HL60	0.376
	148	alpha-estradiol [702]	10 nM	PC3	0
	152	genistein [703]	10 $\mu$ M	PC3	0
	162	alpha-estradiol [762]	10 nM	MCF7	0
	278	estradiol [665]	10 nM	PC3	0

B	rank	perturbagen	dose	cell	score
	171	fulvestrant [704]	1 $\mu$ M	PC3	0
	281	fulvestrant [523]	1 $\mu$ M	ssMCF7	0
	447	fulvestrant [367]	1 $\mu$ M	MCF7	-0.749
	450	fulvestrant [310]	10 nM	MCF7	-0.843
	451	fulvestrant [385]	1 $\mu$ M	MCF7	-0.961
	452	fulvestrant [1076]	10 nM	MCF7	-0.989
	453	fulvestrant [1043]	1 $\mu$ M	MCF7	-1

## The connectivity map: connecting small molecules

Connecting molecules is of utmost relevance to toxicology

Major drugs have been recently withdrawn frommarket because of unexpected toxicities (e.g. Merk's painkiller, COX-2 inhibitor, Vioxx)

The European Union REACH regulations require

- proving the innocuity of tens thousands chemicals
- minimize animal testing

## The connectivity map: connections with diseases

Lopez et al. (Obes. Res., 2003) derived a signature from a rat model of diet-induced obesity (expression in adipose tissues)

Differences between  
the two systems:

- tissues vs. cell lines
- 65 days vs. 6hrs
- rat vs. human

rank	perturbagen	dose	cell	score
3	indometacin [452]	100 $\mu$ M	PC3	0.874
4	rosiglitazone [430]	10 $\mu$ M	PC3	0.838
11	troglitazone [462]	10 $\mu$ M	PC3	0.737
20	troglitazone [431]	10 $\mu$ M	PC3	0.696
116	15-delta prostaglandin J2 [446]	10 $\mu$ M	PC3	0

peroxisome proliferation-  
activated receptor  $\gamma$  agonists

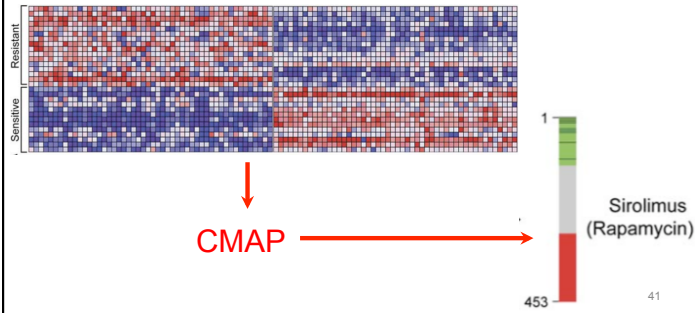
## The connectivity map: connections with diseases

In another publication (Wei et al., Cancer Cell 2006), the cmap was used to investigate the resistance of acute lymphoblastic leukemia to glucocorticoids

- GC is a class of steroid hormones that suppress the immune system
- GC resistance is a marker of bad prognosis in ALL
- Resistance mechanisms are unknown
- Wei et al. investigate apoptosis as a possible mechanism

## The connectivity map: connections with diseases

- Expression analysis of pre-treatment ALL samples
- GC resistance determined according to apoptosis (sensitive IC50 < 150mg/ml prednisolone, resistant otherwise)

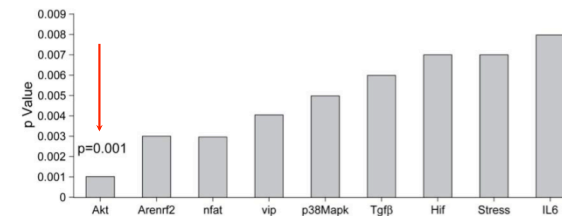


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## The connectivity map: connections with diseases

- Rapamycin is an immunosuppressant
- It inhibits mTOR, which is activated by the PI3K/Akt pathway

GSEA on the sensitive/resistant ALL samples detect the following Biocarta pathways



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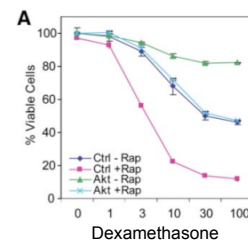
## The connectivity map: connections with diseases

- mTOR is downstream of Akt in some cell types
- Does activation of Akt induce GC resistance?
- If yes, can rapamycin reverse resistance?

T cells were infected with a virus containing a constitutively active form of Akt

Apoptosis was assayed with and without rapamycin

(further molecular biology dissected the details GC and rapamycin action)



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## Strengths of GSEA

### Flexible set up

- Choice of expression metrics
- Choice of gene sets with a virtually infinite range of biological significations
- > Really takes advantage of the universality microarray-derived mRNA phenotypes

### Statistically sound

- sample permutation
- preserve genes correlation structure
- robust investigation of data at metagenes level

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## Criticisms of GSEA

- Kolmogorov-Smirnov-like statistics lacks statistical power, Efron & Tibshirani proposed an alternative (Ann. Appl. Stat., 2007)
- Not clear how it behaves with respect to gene set size
- Crude gene set definition, no sophisticated gene expression directionality, for example

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## CMAP development

- CMAP 2.0 contains profiles of 1,309 FDA-approved compounds
- Library of Integrated Network-based Cellular Signatures (LINCS):
  - 1 million profiles!
  - 1000 genes capturing 80% expression variance
  - 24,413 compounds, 22,119 gene KO and over-expression assays
  - 18 cell types
  - <https://clue.io>

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## LINCS

- L1000: 1000 genes that capture 80% of global gene expression variance across
- So far, L1000 profiles available for
  - ~20,500 compounds
  - ~18,500 gene shRNA inhibitions assays
  - ~3,500 gene overexpression assays
  - In 59 cell lines, 10 primary cultures types,...
  - About  $10^6$  LINCS profiles, overall

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