# Introduction and Using High Frequency SMF 98 and 99 Measurements



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#### **Questions?**

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#### **Abstract**

#### • The Hidden Gold in the SMF 99s

- The SMF 99 records contain a wealth of information related to WLM algorithm decisions. They were originally developed to trace WLM decisions, but over the years they have been expanded to provide insights into HiperDispatch, Capping, Group Capacity Limits, machine topology, and more. Most customers have the SMF 99 WLM decision records turned off due to their high volume. However, there are many reasons to turn these records on during performance debugging and analysis.
- During this presentation, Peter Enrico will provide an introduction to the SMF 99 records, as well as show some very practical uses and a number of performance insights that these records provide.

## EPS presentations this week

What	Who	When	Where
WLM Updates, A Deeper Dive	Peter Enrico	Tue 10:00	102C
z/OS Performance Tuning – Some Top Things You May Not Know	Peter Enrico Scott Chapman	Tue 1:45	106A
Coupling Facility Measurement and Tuning Hints and Tips	Peter Enrico	Wed 11:15	102C
z/OS CPU Measurements Demystified	Scott Chapman	Wed 1:45	102C
Introduction and Using High Frequency SMF 98 and 99 Measurements	Peter Enrico	Thu 10:00	102C
Revisiting WLM Options for the Modern Mainframe	Scott Chapman	Fri 8:30	106A

## z/OS Performance workshops available

#### During these workshops you will be analyzing your own data!

- Essential z/OS Performance Tuning
  - Milwaukee WI, June 10-14, 2019
- Parallel Sysplex and z/OS Performance Tuning
  - Via the internet, July 16-18, 2019
- WLM Performance and Re-evaluating Goals
  - Virginia Beach VA, October 21-25, 2019
- Also, once a month we offer a free z/OS educational webinar
  - Let me know if you want to me on our mailing list

## WLM Measurement Reports Processing/Discussion Offer !!!

- Special Reports Offer!
  - See your WLM records in chart and table format
  - Please contact me, Peter Enrico for instructions for sending raw SMF data
    - Send an email to peter.enrico@epstrategies.com
  - Deliverable: Dozens of WLM based reports (charts and tables)
    - Period setup
    - Performance Index analysis
    - Velocity goal analysis
    - Response time goal analysis
    - Multi-period analysis
    - WLM workload analysis batch, started tasks, DDF, etc.
    - WLM resource analysis (CPU / storage / I/O)
    - And much more!
    - One-on-one phone call to explain your measurements



### Overview of SMF 98 Record

- New SMF record that contains performance information for the z/OS supervisor component about the workload and its significant jobs
  - Includes metrics such as
    - Utilization
    - Concurrency
    - Efficiency
    - Contention and Queuing
- Parameter in SMFPRMxx
  - HFTSINTVL specifies the time interval, in seconds, for writing SMF type 98 records
    - Supported values are 5, 10, 15, 20, 30, and 60 seconds
    - Make sure to also enable TYPE(98)
  - NOHFTSINTVL parameter disables the HFTS interval and prevents the collection of type SMF type 98 records

### Overview of SMF 98 Record cont...

- Examples of measurements include:
  - Processor configuration information, and resultant HiperDipatch pooling
  - Processor utilizations broken down by HiperDispatch pools
  - Insights into latent demand
    - Such as average dispatch per wait (by HiperDispatch pool and engine type)
  - Work unit priority bucket section contains data about work unit priority buckets
    - A priority bucket is a collection of work aggregated across a range of dispatch priorities
  - Spin and suspend lock processing insights
    - Additional insights of contributing address spaces
- Not exactly sure how this data will be used yet
  - But it is sure to provide great insights into processor demands on a much more granular level

## Overview of SMF 99 Subtypes

#### Subtype 1

- System level measurement data used for decision input
- Trace of WLM actions
- Written every 10 seconds (i.e. policy adjustment interval)

#### • Subtype 2

- Service class period measurement data used for decision input
- Written every 10 seconds (i.e. policy adjustment interval)

#### • Subtype 3

- Service class period plot data
- Written every 10 seconds (i.e. policy adjustment interval)

#### Subtype 4

- Service class device cluster information
- Written every 10 seconds (i.e. policy adjustment interval)

#### Subtype 5

- Data about monitored address spaces
- Written every 10 seconds (i.e. policy adjustment interval)

## Overview of SMF 99 Subtypes cont...

#### Subtype 6

- Service class period settings and measurements
- Written every 10 seconds (i.e. policy adjustment interval)

#### Subtype 7

- Enterprise Storage Server ® (ESS) with Parallel Access Volumes (PAVs)
- Written every 30 seconds (i.e. 3 policy adjustment intervals)

#### Subtype 8

- Information about LPAR CPU management
- Written every 10 seconds (i.e. policy adjustment interval)

#### Subtype 9

- Information about dynamic channel path management
- Written every 10 seconds (i.e. policy adjustment interval)

#### • Subtype 10

- Information about dynamic processor speed changes
- Written when speed changes

## Overview of SMF 99 Subtypes cont...

#### • Subtype 11

- Information about Group Capacity Limits
- Written every 5 minutes

#### • Subtype 12

- HiperDispatch interval data
- Written every 2 seconds (i.e. policy adjustment interval)

#### • Subtype 13

HiperDispatch IBM internal use only (so undocumented)

#### Subtype 14

- HiperDispatch topology data
- Written every 5 minutes

### SMF 99 Recommendations

- Consider regularly collecting the following SMF 99 subtypes
  - Subtype 6 Service class period settings and measurements
  - Subtype 11 Information about Group Capacity Limits
  - Subtype 12 HiperDispatch interval data
  - Subtype 14 HiperDispatch topology data
  - Collectively these records typically produce about 40MiB/system/day
  - They contain the most interesting and useful data of the 99s
- Records to collect for problem periods of time, or when doing a study to better understand WLM decision making
  - Subtype 1 System level measurement and trace data used for decisions
  - Subtype 2 Service class period measurement data used for decision input
  - Subtype 3 Service class period plot data
  - Subtype 5 Data about monitored address spaces
  - Then call Peter Enrico and / or Scott Chapman to process with Pivotor

## SMF 99.6

#### SMF 99.6 Overview

#### Subtype 6

- Service class period settings and measurements
- Written every 10 seconds (i.e. policy adjustment interval)
- The purpose of this subtype is to record the WLM controls that are set for for each service class period

#### It is recommended that SMF 99.6 record be turned on

Typically about 40MiB/system/day

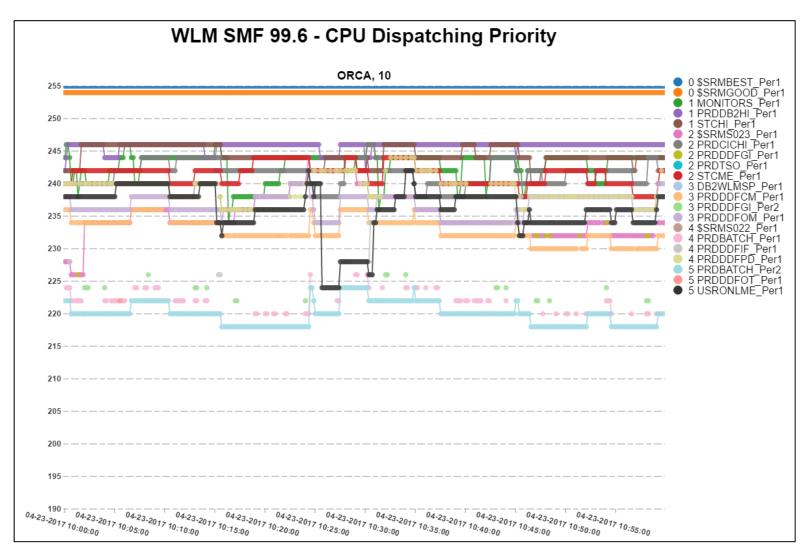
#### Key data in the SMF 99.6 includes

- Service class, service class period, and goal information
- Performance Indexes both local and Sysplex PIs
- CPU and I/O dispatching priorities
- CPU service consumption (CP / zIIP / zAAP)
- MPL in-targets and out-targets
- Storage isolation and protection
- For \$SRMSxxx periods the external service class period(s) served

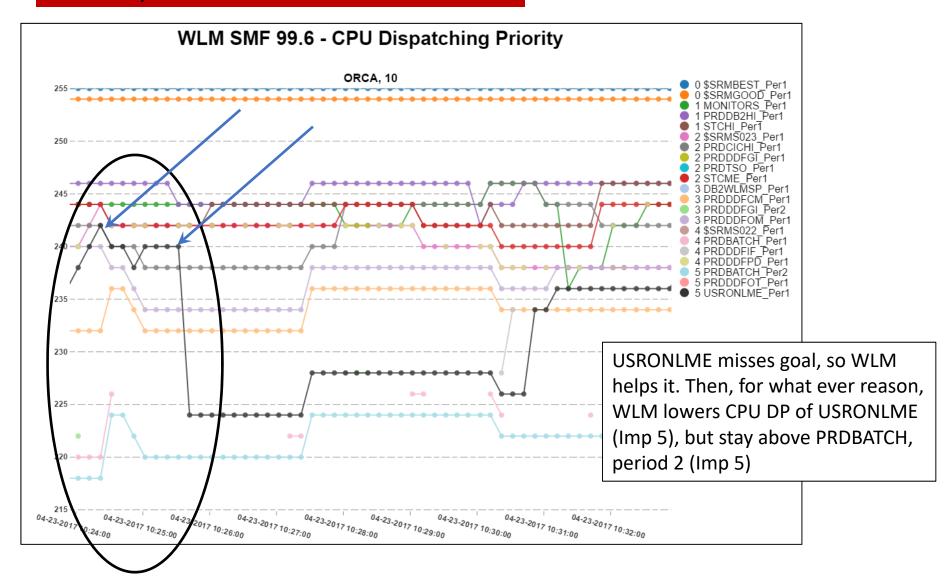
## Using the SMF 99.6 record

- The SMF 99.6 record is helpful for answering the following questions:
  - Over time, what is the assigned dispatching priorities of each service class period?
  - How do the priorities change over time?
  - Relative to the goal value and importance level, is the assign priority as desired?
  - How much service is accumulated by each period every 10 seconds?
  - How much service is accumulated at CPU priorities above, below, and at the priority of the service class period being studied?
  - What is the relationship between the local PI and the Sysplex PI?
    - Is the Sysplex PI delaying WLM from helping a period missing its local PI?
  - What is server / served relationship between external periods and internal periods?
  - What types of protections are in place for large storage intensive workloads?

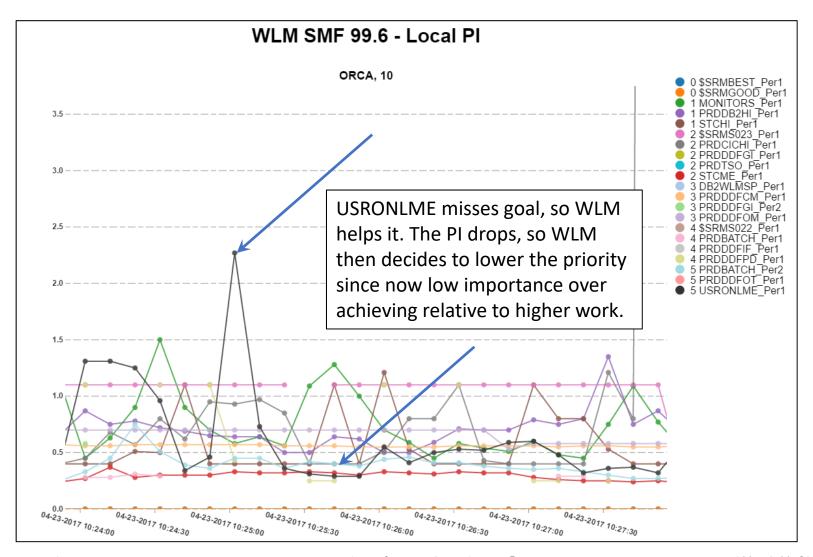
## SMF 99.6 CPU Dispatching Priority



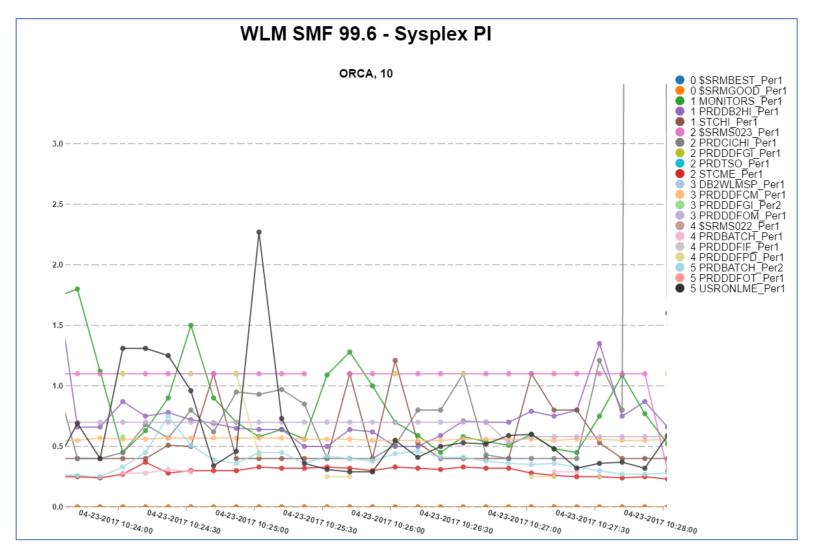
## SMF 99.6 CPU Dispatching Priority



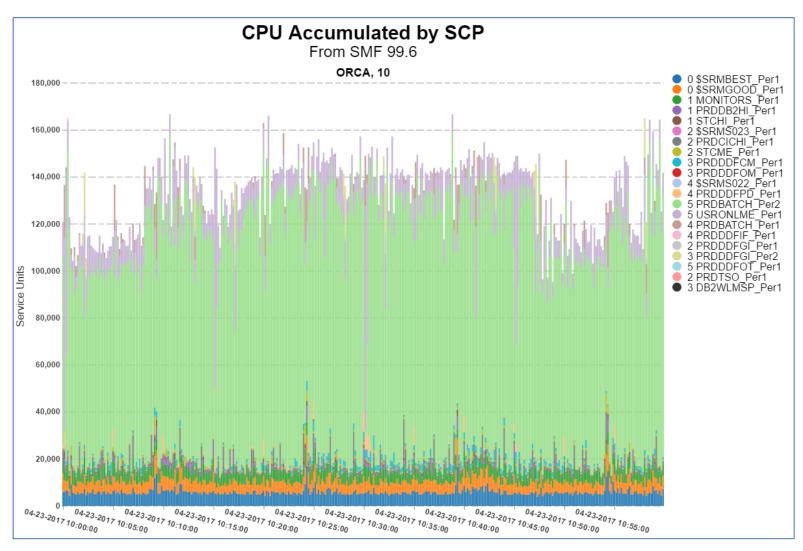
### SMF 99.6 Local PI



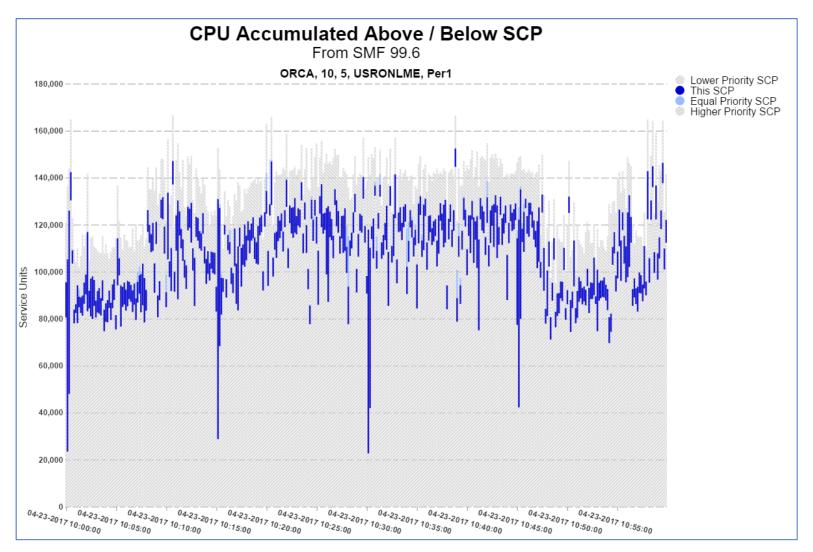
## SMF 99.6 Sysplex PI



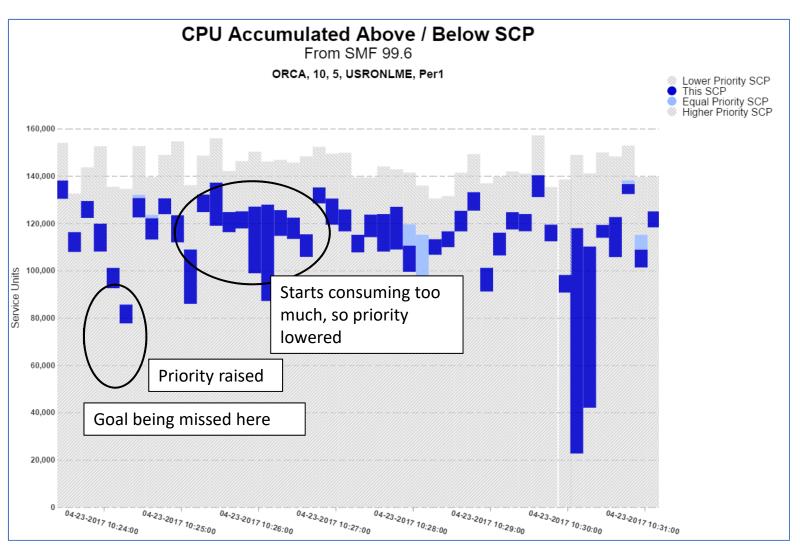
## SMF 99.6 Service Consumed by Period – Every 10 Seconds



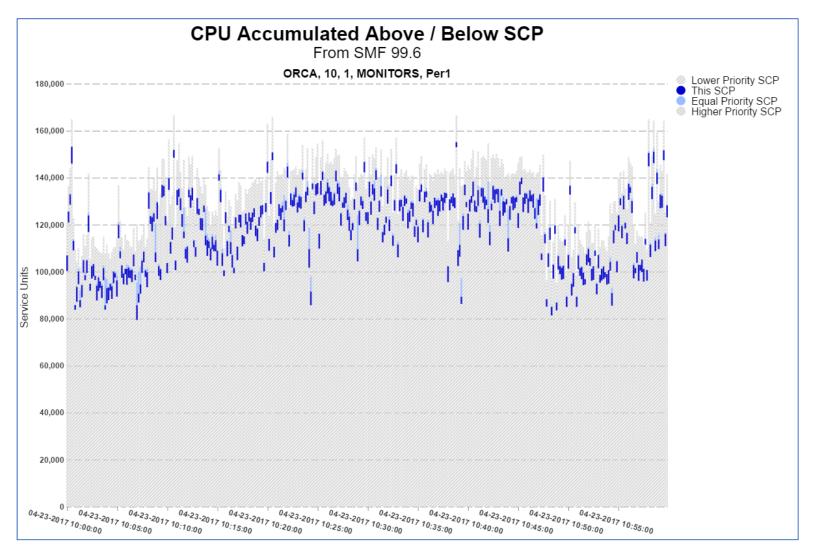
## SMF 99.6 Service Consumed above / below — Every 10 Seconds



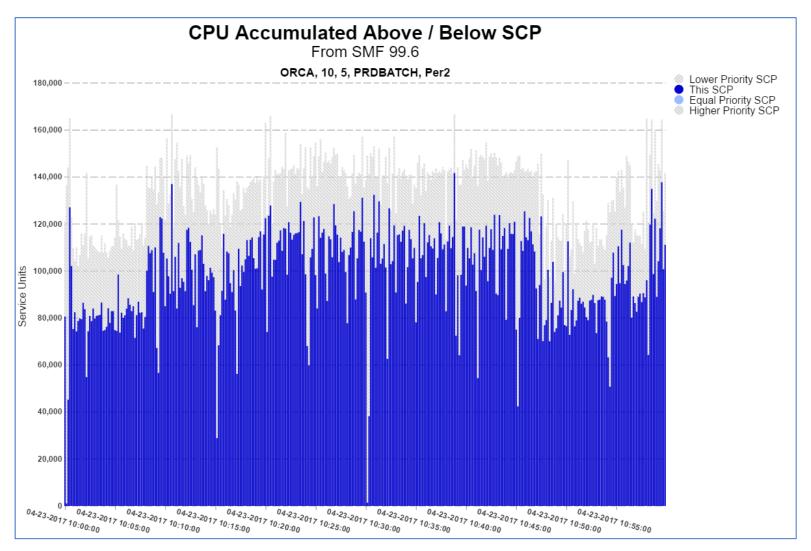
## SMF 99.6 Service Consumed above / below



## SMF 99.6 Service Consumed above / below — Every 10 Seconds



## SMF 99.6 Service Consumed above / below — Every 10 Seconds



## SMF 99.12

#### SMF 99.12 Overview

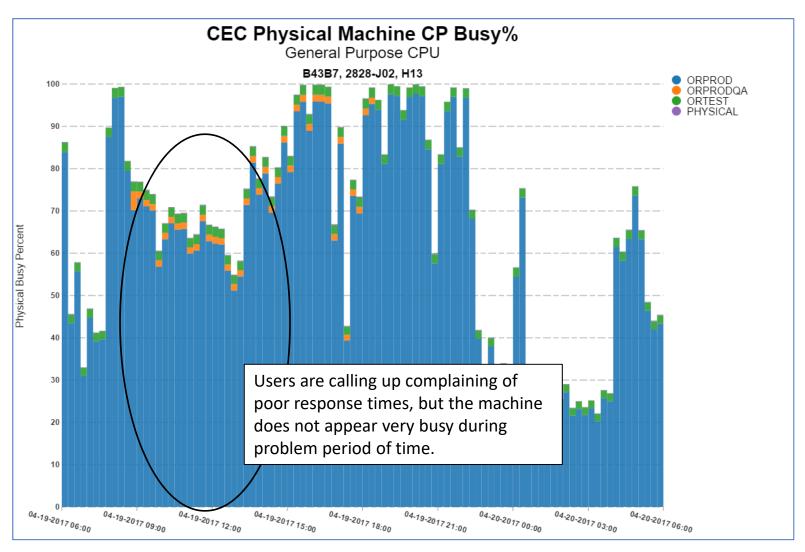
#### Subtype 12

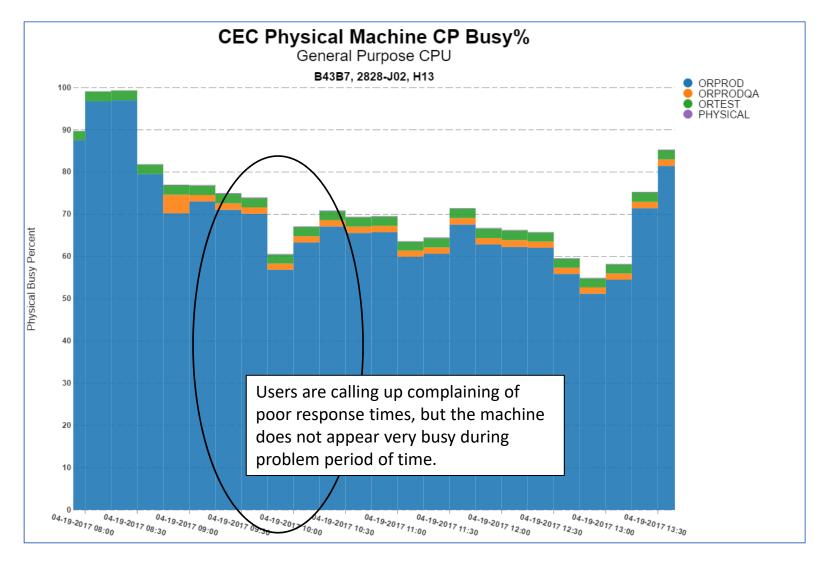
- HiperDispatch interval data
- Written every 2 seconds (i.e. HiperDispatch interval)
- The purpose of this subtype is to record the factors that influence HiperDispatch parking and un-parking of processors
- It is recommended that SMF 99.12 record be turned on
- Key data in the SMF 99.12 includes
  - LPAR level configuration information relevant to HiperDispatch
    - Example: LPAR share, LPAR capacities, SMT enablement, etc.
  - Processor utilizations (current and projected)
  - Pooling of Vertical Highs, Vertical Mediums, Vertical Lows
  - Capacity used / available to each pool (VHs, VMs, VLs)
  - Guaranteed shares to VHs, VMs, VLs
  - CPU displaced by parking and un-parking

## Using the SMF 99.12 record

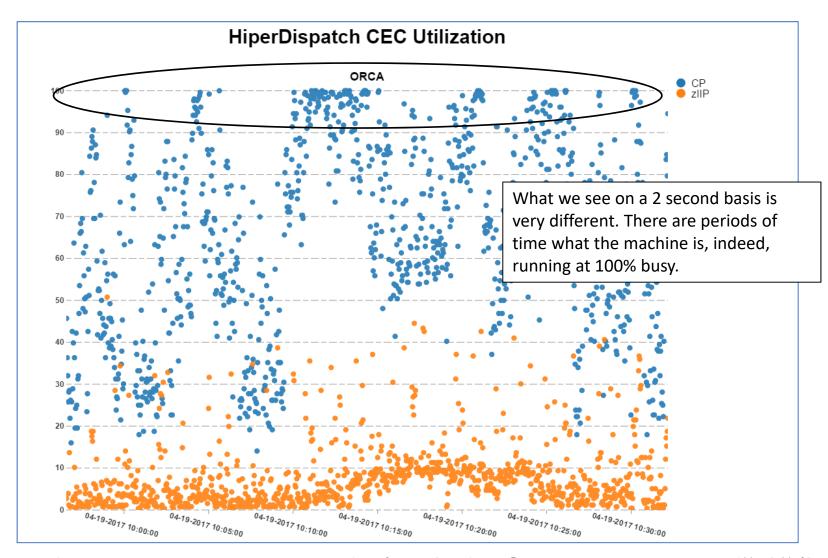
- The SMF 99.12 record is helpful for answering the following questions:
  - Over time, what is the LPAR configuration and did it change?
  - What is the logical processor pooling for the LPAR?
  - Did the pooling change due to a configuration change or due to capping?
  - What is the parking and un-parking of the logical processors?
  - What is the utilization of the processors?
    - Remember, this is every 2 seconds, so much more granularity than SMF 70 data.
  - What may be inhibiting the un-parking of a processor?
  - What are the effects of capping on the decisions of parking and un-parking processors?

## SMF 70 – A look at physical machine utilization

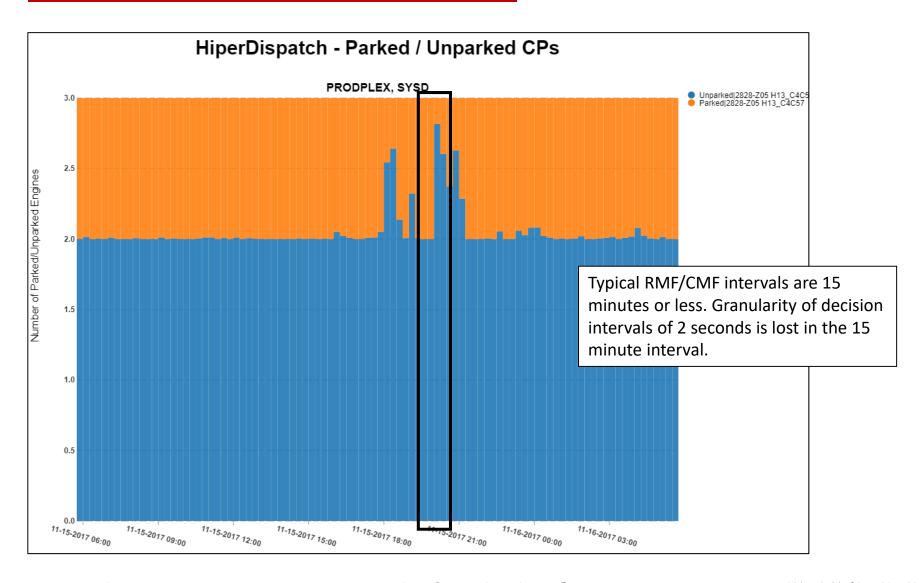




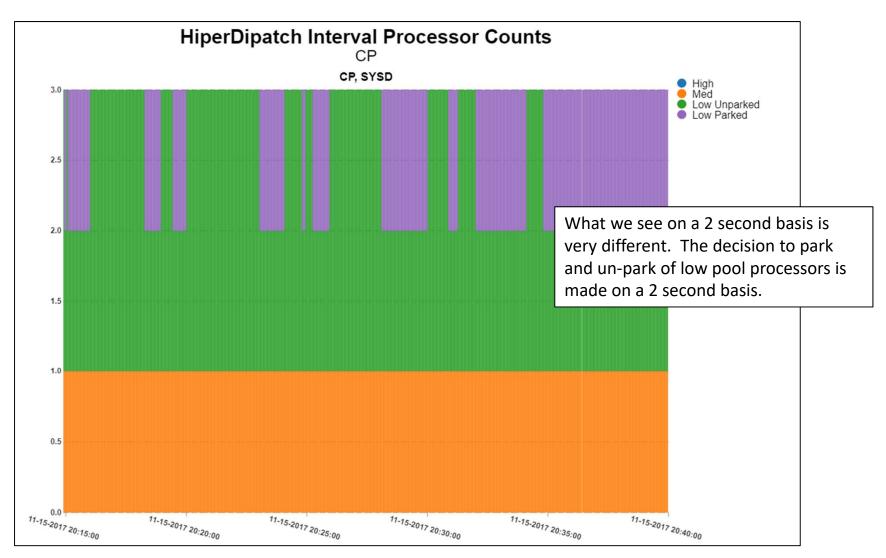
## Granular Viewpoint of CEC Utilization



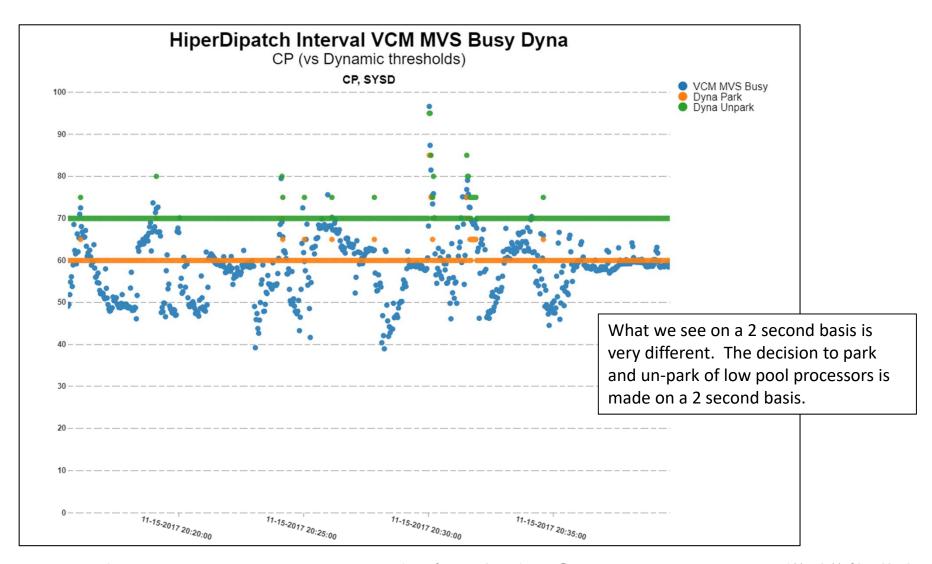
## HiperDispatch Pooling, and Parking/Unparking



## HiperDispatch Pooling, and Parking/Unparking



## HiperDispatch Pooling, and Parking/Unparking



## SMF 99.1

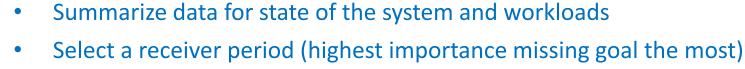
## WLM Algorithm Phases

- There are two primary phases of WLM algorithms
- Policy Adjustment (PA)
  - Done approximately every 10 seconds (AKA 'PA interval')
  - Objectives include:
    - Summarize state of system and resources
    - Help work meet goals by setting resource controls
    - Housekeep resource controls that may be out of date
- Resource Adjustment (RA)
  - Done approximately every 2 seconds (AKA 'RA interval')

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- Objectives include:
  - improve efficiency of system resources
  - avoided if at the expense of goals

## WLM Policy Adjustment – 'The Loop'



- Find the receiver's largest bottleneck
  - Determine fix for receiver's bottleneck
    - Determine if needed resources can be gotten from unused resources
    - Find donor(s) of resource that receiver needs
    - Assess effect of reallocating resources from donor(s) to receivers
    - If allocation has both net and receiver value

Then commit change Else don't make change

- If reallocation was done then jump to Exit and allow change to be absorbed
- If reallocation was not done then try to fix receiver's next largest bottleneck
- If cannot help receiver then look for next receiver (highest importance missing goal the most)
- Exit
  - Housekeep current set of controls

#### Receivers and Donors

#### Receiver

- Service class period to potentially 'receive' resources
- WLM will help only one receiver during each policy adjustment interval
  - Goal Receiver
- Period with goal that needs help
- Resource Receiver
- Period to give the resources to in order to help the goal receiver
- Secondary Receiver
- Period helped indirectly due to an action to help the goal receiver

#### Donor

- Service class period to potentially 'donate' resources to help receiver
- WLM may take from multiple donors during each policy adjustment interval
  - Goal Donor

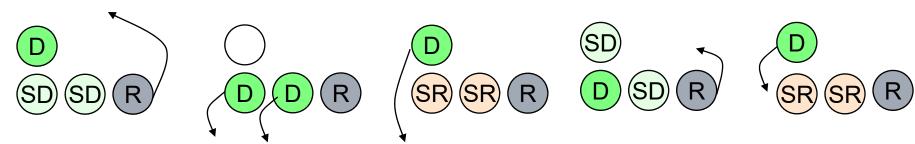
- Period whose goals may be impacted by resource donation
- Resource Donor
- Period to donate resources

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- Secondary Donor
- Period that donates indirectly when receiver is helped

# Policy Adjustment Actions - CPU

- Dispatching priority adjustments
  - Objective: Increase Receiver's CPU using, or decrease Receiver's CPU delay
  - Interesting concepts:
    - Wait-to-Using ratio ratio of CPU delay samples to CPU using samples (change in ratio used to determine change in CPU delay)
    - Maximum demand
      - Theoretical maximum percentage of total processor time a period can consume if it had no CPU delay
    - · Achievable maximum demand
      - Percentage of total processor time a service period is projected to consume, taking into account demand of all higher work
  - Some possible actions



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### PA Loop: Receiver Value Check

#### Receiver Value

- Receiver helped only if there is projected to be sufficient receiver value
  - Designed to reject 'small or marginal improvements'
  - Allows WLM to get on to addressing larger problems for other periods
- Minimum projected improvement to make change worth the effort
  - Projected PI improvement
  - or projected minimum group service increase
  - or some other projected minimum criteria

#### Guideline:

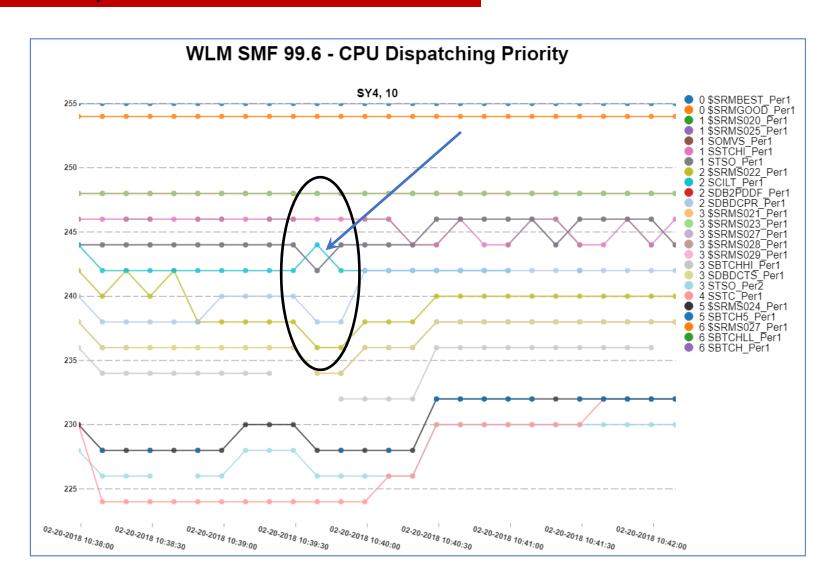
- Projected PI improvement is the larger of (10% of the PI change to meet goal) or (0.05)
- Or Reduction in delay samples is at least half of the largest delay

#### • Example:

- PRODTSO period 1 PI = 3.5
- WLM algorithms suggest improvements can bring PI to 3.46
- Don't take action

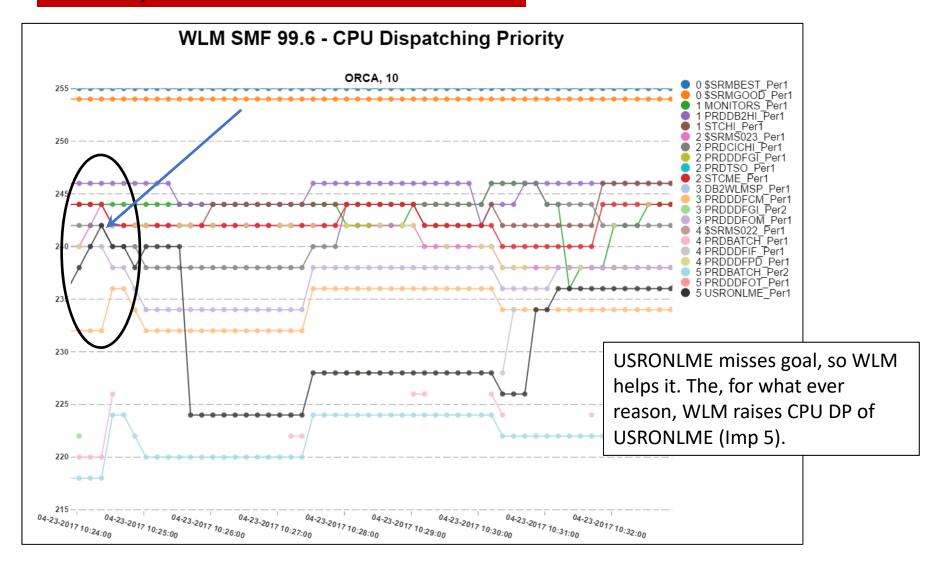
## PA Loop: Net Value Check

- Receiver is only helped by a specific donor if there is projected to be sufficient *net value* 
  - Designed to reject changes that will harm the donor more than the projected improvement to the receiver
  - Allows WLM to assess taking from other donors
- All external service policy specifications are considered for both primary and secondary donors
  - goals
  - importance
  - resource group minimums and maximums
- Example
  - PRODBAT PI = 4.0
  - WLM algorithms suggest improvements can bring PI to 3.0
  - Change hurts donor more then helps receiver



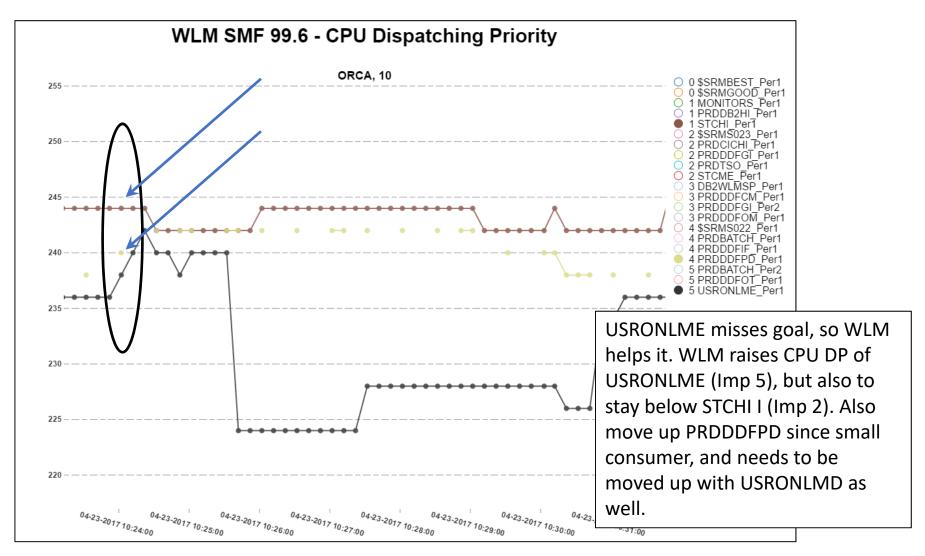
# - Example of WLM Actions Trace

system	Time _	PA Int	RA Int	Code	Code	<u> </u>	Local F	Sysple	Period Service Class
SY4	10:39:39	142	173	270	PA_REC_CAND	Policy adjustment, receiver candidate selected.	50	50	1 SCILT
SY4	10:39:39	142	173	308	PA_DONOR_PERIOD	Policy adjustment, donor period.	0.64	0.94	1 STSO
SY4	10:39:39	142	173	308	PA_DONOR_PERIOD	Policy adjustment, donor period.	0.78	1.09	1 SSTCHI
SY4	10:39:39	142	173	525	HSK_UNBUNCH_PRTY	Housekeeping, unbunch priorities.	0.01	0.14	1 \$SRMS024
SY4	10:39:39	142	173	525	HSK_UNBUNCH_PRTY	Housekeeping, unbunch priorities.	0.01	0.14	1 SBTCH5
SY4	10:39:39	142	173	525	HSK_UNBUNCH_PRTY	Housekeeping, unbunch priorities.	0.5	5.5	1 SOMVS
SY4	10:39:39	142	173	525	HSK_UNBUNCH_PRTY	Housekeeping, unbunch priorities.	0.54	0.9	2 STSO
SY4	10:39:39	142	173	525	HSK_UNBUNCH_PRTY	Housekeeping, unbunch priorities.	0.64	0.94	1 \$SRMS025
SY4	10:39:39	142	173	525	HSK_UNBUNCH_PRTY	Housekeeping, unbunch priorities.	0.64	0.94	1 STSO
SY4	10:39:39	142	173	525	HSK_UNBUNCH_PRTY	Housekeeping, unbunch priorities.	0.74	1.09	1 \$SRMS021
SY4	10:39:39	142	173	525	HSK_UNBUNCH_PRTY	Housekeeping, unbunch priorities.	0.74	1.09	1 SDBDCTS
SY4	10:39:39	142	173	525	HSK_UNBUNCH_PRTY	Housekeeping, unbunch priorities.	0.76	0.97	1 SBTCHHI
SY4	10:39:39	142	173	525	HSK_UNBUNCH_PRTY	Housekeeping, unbunch priorities.	1.1	0.8	1 \$SRMS022
SY4	10:39:39	142	173	525	HSK_UNBUNCH_PRTY	Housekeeping, unbunch priorities.	50	50	1 SCILT
SY4	10:39:39	142	173	525	HSK_UNBUNCH_PRTY	Housekeeping, unbunch priorities.	60	0.85	1 SDBDCPR
						Policy adjustment, assess moving primary			
SY4	10:39:39	142	173	530	PA_PMDO_DON	processor donor down to occupied priority.	0.64	0.94	1 STSO
						Policy adjustment, assess moving primary			
SY4	10:39:39	142	173	530	PA_PMDO_DON	processor donor down to occupied priority.	0.78	1.09	1 SSTCHI
						Policy adjustment, moving the donor to the			
SY4	10:39:39	142	173	531	PA_PCC_DON_VIOLTN	receivers priority violates CPU critical rules.	0.78	1.09	1 SSTCHI
						Policy adjustment, cannot move the blocker up			
SY4	10:39:39	142	173	532	PA_PCC_BLKR_IS_DON	because it is the donor.	0.78	1.09	1 SSTCHI
						Policy adjustment, assess moving secondary			
SY4	10:39:39	142	173	580	PA_PMD_SEC_DON	processor donor down.	0.64	0.94	1 \$SRMS025
						Policy adjustment, assess moving secondary			
SY4	10:39:39	142	173	580	PA_PMD_SEC_DON	processor donor down.	0.78	1.09	1 \$SRMS020
						Policy adjustment, assess moving primary			
SY4	10:39:39	142	173	620	PA_PMUO_REC	processor receiver up to occupied priority.	50	50	1 SCILT
						Policy adjustment, assess moving primary			
						processor receiver up to unoccupied priority			
SY4	10:39:39	142	173	635	PA_PMUUB_REC	between donor and receiver's current priorities.	50	50	1 SCILT
SY4	10:39:39	142	173	750	PA_PRO_INCP_REC	Policy adjustment, increase priority for receiver.	50	50	1 SCILT
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# - Example of WLM Actions Trace

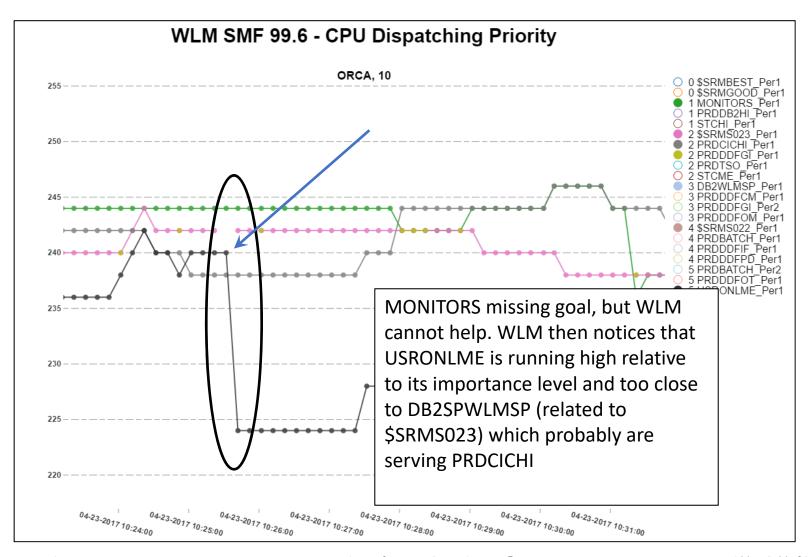
SMFDateTime	PA Inteval	RA Interval	Trace Code	Code	Job 💌	Local PI	Sysplex PI	Service Class	Period <u></u>
4/23/17 10:24:03 AN	л <u>175</u>	124	270	PA_REC_CAND		131	131	USRONLME	1
4/23/17 10:24:03 AN	<i>l</i> 175	124	975	PA_SDO_DONFAIL_SPC		110	110	PRDDDFGI	1
4/23/17 10:24:03 AN	л 175	124	975	PA_SDO_DONFAIL_SPC		70	70	PRDDDFOM	1
4/23/17 10:24:03 AN	/ 175	124	975	PA_SDO_DONFAIL_SPC		27	27	STCME	1
4/23/17 10:24:03 AN	л 175	124	975	PA_SDO_DONFAIL_SPC		110	110	PRDDDFPD	1
4/23/17 10:24:03 AN	л 175	124	308	PA_DONOR_PERIOD		40	40	STCHI	1
4/23/17 10:24:03 AN	л 175	124	880	PA_PRO_RDON_CAND		40	40	STCHI	1
4/23/17 10:24:03 AN	/ 175	124	620	PA_PMUO_REC		131	131	USRONLME	1
4/23/17 10:24:03 AN	л 175	124	620	PA_PMUO_REC		131	131	USRONLME	1
4/23/17 10:24:03 AN	л 175	124	620	PA_PMUO_REC		131	131	USRONLME	1
4/23/17 10:24:03 AN	<i>l</i> 175	124	651	PA_PMU_SPC_NXT_DP		110	110	PRDDDFPD	1
4/23/17 10:24:03 AN	<i>l</i> 175	124	940	PA_PRO_UNC_DON		40	40	STCHI	1
4/23/17 10:24:03 AN	л 175	124	940	PA_PRO_UNC_DON		40	40	STCHI	1
4/23/17 10:24:03 AN	/ 175	124	940	PA_PRO_UNC_DON		40	40	STCHI	1
4/23/17 10:24:03 AN	/ 175	124	740	PA_PRO_INCP_DON		110	110	PRDDDFPD	1
4/23/17 10:24:03 AN	л 175	124	740	PA_PRO_INCP_DON		110	110	PRDDDFPD	1
4/23/17 10:24:03 AN	<i>l</i> 175	124	740	PA_PRO_INCP_DON		110	110	PRDDDFPD	1
4/23/17 10:24:03 AN	<i>l</i> 175	124	780	PA_PRO_INCP_SC		110	110	PRDDDFPD	1
4/23/17 10:24:03 AN	<i>I</i> 175	124	780	PA_PRO_INCP_SC		110	110	PRDDDFPD	1
4/23/17 10:24:03 AN	л 175	124	780	PA_PRO_INCP_SC		110	110	PRDDDFPD	1
4/23/17 10:24:03 AN	л 175	124	750	PA_PRO_INCP_REC		113	113	USRONLME	1
4/23/17 10:24:03 AN	л 175	124	750	PA_PRO_INCP_REC		113	113	USRONLME	1
4/23/17 10:24:03 AN	/ 175	124	750	PA_PRO_INCP_REC		113	113	USRONLME	1



## - Example of WLM Actions Trace

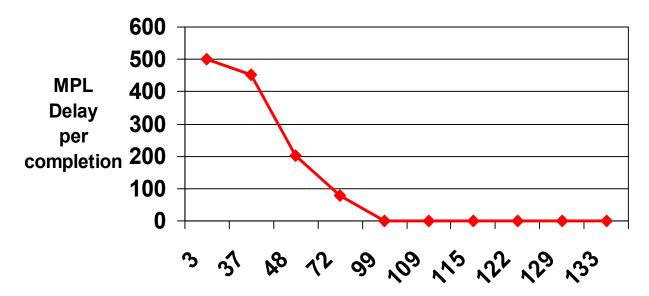
SMFDateTime	PA Inteval	RA Interval	Trace Code	Code	Local PI	Sysplex PI	Service Class	Period
4/23/2017 10:25:43	185	174	270	PA_REC_CAND	128	128	MONITORS	1
4/23/2017 10:25:43	185	174	975	PA_SDO_DONFAIL_SPC	64	64	PRDDB2HI	1
4/23/2017 10:25:43	185	174	850	PA_PRO_RDON_CAND	128	128	MONITORS	1
4/23/2017 10:25:43	185	174	9348	PA_LMP_SKIPPED	128	128	MONITORS	1
4/23/2017 10:25:43	185	174	9301	PA_PPP_POT_REC	3000	3000	DB2WLMSP	1
4/23/2017 10:25:43	185	174	9301	PA_PPP_POT_REC	3000	3000	DB2WLMSP	1
4/23/2017 10:25:43	185	174	9301	PA_PPP_POT_REC	3000	3000	DB2WLMSP	1
4/23/2017 10:25:43	185	174	9300	PA_PPP_DECP_DON	29	29	USRONLME	1
4/23/2017 10:25:43	185	174	9300	PA_PPP_DECP_DON	29	29	USRONLME	1
4/23/2017 10:25:43	185	174	9300	PA_PPP_DECP_DON	29	29	USRONLME	1

- Select receiver MONITORS
- Select donor fail since a small CPU consumer PRDDB2HI
- Keep looking for a resource donor
- Attempt LPAR weight management, but failed since some condition was not met
- Severe delays noted for DB2WLMSP
- Detected and lower priority of USRONLME since low importance and severe delays



#### **Plots**

- Plots used to track how well work is being processed
- Some of the plots include
  - System Paging Delay Plot
  - Period MPL Delay Plot
  - Period Ready User Average Plot
  - Queue Delay Plot
  - Period Paging Rate Plot
  - Proportionate Aggregate Speed Plot
  - Address Space Paging Plot



#### % Ready Users that have MPL Slots Peter Enrico: www.epstrategies.com

#### MPL Plot Example:

- shows how response time may improve by increasing MPL slots
- shows how response time may degrade by reducing MPL slots

## Individual Address Space Monitoring

- The granularity of most WLM controls are at the service class period level
  - But some address spaces may have individual storage policies
  - That is, an address space may have a storage policy separate and different from all other address spaces in its own period
- For an address space to have an individual address space storage policy it must be monitored separately for a period of time by WLM
  - WLM only monitors address space if finds 'interesting'
    - Example: if an address space is using a lot of storage
- Address spaces eligible for monitoring and individual storage policies include
  - Address spaces assigned a velocity goal
  - Address space assigned a discretionary goal
  - Address space is found to be a server
    - Example: CICS transaction management turned on, so a CICS address space is eligible

Peter Enrico: www.epstrategies.com

Address space is assigned a response time goal of greater than 20 seconds

## Policy Adjustment Actions - I/O Priority

#### • I/O Priority

- Set similar to the way CPU dispatch priority is set
- Donor must be competing with receiver for at least some of devices or action will have no effect
- Device Clustering
  - WLM needs to be aware of periods competing for same devices
  - Device clustering is used to determine this relationship
    - Each class associated with a single cluster

Service	Dev						
Class	200	201	202	500	501	502	503
Class 1	100	150	150	0	0	0	0
Class 2	0	90	100	0	0	0	0
Class 3	0	100	100	5	0	0	0
Class 4	0	0	0	100	100	100	100
Class 5	0	0	0	0	150	0	150

#### **Device Clusters:**

- Cluster 1 = 1,2,3
- Cluster 2 = 4.5