

# Symplectic Structure, Geometric Invariant Theory and Scaling Problem

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# 1 Introduction

Considering a complex reductive Lie group  $G = K_{\mathbb{C}}$  acting linearly on a finite-dimensional complex vector space  $V$  equipped with a  $K$ -invariant inner product, there is a canonical symplectic structure on  $V$  such that the induced action of  $K$  on  $V$  is Hamiltonian.

Moreover, by the symplectic reduction [MW74], the projective space  $\mathbb{P}(V)$  can be equipped with a canonical symplectic form  $\omega_{FS}$ , called the *Fubini-Study form*.  $G$  acting on  $V$  induces  $G$  acting on  $\mathbb{P}(V)$  and the action of  $K$  on  $\mathbb{P}(V)$  is also a Hamiltonian action with the moment map  $\mu: \mathbb{P}(V) \rightarrow \mathfrak{k}^*$ . The image of  $\mu$  has some convexity properties. If  $K$  is commutative, then by the Atiyah–Guillemin–Sternberg convexity theorem [Ati82; GS82],  $\text{Im } \mu$  is a convex polytope in  $\mathfrak{k}^*$ . For the noncommutative case, by Guillemin and Sternberg [GS84],

$$\mathcal{P}_v = \mu(\overline{G \cdot [v]}) \cap \mathfrak{t}_+^*$$

is a convex polytope, called the *moment polytope*, where  $\mathfrak{t} = \text{Lie}(T)$  for a maximal torus  $T$  in  $K$  and  $\mathfrak{t}_+^* \subset \mathfrak{k}^*$  is a closed positive Weyl chamber.

In the invariant theory, it is important to determine if  $0 \in \overline{G \cdot v}$  when giving a  $v \in V$  because  $0 \in \overline{G \cdot v}$  if and only if all invariant polynomials cannot separate  $v$  and  $0$ . If  $0 \in \overline{G \cdot v}$ , then  $v$  is called in the null cone. By the Hilbert-Mumford criterion [MFK94],  $v$  is in the null cone if and only if there is a one-parameter subgroup  $\lambda: \mathbb{C}^* \rightarrow G$  s.t.

$$\lim_{t \rightarrow 0} \lambda(t) \cdot v = 0$$

When considering the symplectic structure on  $V$  and  $\mathbb{P}(V)$  and  $G$  acting on  $V$  and  $\mathbb{P}(V)$ , by the Kempf-Ness theorem [KN79], there is a dual statement of Hilbert-Mumford criterion. For  $v \in V \setminus \{0\}$  and let  $x = [v] \in \mathbb{P}(V)$ ,

$$0 \notin \overline{G \cdot v} \Leftrightarrow \overline{G \cdot x} \cap \mu^{-1}(0) \neq \emptyset$$

and such  $x$  in  $\mathbb{P}(V)$  is called  $\mu$ -semistable i.e. there exists a  $y \in \overline{G \cdot x}$  s.t.  $\mu(y) = 0$ . Moreover, for the Hamiltonian action  $K \curvearrowright \mathbb{P}(V)$  and  $x \in \mathbb{P}(V)$ , there is a Kempf-Ness function  $\Phi_x: G/K \rightarrow \mathbb{R}$  that has many nice properties. For example, when equipping  $G/K$  with a canonical Riemannian metric,  $\Phi_x$  is geodesically convex. And  $x$  is  $\mu$ -semistable if and only if  $\Phi_x$  is bounded below. [Bür+19] applied the properties of  $\Phi_x$  to get an equivalent definition of the moment map and then to obtain two algorithms to solve this problem.

The *Scaling problem* is considering  $G \curvearrowright V$  and  $G \curvearrowright \mathbb{P}(V)$  with the moment map  $\mu$  and  $v \in V$ , determine if there is a  $w \in \overline{G \cdot v}$  s.t.  $\mu(w) = 0$  ( $\mu$  can be lifted on  $V$  by its definition). For example, if  $G = ST(n) \times ST(n)$  acts  $V = M(n, \mathbb{C})$  by

$$(A, B) \cdot X := AXB$$

where  $ST(n)$  is the set of  $n \times n$  diagonal matrices with determinant 1, then the scaling problem is equivalent to the doubly stochastic scaling problem for matrices.

If  $G = SL(n, \mathbb{C}) \times SL(n, \mathbb{C})$  acts  $V = M(n, \mathbb{C})^{\oplus m}$  by

$$(A, B) \cdot (X_1, \dots, X_m) := (AX_1B, \dots, AX_mB)$$

then the scaling problem is corresponding to the operator scaling problem.

## 2 Lie Groups and Representations

### 2.1 Lie groups and Lie Algebras

**Definition 1.** (1)  $G$  is a Lie group if it is a group and a smooth manifold such that the following maps are smooth

$$\begin{array}{ccc} G \times G & \rightarrow & G, \\ (g, h) & \mapsto & gh \end{array} \quad \begin{array}{ccc} G & \rightarrow & G \\ g & \mapsto & g^{-1} \end{array}$$

(2)  $H \subset G$  is a Lie subgroup if  $H$  is a subgroup with smooth structure s.t. the inclusion map  $i: H \hookrightarrow G$  is an immersion.

*Remark.* For  $H \subset G$  is a subgroup, then  $H$  is closed if and only if  $H$  is a (regular) submanifold of  $G$ . So any closed subgroup is a Lie subgroup.

**Theorem 2.** Let  $G$  be a connected commutative group. Then there is a Lie group isomorphism

$$G \simeq \mathbb{T}^m \times \mathbb{R}^n$$

where  $\mathbb{T}^m = S^1 \times \cdots \times S^1$  is an  $m$ -torus.

**Corollary 3.** Any connected commutative compact group  $G \simeq \mathbb{T}^m$  for some  $m \in \mathbb{N}$ .

Let  $X \in \mathfrak{g} := T_e G$ . Define the left-invariant vector field  $v_X \in \text{Vect}(G)$  as

$$v_X(g) := T_e l_g X \in T_g G$$

where  $l_g: G \rightarrow G$  as  $l_g(h) = gh$ . Then for any  $X$ , since  $v_X$  is left-invariant, there is a unique complete integral curve  $\alpha_X: \mathbb{R} \rightarrow G$  of  $v_X$  through  $e$ . Therefore, define the exponential map  $\exp: \mathfrak{g} \rightarrow G$  as  $\exp(X) := \alpha_X(1)$ .

**Proposition 4.** (1)  $\exp$  is locally diffeomorphic at 0.

(2)  $\alpha_X(t) = \exp(tX)$  for any  $X \in \mathfrak{g}$ .

(3)  $t \mapsto \exp tX$  is one-parameter group of  $G$  for  $X \in \mathfrak{g}$ . Conversely, any one-parameter subgroup  $\alpha(t)$  has the form  $\alpha(t) = \exp(tX)$  for some  $X \in \mathfrak{g}$ .

(4) If  $\varphi: G \rightarrow H$  is a Lie group homomorphism, then the diagram is commutative

$$\begin{array}{ccc} G & \xrightarrow{\varphi} & H \\ \exp \uparrow & \circlearrowleft & \uparrow \exp \\ \mathfrak{g} & \xrightarrow{T_e \varphi} & \mathfrak{h} \end{array} \quad \varphi \circ \exp = \exp \circ T_e \varphi$$

For  $g \in G$ , the conjugation  $\rho_g: G \rightarrow G$  is defined as  $\rho_g(h) = ghg^{-1}$ . Then let  $\text{Ad}_g = T_e \rho_g$ . So it can get

$$\text{Ad}_g \circ \text{Ad}_h = \text{Ad}_{gh}, \quad h \exp(X) h^{-1} = \exp \text{Ad}_h X$$

Therefore,  $\text{Ad}: G \rightarrow GL(\mathfrak{g})$  is a Lie group homomorphism. Let

$$\text{ad} := T_e \text{Ad}: \mathfrak{g} \rightarrow \text{End}(\mathfrak{g})$$

Then define the Lie bracket on  $\mathfrak{g}$  as

$$\begin{aligned} [\cdot, \cdot]: \quad \mathfrak{g} \times \mathfrak{g} &\longrightarrow \mathfrak{g} \\ (X, Y) &\longmapsto [X, Y] := \text{ad}_X(Y) \end{aligned}$$

$[\cdot, \cdot]$  is bilinear, anti-symmetry and satisfies the Jacobi identity. So  $(\mathfrak{g}, [\cdot, \cdot])$  is a Lie algebra.

**Example 5.** For  $G = GL(n, \mathbb{C})$  a Lie group, then  $\mathfrak{g} = M(n, \mathbb{C})$  and

$$\exp(X) = e^X = \sum_{k=0}^{\infty} \frac{1}{k!} X^k, \quad \forall X \in M(n, \mathbb{C})$$

And by definition  $\text{Ad}_A(X) = AXA^{-1}$  and  $[X, Y] = XY - YX$ .

**Theorem 6.** (1) Suppose  $H$  is a Lie subgroup of  $G$ . Then the Lie algebra  $\mathfrak{h}$  of  $H$  can be viewed as a Lie subalgebra of  $\mathfrak{g}$  and

$$\mathfrak{h} = \{X \in \mathfrak{g}: \exp(tX) \in H, \quad \forall t \in \mathbb{R}\}$$

(2) Let  $G_e$  be the component of  $G$  containing  $e$ . Then  $G_e$  is generated by  $\exp \mathfrak{g}$  i.e.

$$G_e = \{\exp X_1 \exp X_2 \cdots \exp X_n: X_j \in \mathfrak{g}, \quad n \in \mathbb{N}\}$$

**Example 7.** (1)  $SL(n, \mathbb{C}) = \{A \in GL(n, \mathbb{C}): \det A = 1\}$ . Then by  $\det(e^A) = e^{\text{tr} A}$ ,

$$\mathfrak{sl}(n, \mathbb{C}) = \{X \in M(n, \mathbb{C}): \text{tr} X = 0\}$$

(2)  $U(n) = \{A \in GL(n, \mathbb{C}): A^\dagger A = I\}$ . Then by  $e^{A+B} = e^A e^B$  for  $[A, B] = 0$ ,

$$\mathfrak{u}(n) = \{X \in M(n, \mathbb{C}): X^\dagger = -X\}$$

## 2.2 Lie Group Actions

Let  $G$  be a Lie group and  $M$  be a smooth manifold. Group action  $G \curvearrowright M$  is a Lie group action if the map

$$\begin{aligned} \text{ev}: \quad G \times M &\longrightarrow M \\ (g, m) &\longmapsto g \cdot m \end{aligned}$$

is smooth with respect to the product smooth structure on  $G \times M$ . That induces a group homomorphism

$$\begin{aligned} \tau: \quad G &\longrightarrow \text{Diff}(M) \\ g &\longmapsto \tau(g) \end{aligned}$$

where  $\tau(g)m := g \cdot m$ . Let a Lie group act two manifolds  $M$  and  $N$  smoothly and  $f: M \rightarrow N$  smooth.  $f$  is called  $G$ -equivariant if  $f(g \cdot m) = g \cdot f(m)$ .

**Example 1** (Adjoint action). The adjoint action of  $G$  on  $\mathfrak{g}$  is as,

$$\begin{aligned} \text{Ad}: \quad G &\longrightarrow \text{Diff}(\mathfrak{g}) \\ g &\longmapsto \text{Ad}_g \end{aligned}$$

where vector space  $\mathfrak{g}$  is equipped with the canonical smooth structure. Let  $\mathfrak{g}^*$  be the dual vector space of  $\mathfrak{g}$ . The coadjoint action of  $G$  on  $\mathfrak{g}^*$  is as

$$\begin{aligned} \text{Ad}^*: \quad G &\longrightarrow \text{Diff}(\mathfrak{g}^*) \\ g &\longmapsto \text{Ad}_g^* \end{aligned}$$

where for  $\xi \in \mathfrak{g}^*$  and  $X \in \mathfrak{g}$ ,

$$\langle \text{Ad}_g^* \xi, X \rangle := \langle \xi, \text{Ad}_{g^{-1}} X \rangle$$

Also  $\mathfrak{g}$  can act on  $\mathfrak{g}$  by adjoint action

$$\begin{aligned} \text{ad}: \quad \mathfrak{g} &\longrightarrow \text{Diff}(\mathfrak{g}) \\ X &\longmapsto \text{ad}_X \end{aligned}$$

And  $\mathfrak{g}$  acts on  $\mathfrak{g}^*$  by coadjoint action

$$\begin{aligned} \text{ad}^*: \quad \mathfrak{g} &\longrightarrow \text{Diff}(\mathfrak{g}^*) \\ X &\longmapsto \text{ad}_X^* \end{aligned}$$

where for  $\xi \in \mathfrak{g}^*$  and  $X, Y \in \mathfrak{g}$ ,

$$\langle \text{ad}_X^* \xi, Y \rangle := -\langle \xi, \text{ad}_X Y \rangle$$

*Remark.* If  $K$  is a compact Lie group, then there is a Haar measure  $d\alpha$  on  $K$ . Therefore, for any linear action of  $K$  on some vector space  $V$  with any inner product  $\langle \cdot, \cdot \rangle$ , there is an inner product  $\langle \cdot, \cdot \rangle_K$  on  $V$  called  $K$ -invariant defined as

$$\langle v, w \rangle_K := \int_K \langle k \cdot v, k \cdot w \rangle d\alpha(k)$$

such that the action is unitary i.e  $\langle k \cdot v, k \cdot w \rangle_K = \langle v, w \rangle_K$ . Therefore, considering the adjoint action of  $K$  on  $\mathfrak{k}$ , there is a  $K$ -invariant inner product on  $\mathfrak{k}$ . Then  $\mathfrak{k}^* \simeq \mathfrak{k}$  with this inner product. So  $\text{Ad}_k^* = \text{Ad}_{k^{-1}}$  and by differentiation  $\text{ad}_X^* = -\text{ad}_X$ .

Let  $G \curvearrowright M$  be a Lie group action. Then there is a map

$$\begin{aligned} \mathfrak{g} &\longrightarrow \text{Vect}(M) \\ X &\longmapsto X_M \end{aligned}$$

defined as

$$X_M(m) = \left. \frac{d}{dt} \right|_{t=0} \exp(tX) \cdot m$$

and  $\gamma(t) = \exp(tX) \cdot m$  is the integral curve of  $X_M$  starting at  $m$ . Moreover, by  $[X, Y]_M = -[X_M, Y_M]$ , this map is an anti-homomorphism.

**Proposition 2.** *Let  $m \in M$ . The orbit of  $G$  through  $m$  is defined as*

$$G \cdot m = \{g \cdot m : g \in G\}$$

*and the isotopic subgroup (stabilizer) of  $m$  in  $G$  is defined as*

$$G_m = \{g \in G : g \cdot m = m\}$$

(1)  $G \cdot m$  is an immersed submanifold of  $M$  with the tangent space at  $m$  is

$$T_m(G \cdot m) = \{X_M(m) : X \in \mathfrak{g}\}$$

(2)  $G_m$  is a Lie subgroup of  $G$  with the Lie algebra

$$\mathfrak{g}_m = \{X \in \mathfrak{g} : X_M(m) = 0\}$$

For  $G \curvearrowright M$ , define the equivalence relation on  $M$  as

$$m \sim n \Leftrightarrow n \in G \cdot m$$

Then the quotient set is

$$M/G := M/\sim = \{[m] : m \in M\}$$

The question is about the smooth structure on  $M/G$ .

**Definition 3.** Let  $G$  act  $M$  smoothly.

(1) The action is called proper if the map

$$\begin{aligned} \alpha : \quad G \times M &\longrightarrow M \times M \\ (g, m) &\longmapsto (g \cdot m, m) \end{aligned}$$

is proper i.e. the pre-image of any compact set is compact.

(2) The action is called free if  $G_m = \{e\}$  for any  $m \in M$ .

*Remark.* (1) If the Lie group action  $G \curvearrowright M$  is proper, then, in fact, any orbit  $G \cdot m$  is a closed regular submanifold of  $M$  so that  $M/G$  with the quotient topology is Hausdorff. And if  $G$  is compact, any Lie group action of  $G$  is proper.

(2) Let  $G$  be a Lie group and  $H \subset G$  be a closed normal subgroup. Then the action  $H \curvearrowright G$  is defined as

$$h \cdot g = gh^{-1}, \quad \forall g \in G, \quad \forall h \in H$$

is free and proper. Moreover,  $G/H$  has the same sense when considering as the quotient group or as the set of orbit classes.

**Theorem 4.** Suppose the Lie group action  $G \curvearrowright M$  is proper and free.

(1) There is a unique smooth structure on  $M/G$  s.t. the quotient map  $\pi : M \rightarrow M/G$  is a submersion. In fact,  $(\pi, M, M/G)$  is a  $G$ -principle fiber bundle.

(2) If  $G \curvearrowright M$  is transitive, then for any  $m \in M$ , the map

$$\begin{aligned} G/G_m &\longrightarrow M \\ [g] &\longmapsto g \cdot m \end{aligned}$$

is a diffeomorphism. And such  $M$  is called a homogeneous space.

**Corollary 5.** Let  $G$  be a Lie group and  $H$  be a closed normal group. Then  $G/H$  is a Lie group with the Lie algebra

$$\text{Lie}(G/H) \simeq \mathfrak{g}/\mathfrak{h}$$

**Example 6** (Coadjoint orbits). Let  $G$  be a Lie group acting  $\mathfrak{g}^*$  by coadjoint action. The for  $\xi \in \mathfrak{g}^*$ ,

$$G_\xi = \{g \in G: \text{Ad}_g^* \xi = \xi\}, \quad \mathfrak{g}_\xi = \text{Lie}(G_\xi) = \{X \in \mathfrak{g}: \text{ad}_X^*(\xi) = 0\}$$

Since  $G_\xi$  is a closed normal subgroup, there is a homeomorphism

$$M_\xi := G/G_\xi \simeq G \cdot \xi$$

with the Lie algebra

$$\mathfrak{g}/\mathfrak{g}_\xi \simeq T_\xi M_\xi$$

More generally, since  $M_\xi = M_{g \cdot \xi}$ ,

$$T_{g \cdot \xi} M_\xi = T_{g \cdot \xi} M_{g \cdot \xi} = \{X_{M_\xi}(g \cdot \xi): X \in \mathfrak{g}\}$$

Denote  $X_{M_\xi}(g \cdot \xi)$  by  $X_{g \cdot \xi}$ , then for any  $X_{g \cdot \xi}$ ,

$$X_{g \cdot \xi} = \left. \frac{d}{dt} \right|_{t=0} \exp tX \cdot g \cdot \xi$$

## 2.3 Complex Structure

**Definition 1.** (1) Let  $V$  be a real vector space. A complex structure on  $V$  is a real-linear isomorphism  $J: V \rightarrow V$  s.t.  $J^2 = -id$ . So if define

$$(x + iy)v := xv + yJv$$

then  $V$  is a complex vector space.

- (2) Let  $M$  be a smooth manifold. An almost complex structure on  $M$  is a smooth map  $J: TM \rightarrow TM$  s.t. for any  $m \in M$ ,  $J_m: T_m M \rightarrow T_m M$  is a complex structure on  $T_m M$ .

*Remark.* Note that if a real-vector space can be equipped with a complex structure, it should be even  $\mathbb{R}$ -dimensional.

$(M, J)$  is an almost complex manifold. Let  $T_{\mathbb{C}}M = TM \otimes \mathbb{C}$  and extending  $J$  on  $T_{\mathbb{C}}M$  by

$$J(v \otimes z) = Jv \otimes z, \quad \forall v \in TM$$

Since  $J$  has two eigenvalues  $i$  and  $-i$ , the eigenspace decomposition of  $T_{\mathbb{C}}M$  is  $T_{\mathbb{C}}M = T_{1,0} \oplus T_{0,1}$ ,

$$T_{1,0} = \{w \in T_{\mathbb{C}}M: Jw = iw\}, \quad T_{0,1} = \{w \in T_{\mathbb{C}}M: Jw = -iw\}$$

Any vector in  $T_{1,0}$  (or  $T_{0,1}$ ) is called (anti-)holomorphic.

In fact, any holomorphic vector has the form  $v \otimes 1 - Jv \otimes i$  for some  $v \in TM$ , while any anti-holomorphic vector has the form  $v \otimes 1 + Jv \otimes i$  for some  $v \in TM$ . So for any  $w \in T_{\mathbb{C}}M$  written as  $w = w_{1,0} + w_{0,1}$ , then

$$w_{1,0} = \frac{1}{2}(w - iJw), \quad w_{0,1} = \frac{1}{2}(w + iJw)$$

Similarly, let  $T_{\mathbb{C}}^*M = T^*M \otimes \mathbb{C}$ . Then the corresponding decomposition of  $T_{\mathbb{C}}M$  is  $T_{\mathbb{C}}^*M = T^{1,0} \oplus T^{0,1}$ , where

$$\begin{aligned} T^{1,0} &= T_{1,0}^* = \{\eta \in T_{\mathbb{C}}^*M : \eta(Jw) = i\eta(w), \forall w \in T_{\mathbb{C}}M\} \\ &= \{\xi \otimes 1 - (\xi \circ J) \otimes i : \xi \in T^*M\} \\ T^{0,1} &= T_{0,1}^* = \{\eta \in T_{\mathbb{C}}^*M : \eta(Jw) = -i\eta(w), \forall w \in T_{\mathbb{C}}M\} \\ &= \{\xi \otimes 1 + (\xi \circ J) \otimes i : \xi \in T^*M\} \end{aligned}$$

Then let

$$\Omega^k(M, \mathbb{C}) = \bigoplus_{l+m=k} \Omega^{l,m}(M, \mathbb{C})$$

where  $\Omega^{l,m}(M, \mathbb{C}) = \Gamma^\infty(\Lambda^l T^{1,0} \wedge \Lambda^m T^{0,1})$ . Let  $\pi^{l,m} : \Omega^k(M, \mathbb{C}) \rightarrow \Omega^{l,m}(M, \mathbb{C})$  be the projection. For  $l+m=k$ ,

$$\partial : \Omega^{l,m}(M, \mathbb{C}) \xrightarrow{d} \Omega^{k+1}(M, \mathbb{C}) \xrightarrow{\pi^{l+1,m}} \Omega^{l+1,m}(M, \mathbb{C})$$

$$\bar{\partial} : \Omega^{l,m}(M, \mathbb{C}) \xrightarrow{d} \Omega^{k+1}(M, \mathbb{C}) \xrightarrow{\pi^{l,m+1}} \Omega^{l,m+1}(M, \mathbb{C})$$

*Remark.* (1) If  $f : M \rightarrow \mathbb{C}$ , then it can see  $df = \partial f + \bar{\partial} f$ . But in general,  $d \neq \partial + \bar{\partial}$ .

(2) For smooth  $f : M \rightarrow \mathbb{C}$ ,  $f$  is called holomorphic if  $(df)_m \in T_m^{1,0}$  for any  $m \in M$ .

(3) Let  $(M, J_M)$  and  $(N, J_N)$  be two almost complex manifolds and  $g : M \rightarrow N$  be smooth.  $g$  is called holomorphic if  $Tg(T_{1,0}) \subset T_{1,0}$ .

**Example 2.** Let  $\mathbb{C}^n$  be a real manifold with the coordinate  $\{x_1, y_1, \dots, x_n, y_n\}$ . Let  $J$  be an almost complex structure defined as

$$J \frac{\partial}{\partial x_j} = \frac{\partial}{\partial y_j}, \quad J \frac{\partial}{\partial y_j} = -\frac{\partial}{\partial x_j}$$

For complex coordinate  $\{z_1, \dots, z_n\}$ , i.e.  $z_j = x_j + iy_j$ , let

$$\begin{aligned} \frac{\partial}{\partial z_j} &= \frac{1}{2} \left( \frac{\partial}{\partial x_j} - i \frac{\partial}{\partial y_j} \right) \in T_{1,0}, \quad \frac{\partial}{\partial \bar{z}_j} = \frac{1}{2} \left( \frac{\partial}{\partial x_j} + i \frac{\partial}{\partial y_j} \right) \in T_{0,1} \\ dz_j &= dx_j + idy_j \in \Omega^{1,0}, \quad d\bar{z}_j = dx_j - idy_j \in \Omega^{0,1} \end{aligned}$$

Then for  $f : \mathbb{C}^n \rightarrow \mathbb{C}$ , it can see

$$df = \underbrace{\sum_{j=1}^n \frac{\partial f}{\partial z_j} dz_j}_{=\partial f \in \Omega^{1,0}} + \underbrace{\sum_{j=1}^n \frac{\partial f}{\partial \bar{z}_j} d\bar{z}_j}_{=\bar{\partial} f \in \Omega^{0,1}}$$

$f$  is holomorphic if and only if  $\bar{\partial} f = 0$  that is the Cauchy-Riemann equation.

**Definition 3.** An almost complex manifold  $(M, J)$  is called a complex manifold of dimension  $n$  if, for any  $m \in M$ , there is a neighborhood  $U$  of  $m$  s.t.  $U \rightarrow V \subset \mathbb{C}^n$  is biholomorphic. Then this  $J$  is called integrable.



*Remark.* For a complex manifold  $(M, J)$ , the tangent space of  $M$  at  $m$  is

$$T_m M := T_{1,0,m} M = \{X \in T_{\mathbb{C}} M : JX = iX\}$$

i.e. all holomorphic vectors, because as this definition, for any  $f: M \rightarrow \mathbb{C}$  is holomorphic and smooth vector field  $X_M \in TM = \cup T_m M$ ,  $X_M f$  is holomorphic.

For any real vector fields  $u, v$  and a complex structure  $J$ , define the Nijenhuis tensor  $N_J$

$$N_J(u, v) = [Ju, Jv] - J[J u, v] - J[u, Jv] - [u, v]$$

**Theorem 4** (Newlander-Nirenberg). *For an almost complex manifold  $(M, J)$ ,*

$$(M, J) \text{ is complex} \Leftrightarrow N_J = 0 \Leftrightarrow [T_{1,0}, T_{1,0}] \subset T_{1,0} \Leftrightarrow d = \partial + \bar{\partial} \Leftrightarrow \partial^2 = \bar{\partial}^2 = 0$$

## 2.4 Complexification of Lie Groups

**Definition 1.** A complex Lie group  $G$  is a group and also a complex manifold such that

$$\begin{array}{ccc} G \times G & \rightarrow & G, \\ (g, h) & \mapsto & gh \end{array} \quad \begin{array}{ccc} G & \rightarrow & G \\ g & \mapsto & g^{-1} \end{array}$$

are holomorphic.

*Remark.* Let  $\mathfrak{g} = \text{Lie}(G)$  with the complex structure  $\mathfrak{g} \rightarrow \mathfrak{g}: X \mapsto JX := iX$ .  $[\cdot, \cdot]$  is complex bilinear by  $\text{ad} \circ J = J \circ \text{ad}$ . Therefore,  $\mathfrak{g}$  is a complex Lie algebra. Conversely, if the Lie algebra  $\mathfrak{g}$  is equipped with a complex structure, then there is an integrable complex structure  $J$  on  $G$ .

**Theorem 2.** *Let  $K$  be a compact Lie group with Lie algebra  $\mathfrak{k}$ . There is a unique complex Lie group  $G$  and a Lie group homomorphism  $\iota: K \rightarrow G$  s.t.*

- (1)  $(G, \iota)$  has the universal property, that is for any complex Lie group  $H$  and a Lie group homomorphism  $\rho: K \rightarrow H$ , there is unique holomorphic Lie group homomorphism  $\rho_{\mathbb{C}}: G \rightarrow H$  s.t.  $\rho = \rho_{\mathbb{C}} \circ \iota$ .
- (2)  $\iota$  is injective,  $\iota(K)$  is a maximal compact subgroup of  $G$ ,  $G/\iota(K)$  is connected and  $T_e \iota(\mathfrak{k})$  is a totally real subspace of  $\mathfrak{g}$ .

*Remark.* In fact, complexifying a real Lie algebra  $\mathfrak{k}$  as  $\mathfrak{k}_{\mathbb{C}} = \mathfrak{k} \oplus i\mathfrak{k}$  with the extended Lie bracket defined as

$$[X_1 + iX_2, Y_1 + iY_2] = ([X_1, Y_1] - [X_2, Y_2]) + i([X_1, Y_2] + [X_2, Y_1])$$

$\mathfrak{k}_{\mathbb{C}}$  is a complex Lie algebra. And  $G = K_{\mathbb{C}}$  with the Lie algebra  $\mathfrak{k}_{\mathbb{C}}$ .

**Theorem 3** (Cartan Decomposition). *Let  $K$  be a compact Lie group with  $\mathfrak{k}$  and  $G = K_{\mathbb{C}}$  with  $\mathfrak{g} = \mathfrak{k} \oplus i\mathfrak{k}$ . Then the map is a diffeomorphism.*

$$\begin{array}{ccc} K \times \mathfrak{k} & \longrightarrow & G \\ (k, X) & \longmapsto & \exp(iX)k \end{array}$$

**Definition 4.** A complex Lie group  $G$  is called reductive if  $G = K_{\mathbb{C}}$  for some compact Lie group  $K$ . If  $G$  is reductive and the center of  $\mathfrak{g}$  is trivial, then  $\mathfrak{g}$  is called semisimple.

**Example 5.**  $GL(n, \mathbb{C})$  and  $SL(n, \mathbb{C})$  are reductive. And  $\mathfrak{sl}(n, \mathbb{C})$  is semisimple but  $\mathfrak{gl}(n, \mathbb{C})$  is not.

- (1)  $GL(n, \mathbb{C}) = U(n)_{\mathbb{C}}$  and  $\mathfrak{gl}(n, \mathbb{C}) = \mathfrak{u}(n)_{\mathbb{C}}$ . Moreover, the polar decomposition is the Cartan decomposition, that is for  $A \in GL(n, \mathbb{C})$ ,

$$A = e^X U = e^{iY} U, \text{ where } U \in U(n), X = iY \in \text{Herm}(n) \Rightarrow Y \in \mathfrak{u}(n)$$

- (2)  $SL(n, \mathbb{C}) = SU(n)_{\mathbb{C}}$  and  $\mathfrak{sl}(n, \mathbb{C}) = \mathfrak{su}(n)_{\mathbb{C}}$ .

Let compact Lie group  $K$  act on a complex manifold  $(M, J)$  smoothly i.e.

$$\begin{aligned} \tau: K &\longrightarrow \text{Diff}(M) \\ g &\longmapsto \tau(g) \end{aligned}$$

is a Lie group homomorphism. Then by the above theorem, it can be uniquely extended on  $G = K_{\mathbb{C}}$ . Therefore,  $G$  acts on  $M$  holomorphically. And the map is defined as

$$\begin{aligned} \mathfrak{g} = \mathfrak{k} + i\mathfrak{k} &\longrightarrow \text{Vect}(M) \\ X + iY &\longmapsto X_M + JY_M \end{aligned}$$

where  $\text{Vect}(M)$  is the holomorphic vector field on  $M$ . It is well-defined since  $X_M$  and  $Y_M$  are holomorphic.

Let  $K$  be a compact Lie group. Then there is a bi-invariant Riemannian metric  $\langle \cdot, \cdot \rangle$  on  $K$  and

$$\langle \text{ad}_Z X, Y \rangle_{\mathfrak{k}} = -\langle X, \text{ad}_Z Y \rangle_{\mathfrak{k}}, \quad \forall X, Y, Z \in \mathfrak{k}$$

Therefore,  $K$  is a Riemannian geometry. Moreover, the usual exponential map of the Lie group coincides with the exponential map of Riemannian manifold.

Let  $G = K_{\mathbb{C}}$  be the complexified Lie group and define the inner product on  $\mathfrak{g}$

$$\langle X_1 + iY_1, X_2 + iY_2 \rangle_{\mathfrak{g}} := \langle X_1, X_2 \rangle_{\mathfrak{k}} + \langle Y_1, Y_2 \rangle_{\mathfrak{k}}$$

And this metric is  $K$ -invariant and  $\mathfrak{k} \perp i\mathfrak{k}$ . So instead of considering the Riemannian structure on  $G$ , defining a metric on  $N := G/K$ , the right cosets of  $G$ . Let  $\pi: G \rightarrow N$  be the projection. There is a vector bundle isomorphism

$$\begin{aligned} G \times \mathfrak{k} &\longrightarrow TN \\ (g, \eta) &\longmapsto T_e(\pi \circ L_g)(i\eta) \end{aligned}$$

where  $L_g(h) = gh$ . Then it can define a Riemannian metric  $\langle \cdot, \cdot \rangle$  on  $N$ .

For  $v_1, v_2 \in T_{\pi(g)}M$ , there are  $\eta_1, \eta_2 \in \mathfrak{k}$  s.t.  $v_j = T_e(\pi \circ L_g)(i\eta_j)$ .

$$\langle v_1, v_2 \rangle_{\pi(g)} := \langle \eta_1, \eta_2 \rangle_{\mathfrak{k}}$$

Therefore, it is a  $G$ -invariant Riemannian metric. And with this Riemannian structure,  $N$  is a complete, connected, and simply connected Riemannian metric with nonpositive sectional curvature. Moreover, any geodesic line on  $N$  has the form

$$\gamma(t) = \pi(g \exp(tiX)), \text{ for some } g \in G, X \in \mathfrak{k}$$

## 2.5 Roots and Weyl Chambers

**Definition 1.** Let  $K$  be a compact Lie group with Lie algebra  $\mathfrak{k}$ .

- (1) A maximal torus  $T$  in  $K$  is a maximal connected commutative subgroup of  $K$ .
- (2) A Cartan subalgebra of  $\mathfrak{k}$  is a maximal commutative subalgebra of  $\mathfrak{k}$ .

*Remark.* (1) If  $T$  is a maximal torus, then  $\mathfrak{t} = \text{Lie } T$  is a Cartan subalgebra. Conversely, if  $\mathfrak{t}$  is a Cartan subalgebra, then  $T := \exp \mathfrak{t}$  is a maximal torus.

- (2) Let  $G = K_{\mathbb{C}}$  with Lie algebra  $\mathfrak{g} = \mathfrak{k} + i\mathfrak{k}$ . If  $\mathfrak{t}$  is a Cartan algebra of  $\mathfrak{k}$ , then  $\mathfrak{h} = \mathfrak{t}_{\mathbb{C}} = \mathfrak{t} + i\mathfrak{t}$  is a maximal commutative subalgebra of  $\mathfrak{g}$ .  $\mathfrak{h}$  is called a Cartan subalgebra of  $\mathfrak{g}$ .

**Theorem 2.** Let  $K$  be a compact Lie group with Lie algebra  $\mathfrak{k}$ . Then

$$\mathfrak{k} = \mathfrak{k}' \oplus \mathfrak{z}(\mathfrak{k})$$

where  $\mathfrak{z}(\mathfrak{k})$  is the center of  $\mathfrak{k}$  and  $\mathfrak{k}'$  is the ideal generated by  $[\mathfrak{k}, \mathfrak{k}]$ , the set of commutators. And  $\mathfrak{k}'$  is semisimple i.e. the center of  $\mathfrak{k}'$  is trivial.

*Remark.* (1) For complex reductive Lie group  $G = K_{\mathbb{C}}$  with Lie algebra  $\mathfrak{g}$ , it is also true

$$\mathfrak{g} = \mathfrak{g}' \oplus \mathfrak{z}(\mathfrak{g})$$

where  $\mathfrak{z}(\mathfrak{g}) = \mathfrak{z}(\mathfrak{k})_{\mathbb{C}}$ , the center of  $\mathfrak{g}$ , and  $\mathfrak{g}' = \mathfrak{k}'_{\mathbb{C}}$  that is semisimple.

- (2) In fact,  $\mathfrak{k}'$  is the Lie algebra of  $K'$  which is the closed normal subgroup of  $K$  generated by commutators  $k_1 k_2 k_1^{-1} k_2^{-1}$  for  $k_1, k_2 \in K$ .

Let  $G$  act on  $\mathfrak{g}$  by adjoint action. Since  $K$  is compact, there is a  $K$ -invariant inner product  $\langle \cdot, \cdot \rangle$  on  $\mathfrak{g}$ . Therefore, by differentiating of  $\text{Ad}$ ,

$$\langle \text{ad}_X Y, Z \rangle = -\langle Y, \text{ad}_X Z \rangle, \quad \forall X \in \mathfrak{k}$$

i.e.  $\text{ad}_X$  is skew-symmetry for any  $X \in \mathfrak{k}$  and so  $\text{ad}_X$  is diagonalizable. Let  $\mathfrak{t}$  be a Cartan subalgebra of  $\mathfrak{k}$  and  $\mathfrak{h} = \mathfrak{t}_{\mathbb{C}}$ . Then for any  $H = H_1 + iH_2 \in \mathfrak{h}$ ,  $\text{ad}_H = \text{ad}_{H_1} + i\text{ad}_{H_2}$  is diagonalizable by the commutativity of  $\text{ad}_{H_1}$  and  $\text{ad}_{H_2}$ . So  $\{\text{ad}_H : H \in \mathfrak{h}\}$  is simultaneously diagonalizable. Then for  $\alpha \in \mathfrak{h}^*$  i.e.  $\alpha : \mathfrak{t} \rightarrow \mathbb{C}$  is linear, let

$$\mathfrak{g}_{\alpha} := \{X \in \mathfrak{g} : [H, X] = \alpha(H)X, \quad \forall H \in \mathfrak{h}\}$$

So  $\mathfrak{g}_{\alpha}$  is a eigenspace for  $\{\text{ad}_H : H \in \mathfrak{h}\}$  for some  $\alpha \in \mathfrak{h}^*$ . Clearly,  $\mathfrak{g}_0 = \mathfrak{h}$ .

**Definition 3.** The root system of  $\mathfrak{g}$  with respect to a Cartan subalgebra  $\mathfrak{h}$  is

$$R := \{\alpha \in \mathfrak{h}^* : \alpha \neq 0, \quad \mathfrak{g}_{\alpha} \neq 0\}$$

*Remark.* (1) Since  $V$  is finite-dimensional,  $R$  is finite and the eigenspace decomposition of  $\mathfrak{g}$  is

$$\mathfrak{g} = \mathfrak{h} \oplus \bigoplus_{\alpha \in R} \mathfrak{g}_{\alpha}$$

- (2) Since  $\text{ad}_X$  is skew-symmetry for each  $X \in \mathfrak{t}$ , any root  $\alpha$  is pure imaginary on  $\mathfrak{t}$ . Therefore,  $R \subset (i\mathfrak{t})^*$ , where  $i\mathfrak{t}$  is viewed as a real vector space.

- (3) For any  $\alpha, \beta \in R \cup \{0\}$ ,  $[\mathfrak{g}_\alpha, \mathfrak{g}_\beta] = \mathfrak{g}_{\alpha+\beta}$ .
- (4) If  $\mathfrak{g}$  is semisimple i.e.  $\mathfrak{z}(\mathfrak{g}) = \{0\}$ , then  $(i\mathfrak{t})^* = \text{span}_{\mathbb{R}} R$ . In general, let  $\mathfrak{t}' = \mathfrak{t} \cap \mathfrak{k}'$ , then  $(i\mathfrak{t}')^* = \text{span}_{\mathbb{R}} R$ .
- (5) For any  $\alpha \in R$ ,  $-\alpha \in R$ . Moreover,  $\mathfrak{g}_{-\alpha} = \vartheta \mathfrak{g}_\alpha$  where  $\vartheta(X + iY) = X - iY$  for  $X + iY \in \mathfrak{g}$  and  $X, Y \in \mathfrak{k}$ .

**Definition 4** (Killing Form). Let  $G = K_{\mathbb{C}}$  be a reductive Lie Group with Lie algebra  $\mathfrak{g} = \mathfrak{k}_{\mathbb{C}}$ . The Killing form is a bilinear form

$$\begin{aligned} B: \quad \mathfrak{k} \times \mathfrak{k} &\longrightarrow \mathbb{R} \\ (X, Y) &\longmapsto \text{tr}(\text{ad}_X \circ \text{ad}_Y) \end{aligned}$$

Moreover,  $B$  can be extended to  $\mathfrak{g}$ .

**Theorem 5.** (1) For  $k \in K$  and  $X, Y, Z \in \mathfrak{g}_{\mathbb{C}}$ ,

$$B(\text{Ad}_k X, \text{Ad}_k Y) = B(X, Y), \quad B(\text{ad}_Z X, Y) = -B(X, \text{ad}_Z Y)$$

(2) (Cartan's Criterion)  $\mathfrak{g}_{\mathbb{C}}$  is semisimple if and only if  $B$  is nonsingular on  $\mathfrak{g} \times \mathfrak{g}$ .

(3) In general,  $B$  is nonsingular on  $\mathfrak{g}_\alpha \times \mathfrak{g}_{-\alpha}$  for  $\alpha \in R$  and is negative definite on  $\mathfrak{g}' \times \mathfrak{g}'$ . And thus  $B$  is an inner product on  $i\mathfrak{t}'$ .

**Definition 6.** Let  $G = K_{\mathbb{C}}$  with semisimple Lie algebra  $\mathfrak{g}$ . Then since  $B$  is an inner product on  $i\mathfrak{t}$ , for any  $\alpha \in (i\mathfrak{t})^*$ , there is a unique  $u_\alpha \in i\mathfrak{t}$  s.t.

$$\alpha(H) = B(H, u_\alpha), \quad \forall H \in i\mathfrak{t}$$

If  $\alpha \in R$ , then let

$$h_\alpha := \frac{2u_\alpha}{B(u_\alpha, u_\alpha)}$$

called the coroot of  $\alpha$ . The coroot system is

$$R^\vee := \{h_\alpha : \alpha \in R\} \subset i\mathfrak{t}$$

*Remark.* If  $\mathfrak{g}$  is not semisimple, then for general  $\alpha \in (i\mathfrak{t})^*$  there may no  $u_\alpha$  because  $B$  is singular on  $i\mathfrak{t}$ . But if  $\alpha \in R$ ,  $u_\alpha$  and  $h_\alpha$  can be also defined. Let  $\mathfrak{t}' = \mathfrak{k}' \cap \mathfrak{t}$ .

$$\mathfrak{k} = \mathfrak{k}' \oplus \mathfrak{z}(\mathfrak{k}) \Rightarrow i\mathfrak{t} = i\mathfrak{t}' \oplus i(\mathfrak{z}(\mathfrak{k}) \cap \mathfrak{t})$$

If  $H \in i(\mathfrak{z}(\mathfrak{k}) \cap \mathfrak{t})$ ,  $[H, X] = 0$  for any  $X \in \mathfrak{g}$ . So  $\alpha(H) = 0$ . Therefore,  $\alpha$  can be viewed as a linear map on  $i\mathfrak{t}'$  i.e.  $\alpha \in (i\mathfrak{t}')^*$ . By above, since  $B$  is nonsingular on  $i\mathfrak{t}'$ , there is a  $u_\alpha \in i\mathfrak{t}'$  s.t.

$$\alpha(H) = B(H, u_\alpha), \quad \forall H \in i\mathfrak{t}$$

and thus it can define the coroot  $h_\alpha$ .

**Example 7.** Let  $G = SL(2, \mathbb{C})$  with  $\mathfrak{g} = \mathfrak{sl}(2, \mathbb{C})$  and  $K = SU(2)$  with  $\mathfrak{k} = \mathfrak{su}(2)$ . Then

$$\mathfrak{t} = \text{span}_{\mathbb{R}} \begin{pmatrix} i & 0 \\ 0 & -i \end{pmatrix}, \quad \mathfrak{h} = \text{span}_{\mathbb{C}} \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$$

Let  $X = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}$ ,  $Y = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}$  and  $H = \begin{pmatrix} 1 & 0 \\ 0 & -1 \end{pmatrix}$ . Then

$$[H, X] = 2X, \quad [H, Y] = -2Y$$

Therefore, the two roots are  $\alpha_1, \alpha_2: \mathfrak{h} \rightarrow \mathbb{C}$ ,

$$\alpha_1(H) = 2, \quad \alpha_2(H) = -2$$

and  $\mathfrak{g}_{\alpha_1} = \text{span}_{\mathbb{C}} X$ ,  $\mathfrak{g}_{\alpha_2} = \text{span}_{\mathbb{C}} Y$ . So  $\mathfrak{g} = \text{span}_{\mathbb{C}} \{H, X, Y\}$  is the root decomposition.

**Theorem 8** ( $\mathfrak{sl}(2, \mathbb{C})$  Triple). *Let  $G = K_{\mathbb{C}}$  be a reductive Lie group with Lie algebra  $\mathfrak{g} = \mathfrak{k}_{\mathbb{C}}$ . Let  $\mathfrak{h} = \mathfrak{t}_{\mathbb{C}}$  be a Cartan subalgebra of  $\mathfrak{g}$  and  $R$  be the corresponding root system. Let  $\alpha \in R$ . Then there is a  $X_{\alpha} \in \mathfrak{g}_{\alpha}$  s.t. let  $Y_{\alpha} = -\vartheta X_{\alpha} \in \mathfrak{g}_{-\alpha}$  and  $H_{\alpha} = h_{\alpha} \in \mathfrak{h}$ ,*

$$\mathfrak{sl}(2, \mathbb{C}) \simeq \text{span}_{\mathbb{C}} \{H_{\alpha}, X_{\alpha}, Y_{\alpha}\}$$

**Corollary 9.** *Let  $G, \mathfrak{t}$  and  $R$  as above and  $\alpha \in R$ .*

- (1)  $\mathbb{R}\alpha \cap R = \{\pm\alpha\}$ .
- (2) For any  $\alpha, \beta \in R$ ,  $\alpha(h_{\beta}) \in \mathbb{Z}$ .
- (3)  $\dim \mathfrak{g}_{\alpha} = 1$ .

Let  $G = K_{\mathbb{C}}$  be a reductive Lie group with semisimple Lie algebra  $\mathfrak{g} = \mathfrak{k}_{\mathbb{C}}$  and  $\mathfrak{h} = \mathfrak{t}_{\mathbb{C}}$  be a Cartan subalgebra. Then the Killing form  $B$  is an inner product on  $i\mathfrak{t}$ . Transporting  $B$  to  $(i\mathfrak{t})^*$  as an inner product defined as

$$B(\alpha, \beta) = B(u_{\alpha}, u_{\beta}), \quad \forall \alpha, \beta \in (i\mathfrak{t})^*$$

With equipped  $B$ ,  $(i\mathfrak{t})^*$  is a Euclidean space. And by above for any  $\alpha, \beta \in R$ ,

$$4 \cos^2 \theta_{\alpha\beta} = \alpha(H_{\beta})\beta(H_{\alpha}), \quad \alpha(H_{\beta}) = \frac{2 \|\alpha\| \cos \theta_{\alpha\beta}}{\|\beta\|}$$

Therefore, there are only finite cases for  $\theta_{\alpha\beta}$ .

- (1)  $\theta_{\alpha\beta} = \frac{\pi}{2}$ ;
- (2)  $\|\alpha\| = \|\beta\|$ , then  $\theta_{\alpha\beta} = \frac{\pi}{3}$  or  $\frac{2\pi}{3}$ ;
- (3)  $\|\alpha\| = \sqrt{2} \|\beta\|$ , then  $\theta_{\alpha\beta} = \frac{\pi}{4}$  or  $\frac{3\pi}{4}$ ;
- (4)  $\|\alpha\| = \sqrt{3} \|\beta\|$ , then  $\theta_{\alpha\beta} = \frac{\pi}{6}$  or  $\frac{5\pi}{6}$ .

If it is not semisimple, it can replace  $i\mathfrak{t}$  and  $(i\mathfrak{t})^*$  by  $i\mathfrak{t}'$  and  $(i\mathfrak{t}')^*$ .

**Definition 10.** Let  $G = K_{\mathbb{C}}$  be a reductive Lie group with semisimple Lie algebra  $\mathfrak{g} = \mathfrak{k}_{\mathbb{C}}$  and  $\mathfrak{h} = \mathfrak{t}_{\mathbb{C}}$  be a Cartan subalgebra with the corresponding root system  $R$ . (If not semisimple, replacing  $\mathfrak{t}$  to  $\mathfrak{t}'$ .)

- (1) Let  $\gamma \in (i\mathfrak{t})^*$  s.t.  $V = \{\alpha \in (i\mathfrak{t})^*: B(\alpha, \gamma) = 0\} \cap R = \emptyset$ . Then define

$$R^+ = \{\alpha \in R: B(\alpha, \gamma) > 0\}, \quad R^- = \{\alpha \in R: B(\alpha, \gamma) < 0\}$$

be the positive and negative root system.

- (2) A system of simple roots is a subset  $\Delta \subset R$  that is a basis of  $(\mathfrak{it})^*$  s.t. for any  $\beta \in R$ ,

$$\beta = \sum_{\alpha \in \Delta} k_\alpha \alpha$$

and either  $\{k_\alpha : \alpha \in \Delta\} \subset \mathbb{Z}_{\geq 0}$  or  $\{k_\alpha : \alpha \in \Delta\} \subset \mathbb{Z}_{\leq 0}$ .

*Remark.* In fact, for any  $\gamma$  with  $V = \{\alpha \in (\mathfrak{it})^* : B(\alpha, \gamma) = 0\} \cap R = \emptyset$ , there is a unique system of simple roots  $\Delta$  s.t.

$$R^+ = \left\{ \beta \in R : \beta = \sum_{\alpha \in \Delta} k_\alpha \alpha, k_\alpha \in \mathbb{Z}_{\geq 0} \right\}, \quad R^- = \left\{ \beta \in R : \beta = \sum_{\alpha \in \Delta} k_\alpha \alpha, k_\alpha \in \mathbb{Z}_{\leq 0} \right\}$$

Therefore, the system of simple roots exists and there is a one-one onto relation of

$$\{\text{Positive root systems}\} \sim \{\text{Systems of simple roots}\}$$

**Definition 11.** Given the same settings as above, then an open Weyl chamber is a connected component of  $(\mathfrak{it})^* \setminus \bigcup_{\alpha \in \Delta} V_\alpha$ , where

$$V_\alpha = \{\beta \in (\mathfrak{it})^* : B(\beta, \alpha) = 0\}$$

If  $\Delta$  is a system of simple roots, then the open positive Weyl chamber is defined as

$$C(\Delta) = \{\beta \in (\mathfrak{it})^* : B(\beta, \alpha) > 0, \forall \alpha \in \Delta\}$$

*Remark.* Conversely, choose a Weyl chamber  $C$ , then there is a unique system of simple roots  $\Delta$  s.t.  $C = C(\Delta)$  is the positive Weyl chamber.

Let  $G = K_{\mathbb{C}}$  be a reductive Lie group with Lie algebra  $\mathfrak{g} = \mathfrak{k}_{\mathbb{C}}$  and  $T$  be a maximal torus of  $K$  with Lie algebra  $\mathfrak{t}$ . Let  $N = \{k \in K : kTk^{-1} = T\}$ . Then the Weyl group is defined as  $W = N/T$ .  $W$  acts  $\mathfrak{t}$  and  $\mathfrak{t}^*$ . For  $w := [w] \in W$  and  $H \in \mathfrak{it}$  and  $\alpha \in \mathfrak{t}^*$ ,

$$w \cdot H := \text{Ad}_w H, \quad w \cdot \alpha := \text{Ad}_w^* \alpha$$

The actions can be extended on  $\mathfrak{it}$  and  $(\mathfrak{it})^*$ . There is a more explicit expression of  $W$ .

For  $\alpha \in \Delta$ , the reflection  $r_\alpha : (\mathfrak{it}')^* \rightarrow (\mathfrak{it}')^*$  is defined as

$$r_\alpha(\beta) := \beta - \frac{2B(\beta, \alpha)}{B(\alpha, \alpha)} \alpha = \beta - \beta(h_\alpha) \alpha$$

i.e.  $r_\alpha$  is the reflection about the hyperplane orthogonal to  $\alpha$ . Then

$$W = \text{the group generated by } \{r_\alpha : \alpha \in \Delta\}$$

**Theorem 12.** Let  $W$  be the Weyl group acting on  $(\mathfrak{it})^*$  (or  $(\mathfrak{it}')^*$ ) and  $C$  be an open Weyl chamber.

- (1) For any  $\alpha \in R$ ,  $w \cdot \alpha \in R$ .
- (2) Let  $W$  act on the set of Weyl chambers. Then this action is transitive.
- (3) Let  $\alpha, \alpha' \in \overline{C}$ . If  $w \cdot \alpha = \alpha'$  for some  $w \in W$ , then  $\alpha = \alpha'$ .
- (4) Let  $\alpha \in C$ . If  $w \cdot \alpha = \alpha$  for some  $w \in W$ , then  $w = \text{id}$ .

(5) If  $\Delta_1$  and  $\Delta_2$  are two systems of simple roots, then there is a unique  $w \in W$  s.t.  $w \cdot \Delta_1 = \Delta_2$ .

(6) For any  $\beta \in (i\mathfrak{t})^*$ ,  $W \cdot \beta \cap \overline{C}$  contains exact one element.

The general root system is defined as the following.

**Definition 13.** A root system  $(E, R)$  consists a finite-dimensional real Euclidean space  $E$  and a finite subset  $R$  containing nonzero elements s.t.

(1)  $E = \text{span}_{\mathbb{R}} R$ .

(2) For any  $\alpha \in R$ ,  $\mathbb{R}\alpha \cap R = \{\pm\alpha\}$ .

(3) For  $\alpha \in R$ ,  $r_\alpha(R) \subset R$ , where  $r_\alpha: E \rightarrow E$  is defined as

$$r_\alpha(\beta) := \beta - \frac{2\langle\beta, \alpha\rangle}{\langle\alpha, \alpha\rangle}\alpha$$

(4) For any  $\alpha, \beta \in R$ ,

$$\frac{2\langle\beta, \alpha\rangle}{\langle\alpha, \alpha\rangle} \in \mathbb{Z}$$

*Remark.* For the semisimple case,  $((i\mathfrak{t})^*, R)$  is a root system. For the general case,  $((i\mathfrak{t}')^*, R)$  is a root system.

**Definition 14.** Let  $G = K_{\mathbb{C}}$  be a reductive Lie group with Lie algebra  $\mathfrak{g} = \mathfrak{k}_{\mathbb{C}}$ . Let  $\mathfrak{t} = \text{Lie } T$  be a Cartan subalgebra of  $\mathfrak{k}$  with the corresponding root system  $R$  and choosing a system of simple roots  $\Delta$ . Let  $\lambda \in (i\mathfrak{t})^*$ .

(1)  $\lambda$  is called algebraically integral (in weight lattice) if

$$\lambda(h_\alpha) \in \mathbb{Z}, \forall \alpha \in R$$

(2)  $\lambda$  is called analytically integral if

$$\lambda(H) \in 2\pi i\mathbb{Z}, \forall H \in \Gamma$$

where  $\Gamma = \{H \in \mathfrak{t}: \exp H = I\}$

(3)  $\lambda$  is called dominant with respect to a system of simple roots  $\Delta$  if

$$\lambda \in \overline{C(\Delta)}$$

*Remark.* Let  $\chi: T \rightarrow \mathbb{C}^*$  be a group homomorphism that is called a character of  $T$ . Then there is a unique  $\lambda$  that is analytically integral s.t.

$$\chi(\exp H) = e^{\lambda(H)}, \forall \exp H \in T = \exp \mathfrak{t}$$

**Definition 15.** Setting as above, for  $\lambda, \mu \in (i\mathfrak{t})^*$ ,

$$\lambda \geq \mu \Leftrightarrow \mu - \lambda = \sum_{\alpha \in \Delta} k_\alpha \alpha \text{ with } k_\alpha \geq 0$$

**Example 16.** (1) Let  $G = GL(n, \mathbb{C})$  and  $K = U(n)$ . Then let the maximal torus and the Cartan subalgebra be

$$\begin{aligned} T &= \{\text{diag}(e^{i\theta_1}, \dots, e^{i\theta_n}) : \theta_j \in \mathbb{R}\} \\ \mathfrak{t} &= \{\text{diag}(i\theta_1, \dots, i\theta_n) : \theta_j \in \mathbb{R}\} \\ \mathfrak{h} &= \{\text{diag}(z_1, \dots, z_n) : z_j \in \mathbb{C}\} \end{aligned}$$

with the root system

$$R = \{\pm(\varepsilon_k - \varepsilon_j) : 1 \leq k < j \leq n\}$$

where  $\varepsilon_k(E_j) = \delta_{kj}$ , where  $E_j = \text{diag}(e_j)$ , with the root space

$$\mathfrak{g}_{\varepsilon_k - \varepsilon_j} = \mathbb{C}E_{k,j}$$

and the coroot  $h_{\varepsilon_k - \varepsilon_j}$  for root  $\varepsilon_k - \varepsilon_j$  is

$$h_{\varepsilon_k - \varepsilon_j} = E_k - E_j$$

The system of simple roots can be choosed as

$$\Delta = \{\varepsilon_k - \varepsilon_{k+1} : 1 \leq k \leq n-1\}$$

and the corresponding positive Weyl chamber is

$$\begin{aligned} C(\Delta) &= \{\text{diag}(\theta_1, \dots, \theta_n) : \theta_k > \theta_{k+1}, \theta_k \in \mathbb{R}\} \\ \overline{C(\Delta)} &= \{\text{diag}(\theta_1, \dots, \theta_n) : \theta_k \geq \theta_{k+1}\} \end{aligned}$$

And the Weyl group  $W \simeq \mathcal{S}_n$ , the symmetry group. Since the orbit of  $W$  intersects  $\overline{C(\Delta)}$  with a single point and the action can be extended on  $\mathfrak{u}(n)^*$ , for any  $H \in \mathfrak{u}(n)^* \simeq \text{Herm}(n)$ , let

$$s(H) = \text{spec}(H) := \text{diag}(\theta_1, \dots, \theta_n) \in \overline{C(\Delta)}$$

where  $\theta_1 \geq \dots \geq \theta_n$  are all eigenvalues of  $H$ .

And the above mention is also true for  $G = SL(n, \mathbb{C})$  and  $K = SU(n)$ .

- (2) For a particular case  $SL(3, \mathbb{C})$  with  $SU(3)$ , the Cartan algebra  $\mathfrak{t} = \text{span}_{\mathbb{R}}\{iH_1, iH_2\}$  and  $\mathfrak{h} = \text{span}_{\mathbb{C}}\{H_1, H_2\}$ , where

$$H_1 = \begin{pmatrix} 1 & 0 & 0 \\ 0 & -1 & 0 \\ 0 & 0 & 0 \end{pmatrix}, H_2 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 1 & 0 \\ 0 & 0 & -1 \end{pmatrix}$$

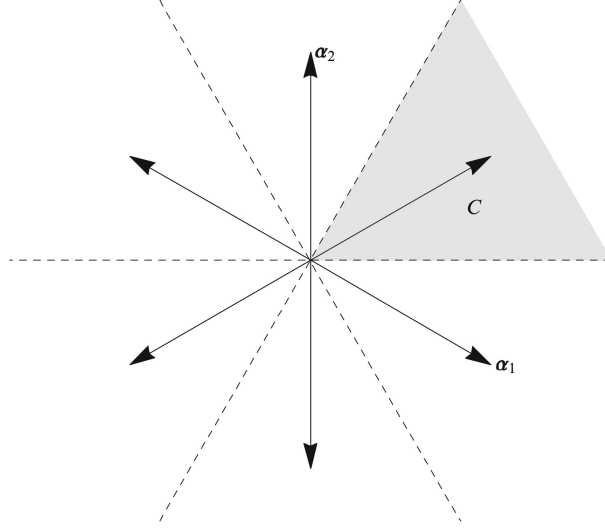
The root system is  $R = \pm\{\alpha_1, \alpha_2, \alpha_3\}$ . After equipping with the trace inner product and viewing the root  $\alpha = (\alpha(H_1), \alpha(H_2))$ , then

$$\alpha_1 = (2, -1), \alpha_2 = (1, -2), \alpha_3 = (1, 1)$$

with the root space  $\mathfrak{g}_{\alpha_j} = \text{span}_{\mathbb{C}} X_j$  and  $\mathfrak{g}_{-\alpha_j} = \text{span}_{\mathbb{C}} Y_j$  for  $j = 1, 2, 3$ , where

$$\begin{aligned} X_1 &= \begin{pmatrix} 0 & 1 & 0 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, X_2 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 1 \\ 0 & 0 & 0 \end{pmatrix}, X_3 = \begin{pmatrix} 0 & 0 & 1 \\ 0 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, \\ Y_1 &= \begin{pmatrix} 0 & 0 & 0 \\ 1 & 0 & 0 \\ 0 & 0 & 0 \end{pmatrix}, Y_2 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 0 & 1 & 0 \end{pmatrix}, Y_3 = \begin{pmatrix} 0 & 0 & 0 \\ 0 & 0 & 0 \\ 1 & 0 & 0 \end{pmatrix} \end{aligned}$$





If let  $\Delta = \{\alpha_1, \alpha_2\}$ , then  $C = C(\Delta)$  showed in above figure.

## 2.6 Representations

Let  $K$  be a compact Lie group with Lie algebra  $\mathfrak{k}$ . Let  $(\Pi, V)$  be a finite-dimensional representation of  $K$  i.e.  $\Pi: K \rightarrow GL(V)$  is a Lie group homomorphism. Then let  $\pi := T_e \Pi$  as a real linear map,

$$\begin{array}{ccc} K & \xrightarrow{\Pi} & GL(V) \\ \exp \uparrow & \circlearrowleft & \uparrow e \\ \mathfrak{k} & \xrightarrow{\pi} & \text{End } V \end{array} \quad \Pi(\exp X) = e^{\pi(X)}$$

And by the above theorem,  $(\Pi, V)$  and  $(\pi, V)$  can be extended to representations of  $G = K_{\mathbb{C}}$  and  $\mathfrak{g} = \mathfrak{k}_{\mathbb{C}}$ . Let  $\mathfrak{t}$  be a Cartan subalgebra of  $\mathfrak{k}$  and  $\mathfrak{h} = \mathfrak{t}_{\mathbb{C}}$ . Let  $\lambda \in \mathfrak{h}^*$ .

$$V_{\lambda} = \{v \in V : \pi(H)v = \lambda(H)v, \forall H \in \mathfrak{h}\}$$

Similarly as the case of roots, after equipping  $V$  with a  $\Pi(K)$ -invariant inner product,  $\pi(H)$  is skew-symmetric for any  $H \in \mathfrak{t}$ . Therefore,  $\{\pi(H) : H \in \mathfrak{h}\}$  are simultaneously diagonalizable. So  $V_{\lambda}$  is an eigenspace for some  $\lambda$ .

**Definition 1.** For a representation  $(\pi, V)$ ,  $\lambda \in \mathfrak{h}^*$  is called a weight if

$$V_{\lambda} \neq \{0\}$$

Let  $\Delta(V)$  be the set of all weights.

*Remark.* Firstly, similar as roots,  $\Delta(V) \subset (i\mathfrak{t})^*$  and there is a weight space decomposition of  $V$

$$V = \bigoplus_{\lambda \in \Delta(V)} V_{\lambda}$$

Let  $R$  be the root system with respect to  $\mathfrak{t}$ . Then for any  $\lambda \in \Delta(V)$ ,  $\lambda$  is algebraically integral i.e.  $\lambda(h_{\alpha}) \in \mathbb{Z}$  for any  $\alpha \in R$  by setting  $\mathfrak{sl}(2, \mathbb{C})$  triples. Moreover, for any  $\alpha \in R$  and  $\beta \in \Delta(V)$ , then

$$\pi(\mathfrak{g}_{\alpha})V_{\beta} = V_{\alpha+\beta}$$

Let  $R$  be the root system of  $\mathfrak{g}$  with a system of simple roots  $\Delta$  and

$$\mathfrak{n}^+ = \bigoplus_{\alpha \in R^+} \mathfrak{g}_\alpha, \quad \mathfrak{n}^- = \bigoplus_{\alpha \in R^-} \mathfrak{g}_\alpha$$

**Definition 2.** For a representation  $(\pi, V)$ ,  $\lambda_0 \in \Delta(V)$  is called a highest weight if

$$\mathfrak{n}^+ V_{\lambda_0} = 0, \text{ that is equivalent to } \lambda_0 + \alpha \notin \Delta(V), \forall \alpha \in R^+$$

**Theorem 3.** Let  $G = K_{\mathbb{C}}$  be a reductive Lie group with Lie algebra  $\mathfrak{g} = \mathfrak{k}_{\mathbb{C}}$ . Let  $\mathfrak{t}$  be a Cartan subalgebra of  $\mathfrak{k}$  with the corresponding root system  $R$  and choosing a system of simple roots  $\Delta$ . Let  $(\pi, V)$  be an irreducible representation.

- (1)  $V$  has a unique highest weight  $\lambda_0$  with  $\dim V_{\lambda_0} = 1$ .
- (2)  $\lambda_0$  is dominant.
- (3) With ordering defined on  $(i\mathfrak{t})^*$ ,  $\mu \leq \lambda_0$  for all  $\mu \in \Delta(V)$ .
- (4) If  $(\pi', V')$  is another irreducible representation with the same highest weight, then it is isomorphic to  $(\pi, V)$ .

*Remark.* In fact, for any algebraically integral and dominant  $\lambda$ , there is an irreducible representation  $(\pi_\lambda, V_\lambda)$  with the highest weight  $\lambda$ .

**Example 4** (Representations of torus). Let  $G = (\mathbb{C}^*)^n$ . By taking logarithm of complex numbers, it can see  $\mathfrak{g} = \mathbb{C}^n$ . Clearly

$$\mathfrak{g} = (i\mathbb{R})_{\mathbb{C}}^n \Rightarrow \mathfrak{g} = \mathfrak{k}_{\mathbb{C}}, \text{ where } \mathfrak{k} = \{(i\theta_1, \dots, i\theta_n) : \theta_j \in \mathbb{R}\}$$

By  $K = (S^1)^n$  with the Lie algebra  $\mathfrak{k}$ ,  $(\mathbb{C}^*)^n = (S^1)_{\mathbb{C}}^n$ . Therefore,  $(\mathbb{C}^*)^n$  is a reductive Lie group with Lie algebra  $\mathbb{C}^n$ . Let  $(\pi, V)$  be a representation of  $\mathbb{C}^n$  with the root system  $R$ . And let the  $(\Pi, V)$  be the corresponding representation of  $(\mathbb{C}^*)^n$  and  $\chi: (\mathbb{C}^*)^n \rightarrow \mathbb{C}$  defined as  $\chi(\exp t) = \exp \alpha(t)$  for  $\alpha \in R$ , the root space decomposition is

$$V = \bigoplus_{\chi} V_{\chi}, \text{ where } V_{\chi} = \{v \in V : \Pi(\exp t)v = \chi(\exp t)v, \forall t \in \mathbb{C}^n\}$$

By above, for any such  $\chi$ ,

$$\chi(z_1, \dots, z_n) = z_1^{k_1} \cdots z_n^{k_n}$$

for some  $k_1, \dots, k_n \in \mathbb{Z}$ .

**Example 5** (Representations of  $\mathfrak{sl}(2, \mathbb{C})$ ). Let  $(\pi, V)$  be a representation of  $\mathfrak{sl}(2, \mathbb{C})$ . Since  $\mathfrak{h} = \text{span}_{\mathbb{C}} H$ , any weight  $\lambda \in \mathfrak{h}^*$  can be viewed as  $\lambda = \lambda(H) \in \mathbb{C}$ . If  $\lambda$  is a weight of  $(\pi, V)$  with a  $u \in V_{\lambda}$ , then by above,  $\pi(X)V_{\lambda} \subset V_{\lambda+2}$  with  $\pi(X)u \in V_{\lambda+2}$ . Since  $V$  is finite-dimensional, there is a  $N$  s.t.  $\pi(X)^{N+1}u = 0$  and  $\pi(X)^N u \neq 0$ . Let

$$u_0 = \pi(X)^N u, \quad \lambda_0 = \lambda + 2N$$

In fact,  $\lambda_0$  is a highest weight with highest weight vector  $u_0$ . Then let  $u_k = \pi(Y)^k u_0$ . Similarly, there is a  $m$  s.t.  $u_m \neq 0$  and  $u_{m+1} = 0$ . Since  $[X, Y] = H$ ,  $\pi(H) = [\pi(X), \pi(Y)]$ . So by induction,

$$\pi(X)u_k = k(\lambda_0 - (k-1))u_{k-1}, \quad k \geq 1$$

And

$$0 = \pi(X)u_{m+1} = (m+1)(\lambda_0 - m)u_m, \Rightarrow \lambda_0 = m$$

For vectors  $\{u_0, u_1, \dots, u_m\}$ ,

$$\begin{aligned}\pi(H)u_k &= (m - 2k)u_k \\ \pi(Y)u_k &= \begin{cases} u_{k+1}, & k < m \\ 0, & k = m \end{cases} \\ \pi(X)u_k &= \begin{cases} k(m - (k - 1))u_{k-1}, & k > 0 \\ 0, & k = 0 \end{cases}\end{aligned}$$

So  $W = \text{span}\{u_0, u_1, \dots, u_m\}$  is invariant for  $\pi$ . Therefore, if  $(\pi, V)$  is an irreducible representation, then  $V = W$ . This shows the irreducible representation is uniquely determined by a positive integer  $m$ , i.e. the highest weight. Conversely, for any positive integer  $m$ , there is an irreducible representation  $(\pi, V)$  with the highest weight  $m$  by constructing  $\{u_0, u_1, \dots, u_m\}$ . If  $k \in \mathbb{Z}$  is a weight of  $\pi$  so are

$$-|k|, -|k| + 2, \dots, |k| - 2, |k|$$

### 3 Symplectic Manifolds and Moment Map

#### 3.1 Symplectic Manifolds

Let  $V$  be a finite-dimensional  $\mathbb{R}$ -vector space. A bilinear form  $\omega: V \times V \rightarrow \mathbb{R}$  is called skew-symmetric if

$$\omega(u, v) = -\omega(v, u), \quad \forall u, v \in V$$

If choosing an appropriate basis  $\mathcal{B} = \{u_1, \dots, u_k, e_1, \dots, e_n, f_1, \dots, f_n\}$ , then the matrix expression of  $\omega$  is

$$\omega(u, v) = [u]_{\mathcal{B}}^T \begin{pmatrix} O & O & O \\ O & O & id \\ O & -id & O \end{pmatrix} [v]_{\mathcal{B}}$$

Let  $\ker \omega = \{u \in V : \omega(u, v) = 0, \forall v \in V\} = \text{span}\{u_1, \dots, u_k\}$ . If  $\ker \omega = \{0\}$ , then  $\omega$  is called a symplectic form and  $(V, \omega)$  is called a symplectic vector space. And then

$$\begin{aligned} \omega^b: \quad V &\longrightarrow V^* \\ v &\longmapsto \omega(v, \cdot) \end{aligned}$$

is an isomorphism.

Let  $(V, \omega)$  be a symplectic vector space. A complex structure  $J: V \rightarrow V$  is compatible with  $\omega$  if

$$g(u, v) := \omega(u, Jv), \quad \forall u, v \in V$$

is an inner product. And then

$$J^* \omega(u, v) = \omega(Ju, Jv) = g(Ju, v) = g(v, Ju) = \omega(v, -u) = \omega(u, v)$$

In fact, any two of  $g, \omega$  and  $J$  can induce the left compatible structure.

**Definition 1.** Let  $M$  be a smooth manifold and  $\omega \in \Omega^2(M)$  be a 2-form.  $\omega$  is called a symplectic form if  $\omega$  is closed i.e.  $d\omega = 0$  and  $\omega_m$  is symplectic for any  $m \in M$ . Then  $(M, \omega)$  is called a symplectic manifold.

**Example 2** (Cotangent Bundles). Let  $N$  be a smooth manifold with dimension  $n$  and  $M = T^*N$  be the cotangent bundle with the projection map

$$\begin{aligned} \pi: \quad T^*N &\longrightarrow N \\ p = (x, \xi_x) &\longmapsto x \end{aligned}$$

The tautological 1-form  $\tau \in T^*M$  is defined as

$$\tau_p := T_p \pi^* \xi_x, \text{ i.e. } \langle \tau_p, v \rangle = \langle \xi_x, T_p \pi(v) \rangle, \quad \forall v \in T_p M$$

In fact, for any 1-form  $\alpha \in T^*N$ ,  $\alpha$  can be viewed as  $\alpha: N \rightarrow T^*N$  by  $x \mapsto q = (x, \alpha_x)$ . Then for any  $v \in T_x N$ ,

$$\langle (\alpha^* \tau)_x, v \rangle = \langle \tau_q, T_x \alpha(v) \rangle = \langle \alpha_x, v \rangle \Rightarrow \alpha^* \tau = \alpha$$

Conversely, the  $\tau$  satisfying this property is unique. Define the canonical 2-form  $\omega = -d\tau$  on  $M = T^*N$ . Locally, let  $(q_1, \dots, q_n)$  be a coordinate on an open neighborhood of  $N$ . Let  $p_k$  be the dual coordinate in  $T^*N$ . Then  $(q_1, \dots, q_n, p_1, \dots, p_n)$  is a coordinate of  $M$ . It can see

$$\tau = \sum_{k=1}^n p_k dq_k \Rightarrow \omega = \sum_{k=1}^n dq_k \wedge dp_k$$

Therefore,  $(M, \omega)$  is a symplectic manifold.

*Remark.* (1) A special case is  $\mathbb{C}^n$ . For  $z_k = x_k + iy_k$ ,  $\mathbb{C}^n \simeq T^*\mathbb{R}^n$  and the canonical symplectic form

$$\omega = \sum_{k=1}^n dx_k \wedge dy_k$$

Moreover, by Darboux's theorem, any symplectic manifold is locally symplectomorphic to this structure.

(2) Let  $\mathbb{C}^n$  equipped with the standard inner product  $H$ .

$$\begin{aligned} H &= \sum_{k=1}^n dz_k \otimes d\bar{z}_k \\ &= \sum_{k=1}^n (dx_k + idy_k) \otimes (dx_k - idy_k) \\ &= \sum_{k=1}^n (dx_k \otimes dx_k + dy_k \otimes dy_k) + i(dy_k \otimes dx_k - dx_k \otimes dy_k) \\ &= \operatorname{Re} H - i\omega \end{aligned}$$

Therefore,  $\omega = -\operatorname{Im} H$ . In general case, if  $V$  is a complex vector space with an inner product  $H$ , then  $\omega = -\operatorname{Im} H$  is a symplectic form on  $V$ .

**Example 3** (Coadjoint Orbits). Considering the coadjoint action of a Lie group  $G$  on  $\mathfrak{g}^*$ , the orbit space of  $\xi \in \mathfrak{g}^*$  is

$$M_\xi = G \cdot \xi \simeq G/G_\xi$$

Firstly, for  $X, Y \in \mathfrak{g}$ , let

$$\omega_\xi(X, Y) := \langle \xi, [X, Y] \rangle = \langle -\operatorname{ad}_X^* \xi, Y \rangle$$

Since

$$\begin{aligned} \ker \omega_\xi &= \{X \in \mathfrak{g} : \langle -\operatorname{ad}_X^* \xi, Y \rangle = 0, \forall Y \in \xi\} \\ &= \{X \in \mathfrak{g} : \operatorname{ad}_X^* \xi = 0\} \\ &= \mathfrak{g}_\xi \end{aligned}$$

the induced form of  $\omega_\xi$  also denoted by  $\omega_\xi$  is nondegenerate on  $\mathfrak{g}/\mathfrak{g}_\xi$ . Therefore,  $\omega_\xi$  is nondegenerate on  $T_\xi M_\xi \simeq \mathfrak{g}/\mathfrak{g}_\xi$ . Applying the isomorphism  $G \rightarrow G$  by  $h \rightarrow ghg^{-1}$ ,  $G/G_{g \cdot \xi} \simeq G/G_\xi$ . Therefore,  $T_{g \cdot \xi} M_\xi \simeq \mathfrak{g}/\mathfrak{g}_\xi$ . And thus,  $\omega$  is well-defined on  $TM_\xi$  and nondegenerate everywhere. More explicitly, for any  $X_{g \cdot \xi}, Y_{g \cdot \xi} \in T_{g \cdot \xi} M_\xi$ ,

$$\omega_{g \cdot \xi}(X_{g \cdot \xi}, Y_{g \cdot \xi}) := \langle g \cdot \xi, [X, Y] \rangle$$

The closedness of  $\omega$  is by applying the global formulas for the Lie and exterior derivatives. So  $(M_\xi, \omega)$  is a symplectic manifold.

On a smooth manifold  $M$ , a symplectic form  $\omega$  and an almost complex structure  $J$  are compatible if

$$g_m(X, Y) = \omega_m(X, JY), \forall X, Y \in T_m M$$

is defined as a Riemannian metric on  $M$ . If  $(M, \omega)$  is a symplectic manifold, then there is a compatible almost complex structure  $J$  on  $M$  because the Riemannian metric always exists.

**Definition 4.** A Kähler manifold  $(M, \omega, J)$  is a complex manifold with a compatible symplectic form. Then  $\omega$  is called a Kähler form.

If  $(M, \omega, J)$  is a Kähler manifold and locally

$$\omega = \sum_{k < j} a_{kj} dz_k \wedge dz_j + b_{kj} dz_k \wedge d\bar{z}_j + c_{kj} d\bar{z}_k \wedge d\bar{z}_j$$

then by  $J^*\omega = \omega$  and  $J^*dz = idz$ ,  $J^*d\bar{z} = -id\bar{z}$ ,

$$\omega = \frac{i}{2} \sum_{k,j=1}^n h_{kj} dz_k \wedge d\bar{z}_j$$

And by the properties of  $\omega$ , it can see  $H = (h_{kj})$  is Hermitian and positive definite. This property can be applied to define the Kähler form on a complex manifold.

Firstly, for a complex manifold  $M$ ,  $f \in C^\infty(M, \mathbb{R})$  is called strictly plurisubharmonic (spsh) if locally,  $\left(\frac{\partial^2 f}{\partial z_k \partial \bar{z}_j}\right)$  is positive definite.

**Theorem 5.** Let  $M$  be a complex manifold and  $f \in C^\infty(M, \mathbb{R})$ .  $f$  is spsh if and only if

$$\omega = \frac{i}{2} \partial \bar{\partial} f$$

is a Kähler form.

**Example 6.** For  $M = \mathbb{C}^n$  complex manifold, let  $f(z) = |z|^2 = \sum_k z_k \bar{z}_k$ . Then

$$\frac{\partial^2 f}{\partial z_k \partial \bar{z}_j} = \delta_{kj}$$

that is spsh. And

$$\begin{aligned} \frac{i}{2} \partial \bar{\partial} f &= \frac{i}{2} \partial \sum_k z_k d\bar{z}_k = \frac{i}{2} \sum_k dz_k \wedge d\bar{z}_k \\ &= \sum_k dx_k \wedge dy_k \\ &= \omega \end{aligned}$$

### 3.2 Hamiltonian Action and Moment Map

Let  $(M, \omega)$  be a symplectic manifold. For a smooth function  $f: M \rightarrow \mathbb{R}$ , define  $X_f \in \text{Vect}(M)$  as  $X_f = (\omega^\flat)^{-1}(df)$ , and so

$$df = \omega^\flat(X_f) = \omega(X_f, \cdot) = \iota_{X_f} \omega$$

where  $\iota_{X_f}$  is the contraction map. Then  $X_f$  is called a Hamiltonian vector field. Let

$$\text{Vect}_{Ham}(M) = \{X \in \text{Vect}(M) : \exists f \in C^\infty(M), X = X_f\}$$

For any Hamiltonian vector field  $X_f$ ,

$$\mathcal{L}_{X_f} f = df(X_f) = \omega(X_f, X_f) = 0$$

which means  $f$  is preserved along  $X_f$  and by the Cartan's formula,

$$\mathcal{L}_{X_f} \omega = \iota_{X_f} d\omega + d\iota_{X_f} \omega = 0$$

which means  $\omega$  is also preserved along  $X_f$ .

**Example 1.** Let  $M = T^*\mathbb{R}^n$  with the coordinate  $(q_1, \dots, q_n, p_1, \dots, p_n)$  and the canonical symplectic form  $\omega = \sum_k dq_k \wedge dp_k$  be the configuration space. Let  $q_k(t)$  be the position coordinates and  $p_k(t) = \dot{q}_k(t)$  be the moment coordinate. Let  $H: T^*\mathbb{R}^n \rightarrow \mathbb{R}$  be the energy function

$$H(q, p) = \frac{1}{2} |p|^2 + V(q)$$

where  $V(q)$  is the potential function. Then the corresponding Hamiltonian vector field

$$X_H = \sum_{k=1}^n \frac{\partial H}{\partial p_k} \frac{\partial}{\partial q_k} - \frac{\partial H}{\partial q_k} \frac{\partial}{\partial p_k}$$

Therefore, the flow of  $X_H$  satisfies

$$\dot{q}_k(t) = \frac{\partial H}{\partial p_k} = p_k(t), \quad \dot{p}_k(t) = -\frac{\partial H}{\partial q_k} = -\frac{\partial V}{\partial q_k}$$

Therefore, it is the Newton's second law

$$\ddot{q}(t) = -\nabla V$$

i.e. the energy is preserved along the flow.

Let  $G$  be a Lie group and  $(M, \omega)$  be a symplectic manifold. The Lie group action  $G \curvearrowright M$  is called symplectic if  $\tau(g)$  is symplectomorphic, i.e.  $\tau(g)^*\omega = \omega$ , for any  $g \in G$ , where  $\tau: G \rightarrow \text{Diff}(M)$ .

**Definition 2.** Let a Lie group action of  $G \curvearrowright (M, \omega)$  be symplectic. This action is called a Hamiltonian action if there is a smooth function

$$\mu: M \longrightarrow \mathfrak{g}^*$$

(1)  $\mu$  is  $G$ -equivariant i.e.  $\mu(g \cdot m) = \text{Ad}_g^* \mu(m)$ ,  $\forall g \in G, m \in M$

(2) for all  $X \in \mathfrak{g}$ ,

$$d\mu_X = \iota_{X_M} \omega$$

where  $\mu_X: M \rightarrow \mathbb{R}$  defined as  $\mu_X(m) = \langle \mu(m), X \rangle$ .

Then  $(G \curvearrowright M, \omega, \mu)$  is called a Hamiltonian  $G$ -space.

*Remark.* (1) It is called Hamiltonian because for any  $X \in \mathfrak{g}$ ,  $X_M \in \text{Vect}_{Ham}(M)$ .

(2) If  $\mu$  and  $\nu$  are two moment maps for the same Hamiltonian action, then

$$d(\mu_X - \nu_X) = 0, \quad \forall X \in \mathfrak{g}$$

So  $\mu_X - \nu_X = \xi_X$  where  $\xi_X$  is a constant function on  $M$ . Moreover,  $\xi: \mathfrak{g} \rightarrow \mathbb{R}$  is linear. So  $\xi \in \mathfrak{g}^*$ . Then  $\mu - \nu = \xi$  and by equivariance of the moment map,  $\xi$  is fixed by the coadjoint action.

**Example 3** (Operations). (1) Let  $(G \curvearrowright M_1, \omega_1, \mu_1)$  and  $(G \curvearrowright M_2, \omega_2, \mu_2)$  be two Hamiltonian  $G$ -spaces. Then there is a canonical symplectic form  $\omega_1 \times \omega_2$  on  $M_1 \times M_2$  defined as

$$\omega_1 \times \omega_2 = \pi_1^* \omega_1 + \pi_2^* \omega_2$$

where  $\pi_j: M_1 \times M_2 \rightarrow M_j$  is the projection. Moreover, after considering  $G \curvearrowright M_1 \times M_2$  as

$$g \cdot (m_1, m_2) := (g \cdot m_1, g \cdot m_2)$$

This action is Hamiltonian with the moment map defined as

$$\mu_1 \times \mu_2(m_1, m_2) := \mu_1(m_1) + \mu_2(m_2)$$

- (2) Let  $M$  be a symplectic manifold. Suppose the actions of  $G_1$  and  $G_2$  on  $M$  are Hamiltonian with moment maps  $\mu_1$  and  $\mu_2$  respectively and are commutative. Then  $G_1 \times G_2$  acts  $M$  is Hamiltonian with the moment map

$$\mu_1 \oplus \mu_2: M \longrightarrow \mathfrak{g}_1^* \oplus \mathfrak{g}_2^*$$

- (3) Let  $(G \curvearrowright M, \omega, \mu)$  be a Hamiltonian  $G$ -space. Suppose  $H$  is Lie subgroup of  $G$  with Lie algebra  $\mathfrak{h}$  and the inclusion map  $i: \mathfrak{h} \hookrightarrow \mathfrak{g}$ . Then  $H$  acting on  $M$  is also Hamiltonian and the moment map is

$$\mu': M \xrightarrow{\mu} \mathfrak{g}^* \xrightarrow{i^*} \mathfrak{h}^*$$

where  $i^*: \mathfrak{g}^* \rightarrow \mathfrak{h}^*$  be the dual map of  $i$ .

- (4) Let  $(G \curvearrowright M, \omega, \mu)$  be a Hamiltonian  $G$ -space and  $N \subset M$  be a submanifold s.t.  $G$  acts  $N$  is invariant and  $i^* \omega$  is a symplectic form on  $N$ , where  $i: N \hookrightarrow M$ . Then action of  $G$  on  $N$  is Hamiltonian with the moment map  $\mu'$  defined as

$$\mu': N \xrightarrow{i} M \xrightarrow{\mu} \mathfrak{g}^*$$

**Example 4** (Cotangent Bundles). Let  $N$  be a smooth manifold and  $M = T^*N$  with the canonical symplectic form  $\omega$ . Suppose there is a Lie group action of  $G$  on  $N$ . The induced action of  $G$  on  $M$  is defined as

$$g \cdot (n, \eta) := (g \cdot n, (T_n g^{-1})^* \eta), \quad \forall \eta \in T_n^* N$$

Then this action is Hamiltonian with the moment map

$$\mu_X = \iota_{X_M} \tau, \text{ i.e. } \langle \mu(p), X \rangle = \langle \eta, T_p \pi(X_M(p)) \rangle, \quad p = (n, \eta) \in M$$

where  $\tau$  is the tautological 1-form and  $\pi: T^*N \rightarrow N$ .

*Proof.* Let  $p = (n, \eta) \in M$ . By definition,  $\pi(g \cdot p) = g \cdot \pi(p)$ . Therefore,

$$T_{g \cdot p} \circ T_p g = T_n g \circ T_p \pi, \quad T_p g^* \circ T_{g \cdot p}^* = T_p \pi^* \circ T_n g^*$$

Since  $\tau$  is the tautological 1-form,  $\tau_p = T_p \pi^* \eta$ . So

$$(g^* \tau)_p = T_p g^* \tau_{g \cdot p} = T_p g^* T_{g \cdot p} \pi^* (T_n g^{-1})^* \eta = T_p \pi^* \eta = \tau_p$$



Therefore,  $\tau$  is invariant for this action. Then by definition,  $\mathcal{L}_{X_M}\tau = 0$ . And by Cartan's formula,

$$\mathcal{L}_{X_M}\tau = d\iota_{X_M}\tau + \iota_{X_M}\mathcal{L}\tau \Rightarrow d\mu_X = \iota_{X_M}\omega$$

For the equivariance, since

$$\begin{aligned} (\text{Ad}_{g^{-1}} X)_M(p) &= \left. \frac{d}{dt} \right|_{t=0} \exp(t \text{Ad}_{g^{-1}} X) \cdot p \\ &= \left. \frac{d}{dt} \right|_{t=0} g^{-1} \exp(tX) g \cdot p \\ &= T_p g^{-1} X_M(g \cdot p) \\ \langle \mu(g \cdot p), X \rangle &= \langle (T_g g^{-1})^* \eta, T_{g \cdot p} \pi X_M(g \cdot p) \rangle \\ &= \langle \eta, T_g g^{-1} T_{g \cdot p} \pi X_M(g \cdot p) \rangle \\ &= \langle \eta, T_p \pi T_p g^{-1} X_M(g \cdot p) \rangle \\ &= \langle \eta, T_p \pi (\text{Ad}_{g^{-1}} X)_M(p) \rangle \\ &= \langle \mu(p), \text{Ad}_{g^{-1}} X \rangle \\ &= \langle \text{Ad}_g^* \mu(p), X \rangle \end{aligned}$$

Thus,  $\mu(g \cdot p) = \text{Ad}_g^* \mu(p)$ . □

**Example 5** (Coadjoint Orbits). Considering the coadjoint action of a Lie group  $G$  on  $\mathfrak{g}^*$ , the orbit space  $G \cdot \xi$  of  $\xi \in \mathfrak{g}^*$  can be equipped with a symplectic form  $\omega$ . Then the coadjoint action of  $G$  on  $G \cdot \xi$  is Hamiltonian with the moment map  $\mu$  that is the inclusion

$$\mu: G \cdot \xi \hookrightarrow \mathfrak{g}^*$$

*Proof.* Firstly, for  $g \cdot \xi \in G \cdot \xi$  and  $h \in G$ ,

$$\mu(h \cdot (g \cdot \xi)) = h \cdot (g \cdot \xi) = h \cdot \mu(g \cdot \xi)$$

So it is clearly  $G$ -equivariant. And for  $X, Y \in \mathfrak{g}$

$$\begin{aligned} \langle (d\mu_X)_{g \cdot \xi}, Y_{g \cdot \xi} \rangle &= \left. \frac{d}{dt} \right|_{t=0} \mu_X(\exp tY \cdot g \cdot \xi) \\ &= \left. \frac{d}{dt} \right|_{t=0} \langle g \cdot \xi, \text{Ad}_{\exp(-tY)} X \rangle \\ &= \langle g \cdot \xi, [X, Y] \rangle \\ &= \omega_{g \cdot \xi}(X_{g \cdot \xi}, Y_{g \cdot \xi}) \end{aligned}$$

Therefore,  $d\mu_X = \iota_{X_M}\omega$ . □

**Example 6** (Vector Spaces). Let  $M = \mathbb{C}^n$  with the standard inner product  $H$ . By above, the canonical symplectic form is given by

$$\omega = -\text{Im } H$$

Let  $K = U(n)$  be the Lie group acting on  $M$  naturally. This action is Hamiltonian with the moment map  $\mu: M \rightarrow \mathfrak{k}^*$  defined as

$$\mu_X(z) = \langle \mu(z), X \rangle := \frac{iH(Xz, z)}{2}$$

where  $\mathfrak{k} = \mathfrak{u}(n)$  acts on  $M$  naturally.

*Proof.* For any  $X \in \mathfrak{k}$  and  $\xi \in T_z M = \mathbb{C}^n$ ,

$$\begin{aligned}\langle (d\mu_X)_z, \xi \rangle &= \left. \frac{d}{dt} \right|_{t=0} \mu_X (\exp(t\xi) \cdot z) \\ &= \frac{i}{2} \left. \frac{d}{dt} \right|_{t=0} H(X \exp(t\xi)z, \exp(t\xi)z) \\ &= \frac{i}{2} (H(X\xi, z) + H(Xz, \xi))\end{aligned}$$

Firstly,  $X \in \mathfrak{k} = \mathfrak{u}(n)$  so  $X^\dagger = -X$ .

$$H(X\xi, z) = -H(\xi, Xz) = -\overline{H(Xz, \xi)}$$

Therefore,

$$\begin{aligned}\langle (d\mu_X)_z, \xi \rangle &= \frac{i}{2} \left( H(Xz, \xi) - \overline{H(Xz, \xi)} \right) \\ &= -\operatorname{Im} H(Xz, \xi) \\ &= \omega(Xz, \xi)\end{aligned}$$

And since  $K$  acts  $M$  linearly,

$$X_M(z) = \left. \frac{d}{dt} \right|_{t=0} \exp(tX) \cdot z = \left( \left. \frac{d}{dt} \right|_{t=0} \exp(tX) \right) z = Xz$$

So  $\langle (d\mu_X)_z, \xi \rangle = \omega(X_M(z), \xi)$  that is  $d\mu_X = \iota_{X_M} \omega$ . For the equivariance, let  $A \in K$ ,

$$\begin{aligned}\langle \mu(Az), X \rangle &= \frac{iH(XAz, Az)}{2} \\ &= \frac{iH(A^{-1}XAz, z)}{2} \\ &= \langle \mu(z), \operatorname{Ad}_{A^{-1}} X \rangle \\ &= \langle \operatorname{Ad}_A^* \mu(z), X \rangle\end{aligned}$$

□

*Remark.* In the general case, let  $M = V$  be a complex vector space and  $K$  be a compact Lie group. And  $K$  acts on  $V$  linearly i.e. there is Lie group homomorphism

$$\Pi: K \rightarrow GL(V)$$

and inducing a Lie group homomorphism

$$\pi: \mathfrak{k} \rightarrow \operatorname{End}(V)$$

where  $\pi = T_e \Pi$ . And  $\Pi \circ \exp = \exp \circ \pi$ . Moreover, there is a  $K$ -invariant inner product  $H$  on  $V$  i.e.

$$H(\Pi(k)v, \Pi(k)w) = H(v, w), \quad H(\pi(X)v, w) = -H(v, \pi(X)w)$$

So  $\Pi(K) \subset U(n)$  and  $\pi(\mathfrak{k}) \subset \mathfrak{u}(n)$ . Therefore, when  $V$  is equipped with then canonical symplectic form  $\omega = -\operatorname{Im} H$ , then the action of  $K$  on  $V$  is Hamiltonian with the moment map

$$\mu_X(v) = \langle \mu(v), X \rangle := \frac{iH(\pi(X)v, v)}{2}, \quad \forall X \in \mathfrak{k}, v \in V$$

### 3.3 Symplectic Reduction and Projective Space

Let  $(M, \omega)$  be a symplectic manifold and the action of  $G$  on  $M$  be Hamiltonian with the moment map  $\mu: M \rightarrow \mathfrak{g}^*$ . A point  $m \in M$  of  $\mu$  is called regular if

$$T_m\mu: T_mM \longrightarrow \mathfrak{g}^*$$

is surjective. And an element  $\xi \in \mathfrak{g}^*$  is called a regular value if any point in  $\mu^{-1}(\xi)$  is regular.

**Proposition 1.** *A point  $m \in M$  is regular if and only if  $\mathfrak{g}_m = 0$ .*

*Proof.* Firstly,  $\mathfrak{g}_m = \{X \in \mathfrak{g}: X_M(m) = 0\} = 0$  if and only if

$$X_M(m) = 0 \Rightarrow X = 0 \quad (*)$$

Since  $\omega$  is nondegenerate,  $X_M(m) = 0$  if and only if

$$\omega(X_M(m), v) = 0 \quad \forall v \in T_mM \Leftrightarrow (d\mu_X)_m = 0$$

Let  $i_X: \mathfrak{g}^* \rightarrow \mathbb{R}$  by  $i_X(\xi) = \langle \xi, X \rangle$ . Then

$$(d\mu_X)_m = (d(i_X \circ \mu))_m = i_X \circ T_m\mu$$

So  $(d\mu_X)_m = 0$  if and only if  $\text{Im } T_m\mu \subset \ker i_X$ . Therefore,  $(*)$  is true if and only if  $T_m\mu$  is surjective.  $\square$

Then it can consider the quotient symplectic space by applying the moment map.

**Theorem 2** (Marsden-Weinstein-Meyer).  *$(M, \omega)$  is a connected symplectic manifold and  $G$  is a Lie group and the action of  $G$  on  $M$  is Hamiltonian with a moment map  $\mu: M \rightarrow \mathfrak{g}^*$ . Let  $\xi \in \mathfrak{g}^*$  be a regular value of  $\mu$  and  $G$  act  $\mathfrak{g}^*$  by adjoint action. Suppose the restricted action of  $G_\xi$  on  $\mu^{-1}(\xi)$  is proper and free so that*

$$M^\xi = \mu^{-1}(\xi)/G_\xi$$

*is a smooth manifold. Then there is a unique symplectic manifold  $\omega^\xi$  s.t.*

$$\pi^*\omega^\xi = i^*\omega$$

*where  $\pi: \mu^{-1}(\xi) \rightarrow M^\xi$  projection and  $i: \mu^{-1}(\xi) \hookrightarrow M$  inclusion.*

*Remark.*  $(M^\xi, \omega^\xi)$  is called the symplectic reduction of the Hamiltonian action at  $\xi$ . In particular, if  $0 \in \mathfrak{g}^*$  satisfies the above conditions, then because  $G_0 = G$ ,

$$M//G = \mu^{-1}(0)/G$$

In fact, the general case can be induced from this by applying the shifting trick.

Moreover, on the reduction space, it can also consider the Hamiltonian action. Suppose a Lie group  $G$  acts on a symplectic manifold  $(M, \omega)$  and this action is Hamiltonian with the moment map  $\mu: M \rightarrow \mathfrak{g}^*$ . Let  $\tilde{H}$  be a closed subgroup of  $G$  s.t. the restricted actions of  $\tilde{H}$  on  $M$  is commutative with the action of  $G$  on  $M$ . By above example, the action of  $\tilde{H}$  on  $M$  is also Hamiltonian with the moment map

$$\tilde{\mu}: M \xrightarrow{\mu} \mathfrak{g}^* \xrightarrow{\tilde{i}^*} \tilde{\mathfrak{h}}^*$$

where  $\tilde{i}: \mathfrak{g} \hookrightarrow \tilde{\mathfrak{h}}$  is the inclusion. Let  $\xi \in \tilde{\mathfrak{h}}^*$  be a regular value of  $\tilde{\mu}$ , which satisfies the above condition. Then considering the symplectic reduction of  $\tilde{\mu}$  at  $\xi$ , let

$$\tilde{M}^\xi = \tilde{\mu}^{-1}(\xi)/\tilde{H}_\xi$$

Assume  $\tilde{\mu}^{-1}(\xi)$  is  $G$ -invariant. It can induce the action of  $G$  on  $\tilde{\mu}^{-1}(\xi)$ . Because the action of  $\tilde{H}$  on  $M$  is commutative with the action of  $G$  on  $M$ ,

$$[g \cdot h \cdot m] = [g \cdot m], \quad \forall g \in G, h \in \tilde{H}, m \in M$$

the induced action of  $G$  on  $\tilde{M}^\xi$  is well-defined. Moreover, this action is also Hamiltonian with the moment map  $\mu': \tilde{M}^\xi \rightarrow \mathfrak{g}^*$  induced by

$$\tilde{\mu}^{-1}(\xi) \xhookrightarrow{\iota} M \xrightarrow{\mu} \mathfrak{g}^*$$

Now, considering a special case, let  $M = \mathbb{C}^n$  with the standard inner product  $H$ . Thus,  $(M, \omega = -\text{Im } H)$  is a symplectic manifold. And let  $G = U(n)$  acting on  $M$ . By above, it is a Hamiltonian action with the moment map

$$\langle \mu(z), X \rangle = \frac{iH(Xz, z)}{2}$$

Let

$$U(1) = \{z \in \mathbb{C}: |z| = 1\} \simeq \{zI_n: |z| = 1\} \subset U(n)$$

be a subgroup of  $U(n)$  and the Lie algebra  $\mathfrak{u}(1) \simeq i\mathbb{R}$  and an element  $1^* \in \mathfrak{u}(1)^*$  defined as

$$\langle 1^*, i \rangle := 1$$

Let  $U(1) = \tilde{H}$  acting on  $M$  with the induced moment map  $\tilde{\mu}$ . Considering  $\tilde{\mu}^{-1}(-1^*)$ , let  $z \in \tilde{\mu}^{-1}(-1^*)$ , for any  $X = it \in \mathfrak{u}(1) = i\mathbb{R}$ ,

$$\langle \tilde{\mu}(X), z \rangle = \frac{iH(Xz, z)}{2} = \frac{-tH(z, z)}{2} = \langle -1^*, X \rangle = -t$$

So

$$\tilde{\mu}^{-1}(-1^*) = \{z \in M: H(z, z) = 2\}$$

Then for any  $z \in \tilde{\mu}^{-1}(-1^*)$ , then  $z \neq 0$  and thus

$$U(1)_z = \{I\} \Rightarrow \mathfrak{u}(1)_z = 0$$

Therefore, by the above proposition, any  $z \in \tilde{\mu}^{-1}(-1^*)$  is regular, i.e.  $-1^*$  is a regular value. And since

$$\langle \text{Ad}_z^*(-1^*), it \rangle = \langle -1^*, \text{Ad}_{z^{-1}} it \rangle = \langle -1^*, it \rangle$$

$U(1)_{-1^*} = U(1)$ . Then it can see

$$\tilde{\mu}^{-1}(-1^*)/U(1) \simeq \mathbb{C}P^{n-1}$$

So there is a unique symplectic form  $\omega_{FS}$  on  $\mathbb{C}P^{n-1}$  s.t.  $\pi^*\omega_{FS} = \tilde{i}^*\omega$ , which is called the Fubini-Study form.

And since for any  $g \in G = U(n)$  and  $z \in \tilde{\mu}^{-1}(-1^*)$

$$H(g \cdot z, g \cdot z) = H(z, z) = 2$$

$\tilde{\mu}^{-1}(-1^*)$  is  $G$ -invariant. And clearly the action of  $\tilde{H} = U(1)$  and the action of  $U(n)$  on  $M$  are commutative. Therefore, the action of  $U(n)$  on  $\mathbb{C}P^{n-1}$  is Hamiltonian with the moment map  $\mu': \mathbb{C}P^{n-1} \rightarrow \mathfrak{u}(n)^*$  defined as

$$\langle \mu'([z]), X \rangle = \langle \mu(z), X \rangle = \frac{iH(Xz, z)}{2}$$

for  $[z] \in \mathbb{C}P^{n-1}$  i.e.  $H(z, z) = 2$ . Therefore,

$$\langle \mu'([z]), X \rangle = \frac{iH(Xz, z)}{H(z, z)}, \quad \forall z \in \mathbb{C}^n \setminus \{0\}$$

*Remark.* Let  $V$  be an  $n$ -dimensional complex vector space and  $K$  be a compact Lie group such that  $K$  acts  $V$  linearly, i.e. there are a Lie group homomorphism and a Lie algebra homomorphism

$$\Pi: K \longrightarrow GL(V), \quad \pi = T_e \Pi: \mathfrak{k} \longrightarrow \text{End}(V)$$

After equipping  $V$  with a  $K$ -invariant inner product  $H$ ,

$$\Pi(K) \subset U(n), \quad \pi(\mathfrak{k}) \subset \mathfrak{u}(n)$$

By above, with the symplectic form  $\omega = -\text{Im } H$ , the action of  $K$  on  $V$  is Hamiltonian. Similarly, considering the symplectic reduction of  $U(1)$  at  $-1^*$ , the projective space  $\mathbb{P}(V)$  is a symplectic manifold with the Fubini-Study form  $\omega_{FS}$ . And the induced action of  $K$  on  $\mathbb{P}(V)$  is Hamiltonian with the moment map  $\mu: \mathbb{P}(V) \rightarrow \mathfrak{k}^*$ ,

$$\langle \mu([v]), X \rangle = \frac{iH(\pi(X)v, v)}{H(v, v)}, \quad \forall v \in V \setminus \{0\}, \quad X \in \mathfrak{k}$$

In fact,  $\mu$  can be also viewed as on  $V \setminus \{0\}$  for some cases.

### 3.4 Convexity Theorems

Considering commutative Hamiltonian actions i.e. the Lie group is commutative, the image of the moment map is a convex polytope.

**Theorem 1** (Atiyah-Guillemin-Sternberg). *Let  $(M, \omega)$  be a connected and compact symplectic manifold and  $T$  be a commutative compact Lie group. Assume the action of  $T$  on  $M$  is Hamiltonian with the moment map  $\mu: M \rightarrow \mathfrak{t}^*$ . Let fixed point set*

$$M^T = \{m \in M: t \cdot m = m, \quad \forall t \in T\}$$

*Then  $\mu(M^T)$  is a finite set in  $\mathfrak{t}^*$  and*

$$\mu(M) = \text{conv } \mu(M^T)$$

*Remark.* There is a variant version of the above theorem. Let  $T$  be a compact commutative and  $(M, J, \omega)$  be a compact Kähler manifold such that  $T$  act  $M$  is Hamiltonian and  $J$ -invariant. Let  $T_{\mathbb{C}}$  be the complexification of  $T$ . Then

$$\mu(\overline{T_{\mathbb{C}} \cdot v}) = \text{conv } \{\overline{T_{\mathbb{C}} \cdot v} \cap M^{T_{\mathbb{C}}}\}, \quad \forall v \in M$$

**Example 2.** Let  $T = (S^1)^n$  act on  $\mathbb{C}P^{n-1}$  naturally i.e

$$(e^{i\theta_1}, \dots, e^{i\theta_n}) \sim \begin{pmatrix} e^{i\theta_1} & & \\ & \ddots & \\ & & e^{i\theta_n} \end{pmatrix} \in U(n)$$

This action is Hamiltonian with the moment map  $\mu$  as above example.  $T$  is a compact connected Lie group and  $\mathbb{C}P^{n-1}$  is connected and compact. Therefore,  $\mu(\mathbb{C}P^{n-1})$  is convex. Or more explicitly, for  $X = (i\theta_1, \dots, i\theta_n) \in \mathfrak{t}$  and  $z = (z_1, \dots, z_n) \in \mathbb{C}^n \setminus \{0\}$ ,

$$\begin{aligned} \langle \mu([z]), X \rangle &= \frac{i \langle Xz, z \rangle}{|z|^2} \\ &= -\frac{1}{|z|^2} \sum_{j=1}^n \theta_j |z_j|^2 \end{aligned}$$

Therefore, by equipping  $U(n)$  with the trace inner product,

$$\mu([z]) = \frac{i}{|z|^2} (|z_1|^2, \dots, |z_n|^2) \in \mathfrak{t}^*$$

If viewing  $\mathfrak{t}^* \simeq \mathbb{R}^n$ , then

$$\mu(\mathbb{C}P^{n-1}) = \left\{ (x_1, \dots, x_n) \in \mathbb{R}^n : x_j \geq 0, \sum_{j=1}^n x_j = 1 \right\}$$

**Example 3** (Horn's Theorem). Let  $\text{Herm}(n)$  be the set of all  $n \times n$  Hermitian matrices and  $\text{Diag}(n)$  be the set of all diagonal matrices and  $HD(n) = \text{Diag}(n) \cap \text{Herm}(n)$

$$\begin{aligned} \pi: \quad \text{Herm}(n) &\longrightarrow HD(n) \simeq \mathbb{R}^n \\ [a_{ij}] &\longmapsto [a_{ii}] \end{aligned}$$

Then for  $D \in HD(n)$  and  $M = \{gDg^{-1} : g \in U(n)\}$ ,

$$\pi(M) = \text{conv} \{ \sigma D \sigma^{-1} : \sigma \in \mathcal{S}_n \}$$

*Proof.* Let  $G = U(n)$  then

$$\text{Lie } U(n) = \mathfrak{u}(n) = i \text{Herm}(n) \Rightarrow \mathfrak{u}^*(n) \simeq \text{Herm}(n)$$

Let  $T = U(n) \cap \text{Diag}(n)$  then  $\mathfrak{t} = iHD(n)$  and  $\mathfrak{t}^*(n) \simeq HD(n)$ . Since the coadjoint action of  $U(n)$  on  $\mathfrak{u}(n)^*$  is

$$\text{Ad}_g^* X = gXg^{-1}$$

after viewing  $D$  as an element in  $\mathfrak{u}^*(n)$ ,  $M = U(n) \cdot D$  is the coadjoint orbit. And the action of  $U(n)$  on  $M$  is Hamiltonian with the moment map  $\mu$  that is the inclusion  $U(n) \cdot D \hookrightarrow \text{Herm}(n)$ . Then considering the restricted action of  $T$  on  $M$ , it is also Hamiltonian with the moment map  $\tilde{\mu}$

$$\tilde{\mu}: M \xhookrightarrow{\mu} \text{Herm}(n) \xrightarrow{\pi} HD(n)$$

Therefore,  $\tilde{\mu} = \pi|_M$ . And clearly

$$M^T = \{\sigma D \sigma^{-1} : \sigma \in \mathcal{S}_n\}$$

Thus by AGS theorem,

$$\tilde{\mu}(M) = \pi(M) = \text{conv} \{\sigma D \sigma^{-1} : \sigma \in \mathcal{S}_n\} \quad \square$$

For the noncommutative case, it should consider the positive Weyl Chamber. For a compact Lie group  $K$  with Lie algebra  $\mathfrak{k}$ , if choosing a Cartan algebra  $\mathfrak{t}$  and a fundamental system of roots  $\Delta$ , the closed positive Weyl chamber is in  $(i\mathfrak{t})^*$  that does not intersect the image of the moment map. Therefore, there are two methods to make them compatible. First, by defining the root space as

$$\mathfrak{k}_\alpha = \{X \in \mathfrak{k} : [H, X] = i\alpha(H)X, \forall H \in \mathfrak{t}\}$$

It is well-defined since all eigenvalues of  $\text{ad}_H$  is pure imaginary. Then the closed positive Weyl chamber denoted by  $\mathfrak{k}_+^*$  is in  $\mathfrak{k}^*$  that is compatible with the image of  $\mu$ . Second, by replacing  $\mu$  by  $i\mu$ , then the image of  $\mu$  is in  $(i\mathfrak{k})^*$  that is compatible with the Weyl chamber. For example, considering the moment map on  $\mathbb{P}(V)$

$$\langle \mu([v]), X \rangle = \frac{iH(Xv, v)}{H(v, v)} = \frac{H(v, (iX)v)}{H(v, v)} =: \langle i\mu([v]), iX \rangle, \forall X \in \mathfrak{k}$$

so if  $\mu := i\mu$ , for all  $Y \in i\mathfrak{k}$ ,

$$\langle \mu([v]), Y \rangle = \frac{H(v, Yv)}{H(v, v)}$$

**Theorem 4** (Kirwan). *Let  $(M, \omega)$  be a compact and connected symplectic manifold,  $K$  be a compact Lie group. The action of  $K$  on  $M$  is Hamiltonian with the moment map  $\mu: M \rightarrow \mathfrak{k}^*$ . Let  $\mathfrak{k}_+^*$  be a closed Weyl chamber of a Cartan subalgebra of  $\mathfrak{k}$  in  $\mathfrak{k}$ . Then*

$$\mu(M) \cap \mathfrak{k}_+^*$$

*is a convex polytope, called the moment polytope.*

For the projective space, there is another statement by Guillemin and Sternberg in [GS84].

**Theorem 5** (Guillemin-Sternberg). *Let  $V$  be a finite-dimensional  $\mathbb{C}$ -vector space with an inner product  $H$  s.t. a compact Lie group  $K$  act  $V$  unitarily, i.e. there is a Lie group homomorphism  $\Pi: K \rightarrow U(V)$ . And let  $\pi = T_e \Pi$ .*

*Let  $L \subset V \setminus \{0\}$  be a complex submanifold that is invariant for the action of  $K$  and  $\mathbb{C}^*$ . Let  $M = L/\mathbb{C}^* \subset \mathbb{P}(V)$ . Then the induced action of  $K$  on  $\mathbb{P}(V)$  is Hamiltonian with the moment map  $\mu: M \rightarrow \mathfrak{k}^*$*

$$\langle \mu([v]), X \rangle = \frac{iH(\pi(X)v, v)}{H(v, v)}$$

*Suppose  $M$  is connected. Then  $\mu(M) \cap \mathfrak{k}_+^*$  is a convex polytope for a closed Weyl chamber  $\mathfrak{k}_+^*$  of a Cartan subalgebra  $\mathfrak{t}$  of  $\mathfrak{k}$ .*

*Remark.* For a special case, let  $G = K_{\mathbb{C}}$ . Then for any  $v \in V \setminus \{0\}$ ,  $G \cdot v$  is a complex submanifold of  $V$ . Therefore,

$$\mathcal{P}_v = \mu(\overline{G \cdot [v]}) \cap \mathfrak{t}_+^*$$

is a convex polytope. And since  $\mu([v]) = \mu(v)$ ,  $\mathcal{P}_v = \mu(\overline{G \cdot v}) \cap \mathfrak{t}_+^*$

Let  $G = GL(n, \mathbb{C})$  (or  $SL(n, \mathbb{C})$ ) and  $K = U(n)$  (or  $SL(n, \mathbb{C})$ ), so  $G = K_{\mathbb{C}}$ . And let  $U(n)$  act on some vector space linearly (may not be standard) s.t. it is Hamiltonian with moment map  $\mu (= i\mu)$ . Choosing the root system and positive Weyl chamber as the above example, then

$$\mathcal{P}_v = \mu(\overline{G \cdot v}) \cap \mathfrak{t}_+^* = \overline{\{\text{spec}(\mu(w)) : w \in G \cdot v\}}$$

**Example 6** (Horn's Problem). Let  $G = GL(n, \mathbb{C})^3$  act on  $V = M(n, \mathbb{C})^{\oplus 2}$  by

$$(g_1, g_2, g_3) \cdot (X, Y) := (g_1 X g_3^{-1}, g_2 Y g_3^{-1})$$

Then the induced action on the projective space is Hamiltonian with the moment map is

$$\mu(X, Y) = \frac{(XX^\dagger, YY^\dagger, -X^\dagger X - Y^\dagger Y)}{\|X\|^2 + \|Y\|^2} \quad (*)$$

with respect to the trace inner product and the moment polytope is

$$\mathcal{P} = \{(\text{spec}(A), \text{spec}(B), \text{spec}(-A - B)) : A, B \geq 0, \text{tr}(A) + \text{tr}(B) = 1\}$$

*Proof.* Firstly, let  $U(n)$  act  $M(n, \mathbb{C})$  as  $g \cdot A := gA$ . Clearly, the trace inner product is  $U(n)$ -invariant. Therefore, by above this action is Hamiltonian with the moment map (defined as  $i\mu$ )

$$\langle \mu'(A), X \rangle = \text{tr}(X^\dagger \mu'(A)) = \frac{\langle A, XA \rangle}{2} = \frac{\text{tr}(A^\dagger X^\dagger A)}{2} \Rightarrow \mu'(A) = \frac{AA^\dagger}{2}$$

Similarly, when  $U(n)$  act  $M(n, \mathbb{C})$  as  $g \cdot A := Ag^{-1}$ , the moment map is

$$\mu''(A) = -\frac{A^\dagger A}{2}$$

Then by applying the operations of moment maps talked in above,

$$\tilde{\mu}(X, Y) = \frac{(XX^\dagger, YY^\dagger, -X^\dagger X - Y^\dagger Y)}{2}$$

So the moment map of the induced action on the projective space is (\*). □



## 4 Kempf-Ness Theorem and Stability

In this section,  $(M, J, \omega)$  denotes a compact Kähler manifold without boundary, such as  $\mathbb{P}(V)$  with the Fubini-Study form and the nature complex structure.  $K$  is a compact Lie group acting on  $M$  such that this action preserves  $J$  and  $\omega$ , like any compact Lie group acting on  $\mathbb{P}(V)$ . Moreover, let this action be Hamiltonian with the moment map  $\mu$ .

Let  $G = K_{\mathbb{C}}$  a complexified Lie group of  $K$ . So the action of  $K$  on  $M$  can be extended a holomorphic action of  $G$  on  $M$ . And for these two actions. define

$$L_m: \mathfrak{k} \rightarrow T_m M, \quad L_m^c: \mathfrak{g} \rightarrow T_m M$$

as for any  $X \in \mathfrak{k}$  and  $Z = X + iY \in \mathfrak{g}$ ,

$$L_m X = X_M(m), \quad L_m^c Z = Z_M(m) = L_m X + J L_m Y$$

Equipping  $K$  with a bi-invariant Riemannian metric,  $\mathfrak{k} \simeq \mathfrak{k}^*$  and so the moment map  $\mu$  can be viewed as  $\mu: M \rightarrow \mathfrak{k}$ . Also equipping  $M$  with the compatible Riemannian metric  $\langle \cdot, \cdot \rangle = \omega(\cdot, J\cdot)$ ,  $T^*M \simeq TM$ . Then it can see for any  $m \in M$  and  $X \in \mathfrak{k}$ ,

$$L_m^* = (d\mu)_m J, \quad (d\mu)_m^* = J L_m, \quad (d\mu)_m L_m X = -[\mu(m), X]$$

where the third equation is by  $\langle \mu(m), [X, Y] \rangle = \omega(X_M(m), Y_M(m))$  that is by differentiating the equation

$$\langle \mu(m), \text{Ad}_{-\exp(tX)} Y \rangle = \langle \text{Ad}_{\exp(tX)}^* \mu(m), Y \rangle = \langle \mu(\exp(tX) \cdot m), Y \rangle$$

And by above, let  $G/K$  equipped with the  $G$ -invariant Riemannian s.t. it becomes a complete, connected, and simply connected Riemannian metric and let  $\nabla$  be the Levi-Civita connection.

### 4.1 Kempf-Ness Function

**Lemma 1.** *Let  $x_0, x_1 \in \mu^{-1}(0)$ .*

$$x_1 \in G \cdot x_0 \Rightarrow x_1 \in K \cdot x_0$$

*In fact, if  $x_1 = \exp(iX)k \cdot x_0$ , then  $k \cdot x_0 = x_1$  and  $X_M(x_1) = 0$ .*

*Proof.* Assume  $x_1 = \exp(iX)k \cdot x_0$ . Let  $x(t) = \exp(itX)k \cdot x_0$ . Then

$$\begin{aligned} x(0) &= kx_0, \quad x(1) = x_1 \\ \dot{x}(t) &= JX_M(x(t)) = JL_x X \end{aligned}$$

So by above identities

$$\frac{d}{dt} \left| \langle \mu(x(t)), X \rangle = \langle (d\mu)_x \dot{x}, X \rangle = \omega(L_x X, \dot{x}) = \omega(L_x X, JL_x X) = \|L_x X\|^2 \geq 0 \right|$$

Since  $\mu(x_0) = \mu(x_1) = 0$ ,

$$\frac{d}{dt} \left| \langle \mu(x(t)), X \rangle = \|L_{x(t)} X\|^2 \equiv 0 \Rightarrow \dot{x}(t) \equiv 0 \right|$$

Therefore,  $X_M(x_1) = L_{x_1} X = 0$  and  $kx_0 = x_1$ . □

**Corollary 2.** Let  $x \in \mu^{-1}(0)$  and  $K_x^c = (K_x)_{\mathbb{C}}$  be the complexification of  $K_x$ . Then

$$K_x^c = \{\exp(iX)k : k \in K_x, X \in \ker L_x\}$$

**Lemma 3.** Let  $x \in \mu^{-1}(0)$ . The following statements are equivalent.

- (1)  $(d\mu)_x : T_x M \rightarrow \mathfrak{k}$  is surjective.
- (2)  $L_x : \mathfrak{k} \rightarrow T_x M$  is injective.
- (3)  $L_x^c : \mathfrak{g} \rightarrow T_x M$  is injective.

Define the moment squared function as

$$\begin{aligned} f : M &\longrightarrow \mathbb{R} \\ x &\longmapsto \frac{1}{2} \|\mu(x)\|^2 \end{aligned}$$

For any  $x \in M$  and  $X \in T_x M$ ,

$$\langle \nabla f, X \rangle = \langle (d\mu)_x X, \mu(x) \rangle = \omega(L_x \mu(x), X) = \langle JL_x \mu(x), X \rangle$$

Therefore, the gradient of  $f$  is  $\nabla f = JL_x \mu(x)$ .

Then considering the negative gradient flow  $x : \mathbb{R} \rightarrow M$  of  $f$ ,

$$\dot{x}(t) = -JL_x \mu(x), \quad x(0) = x_0 \tag{\diamond}$$

Moreover, if the  $g : \mathbb{R} \rightarrow G$  satisfies the ODE

$$g(t)^{-1} \dot{g}(t) = i\mu(x(t)), \quad g(0) = e$$

then  $x(t) = g(t)^{-1} \cdot x_0$  is a solution of  $\diamond$ . And thus  $x(t) \in G \cdot x_0$ .

**Theorem 4.** Let  $x_0 \in M$  and  $x : \mathbb{R} \rightarrow M$  be a solution of  $\diamond$ . Then

$$x_\infty = \lim_{t \rightarrow \infty} x(t)$$

exists and  $L_{x_\infty} \mu(x_\infty) = 0$  i.e.  $x_\infty$  is a critical point of  $f$ . Moreover, there are positive  $C, c, T, \varepsilon$  and  $\frac{1}{2} < \alpha < 1$  s.t. for all  $t > T$ ,

$$\begin{aligned} d(x(t), x_\infty) &\leq \int_t^\infty |\dot{x}(s)| ds \\ &\leq \frac{C}{1-\alpha} (f(x(t)) - f(x_\infty))^{1-\alpha} \\ &\leq \frac{c}{(t-T)^\varepsilon} \end{aligned}$$

**Theorem 5** (Kempf-Ness Function). Fix  $x \in M$ , then there is a unique smooth function  $\Phi_x : G \rightarrow \mathbb{R}$  s.t. for any  $g \in G$  and  $v \in T_g G$  and  $k \in K$ ,

$$(d\Phi_x)_g(v) = -\langle \mu(g^{-1} \cdot x), \text{Im}(T_e L_{g^{-1}} v) \rangle, \quad \Phi_x(k) = 0$$

and  $\Phi_x$  is  $K$ -invariant.

*Remark.* This  $\Phi_x$  is called the lifted Kempf-Ness function. And since it is  $K$ -invariant, the induced function

$$\Phi_x: G/K \rightarrow \mathbb{R}$$

is called the Kempf-Ness function.

*Skech of Proof.* Define  $v_x \in \text{Vect}(G)$  and 1-form  $\alpha_x$  on  $G$ ,

$$v_x(g) = -T_e L_g i\mu(g^{-1} \cdot x), \quad \alpha_x(g)(v) = -\langle \mu(g^{-1} \cdot x), \text{Im}(T_e L_{g^{-1}} v) \rangle$$

for any  $g \in G$  and  $v \in T_g G$ . And it can see

$$\alpha_x(g)(v) = \langle v_x, v \rangle$$

Let  $\psi_x: G \rightarrow G \cdot x$  by  $\psi_x(g) = g^{-1} \cdot x$ . Then

$$T_g \psi_x(v_x(g)) = \nabla f(\psi_x(g))$$

There exists a  $\Phi_x: G \rightarrow \mathbb{R}$  s.t.

$$d\Phi_x = \alpha_x, \quad \Phi_x|_K = 0$$

and thus  $\nabla \Phi_x = v_x$  and  $T_g \psi_x(\nabla \Phi_x) = \nabla f(\psi_x(g))$ . Moreover, for a fixed  $g \in G$ , let  $\Phi_x|_{Kg}: Kg \rightarrow \mathbb{R}$ . Since for any  $v = T_e L_g X$  where  $X \in \mathfrak{k}$ ,

$$\alpha_x(g)(v) = -\langle \mu(g^{-1} \cdot x), \text{Im}(X) \rangle = 0 \Rightarrow d\Phi_x|_{Kg} = 0$$

$\Phi_x$  is invariant on  $Kg$  so  $\Phi_x$  is  $K$ -invariant. □

**Theorem 6** (Properties). *Let  $N = G/K$  and the Kempf-Ness function  $\Phi_x: N \rightarrow \mathbb{R}$ .*

- (1) *With the Riemannian metric defined as above on  $N$ ,  $\Phi_x$  is geodesically convex.*
- (2) *The critical sets of  $\Phi_x$  is a closed and connected submanifold of  $N$ , given by*

$$\text{Crit } \Phi_x = \{[g] \in N: \mu(g^{-1} \cdot x) = 0\}$$

- (3) *If  $\text{Crit } \Phi_x \neq \emptyset$ , then  $|\Phi_x|$  attaches its minima and every negative gradient flow of  $\Phi_x$  converges exponentially to a critical point.*
- (4) *Let  $g: \mathbb{R} \rightarrow G$  be a smooth curve and  $\gamma = \pi \circ g: \mathbb{R} \rightarrow N$ . Then  $\gamma$  is a negative gradient flow of  $\Phi_x$  if and only if  $g(t)$  satisfies*

$$\text{Im}(g^{-1} \dot{g}) = \mu(g^{-1} \cdot x)$$

- (5) *If  $\text{Crit } \Phi_x \neq \emptyset$  and  $\{g_n\} \subset G$  s.t.  $\sup_n \Phi_x([g_n]) < \infty$ , then there is a sequence  $(h_n)$  in the identity component of  $G_x$  s.t.  $(h_n g_n)$  has a convergent subsequence.*

**Example 7.** Let  $V$  be an  $n$ -dimensional complex vector space with an inner product s.t. the action of  $K$  on  $V$  is unitary and let the action of  $G = K_{\mathbb{C}}$  on  $V$  be the extension. Therefore, assume  $K \subset U(n)$  and  $G \subset GL(n, \mathbb{C})$ . As above, the induced action of  $K$  on  $\mathbb{P}(V)$  is Hamiltonian with the moment map

$$\langle \mu([v]), X \rangle = \frac{i \langle X \cdot v, v \rangle}{\|v\|^2}, \quad \forall v \in V \setminus \{0\}, \quad X \in \mathfrak{k}$$

also extending the action of  $G$  on  $\mathbb{P}(V)$ . Then the lifted Kempf-Ness function  $\Phi_{[v]}: G \rightarrow \mathbb{R}$  is

$$\Phi_{[v]}(g) = \frac{1}{2} \left( \log \|g^{-1} \cdot v\|^2 - \log \|v\|^2 \right)$$

*Proof.* Clearly,  $\Phi_{[v]}(k) = 0$  for all  $k \in K$  and  $\Phi_{[v]}$  is  $K$ -invariant. Let  $g \in G$  and  $X \in T_g G$ . Let  $\varphi(t)$  be the integral curve of  $v$  starting at  $g$  then

$$\left. \frac{d}{dt} \right|_{t=0} \varphi(t)^{-1} \varphi(t) = 0 \Rightarrow \left. \frac{d}{dt} \right|_{t=0} \varphi(t)^{-1} = -g^{-1} X g^{-1}$$

Therefore,

$$\begin{aligned} (d\Phi_{[v]})_g X &= \frac{\langle -g^{-1} X g^{-1} \cdot v, g^{-1} \cdot v \rangle}{\|g^{-1} \cdot v\|^2} \\ &= -\frac{\langle i \operatorname{Im}(g^{-1} X) g^{-1} \cdot v, g^{-1} \cdot v \rangle}{\|g^{-1} \cdot v\|^2} - \frac{\langle \operatorname{Re}(g^{-1} X) g^{-1} \cdot v, g^{-1} \cdot v \rangle}{\|g^{-1} \cdot v\|^2} \\ &= -\frac{\langle i \operatorname{Im}(g^{-1} X) g^{-1} \cdot v, g^{-1} \cdot v \rangle}{\|g^{-1} \cdot v\|^2} \\ &= -\langle \mu(g^{-1} \cdot [v]), \operatorname{Im}(g^{-1} X) \rangle \end{aligned}$$

where the third equality is by  $(d\Phi_{[v]})_g Y = 0$  whenever  $Y = gZ$  for some  $Z \in \mathfrak{k}$ .  $\square$

*Remark.* For convenience, let the lifted Kempf-Ness function  $F_{[v]}$  be defined as

$$F_{[v]}(g) = \frac{1}{2} \log \|g \cdot v\|^2$$

In fact,  $F_{[v]}(g) = \Phi_{[v]}(g^{-1}) + c$ . The properties of  $\Phi_{[v]}$  are also valid for  $F_{[v]}$ . Moreover, it can see for  $X \in i\mathfrak{k}$ ,

$$(dF_{[v]})_e X = \langle \mu([v]), X \rangle$$

And if let  $F_{[v]}: G/K \rightarrow \mathbb{R}$ , then the geodesic of  $X$  starting at  $[e]$  is  $\pi(\exp(itX))$ .

$$\left. \frac{d}{dt} \right|_{t=0} F_{[v]}(\pi(\exp(itX))) = \left. \frac{d}{dt} \right|_{t=0} F_{[v]}(\exp(itX)) = \langle \mu([v]), X \rangle$$

If let  $\mu = i\mu$  and  $H = iX \in i\mathfrak{k}$  and lifting  $\mu$  from on  $\mathbb{P}(V)$  to on  $V$ , for  $F_v(g) = \frac{1}{2} \log \|g \cdot v\|^2$

$$\left. \frac{d}{dt} \right|_{t=0} F_v(\exp(tH)) = \langle \mu(v), H \rangle$$

It is the definition of moment map used in [Bür+19].

## 4.2 More Properties of Moment Map

In the next subsections, let  $K \subset U(n)$  and  $G = K_{\mathbb{C}} \subset GL(n, \mathbb{C})$  be matrix groups. Let

$$\mathcal{T}^c := \{\zeta \in \mathfrak{g} \setminus \{0\} : \exists g \in G, g\zeta g^{-1} \in \mathfrak{k}\}$$

Then the  $\mu$ -weight of  $(x, \zeta) \in M \times \mathcal{T}^c$  is

$$w_{\mu}(x, \zeta) := \lim_{t \rightarrow \infty} \langle \mu(\exp(it\zeta) \cdot x), \operatorname{Re}(\zeta) \rangle$$

*Remark.* The existence of  $w$  is because for any  $x \in M$  and  $\zeta \in \mathcal{T}^c$ ,

$$x^\pm = \lim_{t \rightarrow \pm\infty} \exp(it\zeta) \cdot x$$

exist by the Morse theory. Moreover,  $\zeta_M(x^\pm) = 0$ . In particular, if  $\zeta = X \in \mathfrak{k}$ , then

$$w_\mu(x, X) = \lim_{t \rightarrow \infty} \frac{\Phi_x(\exp(-itX))}{t}$$

where  $\Phi_x$  is the lifted Kempf-Ness function.

By applying the properties of  $\mu$ -weight, it can prove many important results related to the moment map.

**Theorem 1.** *Let  $x \in M$  and  $\zeta = X + iY \in \mathcal{T}^c$  s.t.  $\zeta_M(x) = 0$ . Then  $\langle \mu(x), Y \rangle = 0$ ,  $\|X\| > \|Y\|$  and*

$$\frac{\langle \mu(x), X \rangle^2}{\|X\|^2 - \|Y\|^2} \leq \|\mu(g \cdot x)\|^2, \quad \forall g \in G$$

*Remark.* More generally, for any  $x \in M$  and  $X \in \mathfrak{g} \setminus \{0\}$  and  $g \in G$ ,

$$\frac{-w_\mu(x, X)}{\|X\|} \leq \|\mu(g \cdot x)\|$$

**Corollary 2.** *Let  $x_0 \in M$  be a critical point of the moment squared function  $f$  i.e.  $L_{x_0}\mu(x_0) = 0$ . Then*

$$\|\mu(x_0)\| \leq \|\mu(g \cdot x_0)\|, \quad \forall g \in G$$

In the above mention, if  $x_0, x_1 \in \mu^{-1}(0)$ , then  $x_1 \in G \cdot x_0$  implies  $x_1 \in K \cdot x_0$ . This statement can be more general.

**Theorem 3.** *Let  $x_0$  and  $x_1$  be critical points of the moment squared function  $f$ . Then*

$$x_1 \in G \cdot x_0 \Rightarrow x_1 \in K \cdot x_0$$

**Theorem 4.** *Let  $x_0 \in M$  and  $x: \mathbb{R} \rightarrow M$  be the solution of  $\diamond$  with  $x_\infty = \lim_{t \rightarrow \infty} x(t)$ . Then*

$$\|\mu(x_\infty)\| = \inf_{g \in G} \|\mu(g \cdot x_0)\|$$

*Remark.* Note that  $x_\infty$  is a critical point of the moment squared function and  $x_\infty \in \overline{G \cdot x_0}$ . And the  $G$ -orbit of  $x_0$  determines the  $G$ -orbit of  $x_\infty$  that is, in fact, the  $K$ -orbit of  $x_\infty$ .

More generally, the infimum points are in the same  $K$ -orbit.

**Theorem 5.** *Let  $x_0 \in M$  and  $m = \inf_{g \in G} \|\mu(g \cdot x_0)\|$ . Then*

$$x, y \in \overline{G \cdot x_0} \text{ s.t. } \mu(x) = \mu(y) = m \Rightarrow y = K \cdot x$$

*Remark.* It shows that for any  $x \in G \cdot x_0$  s.t.  $\|\mu x\| = m$ ,  $x$  is a critical point of the moment squared function  $f$  and is a limit point of the negative gradient flow of  $f$  starting at some point in  $G \cdot x_0$ .

The infimum of the norm of moment map along the  $G$ -orbits can be characterized. For  $x \in \text{Crit}(f)$ , let

$$W^s(K \cdot x) = \left\{ y_0 \in M : y(t) \text{ of } \diamond \text{ starting at } y_0 \text{ s.t. } \lim_{t \rightarrow \infty} y(t) \in K \cdot x \right\}$$

**Corollary 6.** *The following statements holds.*

(1)  $M = \bigcup_{x \in \text{Crit}(f)} W^s(K \cdot x).$

(2) For  $x \in \text{Crit}(f)$  and  $y_0 \in M$ ,

$$y_0 \in W^s(K \cdot x) \Leftrightarrow x \in \overline{G \cdot y_0}, \quad \|\mu(x)\| = \inf_{g \in G} \|\mu(g \cdot y_0)\|$$

(3) For any  $x \in \text{Crit}(f)$ ,  $W^s(K \cdot x)$  is the union of  $G$ -orbits.

### 4.3 Stability

**Definition 1.** An element  $x \in M$  is called

- (1)  $\mu$ -unstable if  $\overline{G \cdot x} \cap \mu^{-1}(0) = \emptyset$ .
- (2)  $\mu$ -semistable if  $\overline{G \cdot x} \cap \mu^{-1}(0) \neq \emptyset$ .
- (3)  $\mu$ -polystable if  $G \cdot x \cap \mu^{-1}(0) \neq \emptyset$ .
- (4)  $\mu$ -stable if  $G \cdot x \cap \mu^{-1}(0) \neq \emptyset$  and  $G_x$  is discrete.

And let  $M^{us}, M^{ss}, M^{ps}$  and  $M^s$  be the corresponding sets.

Firstly, these points can be characterized by using the negative gradient flow of moment squared map.

**Theorem 2.** Let  $x_0 \in M$  and  $x(t)$  be the solution of  $\diamond$  and  $x_\infty = \lim_{t \rightarrow \infty} x(t)$ .

- (1)  $x_0 \in M^{ss}$  if and only if  $\mu(x_\infty) = 0$ .
- (2)  $x_0 \in M^{ps}$  if and only if  $\mu(x_\infty) = 0$  and  $x_\infty \in G \cdot x_0$ .
- (3)  $x_0 \in M^s$  if and only if  $G_{x_\infty}$  is discrete.

And  $M^{ss}$  and  $M^s$  are open in  $M$ .

Also, the Kempf-Ness function can be applied to characterize the stability.

**Theorem 3.** Let  $x \in M$  and  $\Phi_x$  be the Kempf-Ness function.

- (1)  $x \in M^{ss}$  if and only if  $\Phi_x$  is bounded below.
- (2)  $x \in M^{ps}$  if and only if  $\Phi_x$  has a critical point.
- (3)  $x \in M^s$  if and only if  $\Phi_x$  is bounded below and proper.

Now considering a special case related to the geometric invariant theory, let  $G = K_{\mathbb{C}} \subset GL(n, \mathbb{C})$  act a complex vector space  $V$  with a  $K$ -invariant inner product. Also, let the induced action of  $K$  and  $G$  act on  $\mathbb{P}(V)$  with the moment map  $\mu$  as in above mention.

**Definition 4.** Let  $v \in V \setminus \{0\}$ .

- (1)  $v$  is called unstable if  $0 \in \overline{G \cdot v}$ .

- (2)  $v$  is called semistable if  $0 \notin \overline{G \cdot v}$ .
- (3)  $v$  is called polystable if  $G \cdot v = \overline{G \cdot v}$ .
- (4)  $v$  is called stable if  $G \cdot v = \overline{G \cdot v}$  and  $G_v$  is discrete.

**Theorem 5** (Kempf-Ness). *Let  $x = [v] \in \mathbb{P}(V)$ . Considering  $G$  acting on  $V$  and the Hamiltonian action of  $K$  on  $\mathbb{P}(V)$  and the induced action of  $G$  on  $\mathbb{P}(V)$ , then*

- (1)  $v$  is unstable if and only if  $x = [v]$  is  $\mu$ -unstable.
- (2)  $v$  is semistable if and only if  $x = [v]$  is  $\mu$ -semistable.
- (3)  $v$  is polystable if and only if  $x = [v]$  is  $\mu$ -polystable.
- (4)  $v$  is stable if and only if  $x = [v]$  is  $\mu$ -stable.

*Remark.* Therefore, by applying the above results

$$0 \notin \overline{G \cdot v} \Leftrightarrow 0 \notin \overline{G \cdot x} \Leftrightarrow \mu(x_\infty) = 0 \Leftrightarrow \Phi_x \text{ bounded below}$$

## 5 Scaling Problem and Examples

### 5.1 Invariant Theory

Let  $G = K_{\mathbb{C}}$  be a reductive Lie group acting on an  $n$ -dimensional complex space  $V$  with a  $K$ -invariant inner product, and thus assume  $K \subset U(n)$  and  $G \subset GL(n, \mathbb{C})$ .

The invariant theory is to consider the induced action of  $G$  on the polynomial ring  $\mathbb{C}[x_1, \dots, x_n]$ . Let  $(x_1, \dots, x_n)$  be the coordinate of  $V$  and denote the polynomial ring by  $\mathbb{C}[V]$ . The action of  $G$  on  $\mathbb{C}[V]$  is defined as

$$g \cdot f(x_1, \dots, x_n) \mapsto h(x_1, \dots, x_n) := f(g^{-1} \cdot (x_1, \dots, x_n))$$

Then the invariant polynomial ring is

$$\mathbb{C}[V]^G = \{f \in \mathbb{C}[V] : g \cdot f = f, \forall g \in G\}$$

that is a subalgebra of  $\mathbb{C}[V]$ . Moreover, by Hilbert,  $\mathbb{C}[V]^G$  is a finitely generated subalgebra i.e. there are polynomials  $f_1, \dots, f_l \in \mathbb{C}[V]$  s.t.

$$\mathbb{C}[V]^G = \mathbb{C}[f_1, \dots, f_l] := \{p(f_1, \dots, f_l) : p \in \mathbb{C}[y_1, \dots, y_l]\}$$

The invariant polynomials can be used to separate the orbit closure of action of  $G$  on  $V$ . In fact, for any  $v, w \in V$ ,

$$\overline{G \cdot v} \cap \overline{G \cdot w} \neq \emptyset \Leftrightarrow f(v) = f(w) \forall f \in \mathbb{C}[V]^G$$

Let the set, called null cone, be defined as

$$\mathcal{N} := \{v \in V : 0 \in \overline{G \cdot v}\}$$

i.e. the set of all unstable points. By above,

$$\mathcal{N} = \{v \in V : f(v) = 0, \forall f \in \mathbb{C}[V]^G\} = \{v \in V : f_1(v) = \dots = f_l(v) = 0\}$$

**Theorem 1** (Hilbert-Mumford Criterion). *For any  $v \in V$ ,*

$$v \in \mathcal{N} \Leftrightarrow \exists \lambda : \mathbb{C}^* \rightarrow G \text{ algebraic homomorphism s.t. } \lim_{t \rightarrow \infty} \lambda(t) \cdot v = 0$$

*Remark.* This  $\lambda$  is called a one-parameter subgroup (PSG). For some special cases, the 1-PSG is explicit.

- (1) If  $G = (\mathbb{C}^*)^n$ , any 1-PSG has the form

$$\lambda(t) = (t^{\alpha_1}, \dots, t^{\alpha_n})$$

for some  $\alpha_1, \dots, \alpha_n \in \mathbb{Z}$ .

- (2) If  $G = GL(n, \mathbb{C})$  or some matrix Lie group, any 1-PSG has the form

$$\lambda(t) = S \operatorname{diag}(t^{\alpha_1}, \dots, t^{\alpha_n}) S^{-1}$$

for some  $\alpha_1, \dots, \alpha_n \in \mathbb{Z}$  and  $S \in GL(n, \mathbb{C})$ .



**Example 2.** Let  $G = \mathcal{S}_n$  be the symmetry group acting on  $V$ . Then

$$\mathbb{C}[V]^{\mathcal{S}_n} = \mathbb{C}[e_1, \dots, e_n]$$

where  $e_k$  is called  $k$ -th elementary symmetric polynomials given by

$$e_k(x_1, \dots, x_n) = \sum_{1 \leq i_1 < \dots < i_k \leq n} x_{i_1} \cdots x_{i_k}$$

the  $\mathcal{N} = \{0\}$ .

**Example 3.** Let  $G = GL(n, \mathbb{C})$  act on  $V = M(n, \mathbb{C})$  by conjugation,  $g \cdot A = gAg^{-1}$ . Considering the 1-PSG

$$\lambda(t) = \begin{pmatrix} t^{\alpha_1} & & \\ & \ddots & \\ & & t^{\alpha_n} \end{pmatrix}$$

with integers  $\alpha_1 \geq \dots \geq \alpha_n$ . In fact, any 1-PSG is conjugate to this form. Then for any  $A = [a_{kj}] \in V$ ,

$$\lambda(t) \cdot A = \lambda(t)A\lambda(t)^{-1} = [t^{\alpha_k - \alpha_j} a_{kj}]$$

Therefore,

$$\lim_{t \rightarrow \infty} \lambda(t) \cdot A = 0 \Leftrightarrow A \text{ is strictly upper triangular}$$

Then by Hilbert-Mumford criterion,

$$A \in \mathcal{N} \Leftrightarrow A \text{ is conjugate to a strictly upper triangular.} \Leftrightarrow A \text{ is nilpotent.}$$

Let  $X = [x_{ij}]$ . Then  $X$  is nilpotent if and only if

$$\det(tI - X) = t^n - f_1(\mathbf{x})t^{n-1} + \dots + (-1)^n f_n(\mathbf{x}) = t^n$$

where  $\mathbf{x} = (x_{11}, \dots, x_{nn})$ . This equivalent to  $f_1(\mathbf{x}) = \dots = f_n(\mathbf{x}) = 0$ . Therefore,

$$\mathbb{C}[V]^G = \mathbb{C}[f_1, \dots, f_n]$$

**Example 4.** Let  $G = SL(n, \mathbb{C}) \times SL(n, \mathbb{C})$  act on  $V = M(n, \mathbb{C})$  by

$$(A, B) \cdot H := AHB$$

Firstly, if  $H \in \mathcal{N}$ , then there are  $\{A_k\}$  and  $\{B_k\}$  in  $SL(n, \mathbb{C})$  s.t.  $A_k H B_k \rightarrow 0$ . By the continuity of  $\det$ ,

$$\det(A_k H B_k) = \det(A_k) \det(H) \det(B_k) = \det H \rightarrow 0$$

So  $\det H = 0$  and  $H$  is singular. Conversely, if  $H$  is singular, then there is a  $S \in GL(n, \mathbb{C})$  s.t. the last row of  $S^{-1}H$  is 0. So if let the 1-PSG

$$\lambda(t) = (S \operatorname{diag}(t, \dots, t, t^{-n-1}) S^{-1}, I)$$

then  $\lambda(t) \cdot H \rightarrow 0$ . By Hilbert-Mumford criterion,  $H \in \mathcal{N}$ . Therefore,  $H \in \mathcal{N}$  if and only if  $H$  is singular. And the invariant polynomial ring is

$$\mathbb{C}[V]^G = \langle \det X \rangle$$

where  $X = [x_{ij}]$  are variables.

The *null cone problem* is given  $v \in V$ , determine if  $0 \in \mathcal{N}$  or if  $v$  is unstable for the action of  $G$  on  $V$ . The dual problem is that given  $v \in V$ , determine if  $v$  is semistable. Then by Kempf-Ness theorem, when considering the Hamiltonian action of  $K$  on  $\mathbb{P}(V)$  with the moment map  $\mu$  and the induced action of  $G$  on  $\mathbb{P}(V)$ , it is equivalent to determine if  $v$  is  $\mu$ -semistable, i.e if

$$\exists [w] \in \overline{G \cdot [v]} \text{ s.t. } \mu([w]) = 0$$

or simply, if there is a  $w \in \overline{G \cdot v}$  s.t.  $\mu(w) = 0$ . It is called the *scaling problem*.

## 5.2 Matrix Scaling

Let  $G = ST(n) \times ST(n)$  act on  $V = M(n, \mathbb{C})$  by the left-right action i.e.

$$(A, B) \cdot H = AHB$$

where

$$ST(n) = \left\{ \begin{pmatrix} z_1 & & \\ & \ddots & \\ & & z_n \end{pmatrix} : z_1, \dots, z_n \in \mathbb{C}^*, \prod_{j=1}^n z_j = 1 \right\}$$

(1) **Invarian Theory:** Any 1-PSG  $\lambda: \mathbb{C}^* \rightarrow G$  has the form

$$\lambda(t) = (\text{diag}(t^{\alpha_1}, \dots, t^{\alpha_n}), \text{diag}(t^{\beta_1}, \dots, t^{\beta_n}))$$

where  $\alpha_j, \beta_j \in \mathbb{Z}$  for any  $j$  and

$$\sum_{j=1}^n \alpha_j = \sum_{j=1}^n \beta_j = 0$$

For any  $H = [h_{kj}]$ ,

$$\lambda(t) \cdot H = [t^{\alpha_k + \beta_j} h_{kj}]$$

Therefore, by Hilbert-Mumford criterion,

$$\begin{aligned} H \in \mathcal{N} &\Leftrightarrow \exists \alpha_1, \dots, \alpha_n, \beta_1, \dots, \beta_n \in \mathbb{Z} \\ &\sum_{j=1}^n \alpha_j = \sum_{j=1}^n \beta_j = 0 \\ &\text{s.t. } \alpha_k + \beta_j > 0, \forall (k, j) \in \text{supp } H \\ &\Leftrightarrow \text{supp } H \text{ has no perfect matching} \end{aligned}$$

where the bipartite graph  $\text{supp } H = \{(k, j) \in [n] \times [n] : h_{kj} \neq 0\}$ . And thus

$$\mathbb{C}[V]^G = \langle x_{1\sigma(1)} \cdots x_{n\sigma(n)} : \sigma \in \mathcal{S}_n \rangle$$

where  $X = [x_{ij}]$  are variables.

- (2) **Geometric Invariant Theory:**  $ST(n)$  is a commutative Lie group with Lie algebra  $\mathfrak{st}(n)$ , where

$$\mathfrak{st}(n) = \left\{ \begin{pmatrix} z_1 & & \\ & \ddots & \\ & & z_n \end{pmatrix} : z_1, \dots, z_n \in \mathbb{C}, \sum_{j=1}^n z_j = 0 \right\}$$

and let

$$\mathfrak{t} = \left\{ \begin{pmatrix} i\theta_1 & & \\ & \ddots & \\ & & i\theta_n \end{pmatrix} : \theta_1, \dots, \theta_n \in \mathbb{R}, \sum_{j=1}^n \theta_j = 0 \right\}$$

It can see  $\mathfrak{st}(n) = \mathfrak{t}_{\mathbb{C}}$ . Moreover, if let

$$T(n) = \left\{ \begin{pmatrix} e^{i\theta_1} & & \\ & \ddots & \\ & & e^{i\theta_n} \end{pmatrix} : \theta_1, \dots, \theta_n \in \mathbb{R}, \sum_{j=1}^n \theta_j = 0 \right\}$$

then  $\text{Lie } T(n) = \mathfrak{t}$  and  $T(n)$  is a compact Lie group. Therefore,  $ST(n) = T(n)_{\mathbb{C}}$  is a reductive Lie group. And if let  $K = T(n) \times T(n)$  be the compact Lie group with the Lie algebra  $\mathfrak{k} = \mathfrak{t} \times \mathfrak{t}$ , then  $G = K_{\mathbb{C}}$  is a reductive Lie group with Lie algebra  $\mathfrak{st}(n) \times \mathfrak{st}(n)$ . Moreover, the trace inner product on  $\mathfrak{t}$  is a bi-invariant Riemannian metric so it is also valid on  $\mathfrak{t} \times \mathfrak{t}$ .

Equipping  $V = M(n, \mathbb{C})$  with the trace inner product, it is clear that the action of  $K$  on  $V$  is invariant for this inner product. And thus the action of  $K$  on  $V$  is Hamiltonian with the moment map  $\hat{\mu}: V \rightarrow (\mathfrak{t} \times \mathfrak{t})^*$ . Firstly, considering the action of  $T(n)$  on  $M(n, \mathbb{C})$  by  $A \cdot Z = AZ$  with the moment map  $\tilde{\mu}_r$ , since  $T(n) \subset U(n)$  and the moment map of  $U(n)$  on  $M(n, \mathbb{C})$  is

$$\tilde{\mu}'_r(A) = \frac{iAA^\dagger}{2} \Rightarrow \tilde{\mu}_r(A) = \tilde{\mu}'_r(A)|_{\mathfrak{t}} = \frac{i}{2} \text{diag}(r_1(A), \dots, r_n(A))$$

where  $r_k(A) = \sum_{j=1}^n |a_{kj}|^2$ . Similarly, for  $T(n)$  acting on  $M(n, \mathbb{C})$  by  $A \cdot Z = ZA$  with the moment map

$$\tilde{\mu}_l(A) = \frac{i}{2} \text{diag}(l_1(A), \dots, l_n(A))$$

where  $l_j(A) = \sum_{k=1}^n |a_{kj}|^2$ . Therefore,

$$\hat{\mu}(A) = \frac{i}{2} (\mathbf{r}_A, \mathbf{l}_A)$$

where  $\mathbf{r}_A = \text{diag}(r_1(A), \dots, r_n(A))$  and  $\mathbf{l}_A = \text{diag}(l_1(A), \dots, l_n(A))$ . Then the moment map  $\mu$  of the induced action of  $K$  on  $\mathbb{P}(V)$  is

$$\mu(A) = \frac{i}{\|A\|^2} (\mathbf{r}_A, \mathbf{l}_A)$$

Clearly  $\|A\|^2 = \sum_k r_k(A) = \sum_j l_j(A)$ , so the image of  $\mu$  is a convex polytope in  $\mathfrak{k}^*$ . If viewing  $\mu \in (i\mathfrak{k})^* \simeq i\mathfrak{k}$ , then

$$\mu(A) = \frac{1}{\|A\|^2} \left( \mathbf{r}_A - \frac{\|A\|^2}{n} I_n, \mathbf{l}_A - \frac{\|A\|^2}{n} I_n \right)$$

So if  $\mu(A) = 0$ , then  $|A|^2 = [|a_{ij}|^2]$  is doubly stochastic-scalable.

*Remark.* As above mention,  $\mu$  also can be calculated by differentiating the Kempf-Ness function along the geodesic (in  $G/K$ ) i.e.

$$\left. \frac{d}{dt} \right|_{t=0} F_A(e^{tH}) = \left. \frac{d}{dt} \right|_{t=0} \log \|e^{tH} \cdot A\| = \langle \mu(A), H \rangle$$

### 5.3 Operator Scaling

Let  $G = SL(n, \mathbb{C}) \times SL(n, \mathbb{C})$  acts  $V = M(n, \mathbb{C})^{\oplus m}$  by

$$(A, B) \cdot (H_1, \dots, H_m) := (AH_1B, \dots, AH_mB)$$

(1) **Invarian Theory:** Any 1-PSG  $\lambda: \mathbb{C}^* \rightarrow G$  has the form

$$\lambda(t) = (S^{-1} \text{diag}(t^{\alpha_1}, \dots, t^{\alpha_n}) S, T^{-1} \text{diag}(t^{\beta_1}, \dots, t^{\beta_n}) T)$$

where  $S, T \in SL(n, \mathbb{C})$  and  $\alpha_j, \beta_j \in \mathbb{Z}$  for any  $j$  s.t.

$$\sum_{j=1}^n \alpha_j = \sum_{j=1}^n \beta_j = 0$$

Similar as matrix scaling,  $(H_1, \dots, H_m) \in \mathcal{N}$  if and only if there are  $\alpha_1, \dots, \alpha_n, \beta_1, \dots, \beta_n \in \mathbb{Z}$  with

$$\sum_{j=1}^n \alpha_j = \sum_{j=1}^n \beta_j = 0$$

s.t.

$$\alpha_k + \beta_j > 0, \forall (k, j) \in \text{supp}(SH_1T^{-1}, \dots, SH_mT^{-1})$$

where

$$\text{supp}(SH_1T^{-1}, \dots, SH_mT^{-1}) = \bigcap_{l=1}^m \text{supp}(SH_lT^{-1})$$

for some basis change  $S, T$ . So it means there is a common Hall's block in  $H_l$ 's i.e. there is a subspace  $U$  in  $\mathbb{C}^n$  s.t.

$$\dim H_l(U) < \dim U, \forall l = 1, 2, \dots, m$$

Therefore,

$$H = (H_1, \dots, H_m) \in \mathcal{N} \Leftrightarrow H \text{ is rank-decreasing.}$$

And thus the invariant polynomial ring is

$$\mathbb{C}[V]^G = \left\langle \sum_{l=1}^m X_l \otimes D_l : \forall d \in \mathbb{N}, D_l \in M(d, \mathbb{C}) \right\rangle$$

where  $X_l = [x_{l,k,j}]$  are variables.

- (2) **Geometric Invariant Theory:** By above example,  $SL(n, \mathbb{C}) = SU(n)_{\mathbb{C}}$  with a maximal torus  $T \subset SU(n)$  with Lie algebra  $\mathfrak{t}$  and let the Cartan subalgebra  $\mathfrak{h} = \mathfrak{t}_{\mathbb{C}}$ , then

$$\begin{aligned} T &= \left\{ \text{diag} (e^{i\theta_1}, \dots, e^{i\theta_n}) : \theta_j \in \mathbb{R}, \sum_{j=1}^n \theta_j = 0 \right\} \\ \mathfrak{t} &= \left\{ \text{diag} (i\theta_1, \dots, i\theta_n) : \theta_j \in \mathbb{R}, \sum_{j=1}^n \theta_j = 0 \right\} \\ \mathfrak{h} &= \left\{ \text{diag} (z_1, \dots, z_n) : z_j \in \mathbb{C}, \sum_{j=1}^n z_j = 0 \right\} \end{aligned}$$

with the root system as  $R = \{\pm(\varepsilon_k - \varepsilon_j) : 1 \leq k < j \leq n\}$ . Then the closed Weyl chamber is

$$\overline{C(\Delta)} = \{\text{diag} (\theta_1, \dots, \theta_n) : \theta_k \geq \theta_{k+1}\}$$

if choosing the fundamental system as  $\Delta = \{\varepsilon_k - \varepsilon_{k+1} : 1 \leq k \leq n-1\}$ .

Let  $K = SU(n) \times SU(n)$ . Then  $G = K_{\mathbb{C}}$ . The corresponding Lie structures are just obtained by the Cartesian product.

Clearly, the trace inner product on  $V$  is  $K$ -invariant. So similar to the last example talked in section 3.4, the moment map of  $K$  acting on  $\mathbb{P}(V)$

$$\mu : V \rightarrow (i\mathfrak{k})^*$$

is, for  $H = (H_1, \dots, H_m) \in V$ ,

$$\mu(H) = \frac{1}{\|H\|^2} \left( \sum_l H_l H_l^\dagger, \sum_l H_l^\dagger H_l \right)$$

and the moment polytope is

$$\mathcal{P} = \mu(V) \cap \overline{C(\Delta)} = \{(\text{spec}(A), \text{spec}(B)) : A, B \geq 0, \text{tr}(A) = \text{tr}(B) = 1\}$$

Also after viewing  $(i\mathfrak{k})^* \simeq i\mathfrak{k}$ ,

$$\mu(H) = \frac{1}{\|H\|^2} \left( \sum_l H_l H_l^\dagger - \frac{\|H\|^2}{n} I_n, \sum_l H_l^\dagger H_l - \frac{\|H\|^2}{n} I_n \right)$$

Therefore,  $\mu(H) = 0$  means  $H$  is scalable.

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