# Project Report

An Implementation Of: A Simple Randomized  $O(n \log n)$ —Time Closest-Pair Algorithm in Doubling Metrics

Minh Thang Cao
30 July 2020

### 1 Introduction

Implementation of an algorithm helps us observe its efficiency and behavior in practice. In this report, I will briefly explain each part of the closest-pair doubling algorithm [1], show the program's implementation along with practical running time analysis, and some implementation techniques I used. The theoretical information in this report fully refers to the work of A. Maheshwari, W. Mulzer and M. Smid, see [1].

Given a metric space (P, dist), with doubling dimension d, whose P is a set of n points. The closest pair of points is the two points with the distance  $\delta_0$  that satisfies  $dist(p_1, p_2) \geq \delta_0$  for any point  $p_1, p_2 \in P$ . Also, the doubling dimension d of a metric space indicates that for every point p in P and every real number R > 0, the  $ball_P(p, R)$  can be covered by at most  $2^d$  balls in P of radius R/2, see [1, Section 2]. By using the definition and properties of the doubling metric space and its doubling dimension, the algorithm will find the closest-pair distance without the direct use of the points' coordinates in  $O(n \log n)$  time.

The closest-pair algorithm consists of three smaller parts:

- 1. Computing a separating annulus, denoted SepAnn $(S, n, d, \mu, c)$
- 2. The refinement of SepAnn $(S, n, d, \mu, c)$ , denoted SparseSepAnn(S, n, d, t)
- 3. The main recursive closest-pair algorithm, denoted ClosestPair(S, n, d)

Throughout the paper, let:

- (P, dist) be a finite metric space in which P is the set of all points, and dist is the function that calculate the distance between any two points
- d be the space's doubling dimension
- S be a non-empty subset of P

<u>Note</u>: I will only mention 2D points because of the extremely high running time of the algorithm in the space more than 3D (in which the doubling dimension is approximately at least  $\log_2 21$  that gives us the base case with more than  $3\,000\,000$  points).

# 2 Algorithm 1: Computing a separating annulus

An important part of the main closest-pair algorithm is finding a separating annulus in the subset S. I will briefly describe this algorithm in the next subsection, due to A. Maheshwari, W. Mulzer and M. Smid [1, Section 3.1].

### **2.1** The SepAnn $(S, n, d, \mu, c)$ algorithm

In this section,  $\mu \ge 1$  is a real constant number, c is calculated based on  $\mu$  (I would say that  $c = 2(4\mu)^d$  [1, Remark 1] since  $\mu$  is not an integer in this case [1, Section 3.2]).

This algorithm picks a uniformly random point p from the subset S then finds the smallest ball centered at p, denoted  $ball_S(p, R_p)$ , that contains at least n/c point. If the outer ball  $ball_S(p, \mu R_p)$  contains at most n/2 points, it returns p and  $R_p$ . If not, this procedure is repeated until the condition is satisfied. This algorithm's pseudocode is given below, see [1, Section 3.1].

```
Algorithm 1: SparseSepann(S, n, d, t)

Input: Let S be a subset of P, of size n, d be the metric space's doubling dimension, \mu \geq 1 and c > 1 be large enough real numbers.

Output: A point p \in S and a radius R_p > 0.

repeat

p = a uniformly random point in S;

R_p = \min\{r > 0 : |ball_S(p, r)| \geq n/c\};

until |ball_S(p, \mu R_p)| \leq n/2

return p and R_p
```

This pseudocode is from [1, Section 3.1]

# 2.2 Finding the $K^{th}$ smallest element

One step in Sepann( $S, n, d, \mu, c$ ) algorithm is to find the smallest ball which contains at least n/c points. This ball is easy to find using the  $k^{th}$  smallest element algorithm. Particularly, in a list of distances between p and all other points in S, we pick the  $\lceil n/c \rceil$ -th smallest element and let it be the radius of the ball we need to find. Thus, all points closer to p are inside this ball.

A very easy approach to find the  $k^{th}$  smallest element in a list is to sort it in ascending order, and then simply return the element at the  $k^{th}$  place. This sorting algorithm takes  $O(n \log n)$  time complexity in the worst case. Fortunately, we can improve the running time to O(n) using a common recursive technique called QuickSelect, which is similar to QuickSort.

Given an unordered list D which contains n numbers, and a positive integer k satisfies  $1 \le k \le n$ . Each element in D has an index from 0 to n-1. Consider a sublist of D, denoted D[a:b], which starts from index a and ends at b, inclusively. The base case is when a=b, the algorithm returns the only element in that sublist. If it is not the case, the algorithm will choose a random pivot in the list. The algorithm then rearranges the list so that all elements

smaller and larger than the pivot are to the left and right of it, respectively. Now, the pivot has a new index, says c. If k = c - a + 1, the chosen pivot is the  $k^{th}$  smallest element of D, the algorithm returns D[c]. If k < c - a + 1, the algorithm recurses on the sublist to the left of the pivot, D[a:c-1]. If k > c - a + 1, the algorithm recurses on the sublist to the right of the pivot, D[c+1:b], with a different input k (which is k-c+a-1) since this is the k of the subset to the right, not the whole set of points, and the elements' indices starts from 0 again when we recurse. This algorithm's pseudocode is given below.

```
Algorithm: KthSmallest(D, a, b, k)
 Input: Let D be a list of double numbers, the integers a and b respectively
         be the starting and ending indices of a sublist and k be an integer
         refers to the k^{th} smallest element.
 Output: The k^{th} smallest element in D, a double number.
       if a == b then
           return D[i]
       else
           p = a random element in D;
           rearranging:
              all elements smaller than p to the left of p;
              all elements larger than p to the right of p;
           c = the current index of p in D;
           if k == c - a + 1 then
              return p
           else if k < c - a + 1 then
              return KTHSMALLEST(D, a, c - 1, k)
           else
              return KTHSMALLEST(D, c+1, b, k-c+a-1)
           end
       end
```

Unlike the original QuickSort algorithm, the QuickSelect recurses only once and on one side after rearranging. This helps the algorithm remain O(n) time complexity. By using the random selection, the pivot on average is close to the middle of the list. Therefore, with the input is an n-sized list D, the recursive call is on a sublist whose size is a half. Because the rearrangement takes O(n) time, this algorithm takes at most 2n time which is O(n). The running time is shown below:

$$O(n) + O(n/2) + O(n/4) + \dots \le O(2n) = O(n)$$

The following image, Figure 1, is one of many outputs using different numbers of elements and k values.

```
Number of elements: 100,000,000
k: 76,543,210
Value range: -100,000 to 100,000
- O(nlogn) Result Using Sort: 53081.606002
  Time taken: 53.615235s
- O(n) Result Using QuickSelect: 53081.606002
  Time taken: 3.588446s
```

Figure 1: The outputs of the  $O(n \log n)$  algorithm using regular sorting and the O(n) QuickSelect algorithm. Number of input elements is  $100\,000\,000$  with  $k = 76\,543\,210$ .

With the given number of elements, k and value range, both of the algorithms produced the same result, which is 53081.606002, but the running times of them have a significant difference (For the program's output, see Figure 1).

Since the QuickSelect takes at most 2n running time, the regular sorting takes at least  $\log(n)/2$  times more than it, and the log is in base 2. In this case, there are  $100\,000\,000$  numbers, so:

$$\frac{\log(n)}{2} = \frac{\log(100\,000\,000)}{2} \approx 13.2877 \text{ times} \le \frac{53.615235}{3.588446} \approx 14.9411 \text{ times}$$

Since 14.9411 is quite close to 13.2877, the running time of this QuickSelect algorithm in practice is reasonable compared to the theory.

# 3 Algorithm 2: The refinement of Sepann $(S, n, d, \mu, c)$

With the use of the algorithm SepAnn $(S, n, d, \mu, c)$ 's output, this refinement algorithm continues to find an annulus that separates the points in S into different smaller sets of points.

Let  $\mu = e$  and  $c = 2(4e)^d$ , see [1, Remark 1]. The algorithm SEPANN(S, n, d, e, c) returns an annulus's center  $p \in S$  and its radius, R' > 0. An input of this refined algorithm is t > 0, a large enough constant calculated based on n. Let  $R_i = (1+1/t)^i \cdot R'$ , in which i is a uniformly random element inclusively from 1 to t. The algorithm then finds an annulus centered at p with the inner radius  $R_{i-1}$  and outer radius  $R_i$ , denoted  $A_i = annulus_S(p, R_{i-1}, R_i)$ . If the annulus contains at most n/t points then we are done. The algorithm returns p and  $R_{i-1}$ . This algorithm has the expected O(cn) time complexity, see [1, Section 3.2]. Otherwise, the previous procedure is repeated until the condition is satisfied. The pseudocode of this refined algorithm is given below.

```
Algorithm 2: SPARSESEPANN(S, n, d, t)

Input: Let S be a subset of P, of size n \geq 2(4e)^d + 1, d be the space's doubling dimension, t \geq 1 be an integer.

Output: A point p \in S and a radius R > 0.

c = 2(4e)^d;
let p \in S and R' > 0 be the output of algorithm SEPANN(S, n, d, e, c); repeat
\begin{vmatrix} i = \text{a uniformly random element in } \{1, 2, \dots, t\}; \\ R_i = (1 + 1/t)^i \cdot R'; \\ R_{i-1} = (1 + 1/t)^{i-1} \cdot R'; \\ A_i = \text{annulus}_S(p, R_{i-1}, R_i); \\ s = |A_i|; \\ \text{until } s \leq n/t; \\ R = R_{i-1}; \\ \text{return } p \text{ and } R \end{aligned}
```

This pseudocode is from [1, Section 3.2]

# 4 Algorithm 3: The main closest-pair algorithm

Using the result of SparseSepAnn(S, n, d, t), this main algorithm ClosestPair(S, n, d) recursively compute the closest distance.

Let S be an n-sized subset of P, d be the metric space (P, dist)'s doubling dimension. In the base case, that is when  $n < 2(16e)^d$ , the algorithm uses brute-force to compute the closest distance. If this is not the case, the algorithm sets  $t = \lfloor \frac{1}{16e} (n/2)^{1/d} \rfloor$ . This algorithm then runs SparseSepann(S, n, d, t) with this t value, and gets the output  $p \in S$  and R > 0 which is the inner radius of the sparse annulus. The outer radius of it is (1 + 1/t)R.

Let  $S_1$  be the set of points contained in  $ball_S(p, R)$ ,  $S_2$  be the set of points contained in  $annulus_S(p, R, (1+1/t)R)$  and  $S_3$  be the set that contains all the points outside of the outer radius (1+1/t)R. This algorithm now recursively calls itself on two subsets of S which are points contained in  $ball_S(p, (1+1/t)R)$  (which is  $S_1 \cup S_2$ ) and outside of  $ball_S(p, R)$  (which is  $S_2 \cup S_3$ ). Finally, the smaller distance output from two recursive calls,  $\delta_0 = min(\delta_1, \delta_2)$ , is returned. Below is the algorithm's pseudocode, see [1, Section 4.1].

```
Algorithm 3: CLOSESTPAIR(S, n, d)
 Input: Let S be a subset of P, of size n \geq 2, d be the space's doubling dimension.
 Output: A real number \delta_0 satisfies the two properties in [1, Lemma 5].
    if n < 2(16e)^d then
     \delta_0 = the closest-pair distance in S using brute-force;
        t = \lfloor \frac{1}{16e} (n/2)^{1/d} \rfloor;
         let p \in S and R > 0 be the output of algorithm SparseSepAnn(S, n, d, t);
         S_1 = ball_S(p, R);
         S_2 = annulus_S(p, R, (1 + 1/t)R);
         S_3 = S \setminus (S_1 \cup S_2);
         n' = |S_1 \cup S_2|;
        n'' = |S_2 \cup S3|;
        \delta' = \text{CLOSESTPAIR}(S_1 \cup S_2, n', d);
        \delta'' = \text{ClosestPair}(S_2 \cup S_3, n'', d);
        \delta_0 = min(\delta', \delta'');
    end
    return \delta_0
```

This pseudocode is from [1, Section 4.1]

# 5 The Implementation

This implementation of the closest-pair doubling algorithm is written in C++ since it is a very common and fast programming language with high-level supports of object-oriented programming that can help us organize the program efficiently (see the implementation's  $GitHub\ repository^1$  for the source code). The implementation and its components will be described and explain throughout this section. Also, the code and description of each function will be given below.

To begin with, a set of points, which does not necessarily refer to set in Computer Science, is always one of the input parameters of each partial algorithm. In this implementation, I would choose vector, a very popular built-in data structure of C++. Since its size can automatically change when we add or remove an element, it is easy to work with and manage its behavior when implementing. Not only for storing points, vector will be used throughout the implementation to store some other information.

# 5.1 Utility functions and classes

#### 5.1.1 Random number generator classes

Due to A. Maheshwari, W. Mulzer and M. Smid, the closest-pair doubling algorithm uses randomized technique in some parts to find the targets in linear time. Therefore, generating

<sup>&</sup>lt;sup>1</sup>GitHub repository of the implementation. https://github.com/ThangMinhCao/closestpairdoubling

random numbers is important. I created two random number generator classes, one for integer and one for double, to make the program more simple. The generators' code is given below.

```
#include <random>
  class RandomInt{
    public:
        RandomInt(int start, int end)
             : generator{std::random device{}()}, int dist(start, end) {}
        int next() { return int dist(generator); }
    private:
9
        std::mt19937 generator;
10
        std::uniform_int_distribution<> int_dist;
11
 };
12
13
  class RandomDouble{
14
    public:
15
        RandomDouble(int start, int end)
16
             : generator{std::random device{}()}, double dist(start, end) {}
17
        double next() { return double dist(generator); }
18
19
    private:
20
        std::mt19937 generator;
21
        std::uniform real distribution<> double dist;
22
23 };
```

 $Source~^2\colon master~branch \to closest pair doubling/include/Random Generator.h$ 

For each class, I create a uniform distribution with a given range that corresponds to the number type and an <code>mt19937</code> random generator with a <code>random\_device</code> input. These two variables will be initialized when a random generator object is instantiated. Each class also has a <code>next()</code> function that can be called to return a random number.

#### 5.1.2 Point and PointList classes

Since points are fundamental elements of the algorithm, and they are not just numbers (they have their own coordinates), creating a Point class is quite essential. Moreover, with the addition of the class function  $distance\_to$ , the algorithm can calculate the distance between any two points easily without directly using the points' coordinates. This function works as the input function dist that is provided with the metric space (P, dist). The implementation code of the class is given below.

<sup>&</sup>lt;sup>2</sup>Path to the source file in the implementation's GitHub repository.

```
1 #include <vector>
 #include <cmath>
 class Point {
    private:
      std::vector<double> coordinate;
    public:
      explicit Point(std::vector<double> coordinate) {
        this->coordinate = std::move(coordinate);
      }
10
      Point() = default;
11
      // distance is calculated using Pythagorean Theorem
12
      double distance_to(const Point& another_point) {
13
        double total square = 0;
14
        for (int i = 0; i < coordinate.size(); i++) {</pre>
15
          double iDiff = coordinate[i] - another_point.getCoordinate()[i];
16
          total_square += (iDiff) * (iDiff);
17
        }
18
        return sqrt(total_square);
19
20
      std::vector<double> getCoordinate() const {
21
        return coordinate;
22
      }
23
24 }
```

Source: master branch  $\rightarrow$  closestpairdoubling/include/Point.h

Because there is not only one method to generate points (see the description later in Section 6), so if all of them are implemented in the  $\mathtt{main}()$ , the function will be quite huge and complicated. Thus, I created another utility class to make the code more organized, PointList. The PointList class consists of a vector named points that store all the points in a subset of P and some point generating functions. This class is used as subsets of P and to initialize all the points in P at the start of the program.

```
11
        RandomDouble random double(coor start range, coor end range);
12
        for (int i = 0; i < point_num; i++) {</pre>
13
           std::vector<double> coor;
14
           // number of coordinates is the dimension value
           for (int j = 0; j < dimension; j++) {
16
             coor.push back(random double.next());
17
           }
18
           points.push back(Point(coor));
19
        }
20
      }
21
22
      // initializes points in grid, see Section 6 for details
23
      void grid_initializer(double dist, int xFactor, int yFactor, int
24
     position)
      {
25
        // the grid starts at coordinates (position, position)
26
        int y = 0;
27
        while (y < yFactor) {</pre>
28
           int x = 0;
29
           while (x < xFactor) {</pre>
30
             std::vector<double> coor;
31
             coor.push back(position + (x++) * dist);
32
             coor.push back(position + y * dist);
             points.push back(Point(coor));
34
           }
35
           y++;
36
        }
37
      }
38
39 }
```

Source:  $master\ branch \rightarrow closestpairdoubling/include/PointList.h$ 

#### 5.1.3 $K^{th}$ smallest element finder

The  $K^{th}$  smallest algorithm has its own class. In this class, I define a shorter type name <code>DVect</code> which is <code>std::vector<double></code>. The QuickSelect algorithm is divided into three functions, the main is <code>get</code>, and two assistances <code>swap</code> and <code>partition</code>. The function <code>get</code> returns the  $k^{th}$  smallest element using QuickSelect, and the other using the normal sort. Although I only use the QuickSelect technique, I create both to compare their time complexity, see Section 2.2.

```
#include <vector>
 typedef std::vector<double> DVect;
 class KthSmallest {
    private:
      static void swap (double *a, double *b);
      static int partition(DVect& distances, int start, int end);
    public:
10
      // get k-th smallest element with QuickSelect
11
      static double get(DVect& distances, int start, int end, int k);
      // get k-th smallest element with normal sorting
13
      static double get with sorting(DVect distances, int k);
14
15 };
```

Source:  $master\ branch \rightarrow closestpairdoubling/include/KthSmallest.h$ 

Due to its simplicity and irrelevant uses (only for testing and analyzing), the implementation of the normal sorting technique will not be listed in this report, see the *GitHub repository* for the code. The following is the implementation code of the QuickSelect algorithm.

```
1 #include "KthSmallest.h"
2 #include "RandomGenerator.h"
void KthSmallest::swap (double *a, double *b) {
    double temp = *a;
    *a = *b;
    *b = temp;
 }
int KthSmallest::partition(DVect& distances, int start, int end) {
    double pivot = distances[end];
11
    int slow = start;
12
    int fast = start;
13
    // moving the smaller elements to the left and larger elements to the
14
     right
    while (fast < end) {</pre>
15
      if (distances[slow] > pivot) {
16
        while (fast < end and distances[fast] > pivot) {
17
          fast++;
18
        }
19
        if (distances[fast] < pivot) {</pre>
20
          swap(&distances[slow], &distances[fast]);
^{21}
```

```
} else {
22
          break:
23
        }
24
      }
25
      fast++;
26
      slow++;
27
28
      // swapping the pivot from end back to its original place
29
    swap(&distances[slow], &distances[end]);
30
    return slow;
31
 }
32
33
  double KthSmallest::get(DVect& distances, int start, int end, int k) {
34
    if (start == end) {return distances[start];}
35
        swapping the random pivot to the end
36
    RandomInt random int gen = RandomInt(start, end);
37
    swap(&distances[random_int_gen.next()], &distances[end]);
38
    int cur_pivot = partition(distances, start, end);
39
    if (k == cur_pivot - start + 1) {
40
      return distances[cur pivot];
41
    } else if (k < cur pivot - start + 1) {</pre>
42
      return get(distances, start, cur_pivot - 1, k);
43
44
      return get(distances, cur pivot + 1, end, k - cur pivot + start - 1);
45
    }
46
47 }
```

Source: master branch  $\rightarrow$  closestpairdoubling/src/KthSmallest.cpp

The function swap(double \*a, double \*b) swaps two elements in a vector by swaps the values at the addresses that the pointers \*a and \*b point to. Thus, their values in a vector are swapped while their identities are still the same.

The function partition(DVect& distances, int start, int end) takes the last element in distances to be the pivot. Then, it rearranges the vector so that every element smaller and larger than pivot is to the left and right of it. The technique I use in this function is creating two pointers fast and slow. Both pointers move towards the end step by step together until distances[slow] > pivot, then it stops. The fast keep moving until distances[fast] < pivot, then the value at two indices are swapped. This procedure is repeated until the fast reach the end of the vector The pivot is now swapped back to the slow position, where it is supposed to be.

A generic call is get(DVect& distances, int start, int end, int k). It first checks if the sub-vector has only one element (as well as start == end) then returns it. Otherwise, it selects a random pivot in the vector then swaps it with the last element. Now, the partition function is called to return the index of the pivot after rearranging. The

base case is when there are exactly k elements from index 0 to the current pivot in the original vector (which is  $cur\_pivot - start + 1$ ), so it is the  $k^{th}$  smallest element. If there are more than k elements, the function is recursively called on the sub-vector to the left of the pivot. If there are less than k elements, the function is called on the sub-vector to the right, but now the k should be decreased by  $cur\_pivot - start + 1$ . Due to Section 2.2, this procedure takes O(n) time complexity.

#### 5.1.4 The 2D algorithm for testing

In the project, I also implement the 2D closest-pair algorithm using Divide-and-conquer algorithm due to Bentley and Shamos, see [5]. This algorithm is very helpful in testing and debugging the closest-pair doubling algorithm because of its short running time. I will briefly describe the algorithm below.

Given a set of point P, the base case is when P contains less than 2 points. If there is only one, the algorithm returns positive  $\infty$ . If there are two points, the algorithm basically returns the distance between them. Otherwise, P is sorted in ascending order based on the points' x-coordinate. Then, it chooses a vertical line,  $\ell$ , that divides the set P into two halves  $P_1$  contains  $\lfloor n/2 \rfloor$  points and  $P_2$  contains  $\lfloor n/2 \rfloor$  points. The algorithm now recursively calls itself on  $P_1$  and  $P_2$ , so the minimum of the two outputs would be the temporary closest-pair distance,  $\delta_0 = \min(\delta_1, \delta_2)$ . It is obvious that there could be a pair of points (one from  $P_1$  and the other from  $P_2$ ) which has a closer distance than the temporary  $\delta_0$ .

To combine the two set  $P_1$  and  $P_2$ , we create a strip centered at  $\ell$  with its left end and right end are the lines  $\ell_1$  and  $\ell_2$  so that both lines are  $\delta_0$  away from  $\ell$ . All the points in the strip are now sorted based on their y-coordinate. The algorithm then can loop through the strip and check if there is any smaller distance than  $\delta_0$ . Fortunately, for each point in the strip, the algorithm just needs to check the distances between the current point with its 7 successive points, that have y-coordinates  $\geq$  the current point's y-coordinate. If there is any closer distance, it is assigned to  $\delta_0$ . Finally,  $\delta_0$  is returned.

To keep the report on point and less complicated, the code of this 2D algorithm will not be listed. See the implementation on my  $GitHub\ repository^3$ .

# 5.2 The closest-pair doubling algorithm

The implementation of the closest-pair algorithm still consists of three main functions, sep\_ann, sparse\_sep\_ann and closest\_pair. Moreover, the brute\_force function is added to be used in the base case. The following is the algorithm's class.

```
#include "PointList.h"

typedef std::vector<double> DVect;

class ClosestPairDoubling {
```

 $<sup>^3{\</sup>rm The~implementation~of~the~2D~algorithm~with~merge~sort~algorithm.}~https://github.com/ThangMinh-Cao/closestpairdoubling/tree/master/utils$ 

```
private:
      static std::tuple<Point, double, DVect>
                  sep_ann(PointList &S, int n, double mu, double c);
      static std::pair<Point, double>
9
                  sparse sep ann(PointList &S, int n, double d, int t);
10
    public:
11
      ClosestPairDoubling() = default;
12
      static double brute force(PointList &S);
13
      static double closest pair(PointList &S, double d, int recursion=0);
14
15 };
```

Source: master branch  $\rightarrow$  closestpairdoubling/include/ClosestPairDoubling.h

#### **5.2.1** The SepAnn $(S, n, d, \mu, c)$ algorithm

The sep\_ann function starts by defining some important variables to be used in its loop. Let p be the chosen random point in S, Rp be the radius of the smallest ball containing at least n/c points, distances\_from\_p be the vector stores the distances between p and all points in S and outer\_ball\_count be the number of points in the outer ball,  $ball_S(p, \mu R_p)$ . To select a random point p, I initialize an integer random generator int\_gen with the range from 0 to n-1, possible indices of points in S.

Since c is calculated based on d which could be done outside the function, I removed the input d of the sep ann function.

```
std::tuple<Point, double, DVect>
        ClosestPairDoubling::sep ann(PointList &S, int n, double mu, double c
     )
3 {
    Point p;
    double Rp = -1.0;
    DVect distances_from_p;
    int outer_ball_count = (n / 2) + 1;
    RandomInt int gen(0, n - 1);
9
    while (Rp == -1.0 or outer_ball_count > std::floor(n / 2)) {
10
      distances_from_p.clear();
11
12
      // selecting the random point p and calculate all the distances
13
      int random index = int gen.next();
14
      p = S.points[random index];
15
      for (const Point& point: S.points) {
16
        distances from_p.push_back(p.distance_to(point));
17
18
      Rp = KthSmallest::get(distances_from_p, 0,
19
                               (int)distances from p.size(), ceil(n / c));
20
```

```
21
      // counting the number of point in the outer ball
22
      outer_ball_count = 0;
23
      for (double dist: distances_from_p) {
24
         if (dist <= mu * Rp) {</pre>
25
           outer ball count++;
26
         }
27
      }
28
29
    return std::make_tuple(p, Rp, distances_from_p);
30
31 }
```

Source:  $master\ branch \rightarrow closestpairdoubling/src/ClosestPairDoubling.cpp$ 

Each time the while loop is repeated, distances\_from\_p is cleared to get rid of the previous loop's result. The function uses int\_gen to generate a random point in S, then it stores distances between p and every point in S including itself into distances\_from\_p. The QuickSelect function from KthSmallest class is now used to get the ceil(n/c)-smallest element in distances\_from\_p, then it is assigned to Rp. The function loops through the distance vector to count the number of points inside the outer ball and assigns it to outer\_ball\_count. If outer\_ball\_count <  $\lfloor n/2 \rfloor$  then we are done, the function returns a tuple containing p, Rp and distances\_from\_p. If this is not the case, the procedure is repeated until the condition is satisfied. Note that, I return distances\_from\_p here to use it in SparseSepann without spending time to loop through the vector again.

### 5.2.2 The refined algorithm SparseSepAnn(S, n, d, t)

Let sep\_ann\_res be the output of the function sep\_ann(S, n, e, c), Ai\_size be the number of points  $annulus_S(p, R_{i-1}, R_i)$  contains. Let p, R\_prime and distance\_from\_p be the variables store the values which are derived from sep\_ann\_res. The implementation code of SparseSepAnn is given below.

```
std::pair<Point, double>
ClosestPairDoubling::sparse_sep_ann(PointList &S, int n, double d, int t)

{
    const double e = std::exp(1.0); // the Euler constant
    double c = 2 * pow(4 * e, d);
    std::tuple<Point, double, DVect> sep_ann_res = sep_ann(S, n, e, c);

// followings are results derived from sep_ann_res
    Point p = std::get<0>(sep_ann_res);
    double R_prime = std::get<1>(sep_ann_res);
    DVect distances_from_p = std::get<2>(sep_ann_res);
```

```
int Ai size = n / t + 1;
    RandomInt range t random(1, t);
14
15
    double R;
16
    while (Ai size > n / t) {
17
      int random_i = range_t_random.next();
18
      double Ri = pow(1 + 1.0 / t, random_i) * R_prime;
19
      R = pow(1 + 1.0 / t, random i - 1) * R prime;
20
      Ai size = 0;
21
      for (double dist: distances from p) {
22
        if (R <= dist and dist <= Ri) {</pre>
23
           Ai size++;
24
        }
25
      }
26
    }
27
    return std::pair<Point, double> {p, R};
28
29 }
```

Source:  $master\ branch \rightarrow closestpairdoubling/src/ClosestPairDoubling.cpp$ 

The algorithm first selects a random value i in the range from 1 to t using the random generator <code>range\_t\_random</code>, then it calculates the inner and outer radius of  $annulus_S(p, R_{i-1}, R_i)$  in which  $R_i = (1+1/t)^i$ . An inner <code>for</code> loop goes through <code>distance\_from\_p</code> to count the number of points in the annulus and then stores the number to <code>Ai\_size</code>. If <code>Ai\_size</code> > n/t, the right annulus is found, then it returns <code>p</code> and <code>R</code>. Otherwise, the <code>while</code> loop repeats the procedure to find the annulus that satisfies <code>Ai size</code>  $\le n/t$ .

#### 5.2.3 The main closest-pair algorithm ClosestPair (S, n, d)

Let n be the number of points contained in S, e be the Euler constant, delta0 be the closest-pair distance in S. Furthermore, I combine the three subsets  $S_1$ ,  $S_2$  and  $S_3$  (see Section 4) to only two that are SlorS2 and S2orS3 that represent the subset  $S_1 \cup S_2$  and  $S_2 \cup S_3$ .

```
double
    ClosestPairDoubling::closest_pair(PointList &S, double d, int recursion
    )

{
    int n = (int)S.points.size();
    const double e = std::exp(1.0);
    double delta0; // the closest-pair distance in S

if (n < 2 * pow(16 * e, d)) {
    return brute_force(S);
} else {</pre>
```

```
int t = floor((1 / (16 * std::exp(1.0))) * pow((double)n / 2, 1 / d));
      std::pair<Point, double> ssann result = sparse sep ann(S, n, d, t);
12
      Point p = ssann_result.first;
13
      double R = ssann_result.second;
14
      PointList S1orS2 = PointList();
15
      PointList S2orS3 = PointList();
16
      for (const Point& point: S.points) {
17
        double d to p = p.distance to(point);
18
        if (d to p <= R) {
19
          S1orS2.points.push back(point);
20
        else\ if\ (d_to_p > R\ and\ d_to_p <= (1 + 1.0/t) * R) 
21
          S1orS2.points.push back(point);
22
          S2orS3.points.push back(point);
23
        } else {
24
          S2orS3.points.push_back(point);
25
        }
26
      }
27
      std::vector<Point>().swap(S.points); // free the memory that stores S
28
      double delta1 = closest_pair(S1orS2, d, ++recursion);
29
      double delta2 = closest pair(S2orS3, d, ++recursion);
30
      delta0 = std::min(delta1, delta2);
31
    }
32
    return delta0;
33
34 }
```

Source:  $master\ branch \rightarrow closestpairdoubling/src/ClosestPairDoubling.cpp$ 

With  $t = \lfloor \frac{1}{16e} (n/2)^{1/d} \rfloor$ , sparse\_sep\_ann(S,b,d,t) is called, and the outputs of it are assigned into p and R. The for loop goes through S to build the two sets by adding corresponding points to them using the distance from p to each point. Let d\_to\_p be the distance from p to the current point in the for loop. If d\_to\_p  $\leq R$ , the current point is in the  $ball_S(p,R)$ , so it is added to S1orS2. If  $R < d_to_p \leq (1+1/t)R$ , the point is in the  $annulus_S(p,R,(1+1/t)R)$ , then it is added to both S1orS2 and S2orS3. Otherwise, the point is appended into only S2orS3 since it is outside the outer radius.

Because every point in S is now in S1orS2 and S2orS3, it is not necessary to keep the vector S as well as its memory usage, which could be stacked up after many recursive calls and cause memory overload. Thus, after storing all the points into S1orS2 and S2orS3, I clear the vector S and its data in the memory using the function vector::swap() to swap S with an empty vector. Note that, I will make sure to pass the full set of points by value or pass a copy of it to the first call of the algorithm, so the original one will not be changed. Therefore, it can not affect the execution of brute\_force for testing later.

After that, the outputs of two recursive calls, one on S1orS2 and one on S2orS3, will be stored in delta1 and delta2 variables. Finally, the smaller one of them will be assigned into delta0 and returned.

### 5.3 The closest-pair distance of non-Euclidean spaces

The algorithm runs correctly in a special case in which the given metric space is non-Euclidean of doubling dimension 1, see [3, Section 2.1] for more details. Given a metric space  $S = \{p_1, p_2, \ldots, p_n\}$  that contains n "points", denoted  $p_m$  with  $1 \le m \le n$ . Specifically, the value of  $p_m$  represents an integer between a given range. Let i and j be any number that satisfies  $1 \le i \le j \le n$ , the distance between  $p_i$  and  $p_j$  is defined:

$$dist(p_i, p_j) = \begin{cases} 0 & \text{if } i = j\\ 4^{max(i,j)} & \text{if } i \neq j \end{cases}$$

Using the definition, the closest-pair algorithm finds the closest distance in this metric space normally without changing any core detail.

Although the points are just integers, I still treat them as Point objects with 1D coordinates. Therefore, I do not need to make many small changes which may lead to programming errors that can take a lot of time to fix, and I still keep the main idea of the algorithm that works with the Point class.

The only problem is that the distance between any two points could be extremely large. For instance, let the upper bound of the points' value be a big number, say 100, so  $p_i \leq p_j \leq 100$ . Let  $p_i = 100$ , then  $dist(p_i, p_j) = 4^{100} > 1.6 \times 10^{60}$  which is much larger than the maximum 32-bit integer that C++ can handle. Therefore, I decided to use a library named  $Boost^4$  which can handle numbers with precisions up to 1024 bits. When changing the current algorithm to use Boost, I only need to replace the **double** type of normal distances by **cpp\_int** type which can automatically choose the corresponding precision for each distance. Also, I needed to make some minor changes, so the algorithm can be able to run correctly. Since there are not many changes and they do not affect the main theory of the algorithm, the implementation for this non-Euclidean will not be listed in this report. See the **strange\_metric** branch<sup>5</sup> of my GitHub repository for the implementation.

# 6 The practical running time and behavior

After doing many tests on normal Euclidean spaces, I found out that the algorithm's behavior is different based on the way the points are arranged on the metric space. There are two approaches to generate the points that give me the most obvious differences.

- 1. **Generating uniformly random** points spread throughout the space. To do this, we can just use any of the random number generators to get the points' random coordinates in a given range, see Section 5.1.1 and Section 5.1.2 for more details.
- 2. Generating points evenly in a grid. Let n be the number of points, a and b be any two factors of n that satisfies  $a \times b = n$ , d be the distances between any two points which are close together. Start at any position, the points are set into the  $a \times b$  grid which means each point is on a corner of a d-sided square, see Figure 2.

<sup>&</sup>lt;sup>4</sup>The Boost library's website. https://www.boost.org/

<sup>&</sup>lt;sup>5</sup>The implementation of the algorithm on non-Euclidean metrics. https://github.com/ThangMinhCao/closestpairdoubling/tree/strange\_metric

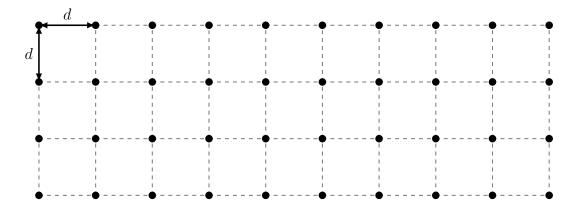


Figure 2: An example of points in a grid on a Euclidean space. A set of 40 points that gives us a  $4 \times 10$  grid. Points are placed at corners of the squares whose sides are equal to d that could be any number.

Since the points in non-Euclidean spaces are simply integers, they do not have properties as many as the ones on normal Euclidean ones. Therefore, different ways to generate points do not change its behavior which is already as expected. I will only show its behavior with random points, see Section 6.2.

To generate random points in a non-Euclidean space, I use a RandomInt object. With a given range and number of points, I can easily call next() to get a value to initialize a Point and store it into a PointList object.

## 6.1 The practical running time

To correctly compare the algorithm's running time, we can use brute-force which takes O(n) time or the 2D algorithm for only 2D points. However, to have the running time that is close to the theory, the number of points in P must be extremely large since the base case of the algorithm is when  $n < 2(16e)^d$ , which is already a quite large number (approximately 79 550 points in 2D and more than 3000 000 points in 3D, for example). The algorithm could take days to finish calculating the whole metric space, and in this case, brute-force would take a lot more than that amount of time, which is not very reasonable for me to keep the program running until it finish. Fortunately, since the running time of the algorithm is  $O(n \log n)$  which is in theory and also expected in practice, the ratio of the actual running time (in seconds) to the theoretical should be approximately the same for different large enough numbers of points. Thus, we can use this information to prove that the running time in practice is actually  $O(n \log n)$ .

Particularly, let n be the number of points in a metric space, denoted |P|, m be a number which is much larger than the base case of the algorithm  $(2(16e)^{\log_2 7} \approx 79550)$  points in 2D metric spaces),  $r_n$  be the ratio of the actual running time to the theoretical one that is calculated using n with the log of base 2. I would initialize and run the algorithm on different metric spaces P with  $n \in sizes = \{m, 2m, 4m, 8m, 16m\}$ . For each size, I would run the algorithm several times to find the average running time, denoted T(n).

Having n and T(n), the ratio is calculated as below:

$$r_n = \frac{T(n)}{n \log n}$$

As expected, the ratios should be approximately the same. Denoted:

$$r_m \approx r_{2m} \approx r_{4m} \approx r_{8m} \approx r_{16m}$$

If this holds, the practical running time actually represents  $O(n \log n)$  time. This is obvious because they have the same time complexity, so when the actual amount of time changes by an amount, the theoretical one should change by the same amount that is also based on the number of points.

After running the algorithm with different kinds of inputs, the tables and graphs below are the results that I come up with.

1. Random generated Euclidean metric spaces: I choose  $m = 500\,000$  points since it is much bigger than the base case, and as I estimated, the algorithm would take a reasonable time to finish all cases.

| m         | The running time (in seconds) |         |         |          | $r_{\cdot \cdot} = \frac{T(n)}{n}$ |
|-----------|-------------------------------|---------|---------|----------|------------------------------------|
| $m{n}$    | $T_1$                         | $T_2$   | $T_3$   | T(n)     | $r_n = \frac{1}{n \log n}$         |
| 500 000   | 2176.5                        | 2237.33 | 2211.71 | 2208.51  | $2.333 \times 10^{-4}$             |
| 1 000 000 | 3047.94                       | 3063    | 3091.8  | 3067.58  | $1.539 \times 10^{-4}$             |
| 2 000 000 | 6280.73                       | 6040.06 | 5844.34 | 6055.04  | $1.446 \times 10^{-4}$             |
| 4 000 000 | 12888.7                       | 12761.5 | 12632.7 | 12760.97 | $1.454 \times 10^{-4}$             |
| 8 000 000 | 33400.4                       | 32850.6 | 31038.6 | 32429.8  | $1.767 \times 10^{-4}$             |

Figure 3: The table of random points metric spaces' data of running time and the ratio  $r_n$ .

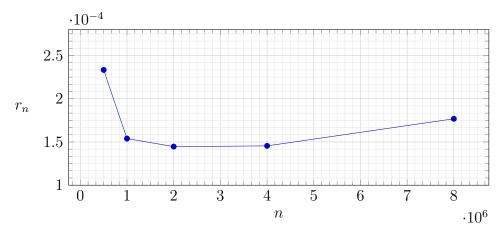


Figure 4: The graph of ratios  $r_n$  versus different values of n of random points with  $n \in \{500\,000, 1\,000\,000, 2\,000\,000, 4\,000\,000, 8\,000\,000\}$  (with y-interval =  $0.5 \times 10^{-4}$ ).

As you can see in the table (see Figure 7), for random points, the ratios  $r_n$  do not have any significant differences. By assigning them the same base and exponent of their scientific notation  $(10^{-4})$ , their coefficients are mostly the same except for the 500 000 points, which is still less than doubled of the lowest ratio. This is reasonable since there are many factors that can affect the running time of the program. Also, this is the lowest value of n in the set, so its running time probably has the highest error. The graph also shows that the points are roughly on a horizontal line, so the ratios have acceptable differences, see Figure 8.

2. Grid generated Euclidean metric spaces: I choose  $m=200\,000$  points in this case because the algorithm SepAnn will repeat several times most of the times it is called, that leads to much longer running time. Also, that is still a good number which is about 2.5 times larger than the base case, so it probably return a good result of running time.

| m              | The running time (in seconds) |          |          |          | $r_{\cdot \cdot} = \frac{T(n)}{n}$ |
|----------------|-------------------------------|----------|----------|----------|------------------------------------|
| $oldsymbol{n}$ | $T_1$                         | $T_2$    | $T_3$    | T(n)     | $r_n = rac{r(n)}{n \log n}$       |
| 200 000        | 3467.18                       | 3191.12  | 3242.48  | 3304.22  | $0.938 \times 10^{-3}$             |
| 400 000        | 8107.99                       | 8298.77  | 8787.8   | 8398.18  | $1.128 \times 10^{-3}$             |
| 800 000        | 19771.3                       | 18744.3  | 19015.7  | 19177.1  | $1.222 \times 10^{-3}$             |
| 1 600 000      | 38205.9                       | 36618.35 | 39131.24 | 37993.08 | $1.152 \times 10^{-3}$             |
| 3 200 000      | 33400.4                       | 32850.6  | 31038.6  | 32429.8  | $1.767 \times 10^{-4}$             |

Figure 5: The table of grid points metric spaces' data of running time and the ratio  $r_n$ .

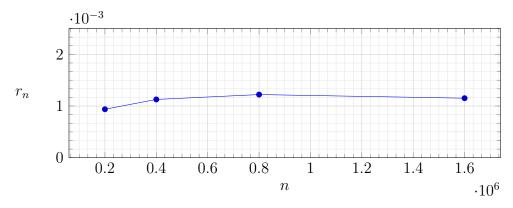


Figure 6: The graph of ratios  $r_n$  versus different values of n of grid points with  $n \in \{200\,000, 400\,000, 800\,000, 1\,600\,000, 3\,200\,000\}$  (with y-interval =  $10^{-3}$ ).

The relationship is clearer in this example of grid generated points. All the ratios are very close to each other, see  $r_n$  column of Figure 5. The graph also shows that the differences between the ratios are very small since all the points are almost on a horizontal line.

3. Random generated non-Euclidean metric spaces: Since handling extremely large numbers makes the program significantly slower, I start with a much smaller value of m (10 000 points) compared to the Euclidean spaces. However, for non-Euclidean spaces, the doubling dimension is 1, so the base case is approximately 87 points. Thus, 10 000 points is a pretty large number compared to the base case.

| m              | The running time (in seconds) |          |          |          | $r_n = rac{T(n)}{n \log n}$ |
|----------------|-------------------------------|----------|----------|----------|------------------------------|
| $oldsymbol{n}$ | $T_1$                         | $T_2$    | $T_3$    | T(n)     | $r_n = \frac{1}{n \log n}$   |
| 200 000        | 3467.18                       | 3191.12  | 3242.48  | 3304.22  | $0.938 \times 10^{-3}$       |
| 400 000        | 8107.99                       | 8298.77  | 8787.8   | 8398.18  | $1.128 \times 10^{-3}$       |
| 800 000        | 19771.3                       | 18744.3  | 19015.7  | 19177.1  | $1.222 \times 10^{-3}$       |
| 1 600 000      | 38205.9                       | 36618.35 | 39131.24 | 37993.08 | $1.152 \times 10^{-3}$       |
| 3 200 000      | 33400.4                       | 32850.6  | 31038.6  | 32429.8  | $1.767 \times 10^{-4}$       |

Figure 7: The table of grid points metric spaces' data of running time and the ratio  $r_n$ .

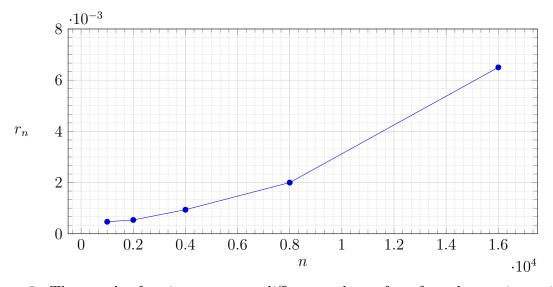


Figure 8: The graph of ratios  $r_n$  versus different values of n of random points with  $n \in \{500\,000, 1\,000\,000, 2\,000\,000, 4\,000\,000, 8\,000\,000\}$  (with y-interval =  $0.5 \times 10^{-4}$ ).

# **6.2 Probability to select a "good" point of** SepAnn $(S, n, d, \mu, c)$

Again, the goal of algorithm Sepann( $S, n, d, \mu, c$ ) is to find a "good" point p in S. A "good" point p implies that with  $R_p$  (the radius of the smallest  $ball_S(p, R_p)$  that contains at least n/c points), the  $ball_S(p, \mu R_p)$  contains at most n/2 points. Due to A. Maheshwari, W. Mulzer and M. Smid [1, Lemma 3], the algorithm has probability at least 1/c (about 1/1623.4 in 2D space) to select a good point uniformly random from S. Like the practical running time, the number of times the algorithm Sepann( $S, n, d, \mu, c$ ) repeats until it gets a

good point also depends on the way how the input points are generated. This only applies the normal Euclidean metric spaces.

Generating point randomly produces a surprising behavior of this algorithm. Every time SEPANN( $S, n, d, \mu, c$ ) is called, it only repeats *once* until it gets to the base case, that means the probability to get a good point is 100%, see algorithm's data on *GitHub repository*<sup>6</sup>. Since the points are generated randomly, so they change every time, there are no explanations about specific properties of the points in this case. However, since 100% satisfied the probability at least 1/c, this result is reasonable.

On the other hand, when using the grid way to generate points, the algorithm  $SEPANN(S, n, d, \mu, c)$  now repeats multiple times which is its expected behavior, see an example output in Figure 9. Again, the lowest probability in most of the trials in this case is about 1/20 which satisfies probability at least 1/c. For the full grid input data, see the  $GitHub\ repository^7$ .

| n      | Repeat times |
|--------|--------------|
| 200000 | 6            |
| 193836 | 7            |
| 179534 | 2            |
| 172501 | 1            |
| 158381 | 13           |
| 156531 | 3            |
|        |              |

| n      | Repeat times |
|--------|--------------|
|        |              |
| 101943 | 4            |
| 96367  | 2            |
| 96154  | 2            |
| 83621  | 6            |
| 83288  | 13           |
| 82515  | 2            |

Figure 9: Given an input of 200 000 points generated in a grid. This is a portion of the data about the number of times the algorithm SEPANN $(S, n, d, \mu, c)$  repeats.

For non-Euclidean spaces, the algorithm behaves exactly as expected even with random points. Since the doubling dimension 1 which gives us the base case approximately 87, several thousands of points are large enough for an input that shows the correct running time and behavior. With different input, the algorithm produces expected answers which are calculated using brute-force but much faster, see folder report in my GitHub repository for more details about the outputs. With random inputs as well as any other type, the sep\_ann function repeats several times, see Figure 10. The table below represents the number of times sep\_ann repeats with different number of points in S.

 $<sup>^6</sup> Random$  points SepAnn data.  $https://github.com/ThangMinhCao/closestpairdoubling/blob/master/report/images\_\%26\_data/closest\_pair/random\_generation/random\_sep\_ann\_data.txt$ 

<sup>&</sup>lt;sup>7</sup>Grid points SepAnn data. https://github.com/ThangMinhCao/closestpairdoubling/blob/master/report/images\_%26\_data/closest\_pair/grid\_generation/grid\_sepann\_data.txt

| n      | Repeat times |
|--------|--------------|
| 200000 | 6            |
| 193836 | 7            |
| 179534 | 2            |
| 172501 | 1            |
| 158381 | 13           |
| 156531 | 3            |
|        |              |

| n      | Repeat times |
|--------|--------------|
|        | • • •        |
| 101943 | 4            |
| 96367  | 2            |
| 96154  | 2            |
| 83621  | 6            |
| 83288  | 13           |
| 82515  | 2            |

Figure 10: Given an input of 200 000 points generated in a grid. This is a portion of the data about the number of times the algorithm SEPANN $(S, n, d, \mu, c)$  repeats.

# 7 Concluding remarks

I have briefly described the theory of the closest-pair doubling algorithm, and the implementation of it. Even we do not have the ability to run the algorithm with a huge number of points for more accurate running time outputs, the algorithm works exactly as we expected in practice:

- 1. For each example with different number of points in P, the closest-pair distance is correctly returned (the same as the brute-force's) without the use of the points' coordinates. Its running time is obviously much less than the result from brute-force.
- 2. For any way that the points are arranged on the metric space, the number of times the algorithm SepAnn $(S, n, d, \mu, c)$  repeats satisfies the probability 1/c in theory. Therefore, in practice, this procedure runs in linear time.
- 3. The algorithm can correctly find the closest-pair distances on non-Euclidean spaces of doubling dimension 1 without changing any core details. Also, its behavior is mostly the same as when the input is a normal space.

# References

- [1] A. Maheshwari, W. Mulzer and M. Smid. A Simple Randomized  $O(n \log n)$ –Time Closest-Pair Algorithm in Doubling Metrics, 2020. https://arxiv.org/abs/2004.05883
- [2] J. L. Bentley and M. I. Shamos. Divide-and-conquer in multidimensional space. In *Proceedings of the 8th ACM Symposium on the Theory of Computing*, pages 220–230, 1976.
- [3] M. Smid. The Weak Gap Property in Metric Spaces of Bounded Doubling Dimension. https://people.scs.carleton.ca/~michiel/weakgap.pdf