

# MSc Data Science

# Modelling, Regression and Machine-Learning

# **CST 4050**

Individual Report

# APPLYING MACHINE LEARNING TECHNIQUES TO IDENTIFY THE RISK OF ALZHEIMER'S DISEASE

Submitted By:

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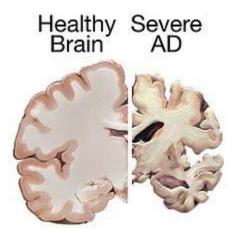
#### Abstract

In recent years, there has been a significant uptick in interest in Alzheimer's disease(AD), a form of dementia characterized by a gradual and deadly accumulation of proteins in the brain, killing brain cells and leading to memory loss, and behavior changes, and eventually death. While there is currently no cure for Alzheimer's disease, research has suggested that there is a correlation between the risk of contracting Alzheimer's and various aspects of the brain, including grey matter distribution, the appearance of amyloid deposits etc.

#### Problem Statement

Thanks to the improvement in medical imaging technology, namely magnetic resonance imaging(MRI) and positron emission tomography(PET) scans, the doctors and researchers are now able to diagnose Alzheimer's disease with greater accuracy and timeliness, however, such scans often only yield telltale information after symptoms are reported, at which point measures to slow or prevent its development are usually of limited effectiveness. While there are of course many factors that can lead to Alzheimer's, and not all can be determined from brain scans alone, I have considered the socio-economic factors, gender as well as education as independent predictors.

MRI findings include both, local and generalized shrinkage of brain tissue. Below is a pictorial representation of tissue shrinkage:



Some studies have suggested that MRI features may predict rate of decline of AD and may guide therapy in the future. However, in order to reach that stage clinicians and researchers will have to make use of machine learning techniques that can accurately predict the progress of a patient from mild cognitive impairment to dementia.

In this project, I am trying to develop a sound machine learning model that can help doctors to predict early Alzheimer's using MRI data.

Here I tend to do a comparative study of different commonly used machine learning classification algorithms like logical regression, Decision Tree, Random Forest, and Support Vector Machine. Choosing the best machine learning algorithm in order to solve the problems of classification and prediction of data is the most important part of machine learning which depends on the dataset as well.

#### **Related Works**

A variety of other projects regarding the classification of Alzheimer's disease using brain scan imagery have been conducted in recent years. The original publication has only done some preliminary exploration of the MRI data as the majority of their work was focused on data gathering. However, in the recent past, there have been multiple efforts that have been made to detect early Alzheimer's using MRI data. Some of the work that was found in the literature was as follows:

1) Machine learning framework for early MRI-based Alzheimer's conversion prediction in MCI subjects (Moradi *et al.*, 2015)-In this paper the authors were interested in identifying mild cognitive impairment (MCI) as a transitional stage between age-related cognitive decline and Alzheimer's. The group proposes a novel MRI-based biomarker that they developed using machine learning techniques. They used data available from the Alzheimer's Disease Neuroimaging Initiative ADNI Database. The paper claims that their aggregate biomarker achieved a 10-fold cross-validation area under the curve (AUC) score of 0.9020 in discriminating between progressive MCI (pMCI) and stable MCI (sMCI).

#### Noteworthy Techniques:

- Semi-supervised learning on data available from AD patients and normal controls, without using MCI patients, to help with the sMCI/pMCI classification. Performed feature selection using regularized logistic regression.
- They removed aging effects from MRI data before classifier training to prevent possible confounding between changes due to AD and those due to normal aging.
- Finally constructed an aggregate biomarker by first learning a separate MRI biomarker and then combining age and cognitive measures about MCI subjects by applying a random foresst classifier.
- 2) Detection of subjects and brain regions related to Alzheimer's disease using 3D MRI scans based on eigenbrain and machine learning(Zhang et al., 2015)- The authors of this paper have proposed a novel computer-aided diagnosis (CAD) system for MRI images of brains based on eigenbrains

[(eg.)](https://www.frontiersin.org/files/Articles/138015/fncom-09-00066-HTML/image\_m/fncom-09-00066-t010.jpg) and machine learning. In their approach they use key slices from the 3D volumetric data generated from the MRI and then generate eigenbrain images based on [EEG](https://en.wikipedia.org/wiki/Electroencephalography) data. They then used kernel support-vector-machines with different kernels that were trained by particle swarm optimization. The accuracy of their polynomial kernel (92.36 \$\pm\$ 0.94) was

better than their linear (91.47 \$\pm\$ 1.02) and radial basis function (86.71 \$\pm\$ 1.93)

3) Support vector machine-based classification of Alzheimer's disease from whole-brain anatomical MRI(Magnin *et al.*, 2009)-In this paper the authors propose a new method to discriminate patients with AD from elderly controls based on support vector machine (SVM) classification of whole-brain anatomical MRI. The authors used three-dimensional T1-weighted MRI images from 16 patients with AD and 22 elderly controls and parcellated them into regions of interest (ROIs). They then used an SVM algorithm to classify subjects based on the gray matter characteristics of these ROIs. Based on their results the classifier obtained 94.5% mean correctness. The possible downfalls of their technique might be the fact that they haven't taken age related changes in the gray matter into account and they were working with a small data set.

The above-mentioned 3 papers over here have explored the same question. Regardless, it is worthwhile to mention that the above papers were exploring raw MRI data and on the other hand, in this project, I am dealing with 3 to 4 biomarkers that are generated from MRI images.

#### Dataset Description

kernels.

The Oasis-3 dataset includes a plethora of metadata in addition to patient scans, going as specific as family history and number of psychiatric appointments.

This project represents the datasets for training and predictions, both featuring MRI scan data from normal patients to patients having Alzheimer's. The dataset I am using is Oasis-3. This dataset is a longitudinal study consisting of 1,099 images taken from roughly 150 subject, with each subject undergoing a minimum of three scan sessions, each last year apart. Of the 150 subjects,72 showed no symptoms of Alzheimer's disease over the course of the study.64 showed symptoms of Alzheimer's disease prior to any scans and remains so throughout the course of all scans and 14 did not initially display any symptoms of Alzheimer's disease but did after subsequent scans. The Oasis-3 dataset relies upon PET scans which reveal brain functions. The subject's aged from 60-96 and everyone is right-handed.

#### Column Description

Column names	Full-form				
EDUC	Years of Education				
SES	Socio economic status				
MMSE	Mini Mental State Examination				
CDR	Clinical Dementia Rating				
E TIV	Estimated Total Intracranial Volume				
nWBV	Normalize whole brain volume				
ASF	Atlas Scaling Factor				

#### **Data Preprocessing**

The below list of data preprocessing has been done on the dataset.

- i. Considered first visit data only as I am trying to analyze the risk of occurrence of Alzheimer's disease.
- ii. Performed one hot coding in the male/female column.
- iii. Changed the target variable column name to 'Group' and performed one hot coding after that.
- iv. Dropped the unwanted columns like 'MRI ID',' visit', and 'hand'(since all are right-handers).
- v. Handling Missing Values- There are 8 rows with missing values in the 'SES' column.

These missing values can be handled in two ways-

- Dropping missing rows
- Imputation-replacing the missing values with the corresponding values. Since the data size is small, I assume imputation would help to improve the performance of the model.

## **Exploratory Data Analysis**

In this section, I have focused on exploring the relationship between each feature of MRI tests and the dementia of the patient. The reason for conducting this EDA is to state the relationship of data explicitly through a graph so that the correlations can be assumed before data extraction or data analysis. It might help to understand the nature of the data and to select the appropriate analysis method for the model later.

The minimum, maximum, and average values of each feature for graph implementation are as follows.

Col name	Min	Max	Mean
Educ	6	23	14.6
SES	1	5	2.34
MMSE	17	30	27.2
CDR	0	1	0.29
eTIV	1123	1989	1490
nWBV	0.66	0.837	0.73
ASF	0.883	1.563	1.2

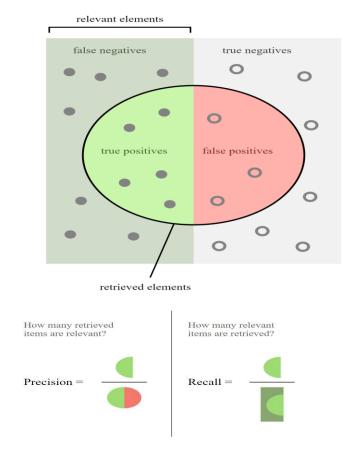
Below are conclusions obtained after EDA.

- Men are more likely with demented, an Alzheimer's Disease, than Women.
- Demented patients were less educated in terms of years of education.
- Nondemented group has higher brain volume than the Demented group.
- Higher concentration of 70-80 years old in Demented group than those in the non-demented patients.

#### Performance Measures

I have considered the area under the receiver operating characteristic curve (AUC) as the main performance measure. In the case of medical diagnostics for non-life threatening terminal diseases like most neurodegenerative diseases it is important to have a high true positive rate so that all patients with Alzheimer's are identified as early as possible. But sametime we should make sure that the false positive rate is as low as possible since we do not want to misdiagnose a healthy adult as demented and begin medical therapy. Hence AUC seemed like an ideal choice for a performance measure. Accuracy and recall for each model have been considered.

Below figure shows the relevant elements that are actually demented subjects-Precision and Recall.



# Learning Methods

As mentioned previously, the algorithms chosen to implement the Alzheimer's prediction model are Logistic Regression, Support Vector Machine, Random Forest, and Decision Tree.

# 1) Logistic Regression Model

I choose logistic regression as my base model because it is one of the simplest models for a classification task. The Logistic Regression Model assumes the presence of Alzheimer's given feature model as a Bernoulli RV:

$$y|x; \theta \sim Bernoulli(\eta)$$

As part of the exponential family, under the assumption that the natural parameter  $\eta$  is linearly related to the input  $\eta = \theta^T x$ , the probability equation is as below.

$$P(y=1) | x: \theta = h_{\theta}(x) = g(\theta^{T} x) = 1/(1 + e^{-\theta x}).$$

Logistic Regression is a binary classifier, meaning Alzheimer's is said to be present(y=1)when  $h_{\theta}(x)>=0.5$ , else y=0.

For the logistic regression model, I have considered the dataset with dropping missing values as a baseline model and obtained the below results.

Similarly, Logistic regression has been done with the model with imputation and obtained the following results.

Overall, the dataset with imputation outperforms the one without imputation. Hence, for the later models, a dataset with imputation is considered.

#### 2) Support Vector Machine

Support vector machine (SVM) is considered one of the best algorithms for supervised learning. The main idea of this algorithm is to map the data from a relatively low dimensional space to a relatively high dimensional space so that the higher dimensional data can be separated into two classes by a hyperplane. The hyperplane that separates the data with maximum margin is called the support vector classifier, which be determined using Kernel Functions in order to avoid expensive computation to transform the data explicitly.

The setting of SVM in this report:

- C: Penalty parameter C of the error term. [0.001, 0.01, 0.1, 1, 10, 100, 1000]
- gamma: kernel coefficient. [0.001, 0.01, 0.1, 1, 10, 100, 1000]
- kernel: kernel type. ['rbf', 'linear', 'poly', 'sigmoid']

Results obtained are as follows.

```
Best accuracy on cross validation set is: 0.7687747035573123
Best parameter for c is: 100
Best parameter for gamma is: 0.1
Best parameter for kernel is: rbf
Test accuracy with the best parameters is 0.8157894736842105
Test recall with the best parameters is 0.7
Test recall with the best parameter is 0.822222222222222
```

#### 3) Decision Tree Methods

#### Random Forest Classifier

Random forest ensembles multiple decision trees trained in parallel with bagging. Bagging allows individual trees to be trained on subsets of training data that are randomly sampled with replacement. For a tree, when deciding which feature to split on ,I picked the one that decreases Gini impurity the most. Gini impurity is given by

$$I_G(p) = \sum_{i=1}^{K} p_i (1 - p_i)$$

The setting of random forest in this report is as follows.

n estimators(M): the number of trees in the forest-2

max\_features(d): the number of features to consider when looking for the best split-5

max depth(m): the maximum depth of the tree-7

K is the class number. M is the sample size.

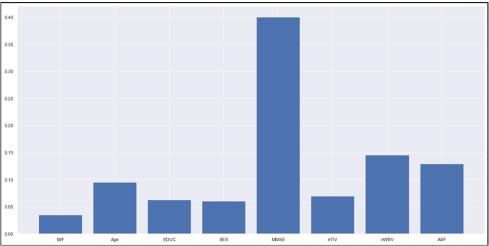
The value will take on a small value if the node is pure.

$$G = \sum_{K=1}^{K} p_{mk} \log p_{mk}$$

Results obtained are as follows.

```
Best accuracy on validation set is: 0.8035573122529645
Best parameters of M, d, m are: 2 5 7
Test accuracy with the best parameters is 0.868421052631579
Test recall with the best parameters is: 0.8
Test AUC with the best parameters is: 0.872222222222222
```





#### Adaboost

Adaboost is another approach to tree classification. Boosting also becomes a method to improve the predictions over bagged trees. Boosting trees are grown sequentially. Each tree is grown based on the information from previously grown trees, thus robust to overfitting. Notably, boosting does not involve bootstrap sampling; instead, each tree collectively fits on the original tree. The setting of boosting in this report:

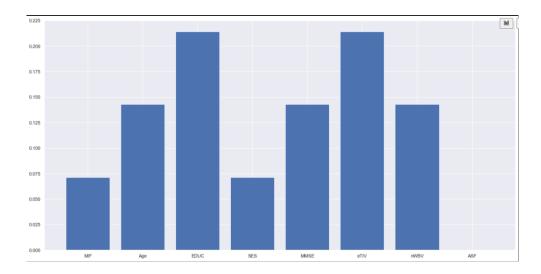
• The number of boosting trees: 2

Test criterion: MSE.Learning rate: 0.0001

Results obtained are as follows.

```
Best accuracy on validation set is: 0.7770750988142293
Best parameter of M is: 2
best parameter of LR is: 0.0001
Test accuracy with the best parameter is 0.868421052631579
Test recall with the best parameters is: 0.65
Test AUC with the best parameters is: 0.825
```

#### **Feature Importance**



#### Results and Discussion

The performance matrix of each model is as follows.

Model	Accuracy	Recall	AUC	
Logistic Regression (w/ imputation)	0.789474	0.70	0.794444	
Logistic Regression (w/ dropna)	0.805556	0.75	0.750000	
SVM	0.815789	0.70	0.822222	
Decision Tree	0.815789	0.65	0.825000	
Random Forest	0.868421	0.80	0.872222	
AdaBoost	0.868421	0.65	0.825000	

<u>Logistic Regression</u>-Logistic Regression performs average on the original dataset, which is expected as it is a relatively simple model. Logistic regression using dataset with imputation outperformed well than logistic regression using a dataset with the dropped null value.

<u>SVM Model</u>-SVM model performed well on the dataset with 81.5% accuracy an AUC of 82% and a Recall of 70%.

<u>Decision Tree</u>-Decision Tree performed well on the dataset. Based on the above results obtained, Random Forest has the best results compared to the base model of logistic regression.

Below is a comparison of the results with those from the papers that were listed previously.

SL	Paper	Data	Model	Res	ults
No					T
1	E. Moradi et al	Ye et al(Ye, Pohl and Davatzikos, 2011)	Random Forest Classifier	AUC=71.0%	ACC=55.3%
		Filipovych, et al(Tan	Random Forest	AUC=61.0%	ACC=N/A
		et al., 2018).	Classifier		
		Zhang et	Random Forest	AUC=94.6%	ACC=N/A
		al(Predicting Future	Classifier		
		Clinical Changes of			
		MCI Patients Using			
		Longitudinal and			
		Multimodal			
		<i>Biomarkers,</i> no			
		date).			
		Batmanghelich et	Random Forest	AUC=61.5%	ACC=N/A
		a(Batmanghelich <i>et</i>	Classifier		
		al., 2011)I.			
2	Zhang et a	Ardekani et al.	Support Vector		
			Machine		
			Polynomial	AUC=N/A	ACC=92.4%
			Kernal		
			Linear Kernal	AUC=N/A	ACC=91.5%
			Radial Basis	AUC=N/A	ACC=86.7%
			Function		
3	Hyun, Kyuri, Saurin	Marcus et al(Marcus	Logistic	AUC=79.2%	ACC=78.9%
		et al., 2010).	Regression with		
			imputation		
			Logistic	AUC=70.0%	ACC=78.9%
			Regression with		
			dropna		
			Support Vector	AUC=82.2%	ACC=75.0%
			Machine		
			Decision Tree	AUC=82.5%	ACC=81.6%
			Classifier		
			Random Forest	AUC=84.4%	ACC=84.2%
			Classifier		
			Adaboost	AUC=82.5%	ACC=84.2%

It can be noticed that my results are comparable and in certain cases better than those from the previous work. Our Random Forest Classifier was one of the best performing model.

# Unique Approach

The uniqueness of this model is the fact that I have included metrics like MMSE and Education also to train inorder to differentiate between normal healthy adults and those with Alzheimer's. MMSE is one of the gold standards for determining dementia and hence therefore it is an important feature to include.

The same fact also make this approach flexible enough to be applied to other neurodegenerative diseases which are diagnosed using a combination of MRI features and cognitive tests.

#### Limitations

There are limitations in implementing a complex model because of the quantity of the dataset. Even though the nature of each feature is evident, the ranges of each group's test value are not classified well. In other words, I could identify more clearly the differences in the variables which might have played a role in the result. The predicted value using the random forest model is higher than the other models. It implies there is a potential for a higher prediction rate if we pay more attention to developing the data cleaning and analysis process. Moreover, the perfect recall score of 1.0 of SVM 1.0 indicates that the quality and accuracy of the classification might decrease dramatically when we use different datasets.

#### References

Batmanghelich, K.N. *et al.* (2011) 'DISEASE CLASSIFICATION AND PREDICTION VIA SEMI-SUPERVISED DIMENSIONALITY REDUCTION', *Proceedings. IEEE International Symposium on Biomedical Imaging*, 2011, pp. 1086–1090. doi:10.1109/ISBI.2011.5872590.

Magnin, B. *et al.* (2009) 'Support vector machine-based classification of Alzheimer's disease from whole-brain anatomical MRI', *Neuroradiology*, 51(2), pp. 73–83. doi:10.1007/s00234-008-0463-x.

Marcus, D.S. *et al.* (2010) 'Open access series of imaging studies: longitudinal MRI data in nondemented and demented older adults', *Journal of Cognitive Neuroscience*, 22(12), pp. 2677–2684. doi:10.1162/jocn.2009.21407.

Moradi, E. *et al.* (2015) 'Machine learning framework for early MRI-based Alzheimer's conversion prediction in MCI subjects', *NeuroImage*, 104, pp. 398–412. doi:10.1016/j.neuroimage.2014.10.002.

Predicting Future Clinical Changes of MCI Patients Using Longitudinal and Multimodal Biomarkers (no date). Available at:

https://journals.plos.org/plosone/article?id=10.1371/journal.pone.0033182 (Accessed: 15 April 2022).

Tan, X. et al. (2018) 'Localized instance fusion of MRI data of Alzheimer's disease for classification based on instance transfer ensemble learning', *BioMedical Engineering OnLine*, 17, p. 49. doi:10.1186/s12938-018-0489-1.

Ye, D.H., Pohl, K.M. and Davatzikos, C. (2011) 'Semi-Supervised Pattern Classification: Application to Structural MRI of Alzheimer's Disease', ... International Workshop on Pattern

	n in NeuroImaging. International Wol L–4. doi:10.1109/PRNI.2011.12.	rkshop on Pattern Recognition in NeuroImagir
using 3D N		d brain regions related to Alzheimer's disease achine learning', <i>Frontiers in Computational</i> 5.00066.

# **Appendix**

# APPLYING MACHINE LEARNING TECHNIQUES TO IDENTIFY THE RISK OF ALZEIMER'S DISEASE

```
In [ ]:
```

```
import warnings
warnings.filterwarnings('ignore')
```

```
# importing libraries
import pandas as pd
import numpy as np
import seaborn as sns
import matplotlib.pyplot as plt
%matplotlib inline
```

sns.set()

## **Loading Data**

In []:

df = pd.read\_csv(r'C:\Users\matebook x\Desktop/MRI/oasis\_longitudinal.csv')
df.head()

Out[]:

	Subject ID	MRI ID	Group	Vis it	MR Del ay	M /F	Ha nd	Ag e	ED UC	SE S	MM SE	CD R	eTI V	nW BV	ASF
0	OAS2_0 001	OAS2_0001 _MR1	Nondeme nted	1	0	M	R	87	14	2. 0	27.0	0. 0	19 87	0.69 6	0.8 83
1	OAS2_0 001	OAS2_0001 _MR2	Nondeme nted	2	457	M	R	88	14	2. 0	30.0	0. 0	20 04	0.68	0.8 76
2	OAS2_0 002	OAS2_0002 _MR1	Demente d	1	0	M	R	75	12	Na N	23.0	0. 5	16 78	0.73 6	1.0 46

	Subject ID	MRI ID	Group	Vis it	MR Del ay	M /F	Ha nd	Ag e	ED UC	SE S	MM SE	CD R	eTI V	nW BV	ASF
3	OAS2_0 002	OAS2_0002 _MR2	Demente d	2	560	М	R	76	12	Na N	28.0	0. 5	17 38	0.71	1.0 10
4	OAS2_0 002	OAS2_0002 _MR3	Demente d	3	189 5	M	R	80	12	Na N	22.0	0. 5	16 98	0.70	1.0 34

# Column Description COL FULL-FORMS

EDUC - Years of education

SES - Socioeconomic Status

MMSE - Mini Mental State Examination

CDR - Clinical Dementia Rating

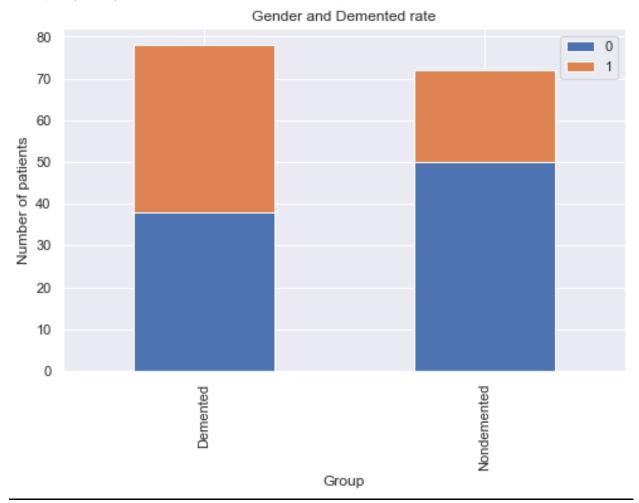
eTIV - Estimated Total Intracranial Volume

nWBV - Normalize Whole Brain Volume

ASF - Atlas Scaling Factor

```
In []:
df.Hand.unique()
                                                                           Out[]:
array(['R'], dtype=object)
                                                                            In []:
df = df.loc[df['Visit'] == 1] # use first visit data only because of the
analysis we're doing
df = df.reset index(drop=True) # reset index after filtering first visit data
df['M/F'] = df['M/F'].replace(['F','M'], [0,1]) # M/F column
df['Group'] = df['Group'].replace(['Converted'], ['Demented']) # Target
variable
df['Group'] = df['Group'].replace(['Demented', 'Nondemented'], [1,0]) #
Target variable
df = df.drop(['MRI ID', 'Visit', 'Hand'], axis=1) # Drop unnecessary columns
                                                                            In []:
# bar drawing function
def bar chart(feature):
    Demented = df[df['Group']==1][feature].value counts()
    Nondemented = df[df['Group'] == 0][feature].value counts()
```

Text(0.5, 1.0, 'Gender and Demented rate')



The above graph indicates that men are more likely with dementia than women.

In []:

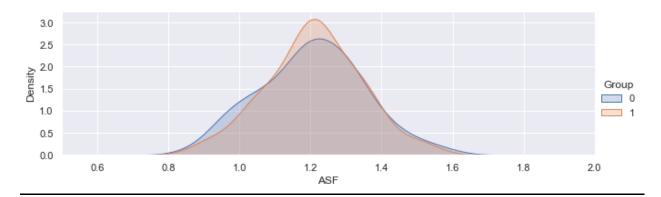
```
#MMSE : Mini Mental State Examination
# Nondemented = 0, Demented =1
# Nondemented has higher test result ranging from 25 to 30.
#Min 17 ,MAX 30
```

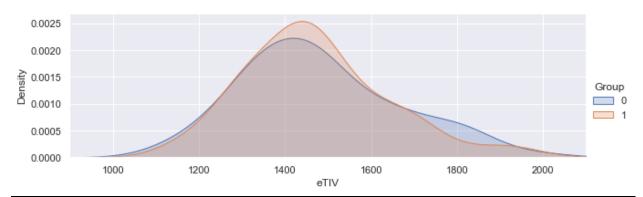
```
facet= sns.FacetGrid(df,hue="Group", aspect=3)
facet.map(sns.kdeplot,'MMSE',shade= True)
facet.set(xlim=(0, df['MMSE'].max()))
facet.add legend()
plt.xlim(15.30)
                                                                                  Out[]:
(15.3, 30.0)
  0.4
0.3
0.2
                                                                                  Group
                                                                                 0
                                                                                 ____ 1
  0.1
  0.0
        16
                   18
                             20
                                                           26
                                                                      28
                                                                                30
                                         MMSE
```

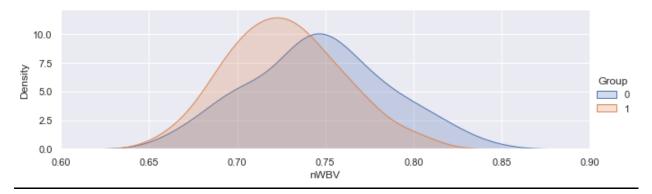
The chart shows Nondemented group got much more higher MMSE scores than Demented group

In []:

```
#bar chart('ASF') = Atlas Scaling Factor
facet= sns.FacetGrid(df,hue="Group", aspect=3)
facet.map(sns.kdeplot,'ASF',shade= True)
facet.set(xlim=(0, df['ASF'].max()))
facet.add legend()
plt.xlim(0.5, 2)
#eTIV = Estimated Total Intracranial Volume
facet= sns.FacetGrid(df,hue="Group", aspect=3)
facet.map(sns.kdeplot,'eTIV', shade= True)
facet.set(xlim=(0, df['eTIV'].max()))
facet.add legend()
plt.xlim(900, 2100)
#'nWBV' = Normalized Whole Brain Volume
\# Nondemented = 0, Demented =1
facet= sns.FacetGrid(df,hue="Group", aspect=3)
facet.map(sns.kdeplot,'nWBV',shade= True)
facet.set(xlim=(0, df['nWBV'].max()))
facet.add legend()
plt.xlim(0.6,0.9)
                                                                           Out[]:
(0.6, 0.9)
```







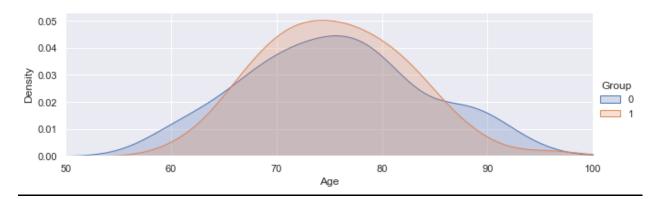
The chart indicates that Nondemented group has higher brain volume ratio than Demented group. This is assumed to be because the diseases affect the brain to be shrinking its tissue.

In []:

```
#AGE. Nondemented =0, Demented =0
facet= sns.FacetGrid(df,hue="Group", aspect=3)
facet.map(sns.kdeplot,'Age',shade= True)
facet.set(xlim=(0, df['Age'].max()))
facet.add_legend()
plt.xlim(50,100)
```

Out[]:

(50.0, 100.0)

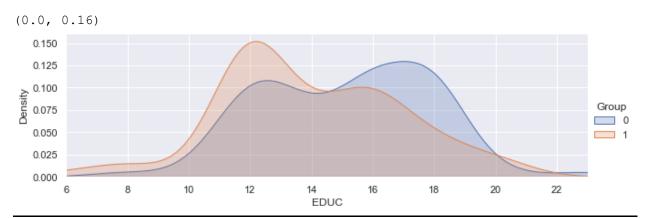


There is a higher concentration of 70-80 years old in the Demented patient group than those in the nondemented patients. We guess patients who suffered from that kind of disease has lower survival rate so that there are a few of 90 years old.

In []:

```
#'EDUC' = Years of Education
# Nondemented = 0, Demented =1
facet= sns.FacetGrid(df,hue="Group", aspect=3)
facet.map(sns.kdeplot,'EDUC',shade= True)
facet.set(xlim=(df['EDUC'].min(), df['EDUC'].max()))
facet.add_legend()
plt.ylim(0, 0.16)
```

Out[]:



**Intermediate Result Summary** 

Men are more likely with demented, an Alzheimer's Disease, than Women.

Demented patients were less educated in terms of years of education.

Nondemented group has higher brain volume than Demented group.

Higher concentration of 70-80 years old in Demented group than those in the nondemented patients.

#### **Data Preprocessing**

We identified 8 rows with missing values in SES column. We deal with this issue with 2 approaches. One is just to drop the rows with missing values. The other is to replace the missing values with the

corresponing values, also known as 'Imputation'. Since we have only 150 data, I assume imputation would help the performance of our model.

```
In []:
# Check missing values by each column
pd.isnull(df).sum()
# The column, SES has 8 missing values
                                                                            Out[]:
Subject ID
              0
Group
MR Delay
             0
M/F
              0
Age
             0
EDUC
              8
SES
MMSE
              0
CDR
eTIV
nWBV
ASF
dtype: int64
Handling Missing values:option 1: Removing rows with missing values
                                                                             In []:
\# Dropped the 8 rows with missing values in the column, SES
df dropna = df.dropna(axis=0, how='any')
df dropna.isnull().sum()
                                                                            Out[]:
Subject ID
              0
Group
MR Delay
              0
M/F
              \cap
Age
             0
EDUC
SES
MMSE
              0
CDR
eTIV
              0
nWBV
ASF
dtype: int64
                                                                             In []:
df_dropna['Group'].value_counts()
                                                                            Out[]:
0
     72
1
     70
Name: Group, dtype: int64
```

#### Handling Missing Values:option 2: Imputation

Scikit-learn provides package for imputation [6], but we do it manually. Since the SES is a discrete variable, we use median for the imputation.

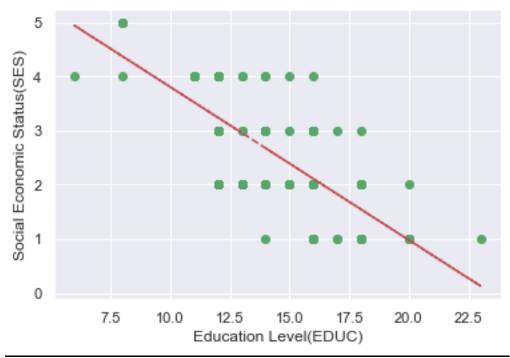
```
In []:
```

```
# Draw scatter plot between EDUC and SES
x = df['EDUC']
y = df['SES']

ses_not_null_index = y[~y.isnull()].index
x = x[ses_not_null_index]
y = y[ses_not_null_index]

# Draw trend line in red
z = np.polyfit(x, y, 1)
p = np.polyld(z)
plt.plot(x, y, 'go', x, p(x), "r--")
plt.xlabel('Education Level(EDUC)')
plt.ylabel('Social Economic Status(SES)')
```

plt.show()



```
In []:
```

df.groupby(['EDUC'])['SES'].median()

Out[]:

EDUC 6 4.0 8 5.0

```
4.0
11
      3.0
12
13
     2.0
     3.0
14
15
     2.0
16
     2.0
17
     1.0
18
     2.0
20
     1.0
23
     1.0
Name: SES, dtype: float64
                                                                            In []:
df["SES"].fillna(df.groupby("EDUC")["SES"].transform("median"), inplace=True)
                                                                            In []:
# There're no more missing values and all the 150 data were used.
pd.isnull(df['SES']).value counts()
                                                                           Out[]:
False
        150
Name: SES, dtype: int64
Splitting Train/Validation/Test Sets
                                                                            In []:
from sklearn.model selection import train test split
from sklearn import preprocessing
from sklearn.preprocessing import MinMaxScaler
from sklearn.model selection import cross val score
                                                                            In []:
# Dataset with imputation
Y = df['Group'].values # Target for the model
X = df[['M/F', 'Age', 'EDUC', 'SES', 'MMSE', 'eTIV', 'nWBV', 'ASF']] #
Features we use
# splitting into three sets
X trainval, X test, Y trainval, Y test = train test split(
    X, Y, random state=0)
# Feature scaling
scaler = MinMaxScaler().fit(X trainval)
X trainval scaled = scaler.transform(X trainval)
X test scaled = scaler.transform(X test)
                                                                            In []:
# Dataset after dropping missing value rows
Y = df dropna['Group'].values # Target for the model
X = df dropna[['M/F', 'Age', 'EDUC', 'SES', 'MMSE', 'eTIV', 'nWBV', 'ASF']] #
Features we use
```

#### **Cross-validation**

We conduct 5-fold cross-validation to figure out the best parameters for each model, Logistic Regression, SVM, Decision Tree, Random Forests, and AdaBoost. Since our performance metric is accuracy, we find the best tuning parameters by accuracy. In the end, we compare the accuracy, recall and AUC for each model.

## **Applying Machine Learning Models**

Performance Measures

We use area under the receiver operating characteristic curve (AUC) as our main performance measure. We believe that in case of medical diagnostics for non-life threatening terminal diseases like most neurodegenerative diseases it is important to have a high true positive rate so that all patients with alzheimer's are identified as early as possible. But we also want to make sure that the false positive rate is as low as possible since we do not want to misdiagnose a healthy adult as demented and begin medical therapy. Hence AUC seemed like a ideal choice for a performance measure.

We will also be looking at accuracy and recall for each model.

### Logistic Regression-Baseline Model

The parameter C, inverse of regularization strength.

Tuning range: [0.001, 0.1, 1, 10, 100]

```
In []:
from sklearn.linear model import LogisticRegression
from sklearn.svm import SVC
from sklearn.tree import DecisionTreeClassifier
from sklearn.ensemble import RandomForestClassifier
from sklearn.ensemble import AdaBoostClassifier
from sklearn.metrics import confusion matrix, accuracy score, recall score,
roc curve, auc
                                                                            In []:
acc = [] # list to store all performance metric
                                                                            In []:
# Dataset with imputation
best score=0
kfolds=5 # set the number of folds
for c in [0.001, 0.1, 1, 10, 100]:
    logRegModel = LogisticRegression(C=c)
```

```
# perform cross-validation
    scores = cross_val_score(logRegModel, X trainval, Y trainval, cv=kfolds,
scoring='accuracy') # Get recall for each parameter setting
    # compute mean cross-validation accuracy
    score = np.mean(scores)
    # Find the best parameters and score
    if score > best score:
       best score = score
       best parameters = c
# rebuild a model on the combined training and validation set
SelectedLogRegModel =
LogisticRegression(C=best parameters).fit(X trainval scaled, Y trainval)
test score = SelectedLogRegModel.score(X test scaled, Y test)
PredictedOutput = SelectedLogRegModel.predict(X test scaled)
test recall = recall score(Y test, PredictedOutput, pos label=1)
fpr, tpr, thresholds = roc curve(Y test, PredictedOutput, pos label=1)
test auc = auc(fpr, tpr)
print("Best accuracy on validation set is:", best score)
print("Best parameter for regularization (C) is: ", best parameters)
print("Test accuracy with best C parameter is", test score)
print("Test recall with the best C parameter is", test recall)
print("Test AUC with the best C parameter is", test auc)
m = 'Logistic Regression (w/ imputation)'
acc.append([m, test score, test recall, test auc, fpr, tpr, thresholds])
Best accuracy on validation set is: 0.724901185770751
Best parameter for regularization (C) is: 100
Test accuracy with best C parameter is 0.7894736842105263
Test recall with the best C parameter is 0.7
In []:
# Dataset after dropping missing value rows
best score=0
kfolds=5 # set the number of folds
for c in [0.001, 0.1, 1, 10, 100]:
    logRegModel = LogisticRegression(C=c)
    # perform cross-validation
    scores = cross val score(logRegModel, X trainval scaled dna,
Y trainval dna, cv=kfolds, scoring='accuracy')
    # compute mean cross-validation accuracy
    score = np.mean(scores)
    # Find the best parameters and score
    if score > best score:
       best score = score
       best parameters = c
```

```
# rebuild a model on the combined training and validation set
SelectedLogRegModel =
LogisticRegression(C=best parameters).fit(X trainval scaled dna,
Y trainval dna)
test score = SelectedLogRegModel.score(X test scaled dna, Y test dna)
PredictedOutput = SelectedLogRegModel.predict(X test scaled)
test recall = recall score(Y test, PredictedOutput, pos label=1)
fpr, tpr, thresholds = roc_curve(Y_test, PredictedOutput, pos label=1)
test auc = auc(fpr, tpr)
print("Best accuracy on validation set is:", best score)
print("Best parameter for regularization (C) is: ", best parameters)
print("Test accuracy with best C parameter is", test score)
print("Test recall with the best C parameter is", test recall)
print("Test AUC with the best C parameter is", test auc)
m = 'Logistic Regression (w/ dropna)'
acc.append([m, test score, test recall, test recall, fpr, tpr, thresholds])
Best accuracy on validation set is: 0.725974025974026
Best parameter for regularization (C) is: 10
Test accuracy with best C parameter is 0.805555555555556
Test recall with the best C parameter is 0.75
Test AUC with the best C parameter is 0.81944444444444444
In overall, dataset with imputation outperforms the one without imputation. For the later models, we
use dataset without imputation.
SVM
C: Penalty parameter C of the error term. [0.001, 0.01, 0.1, 1, 10, 100, 1000]
gamma: kernel coefficient. [0.001, 0.01, 0.1, 1, 10, 100, 1000]
kernel: kernel type. ['rbf', 'linear', 'poly', 'sigmoid']
                                                                              In []:
best score = 0
for c paramter in [0.001, 0.01, 0.1, 1, 10, 100, 1000]: #iterate over the
values we need to try for the parameter C
    for gamma paramter in [0.001, 0.01, 0.1, 1, 10, 100, 1000]: #iterate over
the values we need to try for the parameter gamma
        for k parameter in ['rbf', 'linear', 'poly', 'sigmoid']: # iterate
over the values we need to try for the kernel parameter
            svmModel = SVC(kernel=k parameter, C=c paramter,
gamma=gamma paramter) #define the model
            # perform cross-validation
            scores = cross val score(svmModel, X trainval scaled, Y trainval,
cv=kfolds, scoring='accuracy')
            # the training set will be split internally into training and
cross validation
            # compute mean cross-validation accuracy
            score = np.mean(scores)
```

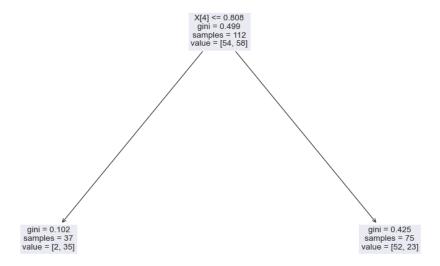
```
# if we got a better score, store the score and parameters
            if score > best score:
                best score = score #store the score
                best parameter c = c parameter #store the parameter c
                best parameter gamma = gamma paramter #store the parameter
gamma
                best parameter k = k parameter
# rebuild a model with best parameters to get score
SelectedSVMmodel = SVC(C=best parameter c, gamma=best parameter gamma,
kernel=best parameter_k).fit(X_trainval_scaled, Y_trainval)
test score = SelectedSVMmodel.score(X test scaled, Y test)
PredictedOutput = SelectedSVMmodel.predict(X test scaled)
test recall = recall score(Y test, PredictedOutput, pos label=1)
fpr, tpr, thresholds = roc curve(Y test, PredictedOutput, pos label=1)
test auc = auc(fpr, tpr)
print("Best accuracy on cross validation set is:", best score)
print("Best parameter for c is: ", best parameter c)
print("Best parameter for gamma is: ", best parameter gamma)
print("Best parameter for kernel is: ", best parameter k)
print("Test accuracy with the best parameters is", test score)
print("Test recall with the best parameters is", test recall)
print("Test recall with the best parameter is", test auc)
m = 'SVM'
acc.append([m, test score, test recall, test auc, fpr, tpr, thresholds])
Best accuracy on cross validation set is: 0.7687747035573123
Best parameter for c is: 100
Best parameter for gamma is: 0.1
Best parameter for kernel is: rbf
Test accuracy with the best parameters is 0.8157894736842105
Test recall with the best parameters is 0.7
Test recall with the best parameter is 0.822222222222222
Decision Tree
Maximum depth. [1, 2, ..., 8]
8 is the number of features
                                                                            In []:
best score = 0
for md in range(1, 9): # iterate different maximum depth values
    # train the model
    treeModel = DecisionTreeClassifier(random state=0, max depth=md,
criterion='gini')
    # perform cross-validation
    scores = cross val score(treeModel, X trainval scaled, Y trainval,
cv=kfolds, scoring='accuracy')
    # compute mean cross-validation accuracy
    score = np.mean(scores)
```

```
# if we got a better score, store the score and parameters
    if score > best score:
        best score = score
        best parameter = md
# Rebuild a model on the combined training and validation set
SelectedDTModel =
DecisionTreeClassifier(max depth=best parameter).fit(X trainval scaled,
Y trainval )
test score = SelectedDTModel.score(X test scaled, Y test)
PredictedOutput = SelectedDTModel.predict(X test scaled)
test recall = recall score(Y test, PredictedOutput, pos label=1)
fpr, tpr, thresholds = roc curve(Y test, PredictedOutput, pos label=1)
test auc = auc(fpr, tpr)
print("Best accuracy on validation set is:", best score)
print("Best parameter for the maximum depth is: ", best parameter)
print("Test accuracy with best parameter is ", test score)
print("Test recall with best parameters is ", test recall)
print("Test AUC with the best parameter is ", test auc)
m = 'Decision Tree'
acc.append([m, test score, test recall, test auc, fpr, tpr, thresholds])
Best accuracy on validation set is: 0.7770750988142293
Best parameter for the maximum depth is:
Test accuracy with best parameter is 0.8157894736842105
Test recall with best parameters is 0.65
Test AUC with the best parameter is 0.825
                                                                           In []:
print("Feature importance: ")
np.array([X.columns.values.tolist(),
list(SelectedDTModel.feature importances )]).T
Feature importance:
                                                                          Out[]:
array([['M/F', '0.0'],
       ['Age', '0.0'],
       ['EDUC', '0.0'],
       ['SES', '0.0'],
       ['MMSE', '1.0'],
       ['eTIV', '0.0'],
       ['nWBV', '0.0'],
       ['ASF', '0.0']], dtype='<U32')
                                                                           In []:
from matplotlib.pyplot import figure
from sklearn.tree import DecisionTreeRegressor, DecisionTreeClassifier,
export graphviz, plot tree
figure (figsize=(16, 10), dpi=80)
plot tree(SelectedDTModel, fontsize = 12)
                                                                          Out[]:
```

```
[Text(496.0, 453.0, 'X[4] <= 0.808\ngini = 0.499\nsamples = 112\nvalue = [54, 58]'),

Text(248.0, 151.0, 'gini = 0.102\nsamples = 37\nvalue = [2, 35]'),

Text(744.0, 151.0, 'gini = 0.425\nsamples = 75\nvalue = [52, 23]')]
```



#### Random Forest Classifier

n\_estimators(M): the number of trees in the forest

 $max\_features (d): the \ number \ of \ features \ to \ consider \ when \ looking \ for \ the \ best \ split$ 

max\_depth(m): the maximum depth of the tree.

Y trainval, cv=kfolds, scoring='accuracy')

In []:

```
# compute mean cross-validation accuracy
            score = np.mean(scores)
            # if we got a better score, store the score and parameters
            if score > best score:
                best score = score
                best M = M
                best d = d
                best m = m
# Rebuild a model on the combined training and validation set
SelectedRFModel = RandomForestClassifier(n estimators=M, max features=d,
                                          max depth=m,
random state=0).fit(X trainval scaled, Y trainval)
PredictedOutput = SelectedRFModel.predict(X test scaled)
test score = SelectedRFModel.score(X test scaled, Y test)
test recall = recall score(Y test, PredictedOutput, pos label=1)
fpr, tpr, thresholds = roc curve(Y test, PredictedOutput, pos label=1)
test auc = auc(fpr, tpr)
print("Best accuracy on validation set is:", best score)
print("Best parameters of M, d, m are: ", best M, best d, best m)
print("Test accuracy with the best parameters is", test score)
print("Test recall with the best parameters is:", test recall)
print("Test AUC with the best parameters is:", test auc)
m = 'Random Forest'
acc.append([m, test score, test recall, test auc, fpr, tpr, thresholds])
Best accuracy on validation set is: 0.8035573122529645
Best parameters of M, d, m are: 2 5 7
Test accuracy with the best parameters is 0.868421052631579
Test recall with the best parameters is: 0.8
Test AUC with the best parameters is: 0.87222222222222
                                                                           In []:
print("Feature importance: ")
np.array([X.columns.values.tolist(),
list()]).TSelectedRFModel.feature importances
Feature importance:
                                                                          Out[]:
array([['M/F', '0.03503132427481025'],
       ['Age', '0.09551237125526228'],
       ['EDUC', '0.06261556797214127'],
       ['SES', '0.060620327518549066'],
       ['MMSE', '0.4006565962793097'],
       ['eTIV', '0.07005497528287095'],
       ['nWBV', '0.1460571117936201'],
       ['ASF', '0.1294517256234364']], dtype='<U32')
                                                                           In []:
plt.figure(figsize=(20,10))
plt.bar(X.columns, SelectedRFModel.feature importances )
```

```
feature importances1 =
pd.DataFrame(SelectedRFModel.feature importances ,index =
X.columns, columns=['importance']).sort values('importance', ascending=False)
print(feature importances1)
      importance
MMSE
         0.400657
nWBV
         0.146057
         0.129452
ASF
         0.095512
Age
         0.070055
eTIV
EDUC
        0.062616
SES
         0.060620
M/F
        0.035031
0.30
0.25
0.20
0.15
0.10
0.05
                             EDUC
                                                MMSE
                                                                    nWBV
```

#### AdaBoost

In []:

```
best_score = 0

for M in range(2, 15, 2): # combines M trees
    for lr in [0.0001, 0.001, 0.01, 0.1, 1]:
        # train the model
        boostModel = AdaBoostClassifier(n_estimators=M, learning_rate=lr,
random_state=0)

    # perform cross-validation
        scores = cross_val_score(boostModel, X_trainval_scaled, Y_trainval,
cv=kfolds, scoring='accuracy')

# compute mean cross-validation accuracy
    score = np.mean(scores)
```

```
# if we got a better score, store the score and parameters
        if score > best score:
            best score = score
            best M = M
            best lr = lr
# Rebuild a model on the combined training and validation set
SelectedBoostModel = AdaBoostClassifier(n estimators=M, learning rate=lr,
random state=0).fit(X trainval scaled, Y trainval)
PredictedOutput = SelectedBoostModel.predict(X test scaled)
test score = SelectedRFModel.score(X test scaled, Y test)
test recall = recall score(Y test, PredictedOutput, pos label=1)
fpr, tpr, thresholds = roc curve(Y test, PredictedOutput, pos label=1)
test auc = auc(fpr, tpr)
print("Best accuracy on validation set is:", best score)
print("Best parameter of M is: ", best M)
print("best parameter of LR is: ", best lr)
print("Test accuracy with the best parameter is", test score)
print("Test recall with the best parameters is:", test recall)
print("Test AUC with the best parameters is:", test_auc)
m = 'AdaBoost'
acc.append([m, test score, test recall, test auc, fpr, tpr, thresholds])
Best accuracy on validation set is: 0.7770750988142293
Best parameter of M is: 2
best parameter of LR is: 0.0001
Test accuracy with the best parameter is 0.868421052631579
Test recall with the best parameters is: 0.65
Test AUC with the best parameters is: 0.825
                                                                           In []:
print("Feature importance: ")
np.array([X.columns.values.tolist(),
list(SelectedBoostModel.feature importances )]).T
Feature importance:
                                                                          Out[]:
array([['M/F', '0.07142857142857142'],
       ['Age', '0.14285714285714285'],
       ['EDUC', '0.21428571428571427'],
       ['SES', '0.07142857142857142'],
       ['MMSE', '0.14285714285714285'],
       ['eTIV', '0.21428571428571427'],
       ['nWBV', '0.14285714285714285'],
       ['ASF', '0.0']], dtype='<U32')
                                                                           In []:
plt.figure(figsize=(20,10))
plt.bar(X.columns, SelectedBoostModel.feature importances )
```

```
feature importances1 =
pd.DataFrame(SelectedBoostModel.feature_importances_,index =
X.columns, columns=['importance']).sort_values('importance', ascending=False)
print(feature importances1)
       importance
         0.214286
EDUC
         0.214286
eTIV
Age
         0.142857
MMSE
         0.142857
         0.142857
nWBV
         0.071429
M/F
SES
         0.071429
ASF
         0.000000
0.175
0.150
0.125
0.100
0.075
0.050
0.025
0.000
                              EDUC
                                                  MMSE
                                                                     nWBV
```

In []:

```
# Performance Metric for each model
result = pd.DataFrame(acc, columns=['Model', 'Accuracy', 'Recall', 'AUC',
'FPR', 'TPR', 'TH'])
result[['Model', 'Accuracy', 'Recall', 'AUC']]
```

Out[]:

	Model	Accuracy	Recall	AUC
0	Logistic Regression (w/ imputation)	0.789474	0.70	0.794444
1	Logistic Regression (w/ dropna)	0.805556	0.75	0.750000
2	SVM	0.815789	0.70	0.822222

	Model	Accuracy	Recall	AUC
3	Decision Tree	0.815789	0.65	0.825000
4	Random Forest	0.868421	0.80	0.872222
5	AdaBoost	0.868421	0.65	0.825000

It can be noticed that our results are comparable and in certain cases better than those from the previous work. Our Random Forest Classifier was one of the best performing model.

In [ ]: