CPS 305

Data Structures

Prof. Alex Ufkes

Topic 6: More ADTs – Stacks, Queues, Sets, & Variants

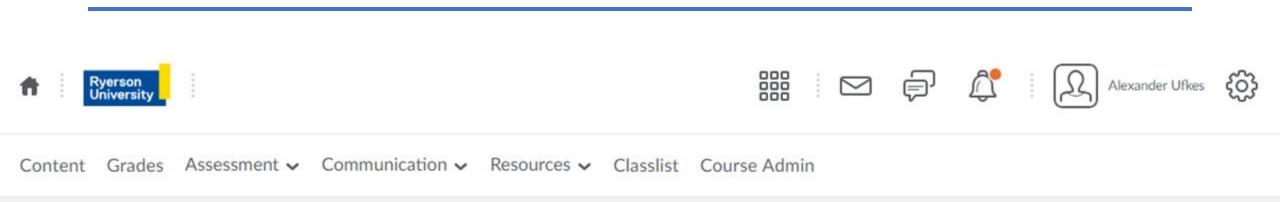


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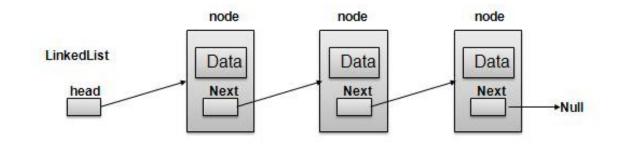
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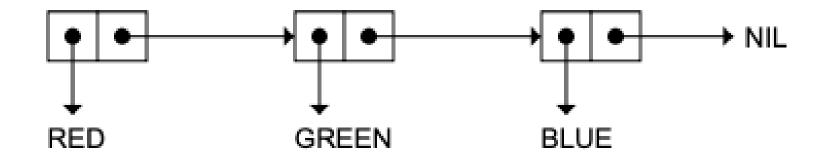


Midterm next week! See D2L announcement.

Previously: Linked Lists







Previously: Linked Lists in LISP

Use the length form to count elements:

```
* (length '(RED GREEN BLUE))
3
* (length '((BLUE SKY) (GREEN GRASS) (BROWN EARTH)))
3
* 
* (length ())
6
Empty List?
• Use () or NIL
0
```

Previously: Accessing

FIRST, SECOND, THIRD, REST

Lisp's primitive functions for extracting elements from a list:

```
(first '(a b c d)) => a
(second '(a b c d)) => b
(third '(a b c d)) => c
```

REST returns a list containing everything **but** the first element:

Previously: The CONStructor

CONS creates a cons cell:

- takes two arguments an element, and a list
- Returns a pointer to a new cons cell whose CAR points to the first parameter and whose CDR points to the second

```
* (cons 'sink '(or swim))
(SINK OR SWIM)
* (cons 'sink ())
(SINK)
* (cons '(or swim) ())
((OR SWIM))
* (cons 'bond '(james bond))
(BOND JAMES BOND)
```

```
\( \) quicksort.lisp
                   make-list.lisp X
\( \) make-list.lisp > ...
       (defun mymake-list-rec (n element &optional (acc nil))
            (if (= n 0) acc; If n is zero, we're done. Return acc.
                (mymake-list-rec (1- n) element (cons element acc))
        (defun mymake-list-it (n elem)
            (let ((acc nil))
            (dotimes (i n acc)
                (setf acc (cons elem acc)))
 10
 11
 12
 13
```

```
* (load "make-list.lisp")
T
* (mymake-list-rec 3 'a)
(A A A)
* (mymake-list-it 7 'b)
(B B B B B B B)
```

Previously: Built-in Constructors

QUOTE, MAKE-LIST, LIST

```
> '("hello" world 111)
("hello" WORLD 111)
> (make-list 3)
(NIL NIL NIL)
> (make-list 3 :initial-element 'a)
(A A A)
> (make-list 3 :initial-contents '(a b c))
(ABC)
> (list "hello" 'world 111)
("hello" WORLD 111)
```

Previously: Traversal

```
(dolist (x '(1 2 3)) (print x))
NIL
  (dolist (x '(1 2 3)) (print x) (if (evenp x) (return "HI")))
"HI"
                                      Can exit DOLIST early with
                                      return, just like other loops
```

Moving On...

Recall: LISP Structures

We can define a sequence of elements (structure) in LISP using **defstruct**:



When you create a struct, LISP creates the following for you automatically:

- Constructor: MAKE-structureName to create instances
- Accessors: structureName-fieldname to set/get fields

- Not every language has a built-in linked list type
- And if it does, perhaps we want more freedom to modify the implementation.

Basic structure:

 A node tuple stores reference to data item and reference to next item in the list.

(defstruct node data next)

Basic structure:

A node tuple stores reference to data item and reference to next item in the list.

```
(defstruct node data next)
```

• The list itself is also a tuple, containing a reference to head, and the size.

```
(defstruct my-list
  (head nil :type (or node null))
  (size 0 :type (integer 0)))
```

```
(defstruct my-list
    (head nil :type (or node null))
    (size 0 :type (integer 0)))
```

Field Name: head Initial Value: nil

Type Spec: (or node null)

- The head field can be of type node or null.
- null indicates that the field can also be nil
- This signifies the end of the list (or an empty list).

```
(defstruct my-list
  (head nil :type (or node null))
  (size 0 :type (integer 0)))
```

Field Name: size

Initial Value: 0

Type Spec: (integer 0)

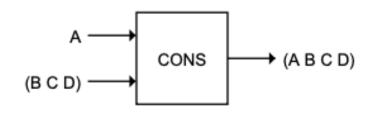
The size field must be a non-negative integer

Keeping track of size:

- Makes length function O(1)
- Adds extra work every time a node is added/removed, must update size field
- Worth it? Maybe, maybe not.

My CONStructor

(MY-CONS ITEM LIST)



- Should work like LISP's built-in cons
- ITEM is a **node**, LIST is a **my-list**

```
(defstruct node data next)
(defstruct my-list
  (head nil :type (or node null))
  (size 0 :type (integer 0)))
```

```
Create new node, pointing to
                                             previous head of the list
(defun my-cons (data list)
  (let ((new-head (make-node :data data
                :next (my-list-head list))))
    (make-my-list :head new-head
                    :size (1+ (my-list-size list)))
                             Create (and return) new my-list, with
                             head pointing to new-head, and size+1
```

```
* (load "my-list.lisp")
T
* (defvar ll (my-cons 'A (make-my-list)))
LL
* LL
#S(MY-LIST :HEAD #S(NODE :DATA A :NEXT NIL) :SIZE 1)
* (setf ll (my-cons 'B LL))
#S(MY-LIST :HEAD #S(NODE :DATA B :NEXT #S(NODE :DATA A :NEXT NIL)) :SIZE 2)
```

Accessors? my-first and my-rest

First a helper:

```
(defun is-empty (list)
      (equalp list (make-my-list)))
```

• Use EQUALP to compare structures. Can't use EQUAL

```
Accessors? my-first and my-rest
(defun is-empty (list) (equalp list (make-my-list)))
(defun my-first (alist) (node-data (my-list-head alist)))
               Access data field
                                          Access head field of
                                         my-list (returns a node)
               from that node
```

```
(defun is-empty (list) (equalp list (make-my-list)))
(defun my-first (alist) (node-data (my-list-head alist)))
                                      If empty, return argument list
(defun my-rest (alist)
  (if (is-empty alist) alist
    (make-my-list :head (node-next (my-list-head alist))
                    :size (1- (my-list-size alist))
                          Else, return new list:

    Head is next node of previous head.

                           Size is one less than old size.
```

```
* 11
#S(MY-LIST :HEAD #S(NODE :DATA B :NEXT #S(NODE :DATA A :NEXT NIL)) :SIZE 2)
* (my-first 11)
B
* (my-rest 11)
#S(MY-LIST :HEAD #S(NODE :DATA A :NEXT NIL) :SIZE 1)
```

```
* (ELT '(A B C D E F) 0)
Access nth element?
                      Α
          Use ELT:
                       * (ELT '(A B C D E F) 1)
                       B
                       * (ELT '(A B C D E F) 2)
How about my-elt?
                       * (ELT '(A B C D E F) 3)
                       D
                       * (ELT '(A B C D E F) 4)
                       E
                       * (ELT '(A B C D E F) 5)
```

F

```
(defun my-elt (list index)
  (dotimes (i (my-list-size list))
    (if (= i index) (return (node-data (my-list-head list)))
      (setf list (my-rest list))
                  * 11
                   #S(MY-LIST :HEAD #S(NODE :DATA B :NEXT
                   #S(NODE :DATA A :NEXT NIL)) :SIZE 2)
                 * (my-elt 11 0)
                 B
                   (my-elt ll 1)
```

Searching? Can do both iterative and recursive:

```
(defun my-search-iter (item alist)
  (dotimes (i (my-list-size alist))
      (if (equalp item (my-first alist))
            (return item)
            (setf alist (my-rest alist)))
      )
            Returns the item if found, returns NIL if not
```

Searching? Can do both iterative and recursive:

```
31
      (defun my-search-iter (item alist)
32
          (dotimes (i (my-list-size alist))
33
              (if (equalp item (my-first alist)) (return item)
          (setf alist (my-rest alist))))
34
35
36
37
      (defun my-search-rec (item alist)
          (cond ((is-empty alist) NIL)
38
39
                ((equalp item (my-first alist)) item)
                (T (my-search-rec item (my-rest alist)))
40
41
42
43
```

Practice problem:

- Modify both of these to return the index, rather than the item.
- Similar to indexOf() in Python.

```
* (my-search-iter 'A ll)
  (my-search-iter 'F 11)
NIL
  (my-search-iter 'B 11)
  (my-search-rec 'B 11)
В
  (my-search-rec 'A 11)
  (my-search-rec 'H 11)
NIL
```

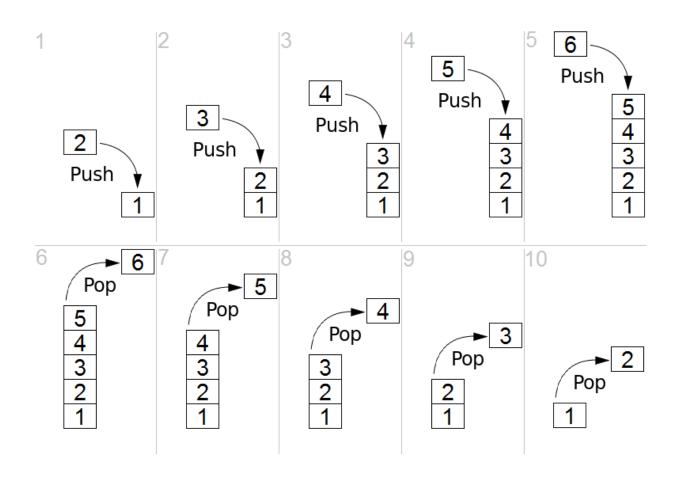
Array & Linked ADTs

- We've got LISP's list type
- We even built our own!
- We've got contiguous arrays
- Now, we can build some ADTs using both arrays and linked lists.

Stacks Queues Deques Sets

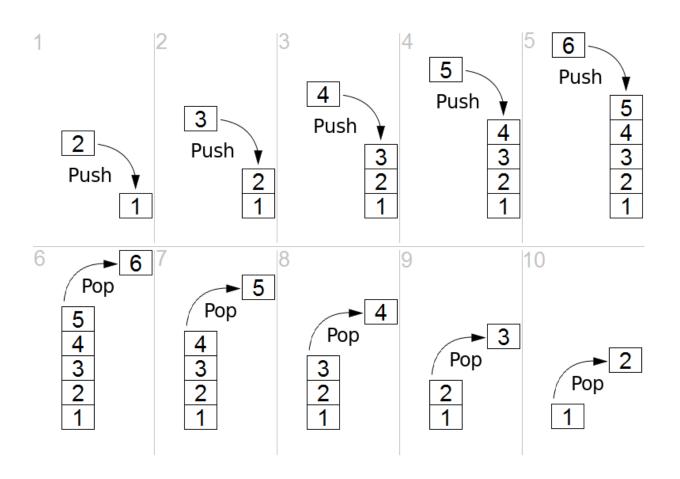


Stack



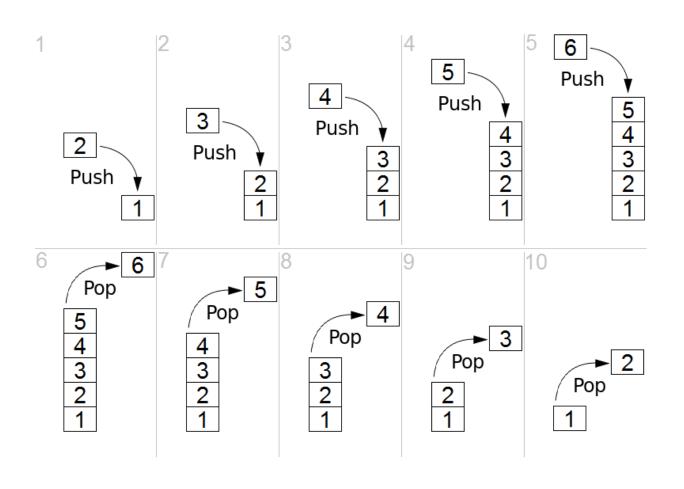
- Two operations, push() and pop().
- Optional 3rd, peek(), doesn't modify the stack
- LIFO Last In, First Out

Stack



- Easy to implement with linked list or array.
- **Push:** add to the "top" of the list.
- Pop: remove from the "top" of the list
- Both are O(1)

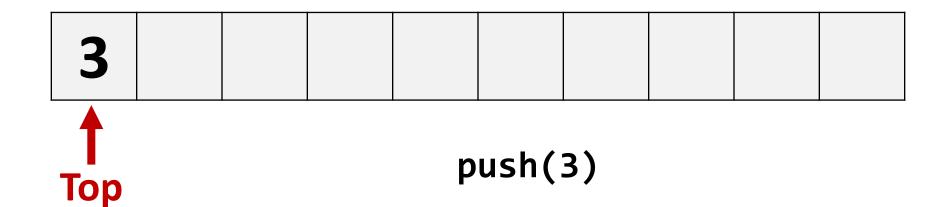
Stack: Applications?



- Undo button in a text editor
- Navigating backwards in a web browser (back button)
- Function call stack for an executing program
- (This is where the term "stack overflow" comes from)

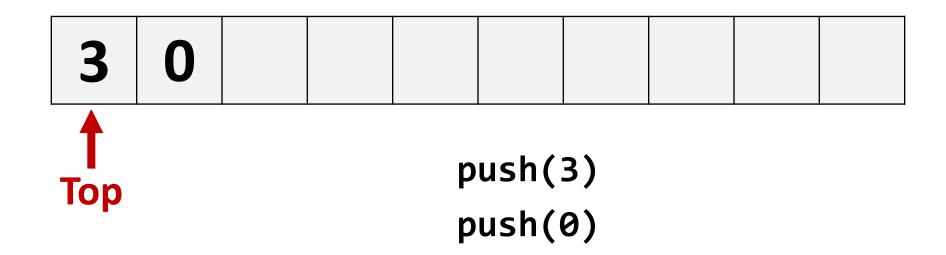
Stack: Using an Array

Maintain index at top of stack (back)

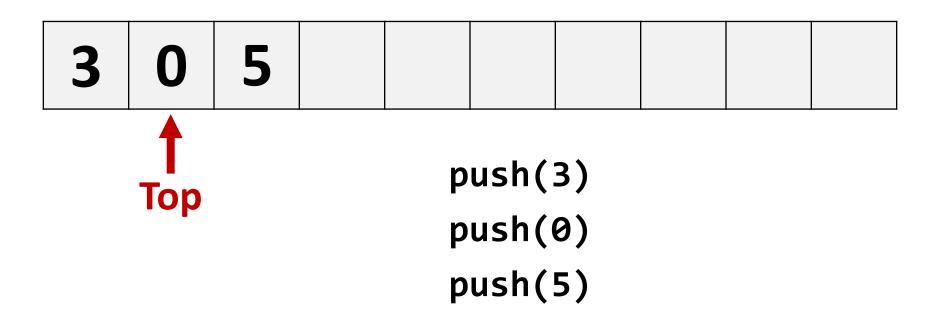


Stack: Using an Array

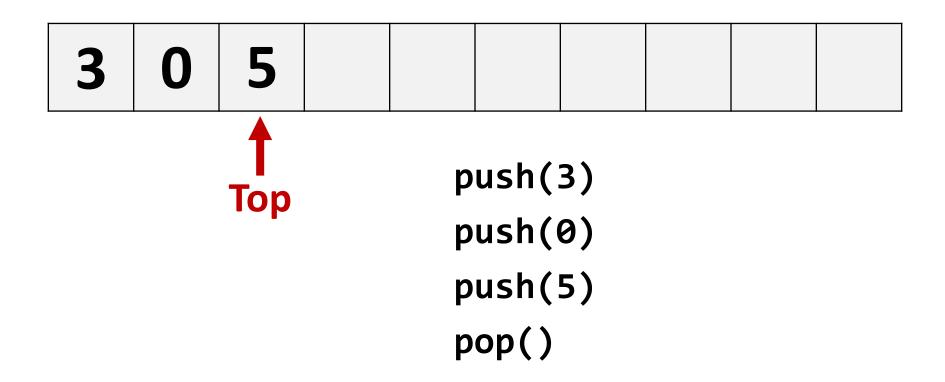
Maintain index at top of stack (back)



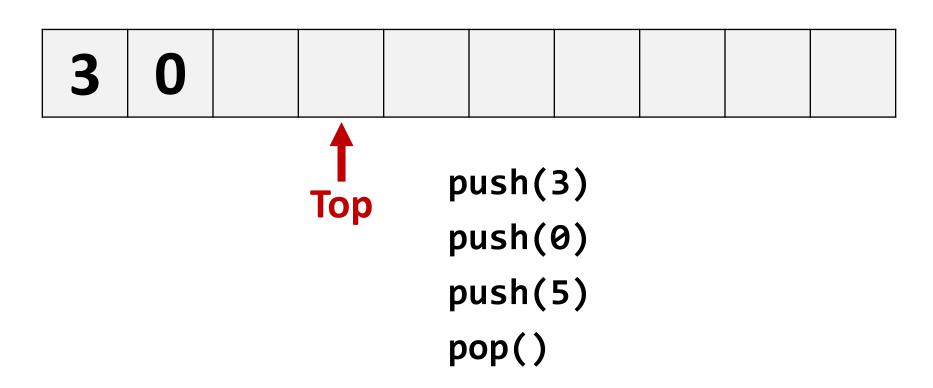
Maintain index at top of stack (back)



Maintain index at top of stack (back)

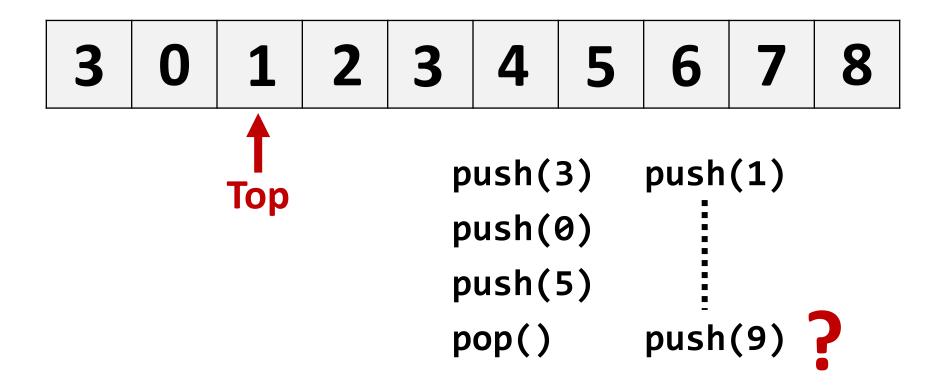


Maintain index at top of stack (back)

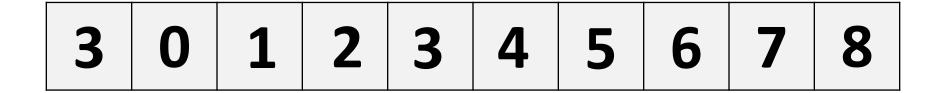


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Maintain index at top of stack (back)

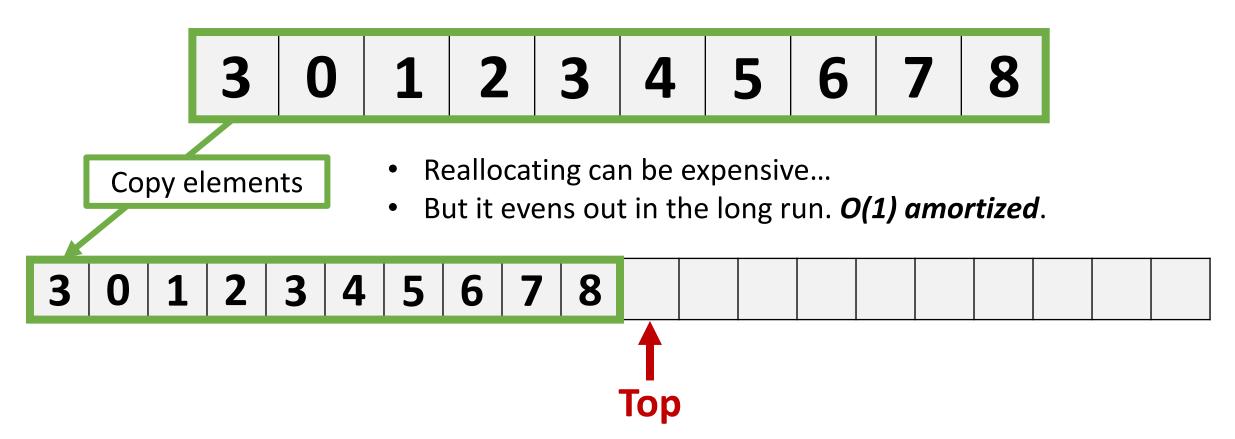


Maintain index at top of stack (back)



When using an array implementation, common practice is to double the array size upon reaching capacity.

Maintain index at top of stack (back)



O(1) Amortized?

- Reallocating is expensive. But...
- In practice, if we double the size each time, it'll be twice as long until we must resize again.
- Mitigate this by allocating a large(r) array initially.
- Appending is amortized O(1)
 - Time for n insertions is O(n)
 - Earlier insertions might be slower, if we're resizing.
- LISP does this! Remember the dynamic vector!

Set initial size to zero

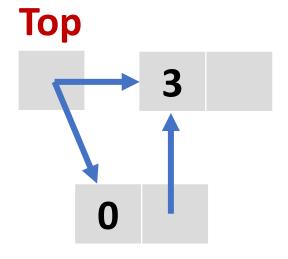
```
(let ((vec (make-array 0 :fill-pointer t :adjustable t)))
       (dotimes (i 10)
              (vector-push-extend i vec)
                                                                  PS C:\Users\aufke\Google Drive\Teaching\CPS 305\Lisp e
                                                                  --script vector.lisp
              (describe vec)
                                                                 #(0)
                                                                    [vector]
                                                                  Element-type: T
                                                                  Fill-pointer: 1
                                                                  Size: 1
                                                                  Adjustable: yes
                                                                  Displaced: no
                                                                  Storage vector: #<(SIMPLE-VECTOR 1) {2355BFB7}>
                                                                  #(0 1)
                                                                    [vector]
                                                                  Element-type: T
                                                                  Fill-pointer: 2
                                                                  Size: 2
                                                                  Adjustable: yes
                                                                  Displaced: no
                                                                  Storage vector: #<(SIMPLE-VECTOR 2) {235F2FDF}>
                                                                  #(0 1 2)
                                                                    [vector]
                                                                  Element-type: T
                                                                  Fill-pointer: 3
                                                                  Size: 4
                                                                  Adjustable: yes
                                                                  Displaced: no
                                                                  Storage vector: #<(SIMPLE-VECTOR 4) {235F6987}>
                                                                  #(0 1 2 3)
                                                                    [vector]
                                                                  Element-type: T
                                                                  Fill-pointer: 4
                                                                  Size: 4
                                                                  Adjustable: yes
                                                                  Displaced: no
```

Storage vector: #<(SIMPLE-VECTOR 4) {235F6987}>

#(0 1 2 3 4)

```
Element-type: T
Fill-pointer: 6
Size: 8
Adjustable: yes
Displaced: no
Storage vector: #<(SIMPLE-VECTOR 8) {235FAC37}>
#(0 1 2 3 4 5 6)
  [vector]
Element-type: T
Fill-pointer: 7
Size: 8
Adjustable: yes
Displaced: no
Storage vector: #<(SIMPLE-VECTOR 8) {235FAC37}>
#(0 1 2 3 4 5 6 7)
  [vector]
Element-type: T
Fill-pointer: 8
Size: 8
Adjustable: yes
Displaced: no
Storage vector: #<(SIMPLE-VECTOR 8) {235FAC37}>
#(0 1 2 3 4 5 6 7 8)
  [vector]
Element-type: T
Fill-pointer: 9
Size: 16
Adjustable: yes
Displaced: no
Storage vector: #<(SIMPLE-VECTOR 16) {23603087}>
#(0 1 2 3 4 5 6 7 8 9)
  [vector]
Element-type: T
Fill-pointer: 10
Size: 16
Adjustable: yes
Displaced: no
Storage vector: #<(SIMPLE-VECTOR 16) {23603087}>
```

Let the front of the list represent the top of the stack:

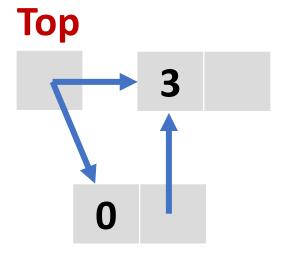


push(3)

push(0)

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Let the front of the list represent the top of the stack:

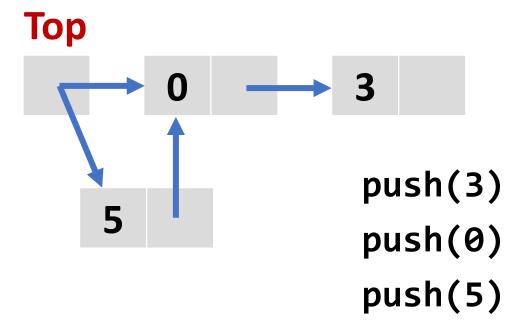


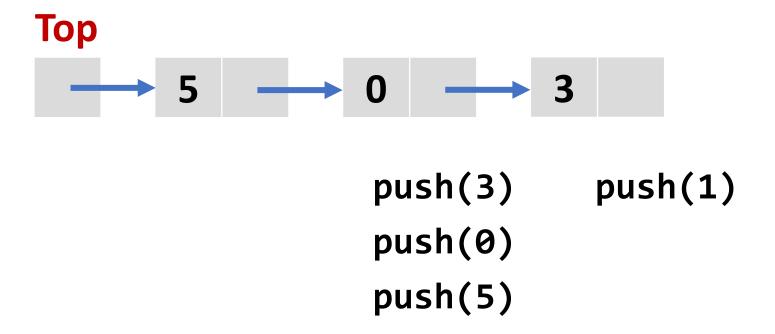
push(3)

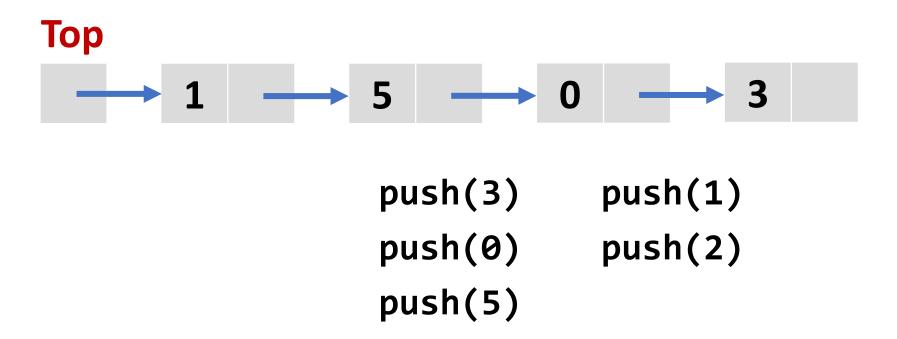
push(0)

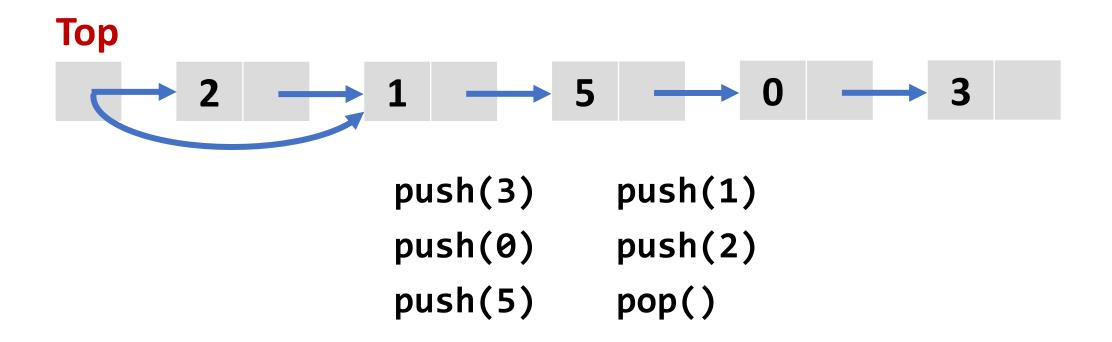
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... And so on, and so forth.

Stack: Array VS Linked List

Both achieve O(1) for all operations!

- push(), pop(), and peek()
- What about memory use?

Array:

- Less space per element, but...
- Growing (and shrinking) can cause spikes
- Good cache locality

Linked List:

- Elements are allocated and freed independent of others
- No need to "move" any cells once they're placed

Stack: Implementation?

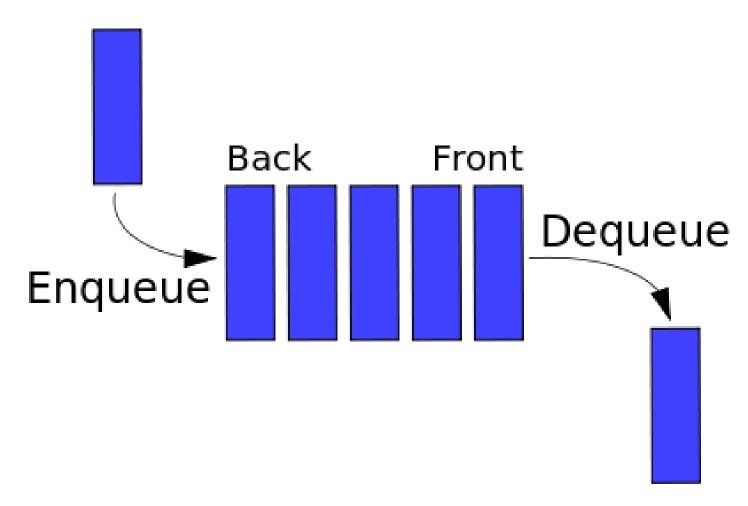
```
LISP has PUSH and POP
                        * (defvar *stack* '())
    functions built in:
                        *STACK*
                        * (push 21 *stack*)
                        (21)
                        * (push 42 *stack*)
                        (42\ 21)
Notice: PUSH and POP
                        * (push 99 *stack*)
  both mutate the list.
                        (99 42 21)
                        * (pop *stack*)
                        99
                        * *stack*
                        (42\ 21)
```

*

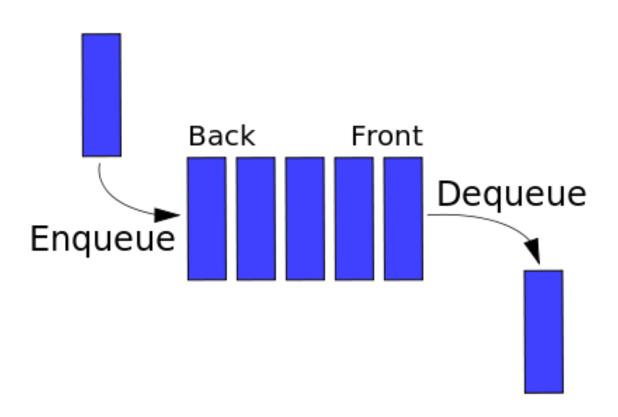
Queues



Queue



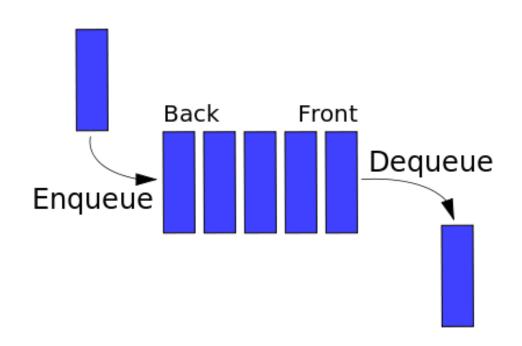
Queue



- Two operations, enqueue and dequeue.
- Optional 3rd operation, peek, doesn't modify the queue
- FIFO First In, First Out
- Linked list provides efficient implementation
- O(1) enqueue and dequeue

Queue

Linked list provides efficient implementation



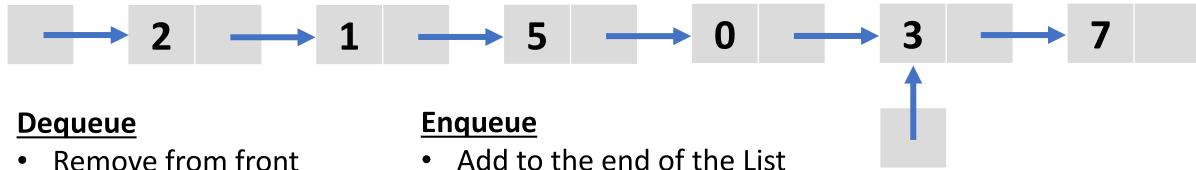
We understand Linked Lists:

- Enqueue is add to back
- Dequeue is remove from front
- Both O(1) provided we maintain a references to front and back.

Queue: Linked List

Maintain a reference at each end

Front



- Remove from front
- Same as pop()
- No need to see it again.
- Enqueue(7)
 - Update back.next
 - Update back

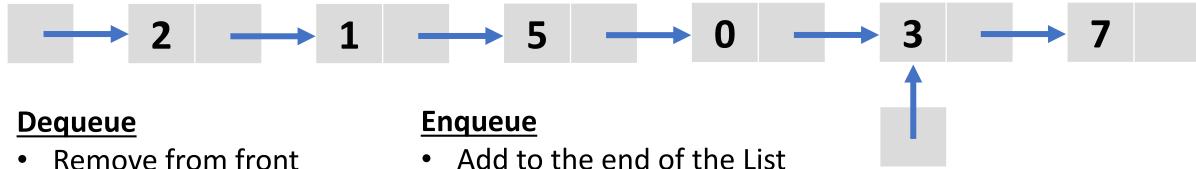
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Back

Queue: Linked List

Maintain a reference at each end

Front



- Remove from front
- Same as pop()
- No need to see it again.
- Enqueue(7) Update back.next
 - Update back

Back

Queue: Implementation

```
(defstruct node data next)
  (defstruct my-list
        (front nil :type (or node null))
        → (back nil :type (or node null))
        (size 0 :type (integer 0)))
```

Same implementation as our linked list, but now we have a reference for front and back

Queue: Implementation

```
(defstruct node data next)
(defstruct my-list
  (front nil :type (or node null))
  (back nil :type (or node null))
  (size 0 :type (integer 0)))
```

Dequeue?

- Combination of first and rest.
- Remove first and return it.
- Update front reference.

Enqueue?

- Create new node, append it to the back of the list.
- Update back reference.

You'll implement these in Lab 3

Queue: Using Arrays?

Not terribly effective:

i	0	1	2	3	4	5	6	7	8	9	
a[i]	9	4	7	12	14	19	0	1	2	3	4?

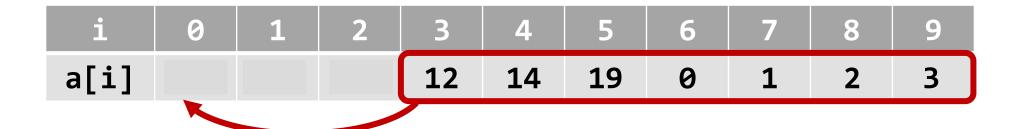
- Like a stack, we have to grow array when full
- We have the additional problem of having to shift elements upon removal.

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Queue: Using Arrays?

Shift elements upon removal?



Dequeue()

Dequeue()

Dequeue()

- We would have to periodically shift elements back down.
- Leaving leading empty space while growing the array is wasteful.

Queue: Using Arrays?

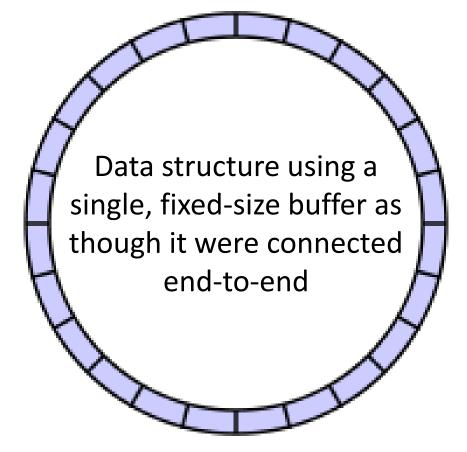
An array, when considered as a *linear* sequence of elements, is not a great option for a queue

However! With clever indexing, we can treat an array as a *circular buffer*, and avoid the trouble of shifting elements.

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Circular Buffer

Or circular queue, cyclic buffer, ring buffer...



Circular Buffer

Very efficient data structure for implementing Queues that have a max size:

- Allocate an array with max_size elements
- Maintain two indexes front and back
 - Insert at back, remove at front.
- When back reaches end of buffer, it wraps around to the start.
- Eliminates the need for shifting elements!

Max size is 10 elements







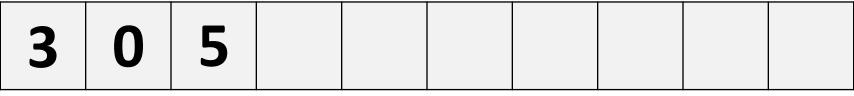
Back Where to add next element.

Front Next element to be removed.

Enqueue 3, 0, 5

Max size is 10 elements







Back Where to add next element.

Front Next element to be removed.

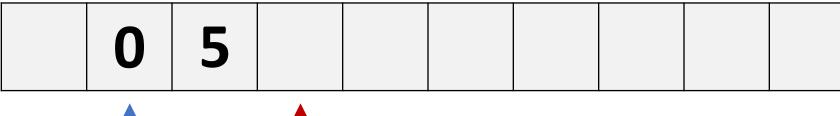
Enqueue 3, 0, 5

Dequeue()

Dequeue()

Max size is 10 elements







Back Where to add next element.

Front Next element to be removed.

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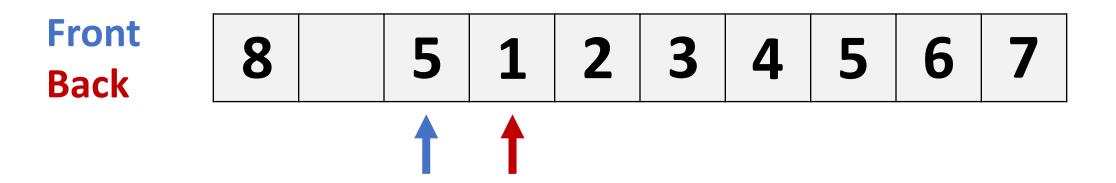
Enqueue 3, 0, 5

Dequeue()

Dequeue()

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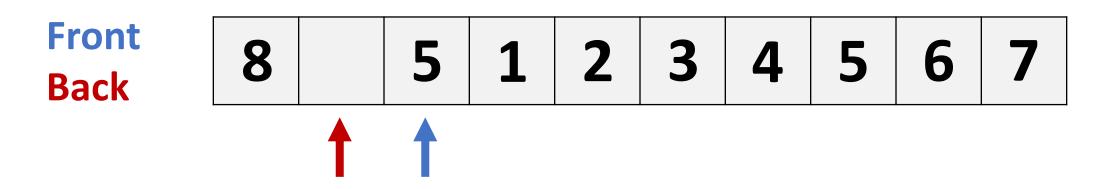
Max size is 10 elements



Enqueue 1, 2, 3, 4, 5, 6, 7, 8

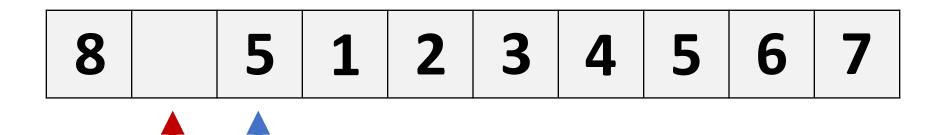
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Max size is 10 elements



Enqueue 1, 2, 3, 4, 5, 6, 7, 8



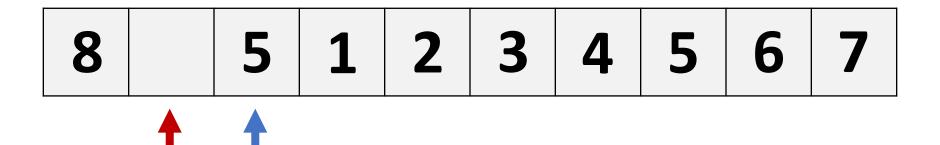


Memory addressing is linear! How do we update front and back efficiently?

Upon enqueue: back = (back + 1) % max_size
Upon dequeue: front = (front + 1) % max size

If there's an element at **back**, buffer is full. If there's no element at **front**, buffer is empty.





We can determine the number of elements:

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Circular Buffer: Using a Queue

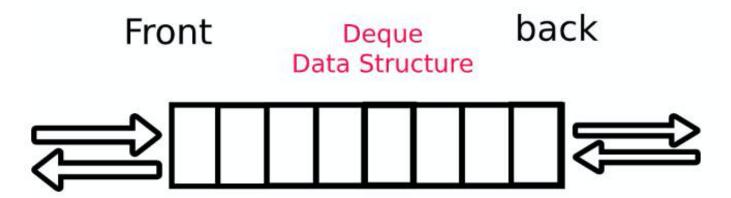
8 5 1 2 3 4 5 6 7

- Every queue operation is constant time.
- Array means good cache locality, less memory per node
- However, if size is *not* fixed, a linked list might be preferable
- Of course, we can also grow the circular buffer if we want.

Deque: Double-ended Queue

Implements all previously seen operations:

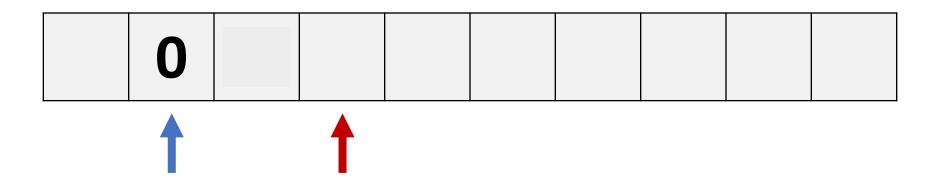
- Add to front
- Remove from front
- Add to back
- Remove from back



Deque: Using Circular Buffer

- Works much the same way as a standard Queue.
- Need to be a bit more creative with indexing



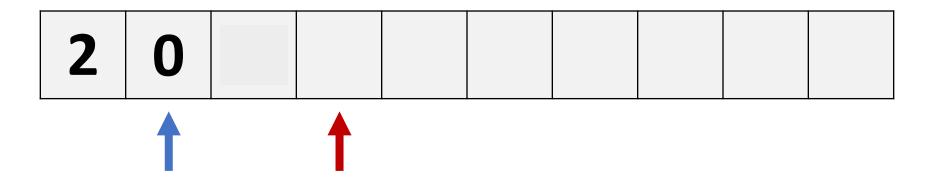


Upon removeBack: back = (back - 1) % max_size

Deque: Using Circular Buffer

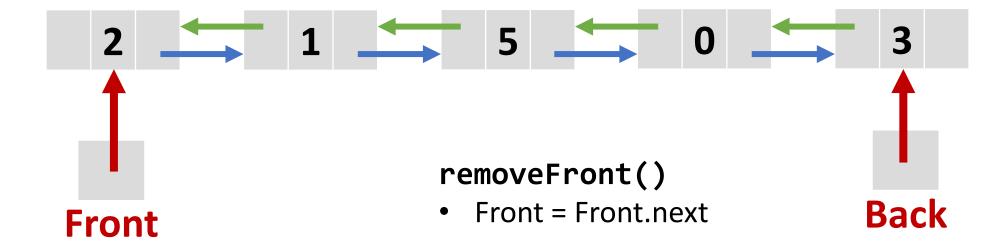
- Works much the same way as a standard Queue.
- Need to be a bit more creative with indexing



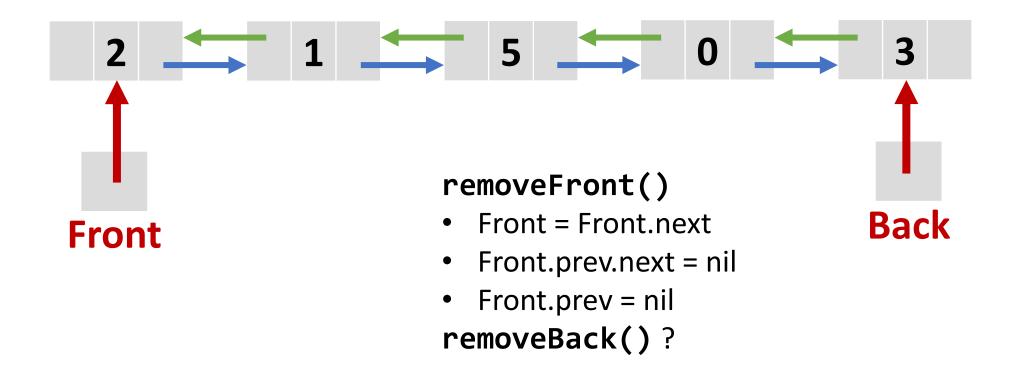


```
Upon removeBack: back = (back - 1) % max_size
Upon addFront: front = (front - 1) % max_size
```

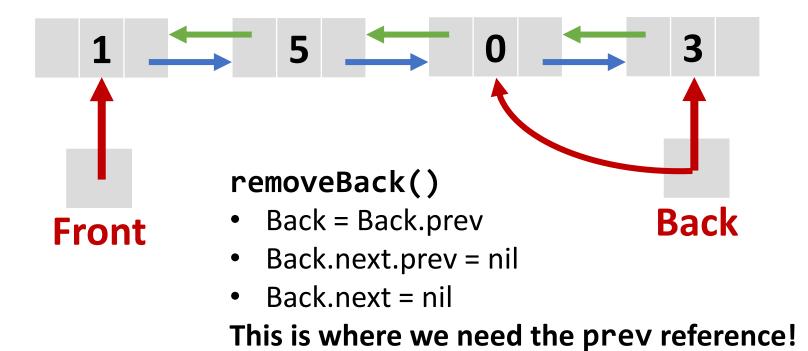
Use a *doubly* linked list!

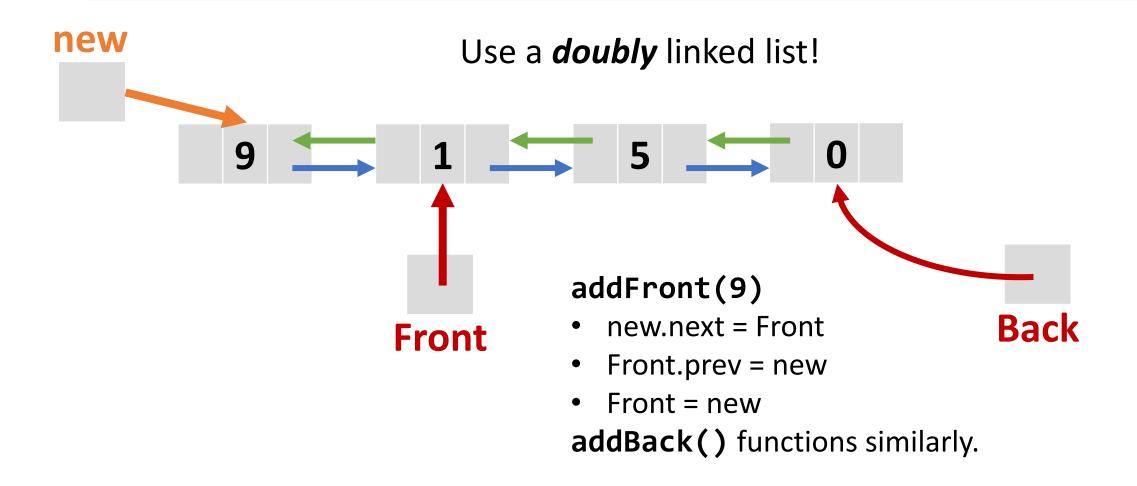


Use a *doubly* linked list!

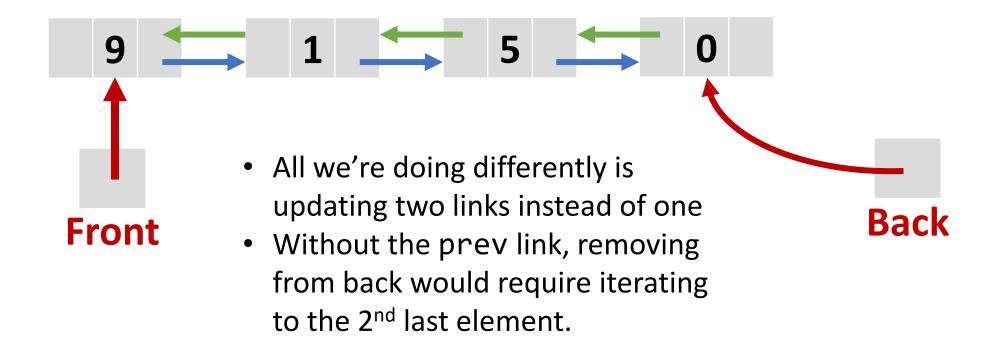


Use a *doubly* linked list!





Use a *doubly* linked list!

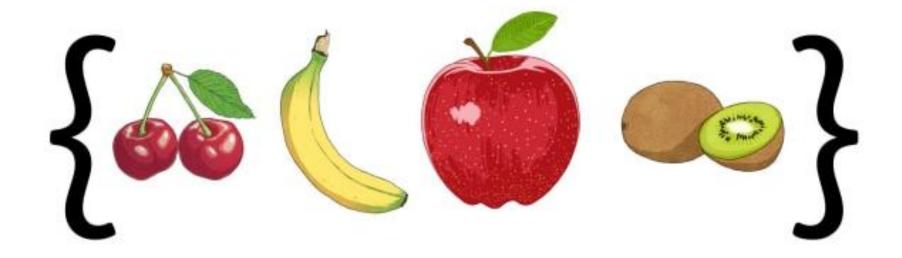


Array VS Linked List?

As with most choices in programming and design, there is no one size fits all answer. There are always tradeoffs.

		Linked list	Dynamic Array
	Indexing	O(n)	O(1)
Insert &	Beginning	O(1)	O(n)
delete:	End	O(1) with reference	O(1) amortized
	Middle	Search time + O(1)	O(n)

Sets



Sets

- Sets are an ADT with special properties that differ from stacks and queues.
- You've seen sets in Python, so these properties should be familiar:
 - 1. A set cannot contain duplicate elements:
 - Each unique value can only exist once
 - 2. Sets are unordered:
 - Set elements are not stored in any meaningful order.
 - Asking for the third element in a set makes no sense.
 - 3. A consequence of 2), direct indexing is not supported.

So what operations *are* supported?

Sets: Operations

Test membership: Checks whether an item is in the set

Add and remove: Insert function must ensure no duplication.

Subset test: Checks whether one set is a subset of another.

Union: Combine two sets, ensuring no duplicates.

Intersection: Find elements that two sets have in common.

Difference: Remove one set's elements from another.

- The best way to implement a set is using a Hash Table.
- We haven't learned these yet, so let's use a List instead.

Sets: Operations

Test Membership

We could write this ourselves using a loop, but LISP has a built-in form:

```
* (member 4 '(3 5 7 6 4 2 1))
(4 2 1)
* (member 9 '(3 5 7 6 4 2 1))
NIL
```

- If found, return the rest of the list with the found element at the front.
- If not found, return NIL.

Sets: Insert & Remove

```
(defun insert-set (x set)
  (if (member x set)
    set
    (cons x set))
)
```

- If element is already in set, return set as-is
- Otherwise return set with item added.

```
* (insert-set 99 '(1 2 3 4))
(99 1 2 3 4)
* (insert-set 3 '(1 2 3 4))
(1 2 3 4)
```

Sets: Insert & Remove

- Traverse list, building up visited elements in a new list as we go.
- If we don't find the element, return original list as-is (first cond clause)
- Found element? Concatenate tail of list with list containing previous elements.
- Not found, not at end of list? Make recursive call on rest of list.

Sets: Insert & Remove

```
* (remove-set 3 '(4 6 8 3 5 9))
(8 6 4 5 9)
* (remove-set 12 '(4 6 8 3 5 9))
(9 5 3 8 6 4)
```

Sets: IS-SUBSET

In mathematics, A is a subset of B if all elements in A are also in B

```
(defun subset-set (a b)
  (dolist (item a t)
       (unless (member item b)
       (return))
  )
)
```

Iterative:

- Traverse using DOLIST form, return T.
- If we find an item in A that isn't in B, return (exit dolist, return NIL)

```
* (subset-set '(1 2 3) '(1 2 3 4 5 6))

T

* (subset-set '(1 2 3) '(0 2 3 4 5 6))

NIL
```

Sets: IS-SUBSET

In mathematics, A is a subset of B if all elements in A are also in B

Recursive:

- If A is empty, return T
- Else, test membership of first, recurse on rest

```
* (subset-set-rec '(1 2 3) '(0 2 3 4 5 6))
NIL
* (subset-set-rec '(1 2 3) '(1 2 3 4 5 6))
T
```

Sets: Union? Intersection?

You'll do these in Lab #5 this week

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In Summary

Fundamental ADTs

- Implementing Linked Lists
- Stacks, Queues, and their variants
- Array VS Linked implementations
- Set ADT using a list.

