CPS 305

Data Structures

Prof. Alex Ufkes





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Previously: Comparison Sorting

Sorting algorithms based on Boolean comparison of pairs of elements

Requirements:

- 1. If a <= b, and b <= c, then a <= c
- 2. For all a and b, either a <= b or b <= a

O(n²): Selection sort, Insertion sort, Bubble sort

O(nlogn): Merge sort, Quick sort

Moving On...

Unconventional Sorting Algorithms



Comparison Sorting: Alternatives?

Are there sorting algorithms based on something other than comparison?

There are, but they are less common:

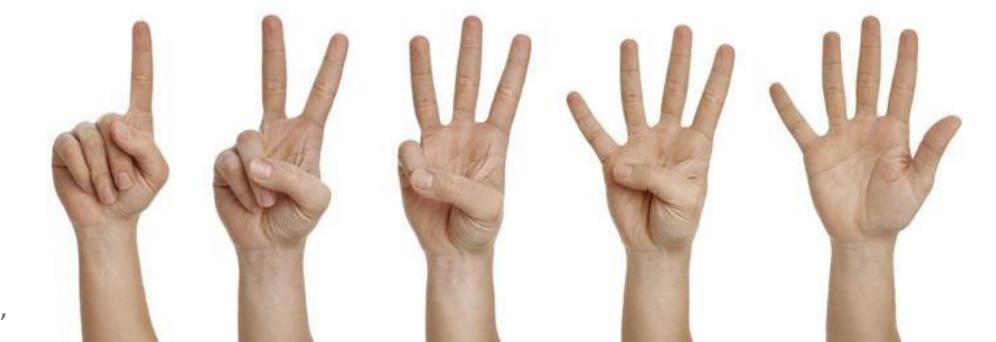
- They don't generalize across all types (only for integers, strings)
- They only perform well when data is uniformly distributed
- And more

We'll see three:

- Counting sort
- Radix sort
- Bucket sort

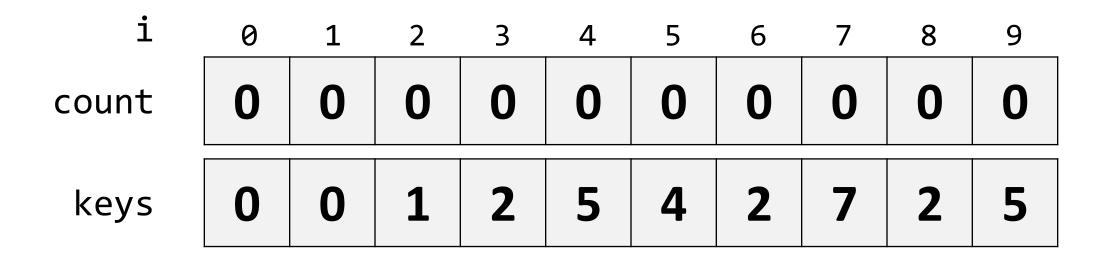
Counting Sort

- Keys (value to sort over) are small integers
- How small? They're used as array indexes.



Iterate through array, compute a histogram of occurrences:

i	0	1	2	3	4	5	6	7	8	9
count	0	0	0	0	0	0	0	0	0	0
keys	0	0	1	2	5	4	2	7	2	5



Before we get started:

- The size of count must be max(keys)+1
- Thus, if keys contains very large values, count will take up a lot of space.
- Here, the max value in keys is 7, but for the sake of demonstration we'll just keep count and keys the same size.

i	0	1	2	3	4	5	6	7	8	9
count	1	0	0	0	0	0	0	0	0	0
keys	0	0	1	2	5	4	2	7	2	5

i	0	1	2	3	4	5	6	7	8	9
count	2	0	0	0	0	0	0	0	0	0
keys	0	0	1	2	5	4	2	7	2	5

i	0	1	2	3	4	5	6	7	8	9
count	2	1	0	0	0	0	0	0	0	0
keys	0	0	1	2	5	4	2	7	2	5

i	0	1	2	3	4	5	6	7	8	9
count	2	1	1	0	0	0	0	0	0	0
keys	0	0	1	2	5	4	2	7	2	5

i	0	1	2	3	4	5	6	7	8	9
count	2	1	1	0	0	1	0	0	0	0
keys	0	0	1	2	5	4	2	7	2	5

i	0	1	2	3	4	5	6	7	8	9
count	2	1	1	0	1	1	0	0	0	0
keys	0	0	1	2	5	4	2	7	2	5

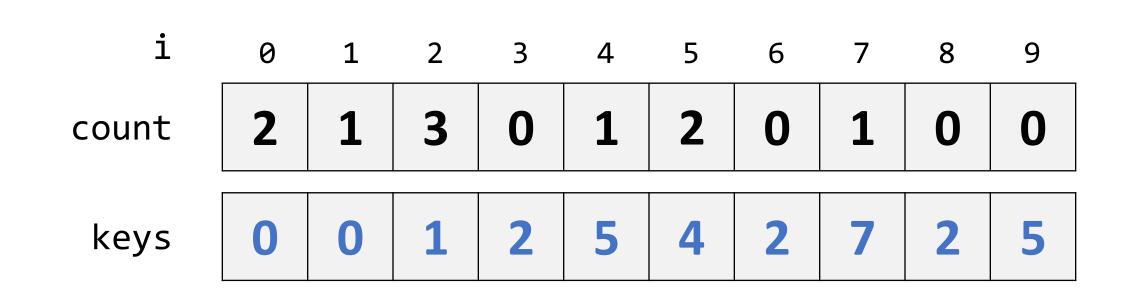
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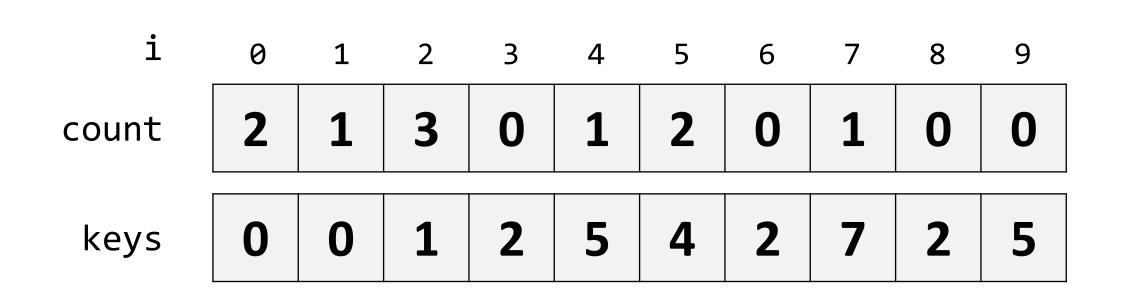
i	0	1	2	3	4	5	6	7	8	9
count	2	1	2	0	1	1	0	0	0	0
keys	0	0	1	2	5	4	2	7	2	5

i	0	1	2	3	4	5	6	7	8	9
count	2	1	2	0	1	1	0	1	0	0
keys	0	0	1	2	5	4	2	7	2	5

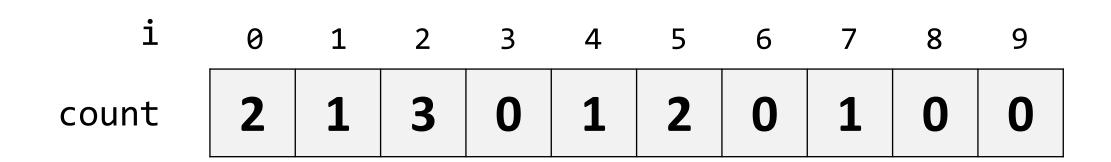
i	0	1	2	3	4	5	6	7	8	9
count	2	1	3	0	1	1	0	1	0	0
keys	0	0	1	2	5	4	2	7	2	5



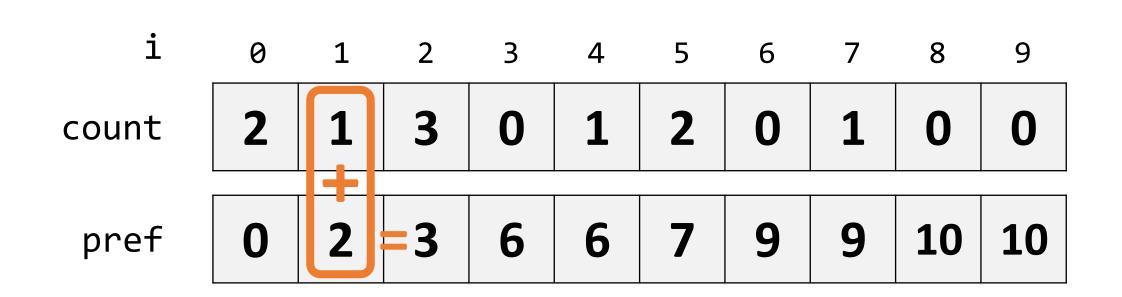
Done!



- Next, we perform a *prefix sum* computation.
- This determines, for each key, the starting position in the array for items having that key.

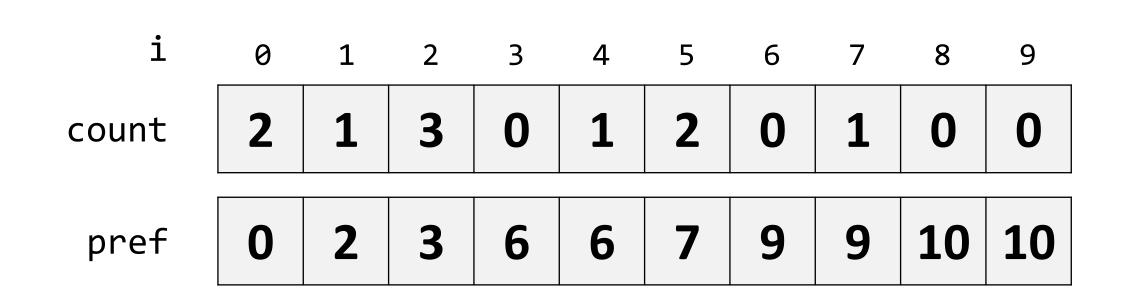


- This is essentially a running sum, offset by one index.
- In practice we'd just overwrite **count**.
- We'll use another helper array for clarity.

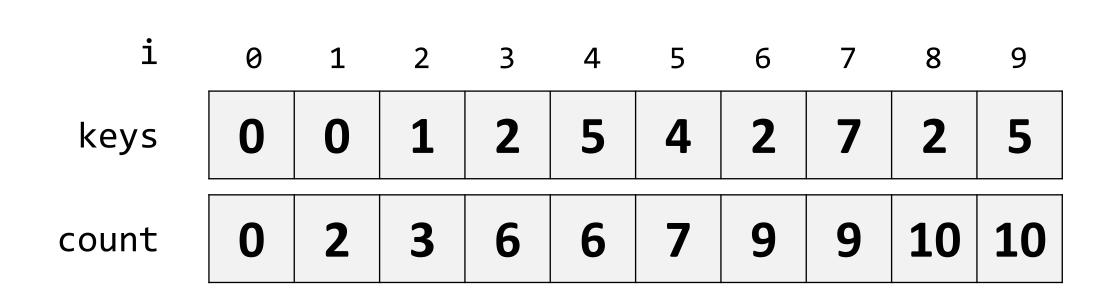


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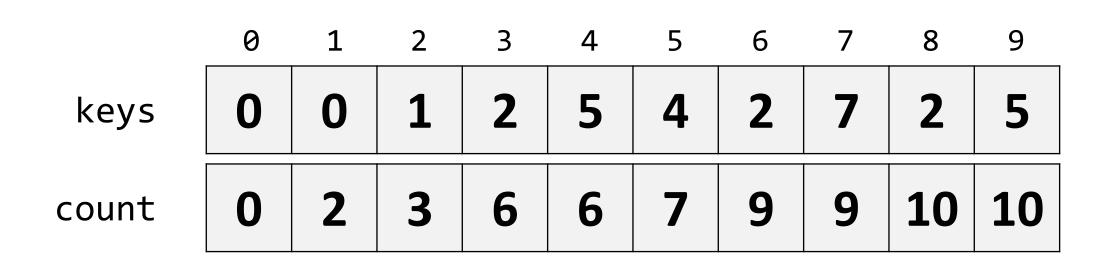
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In practice we'd just overwrite count.

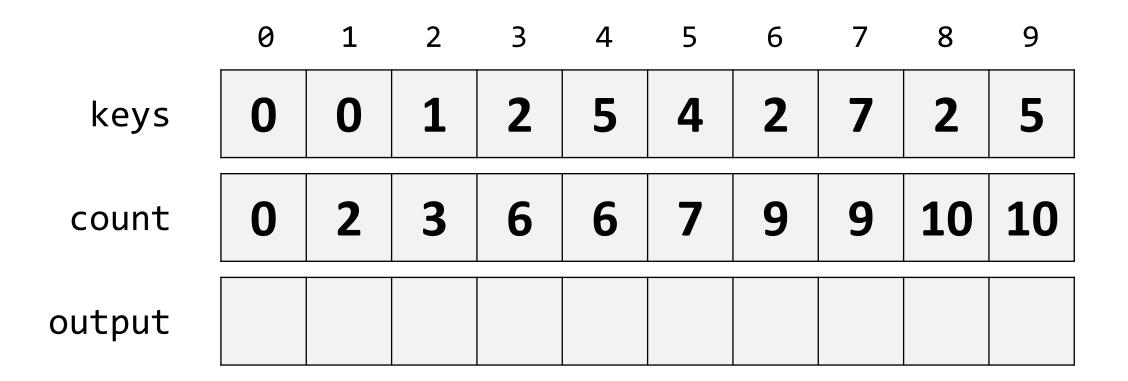


We can now produce the sorted output array



We loop over **keys**, placing elements into an output array using count to determine where each element should go.

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- count at index k tells us where the <u>first</u> key with value k should go.
- (count at index k)+1 tells us where the <u>next</u> key with value k should go.
- We increment elements in count as we place keys in the output array.

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	0	1	2	3	4	5	6	7	8	9
keys	0	0	1	2	5	4	2	7	2	5
count	1	2	3	6	6	7	9	9	10	10
output	0									

	0	1	2	3	4	5	6	7	8	9
keys	0	0	1	2	5	4	2	7	2	5
count	2	2	3	6	6	7	9	9	10	10
output	0	0								

	0	1	2	3	4	5	6	7	8	9
keys	0	0	1	2	5	4	2	7	2	5
count	2	3	3	6	6	7	9	9	10	10
output	0	0	1							

	0	1	2	3	4	5	6	7	8	9
keys	0	0	1	2	5	4	2	7	2	5
count	2	3	4	6	6	7	9	9	10	10
output	0	0	1	2						

	0	1	2	3	4	5	6	7	8	9
keys	0	0	1	2	5	4	2	7	2	5
count	2	3	4	6	6	8	9	9	10	10
output	0	0	1	2				- 5		

	0	1	2	3	4	5	6	7	8	9
keys	0	0	1	2	5	4	2	7	2	5
count	2	3	4	6	7	8	9	9	10	10
output	0	0	1	2			4	5		

	0	1	2	3	4	5	6	7	8	9
keys	0	0	1	2	5	4	2	7	2	5
count	2	3	5	6	7/	8	9	9	10	10
output	0	0	1	2	2		4	5		

	0	1	2	3	4	5	6	7	8	9
keys	0	0	1	2	5	4	2	7	2	5
count	2	3	5	6	7	8	9	10	10	10
output	0	0	1	2	2		4	5		7

	0	1	2	3	4	5	6	7	8	9
keys	0	0	1	2	5	4	2	7	2	5
count	2	3	6	6	7	8	9	10	10	10
output	0	0	1	2	2	2	4	5		7

	0	1	2	3	4	5	6	7	8	9
keys	0	0	1	2	5	4	2	7	2	5
count	2	3	6	6	7	9	9	10	10	10
output	0	0	1	2	2	2	4	5	5	7

Counting Sort: Part 3

	0	1	2	3	4	5	6	7	8	9
keys	0	0	1	2	5	4	2	7	2	5
count	2	3	6	6	7	9	9	10	10	10
output	0	0	1	2	2	2	4	5	5	7

Counting Sort: Part 3

	0	1	2	3	4	5	6	7	8	9
keys	0	0	1	2	5	4	2	7	2	5
output	0	0	1	2	2	2	4	5	5	7

- We've iterated through keys twice, and count once
- Thus, the complexity of counting sort is O(3n)=O(n)
- However! Remember length of count is max(keys)
- Notice also that counting sort is <u>stable</u>!

Counting sort can be used as a subroutine in Radix sort

- "Radix" refers to the base of the number.
- Most used on strings and integers but can operate on any arbitrary bit pattern.
- Sort integers in similar fashion as counting sort
- Hierarchically group keys by their individual digits
- Two flavors: LSD and MSD

Two flavors: LSD and MSD

Least significant digit (LSD)

Sort values based on their right-to-left digit order



- Good for sorting in numeric order
- 7389566
 Short keys (smaller numbers) come before large keys.

Two flavors: MSD and LSD

Most significant digit (MSD)

Sort values based on their left-to-right digit order



- Sort based on *lexicographic* order
- Good for sorting strings
- Doesn't sort in numeric order, 10 would come before 3.

10 8 172 15 44 3 212 888 20 15

Let $\mathbf{p} = \max \text{ number of digits per key} = \mathbf{3}$ Let $\mathbf{r} = \text{radix (base) of keys} = \mathbf{10}$

Create \mathbf{r} buckets, sort elements into buckets based on digit currently being evaluated. Total number of passes = \mathbf{p} .

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LSD

10	8	172	15	44	3	212	888	20	15

10 20		172 212	3	44	15 15			888	
0	1	2	3	4	5	6	7	8	9

LSD

10 20		172 212	3	44	15 15			8 888	
0	1	2	3	4	5	6	7	8	9

Repopulate array with this intermediate result:



10	20	172	212	3	44	15	15	8	888
----	----	-----	-----	---	----	----	----	---	-----

Perform binning operation again, this time looking at the 2nd LSD We will add leading zeros to make the process more clear

LSD

010	020	172	212	003	044	015	015	008	888

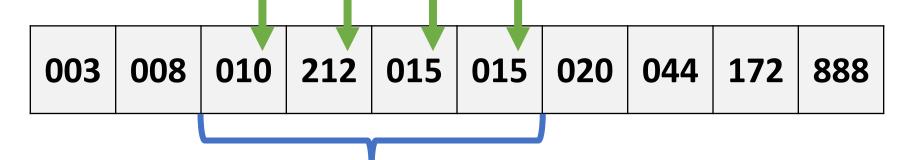
	010 212 015 015	020		044			172	888	
0	1	2	3	4	5	6	7	8	9



003	010 212 015 015	020		044			172	888	
0	1	2	3	4	5	6	7	8	9

Repopulate array with this intermediate result:





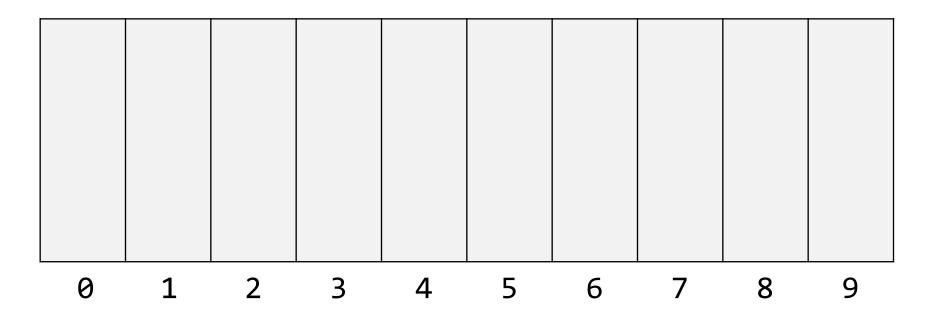
Notice!

- Each step is stable.
- When sorting on digit x, previous ordering based on digit x-1 is retained.
- This works if buckets are FIFO (First In First Out)
- we remove elements in the order then went in.



003 008	8 010 212	015 01	5 020 044	172 888
---------	-----------	--------	-----------	---------

Perform binning operation one last time on the final digit.





003	008	010	212	015	015	020	044	172	888

003 008 010 015 015 020 044	172	212						888	
0	1	2	3	4	5	6	7	8	9



003 008 010 015 015 020 044	172	212						888	
0	1	2	3	4	5	6	7	8	9

Repopulate array with final result:



003	008	010	015	015	020	044	172	212	888	
-----	-----	-----	-----	-----	-----	-----	-----	-----	-----	--

And we're done!

- Radix sort is stable
- Keys can also be characters (ASCII, radix = 255)
- MSD works the same way but we consider digits in reverse order.
- Radix sort is O(nw), where n is the number of keys and w is the number of digits.
- Thus, Radix sort is better than comparison sorting if w < log(n)
- As mentioned, the binning operation can be implemented using counting sort where the current digit is the key.
- Counting sort is O(n), and we're doing it for each digit = O(nw)

How about Most Significant Digit (MSD)?

How about Most Significant Digit (MSD)?

- Most commonly used on strings to achieve lexicographic (ASCII/Unicode) order.
- Not quite as simple as LSD radix sort we will recursively sort buckets.
- Let's try it on an array of strings!

MSD

dab

add

cab

fee

fad

bad

dad

bee

fed

ebb



a

dab

add

cab

fee

fad

bad

dad

bee

fed

ebb

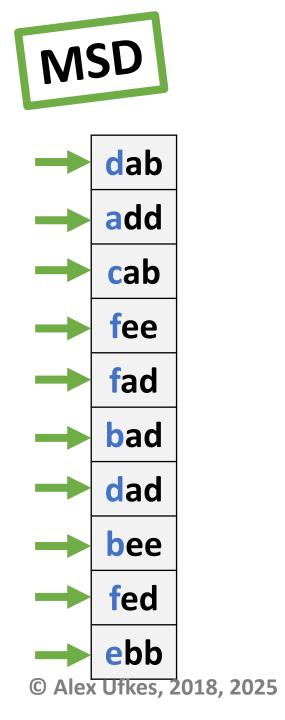
b

С

____ d

e

f



add

bad

bee

dab

dad

fee

fad

fed

f

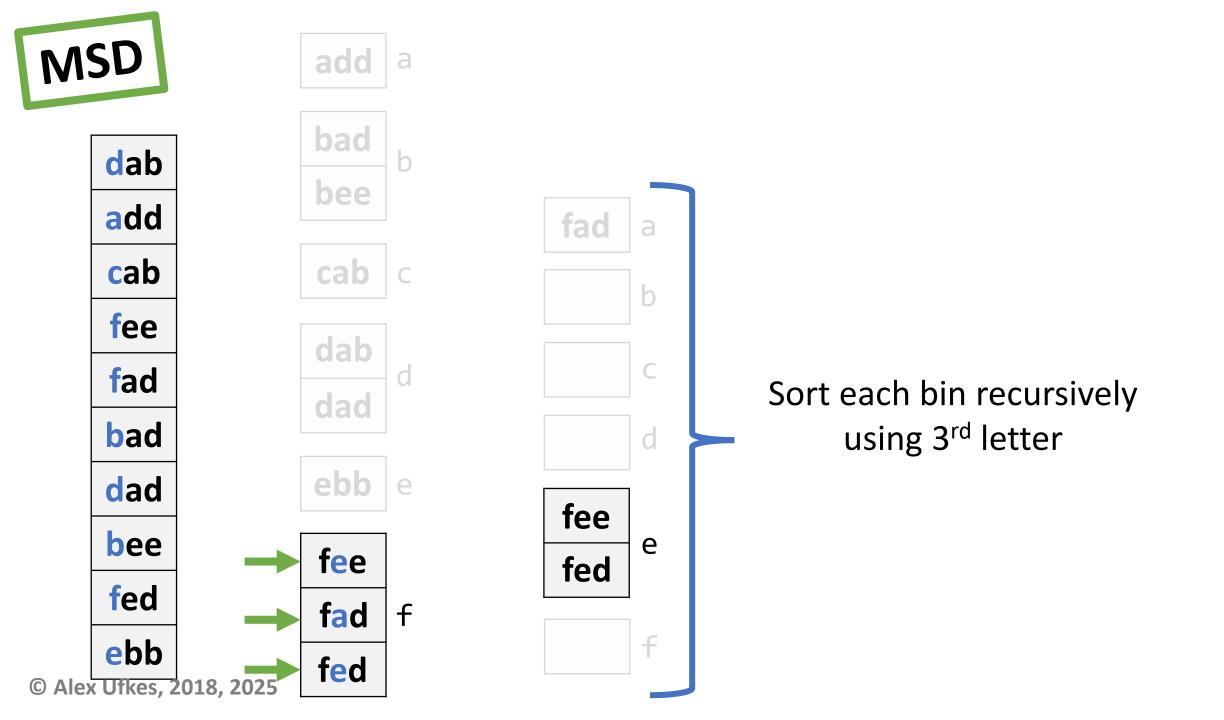
ebb e

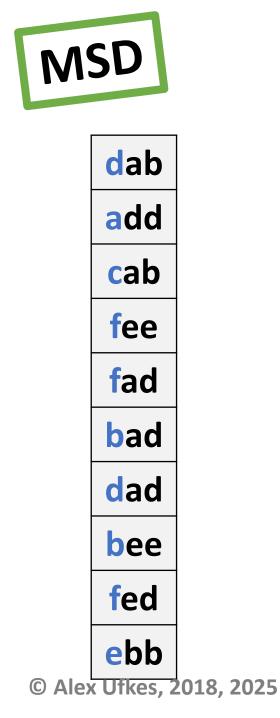
cab c

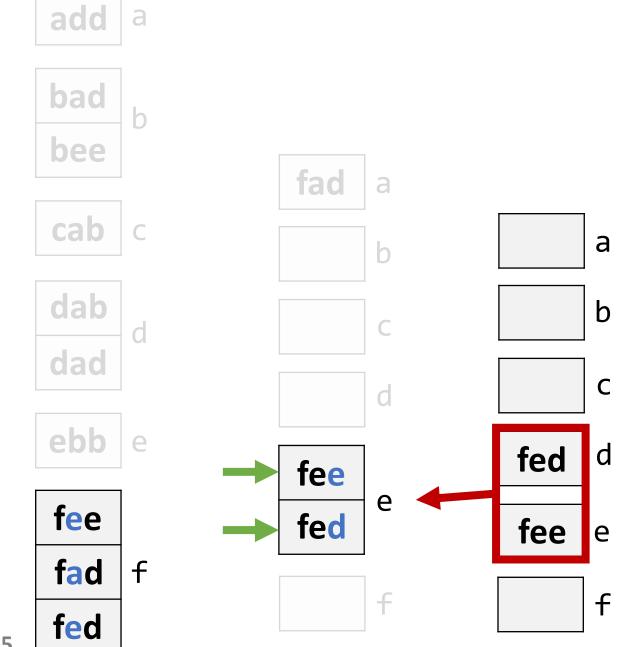
a

Sort each bin recursively using 2nd letter

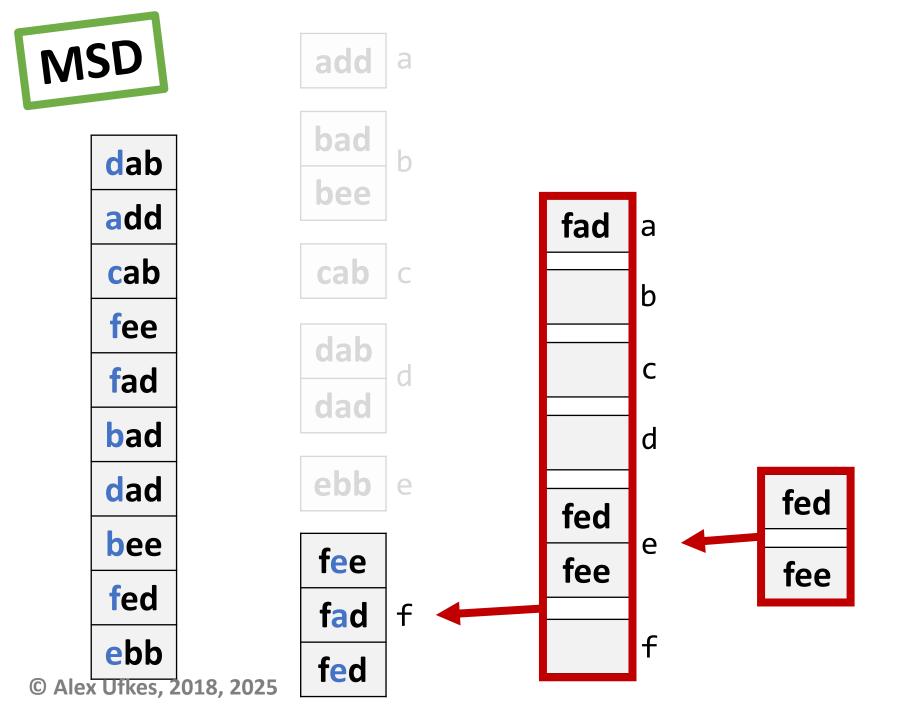
- f is the most interesting bin.
- Let's see it first







- At this point we hit the base case
- We move back up, concatenating bins as we go





dab

add

cab

fee

fad

bad

dad

bee

fed

ebb

add bad bee cab dab dad ebb e fad fed f fee

fad a b C d fed e fee f



dab add

cab

fee

fad

bad

dad

bee

fed

ebb

add a

bad

bee

b

cab

dab

dad

ebb e

fad

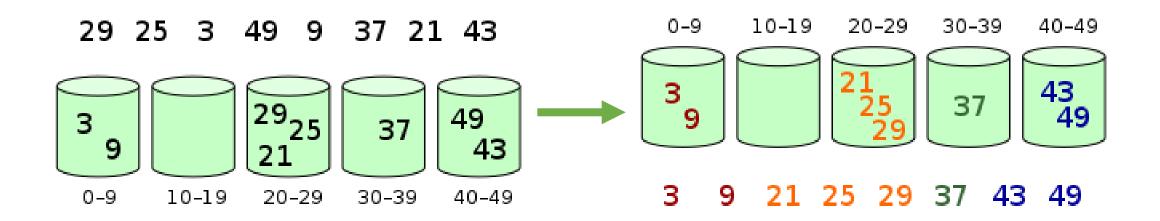
fed

fee

- Assuming we performed the same process on all bins, the final list is now sorted lexicographically.
- MSD Radix Sort is **NOT** guaranteed to be stable!
- Note: if the words are different lengths, padding is added on the right hand side (left justified).
- It's then up to us to decide if nothing should appear before something.
- I.e., **a** before **ab**. Typically, it does.



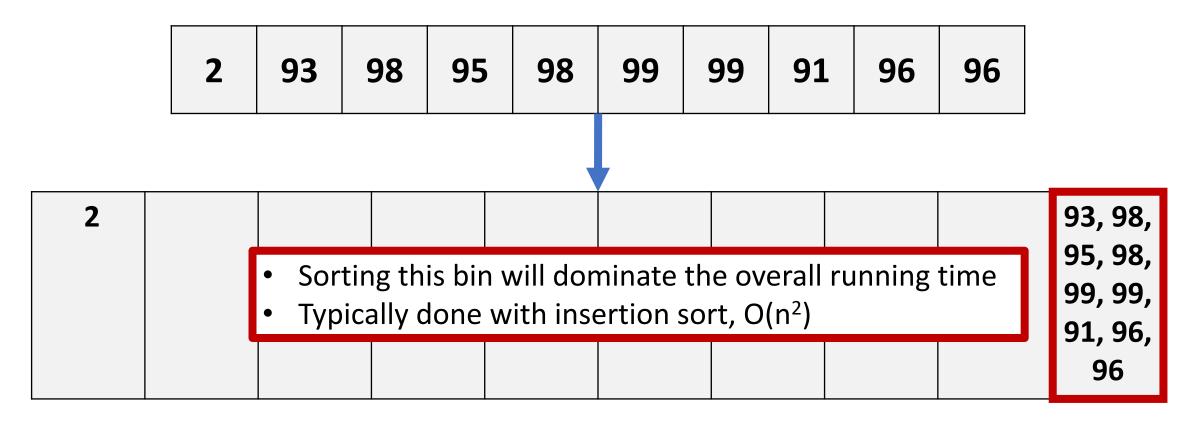
- Distribute array elements into some number of buckets, then sort each bucket individually.
- Use a traditional sort or recursively apply bucket sort.



- Like counting and radix sorts, we want to convert the input array elements into an index.
- This index will determine which bucket we sort that element into.
- Typically, we use some number of significant bits/digits.
- Sorting values from 000 to 999 into 10 bins requires the left-most digit.

000-	100-	200-	300-	400-	500-	600-	700-	800-	900-
099	199	299	399	499	599	699	799	899	999
0	1	2	3	4	5	6	7	8	9

Breaks down when values are not evenly distributed.



000- 099	100- 199	200- 299		400- 499	500- 599	600- 699		800- 899	900- 999
0	1	2	3	4	5	6	7	8	9

Interesting Optimization:

- Rather than sorting bins, copy them, still unsorted, back into the original array, then run insertion sort on original array.
- Why? Binning moves every element closer to its final position.
- **Significantly reduces inversions!** Insertion sort's runtime is proportional to the number of inversions.
- Not a guarantee of course, depends on input.

Bucket Sort: Complexity

For bucket sort to be O(n) on average:

- Number of buckets must equal the length of the input array.
- Input array values must be uniformly distributed.
- Clustered data degrades performance.

201	877	004	486	777	919	144	343	555	690
004	144	201	343	486	555	690	777	877	919
_		_	_	_	_	6			

Binning based on first digit.

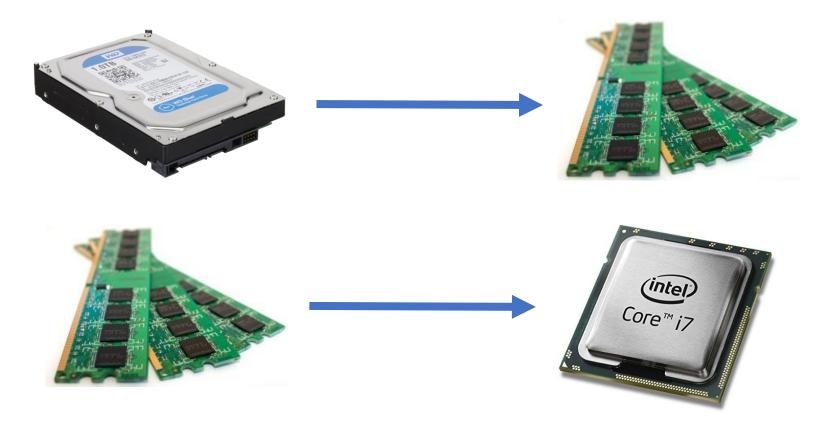
- Notice that bucket sort is not limited to integers!
- We're not using the keys as indexes
- If the data can be separated into buckets, we can apply bucket sort.

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Moving on...

Recall: Bottlenecks

Moving data between different levels of memory is the biggest bottleneck.

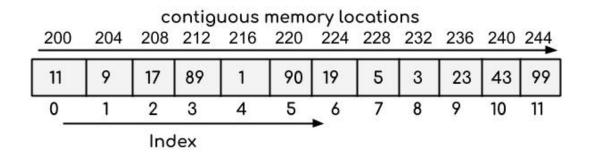


Recall: Linked VS Array

Every Abstract Data Type (ADT) is built on one of two foundations:

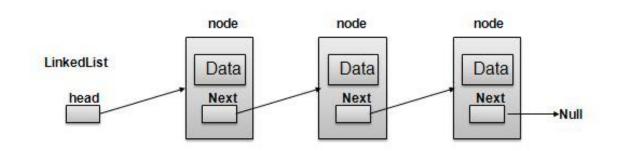
Array:

- Elements are contiguous in memory
- O(1) random access (index)
- O(n) prepend, insertion



Linked data structure:

- Elements, or nodes, are linked via pointers
- Not contiguous in memory!
- O(1) prepend, O(n) indexing



Linked Lists



Linked Lists

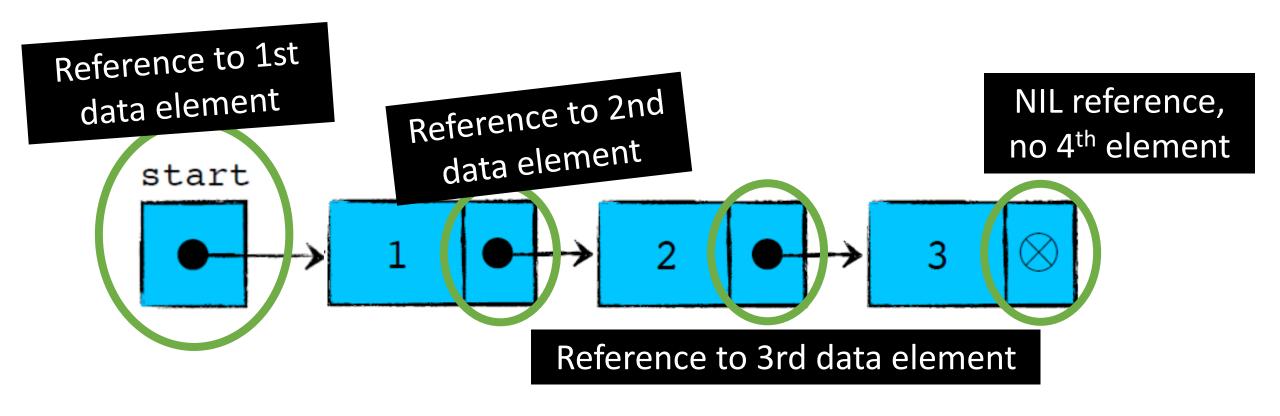
Linked List:

Some object or value

- An ordered set of data elements each containing a link to its successor (and in some cases, its predecessor).
- Along with arrays, linked lists are used as a base to build other ADTs.

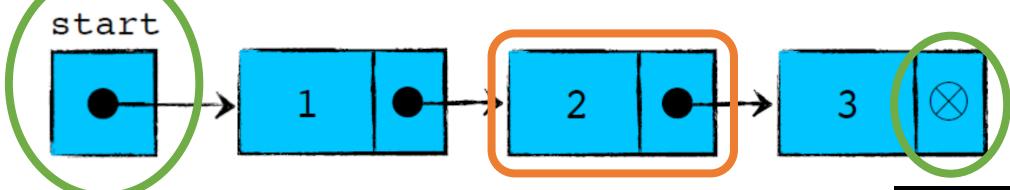
VS. arrays?

- Unlike arrays, linked lists are not contiguous in memory.
- Each element in a linked list contains a reference to the next.
- Fast to add or remove elements, slower to index into.





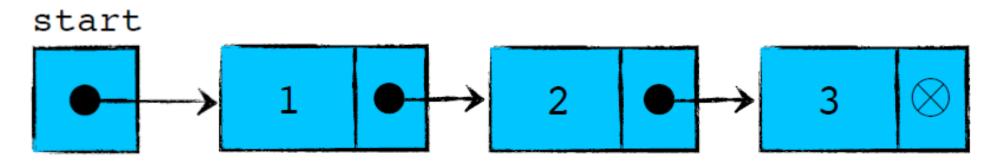
- Data elements are typically called "nodes"
- It its most basic form, a node is an object containing a value and a reference (to the next node)



NIL reference, no 4th element

Linked Lists

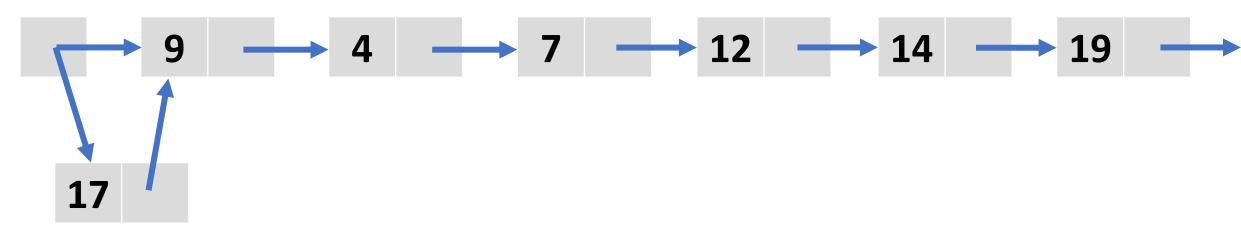
- To iterate through the list, we simply follow the references beginning with start (typically called the *head* of the list)
- Insertion and removal is performed by updating references.
- **Thus:** We need not reallocate or reorganize the entire structure when adding or removing just update the relevant references.



Prepending to a Linked List

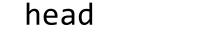
Add a new value 17 to the start of the List:

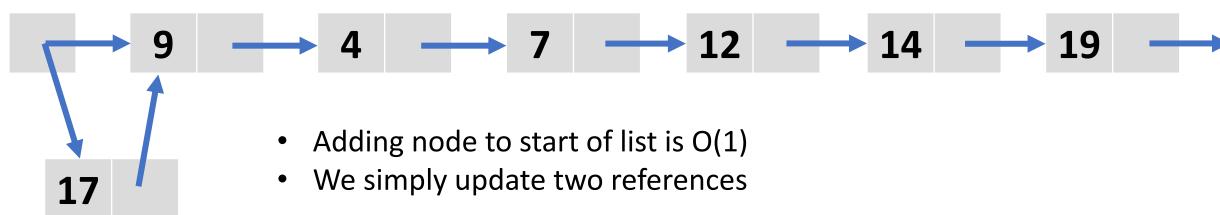




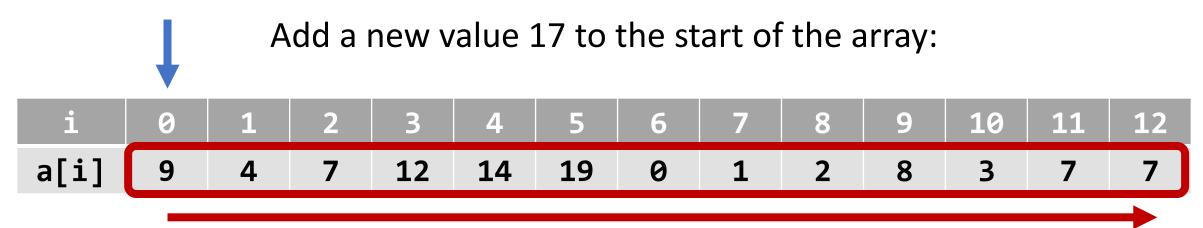
Prepending to a Linked List

Add a new value 17 to the start of the List:





VS Arrays

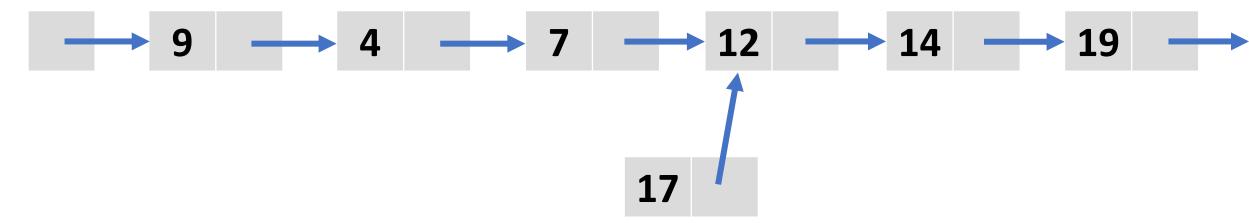


- Shifting elements is bad enough what if the array has no free space at the end?
- We have to create a new, larger array and copy all the elements over.

Inserting into a Linked List

Add a new value 17 at index 3:

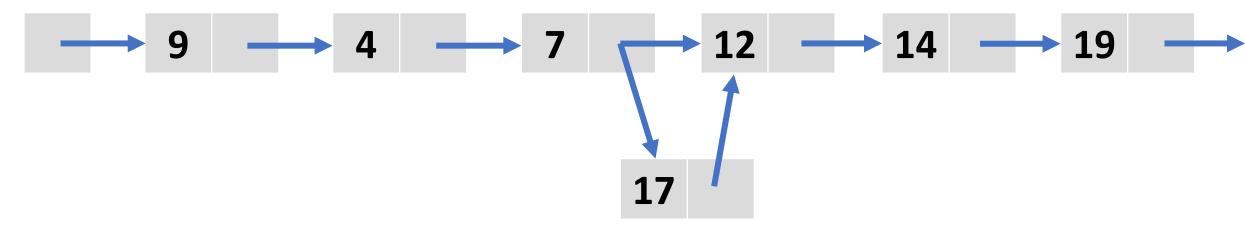
head



Inserting into a Linked List

Add a new value 17 at index 3:

head

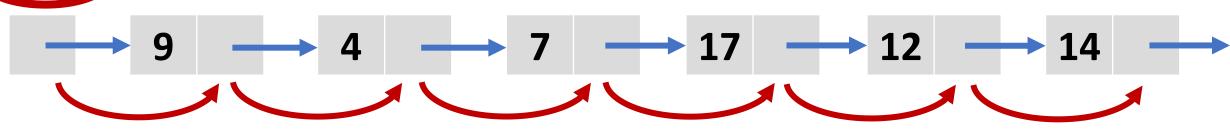


Inserting into a Linked List

We *only* have this!

head

Add/Remove arbitrary element?



- Updating references is O(1).
- Thus, adding (or removing) arbitrary elements is O(1)....? Or is it?
- Random accesses are O(n). To modify Node at index i, we move through i references.

VS Arrays?

Arrays are stored *contiguously*.

i	0	1	2	3	4	5	6	7	8	9	10	11	12
a[i]	9	4	7	12	14	19	0	1	2	8	3	7	7

- Accessing array elements involves constant-time address arithmetic.
- Address of a[i] = address of a[0] + i*sizeof(array[0])

Linked Lists: Advantages

Constant time add/remove...

- ...When we don't consider traversal.
- Operating on the start/end of the list is O(1)

More type flexibility

- Nodes can contain anything, as long as they have a reference to the next node.
- Array elements must be homogenous, or indexing doesn't work

Linked Lists: Disadvantages

Requires more memory

• Each element requires an additional reference.

No random access

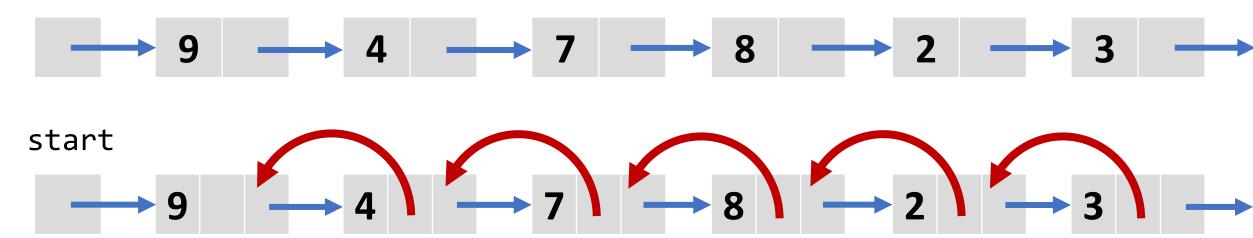
- All accesses start at the beginning (or end).
- We iterate through the list to find arbitrary elements.

As it turns out, there are several ADTs whose operations are defined at their first and/or last elements. Coming up.

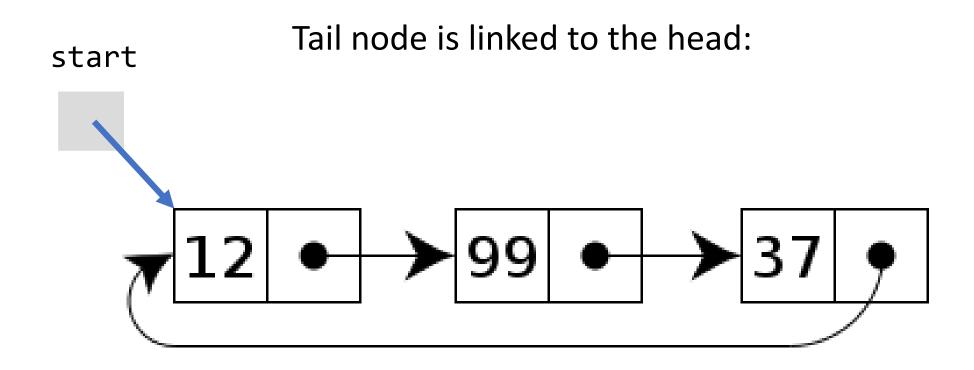
Variant: Doubly Linked List

- The linked lists we've seen so far have been *singly* linked.
- This means we can traverse forward through the list, but not backwards. At least not easily.
- The nodes of a *doubly* linked list contain two references, one to the *next* node and another to the *previous*.

start

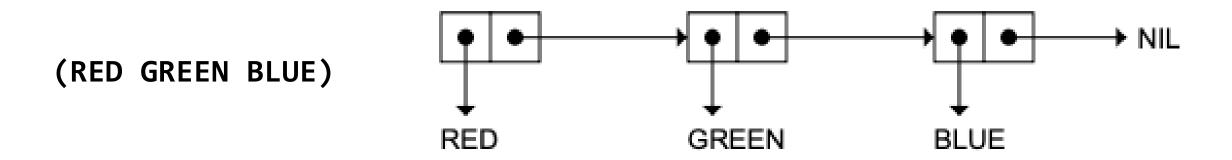


Variant: Circular



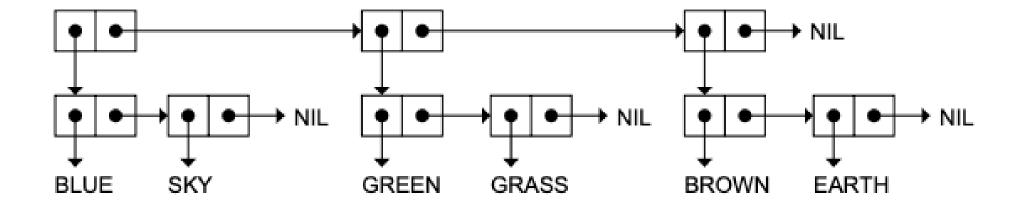
Forms are lists, which means LISP code is built from lists!

- Lists in LISP can contain anything Strings, symbolic atoms, numeric atoms, even other lists.
- Nodes are often called cons cells



Lists in LISP can contain anything – Strings, symbolic atoms, numeric atoms, **even other lists**:

((BLUE SKY) (GREEN GRASS) (BROWN EARTH))



Use the length form to count elements:

```
* (length '(RED GREEN BLUE))
3
* (length '((BLUE SKY) (GREEN GRASS) (BROWN EARTH)))
3
*
Don't forget to quote!
```

• If you don't quote, SBCL will evaluate the list as a form.

Use the length form to count elements:

```
* (length '(RED GREEN BLUE))
3
* (length '((BLUE SKY) (GREEN GRASS) (BROWN EARTH)))
3
*
* (length ())
6
Empty List?
• Use () or NIL
0
```

FIRST, SECOND, THIRD, REST

Lisp's primitive functions for extracting elements from a list:

```
(first '(a b c d)) => a
(second '(a b c d)) => b
(third '(a b c d)) => c
```

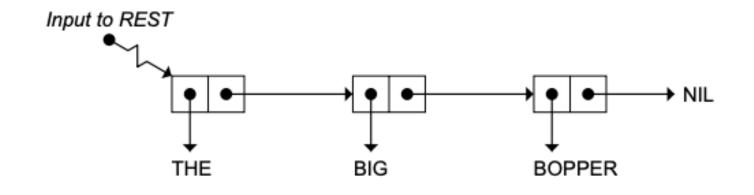
REST returns a list containing everything **but** the first element:

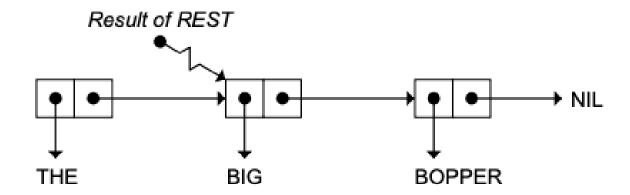
Get the fourth element? Not built in, but we can do it:

```
(defun fourth (a)
  (first (rest (rest a))))
)
```

- When passing a list to a function, only a reference/pointer (to the first cell) is sent.
- We are not sending a copy of the list.

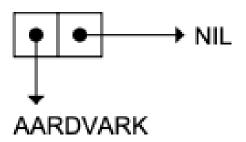
REST also returns a reference:





Each cons cell points to two things:

- 1. The element/value for that cell
- 2. The next cell in the list



- The two halves have obscure names, relics from the early days of computing on an IBM 704
- Left half is called "CAR": Contents of Address portion of Register
- Right half is called "CDR": Contents of Decrement portion of Register
- CAR and CDR are also names of builtin lisp functions

Linked Lists in LISP: CAR and CDR

```
CAR is the same as FIRST: (car '(a b c d)) \Rightarrow a
CDR is the same as REST: (cdr '(a b c d)) \Rightarrow (b c d)
```

Other built-in combinations:

```
(caar '((a b c) (d e f))) => a
(cadr '((a b c) (d e f))) => (d e f)
(cddar '((a b c) (d e f))) => (c)
```

Read **dda** portion right to left:

- a, then d, then d
- First, then rest, then rest.

The CONStructor

CONS creates a cons cell:

- takes two arguments an element, and a list
- Returns a pointer to a new cons cell whose CAR points to the first parameter and whose CDR points to the second

```
* (cons 'sink '(or swim))
(SINK OR SWIM)
* (cons 'sink ())
(SINK)
* (cons '(or swim) ())
((OR SWIM))
* (cons 'bond '(james bond))
(BOND JAMES BOND)
```

The CONStructor: Recursive

```
Value of each
                                               Running list,
               Length of list
                                               initially NIL
                                 element
(defun mymake-list-rec (n element &optional (acc nil))
  (if (= n 0) acc; If n is zero, we're done (return acc)
    (mymake-list-rec (1- n) element (cons element acc))
                         Recursive call:

    n-1 elements remaining to add

                           Running result updated with cons call
```

The CONStructor: Iterative

```
\( \) quicksort.lisp
                   make-list.lisp X
\( \) make-list.lisp > ...
       (defun mymake-list-rec (n element &optional (acc nil))
            (if (= n 0) acc; If n is zero, we're done. Return acc.
                (mymake-list-rec (1- n) element (cons element acc))
        (defun mymake-list-it (n elem)
            (let ((acc nil))
            (dotimes (i n acc)
                (setf acc (cons elem acc)))
 10
 11
 12
 13
```

```
* (load "make-list.lisp")
T
* (mymake-list-rec 3 'a)
(A A A)
* (mymake-list-it 7 'b)
(B B B B B B B)
```

Built-in Constructors

QUOTE, MAKE-LIST, LIST

```
> '("hello" world 111) ; This creates a literal (constant) list.
("hello" WORLD 111) ; Its contents should not be changed.
> (make-list 3)
(NIL NIL NIL)
> (make-list 3 :initial-element 'a)
(A A A)
> (make-list 3 :initial-contents '(a b c))
(A B C)
> (list "hello" 'world 111)
("hello" WORLD 111)
```

Built-in Traversal: DOLIST

```
(DOLIST (var list-form [result-form]) body-form*)
```

First: list-form is evaluated once to produce a list.

Then: The body-form* is evaluated once for each item in

the list with the variable var holding the value of the item.

Lastly: If result-form is provided, it is evaluated, and its

value is returned; otherwise DOLIST returns NIL

Built-in Traversal: DOLIST

```
(dolist (x '(1 2 3)) (print x))
NIL
  (dolist (x '(1 2 3)) (print x) (if (evenp x) (return "HI")))
"HI"
                                      Can exit DOLIST early with
                                      return, just like other loops
```

Linked Lists and Arrays provide a foundation for implementing more complex ADTs

Next class we'll implement our own List type from scratch in LISP

We'll then build other ADTs using lists

In Summary

- Integer sorting, Radix & Counting sort
- Linked lists in LISP, cons cell structure

