

Conditions on Relations

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This chapter contains some material about reflexive, symmetric, transitive, equivalence, and apartness relations.

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10.1 Functional and Total Relations

10.1.1 Functional Relations

Let A and B be sets.

Definition 10.1.1.1. A relation $R: A \rightarrow B$ is **functional** if, for each $a \in A$, the set $R(a)$ is either empty or a singleton.

Proposition 10.1.1.2. Let $R: A \rightarrow B$ be a relation.

1. *Characterisations.* The following conditions are equivalent:

- (a) The relation R is functional.
- (b) We have $R \diamond R^\dagger \subset \chi_B$.

Proof. **Item 1a, Characterisations:** We claim that **Items 1a** and **1b** are indeed equivalent:

- **Item 1a \implies Item 1b:** Let $(b, b') \in B \times B$. We need to show that

$$[R \diamond R^\dagger](b, b') \preceq_{\{t, f\}} \chi_B(b, b'),$$

i.e. that if there exists some $a \in A$ such that $b \sim_{R^\dagger} a$ and $a \sim_R b'$, then $b = b'$. But since $b \sim_{R^\dagger} a$ is the same as $a \sim_R b$, we have both $a \sim_R b$ and $a \sim_R b'$ at the same time, which implies $b = b'$ since R is functional.

- **Item 1b \implies Item 1a:** Suppose that we have $a \sim_R b$ and $a \sim_R b'$ for $b, b' \in B$. We claim that $b = b'$:

- Since $a \sim_R b$, we have $b \sim_{R^\dagger} a$.
- Since $R \diamond R^\dagger \subset \chi_B$, we have

$$[R \diamond R^\dagger](b, b') \preceq_{\{t, f\}} \chi_B(b, b'),$$

and since $b \sim_{R^\dagger} a$ and $a \sim_R b'$, it follows that $[R \diamond R^\dagger](b, b') = \text{true}$, and thus $\chi_B(b, b') = \text{true}$ as well, i.e. $b = b'$.

This finishes the proof. □

10.1.2 Total Relations

Let A and B be sets.

Definition 10.1.2.1.1. A relation $R: A \rightarrow B$ is **total** if, for each $a \in A$, we have $R(a) \neq \emptyset$.

Proposition 10.1.2.1.2. Let $R: A \rightarrow B$ be a relation.

1. *Characterisations.* The following conditions are equivalent:

- (a) The relation R is total.
- (b) We have $\chi_A \subset R^\dagger \diamond R$.

Proof. **Item 1, Characterisations:** We claim that **Items 1a** and **1b** are indeed equivalent:

- **Item 1a** \implies **Item 1b**: We have to show that, for each $(a, a') \in A$, we have

$$\chi_A(a, a') \preceq_{\{t, f\}} [R^\dagger \diamond R](a, a'),$$

i.e. that if $a = a'$, then there exists some $b \in B$ such that $a \sim_R b$ and $b \sim_{R^\dagger} a'$ (i.e. $a \sim_R b$ again), which follows from the totality of R .

- **Item 1b** \implies **Item 1a**: Given $a \in A$, since $\chi_A \subset R^\dagger \diamond R$, we must have

$$\{a\} \subset [R^\dagger \diamond R](a),$$

implying that there must exist some $b \in B$ such that $a \sim_R b$ and $b \sim_{R^\dagger} a$ (i.e. $a \sim_R b$) and thus $R(a) \neq \emptyset$, as $b \in R(a)$.

This finishes the proof. □

10.2 Reflexive Relations

10.2.1 Foundations

Let A be a set.

Definition 10.2.1.1.1. A **reflexive relation** is equivalently:¹

¹Note that since $\mathbf{Rel}(A, A)$ is posetal, reflexivity is a property of a relation, rather than extra structure.

- An \mathbb{E}_0 -monoid in $(\mathbf{N}_\bullet(\mathbf{Rel}(A, A)), \chi_A)$.
- A pointed object in $(\mathbf{Rel}(A, A), \chi_A)$.

Remark 10.2.1.1.2. In detail, a relation R on A is **reflexive** if we have an inclusion

$$\eta_R: \chi_A \subset R$$

of relations in $\mathbf{Rel}(A, A)$, i.e. if, for each $a \in A$, we have $a \sim_R a$.

Definition 10.2.1.1.3. Let A be a set.

1. The **set of reflexive relations on A** is the subset $\mathbf{Rel}^{\text{refl}}(A, A)$ of $\mathbf{Rel}(A, A)$ spanned by the reflexive relations.
2. The **poset of relations on A** is the subposet $\mathbf{Rel}^{\text{refl}}(A, A)$ of $\mathbf{Rel}(A, A)$ spanned by the reflexive relations.

Proposition 10.2.1.1.4. Let R and S be relations on A .

1. *Interaction With Inverses.* If R is reflexive, then so is R^\dagger .
2. *Interaction With Composition.* If R and S are reflexive, then so is $S \diamond R$.

Proof. **Item 1**, *Interaction With Inverses*: Clear.

Item 2, *Interaction With Composition*: Clear. □

10.2.2 The Reflexive Closure of a Relation

Let R be a relation on A .

Definition 10.2.2.1.1. The **reflexive closure** of \sim_R is the relation \sim_R^{refl} ² satisfying the following universal property:³

- (★) Given another reflexive relation \sim_S on A such that $R \subset S$, there exists an inclusion $\sim_R^{\text{refl}} \subset \sim_S$.

Construction 10.2.2.1.2. Concretely, \sim_R^{refl} is the free pointed object on R in $(\mathbf{Rel}(A, A), \chi_A)$ ⁴,

² *Further Notation:* Also written R^{refl} .

³ *Slogan:* The reflexive closure of R is the smallest reflexive relation containing R .

⁴ Or, equivalently, the free \mathbb{E}_0 -monoid on R in $(\mathbf{N}_\bullet(\mathbf{Rel}(A, A)), \chi_A)$.

being given by

$$\begin{aligned} R^{\text{refl}} &\stackrel{\text{def}}{=} R \coprod^{\text{Rel}(A,A)} \Delta_A \\ &= R \cup \Delta_A \\ &= \{(a, b) \in A \times A \mid \text{we have } a \sim_R b \text{ or } a = b\}. \end{aligned}$$

Proof. Clear. □

Proposition 10.2.2.1.3. Let R be a relation on A .

1. *Adjointness.* We have an adjunction

$$\left((-)^{\text{refl}} \dashv \overline{} \right): \mathbf{Rel}(A, A) \begin{array}{c} \xrightarrow{(-)^{\text{refl}}} \\ \perp \\ \xleftarrow{\overline{}} \end{array} \mathbf{Rel}^{\text{refl}}(A, A),$$

witnessed by a bijection of sets

$$\mathbf{Rel}^{\text{refl}}(R^{\text{refl}}, S) \cong \mathbf{Rel}(R, S),$$

natural in $R \in \text{Obj}(\mathbf{Rel}^{\text{refl}}(A, A))$ and $S \in \text{Obj}(\mathbf{Rel}(A, A))$.

2. *The Reflexive Closure of a Reflexive Relation.* If R is reflexive, then $R^{\text{refl}} = R$.
3. *Idempotency.* We have

$$(R^{\text{refl}})^{\text{refl}} = R^{\text{refl}}.$$

4. *Interaction With Inverses.* We have

$$\left(R^\dagger \right)^{\text{refl}} = \left(R^{\text{refl}} \right)^\dagger, \quad \begin{array}{ccc} \mathbf{Rel}(A, A) & \xrightarrow{(-)^{\text{refl}}} & \mathbf{Rel}(A, A) \\ (-)^\dagger \downarrow & & \downarrow (-)^\dagger \\ \mathbf{Rel}(A, A) & \xrightarrow{(-)^{\text{refl}}} & \mathbf{Rel}(A, A). \end{array}$$

5. *Interaction With Composition.* We have

$$(S \diamond R)^{\text{refl}} = S^{\text{refl}} \diamond R^{\text{refl}}, \quad \begin{array}{ccc} \mathbf{Rel}(A, A) \times \mathbf{Rel}(A, A) & \xrightarrow{\diamond} & \mathbf{Rel}(A, A) \\ (-)^{\text{refl}} \times (-)^{\text{refl}} \downarrow & & \downarrow (-)^{\text{refl}} \\ \mathbf{Rel}(A, A) \times \mathbf{Rel}(A, A) & \xrightarrow{\diamond} & \mathbf{Rel}(A, A). \end{array}$$

Proof. **Item 1, Adjointness:** This is a rephrasing of the universal property of the reflexive closure of a relation, stated in **Definition 10.2.2.1.1**.

Item 2, The Reflexive Closure of a Reflexive Relation: Clear.

Item 3, Idempotency: This follows from **Item 2**.

Item 4, Interaction With Inverses: Clear.

Item 5, Interaction With Composition: This follows from **Item 2** of **Definition 10.2.1.1.4**. \square

10.3 Symmetric Relations

10.3.1 Foundations

Let A be a set.

Definition 10.3.1.1.1. A relation R on A is **symmetric** if we have $R^\dagger = R$.

Remark 10.3.1.1.2. In detail, a relation R is symmetric if it satisfies the following condition:

(\star) For each $a, b \in A$, if $a \sim_R b$, then $b \sim_R a$.

Definition 10.3.1.1.3. Let A be a set.

1. The **set of symmetric relations on A** is the subset $\text{Rel}^{\text{symm}}(A, A)$ of $\text{Rel}(A, A)$ spanned by the symmetric relations.
2. The **poset of relations on A** is the subposet $\text{Rel}^{\text{symm}}(A, A)$ of $\text{Rel}(A, A)$ spanned by the symmetric relations.

Proposition 10.3.1.1.4. Let R and S be relations on A .

1. *Interaction With Inverses.* If R is symmetric, then so is R^\dagger .
2. *Interaction With Composition.* If R and S are symmetric, then so is $S \diamond R$.

Proof. **Item 1, Interaction With Inverses:** Clear.

Item 2, Interaction With Composition: Clear. \square

10.3.2 The Symmetric Closure of a Relation

Let R be a relation on A .

Definition 10.3.2.1.1. The **symmetric closure** of \sim_R is the relation \sim_R^{symm} ⁵ satisfying the following universal property:⁶

- (★) Given another symmetric relation \sim_S on A such that $R \subset S$, there exists an inclusion $\sim_R^{\text{symm}} \subset \sim_S$.

Construction 10.3.2.1.2. Concretely, \sim_R^{symm} is the symmetric relation on A defined by

$$\begin{aligned} R^{\text{symm}} &\stackrel{\text{def}}{=} R \cup R^\dagger \\ &= \{(a, b) \in A \times A \mid \text{we have } a \sim_R b \text{ or } b \sim_R a\}. \end{aligned}$$

Proof. Clear. □

Proposition 10.3.2.1.3. Let R be a relation on A .

1. *Adjointness.* We have an adjunction

$$((-)^{\text{symm}} \dashv \overline{}): \mathbf{Rel}(A, A) \begin{matrix} \xrightarrow{(-)^{\text{symm}}} \\ \perp \\ \xleftarrow{\overline{}} \end{matrix} \mathbf{Rel}^{\text{symm}}(A, A),$$

witnessed by a bijection of sets

$$\mathbf{Rel}^{\text{symm}}(R^{\text{symm}}, S) \cong \mathbf{Rel}(R, S),$$

natural in $R \in \text{Obj}(\mathbf{Rel}^{\text{symm}}(A, A))$ and $S \in \text{Obj}(\mathbf{Rel}(A, A))$.

2. *The Symmetric Closure of a Symmetric Relation.* If R is symmetric, then $R^{\text{symm}} = R$.
3. *Idempotency.* We have

$$(R^{\text{symm}})^{\text{symm}} = R^{\text{symm}}.$$

⁵*Further Notation:* Also written R^{symm} .

⁶*Slogan:* The symmetric closure of R is the smallest symmetric relation containing R .

4. *Interaction With Inverses.* We have

$$\begin{array}{ccc} \text{Rel}(A, A) & \xrightarrow{(-)^{\text{symm}}} & \text{Rel}(A, A) \\ (-)^{\dagger} \downarrow & & \downarrow (-)^{\dagger} \\ \text{Rel}(A, A) & \xrightarrow{(-)^{\text{symm}}} & \text{Rel}(A, A). \end{array}$$

$$(R^{\dagger})^{\text{symm}} = (R^{\text{symm}})^{\dagger},$$

5. *Interaction With Composition.* We have

$$\begin{array}{ccc} \text{Rel}(A, A) \times \text{Rel}(A, A) & \xrightarrow{\diamond} & \text{Rel}(A, A) \\ (-)^{\text{symm}} \times (-)^{\text{symm}} \downarrow & & \downarrow (-)^{\text{symm}} \\ \text{Rel}(A, A) \times \text{Rel}(A, A) & \xrightarrow{\diamond} & \text{Rel}(A, A). \end{array}$$

$$(S \diamond R)^{\text{symm}} = S^{\text{symm}} \diamond R^{\text{symm}},$$

Proof. **Item 1, Adjointness:** This is a rephrasing of the universal property of the symmetric closure of a relation, stated in **Definition 10.3.2.1.1**.

Item 2, The Symmetric Closure of a Symmetric Relation: Clear.

Item 3, Idempotency: This follows from **Item 2**.

Item 4, Interaction With Inverses: Clear.

Item 5, Interaction With Composition: This follows from **Item 2** of **Definition 10.3.1.1.4**.

□

10.4 Transitive Relations

10.4.1 Foundations

Let A be a set.

Definition 10.4.1.1.1. A **transitive relation** is equivalently:⁷

- A non-unital \mathbb{E}_1 -monoid in $(\mathbf{N}_{\bullet}(\mathbf{Rel}(A, A)), \diamond)$.
- A non-unital monoid in $(\mathbf{Rel}(A, A), \diamond)$.

⁷Note that since $\mathbf{Rel}(A, A)$ is posetal, transitivity is a property of a relation, rather than extra structure.

Remark 10.4.1.1.2. In detail, a relation R on A is **transitive** if we have an inclusion

$$\mu_R: R \diamond R \subset R$$

of relations in $\mathbf{Rel}(A, A)$, i.e. if, for each $a, c \in A$, the following condition is satisfied:

(★) If there exists some $b \in A$ such that $a \sim_R b$ and $b \sim_R c$, then $a \sim_R c$.

Definition 10.4.1.1.3. Let A be a set.

1. The **set of transitive relations from A to B** is the subset $\mathbf{Rel}^{\text{trans}}(A)$ of $\mathbf{Rel}(A, A)$ spanned by the transitive relations.
2. The **poset of relations from A to B** is the subposet $\mathbf{Rel}^{\text{trans}}(A)$ of $\mathbf{Rel}(A, A)$ spanned by the transitive relations.

Proposition 10.4.1.1.4. Let R and S be relations on A .

1. *Interaction With Inverses.* If R is transitive, then so is R^\dagger .
2. *Interaction With Composition.* If R and S are transitive, then $S \diamond R$ **may fail to be transitive**.

Proof. **Item 1**, *Interaction With Inverses*: Clear.

Item 2, *Interaction With Composition*: See [MSE 2096272].⁸

□

10.4.2 The Transitive Closure of a Relation

Let R be a relation on A .

⁸*Intuition:* Transitivity for R and S fails to imply that of $S \diamond R$ because the composition operation for relations intertwines R and S in an incompatible way:

- If $a \sim_{S \diamond R} c$ and $c \sim_{S \diamond R} e$, then:
 - There is some $b \in A$ such that:
 - * $a \sim_R b$;
 - * $b \sim_S c$;
 - There is some $d \in A$ such that:
 - * $c \sim_R d$;
 - * $d \sim_S e$.

Definition 10.4.2.1.1. The **transitive closure** of \sim_R is the relation \sim_R^{trans} ⁹ satisfying the following universal property:¹⁰

- (★) Given another transitive relation \sim_S on A such that $R \subset S$, there exists an inclusion $\sim_R^{\text{trans}} \subset \sim_S$.

Construction 10.4.2.1.2. Concretely, \sim_R^{trans} is the free non-unital monoid on R in $(\mathbf{Rel}(A, A), \diamond)$ ¹¹, being given by

$$\begin{aligned} R^{\text{trans}} &\stackrel{\text{def}}{=} \prod_{n=1}^{\infty} R^{\diamond n} \\ &\stackrel{\text{def}}{=} \bigcup_{n=1}^{\infty} R^{\diamond n} \\ &\stackrel{\text{def}}{=} \left\{ (a, b) \in A \times B \mid \begin{array}{l} \text{there exists some } (x_1, \dots, x_n) \in R^{\times n} \\ \text{such that } a \sim_R x_1 \sim_R \dots \sim_R x_n \sim_R b \end{array} \right\}. \end{aligned}$$

Proof. Clear. □

Proposition 10.4.2.1.3. Let R be a relation on A .

1. *Adjointness.* We have an adjunction

$$((-)^{\text{trans}} \dashv \overline{}): \mathbf{Rel}(A, A) \begin{array}{c} \xrightarrow{(-)^{\text{trans}}} \\ \perp \\ \xleftarrow{\overline{}} \end{array} \mathbf{Rel}^{\text{trans}}(A, A),$$

witnessed by a bijection of sets

$$\mathbf{Rel}^{\text{trans}}(R^{\text{trans}}, S) \cong \mathbf{Rel}(R, S),$$

natural in $R \in \text{Obj}(\mathbf{Rel}^{\text{trans}}(A, A))$ and $S \in \text{Obj}(\mathbf{Rel}(A, B))$.

2. *The Transitive Closure of a Transitive Relation.* If R is transitive, then $R^{\text{trans}} = R$.
 3. *Idempotency.* We have

$$(R^{\text{trans}})^{\text{trans}} = R^{\text{trans}}.$$

⁹Further Notation: Also written R^{trans} .

¹⁰Slogan: The transitive closure of R is the smallest transitive relation containing R .

¹¹Or, equivalently, the free non-unital \mathbb{E}_1 -monoid on R in $(\mathbf{N}_\bullet(\mathbf{Rel}(A, A)), \diamond)$.

4. *Interaction With Inverses.* We have

$$\begin{array}{ccc} & \text{Rel}(A, A) & \xrightarrow{(-)^{\text{trans}}} \text{Rel}(A, A) \\ & \downarrow (-)^{\dagger} & \downarrow (-)^{\dagger} \\ (R^{\dagger})^{\text{trans}} = (R^{\text{trans}})^{\dagger}, & \text{Rel}(A, A) & \xrightarrow{(-)^{\text{trans}}} \text{Rel}(A, A). \end{array}$$

5. *Interaction With Composition.* We have

$$\begin{array}{ccc} & \text{Rel}(A, A) \times \text{Rel}(A, A) & \xrightarrow{\diamond} \text{Rel}(A, A) \\ (S \diamond R)^{\text{trans}} \stackrel{\text{poss.}}{\neq} S^{\text{trans}} \diamond R^{\text{trans}}, & \downarrow (-)^{\text{trans}} \times (-)^{\text{trans}} & \downarrow (-)^{\text{trans}} \\ & \text{Rel}(A, A) \times \text{Rel}(A, A) & \xrightarrow{\diamond} \text{Rel}(A, A). \end{array}$$

X

Proof. **Item 1, Adjointness:** This is a rephrasing of the universal property of the transitive closure of a relation, stated in **Definition 10.4.2.1.1**.

Item 2, The Transitive Closure of a Transitive Relation: Clear.

Item 3, Idempotency: This follows from **Item 2**.

Item 4, Interaction With Inverses: We have

$$\begin{aligned} (R^{\dagger})^{\text{trans}} &= \bigcup_{n=1}^{\infty} (R^{\dagger})^{\diamond n} \\ &= \bigcup_{n=1}^{\infty} (R^{\diamond n})^{\dagger} \\ &= \left(\bigcup_{n=1}^{\infty} R^{\diamond n} \right)^{\dagger} \\ &= (R^{\text{trans}})^{\dagger}, \end{aligned}$$

where we have used, respectively:

- **Definition 10.4.2.1.2.**
- **Constructions With Relations**, ?? of ??.
- **Constructions With Relations**, ?? of **Definition 9.2.3.1.2.**

· Definition 10.4.2.1.2.

This finishes the proof.

Item 5, Interaction With Composition: This follows from Item 2 of Definition 10.4.1.1.4.

□

10.5 Equivalence Relations

10.5.1 Foundations

Let A be a set.

Definition 10.5.1.1.1. A relation R is an **equivalence relation** if it is reflexive, symmetric, and transitive.¹²

Example 10.5.1.1.2. The **kernel of a function** $f: A \rightarrow B$ is the equivalence relation $\sim_{\text{Ker}(f)}$ on A obtained by declaring $a \sim_{\text{Ker}(f)} b$ iff $f(a) = f(b)$.¹³

Definition 10.5.1.1.3. Let A and B be sets.

1. The **set of equivalence relations from A to B** is the subset $\text{Rel}^{\text{eq}}(A, B)$ of $\text{Rel}(A, B)$ spanned by the equivalence relations.
2. The **poset of relations from A to B** is the subposet $\mathbf{Rel}^{\text{eq}}(A, B)$ of $\mathbf{Rel}(A, B)$ spanned by the equivalence relations.

10.5.2 The Equivalence Closure of a Relation

Let R be a relation on A .

Definition 10.5.2.1.1. The **equivalence closure**¹⁴ of \sim_R is the relation \sim_R^{eq} ¹⁵ satisfying the following universal property:¹⁶

¹²*Further Terminology:* If instead R is just symmetric and transitive, then it is called a **partial equivalence relation**.

¹³The kernel $\text{Ker}(f): A \rightarrowtail A$ of f is the underlying functor of the monad induced by the adjunction $\text{Gr}(f) \dashv f^{-1}: A \rightleftarrows B$ in **Rel** of **Constructions With Relations**, ?? of ??.

¹⁴*Further Terminology:* Also called the **equivalence relation associated to \sim_R** .

¹⁵*Further Notation:* Also written R^{eq} .

¹⁶*Slogan:* The equivalence closure of R is the smallest equivalence relation containing R .

- (★) Given another equivalence relation \sim_S on A such that $R \subset S$, there exists an inclusion $\sim_R^{\text{eq}} \subset \sim_S$.

Construction 10.5.2.1.2. Concretely, \sim_R^{eq} is the equivalence relation on A defined by

$$\begin{aligned} R^{\text{eq}} &\stackrel{\text{def}}{=} ((R^{\text{refl}})^{\text{symm}})^{\text{trans}} \\ &= ((R^{\text{symm}})^{\text{trans}})^{\text{refl}} \\ &= \left\{ (a, b) \in A \times B \mid \begin{array}{l} \text{there exists } (x_1, \dots, x_n) \in R^{\times n} \text{ satisfying at} \\ \text{least one of the following conditions:} \\ \\ 1. \text{ The following conditions are satisfied:} \\ \quad (a) \text{ We have } a \sim_R x_1 \text{ or } x_1 \sim_R a; \\ \quad (b) \text{ We have } x_i \sim_R x_{i+1} \text{ or } x_{i+1} \sim_R x_i \\ \quad \quad \text{for each } 1 \leq i \leq n-1; \\ \quad (c) \text{ We have } b \sim_R x_n \text{ or } x_n \sim_R b; \\ \\ 2. \text{ We have } a = b. \end{array} \right\}. \end{aligned}$$

Proof. From the universal properties of the reflexive, symmetric, and transitive closures of a relation ([Definitions 10.2.2.1.1](#), [10.3.2.1.1](#) and [10.4.2.1.1](#)), we see that it suffices to prove that:

1. The symmetric closure of a reflexive relation is still reflexive.
2. The transitive closure of a symmetric relation is still symmetric.

which are both clear. □

Proposition 10.5.2.1.3. Let R be a relation on A .

1. *Adjointness.* We have an adjunction

$$((-)^{\text{eq}} \dashv \overset{\text{eq}}{\text{Rel}}): \quad \mathbf{Rel}(A, B) \overset{(-)^{\text{eq}}}{\underset{\overset{\text{eq}}{\text{Rel}}}{\rightleftarrows}} \mathbf{Rel}^{\text{eq}}(A, B),$$

witnessed by a bijection of sets

$$\mathbf{Rel}^{\text{eq}}(R^{\text{eq}}, S) \cong \mathbf{Rel}(R, S),$$

natural in $R \in \text{Obj}(\mathbf{Rel}^{\text{eq}}(A, B))$ and $S \in \text{Obj}(\mathbf{Rel}(A, B))$.

2. *The Equivalence Closure of an Equivalence Relation.* If R is an equivalence relation, then $R^{\text{eq}} = R$.
3. *Idempotency.* We have

$$(R^{\text{eq}})^{\text{eq}} = R^{\text{eq}}.$$

Proof. **Item 1, Adjointness:** This is a rephrasing of the universal property of the equivalence closure of a relation, stated in **Definition 10.5.2.1.1**.

Item 2, The Equivalence Closure of an Equivalence Relation: Clear.

Item 3, Idempotency: This follows from **Item 2**. □

10.6 Quotients by Equivalence Relations

10.6.1 Equivalence Classes

Let A be a set, let R be a relation on A , and let $a \in A$.

Definition 10.6.1.1.1. The **equivalence class associated to a** is the set $[a]$ defined by

$$\begin{aligned} [a] &\stackrel{\text{def}}{=} \{x \in X \mid x \sim_R a\} \\ &= \{x \in X \mid a \sim_R x\}. \end{aligned} \quad (\text{since } R \text{ is symmetric})$$

10.6.2 Quotients of Sets by Equivalence Relations

Let A be a set and let R be a relation on A .

Definition 10.6.2.1.1. The **quotient of X by R** is the set X/\sim_R defined by

$$X/\sim_R \stackrel{\text{def}}{=} \{[a] \in \mathcal{P}(X) \mid a \in X\}.$$

Remark 10.6.2.1.2. The reason we define quotient sets for equivalence relations only is that each of the properties of being an equivalence relation— reflexivity, symmetry, and transitivity— ensures that the equivalence classes $[a]$ of X under R are well-behaved:

- *Reflexivity.* If R is reflexive, then, for each $a \in X$, we have $a \in [a]$.

- *Symmetry.* The equivalence class $[a]$ of an element a of X is defined by

$$[a] \stackrel{\text{def}}{=} \{x \in X \mid x \sim_R a\},$$

but we could equally well define

$$[a]' \stackrel{\text{def}}{=} \{x \in X \mid a \sim_R x\}$$

instead. This is not a problem when R is symmetric, as we then have $[a] = [a]'$.¹⁷

- *Transitivity.* If R is transitive, then $[a]$ and $[b]$ are disjoint iff $a \not\sim_R b$, and equal otherwise.

Proposition 10.6.2.1.3. Let $f: X \rightarrow Y$ be a function and let R be a relation on X .

1. *As a Coequaliser.* We have an isomorphism of sets

$$X/\sim_R^{\text{eq}} \cong \text{CoEq}(R \hookrightarrow X \times X \xrightarrow[\text{pr}_2]{\text{pr}_1} X),$$

where \sim_R^{eq} is the equivalence relation generated by \sim_R .

2. *As a Pushout.* We have an isomorphism of sets¹⁸

$$X/\sim_R^{\text{eq}} \cong X \amalg_{\text{Eq}(\text{pr}_1, \text{pr}_2)} X, \quad \begin{array}{ccc} X/\sim_R^{\text{eq}} & \longleftarrow & X \\ \uparrow \ulcorner & & \uparrow \\ X & \longleftarrow & \text{Eq}(\text{pr}_1, \text{pr}_2) \end{array}$$

where \sim_R^{eq} is the equivalence relation generated by \sim_R .

¹⁷When categorifying equivalence relations, one finds that $[a]$ and $[a]'$ correspond to presheaves and copresheaves; see *Constructions With Categories*, ??.

¹⁸Dually, we also have an isomorphism of sets

$$\text{Eq}(\text{pr}_1, \text{pr}_2) \cong X \times_{X/\sim_R^{\text{eq}}} X, \quad \begin{array}{ccc} \text{Eq}(\text{pr}_1, \text{pr}_2) & \longrightarrow & X \\ \downarrow \lrcorner & & \downarrow \\ X & \longrightarrow & X/\sim_R^{\text{eq}} \end{array}$$

3. *The First Isomorphism Theorem for Sets.* We have an isomorphism of sets^{19,20}

$$X/\sim_{\text{Ker}(f)} \cong \text{Im}(f).$$

4. *Descending Functions to Quotient Sets, I.* Let R be an equivalence relation on X . The following conditions are equivalent:

- (a) There exists a map

$$\bar{f}: X/\sim_R \rightarrow Y$$

making the diagram

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ q \downarrow & \exists \nearrow \bar{f} & \\ X/\sim_R & & \end{array}$$

commute.

- (b) We have $R \subset \text{Ker}(f)$.
 (c) For each $x, y \in X$, if $x \sim_R y$, then $f(x) = f(y)$.

5. *Descending Functions to Quotient Sets, II.* Let R be an equivalence relation on

¹⁹*Further Terminology:* The set $X/\sim_{\text{Ker}(f)}$ is often called the **coimage** of f , and denoted by $\text{Colm}(f)$.

²⁰In a sense this is a result relating the monad in **Rel** induced by f with the comonad in **Rel** induced by f , as the kernel and image

$$\begin{aligned} \text{Ker}(f): X &\rightarrowtail X, \\ \text{Im}(f) &\subset Y \end{aligned}$$

of f are the underlying functors of (respectively) the induced monad and comonad of the adjunction

$$(\text{Gr}(f) \dashv f^{-1}): \begin{array}{ccc} & \text{Gr}(f) & \\ \uparrow & \text{---} & \downarrow \\ A & \xrightarrow{\quad} & B \\ \downarrow & \text{---} & \uparrow \\ & f^{-1} & \end{array}$$

of **Constructions With Relations**, ?? of ??.

X. If the conditions of **Item 4** hold, then \bar{f} is the *unique* map making the diagram

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ \downarrow q & \nearrow \exists! \bar{f} & \\ X/\sim_R & & \end{array}$$

commute.

6. *Descending Functions to Quotient Sets, III.* Let R be an equivalence relation on X . We have a bijection

$$\text{Hom}_{\text{Sets}}(X/\sim_R, Y) \cong \text{Hom}_{\text{Sets}}^R(X, Y),$$

natural in $X, Y \in \text{Obj}(\text{Sets})$, given by the assignment $f \mapsto \bar{f}$ of **Items 4** and **5**, where $\text{Hom}_{\text{Sets}}^R(X, Y)$ is the set defined by

$$\text{Hom}_{\text{Sets}}^R(X, Y) \stackrel{\text{def}}{=} \left\{ f \in \text{Hom}_{\text{Sets}}(X, Y) \left| \begin{array}{l} \text{for each } x, y \in X, \\ \text{if } x \sim_R y, \text{ then} \\ f(x) = f(y) \end{array} \right. \right\}.$$

7. *Descending Functions to Quotient Sets, IV.* Let R be an equivalence relation on X . If the conditions of **Item 4** hold, then the following conditions are equivalent:
- (a) The map \bar{f} is an injection.
 - (b) We have $R = \text{Ker}(f)$.
 - (c) For each $x, y \in X$, we have $x \sim_R y$ iff $f(x) = f(y)$.
8. *Descending Functions to Quotient Sets, V.* Let R be an equivalence relation on X . If the conditions of **Item 4** hold, then the following conditions are equivalent:
- (a) The map $f: X \rightarrow Y$ is surjective.
 - (b) The map $\bar{f}: X/\sim_R \rightarrow Y$ is surjective.
9. *Descending Functions to Quotient Sets, VI.* Let R be a relation on X and let \sim_R^{eq} be the equivalence relation associated to R . The following conditions are equivalent:
- (a) The map f satisfies the equivalent conditions of **Item 4**:

- There exists a map

$$\bar{f}: X/\sim_R^{\text{eq}} \rightarrow Y$$

making the diagram

$$\begin{array}{ccc} X & \xrightarrow{f} & Y \\ \downarrow q & \searrow \bar{f} & \nearrow \exists \\ X/\sim_R^{\text{eq}} & & \end{array}$$

commute.

- For each $x, y \in X$, if $x \sim_R^{\text{eq}} y$, then $f(x) = f(y)$.
- (b) For each $x, y \in X$, if $x \sim_R y$, then $f(x) = f(y)$.

Proof. **Item 1**, As a Coequaliser: Omitted.

Item 2, As a Pushout: Omitted.

Item 3, The First Isomorphism Theorem for Sets: Clear.

Item 4, Descending Functions to Quotient Sets, I: See [Pro25c].

Item 5, Descending Functions to Quotient Sets, II: See [Pro25d].

Item 6, Descending Functions to Quotient Sets, III: This follows from **Items 5 and 6**.

Item 7, Descending Functions to Quotient Sets, IV: See [Pro25b].

Item 8, Descending Functions to Quotient Sets, V: See [Pro25a].

Item 9, Descending Functions to Quotient Sets, VI: The implication **Item 8a** \implies **Item 8b** is clear.

Conversely, suppose that, for each $x, y \in X$, if $x \sim_R y$, then $f(x) = f(y)$. Spelling out the definition of the equivalence closure of R , we see that the condition $x \sim_R^{\text{eq}} y$ unwinds to the following:

- (★) There exist $(x_1, \dots, x_n) \in R^{\times n}$ satisfying at least one of the following conditions:
- The following conditions are satisfied:
 - * We have $x \sim_R x_1$ or $x_1 \sim_R x$;
 - * We have $x_i \sim_R x_{i+1}$ or $x_{i+1} \sim_R x_i$ for each $1 \leq i \leq n-1$;
 - * We have $y \sim_R x_n$ or $x_n \sim_R y$;
 - We have $x = y$.

Now, if $x = y$, then $f(x) = f(y)$ trivially; otherwise, we have

$$\begin{aligned} f(x) &= f(x_1), \\ f(x_1) &= f(x_2), \\ &\vdots \\ f(x_{n-1}) &= f(x_n), \\ f(x_n) &= f(y), \end{aligned}$$

and $f(x) = f(y)$, as we wanted to show. \square

Appendices

A Other Chapters

Preliminaries

1. Introduction
2. A Guide to the Literature

Sets

3. Sets
4. Constructions With Sets
5. Monoidal Structures on the Category of Sets
6. Pointed Sets
7. Tensor Products of Pointed Sets

Relations

8. Relations
9. Constructions With Relations

10. Conditions on Relations

Categories

11. Categories
12. Presheaves and the Yoneda Lemma

Monoidal Categories

13. Constructions With Monoidal Categories

Bicategories

14. Types of Morphisms in Bicategories

Extra Part

15. Notes

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