# Conditions on Relations

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This chapter contains some material about reflexive, symmetric, transitive, equivalence, and apartness relations.

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# 10.1 Functional and Total Relations

# 10.1.1 Functional Relations

Let A and B be sets.

#### **DEFINITION 10.1.1.1.1** ► FUNCTIONAL RELATIONS

A relation  $R: A \rightarrow B$  is **functional** if, for each  $a \in A$ , the set R(a) is either empty or a singleton.

#### PROPOSITION 10.1.1.1.2 ► PROPERTIES OF FUNCTIONAL RELATIONS

Let  $R: A \rightarrow B$  be a relation.

- 1. *Characterisations*. The following conditions are equivalent:
  - (a) The relation *R* is functional.
  - (b) We have  $R \diamond R^{\dagger} \subset \chi_B$ .

#### PROOF 10.1.1.1.3 ► PROOF OF PROPOSITION 10.1.1.1.2

#### Item 1: Characterisations

We claim that Items 1a and 1b are indeed equivalent:

• *Item 1a*  $\Longrightarrow$  *Item 1b*: Let  $(b,b') \in B \times B$ . We need to show that

$$[R \diamond R^{\dagger}](b,b') \preceq_{\{\mathsf{t},\mathsf{f}\}} \chi_B(b,b'),$$

i.e. that if there exists some  $a \in A$  such that  $b \sim_{R^{\dagger}} a$  and  $a \sim_{R} b'$ , then b = b'. But since  $b \sim_{R^{\dagger}} a$  is the same as  $a \sim_{R} b$ , we have both

 $a \sim_R b$  and  $a \sim_R b'$  at the same time, which implies b = b' since R is functional.

- *Item 1b*  $\Longrightarrow$  *Item 1a*: Suppose that we have  $a \sim_R b$  and  $a \sim_R b'$  for  $b, b' \in B$ . We claim that b = b':
  - Since  $a \sim_R b$ , we have  $b \sim_{R^{\dagger}} a$ .
  - − Since  $R \diamond R^{\dagger} \subset \chi_B$ , we have

$$[R \diamond R^{\dagger}](b,b') \preceq_{\{\mathsf{t},\mathsf{f}\}} \chi_B(b,b'),$$

and since  $b \sim_{R^{\dagger}} a$  and  $a \sim_R b'$ , it follows that  $[R \diamond R^{\dagger}](b, b') =$  true, and thus  $\chi_B(b, b') =$  true as well, i.e. b = b'.

This finishes the proof.

## 10.1.2 Total Relations

Let A and B be sets.

#### **DEFINITION 10.1.2.1.1** ► TOTAL RELATIONS

A relation  $R: A \rightarrow B$  is **total** if, for each  $a \in A$ , we have  $R(a) \neq \emptyset$ .

#### PROPOSITION 10.1.2.1.2 ► PROPERTIES OF TOTAL RELATIONS

Let  $R: A \rightarrow B$  be a relation.

- 1. *Characterisations*. The following conditions are equivalent:
  - (a) The relation R is total.
  - (b) We have  $\chi_A \subset R^{\dagger} \diamond R$ .

#### PROOF 10.1.2.1.3 ► PROOF OF PROPOSITION 10.1.2.1.2

#### Item 1: Characterisations

We claim that Items 1a and 1b are indeed equivalent:

• *Item 1a*  $\Longrightarrow$  *Item 1b*: We have to show that, for each  $(a, a') \in A$ , we have

$$\chi_A(a,a') \preceq_{\{\mathsf{t},\mathsf{f}\}} [R^{\dagger} \diamond R](a,a'),$$

i.e. that if a=a', then there exists some  $b\in B$  such that  $a\sim_R b$  and  $b\sim_{R^\dagger} a'$  (i.e.  $a\sim_R b$  again), which follows from the totality of R.

• *Item 1b*  $\Longrightarrow$  *Item 1a*: Given  $a \in A$ , since  $\chi_A \subset R^{\dagger} \diamond R$ , we must have

$${a}\subset [R^{\dagger}\diamond R](a),$$

implying that there must exist some  $b \in B$  such that  $a \sim_R b$  and  $b \sim_{R^{\dagger}} a$  (i.e.  $a \sim_R b$ ) and thus  $R(a) \neq \emptyset$ , as  $b \in R(a)$ .

This finishes the proof.



# 10.2 Reflexive Relations

#### 10.2.1 Foundations

Let *A* be a set.

#### **DEFINITION 10.2.1.1.1** ► REFLEXIVE RELATIONS

A reflexive relation is equivalently:1

- An  $\mathbb{E}_0$ -monoid in  $(N_{\bullet}(\mathbf{Rel}(A, A)), \gamma_A)$ .
- A pointed object in ( $Rel(A, A), \chi_A$ ).

 $<sup>^{\</sup>scriptscriptstyle 1}$ Note that since  $\operatorname{Rel}(A,A)$  is posetal, reflexivity is a property of a relation, rather than extra structure.

#### REMARK 10.2.1.1.2 ► Unwinding Definition 10.2.1.1.1

In detail, a relation *R* on *A* is **reflexive** if we have an inclusion

$$\eta_R \colon \chi_A \subset R$$

of relations in Rel(A, A), i.e. if, for each  $a \in A$ , we have  $a \sim_R a$ .

#### **DEFINITION 10.2.1.1.3** ► THE PO/SET OF REFLEXIVE RELATIONS ON A SET

Let *A* be a set.

- 1. The **set of reflexive relations on** A is the subset  $Rel^{refl}(A, A)$  of Rel(A, A) spanned by the reflexive relations.
- 2. The **poset of relations on** A is is the subposet  $Rel^{refl}(A, A)$  of Rel(A, A) spanned by the reflexive relations.

#### PROPOSITION 10.2.1.1.4 ► PROPERTIES OF REFLEXIVE RELATIONS

Let R and S be relations on A.

- 1. *Interaction With Inverses.* If R is reflexive, then so is  $R^{\dagger}$ .
- 2. *Interaction With Composition*. If R and S are reflexive, then so is  $S \diamond R$ .

# PROOF 10.2.1.1.5 ➤ PROOF OF PROPOSITION 10.2.1.1.4 Item 1: Interaction With Inverses Clear. Item 2: Interaction With Composition Clear.

#### 10.2.2 The Reflexive Closure of a Relation

Let R be a relation on A.

#### **DEFINITION 10.2.2.1.1** ► THE REFLEXIVE CLOSURE OF A RELATION

The **reflexive closure** of  $\sim_R$  is the relation  $\sim_R^{\text{refl}_1}$  satisfying the following universal property:<sup>2</sup>

(\*) Given another reflexive relation  $\sim_S$  on A such that  $R \subset S$ , there exists an inclusion  $\sim_R^{\text{refl}} \subset \sim_S$ .

#### CONSTRUCTION 10.2.2.1.2 ► THE REFLEXIVE CLOSURE OF A RELATION

Concretely,  $\sim_R^{\text{refl}}$  is the free pointed object on R in  $(\text{Rel}(A,A),\chi_A)^{\text{1}}$ , being given by

$$R^{\mathrm{refl}} \stackrel{\text{def}}{=} R \coprod^{\mathrm{Rel}(A,A)} \Delta_A$$
  
=  $R \cup \Delta_A$   
=  $\{(a,b) \in A \times A \mid \text{we have } a \sim_R b \text{ or } a = b\}.$ 

#### PROOF 10.2.2.1.3 ► PROOF OF CONSTRUCTION 10.2.2.1.2

Clear.



#### PROPOSITION 10.2.2.1.4 ➤ PROPERTIES OF THE REFLEXIVE CLOSURE OF A RELATION

Let R be a relation on A.

1. Adjointness. We have an adjunction

$$\left((-)^{\text{refl}} \dashv \stackrel{\leftarrow}{\kappa}\right): \quad \text{Rel}(A, A) \underbrace{\stackrel{(-)^{\text{refl}}}{}_{\stackrel{\leftarrow}{\kappa}}} \text{Rel}^{\text{refl}}(A, A),$$

witnessed by a bijection of sets

$$\mathbf{Rel}^{\mathrm{refl}}(R^{\mathrm{refl}}, S) \cong \mathbf{Rel}(R, S),$$

natural in  $R \in \text{Obj}(\mathbf{Rel}^{\mathsf{refl}}(A, A))$  and  $S \in \text{Obj}(\mathbf{Rel}(A, A))$ .

<sup>&</sup>lt;sup>1</sup>Further Notation: Also written  $R^{\text{refl}}$ .

<sup>&</sup>lt;sup>2</sup>Slogan: The reflexive closure of *R* is the smallest reflexive relation containing *R*.

<sup>&</sup>lt;sup>1</sup>Or, equivalently, the free  $\mathbb{E}_0$ -monoid on R in  $(N_{\bullet}(\mathbf{Rel}(A, A)), \chi_A)$ .

- 2. The Reflexive Closure of a Reflexive Relation. If R is reflexive, then  $R^{\text{refl}} = R$ .
- 3. Idempotency. We have

$$(R^{\text{refl}})^{\text{refl}} = R^{\text{refl}}.$$

4. Interaction With Inverses. We have

5. Interaction With Composition. We have

$$(S \diamond R)^{\text{refl}} = S^{\text{refl}} \diamond R^{\text{refl}}, \qquad \underset{(-)^{\text{refl}} \times (-)^{\text{refl}}}{\text{Rel}(A, A)} \times \text{Rel}(A, A) \xrightarrow{\diamond} \text{Rel}(A, A)$$

$$Rel(A, A) \times \text{Rel}(A, A) \xrightarrow{\diamond} \text{Rel}(A, A).$$

#### PROOF 10.2.2.1.5 ► PROOF OF PROPOSITION 10.2.2.1.4

#### Item 1: Adjointness

This is a rephrasing of the universal property of the reflexive closure of a relation, stated in Definition 10.2.2.1.1.

#### Item 2: The Reflexive Closure of a Reflexive Relation

Clear.

#### Item 3: Idempotency

This follows from Item 2.

Item 4: Interaction With Inverses

Clear.

Item 5: Interaction With Composition

This follows from Item 2 of Proposition 10.2.1.1.4.

# 10.3 Symmetric Relations

# 10.3.1 Foundations

Let *A* be a set.

## DEFINITION 10.3.1.1.1 ► SYMMETRIC RELATIONS

A relation *R* on *A* is **symmetric** if we have  $R^{\dagger} = R$ .

#### REMARK 10.3.1.1.2 ► Unwinding Definition 10.3.1.1.1

In detail, a relation *R* is symmetric if it satisfies the following condition:

 $(\star)$  For each  $a, b \in A$ , if  $a \sim_R b$ , then  $b \sim_R a$ .

#### **DEFINITION 10.3.1.1.3** ► THE PO/SET OF SYMMETRIC RELATIONS ON A SET

Let *A* be a set.

- 1. The **set of symmetric relations on** A is the subset  $Rel^{symm}(A, A)$  of Rel(A, A) spanned by the symmetric relations.
- 2. The **poset of relations on** A is is the subposet  $Rel^{symm}(A, A)$  of Rel(A, A) spanned by the symmetric relations.

### PROPOSITION 10.3.1.1.4 ► PROPERTIES OF SYMMETRIC RELATIONS

Let R and S be relations on A.

1. *Interaction With Inverses.* If R is symmetric, then so is  $R^{\dagger}$ .

2. *Interaction With Composition*. If R and S are symmetric, then so is  $S \diamond R$ .

# PROOF 10.3.1.1.5 ➤ PROOF OF PROPOSITION 10.3.1.1.4 Item 1: Interaction With Inverses Clear. Item 2: Interaction With Composition Clear.

# 10.3.2 The Symmetric Closure of a Relation

Let R be a relation on A.

#### **DEFINITION 10.3.2.1.1** ► THE SYMMETRIC CLOSURE OF A RELATION

The **symmetric closure** of  $\sim_R$  is the relation  $\sim_R^{\text{symm_1}}$  satisfying the following universal property:<sup>2</sup>

(\*) Given another symmetric relation  $\sim_S$  on A such that  $R \subset S$ , there exists an inclusion  $\sim_R^{\mathrm{symm}} \subset \sim_S$ .

#### CONSTRUCTION 10.3.2.1.2 ► THE SYMMETRIC CLOSURE OF A RELATION

Concretely,  $\sim_R^{\mathrm{symm}}$  is the symmetric relation on A defined by

$$R^{\text{symm}} \stackrel{\text{def}}{=} R \cup R^{\dagger}$$
  
=  $\{(a, b) \in A \times A \mid \text{we have } a \sim_R b \text{ or } b \sim_R a\}.$ 

#### PROOF 10.3.2.1.3 ► PROOF OF CONSTRUCTION 10.3.2.1.2

Clear.

<sup>&</sup>lt;sup>1</sup>Further Notation: Also written R<sup>symm</sup>.

 $<sup>^2</sup>Slogan$ : The symmetric closure of R is the smallest symmetric relation containing R.

#### PROPOSITION 10.3.2.1.4 ► PROPERTIES OF THE SYMMETRIC CLOSURE OF A RELATION

Let R be a relation on A.

1. Adjointness. We have an adjunction

$$\left((-)^{\operatorname{symm}}\dashv \overline{\succsim}\right)\colon \quad \operatorname{Rel}(A,A) \underbrace{\overset{(-)^{\operatorname{symm}}}{\bot}}_{\overline{\succsim}} \operatorname{Rel}^{\operatorname{symm}}(A,A),$$

witnessed by a bijection of sets

$$Rel^{symm}(R^{symm}, S) \cong Rel(R, S),$$

natural in  $R \in \text{Obj}(\mathbf{Rel}^{\mathsf{symm}}(A, A))$  and  $S \in \text{Obj}(\mathbf{Rel}(A, A))$ .

- 2. The Symmetric Closure of a Symmetric Relation. If R is symmetric, then  $R^{\text{symm}} = R$ .
- 3. Idempotency. We have

$$(R^{\text{symm}})^{\text{symm}} = R^{\text{symm}}$$
.

4. Interaction With Inverses. We have

$$\left(R^{\dagger}\right)^{\text{symm}} = \left(R^{\text{symm}}\right)^{\dagger}, \qquad \stackrel{(-)^{\text{symm}}}{\underset{(-)^{\dagger}}{\bigvee}} \operatorname{Rel}(A, A) \xrightarrow{(-)^{\text{symm}}} \operatorname{Rel}(A, A)$$

$$\operatorname{Rel}(A, A) \xrightarrow{(-)^{\text{symm}}} \operatorname{Rel}(A, A).$$

5. Interaction With Composition. We have

#### Item 1: Adjointness

This is a rephrasing of the universal property of the symmetric closure of a relation, stated in Definition 10.3,2.1.1.

Item 2: The Symmetric Closure of a Symmetric Relation

Clear.

Item 3: Idempotency

This follows from Item 2.

Item 4: Interaction With Inverses

Clear.

Item 5: Interaction With Composition

This follows from Item 2 of Proposition 10.3.1.1.4.

# 10.4 Transitive Relations

# 10.4.1 Foundations

Let *A* be a set.

#### **DEFINITION 10.4.1.1.1** ► Transitive Relations

A transitive relation is equivalently:1

- A non-unital  $\mathbb{E}_1$ -monoid in  $(N_{\bullet}(\text{Rel}(A, A)), \diamond)$ .
- A non-unital monoid in  $(\text{Rel}(A, A), \diamond)$ .

#### REMARK 10.4.1.1.2 ► Unwinding Definition 10.4.1.1.1

In detail, a relation *R* on *A* is **transitive** if we have an inclusion

$$\mu_R : R \diamond R \subset R$$

of relations in Rel(A, A), i.e. if, for each  $a, c \in A$ , the following condition is satisfied:

 $<sup>^{\</sup>scriptscriptstyle 1}$ Note that since  $\operatorname{Rel}(A,A)$  is posetal, transitivity is a property of a relation, rather than extra structure.

(\*) If there exists some  $b \in A$  such that  $a \sim_R b$  and  $b \sim_R c$ , then  $a \sim_R c$ .

#### **DEFINITION 10.4.1.1.3** ► THE PO/SET OF TRANSITIVE RELATIONS ON A SET

Let *A* be a set.

- 1. The **set of transitive relations from** A **to** B is the subset  $Rel^{trans}(A)$  of Rel(A, A) spanned by the transitive relations.
- 2. The **poset of relations from** A **to** B is is the subposet  $Rel^{trans}(A)$  of Rel(A, A) spanned by the transitive relations.

#### PROPOSITION 10.4.1.1.4 ► PROPERTIES OF TRANSITIVE RELATIONS

Let *R* and *S* be relations on *A*.

- 1. *Interaction With Inverses.* If R is transitive, then so is  $R^{\dagger}$ .
- 2. *Interaction With Composition*. If R and S are transitive, then  $S \diamond R$  may fail to be transitive.

#### PROOF 10.4.1.1.5 ► PROOF OF PROPOSITION 10.4.1.1.4

Item 1: Interaction With Inverses

Clear.

Item 2: Interaction With Composition

See [MSE 2096272].1

<sup>1</sup>*Intuition:* Transitivity for R and S fails to imply that of  $S \diamond R$  because the composition operation for relations intertwines R and S in an incompatible way:

- If  $a \sim_{S \diamond R} c$  and  $c \sim_{S \diamond r} e$ , then:
  - There is some b ∈ A such that:
    - \*  $a \sim_R b$ ;
    - \*  $b \sim_S c$ ;

− There is some  $d \in A$  such that:

- \*  $c \sim_R d$ ;
- \*  $d \sim_S e$ .

# 10.4.2 The Transitive Closure of a Relation

Let R be a relation on A.

#### DEFINITION 10.4.2.1.1 ► THE TRANSITIVE CLOSURE OF A RELATION

The **transitive closure** of  $\sim_R$  is the relation  $\sim_R^{\text{trans1}}$  satisfying the following universal property:<sup>2</sup>

(\*) Given another transitive relation  $\sim_S$  on A such that  $R \subset S$ , there exists an inclusion  $\sim_R^{\text{trans}} \subset \sim_S$ .

#### CONSTRUCTION 10.4.2.1.2 ► THE TRANSITIVE CLOSURE OF A RELATION

Concretely,  $\sim_R^{\text{trans}}$  is the free non-unital monoid on R in  $(\text{Rel}(A, A), \diamond)^1$ , being given by

$$R^{\text{trans}} \stackrel{\text{def}}{=} \prod_{n=1}^{\infty} R^{\circ n}$$

$$\stackrel{\text{def}}{=} \bigcup_{n=1}^{\infty} R^{\circ n}$$

$$\stackrel{\text{def}}{=} \left\{ (a,b) \in A \times B \middle| \begin{array}{l} \text{there exists some } (x_1,\ldots,x_n) \in R^{\times n} \\ \text{such that } a \sim_R x_1 \sim_R \cdots \sim_R x_n \sim_R b \end{array} \right\}.$$

<sup>&</sup>lt;sup>1</sup>Further Notation: Also written R<sup>trans</sup>.

<sup>&</sup>lt;sup>2</sup>Slogan: The transitive closure of R is the smallest transitive relation containing R.

<sup>&</sup>lt;sup>1</sup>Or, equivalently, the free non-unital  $\mathbb{E}_1$ -monoid on R in  $(N_{\bullet}(Rel(A, A)), \diamond)$ .

#### PROOF 10.4.2.1.3 ► PROOF OF CONSTRUCTION 10.4.2.1.2

Clear.

#### PROPOSITION 10.4.2.1.4 ► PROPERTIES OF THE TRANSITIVE CLOSURE OF A RELATION

Let R be a relation on A.

1. Adjointness. We have an adjunction

$$((-)^{\text{trans}} \dashv \stackrel{\leftarrow}{\sim}): \operatorname{Rel}(A, A) \xrightarrow{\stackrel{(-)^{\text{trans}}}{\sim}} \operatorname{Rel}^{\text{trans}}(A, A),$$

witnessed by a bijection of sets

$$\mathbf{Rel}^{\mathsf{trans}}(R^{\mathsf{trans}}, S) \cong \mathbf{Rel}(R, S),$$

natural in  $R \in \text{Obj}(\mathbf{Rel}^{\mathsf{trans}}(A, A))$  and  $S \in \text{Obj}(\mathbf{Rel}(A, B))$ .

- 2. The Transitive Closure of a Transitive Relation. If R is transitive, then  $R^{\text{trans}} = R$ .
- 3. Idempotency. We have

$$(R^{\text{trans}})^{\text{trans}} = R^{\text{trans}}$$
.

4. Interaction With Inverses. We have

$$(R^{\dagger})^{\text{trans}} = (R^{\text{trans}})^{\dagger}, \qquad (-)^{\dagger} \downarrow \qquad \qquad \downarrow^{(-)^{\dagger}}$$

$$Rel(A, A) \xrightarrow{(-)^{\text{trans}}} Rel(A, A) \xrightarrow{(-)^{\text{trans}}} Rel(A, A).$$

5. Interaction With Composition. We have

$$(S \diamond R)^{\operatorname{trans}} \overset{\operatorname{poss.}}{\neq} S^{\operatorname{trans}} \diamond R^{\operatorname{trans}}, \qquad (-)^{\operatorname{trans}} \times (-)^{\operatorname{trans}} \bigvee \qquad \bigvee_{(-)^{\operatorname{trans}}} (-)^{\operatorname{trans}} \bigvee \qquad \bigvee_{(-)^{\operatorname{trans}}} (-)^{\operatorname{trans}} \otimes \operatorname{Rel}(A, A) \times \operatorname{Rel}(A, A) \xrightarrow{} \operatorname{Rel}(A, A).$$

#### Item 1: Adjointness

This is a rephrasing of the universal property of the transitive closure of a relation, stated in Definition 10.4.2.1.1.

Item 2: The Transitive Closure of a Transitive Relation

Clear.

Item 3: Idempotency

This follows from Item 2.

Item 4: Interaction With Inverses

We have

$$(R^{\dagger})^{\text{trans}} = \bigcup_{n=1}^{\infty} (R^{\dagger})^{\diamond n}$$

$$= \bigcup_{n=1}^{\infty} (R^{\diamond n})^{\dagger}$$

$$= (\bigcup_{n=1}^{\infty} R^{\diamond n})^{\dagger}$$

$$= (R^{\text{trans}})^{\dagger},$$

where we have used, respectively:

- Construction 10.4.2.1.2.
- Constructions With Relations, ?? of ??.
- Constructions With Relations, ?? of Proposition 9.2.3.1.2.
- Construction 10.4.2.1.2.

This finishes the proof.

Item 5: Interaction With Composition

This follows from Item 2 of Proposition 10.4.1.1.4.

# 10.5 Equivalence Relations

## 10.5.1 Foundations

Let *A* be a set.

#### DEFINITION 10.5.1.1.1 ► EQUIVALENCE RELATIONS

A relation *R* is an **equivalence relation** if it is reflexive, symmetric, and transitive.<sup>1</sup>

<sup>1</sup>Further Terminology: If instead *R* is just symmetric and transitive, then it is called a **partial equivalence relation**.

#### **EXAMPLE 10.5.1.1.2** ► THE KERNEL OF A FUNCTION

The **kernel of a function**  $f: A \to B$  is the equivalence relation  $\sim_{\text{Ker}(f)}$  on A obtained by declaring  $a \sim_{\text{Ker}(f)} b$  iff f(a) = f(b).

¹The kernel Ker(f): A op A of f is the underlying functor of the monad induced by the adjunction Gr(f)  $\dashv f^{-1}$ : A op B in **Rel** of Constructions With Relations, ?? of ??.

#### **DEFINITION 10.5.1.1.3** ► THE PO/SET OF EQUIVALENCE RELATIONS ON A SET

Let A and B be sets.

- 1. The **set of equivalence relations from** A **to** B is the subset  $Rel^{eq}(A, B)$  of Rel(A, B) spanned by the equivalence relations.
- 2. The **poset of relations from** A **to** B is is the subposet  $Rel^{eq}(A, B)$  of Rel(A, B) spanned by the equivalence relations.

# 10.5.2 The Equivalence Closure of a Relation

Let R be a relation on A.

#### **DEFINITION 10.5.2.1.1** ► THE EQUIVALENCE CLOSURE OF A RELATION

The **equivalence closure**<sup>1</sup> of  $\sim_R$  is the relation  $\sim_R^{\text{eq}_2}$  satisfying the following universal property:<sup>3</sup>

(★) Given another equivalence relation  $\sim_S$  on A such that  $R \subset S$ , there

exists an inclusion  $\sim_R^{\text{eq}} \subset \sim_S$ .

<sup>1</sup>Further Terminology: Also called the **equivalence relation associated to**  $\sim_R$ .

<sup>2</sup> Further Notation: Also written  $R^{eq}$ .

 $^3Slogan$ : The equivalence closure of R is the smallest equivalence relation containing R.

#### CONSTRUCTION 10.5.2.1.2 ► THE EQUIVALENCE CLOSURE OF A RELATION

Concretely,  $\sim_R^{\text{eq}}$  is the equivalence relation on A defined by

$$R^{\text{eq}} \stackrel{\text{def}}{=} ((R^{\text{refl}})^{\text{symm}})^{\text{trans}}$$
$$= ((R^{\text{symm}})^{\text{trans}})^{\text{refl}}$$

$$= \left\{ (a,b) \in A \times B \right\}$$

there exists  $(x_1, \ldots, x_n) \in R^{\times n}$  satisfying at least one of the following conditions:

- 1. The following conditions are satisfied:
  - (a) We have  $a \sim_R x_1$  or  $x_1 \sim_R a$ ;
  - (b) We have  $x_i \sim_R x_{i+1}$  or  $x_{i+1} \sim_R x_i$  for each  $1 \le i \le n-1$ ;
  - (c) We have  $b \sim_R x_n$  or  $x_n \sim_R b$ ;
- 2. We have a = b.

#### PROOF 10.5.2.1.3 ► PROOF OF CONSTRUCTION 10.5.2.1.2

From the universal properties of the reflexive, symmetric, and transitive closures of a relation (Definitions 10.2.2.1.1, 10.3.2.1.1 and 10.4.2.1.1), we see that it suffices to prove that:

- 1. The symmetric closure of a reflexive relation is still reflexive.
- 2. The transitive closure of a symmetric relation is still symmetric.

which are both clear.

#### PROPOSITION 10.5.2.1.4 ► PROPERTIES OF EQUIVALENCE RELATIONS

Let R be a relation on A.

1. Adjointness. We have an adjunction

$$((-)^{\text{eq}} \dashv \overline{\Sigma}): \text{Rel}(A, B) \xrightarrow{\stackrel{(-)^{\text{eq}}}{\Sigma}} \text{Rel}^{\text{eq}}(A, B),$$

witnessed by a bijection of sets

$$\mathbf{Rel}^{\mathrm{eq}}(R^{\mathrm{eq}}, S) \cong \mathbf{Rel}(R, S),$$

natural in  $R \in \text{Obj}(\mathbf{Rel}^{eq}(A, B))$  and  $S \in \text{Obj}(\mathbf{Rel}(A, B))$ .

- 2. The Equivalence Closure of an Equivalence Relation. If R is an equivalence relation, then  $R^{\rm eq}=R$ .
- 3. Idempotency. We have

$$(R^{\text{eq}})^{\text{eq}} = R^{\text{eq}}.$$

#### PROOF 10.5.2.1.5 ► PROOF OF PROPOSITION 10.5.2.1.4

#### Item 1: Adjointness

This is a rephrasing of the universal property of the equivalence closure of a relation, stated in Definition 10.5.2.1.1.

Item 2: The Equivalence Closure of an Equivalence Relation

Clear.

Item 3: Idempotency

This follows from Item 2.

# 10.6 Quotients by Equivalence Relations

# 10.6.1 Equivalence Classes

Let A be a set, let R be a relation on A, and let  $a \in A$ .

#### **DEFINITION 10.6.1.1.1** ► Equivalence Classes

The **equivalence class associated to** a is the set [a] defined by

$$[a] \stackrel{\text{def}}{=} \{x \in X \mid x \sim_R a\}$$
$$= \{x \in X \mid a \sim_R x\}.$$
 (since R is symmetric)

# 10.6.2 Quotients of Sets by Equivalence Relations

Let A be a set and let R be a relation on A.

#### **DEFINITION 10.6.2.1.1** ▶ QUOTIENTS OF SETS BY EQUIVALENCE RELATIONS

The **quotient of** *X* **by** *R* is the set  $X/\sim_R$  defined by

$$X/\sim_R \stackrel{\text{def}}{=} \{[a] \in \mathcal{P}(X) \mid a \in X\}.$$

#### REMARK 10.6.2.1.2 ► WHY USE "EQUIVALENCE" RELATIONS FOR QUOTIENT SETS

The reason we define quotient sets for equivalence relations only is that each of the properties of being an equivalence relation—reflexivity, symmetry, and transitivity—ensures that the equivalences classes [a] of X under R are well-behaved:

- *Reflexivity*. If *R* is reflexive, then, for each  $a \in X$ , we have  $a \in [a]$ .
- Symmetry. The equivalence class [a] of an element a of X is defined by

$$[a] \stackrel{\text{def}}{=} \{ x \in X \mid x \sim_R a \},\$$

but we could equally well define

$$[a]' \stackrel{\text{def}}{=} \{x \in X \mid a \sim_R x\}$$

instead. This is not a problem when R is symmetric, as we then have [a] = [a]'.

Transitivity. If R is transitive, then [a] and [b] are disjoint iff a ≁<sub>R</sub>
 b, and equal otherwise.

<sup>&</sup>lt;sup>1</sup>When categorifying equivalence relations, one finds that [a] and [a]' correspond to presheaves and copresheaves; see Constructions With Categories, ??.

#### PROPOSITION 10.6.2.1.3 ► PROPERTIES OF QUOTIENT SETS

Let  $f: X \to Y$  be a function and let R be a relation on X.

1. As a Coequaliser. We have an isomorphism of sets

$$X/\sim_R^{\mathrm{eq}} \cong \mathrm{CoEq}(R \hookrightarrow X \times X \overset{\mathrm{pr_1}}{\underset{\mathrm{pr_2}}{\rightarrow}} X),$$

where  $\sim_R^{\text{eq}}$  is the equivalence relation generated by  $\sim_R$ .

2. As a Pushout. We have an isomorphism of sets<sup>1</sup>

$$X/\sim_{R}^{\operatorname{eq}} \cong X \coprod_{\operatorname{Eq}(\operatorname{pr}_{1},\operatorname{pr}_{2})} X, \qquad \bigwedge^{\operatorname{eq}} \qquad X \leftarrow \operatorname{Eq}(\operatorname{pr}_{1},\operatorname{pr}_{2}).$$

where  $\sim_R^{\mathrm{eq}}$  is the equivalence relation generated by  $\sim_R$ .

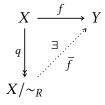
3. *The First Isomorphism Theorem for Sets*. We have an isomorphism of sets<sup>2,3</sup>

$$X/\sim_{\mathrm{Ker}(f)} \cong \mathrm{Im}(f).$$

- 4. Descending Functions to Quotient Sets, I. Let R be an equivalence relation on X. The following conditions are equivalent:
  - (a) There exists a map

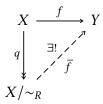
$$\bar{f}: X/\sim_R \to Y$$

making the diagram



commute.

- (b) We have  $R \subset \text{Ker}(f)$ .
- (c) For each  $x, y \in X$ , if  $x \sim_R y$ , then f(x) = f(y).
- 5. Descending Functions to Quotient Sets, II. Let R be an equivalence relation on X. If the conditions of Item 4 hold, then  $\overline{f}$  is the unique map making the diagram



commute.

6. Descending Functions to Quotient Sets, III. Let R be an equivalence relation on X. We have a bijection

$$\operatorname{Hom}_{\operatorname{Sets}}(X/\sim_R, Y) \cong \operatorname{Hom}_{\operatorname{Sets}}^R(X, Y),$$

natural in  $X,Y\in \mathrm{Obj}(\mathsf{Sets})$ , given by the assignment  $f\mapsto \overline{f}$  of Items 4 and 5, where  $\mathrm{Hom}^R_{\mathsf{Sets}}(X,Y)$  is the set defined by

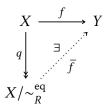
$$\operatorname{Hom}_{\mathsf{Sets}}^R(X,Y) \stackrel{\text{def}}{=} \left\{ f \in \operatorname{Hom}_{\mathsf{Sets}}(X,Y) \middle| \begin{array}{l} \text{for each } x,y \in X, \\ \text{if } x \sim_R y, \text{ then} \\ f(x) = f(y) \end{array} \right\}.$$

- 7. Descending Functions to Quotient Sets, IV. Let R be an equivalence relation on X. If the conditions of Item 4 hold, then the following conditions are equivalent:
  - (a) The map  $\overline{f}$  is an injection.
  - (b) We have R = Ker(f).
  - (c) For each  $x, y \in X$ , we have  $x \sim_R y$  iff f(x) = f(y).
- 8. Descending Functions to Quotient Sets, V. Let R be an equivalence relation on X. If the conditions of Item 4 hold, then the following conditions are equivalent:

- (a) The map  $f: X \to Y$  is surjective.
- (b) The map  $\overline{f}: X/\sim_R \to Y$  is surjective.
- 9. Descending Functions to Quotient Sets, VI. Let R be a relation on X and let  $\sim_R^{\text{eq}}$  be the equivalence relation associated to R. The following conditions are equivalent:
  - (a) The map f satisfies the equivalent conditions of Item 4:
    - There exists a map

$$\overline{f}: X/\sim_R^{\text{eq}} \to Y$$

making the diagram



commute.

- For each  $x, y \in X$ , if  $x \sim_R^{\text{eq}} y$ , then f(x) = f(y).
- (b) For each  $x, y \in X$ , if  $x \sim_R y$ , then f(x) = f(y).

$$\operatorname{Eq}(\operatorname{pr}_1,\operatorname{pr}_2)\cong X\times_{X/{\sim_R^{\operatorname{eq}}}}X, \qquad \qquad \bigcup_{X\longrightarrow X/{\sim_R^{\operatorname{eq}}}}X$$

 $X \longrightarrow X/{\sim_R^{\rm eq}}.$  Further Terminology: The set  $X/{\sim_{{\rm Ker}(f)}}$  is often called the **coimage of** f, and denoted by  ${\rm CoIm}(f)$ .

<sup>3</sup>In a sense this is a result relating the monad in **Rel** induced by f with the comonad in **Rel** induced by f, as the kernel and image

$$\operatorname{Ker}(f) \colon X \to X,$$
  
 $\operatorname{Im}(f) \subset Y$ 

<sup>&</sup>lt;sup>1</sup>Dually, we also have an isomorphism of sets

of f are the underlying functors of (respectively) the induced monad and comonad of the adjunction

$$(\operatorname{Gr}(f) \dashv f^{-1}): A \xrightarrow{\operatorname{Gr}(f)} B$$

of Constructions With Relations,?? of??.

#### PROOF 10.6.2.1.4 ► PROOF OF PROPOSITION 10.6.2.1.3

Item 1: As a Coequaliser

Omitted.

Item 2: As a Pushout

Omitted.

Item 3: The First Isomorphism Theorem for Sets

Clear.

Item 4: Descending Functions to Quotient Sets, I

See [Pro25c].

Item 5: Descending Functions to Quotient Sets, II

See [Pro25d].

Item 6: Descending Functions to Quotient Sets, III

This follows from Items 5 and 6.

Item 7: Descending Functions to Quotient Sets, IV

See [Pro25b].

Item 8: Descending Functions to Quotient Sets, V

See [Pro25a].

Item 9: Descending Functions to Quotient Sets, VI

The implication Item  $8a \implies Item 8b$  is clear.

Conversely, suppose that, for each  $x, y \in X$ , if  $x \sim_R y$ , then f(x) = f(y). Spelling out the definition of the equivalence closure of R, we see that

the condition  $x \sim_R^{eq} y$  unwinds to the following:

- (\*) There exist  $(x_1, \ldots, x_n) \in R^{\times n}$  satisfying at least one of the following conditions:
  - The following conditions are satisfied:
    - \* We have  $x \sim_R x_1$  or  $x_1 \sim_R x$ ;
    - \* We have  $x_i \sim_R x_{i+1}$  or  $x_{i+1} \sim_R x_i$  for each  $1 \leq i \leq n-1$ ;
    - \* We have  $y \sim_R x_n$  or  $x_n \sim_R y$ ;
  - We have x = y.

Now, if x = y, then f(x) = f(y) trivially; otherwise, we have

$$f(x) = f(x_1),$$

$$f(x_1) = f(x_2),$$

$$\vdots$$

$$f(x_{n-1}) = f(x_n),$$

$$f(x_n) = f(y),$$

and f(x) = f(y), as we wanted to show.

# **Appendices**

# A Other Chapters

#### **Preliminaries**

- 1. Introduction
- 2. A Guide to the Literature

#### Sets

3. Sets

- 4. Constructions With Sets
- 5. Monoidal Structures on the Category of Sets
- 6. Pointed Sets
- 7. Tensor Products of Pointed Sets

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#### Relations

Categories

#### **Monoidal Categories**

8. Relations

- 13. Constructions With Monoidal Categories
- 9. Constructions With Relations
- 10. Conditions on Relations

#### **Bicategories**

10. Conditions on Relation

14. Types of Morphisms in Bicategories

11. Categories

#### Extra Part

12. Presheaves and the Yoneda Lemma

15. Notes

## References

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