

# Prompt Muons from Cosmic Ray Air Showers in IceCube



Summary of my Master's Thesis

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Ludwig Neste

2023-11-10



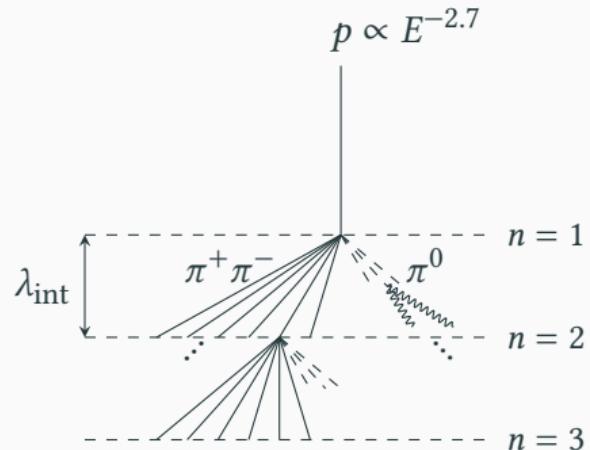
# Outline

1. Physics of Extensive Air Showers
2. Air Shower Simulation and CORSIKA's EHistory
3. Definitions of Prompt
4. Properties of Prompt
5. An Analysis Concept for the IceCube Detector

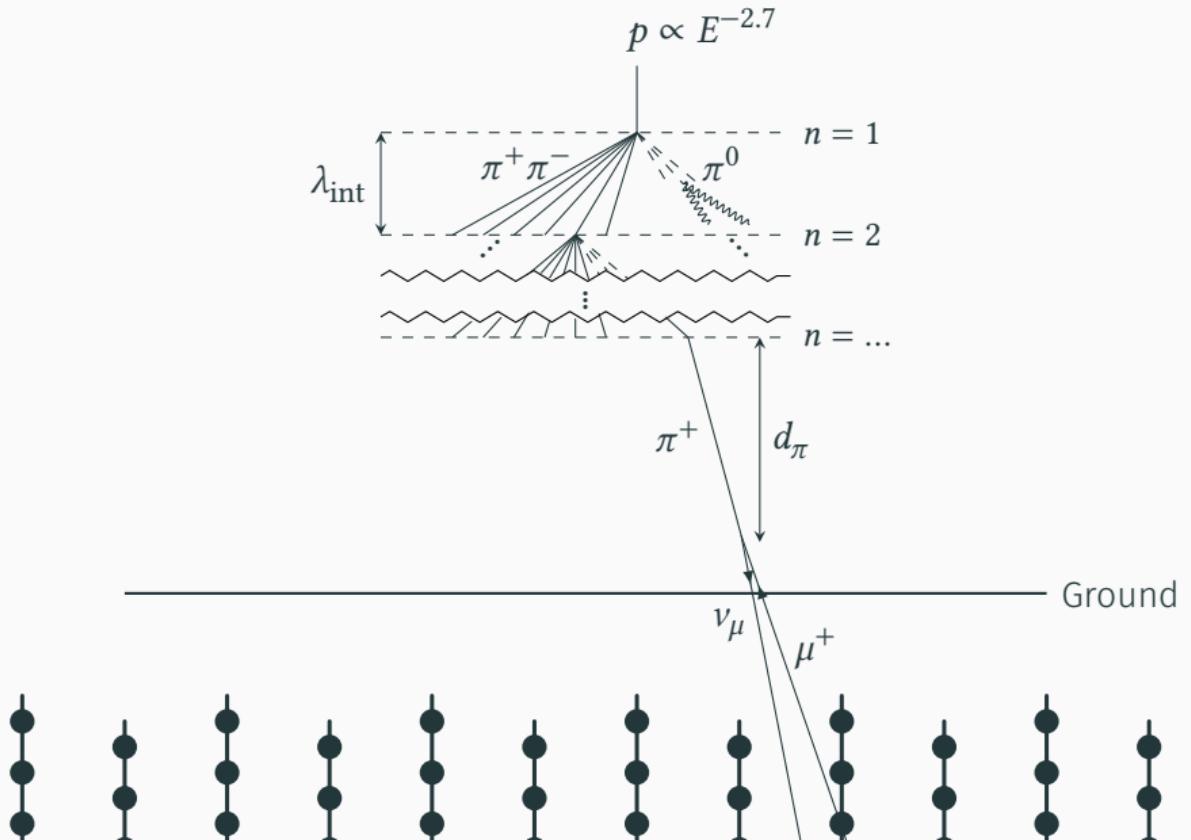
## Physics of Extensive Air Showers

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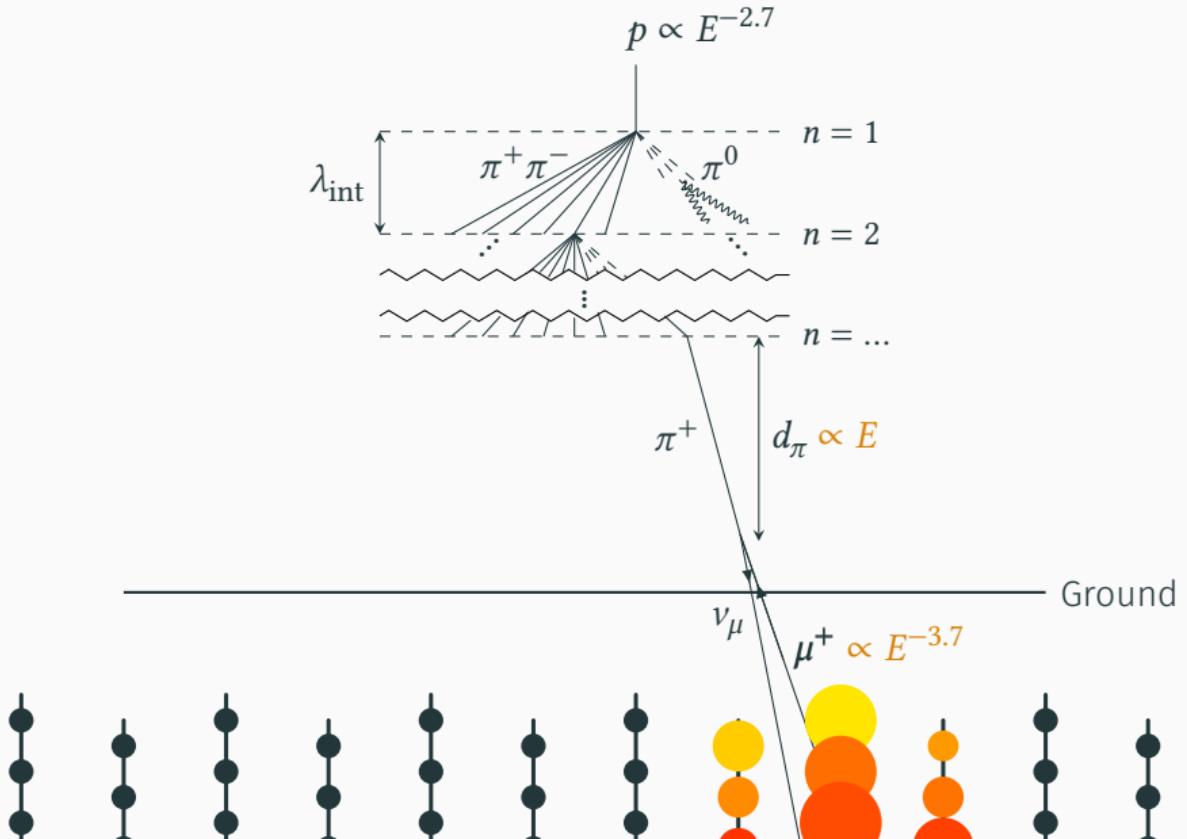
# Where the Muons are coming from: Extensive Air Showers (EAS)



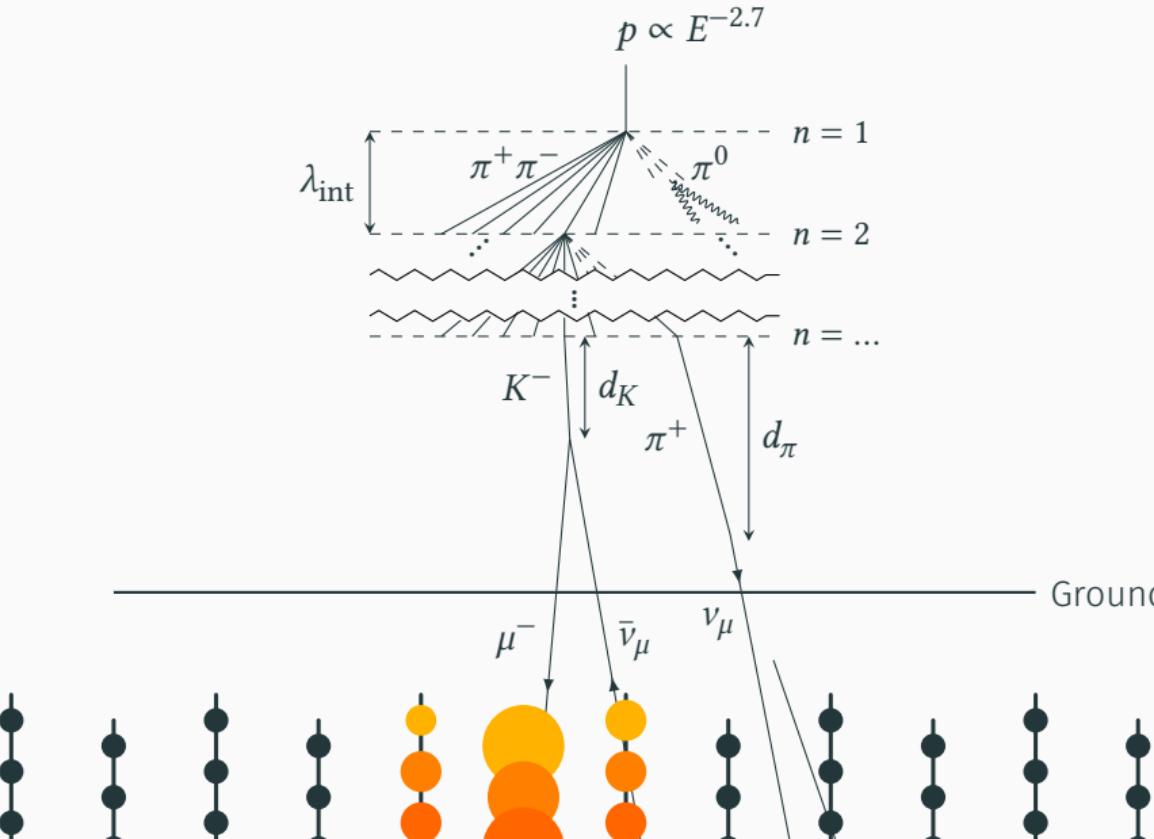
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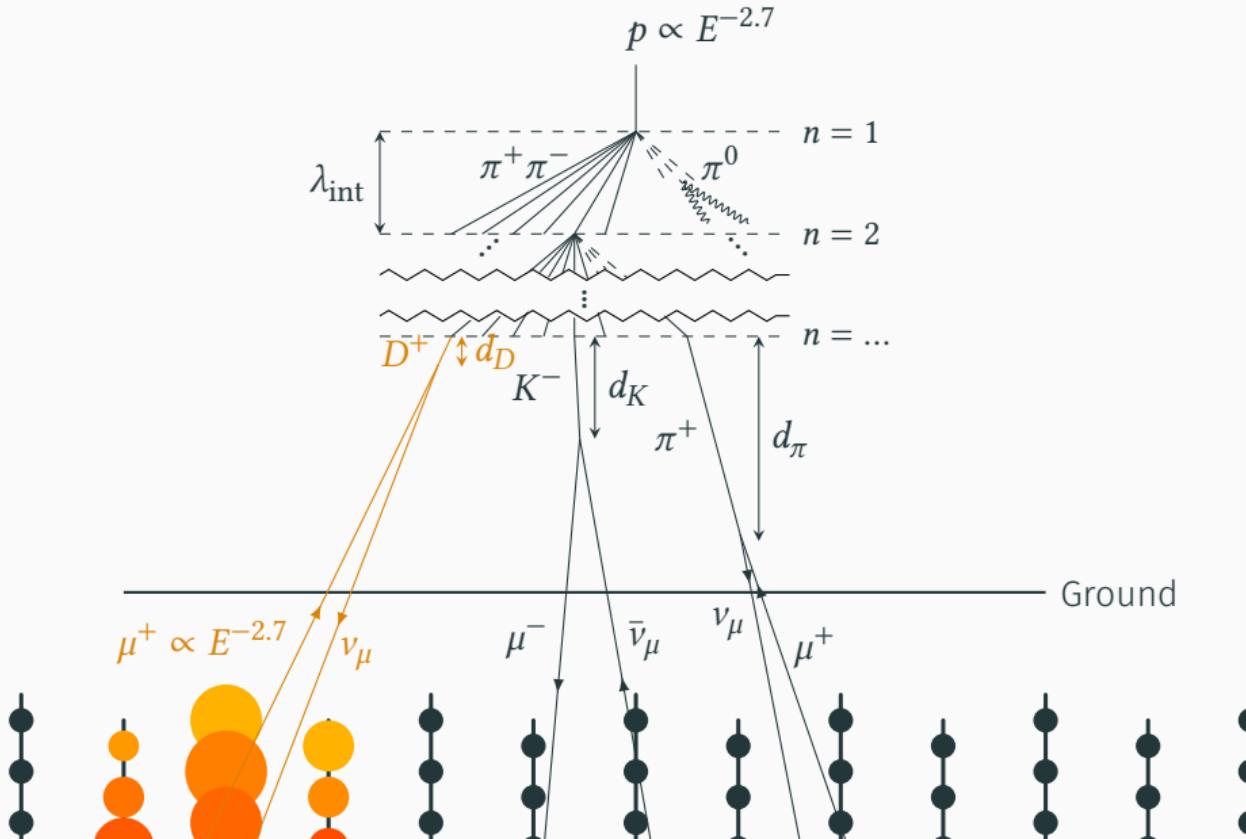
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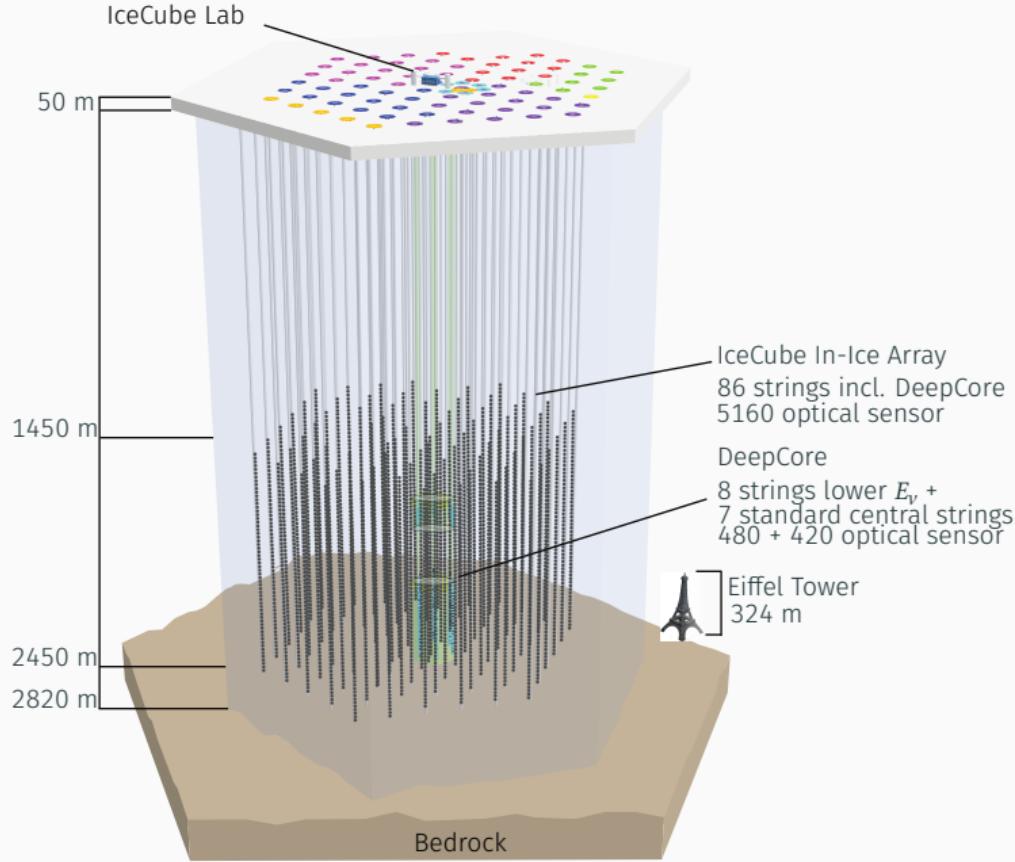
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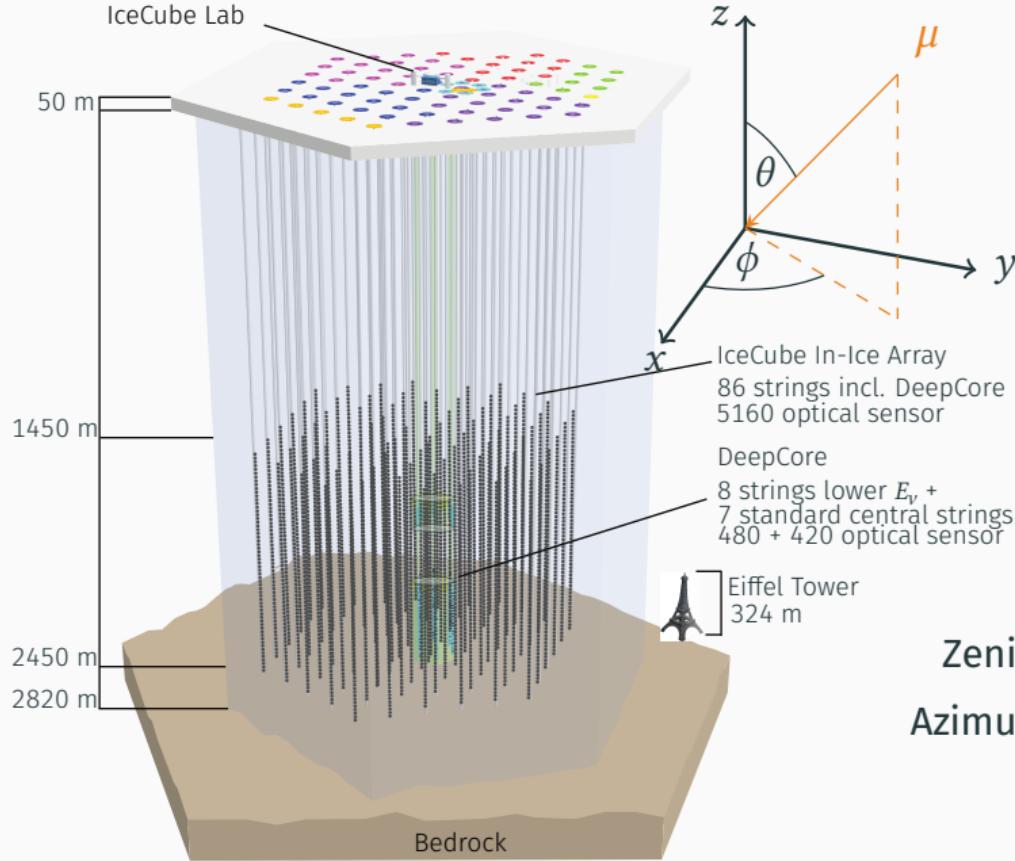


# The IceCube Detector...



- ... is located at the South-Pole.
- ... has  $1 \text{ km}^3$  total volume.
- ... finished construction in 2010.
- ... was designed as a neutrino detector.
- ... but is also a wonderful muon detector.

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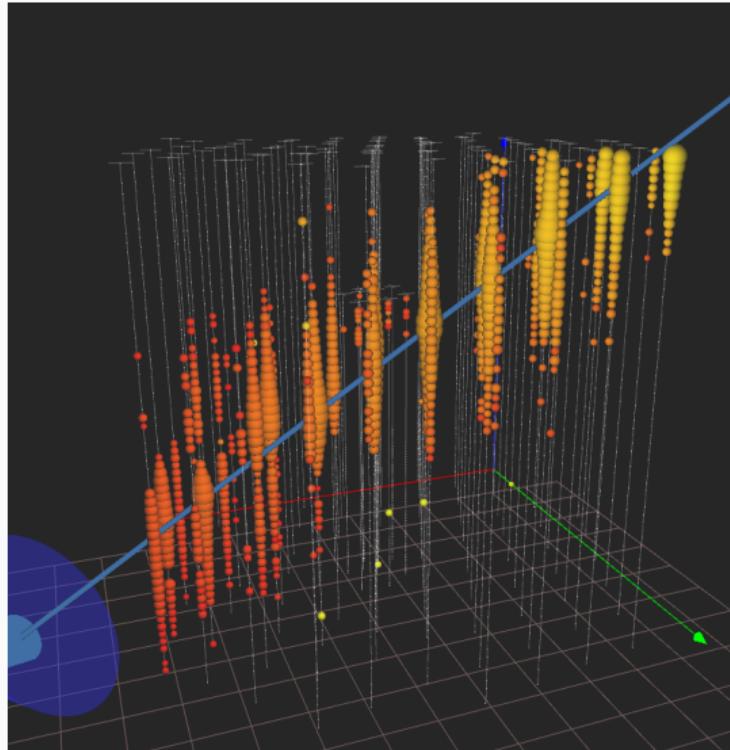
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Vocabulary:

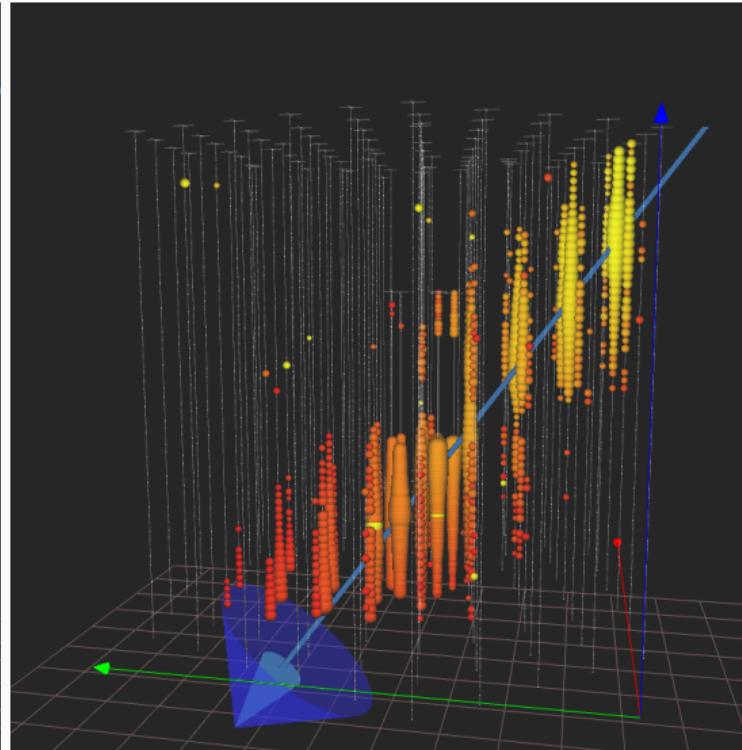
**Zenith**  $\theta$

**Azimuth**  $\phi$

# Prompt Events in IceCube



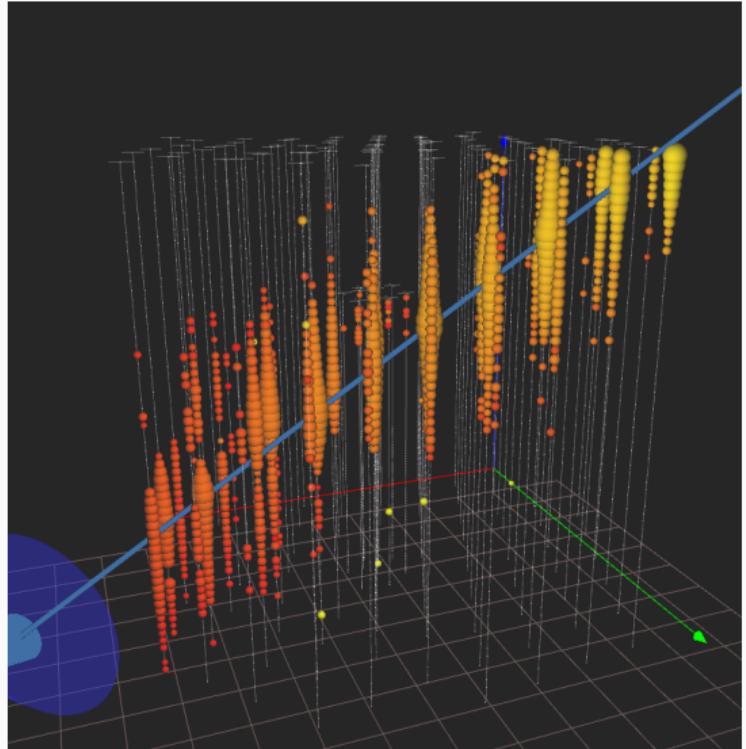
(a)  $E_{\mu,\text{max}} = 1.2 \text{ PeV}$ ,  $E_{\text{bundle}} = 1.6 \text{ PeV}$ ,  $n_{\text{bundle}} = 1812$ .



(b)  $E_{\mu,\text{max}} = 1.1 \text{ PeV}$ ,  $E_{\text{bundle}} = 1.8 \text{ PeV}$ ,  $n_{\text{bundle}} = 1880$ .

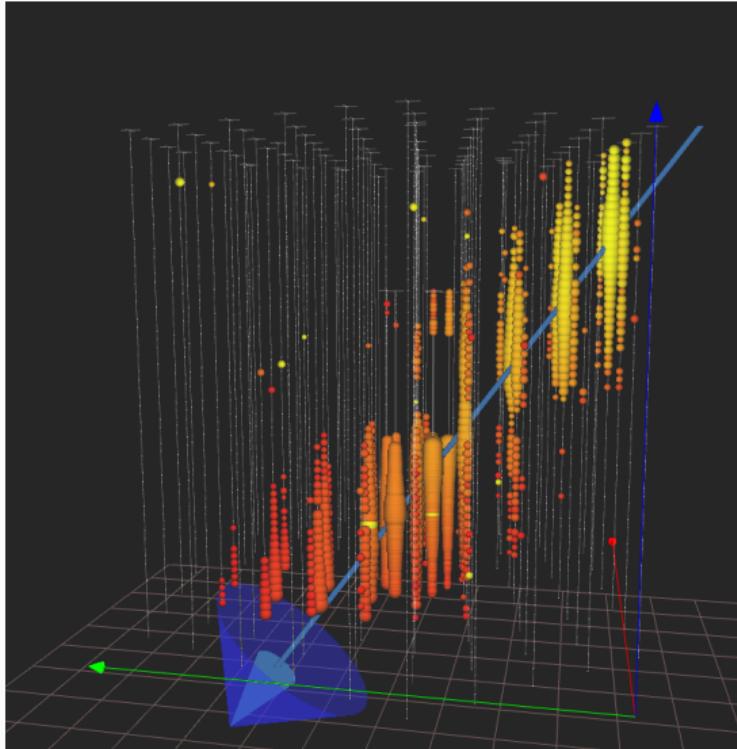
# Prompt Events in IceCube

Prompt Muon



(a)  $E_{\mu,\text{max}} = 1.2 \text{ PeV}$ ,  $E_{\text{bundle}} = 1.6 \text{ PeV}$ ,  $n_{\text{bundle}} = 1812$ .

Conventional Muon



(b)  $E_{\mu,\text{max}} = 1.1 \text{ PeV}$ ,  $E_{\text{bundle}} = 1.8 \text{ PeV}$ ,  $n_{\text{bundle}} = 1880$ .

## The Cascade Equations

$$\frac{d\Phi_i(E, X)}{dX} = - \left( \frac{1}{\lambda_i} + \frac{1}{d_i} \right) \Phi_i(E, X) + \sum_j \left( \frac{Z_{ji}}{\lambda_j} + \frac{Z_{ji}^d}{d_j} \right) \Phi_j(E, X)$$

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Approximate Solutions

$$\Phi_{H \rightarrow \mu}^{\text{low}E}(E) = Z_{H\mu}^d \frac{Z_{pH}}{1-Z_{pp}} \cdot \Phi_p(E)$$

$$\Phi_{H \rightarrow \mu}^{\text{high}E}(E) = Z_{H\mu}^d \frac{Z_{pH}}{1-Z_{pp}} \frac{\ln(\Lambda_H/\Lambda_p)}{1-\frac{\Lambda_p}{\Lambda_H}} \frac{\epsilon_H}{E_H} f(\theta) \cdot \Phi_p(E)$$

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$H$	$\epsilon_H/\text{GeV}$
$\mu^\pm$	1
$\pi^\pm$	115
$K^\pm$	850
$\Lambda$	$9.04 \times 10^4$
$D^\pm$	$3.7 \times 10^7$
$D^0$	$9.9 \times 10^7$
$\Lambda_c$	$2.4 \times 10^8$
$\omega(782)$	$2.2 \times 10^{17}$

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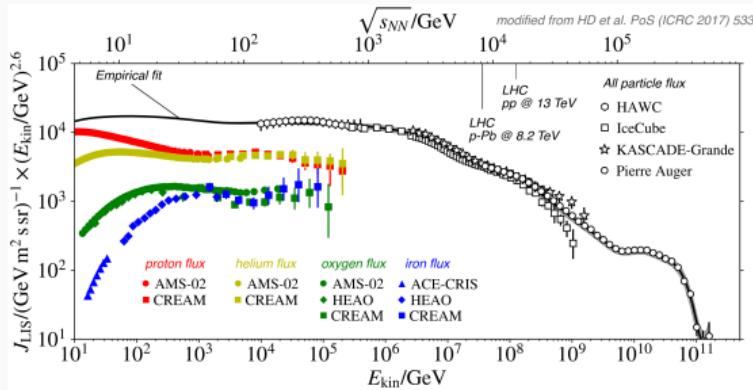
$$\Phi_{\mu}^{\text{tot}} = \sum_H \frac{\Phi_{H \rightarrow \mu}^{\text{low}E} \cdot \Phi_{H \rightarrow \mu}^{\text{high}E}}{\Phi_{H \rightarrow \mu}^{\text{low}E} + \Phi_{H \rightarrow \mu}^{\text{high}E}} = \Phi_p(E) \sum_H \frac{\mathcal{A}_{HL}}{1+\mathcal{B}_{HL} \frac{E}{\epsilon_H f(\theta)}}$$

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# Air Shower Simulation and CORSIKA's EHistory

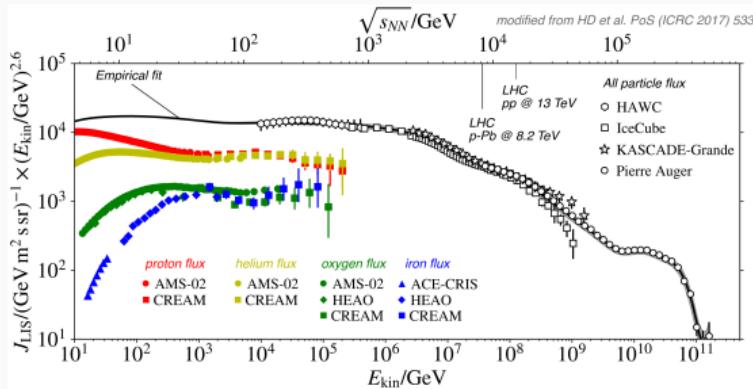
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# Primary Models and Reweighting



- Must be modeled
- Results strongly dependent on the chosen model of the primary flux
- Large uncertainties in the high energy region

# Primary Models and Reweighting



## MCEq/Theory:

- “Transform” primary model to lepton fluxes
- Via cascade equations
- New calculation for every primary model

- Must be modeled
- Results strongly dependent on the chosen model of the primary flux
- Large uncertainties in the high energy region

## MC (CORSIKA):

- Simulate events drawing from simple  $E^{-\gamma}$  (e.g.  $\gamma = -1$ )
- Weight events to realistic  $\Phi_p$
- Fast reweighting after simulation

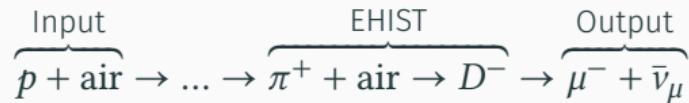
## CORSIKA Compilation Option: Extended History (EHIST)



- Additions to the CORSIKA DAT output files
- Includes information about “mother” and “grandmother”
- Modification of the CORSIKA reader is needed

```
I3MCTree:  
3001 PPlus (-162238m,  
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# CORSIKA Compilation Option: Extended History (EHIST)



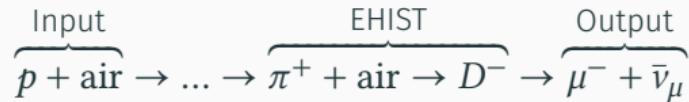
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## Pitfalls:

- A “mother” is not necessarily the particle, which decays into the muon
- Inconsistent documentation (C7 User Guide/EHIST technical report)
- Somewhat buggy and documentation is out-of-date

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→ Correct readout implemented in IceTray

→ PANAMA (PANDas And Multicore utils for corsikA7 ) for python/CORSIKA7 standalone

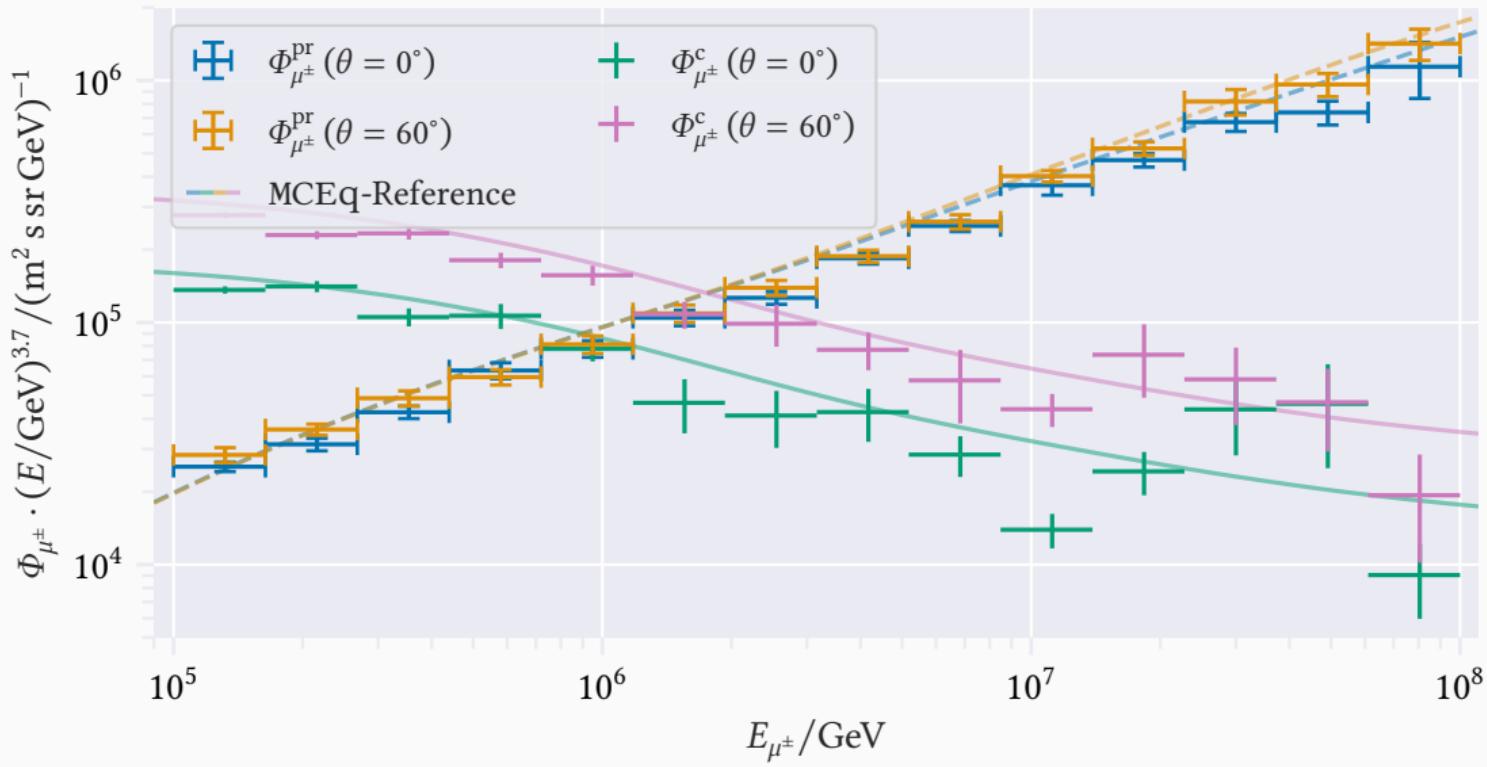
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## Definitions of Prompt

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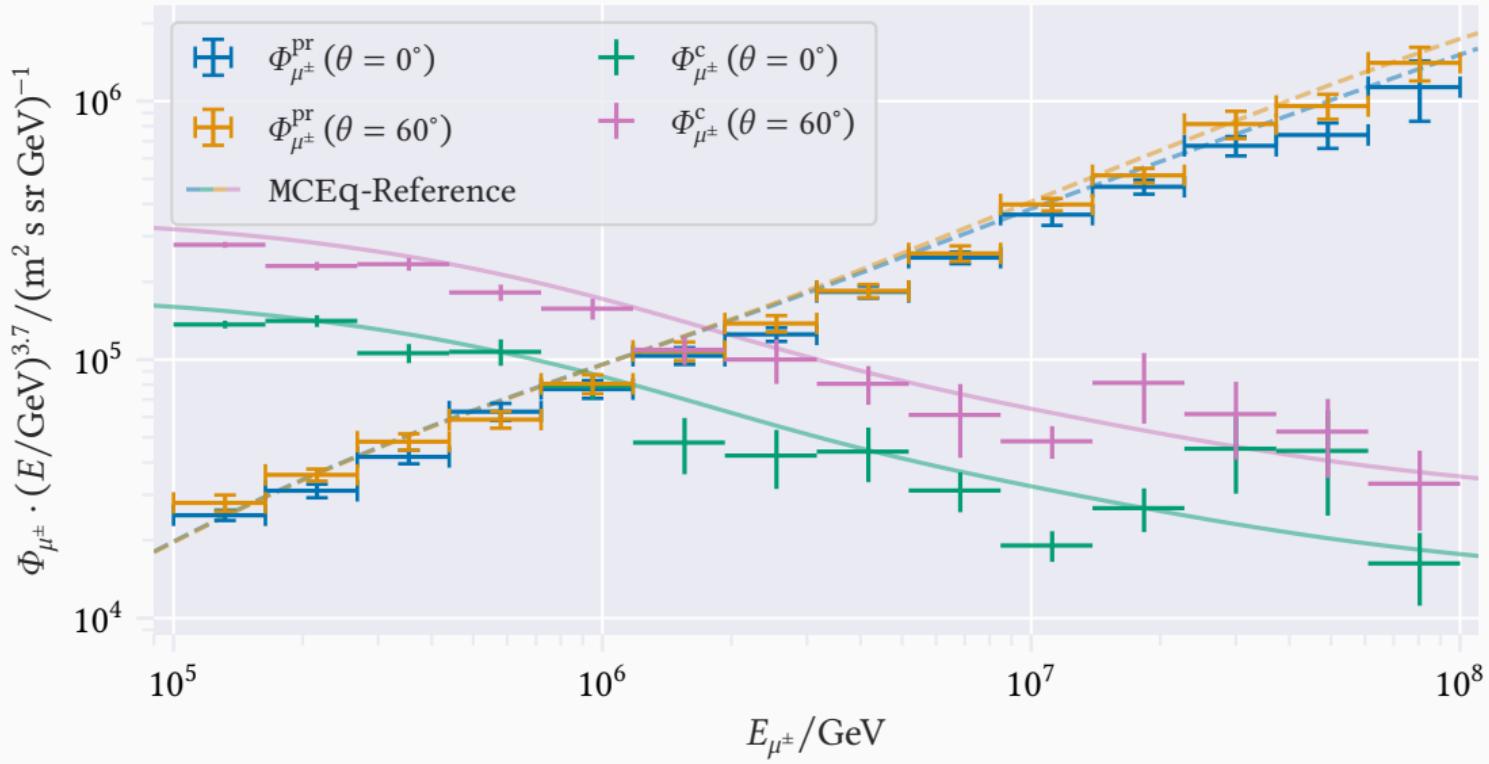
## Definition: $\pi/K$ (Dennis)

If parent is  $\pi^\pm/K^\pm/K_L/K_S$ , particle is conventional  $\rightarrow$  Rest is prompt



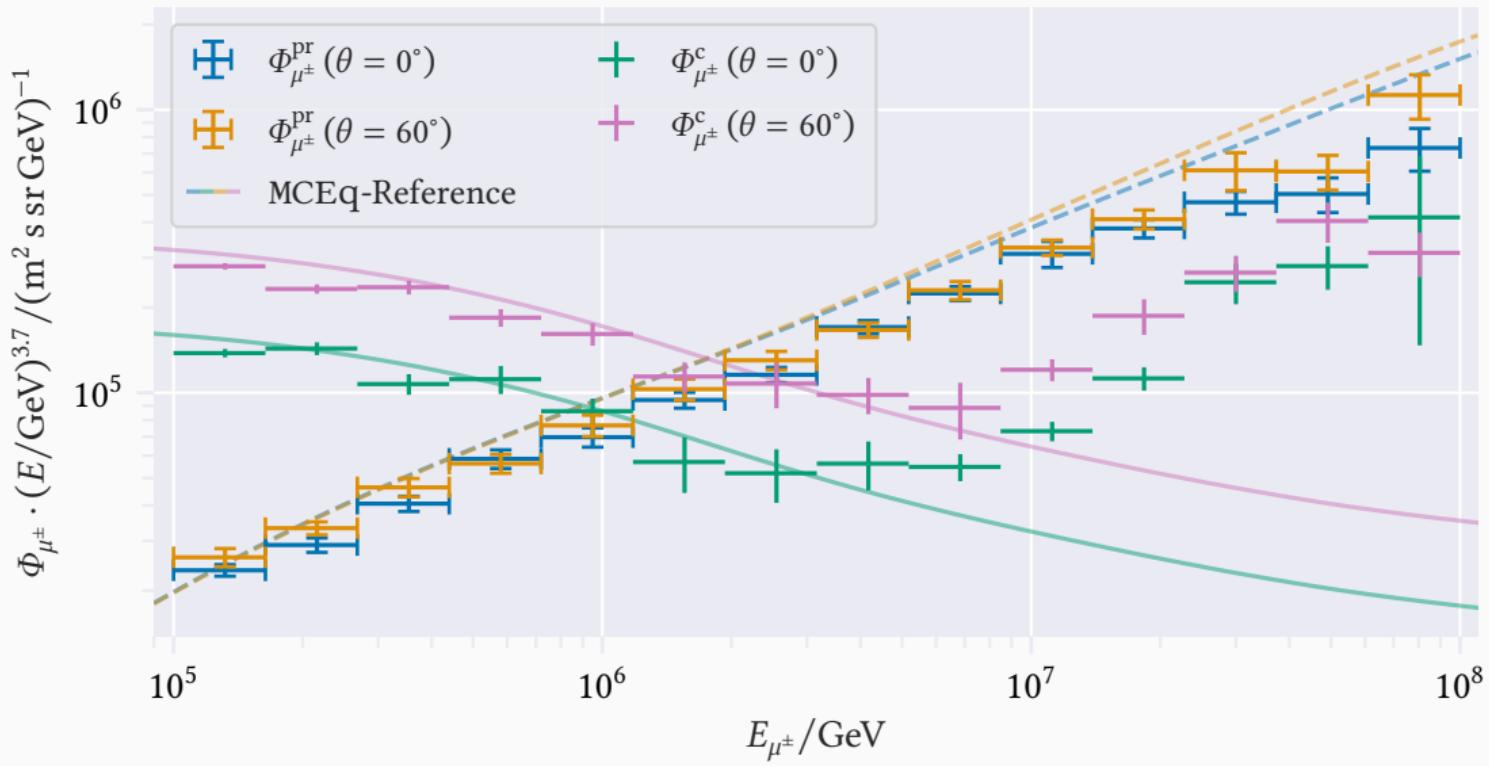
## Definition: Lifetime

If parent has  $\tau_M < 10 \cdot \tau_{D^\pm}$ , particle is prompt



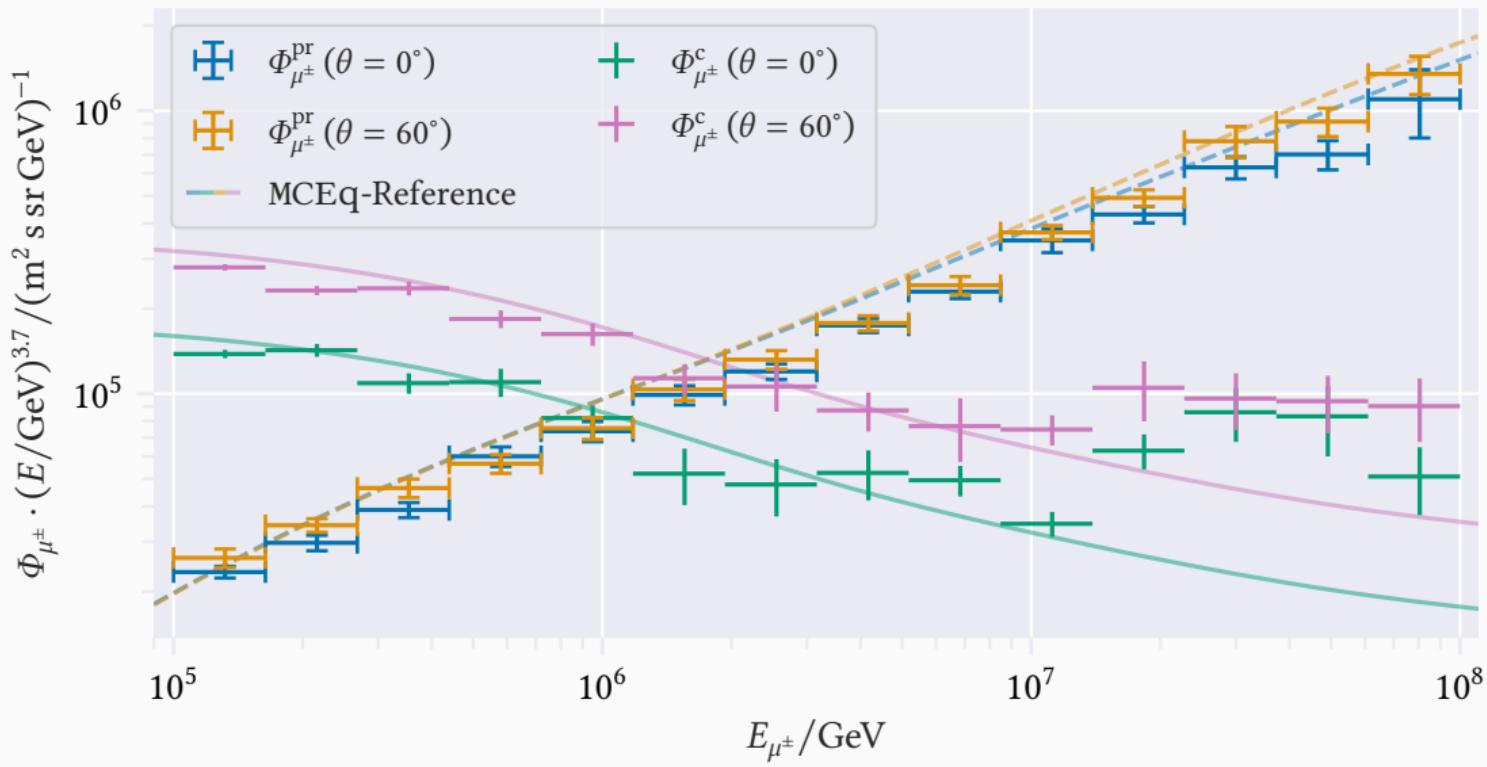
## Definition: Energy

If parent has  $E_{\text{Parent}} < \epsilon_{\text{Parent}}$ , particle is prompt



## Definition: Grandmother

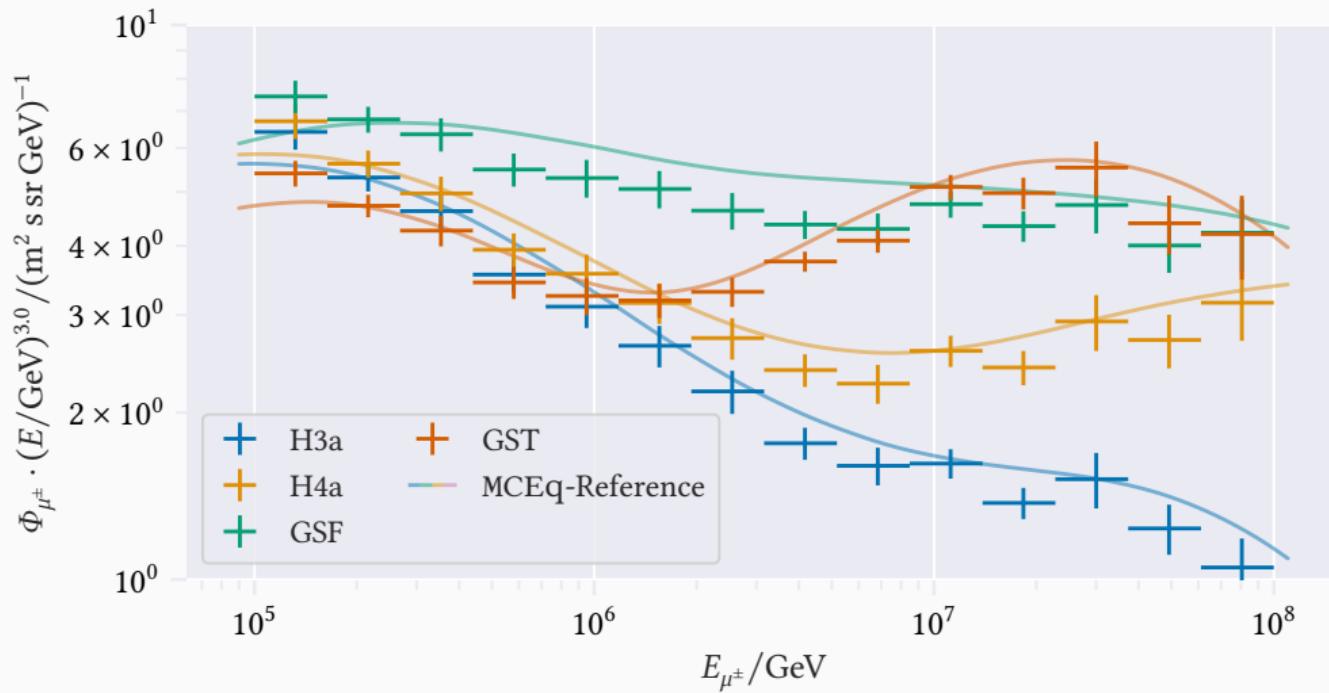
If parent or grandparent is  $\pi^\pm/K^\pm/K_L/K_S$ , particle is conventional



## Properties of Prompt

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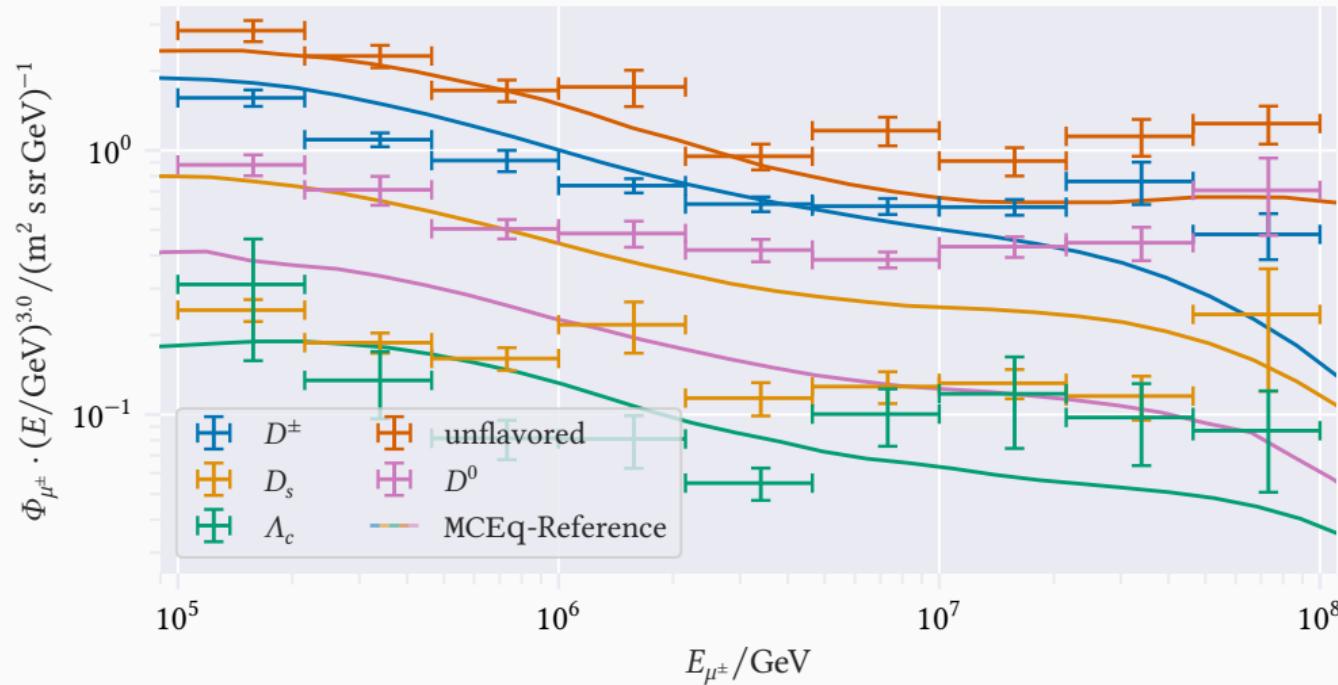
## Prompt with Different Primary Models



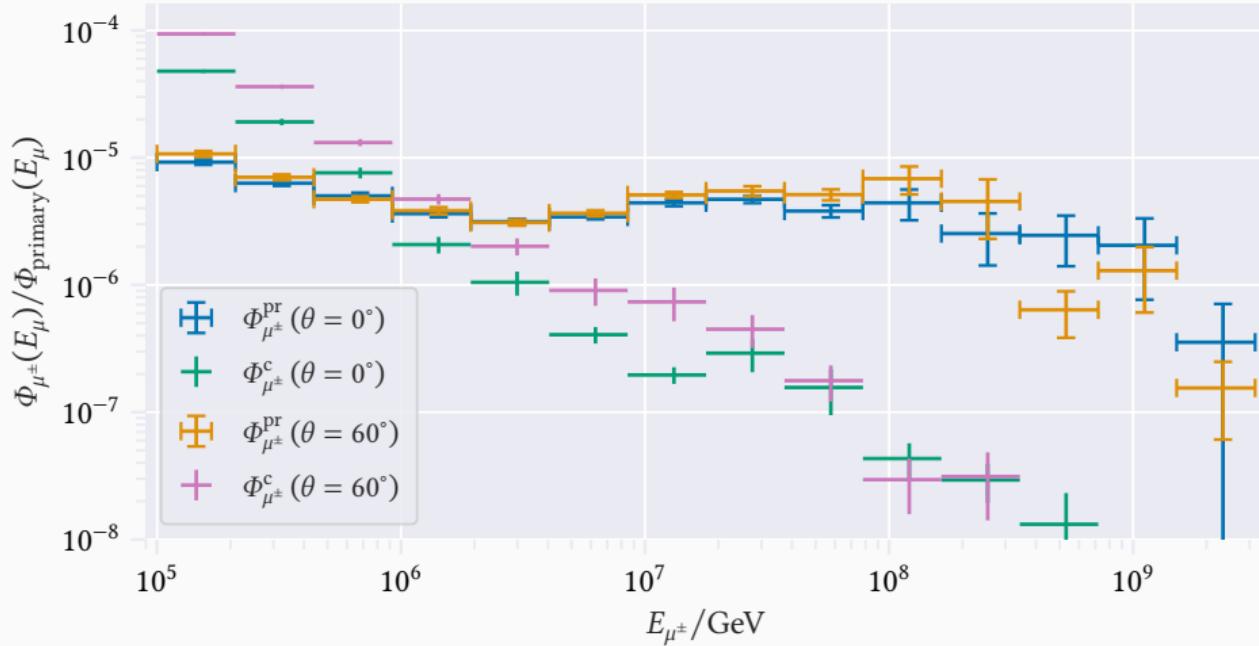
- Dembinski et. al's Global Spline Fit produces most prompt
  - GSF now also implemented in `simweights`

# Prompt: Not only Charm

- For muons:  $\Phi_{\text{charm}} \approx \Phi_{\text{unflavored}}$
- ~~LHCb~~ @  $\sqrt{s} = 13 \text{ TeV} \equiv E_{\text{FT}} = 90 \text{ PeV}$ :  $\sigma_{D_0} = (2072 \pm 124) \mu\text{b} > \sigma_{D_s} = (353 \pm 77) \mu\text{b}$



# Fraction of primary flux

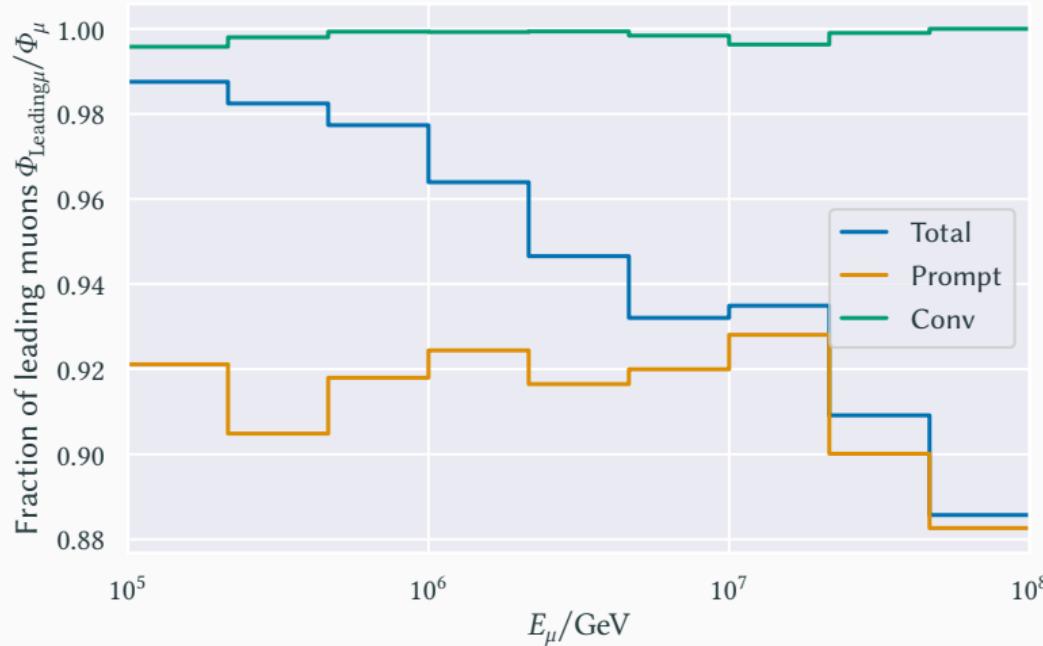


$$\Phi_{H \rightarrow \mu}^{\text{low}E}(E) = Z_{H\mu}^d \frac{Z_{pH}}{1 - Z_{pp}} \cdot \Phi_p(E)$$

Garzelli et al. "Lepton fluxes from atmospheric charm revisited" :

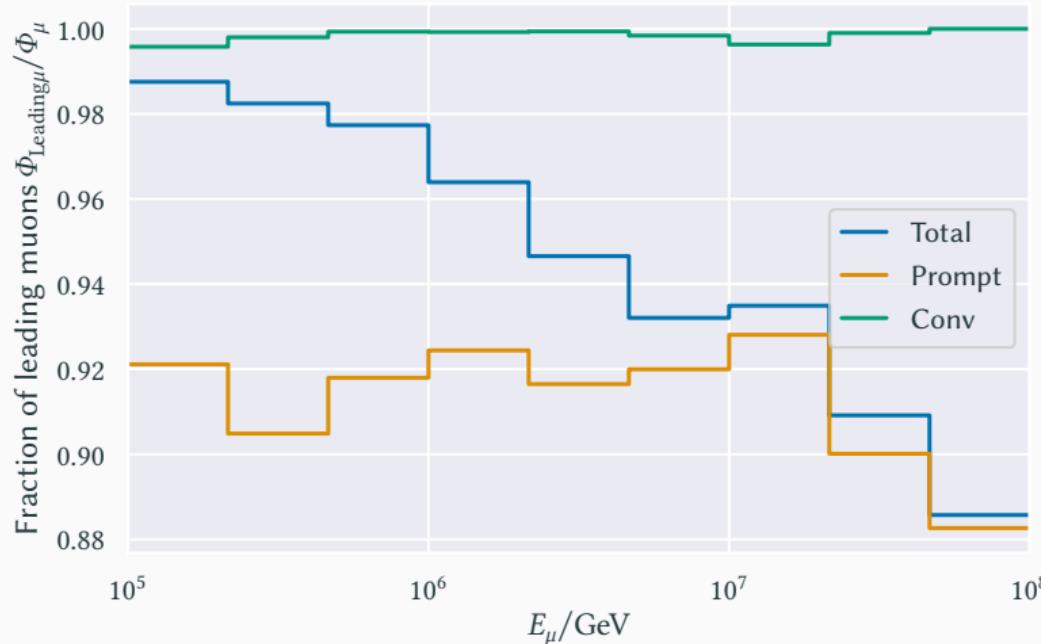
$$Z_{H\mu}^d \frac{Z_{pH}}{1 - Z_{pp}} \approx 5 \times 10^{-6} - 1 \times 10^{-5}$$

# Percent Leading Muons



- Leading = Most energetic muon
- Conventional muons are more leading than prompt ones

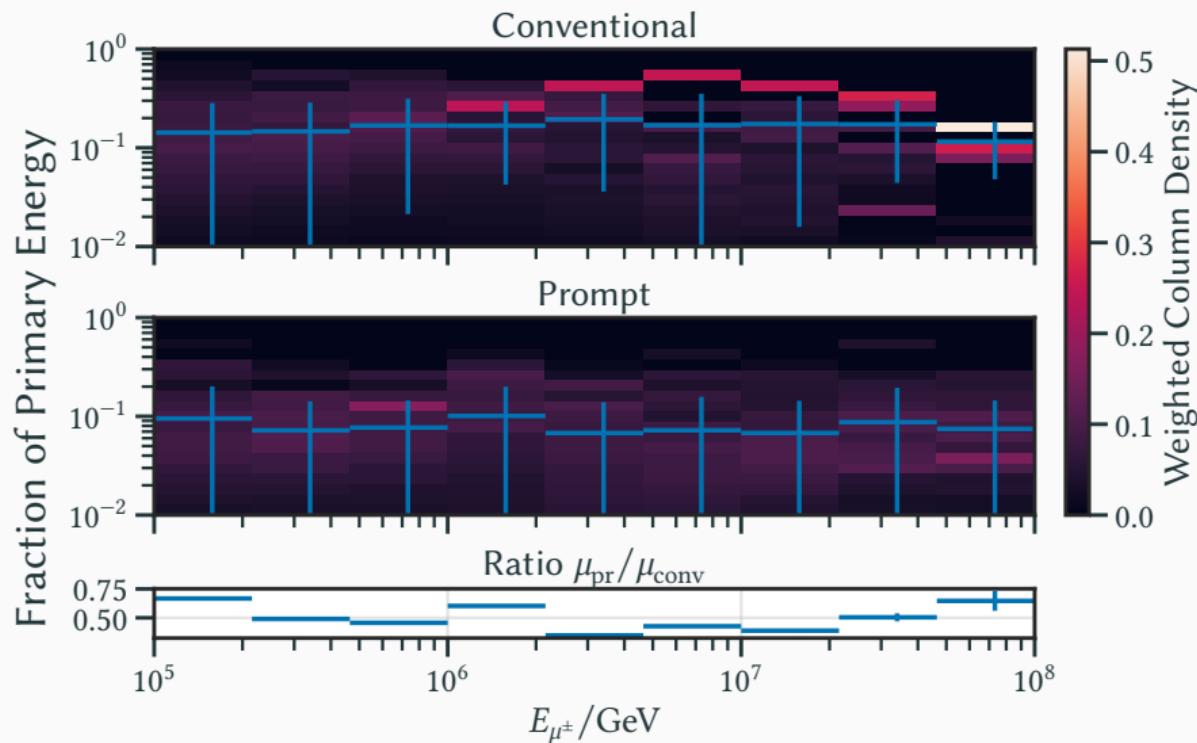
## Percent Leading Muons



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Almost the whole muon flux is coming from a single leading muon in the shower

# Fraction of Primary Energy

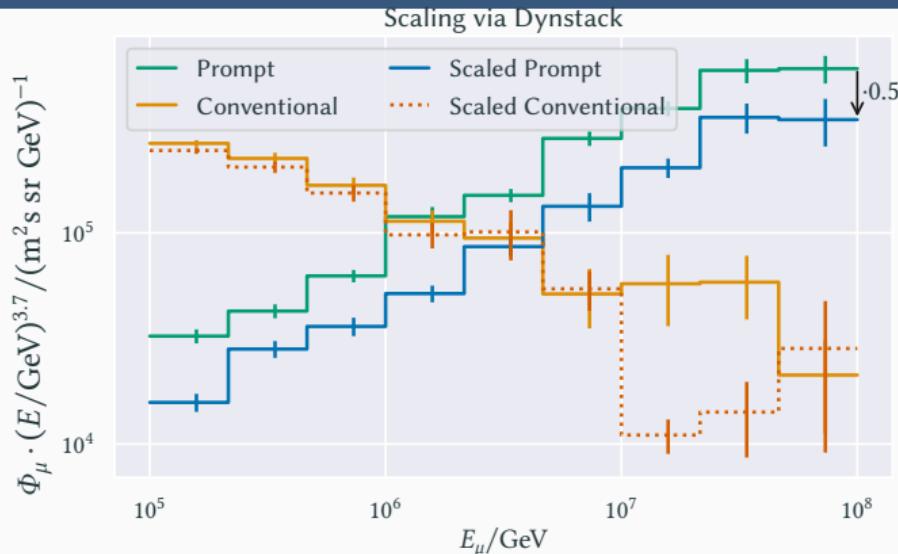


# An Analysis Concept for the IceCube Detector

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# Dynstack Idea

- Modify the CORSIKA simulation via DYNSTACK
- Replace prompt meson with a probability  $p$  with  $\pi^\pm/K^\pm$
- Generate different datasets with different  $p$



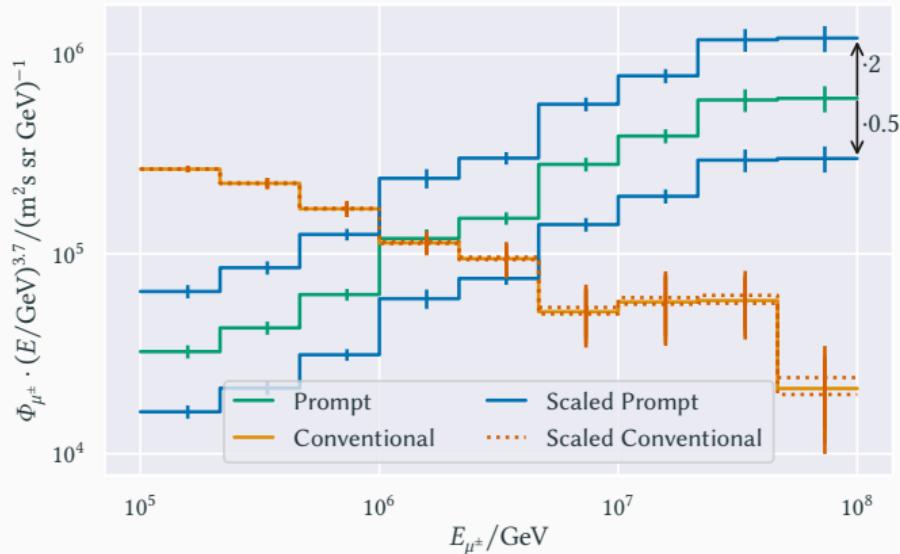
## Pros

- Physically changes the shower-development
- Get handle on prompt

## Cons

- Slow and expensive
- Replacement is non-trivial to do physically correct: Phase space,  $\pi/K$ -ratios...

# Prompt Tagging



- Read creation history of muon from **CORSIKA**
- Assign a per-shower weight to scale the prompt component
- Fit weight to data

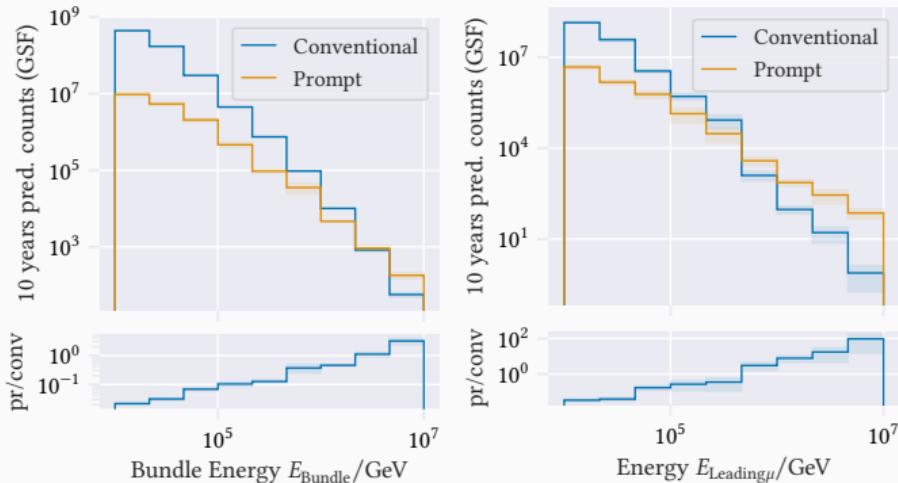
## Pros

- Fast & Simple
- Nice-to-have anyway: Characterize prompt muons in the simulation

## Cons

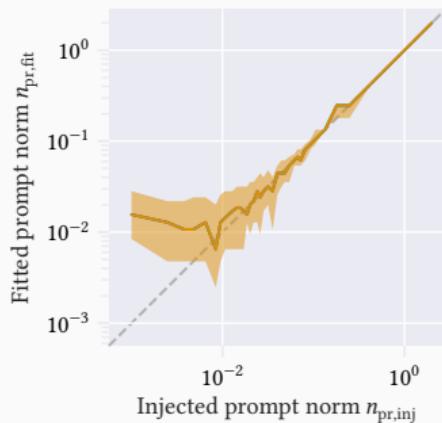
- No change in the shower development
- EHistory

# What IceCube will see...

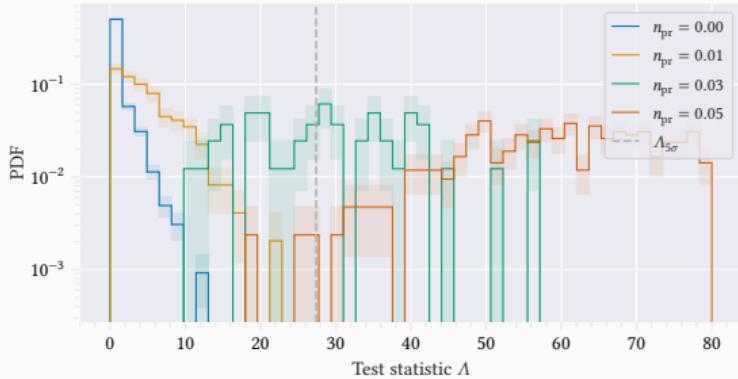


- IceCube does not measure muon-by-muon but the whole shower
- At relevant energies: No single-muon events
- Prompt/Conv transition also in bundle energy

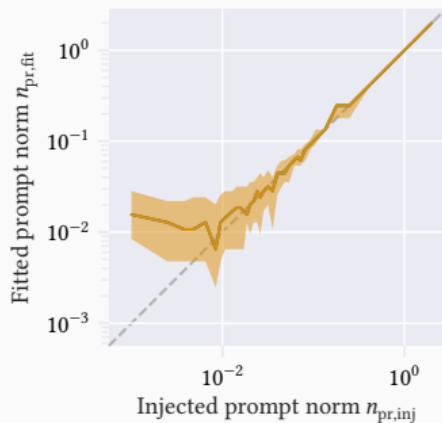
# Analysis Results of Toy Monte Carlo



- Inject variable prompt normalization  
$$C_i^{\text{MC}} = n_{\text{pr}} C_i^{\text{MC,pr}} + n_{\text{conv}} C_i^{\text{MC,conv}}$$
- Draw Pseudo-Dataset
- Fit prompt and conv normalization with a Likelihood-fit
- Perform a Likelihood-Ratio test  $\Lambda = -2 \ln \frac{\mathcal{L}(n_{\text{pr}}=\hat{n}_{\text{pr}})}{\mathcal{L}(n_{\text{pr}}=0)}$

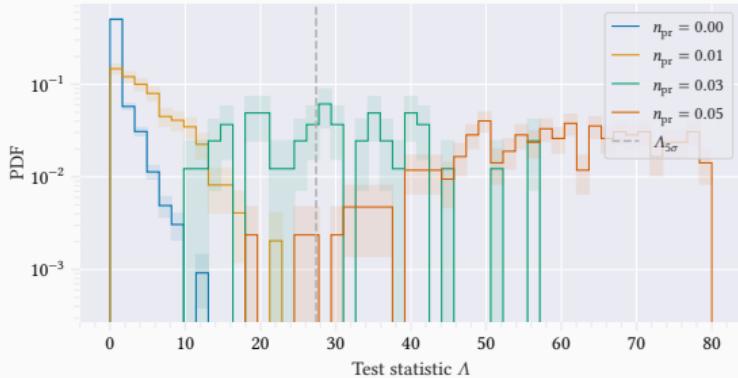


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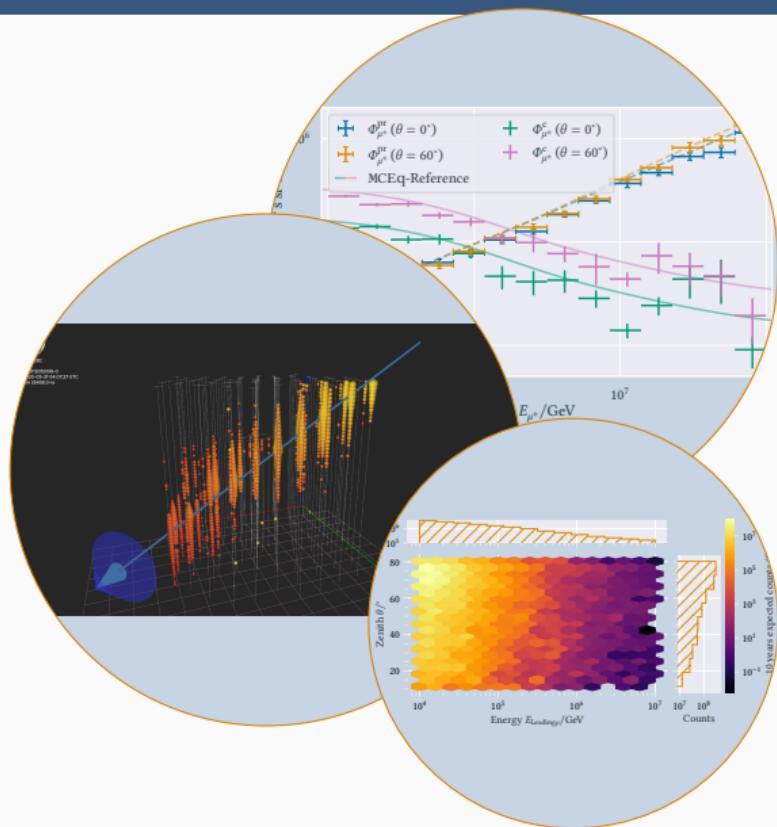
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Very Early-Stage  $5\sigma$  Discovery  
Potential:  $(3.24 \pm 0.13)\%$



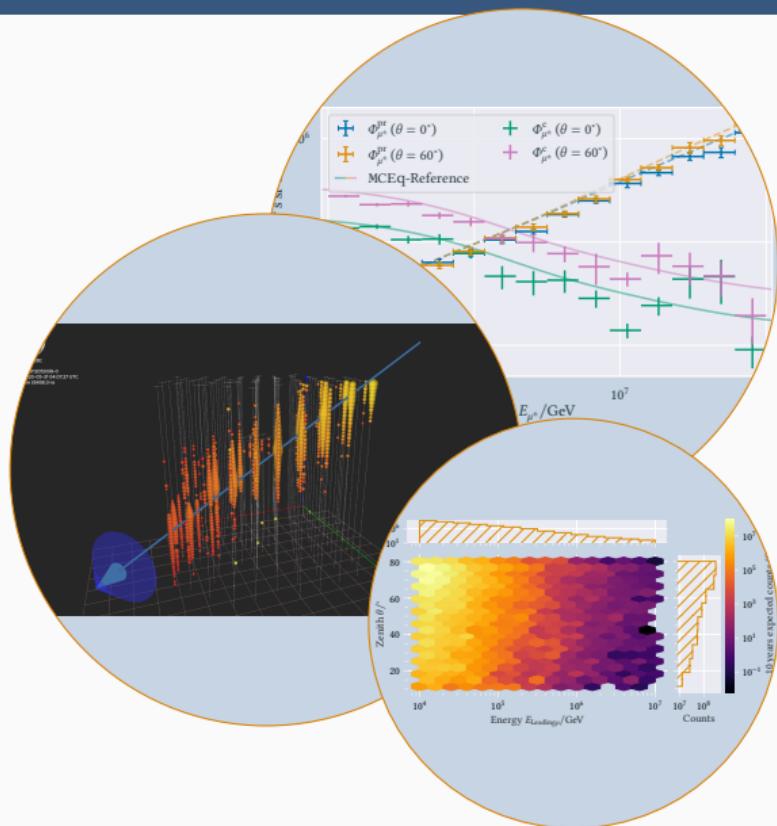
# Conclusion

- We can now identify the prompt component in **CORSIKA7** simulations
- With prompt tagging we can evaluate how prompt events behave in the detector
- Basis for measuring the prompt normalization



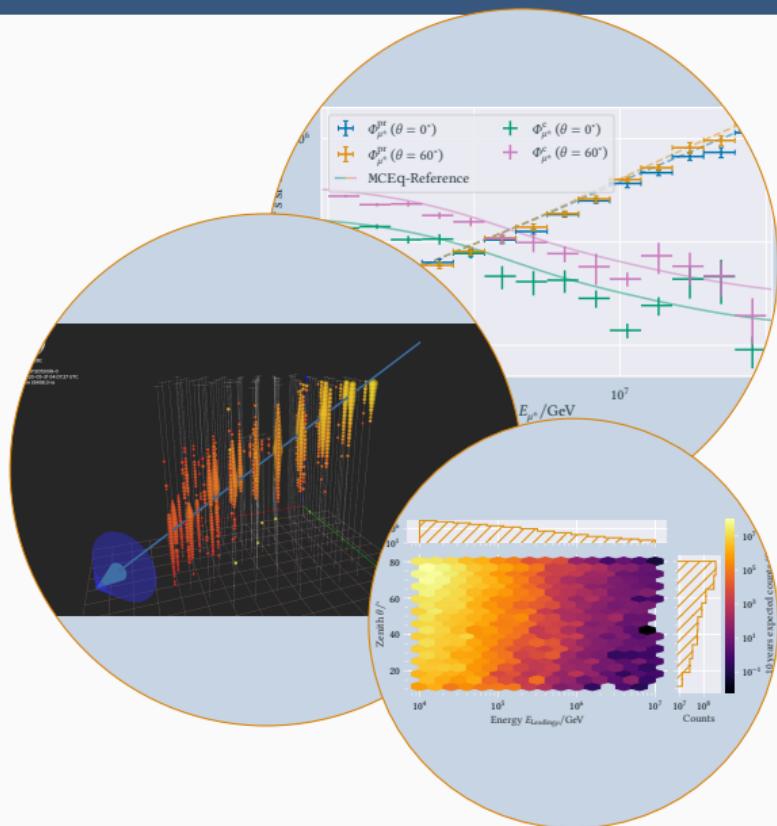
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- Unfolding: model-independent results



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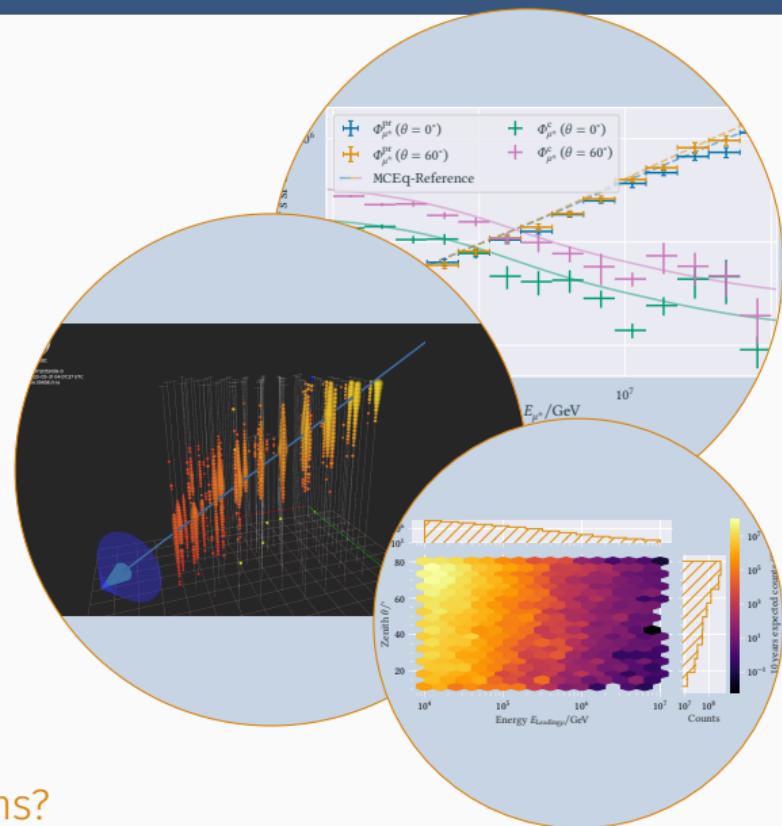
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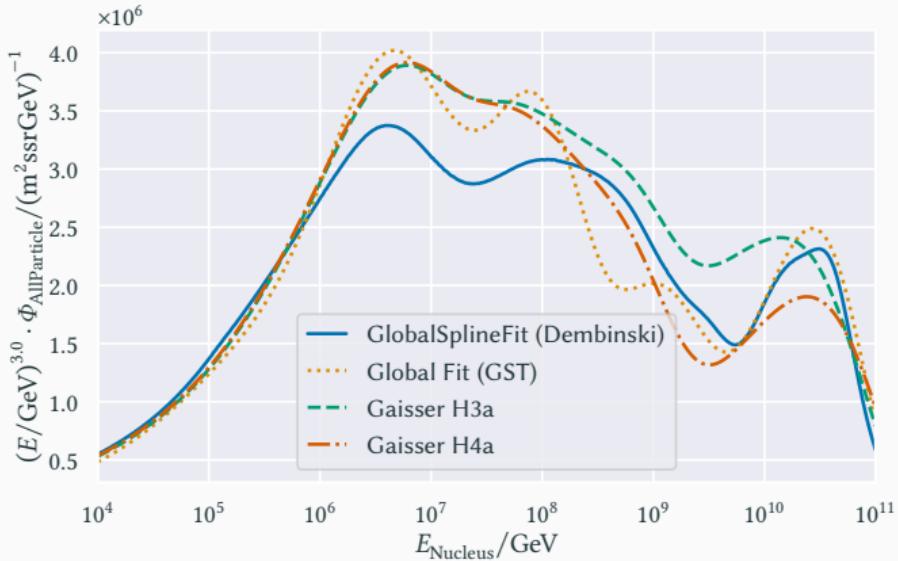
Thanks for your attention – any questions?



## Backup

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# Used Primary Models



GST and Gaisser H3a/H4a:

$$\Phi_p(E) = \sum_j a_{p,j} E^{-\gamma_{p,j}} \exp\left(-\frac{E}{Z_p R_{c,j}}\right)$$

$p = 1 \dots 5$  (p, He, C, Si, Fe)

$j = 1 \dots 3$  (Three populations)

Global Spline Fit (GSF):

$$\Phi_p(E) = \sum_j a_{p,j} \left(\frac{E}{Z_p}\right)^{-3} B_j \left(\ln \frac{E}{Z_p}\right)$$

$j = 1 \dots \approx 100, p = 1 \dots 4$  (p, He, O, Fe)

(+All other  $Z$  as  $\Phi_Z = c \cdot \Phi_p$ )

## How to: Find the True Parent Particle with EHIST

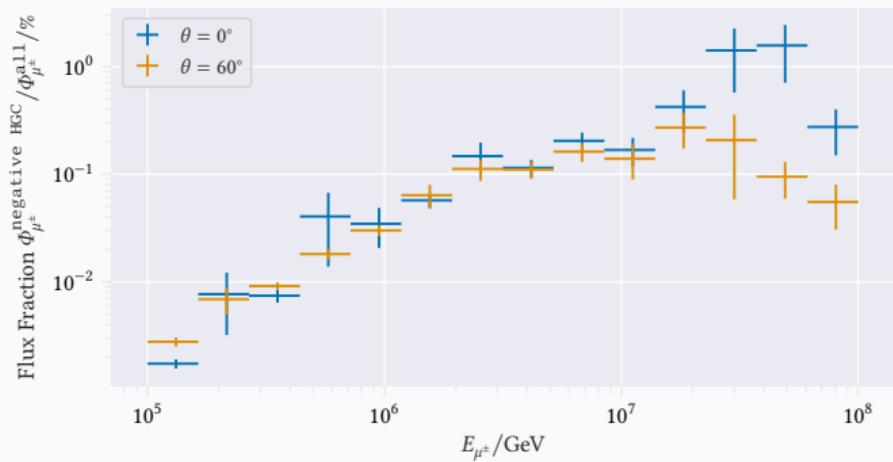
1. Read out the hadron generation counter (HGC) of the particle and it's "mother"
2. Calculate the difference in the hadron generation counter
3. If difference is 0 or 1 *and* mother is no resonance: ✓
4. Else if difference is 30 *and* mother has charm: ✓
5. Else if difference is 51 *and* mother is pion: ✓
6. Else: given mother particle is not the true parent particle ✗

# How to: Find the True Parent Particle with EHIST

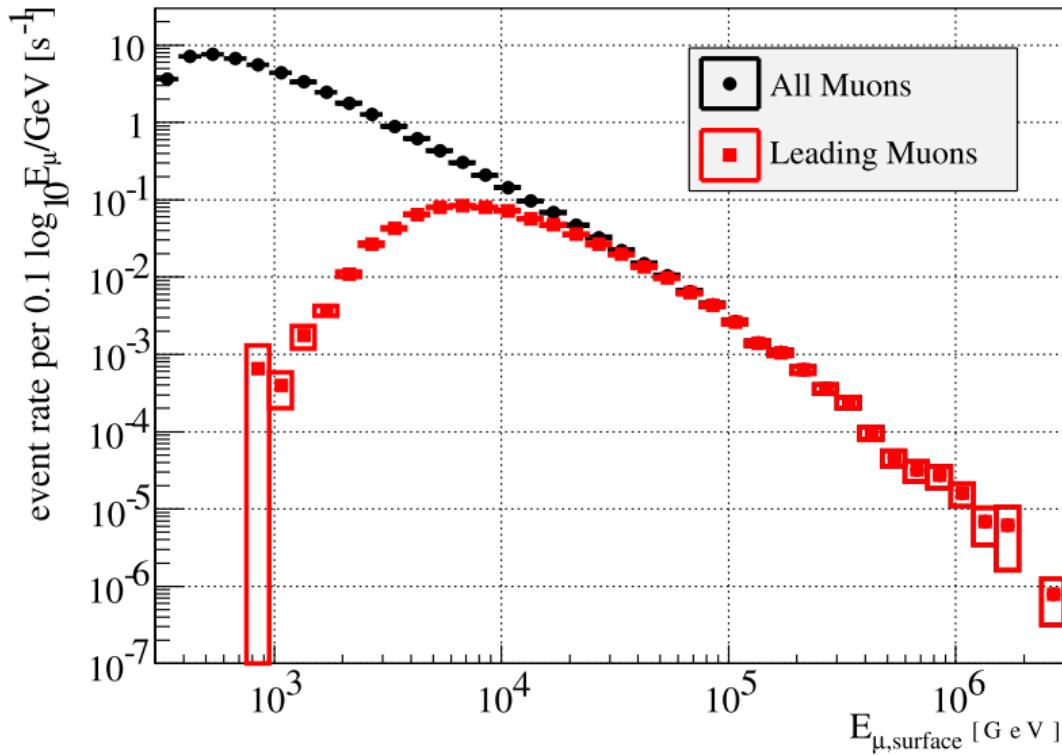
1. Read out the hadron generation counter (HGC) of the particle and it's "mother"
2. Calculate the difference in the hadron generation counter
3. If difference is 0 or 1 *and* mother is no resonance: ✓
4. Else if difference is 30 *and* mother has charm: ✓
5. Else if difference is 51 *and* mother is pion: ✓
6. Else: given mother particle is not the true parent particle ✗

Discovered "bugs":

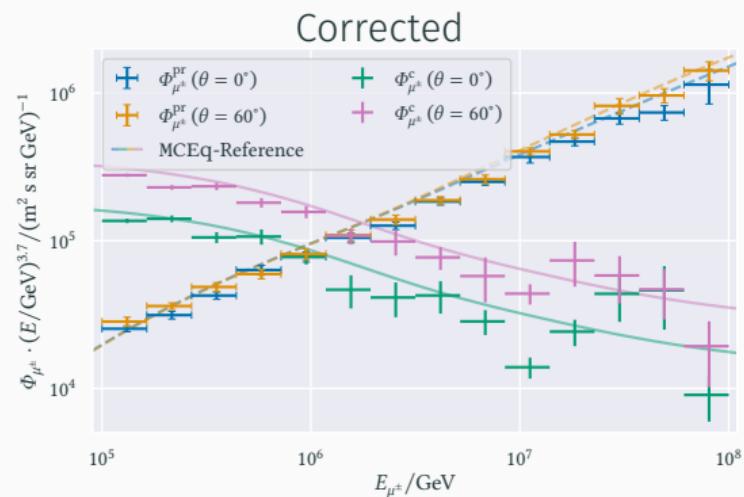
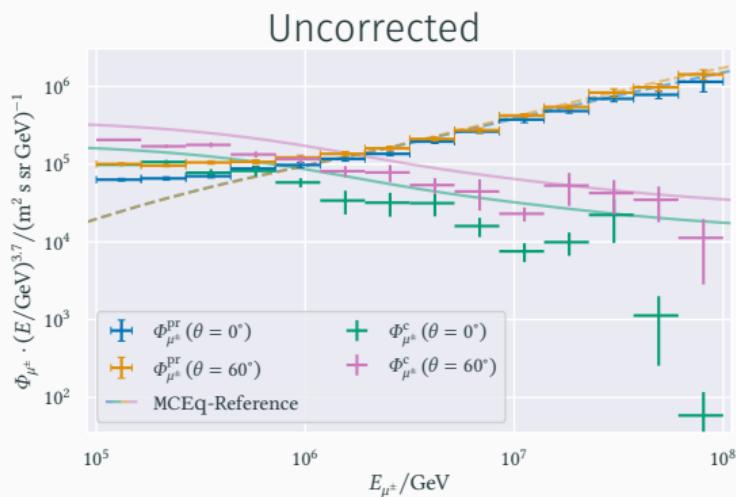
- Negative HGC differences
- Otherwise implausible HGC
- Muons as parents to muons



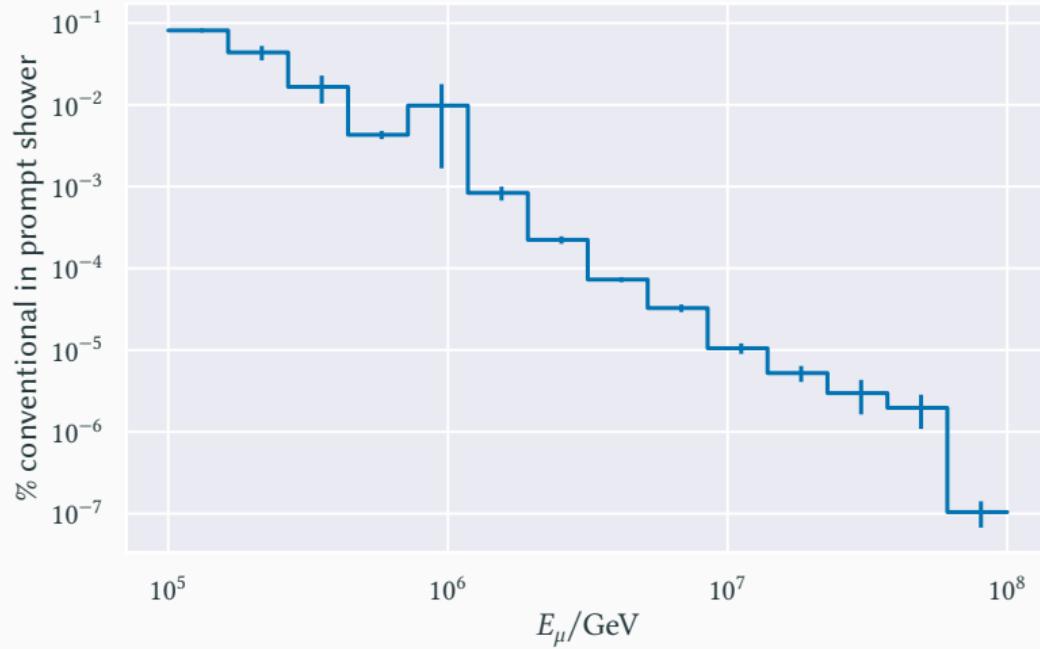
# Leading Muon in Previous IceCube Analysis



# MCEq and Corsika Comparison

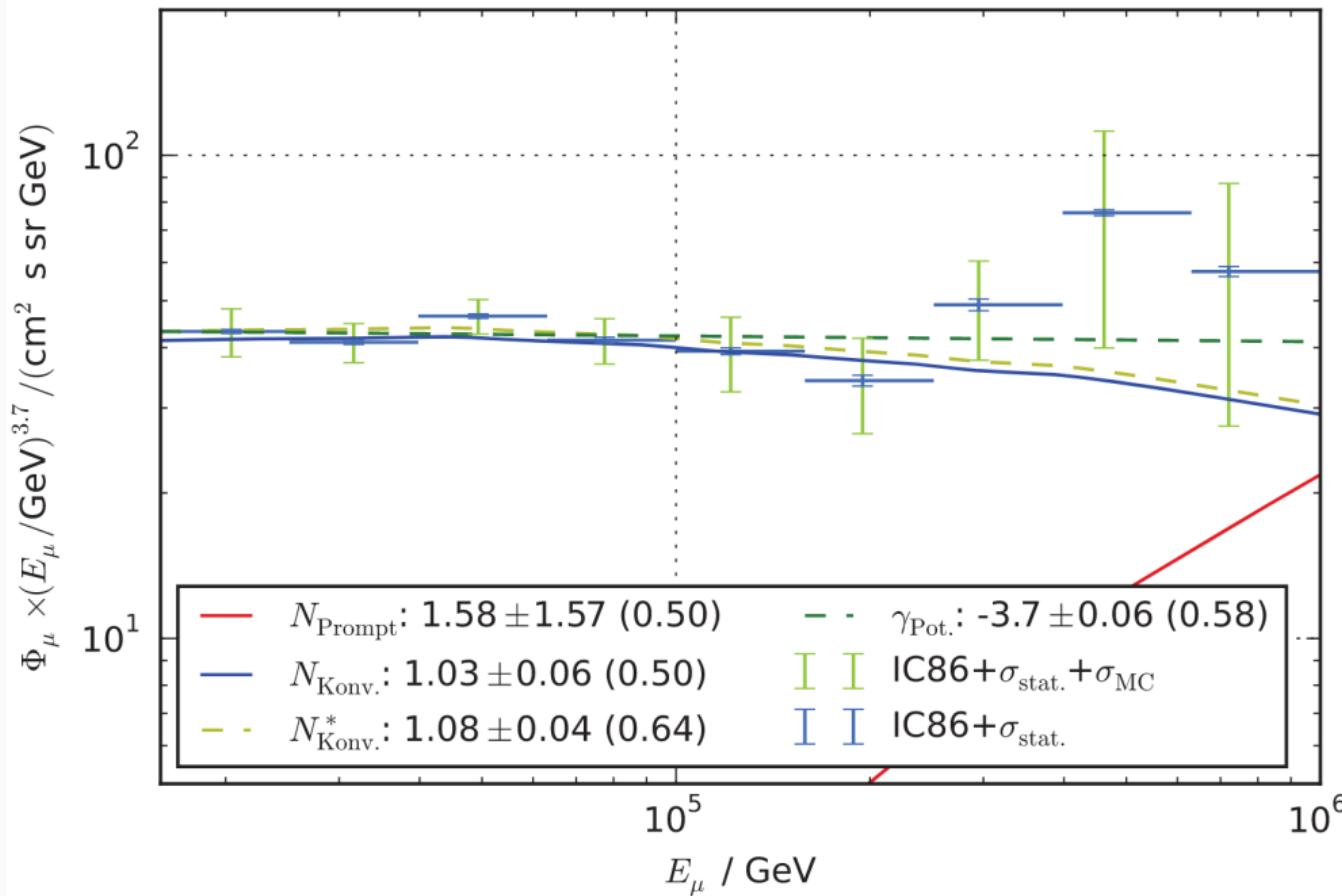


## Weighting Prompt per Event?

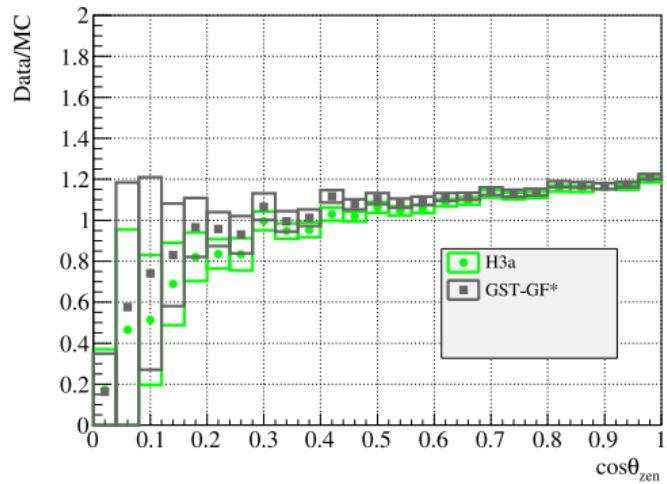
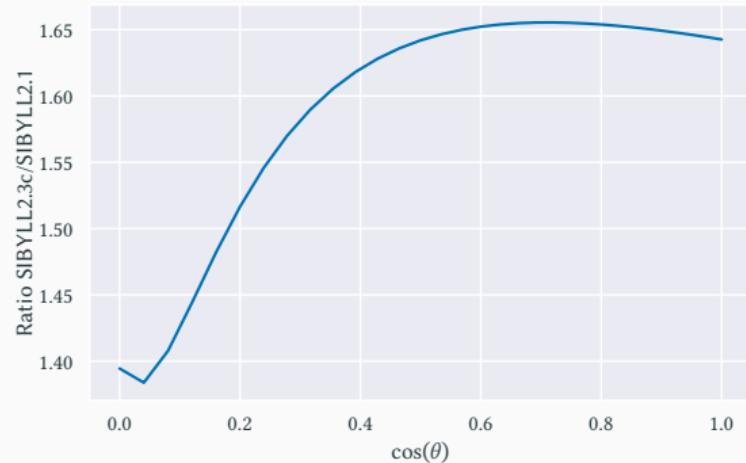


- Weighting a whole shower (event) as prompt
- Conventional particles in prompt-tagged shower will be weighted too
- Number of conventional particles in a shower with *any* prompt in the interesting energy region is  $< 0.1\%$

## Earlier Analyses: Tomasz Unfolding

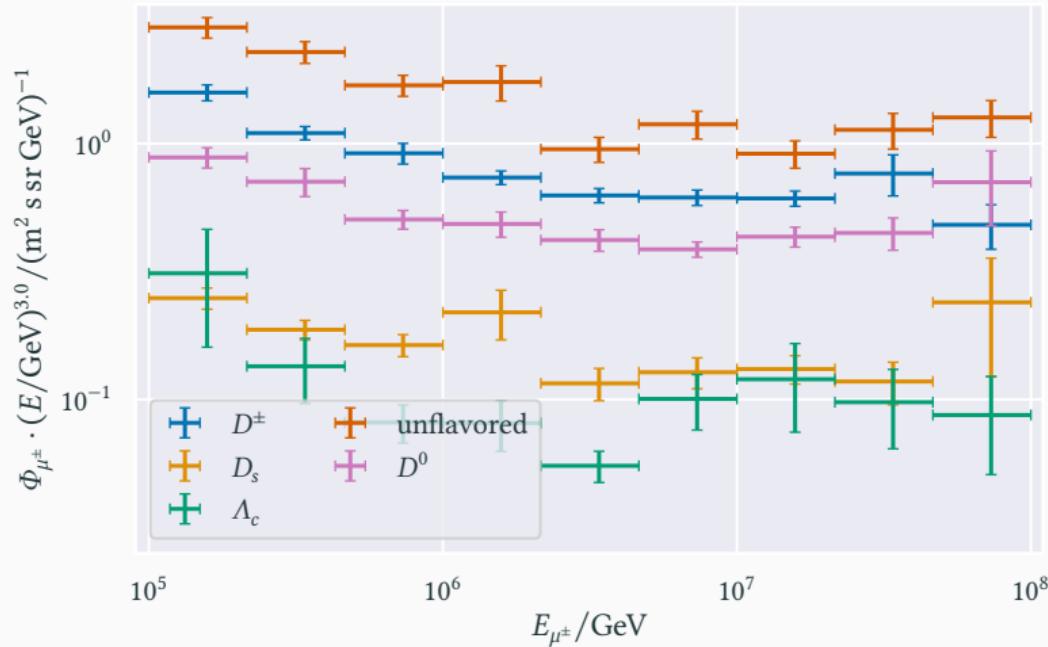


## Earlier Analyses: Characterization of Muon Flux at Icecube

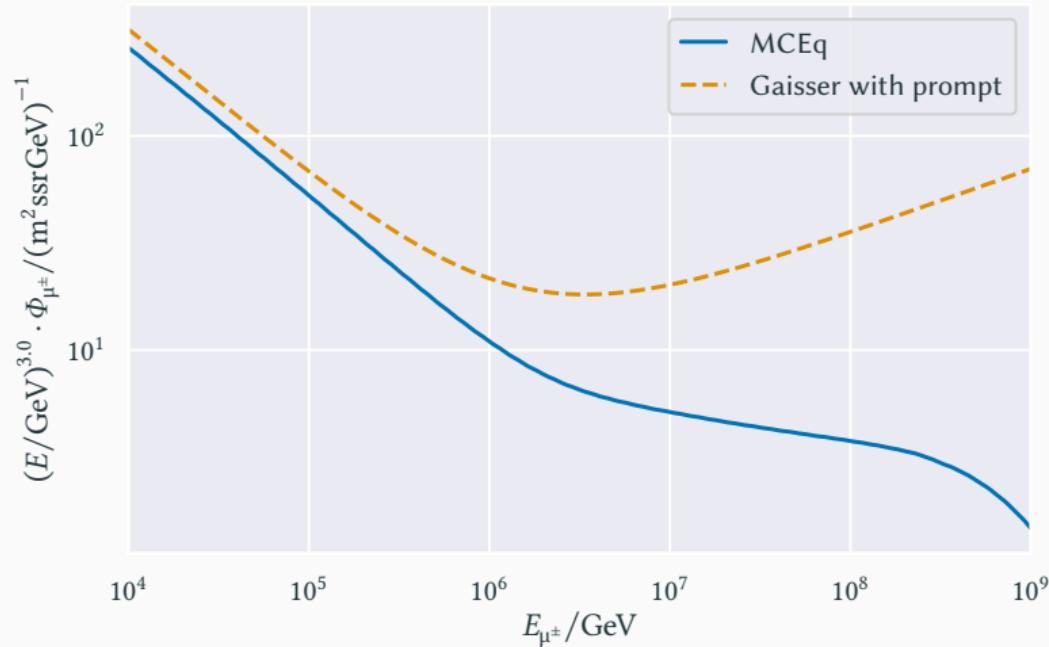


Zenith-dependent Data/MC mismatch

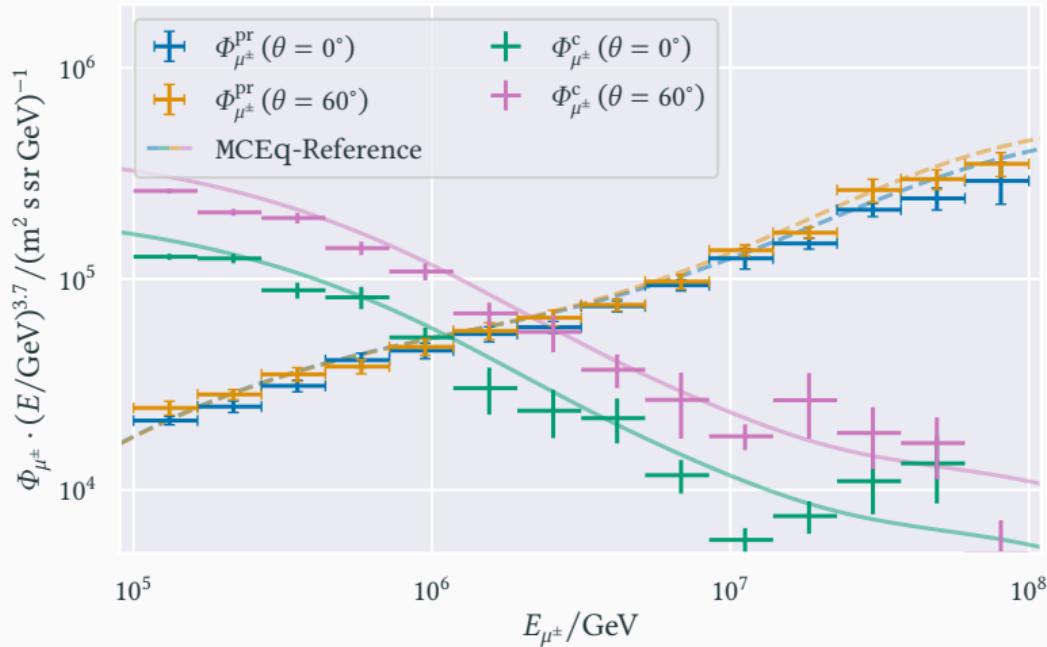
# Per Parent Particle



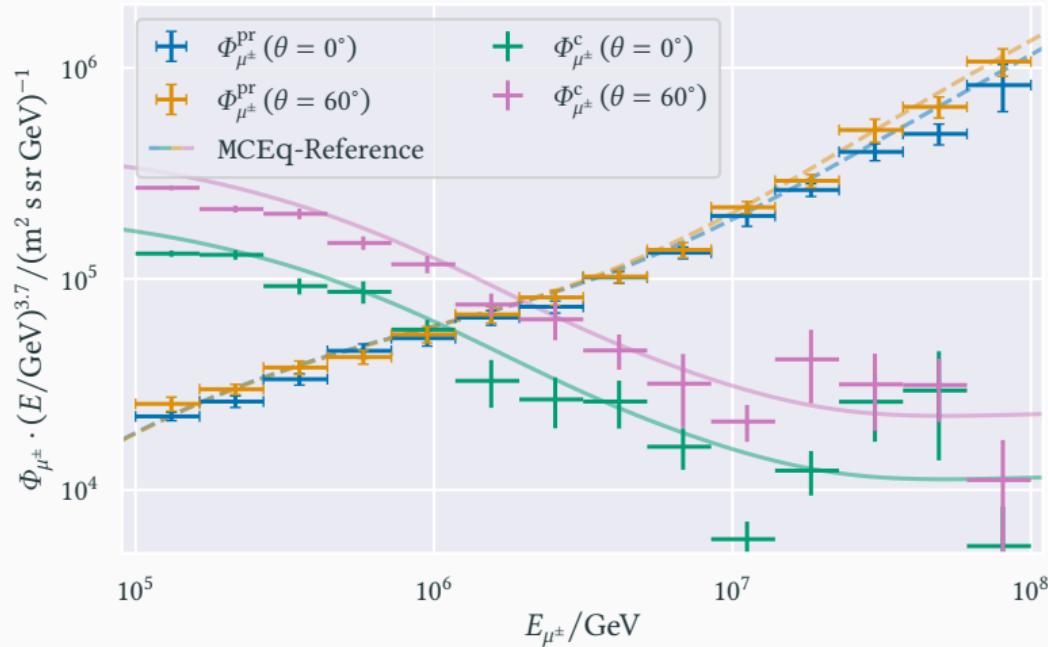
# Gaisser Prompt Model



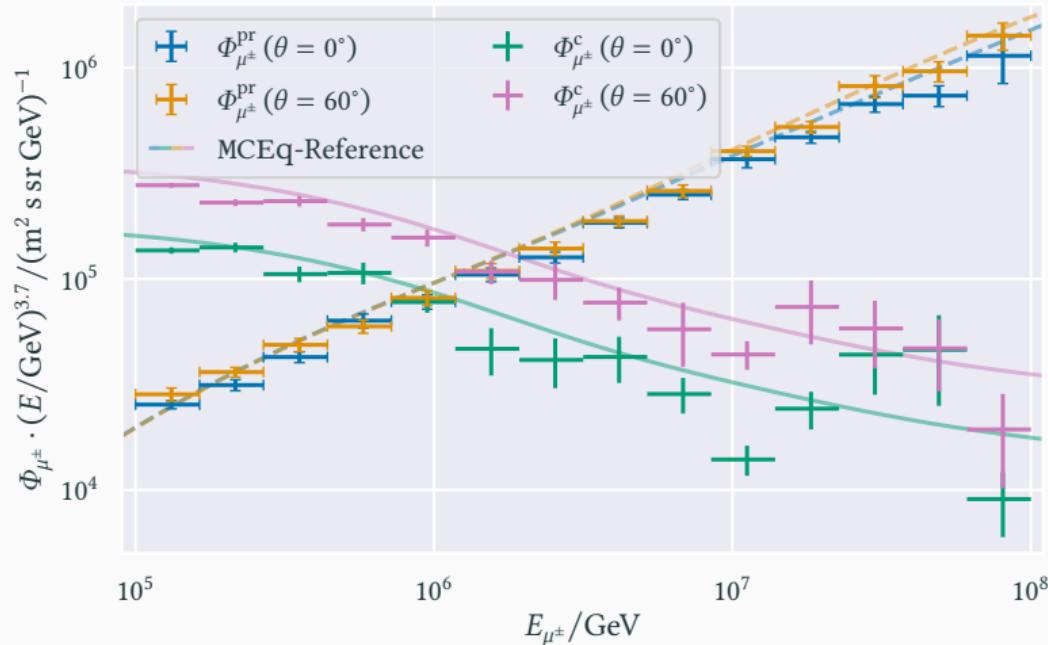
## Weighting: H3a



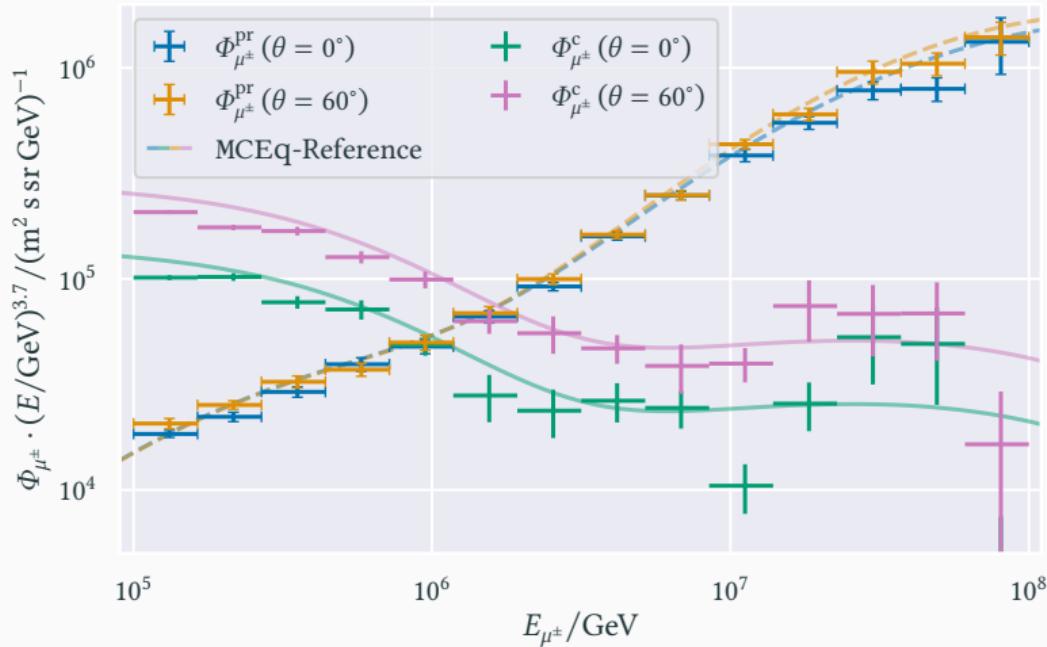
## Weighting: H4a



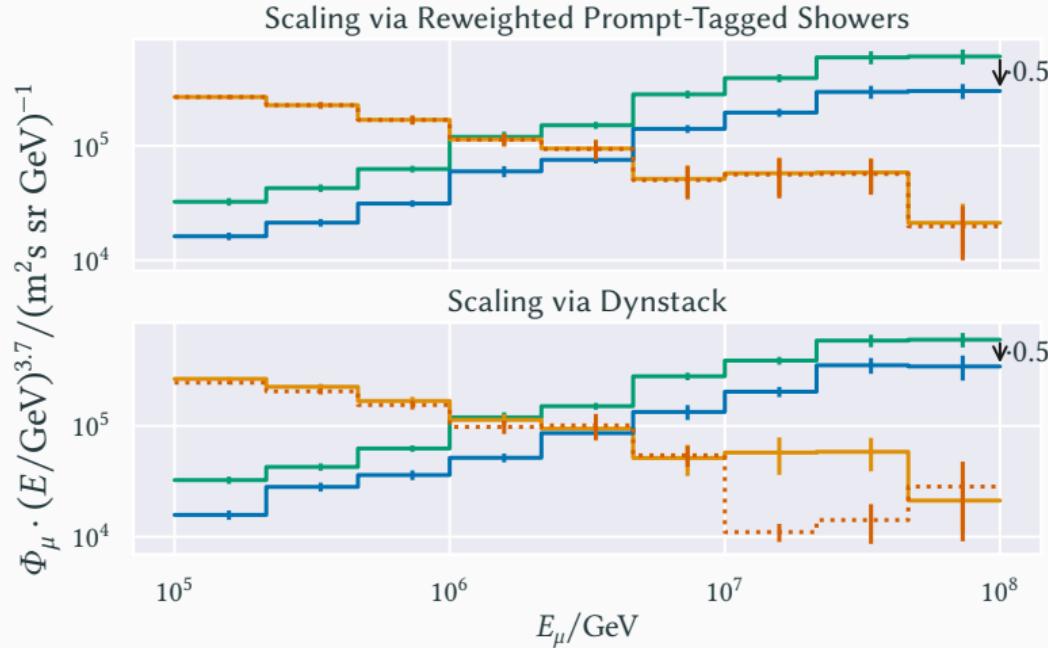
# Weighting: GSF



## Weighting: GST



# Dynstack and Tagging



## Dataset

Number	Primary	$E_{\text{min}} / \text{GeV}$	$E_{\text{max}} / \text{GeV}$	Spec. Index	Zenith-Angle
$10 \times 10^6$	H1	$1 \times 10^5$	$1 \times 10^9$	-1	$0^\circ, 60^\circ$
$10 \times 10^6$	He4	$1 \times 10^5$	$1 \times 10^9$	-1	$0^\circ, 60^\circ$
$10 \times 10^6$	C12	$1 \times 10^5$	$1 \times 10^9$	-1	$0^\circ, 60^\circ$
$1 \times 10^6$	Si28	$1 \times 10^5$	$1 \times 10^9$	-1	$0^\circ, 60^\circ$
$1 \times 10^6$	Fe54	$1 \times 10^5$	$1 \times 10^9$	-1	$0^\circ, 60^\circ$
$100 \times 10^3$	H1	$1 \times 10^9$	$5 \times 10^{10}$	-1	$0^\circ, 60^\circ$
$100 \times 10^3$	He4	$1 \times 10^9$	$5 \times 10^{10}$	-1	$0^\circ, 60^\circ$
$100 \times 10^3$	C12	$1 \times 10^9$	$5 \times 10^{10}$	-1	$0^\circ, 60^\circ$
$10 \times 10^3$	Si28	$1 \times 10^9$	$5 \times 10^{10}$	-1	$0^\circ, 60^\circ$
$10 \times 10^3$	Fe54	$1 \times 10^9$	$5 \times 10^{10}$	-1	$0^\circ, 60^\circ$