

# TRABAJO FIN DE GRADO INGENIERÍA INFORMÁTICA

## Aprendiendo a Seleccionar Objetivos con Deep Q-Learning en GVGAI

Anexo - Documentación Técnica y Tutoriales

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This document provides a description of the code structure as well as several use tutorials, which will allow anyone interested in the code to install and execute it.

## 1 Code Structure

## 1.1 GitHub Repository and Branches

The entirety of the code has been made available in the following **GitHub** repository. This repository contains a large number of branches, each one representing a different *version* of the code. This way, it has been easy to implement and test each version separately, without having to worry about the interactions with the other versions. For example, the Greedy Model and DQP Model correspond to different versions and, thus, are kept on separate branches. The different branches will now be explained.

## modelo-greedy

This branch was used to implement and test the Greedy Model. Firstly, we did some testing using a Jupyter Notebook and, then, integrated the Greedy Model into the agent architecture. In this version of the code, the agent performs *online training*, i.e., the data needed to train the model is collected in real-time during the training phase.

## modelo-DeepQLearning

The same as the modelo-greedy branch but with the DQP Model instead of the Greedy Model.

#### fast-Greedy

This branch significantly speeds up the process of training and testing different architectures of the Greedy Model. Firstly, it performs offline learning. This means that, instead of populating the dataset as the model is trained, it trains the model on an already-created, static dataset. This way, the dataset only needs to be populated once and the training is quicker. Secondly, we added auxiliary scripts to automatize the process of training and testing the Greedy Model: ejecutar\_pruebas.py, ejecutar\_test\_modelos.py and test\_output\_to\_csv.py.

#### fast-DQP

The same as the *fast-Greedy* branch but with the DQP Model instead of the Greedy Model.

#### tests-random

This branch implements the Random Model. This model selects subgoals in a random way and has been used as a baseline to compare the other two models against.

## planningtimes-Greedy

This branch is used to calculate how long, on average, it takes for the planner of the Greedy Model to find a plan to a given subgoal. For that task, the *medir\_tiempos\_test.py* script is used.

## planningtimes-DQP

The same as the planningtimes-Greedy branch but for the DQP Model.

#### Sokoban-base

In this branch we started to test the architecture on another GVGAI game known as *Sokoban*. However, it is unfinished and outside the scope of this project.

#### IceAndFire-base

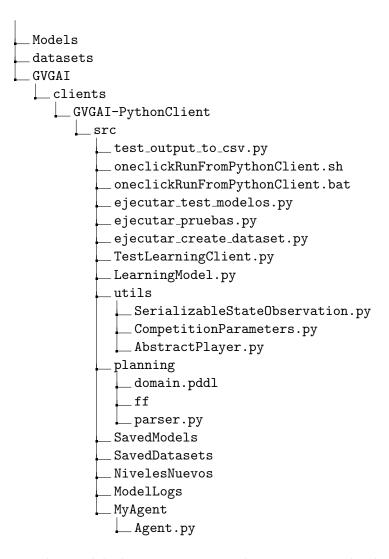
The same as the Sokoban-base branch but for the GVGAI game called Ice and Fire.

#### master

At the beginning, we started implementing all the code into a single branch (master). However, as development continued, we realized it was better to use several branches instead. For this reason, this branch was left behind in favour of the others.

#### 1.2 Directory Structure and Files

Here we present the most important files for the development of this project and where they are located within the directory tree. Were this not enough, please refer to the official **GVGAI** website and **GitHub repository** for the GVGAI Learning Track. Have in mind that some files (like the ones of GVGAI) are present in all branches whereas some others are only in certain branches. Below is shown an overview of the directory tree of both the fast-Greedy and fast-DQP branches:



The *Models* directory contains the Jupyter Notebooks we used to test the Greedy Model before integrating it into the agent architecture. For that task, we used the datasets in the *datasets* directory.

The *GVGAI* directory contains all the code needed to execute the GVGAI Learning Track. It also contains the code of our agent and the auxiliary scripts we have implemented. GVGAI uses a client-server architecture: the (local) server executes all the logic of the game whereas the agent acts as a client which returns to the server the action to execute in each game state.

The oneclickRunFromPythonClient.sh and oneclickRunFromPython Client.bat scripts are used to execute the agent. The former is used in Linux and the latter, in Windows. These scripts call the TestLearningClient.py script which initalizes the server and connects the agent to it. The most important parameters of this call are:

- gameId: the game to play (11 for Boulder Dash).
- agentName: the name of the Python script implementing the agent, in the form of directory.name.
- *visuals*: if used, the game shows a window which lets the user see how the agent plays the game.

The Agent.py script implements the agent which plays the game. It uses the functionality provided by the LearningModel.py script and the planning folder.

The LearningModel.py script implements the TensorFlow code used to learn to select subgoals by the agent. This code is different for the DQP and the Greedy Model. The SavedDatasets directory contains the datasets used to train the learning model and SavedModels is the directory where all the trained models are saved. ModelLogs (called DQNetworkLogs in the fast-DQP branch) contains the training logs of the models in SavedModels, which can be visualized using TensorBoard.

Once a subgoal has been selected, a planner is used to find a suitable plan to achieve it. The implementation of the planner, as well as its integration into the agent architecture (the parse\_game\_state and search\_plan methods of the Agent class), has been made by the student Vladislav Nikolav Vasilev. The planning folder contains all the code needed to perform the planning. The domain.pddl file contains the PDDL description of the planning domain of BoulderDash. The parser.py script is used to encode (parse) the inputs into a suitable representation for the planner. To finish, ff is the executable file of the Fast-Forward Planner, which is used to obtain every plan. The plans obtained are not always optimum in length, although they are usually close to it.

Inside the *NivelesNuevos* folder there are 10 new BoulderDash levels, different from the ones used for training and validation. These levels where used to test the final architecture of both the Greedy and DQP Model and, this way, obtain the final results which are shown in the paper. These levels were created using the VGDL language which GVGAI provides to create new games and levels.

The utils folder contains, as its name suggests, several utilities. Abstract-Player.py contains the class which all agents must inherit from. SerializableStateObservation.py implements the code used to encode a game state and shows all the information which can be retrieved from it by the agent. In the CompetitionParameters.py script several execution parameters are set. The most important one is TOTAL\_LEARNING\_TIME, which is how long the training phase will last. After this time, the training phase ends and the validation phase begins.

To conclude, there are the auxiliary scripts, which are used to automatize the creation of datasets, training, validation and test process. *ejecu-*

tar\_create\_datasets.py is used to automatically generate new training datasets, which are saved in the SavedDatasets directory. ejecutar\_pruebas.py is used to repeat the training and validation of a given DQP or Greedy architecture a certain number of times. Repetition reduces the variance of the results obtained so it is needed in order to obtain robust results. ejecutar\_test\_modelos.py is used to test a series of already-trained models on the 10 new levels used for testing. These scripts use regular expressions to change the Agent.py and CompetitionParameters.py scripts and, then, execute oneclickRunFromPythonClient.sh. We have chosen this way of automation because, since all the code is in the form of Python scripts, it is really easy to change them using regular expressions and call them from other scripts. Also, the GVGAI documentation is a little confusing and we had issues trying to find, for example, how to increase the number of validation levels from 2 to 10. test\_output\_to\_csv.py reads test\_output.txt (file which contains the performance obtained by the models on the validation or test levels) and encodes it in CSV.

#### 1.3 Class Structure and Methods

As explained before, we have split our code across several Python scripts. The most important ones are *Agent.py*, which implements the logic of the agent, and *LearningModel.py*, which implements the TensorFlow code the agent uses to learn to select subgoals. Here we will describe those scripts for both the *fast-DQP* and *fast-Greedy* branches.

Agent.py defines the Agent class, which inherits from the AbstractPlayer class defined in AbstractPlayer.py. All agents must inherit from AbstractPlayer to be able to connect to the GVGAI server. The main methods of this class are the following:

#### \_\_init\_\_

Constructor. It is called before any other method.

What it does depends on the execution mode of the agent. There are three different ones: create\_dataset, train and test. In create\_dataset, the agent simply plays the training levels, selecting subgoals randomly and using the collected experience to populate the training datasets. In train, the agent loads the datasets and trains a learning model on them, which learns to select subgoals. In test, the agent loads an already-trained model and uses it to play the validation or test levels, measuring how many actions it uses to beat each level.

#### init

Similar to \_\_init\_\_, this method is called at the start of every level.

#### act

The most important method of the *Agent* class. It is called at each game state and it must return the action the agent will execute.

If the execution mode is create\_dataset, the agent plays the training levels (levels 0-2). Every time it needs to select a subgoal (gem), it chooses it randomly. While the agent plays the game, it saves the collected experience and, when the number of samples is big enough, it saves the dataset to disk and the execution ends.

If the execution mode is train, the agent performs the training of the learning model (which can be either the DQP Model or the Greedy Model). It loads datasets of different sizes and, for each dataset, it trains an independent model (but with the same network architecture). Once a model has been trained, it is saved to disk. After all the models have been trained, execution ends. All this process happens at the very first turn of the agent. This is why, in this execution mode, the agent doesn't play the game.

If the execution mode is test, the agent plays the validation/test levels (levels 3 and 4). Every time it needs to select a subgoal, it uses the loaded, already-trained model to select the best one. In order to test a model on the 10 test levels instead of just 2, the ejecutar\_test\_modelos.py script is used, which repeats the execution of the agent using a different pair of test levels each time.

#### get\_gems\_positions

Given a game state, it returns the positions of the gems which the agent have not picked yet.

#### encode\_game\_state

It transforms the encoding of a game state from a matrix of observations (instance of the *SerializableStateObservation* class) to a *one-hot matrix*, i.e., the state representation the learning model works with.

#### $choose\_next\_subgoal$

Given a game state, the positions of the available gems and the position of the agent, it uses the learning model to choose the best subgoal (gem).

## parse\_game\_state

It encodes (parses) a game state and subgoal as a suitable representation for the planner.

## $search_plan$

Given the current game state and a selected subgoal, it uses the planner to find a suitable plan that achieves it.

#### $save\_dataset$

It uses the *pickle* module to save the data collected by the agent (experience replay) to disk as a new dataset.

#### $load\_dataset$

It uses the *pickle* module to load a dataset with a given number of samples to be used for training the learning model.

#### result

This method is called when the current level of the game ends. It must return which level to play next, which we have chosen to be simply the next one. If the *execution mode* is *test*, it outputs to the *test\_output.txt* file the number of actions used to complete each validation/test level. Once done, execution ends.

Learning Model.py defines the CNN class in the fast-Greedy branch and the DQNetwork class in the fast-DQP branch. The main methods of both classes are described above.

#### $_{-}$ init $_{-}$

Constructor. It initializes the learning model. It defines the network architecture and hyperparameters and also initializes the parameters, log writer and TensorFlow session.

## close\_session

It closes the current TensorFlow session, freeing the resources.

## predict

Given an input x (a selected subgoal and a game state), it predicts the length y of the associated plan.

#### train

It performs a certain number of training iterations on the given (X, Y) batch of training samples.

## save\_logs

It calculates the training loss on the given (X, Y) batch and saves it to disk to be later visualized using TensorBoard.

#### save\_model

It saves the trained model to disk.

#### load\_model

It loads an already-trained model from disk.

## 2 Tutorials

This section provides several tutorials which will teach the user how to use the above-mentioned functionalities.

#### 2.1 Basic Tutorial

This tutorial will show how to install the GVGAI framework and use it to execute a test agent which plays the BoulderDash game.

## **Prerequisites**

The code of this project has been developed using the following tools:

- Java OpenJDK 11.0.6
- Anaconda 4.7.12. In the *master* branch of the project repository, within the parent directory, there is a file called *conda\_env.yml*. This file contains the conda environment used for this project.
- **Git**. In order to upload the training datasets (*SavedDatasets* folder), which are too big for normal git, **git** lfs is needed. Simply associate the *.dat* format to git lfs with the following command: git lfs track "\*.dat".
- Ubuntu 18.04.3 LTS x86\_64

#### Clone the repository

Clone the **GitHub repository** of this project and switch to the *master* branch, where the conda environment file is located.

## Import the conda environment

Use the *conda\_env.yml* file to create a new conda environment using the following command: conda env create -f conda\\_env.yml. This will create the conda environment and install all the dependencies, including Python 3.5.6. Activate the environment with conda activate PlanningWithSubgoals. Then, if you execute conda list, you should be able to see Python and all the packages installed, such as TensorFlow.

## Create a new agent

In the GVGAI/clients/GVGAI-PythonClient/src directory, create a new directory called *TestAgent*. Inside it, create a Python script called *Agent.py* with the following code:

```
from AbstractPlayer import AbstractPlayer
    from Types import *
    from utils.Types import LEARNING_SSO_TYPE
6
    class Agent(AbstractPlayer):
        def __init__(self):
8
            AbstractPlayer.__init__(self)
9
            self.lastSsoType = LEARNING_SSO_TYPE.JSON
10
        def init(self, sso, elapsedTimer):
12
            pass
        def act(self, sso, elapsedTimer):
            return 'ACTION_UP'
16
```

This simple agent will simply execute ACTION UP in each turn, which means it will go up until it hits a wall.

## Change CompetitionParameters.py

Inside the *utils* directory, open the *CompetitionParameters.py* file. Set the class attribute named TOTAL\_LEARNING\_TIME equal to 3\*MILLIS\_IN\_MIN. This way, the agent will play the training levels for 3 minutes, before entering the validation phase.

## $Change\ one click Run From Python Client. sh$

Edit the oneclickRunFromPythonClient.sh as follows:

```
#!/bin/bash
game_id=11
server_dir=../../..
```

```
agent_name = TestAgent . Agent
    sh_dir=utils
6
8
    DIRECTORY = './logs'
9
    if [ ! -d "$DIRECTORY" ]; then
10
        mkdir ${DIRECTORY}
11
12
    # Run the client with visualisation on
14
    python TestLearningClient.py -gameId ${game_id} -agentName ${
      agent_name} -serverDir ${server_dir} -shDir ${sh_dir} -
      visuals
16
```

## Execute the agent

Still with the conda environment activated, execute bash oneclickRunFromPythonClient.sh. The agent will connect to the server and a window showing the agent playing the first level of BoulderDash should open, as shown in the figure below.



Figure 1: The agent playing BoulderDash.

## 2.2 Creating Datasets

In this tutorial we will explain how to create new datasets to train the model on. The experience used to populate the datasets is collected by the agent while playing the three training levels GVGAI provides. fast-DQP is the branch we will be using for this tutorial. However, the process is identical for the fast-Greedy branch.

### Switch Branches and Activate Conda Environment

Switch to the *fast-DQP* branch by executing git checkout fast-DQP on the terminal. Then, activate the conda environment using conda activate PlanningWithSubgoals.

## Edit ejecutar\_create\_dataset.py

Move to the *src* directory and open *ejecutar\_create\_dataset.py*. id\_dataset\_ini and id\_dataset\_fin are the indexes of the first and last dataset to generate. Let's set id\_dataset\_ini=11 and id\_dataset\_fin=12 to generate two additional datasets to the 10 already created.

## Edit Agent.py

In Agent.py, set self.EXECUTION\_MODE="create\_dataset".

## Edit CompetitionParameters.py

In CompetitionParameters.py, set TOTAL\_LEARNING\_TIME=100\*60\* MILLIS\_IN\_MIN.

## Edit oneclickRunFromPythonClient.py

If the *visuals* parameter is set, it is advised to remove it, since the execution will be faster without any GUI. However, if you want to observe the agent play the game, you can use this parameter.

## Start the Execution

On a terminal, execute python ejecutar\_create\_dataset.py. This will create all the datasets given in *ejecutar\_create\_dataset.py*, each one containing 1000 samples. This number can be changed in *Agent.py*, using the attribute self.num\_samples\_for\_saving\_dataset.

After finishing the execution, open the *SavedDatasets* directory. You should be able to see two new datasets: *dataset\_1000\_11.dat* and *dataset\_1000\_12.dat*.

## 2.3 Training and Validating a Model

In this tutorial we'll cover how to train a given model architecture and, after training, validate it on the two validation levels GVGAI provides. *fast-DQP* is the branch we will be using for this tutorial. However, the process is identical for the *fast-Greedy* branch.

### Switch Branches and Activate Conda Environment

Switch to the fast-DQP branch by executing git checkout fast-DQP on the terminal. Then, activate the conda environment using conda activate PlanningWithSubgoals.

## Edit ejecutar\_pruebas.py

Move to the *src* directory and open *ejecutar\_pruebas.py*. In this script, you can set the training options as well as choose the network hyperparameters.

Let's set num\_rep to 1, since we want to train and validate the model only once. Then, set model\_id = curr\_rep and choose the model hyperparameters, e.g., num\_its = 500, alfa = 0.005, dropout = 0.2 and batch\_size = 16. These hyperparameters can be easily changed from this script because they are the ones we tested most during the development of this project. However, if you want to change the CNN architecture, you can do so by editing the LearningModel.py script. Finally, if you don't want your computer to shutdown after the script has finished executing, substitute the line os.system("shutdown -h +1") with pass.

## Edit oneclickRunFromPythonClient.sh

In case the *visuals* parameter is set, remove it. Remember that, during training, the agent does not really play the game, it just loads several datasets and trains the model on them. For that reason, it's better to turn the visualization off.

#### Start the Execution

On a terminal, execute python ejecutar\_pruebas.py. This will train the model on several datasets and then will evaluate each of the trained models on the two validation levels. The number of actions used to complete the validation levels by each model are output to test\_output.txt.

After the execution has finished,  $test\_output.txt$  should show something like this:

```
Model Name: DQN_alfa-0.005_dropout-0.2_batch-16_its-500_0

5 500 - level 0 - 82, level 1 - 148
6 1000 - level 0 - 99, level 1 - 125
7 2500 - level 0 - 116, level 1 - 121
8 5000 - level 0 - 117, level 1 - 197
9 7500 - level 0 - 101, level 1 - 158
10 10000 - level 0 - 91, level 1 - 162
```

## 2.4 Testing a Model

This tutorial will show how to easily test a trained model on the 10 test levels using the *ejecutar\_test\_modelos.py* script. We will be using the *fast-DQP* branch, although the steps are the same for the *fast-Greedy* branch.

#### Switch Branches and Activate Conda Environment

Switch to the *fast-DQP* branch by executing git checkout fast-DQP on the terminal. Then, activate the conda environment using conda activate PlanningWithSubgoals.

## Edit ejecutar\_test\_modelos.py

Let's use  $ejecutar\_test\_modelos.py$  to test the model we trained in the previous tutorial. The name of this model is  $DQN\_alfa-0.005\_dropout-0.2\_batch-16\_its-500\_0$  and was saved inside the SavedModels directory.

Then, in *ejecutar\_test\_modelos.py*, set nombre\_modelos = "DQN\_alfa-0.005\_dropout-0.2\_batch-16\_its-500\_", id\_ini = 0 and id\_fin = 0. id\_ini and id\_fin are used to test several versions of the same model architecture. However, this is not the case here, since in the previous tutorial we only trained one version of the model (we set num\_rep to 1 in *ejecutar\_pruebas.py*).

## Edit Agent.py

In Agent.py, set self.EXECUTION\_MODE="test".

## Edit CompetitionParameters.py

In CompetitionParameters.py, set TOTAL\_LEARNING\_TIME=0.1\*MILLIS\_IN\_MIN. The agent cannot directly jump into the test levels without playing at least one training level before. This way, by setting TOTAL\_LEARNING\_TIME to a very small amount of time, we make sure that, as the agent finishes the first training level, the training phase ends and it starts playing the test levels.

## Edit oneclickRunFromPythonClient.sh

In oneclickRunFromPythonClient.sh, set the visuals parameter on if you want to see the agent play the test levels and set it off otherwise.

#### Clean test\_output.txt

Delete the contents of *test\_output.txt*, since the performance of the model on the test levels will be saved in this file.

#### Start the Execution

On a terminal, execute python ejecutar\_test\_modelos.py. This script will start testing the trained model on all the test levels and will save the number of actions to *test\_output.txt*. After execution, open *test\_output.txt* and you should be able to see something like this:

```
_____
  - Nivel 5 y nivel 6
  Model Name: DQN_alfa-0.005_dropout-0.2_batch-16_its-500_0
9 500 - level 0 - 86, level 1 - 69
10 1000 - level 0 - 136, level 1 - 83
11 2500 - level 0 - 58, level 1 - 56
12 5000 - level 0 - 115, level 1 - 55
13 7500 - level 0 - 64, level 1 - 77
14 10000 - level 0 - 128, level 1 - 60
16
17 - Nivel 7 y nivel 8 -
21
22 Model Name: DQN_alfa-0.005_dropout-0.2_batch-16_its-500_0
24 500 - level 0 - 49, level 1 - 77
25 1000 - level 0 - 47, level 1 - 89
26 2500 - level 0 - 47, level 1 - 83
27 5000 - level 0 - 58, level 1 - 98
28 7500 - level 0 - 69, level 1 - 86
29 10000 - level 0 - 37, level 1 - 83
32 - Nivel 9 y nivel 10 -
33 -----
34
  _____
37 Model Name: DQN_alfa-0.005_dropout-0.2_batch-16_its-500_0
39 500 - level 0 - 82, level 1 - 111
40\ 1000\ -\ level\ 0\ -\ 100, level 1 - 106
^{41} 2500 - level 0 - 81, level 1 - 98
42 5000 - level 0 - 79, level 1 - 101
43 7500 - level 0 - 53, level 1 - 89
44 10000 - level 0 - 65, level 1 - 96
47 - Nivel 11 y nivel 12 -
```

```
49
50
51
52 Model Name: DQN_alfa-0.005_dropout-0.2_batch-16_its-500_0
54 500 - level 0 - 67, level 1 - 55
55 1000 - level 0 - 74, level 1 - 59
56 2500 - level 0 - 76, level 1 - 81
57 5000 - level 0 - 74, level 1 - 59
58 7500 - level 0 - 75, level 1 - 80
59 10000 - level 0 - 71, level 1 - 77
60
61 -----
62 - Nivel 13 y nivel 14 -
63 -----
65
66
67 Model Name: DQN_alfa-0.005_dropout-0.2_batch-16_its-500_0
68
69 500 - level 0 - 82, level 1 - 148
70 1000 - level 0 - 99, level 1 - 125
71 2500 - level 0 - 116, level 1 - 121
72 5000 - level 0 - 117, level 1 - 197
73 7500 - level 0 - 101, level 1 - 158
74 10000 - level 0 - 91, level 1 - 162
```

## Parse the output

In case you want to encode the contents of  $test\_output.txt$  as CSV, you can use the  $python\ test\_output\_to\_csv.py$  script.

Open it and edit the following: pruebas\_por\_modelo = 6, num\_modelos = 1, num\_niveles\_test = 10. num\_niveles\_test is the number of test levels used, num\_modelos is how many models we have tested and pruebas\_por\_modelo is how many datasets we have trained each model on.

Execute python test\_output\_to\_csv.py and, within the same directory, you should be able to see a new file called *test\_output\_parseado.csv*. If you open it as plain text, you will see something like this:

```
1 - Nivel 5 -
2 86
3 136
4 58
5 115
6 64
7 128
8
9 - Nivel 6 -
10 69
11 83
```

```
12 56
13 55
14 77
15 60
16
17 - Nivel 7 -
18 49
19 47
20 47
21 58
22 69
23 37
24
25 - Nivel 8 -
26 77
27 89
28 83
29 98
30 86
31 83
32
33 - Nivel 9 -
34 82
35 100
36 81
37 79
38 53
39 65
40
_{
m 41} - Nivel 10 -
42 111
43 106
44 98
45 101
46 89
47 96
49 - Nivel 11 -
50 67
51 74
52 76
53 74
54 75
55 71
56
57 - Nivel 12 -
58 55
59 59
60 81
61 59
62 80
63 77
_{65} - Nivel 13 -
```

```
66 82
67 99
68 116
69 117
70 101
71 91
72
73 - Nivel 14 -
74 148
75 125
76 121
77 197
78 158
79 162
```

Each -  $Nivel\ X$  - section corresponds to the performance of the model on test level number X. The numbers of actions are listed starting from the smallest dataset. For instance, the model trained on the smallest dataset has used 86 actions to complete level 5 and 128 actions when it was trained on the biggest dataset.  $^1$ 

 $<sup>^1\</sup>mathrm{As}$  can be observed, the results obtained are really bad. This is because, in order to speed up the execution of the train and test tutorial, the model was trained for only 500 training iterations. To improve the performance, it is advised to train it for 5000 training iterations.