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FEDERAL BUREAU OF INVESTIGATION

WILLIAM FAULKNER PART 1 OF 1

FILE NUMBER: 9-31636

File No: 9 = 3	31636 MICROFI	m Ro: William Su	rulkn	S.	 Date:
Serial	Date	Description (Type of communication, to, from)	han	Cures Released	used or, to whom referred stute if (b)(3) cited)
	1-28-57	NY tt			
2	1-28-57	Internal Memo	1	1	
3	1-30-57	Memphis H	2	a	 :
4	2-19-57	Memphis Report	4	4	
	3-6-57	NY Report	5	5	
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			18	18	

U & NEWTONI OF FITTE CONTRUNCATIONS SECTION Jan 28 1957 TELETYPE FROM NEW YORK UNSUB, WAS., A. B. STEIN, MRS. WILLIAM FAULKNER-VICTIM-EX RE BU TELEPHONE CALL THIS DATE IN WHICH ASSISTANT TO THE EIRECT L.B. NICHOLS REQUESTED MR. DONALD KLOPFER, RANDOM HOUSE INC., SI CON-TACTED TO SECURE INFORMATION OF A VIOLATION WITHING THE STREAMS JURISDICTION. MR. DONALD KLOPFER, PUBLISHER, RANDOM HOUSE INC., ADVISED THAT MR SAX COMMINS, EDITOR AND ASSOCIATE OF WILLIAM FAULKNER FECEIVED A LETTER FROM FAULKNER WHO RESIDES OXFORD, MISSISSIPPI, TO THE EFFECT THAT MRS. FAULKNER HAD RECEIVED FOUR TELEPHONE CALLS ALLEGEDLY FROM ONE A.B. STEIN IN NY. THE FIRST OF WHICH WAS RECEIVED ON ELEVEN THENTY NINE FIFTYSIX. IN THIS CALL SUBJECT SAID THAT FOR FIVE HUNDRED DOLLARS HE COULD TELL HER SOMETHING ABOUT A MISS STEIN AND HER HUSBANT. SINCE THE FIRST CALL MRS. FAULKNER HAS RECEIVED THREE ADDITIONAL CALLS THE LAST OF WHICH WAS RECEIVED ON ONE TJENTY TWO INSTANT. SUBSTANCE C7 LAST THREE CALLS NOT KNOWN. MR. KLOPFER FURTHER ADVISED HISS STEIN MENTIONED IN TELEPHONE CALL IS JEAN STEIN, THO SUTTON PLACE SOUTH, ANYC, A PERSONAL FRIEND OF FAULKNER AND THIS FACT IS KNOWN TO MRS. FAULKNER. MEMPHIS REQUESTED TO INTERVIEW 30TH MR. ANI MRS. FAULKNER FOR COMPLETE INFORMATION TO DETERMINE IF TELEPHONE CALLS COME WITHIN JURISDICTION OF THIS BUREAU AND WHETHER OR NOT CALLS WERE

* T L L Y

EMPHIS ADVISED

Rosen 8 JAN 31 N

195720.701.

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ce Memorandum -UNITED STATES GOVERNMENT

Mr. Tolson

DATE January 28, 1957

L. B. Nichola .

SUBJECT.

UNENOWN SUBJECTS; WILLIAM FAULKNER - VICTIM EXTORTION

Tale, Ross Donald Klopfer of Random House called. He stated that helionet William Faulkner has written him a lengthy letter regarding an individual in New York who is making long distance calls to his wife in Oxford, Mississippi, using the names Guernsey Smith and A. B. Stein.

The essence of the calls are that for \$500 the caller will furnish Mrs. Faulkner information regarding a relationship between William Faulkner and Miss Jean Stein. Klopfer stated that Jean Stein, age 22, is the daughter of Jules Stein of the Music Corporation of America; that Jean Stein is a family friend; that when Faulkner comes to New York by himself he frequently dines with Miss Stein; and that this is a fact well known to Mrs. Faulkner. Faulkner apparently is annoyed by the calls and Kopfer wondered if this was not a matter which was a violation of a federal law.

The Blackmail Section of the Extortion Act is handled by the Post Office Department when the mails are used. If, however, long distance calls are used, this is a matter handled by the Bureau and ordinarily U.S. Attorneys do not prosecute in such cases where there is just a threat to blackmail. After discussing the matter with Mr. Boardman, I told the New York Office to have an agent contact Klopfer, secure the letter, and if there are enough facts in the letter to present to the U.S. Attorney, the traditional facts are we can interview William Faulkner and then present it to a U.S. Attorney. William Faulkner is one of the foremost authors of the day. He at one time had a brother in our service.

LBN: hm

cc - Mr. Boardman Mr. Rosen

RECORDED - 33

PLANT DE MONTE CHICA

TILLT. PE

FEI YEMPHIS 1-30-57 1-6514 DIRECTOR, FBI AND SAC, NEW YORK URCENT UNSUE, WA. A. B. STEIN, MRS. WILLIAM FAULKNER, VICTIM, EXIDATION. RE MY TEL TAENTYEIGHT4 INSTANT. MRS. WILLIAM FAULKNER, OXFORD, MISS., STATES FIRST TELEFHONE CALL RECEIVED FROM MY ON NOV. TWENTYNINE LAST FROM PERSON FIVING NAME A. B. STAIN, STATING HE WAS NO RELATION TO JEAN STEIN, BUT HAD SOMETHING OF INTEREST FOR MRS. FAULKNER CONCERNING MR. FAULKNER AND MISS STAIN WHICH ACOUNT BE WORTH FIVE MUNDRED DOLLARS TO HER. MRS. FAULKNER TOLD CALLER SHE WAS FAMILIAR WITH ASSOCIATION MER MUSBAND AND MISS STEIN. SECOND CALL RECEIVED ABOUT DED. SIXTEEN. FIFIYSIX. AND MRS. FAULKNER MUNC UP AFTER STEIN IDENTIFIED HIMSELF. THIRD CALL RECEIVED ABOUT JAN. THREE, FIFTYSEVEN, WHEN STEIN STATED HE WAS GOING TO FLORIDA AUD ACULD COME TO EXFORD, MISS. IN FEB. TO SEE MRS. FAULKNER. FOURTH CALL RECEIVED FROM A GUERNSEY SMITH*FH* INCUIRING IF MRS. FAULKNER PLANNER TO ACCOMPANY MUSEAND TO VIRGINIA IN FUTURE. NO MENTION MADE OF STEIN AND MRS. FAULKNER NOT POSITIVE WAS SAME PERSON USING NAME STEIN. LAST CALL RECEIVED FOUR THIRTY PM, JAH. TWENTYIWO, FIFIYSEVEN, FROM STEIN AND MRS. FAULKNER REFUSED TO TALK TO STEIN. ALL CALLS PREFAID AND MADE APPROXIMATELY END PAGE ONE

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PAGE TWO

FOUR THIRTY PM EACH OCCASION. MR. FAULKNER IS OF OPINION CALLER POSSIBLY A YOUNG WRITER HE HAS OFFENDED IN SOME MANNER, AND STATES A. B. STEIN UNKNOWN TO HIM. STATES HE AND MISS JEAN STEIN ARE CLOSE FRIENDS AND HAVE MUTUAL INTERESTS IN TV, RADIO, AND LITERARY MATTERS. BOTH STATE NO THREATS MADE. USA THOMAS R. ETHRIDGE, OXFORD, MISS., STATES FROM AVAILABLE FACTS DOES NOT BELIEVE A VIOLATION OF EXTORTION STATUTE EXISTS, SINCE NO DEMAND FOR MONEY MADE AND CALLER APPARENTLY ATTEMPTING TO "SELL" INFO TO MRS. FAULKNER. REPORT FOLLOWS.

PIPER

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END

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FEDERAL BUREAU OF INVESTIGATION

MEMPHIS

NEW YORK

2/19/57 1/29;2/11<mark>/57</mark>

THE OF ME

ROBIN O. COTTEN

MEN

CHARACTER OF CASE

THENOWN SUBJECT, Was.

B. STEIN, GUERNSEY (phonetic)

· EXTORTION

SMITH; MILLIAM PAULKNER - VICTIM

WILLIAM FAULKNER, Oxford, Mississippi, states five telephone calls received from New York from 11/29/56 to 1/22/57, four being from individual itentifying himself as A. B. STEIN and one from person identifying himself itentifying himself as A. B. STEIN and one from person identifying himself itentifying h

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DETAILS:

AT OXPORD, MISSISSIPPI

The following investigation was conducted by SA'S BON H.

APPROVED AM COL SPECIAL ALIGNS LOWIES MADE 1 - Bureau 1 - USA Oxford 2 - New York	9-3/636-4 SE RECOLLEL
1 - Memphis (9-818)	2 FEB 25 1957 X . 12
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part is loaned to you by the FBI, and petitier it por its contents

¥E # 9-818

McELREATH and ROBIN O. COTTEN:

Mrs. ESTELLE PAULKNER advised on January 29, 1957, that she had received a person to person long distance telephone call from New York on November 29, 1956 from a man who identified himself to her as A. B. STEIN. Mrs. FAULKNER advised that STEIN, after identifying himself, stated that he was no relationship to Miss JEAN STEIN of New York and that he had something of interest for her concerning her husband and Miss JEAN STEIN. She continued that STEIN had stated to her that this information would be worth \$500.00 to her.

Mrs. PAULKNER advised that at this point she became angry and advised STEIN that she was not interested in this information and further that she was well acquainted with the association between her husband, Mr. WILLIAM PAULKNER, and Miss JEAN STEIN. Krs. PAULKNER advised that STEIN at that time appeared to be surprised at her statement and at this point the conversation was terminated and STEIN hung up. She advised that her husband was out of town at this time.

Mrs. PAULKNER advised that the individual calling sounded like an educated person and possibly a young man. She stated that he definitely had a New England accent. Mrs. PAULKNER advised that she received a second call from STEIN about December 16, 1956, and that after she learned the identity of the caller, she stated that she had nothing to discuss with him, at which time she terminated the conversation and hung up. She advised that she received a third call about January 3, 1957, at which time STEIN stated that he planned to go to Picrida in February of 1957 and that his plans were to stop in Oxford and discuss the matter further with her. She recalled that STEIN, at that time, stated he understood that Mrs. PAULKNER would be in New York during Pebruary and that he would have an opportunity to talk with her more in detail. She advised that she again told STEIN she was not interested in any information which he had to offer.

Mrs. PAULKNER advised she received the fourth call about January 19, 1957, from an individual identifying himself as GUERNSEY (phonetic) SMITH, at which time SMITH inquired whether or not she planned to go to Virginia with Mr. PAULKNER in the near future. Mrs. PAULKNER advised that the proposed trip of her husband had already been publicly announced. She could not deterfrom talking with GUERNSEY SMITH whether he was identical with the person who had previously called and furnished his name as A. B. STEIN. She did state, however, that SMITH did not refer to JEAN STEIN or to any matter previously discussed with her by STEIN.

The. PAULTIER advised that she received the fifth oall on James 22, 1957, at 4:30 P. M. from A. B. STEIN, at which reduced to talk with him. Mrs. PAULINER advised that

ME # 9-616

threats of any sort were made at any time and that no demands were made for any money. She advised that she gathered from all of the conversations that the caller was attempting to "sell her" some information. She advised that she is not acquainted with A. B. ETEIN and is not personally acquainted with Miss JEAN STEIN but has heard her husband speak of Miss STEIN on many occasions.

Mrs. PAULINER advised that all calls received by her were prepaid and were made at approximately 4:30 P. M. on each occasion.

Mr. WILLIAM PAULKNER advised on January 29, 1957, that he is not acquainted with an A. B. STEIN or a GUERNSEY SMITH but stated that he is a close personal friend of Miss JEAN STEIN of New York and that he and Miss STEIN have mutual interests in television, radio, and literary matters and that through Miss STEIN he has made many writer contacts in New York City. He stated he is of the opinion that the individual representing himself as A. B. STEIN is possibly a young writer whom he has offended in some manner in the past. He advised that he does not feel STEIN is attempting to extort money from his wife by these anonymous calls.

On January 29, 1957, U.S. Attorney THOMAS R. ETHRINGE advised that from the available facts he does not believe a violation of the Extortion Statute exists since no demand was made for money and the caller was apparently attempting to "sell" information to Mrs. FAULKNER.

Mrs. FAULYNER is described as follows, from personal observation and interview:

Name
Born
Birthplace
Height
Weight
Hair
Eyes
Complexion
Scars
Peculiarities

ESTELLE OLDHAM FAULKNER
2/19/1897
Bonham, Texas
5'4"
110 pounds
Gray
Blue
Fair
Small pit scar, left si

Small pit scar, left side of face Wears extremely thick glasses

- RUC -

8 31636"

ME # 9-818

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REFERENCE:

Teletype from New York to Memphis 1/28/57

Teletype from Memphis to the Bureau and New York 1/30/07

ADMINISTRATIVE PAGE

31636.

FEDERAL BUREAU OF INVESTIGAT 5.

PERTING OFFICE	OFFICE OF CRISIN	DATE	INVESTIGATION	-
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MIDFOLE, requested fr. DON'12 HTTPIA, Langor Louse -necessorated, be contacted to see re information of a violation within the Eurest's jurisdiction.

I. LCHALD EL. FFL:, jublisher, handom foure incorporated, advised on Jen. Ty F, 1957, that III. LCM IN., Editor era resociate of Fillian FM IYN F, received a letter from for Liv. R, who resi er in Oxford, his is stopi, to the effect that ire. Falls had received four teleptone calls, allesedly from one A. F. Hill in New York, the first of which was received on toverber FC, 1956. Four fr. FLOPF-H and fr. FAX COLLING pointed out that during this first telephone can, the unknown subject said that for the Could tell fer foretting about a first fill mand for husband. According to the Civilian since the first call ire. FA LIMER for preceived three additional calls, the last of which was received or January 10, 1967.

Ar. Chills stated that the substance of the last three or he sens not known to him.

Pr. FICPF.R further dvised that the invited in the telephone of 1, is UET (IET), 2 lution thece louth, lew York City, a personal friend of the LNIR, and this fact is known to but. Fr. Fr. LN.R.

By teletype dated Jarian; 25, 1917, the lemphis Office was requested to interview both hr. and hrs. FALLANCE for complete information to determine if the telephone calls come within the funisdiction of this Eureau, and whether or not the

9:31636.

11 6-2415

calls were presaid or collect in order that the records of the lew ork Telephone Corpony he checked. By trictyle dried January 30, 1637, the lemphis Office assisted that has allied Filler, Exford, lississippi, steled that the first tele lone call received from year york on Coverber 20, 1656, from a person giving the name A. B. 11E N, pointing out that he was no relation to GLY STEIN, but had sorethin. of interest for irs. From Free concerning ir. Palifying and hiss SIEIN which would be worth 5500.00 to her. Irs. Py Living told the caller she was familiar with the association of her bushend and hiss SIEIN.

According to Irr. #: LINYA, the second call was received about becomber 10, 1956, and bre. rr LINYAR life of floor; LIN identified timesly. According to the floor, the time call was received about for a 2, 1657, when the caller stated that he was going to electing, and would come to exform, hississippi in February to see hre. rf Linyak. Are. # (Linyah further advised that the forth call received by her was from 5 min [11] (phonetic) inquiring if hre. FAULHNIR planted to accompany her fusband to Virginia in the mean future. Irr. FAULHNIA pointed out that the last call she received was at 4:30 p.m. on January 22, 1957, from 51km, and irr. PAULHNIA refused to talk to him. She stated that all the calls were prepaid, and raid at approximately 4:30 p.m. on each occasion.

Both ir. and irs. PATTENTE serviced that no threats were rade d ring any of the telephone calls.

The facts of this case were presented to United States Attorney TRONS A. ETHRIDGE on January 29,

C#81636

37 9-2415

log7, at Oxford Tennessee, and he did not feel that a violetion of the Extortion Struite exists since no deraid for roney was rade, and the coller was apparently attempting to "sell" information to her. FOLENIA.

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REFERENCE

Report of .A ACBSIN 0: COLOR, 2/10/67, lemphis. New York teletype to the fores: 1/2 /67.

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1. S. Jir

部部企工专员。 A1301

Harch 12, 1957

WILLIAM FAULKNEE Born: September 24, 1307 New Albany, Mississippi

No investigation pertinent to your inquiry has been conducted by the FBI concerning the captioned individual. However, the files of this Bureau reflect the following information which may relate to the spilie. of your name check request.

A confidential informant who has furnish of reliable information in the past advised in March, that the Civil Rights Congress headquarters in terms. City had expressed their pleasure with the work of a committee of women which included the procuring of a statement from William Faulkner, Nobel Prize winner, behalf of Willie McCeo. It is to be rebed that McGee was convicted of raping a woman on homes, the in 1945 and sentenced to die. Numeron corect the last of which was to the United Thates Lupiene Cor in March, 1951, at which time the full Supreme Sourt refused to review the case. McGee was executed on here or 1951.

(61-10149-1881 p8 inft WY 591)

The Civil Rights Congress has been designed to by the Attorney General of the United tates parsiont to Executive Order 10450.

The foregoing information is commished to go as a result of your request for an UBI filly chack and is not to be construed as a clearance or a menclearance of the individual involved. This information is furnished for your use and should not be disseminated readle of you agency.

Orig. and one to State Req rec'd: 2/25/57 W. Ht Pritt/jm (4)

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RECORDED - 30

EX-135

MAHIY

(elber general director, PM a. Belmon T. Kosen Name Check Ar. Hoods Reference is made to my letter dated December 11 1961, Eaptioned as above which referred to the request of for hame checks of fifty-two individuals who are American Mobel Prize winners. Referenced letter reported on thirty of these individuals. Baily &. Balch was never investigated by the PBI; bowever, our files contain information indicating that she was a prominent Quaker pacifist. Miss Balch died on January 10, 1961, at Cambridge, Massachusetta, No investigation has been conducted and our fi reveal me pertinent Information concerning Otto Stern; Willis Engene Lamb, Jr.; or Vincent Da Vigneaud, Applicant-type investigations have been conducted a by this Bureau concerning the following individuals. The years in which the investigations were conducted are indicated in parentheses following the annes. EE REVERSE SIDE FOR Arthur Bolly Compton ADD. DISSEMINATION. (1948 and 1961) Carl D. Anderson Enille Segre (1953) (1953-1954) = 3 Dr. Robert Bofstader Arthur Kornberg Andre F. Cournand 1954) Oven Chamberlain ر و دو سه دو ما No derogatory laformation was developed by of these investigations and no pertinent information has reported to this Bureau concerning these individuals the completion of the investigations 4 W. OF ST. OF are summery accoranda relac ian Foulkaer n delmann Joseph Mil

Severe Ochea Lines Carl Pouling Rerold Clayton Brey

Bureau's files regarding these individuals and our files reveal no additional pertinent information concerning them.

four individuals and you will be furnished the results of the review spon completion.

VILLIANTRAULINER Conducted by the FBI concerning the captioned individual. However, the files of this Bureau reveal the following information which may relate to the subject of your inquiry. in the past advised in March, 1951, that the Civil Rights Congress Headquarters in New York City had expressed their Congress Headquarters in New York City non expressed their pleasure with the work of a committee of women, which included the procuring of a statement from William Faulkner. Nobel Prize winner, in behalf of Willie McGee. It is to be noted that Willie McGee was convicted of raping a woman in Laurel, Mississippi, in 1945 and sentenced to die. Numerous appeals were filed, the last of which was to the United States Supreme Court in March, 1951, at which time the full Supreme Court refused to review the case. McGee the full Supreme Court refused to review the case, the full supreme tous, 1951. The Civil Rights Congress has been designated pursuant to Executive Order 10450/ (40-0-17974) Enc to AG memo 12-13-61 captioned Name Check Requests

3 1956

Born: September 25, New Albany, Lissiesippi

Reference is made to your request for copies of investigative reports in the event the esptioned individual has been investigated by this Bureau.

No investigation pertinent to your inquiry conserning the captioned individual has been conducted by the PBI.

The foregoing information is furnished to you as a result of your request for an FBI file check and is not to be construed as a clearance or a nonclearance of the individual involved. This information is furnished for your use and should not be disseminated outside of your ACCRET.

Chig. & One to USIA Req. rectd Lx/23/56 W. L. Hersadiisle (4).

NOTE: Paul McNichol, USIA, requested a search for main files only. He advised that only copies of investigative reports of the results of investigation were requested. Reason for request President's Program for World Understanding.

> RECORDED - 84, 2 - 6050 INDEXED - 84