

Calculus by Spivak

Frosty

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1 Basic Properties of Numbers

Problem 1

Prove the following:

- (i) If $ax = a$ for some number $a \neq 0$, then $x = 1$.
- (ii) $x^2 - y^2 = (x - y)(x + y)$.
- (iii) If $x^2 = y^2$, then $x = y$ or $x = -y$.
- (iv) $x^3 - y^3 = (x - y)(x^2 + xy + y^2)$.
- (v) $x^n - y^n = (x - y)(x^{(n-1)} + x^{(n-2)}y + \dots + xy^{(n-2)} + y^{(n-1)})$
- (vi) $x^3 + y^3 = (x + y)(x^2 - xy + y^2)$. There is a particularly easy way to do this (iv), and it will show you how to find a factorization for $x^n + y^n$ whenever n is odd.

Proof.

$$\begin{aligned} ax &= a \\ \iff a^{-1} \cdot ax &= a^{-1} \cdot a \\ \iff 1x &= 1 \tag{P7} \\ \iff x &= 1 \tag{P6} \end{aligned}$$

Proof.

$$\begin{aligned} x^2 - y^2 &= x^2 - xy + xy - y^2 && (\text{P2, P3}) \\ &= x(x - y) + y(x - y) && (\text{P9}) \\ &= (x - y)(x + y) && (\text{P9}) \end{aligned}$$

Proof.

$$\begin{aligned}
 x^2 &= y^2 \\
 \iff x^2 - y^2 &= y^2 - y^2 \\
 \iff x^2 - y^2 &= 0 && (\text{P3}) \\
 \iff (x - y)(x + y) &= 0 && (\text{1 ii})
 \end{aligned}$$

It then follows that either $x = y$ or $x = -y$. ■

Proof.

$$\begin{aligned}
 x^3 - y^3 &= x^3 - x^2y + x^2y - xy^2 + xy^2 - y^3 && (\text{P2, P3}) \\
 &= x^2(x - y) + xy(x - y) + y^2(x - y) && (\text{P9}) \\
 &= (x - y)(x^2 + xy + y^2) && (\text{P9})
 \end{aligned}$$

Proof.

$$\begin{aligned}
 &(x - y)(x^{(n-1)} + x^{(n-2)}y + \dots + xy^{(n-2)} + y^{(n-1)}) \\
 &= x^{(n-1)}(x - y) + x^{(n-2)}y(x - y) + \dots + xy^{(n-2)}(x - y) + y^{(n-1)}(x - y) && (\text{P9}) \\
 &= x^{(n-1)} \cdot x - x^{(n-1)} \cdot y + x^{(n-2)}y \cdot x - x^{(n-2)}y \cdot y + \\
 &\quad \dots + xy^{(n-2)} \cdot x - xy^{(n-2)} \cdot y + y^{(n-1)} \cdot x - y^{(n-1)} \cdot y && (\text{P9}) \\
 &= x^n - x^{n-1}y + x^{n-1}y - x^{n-2}y^2 + \dots + x^2y^{n-2} - xy^{n-1} + xy^{n-1} - y^n \\
 &= x^n - y^n && (\text{P3})
 \end{aligned}$$

Proof.

$$\begin{aligned}
 x^3 + y^3 &= x^3 - (-y)^3 \\
 &= (x - (-y))(x^2 + x(-y) + (-y)^2) && (\text{1 iv}) \\
 &= (x + y)(x^2 - xy + y^2)
 \end{aligned}$$

Problem 3

Prove the following:

- (i) $\frac{a}{b} = \frac{ac}{bc}$, if $b, c \neq 0$.
- (ii) $\frac{a}{b} + \frac{c}{d} = \frac{ad+bc}{bd}$, if $b, d \neq 0$.
- (iii) $(ab)^{-1} = a^{-1}b^{-1}$, if $a, b \neq 0$. (To do this you must remember the defining property of $(ab)^{-1}$.)
- (iv) $\frac{a}{b} \cdot \frac{c}{d} = \frac{ac}{db}$, if $b, d \neq 0$.
- (v) $\frac{a}{b} \div \frac{c}{d} = \frac{ad}{bc}$, if $b, c, d \neq 0$.
- (vi) If $b, d \neq 0$, then $\frac{a}{b} = \frac{c}{d}$ if and only if $ad = bc$. Also determine when $\frac{a}{b} = \frac{b}{a}$.

Proof. Suppose $b, c \neq 0$. Then:

$$\begin{aligned}
& \frac{a}{b} = \frac{ac}{bc} \\
\iff & ab^{-1} = ac(bc)^{-1} \\
\iff & ab^{-1}(bc) = ac(bc)^{-1}bc \\
\iff & ab^{-1}(bc) = ac \cdot 1 && \text{P7} \\
\iff & ab^{-1}(bc) = ac && \text{P6} \\
\iff & a(b^{-1}b)c = ac && \text{P5} \\
\iff & a \cdot 1 \cdot c = ac && \text{P7} \\
\iff & ac = ac && \text{P6}
\end{aligned}$$

■

Proof. Suppose $b, d \neq 0$. Then:

$$\begin{aligned}
& \frac{a}{b} + \frac{c}{d} = \frac{ad + bc}{bd} \\
\iff & ab^{-1} + cd^{-1} = (ad + bc)(bd)^{-1} \\
\iff & (bd)(ab^{-1} + cd^{-1}) = (ad + bc)(bd)^{-1}(bd) \\
\iff & ab^{-1}(bd) + cd^{-1}(bd) = (ad + bc)(bd)^{-1}(bd) && \text{P9} \\
\iff & ab^{-1}(bd) + cd^{-1}(bd) = (ad + bc) \cdot 1 && \text{P7} \\
\iff & ab^{-1}(bd) + cd^{-1}(bd) = (ad + bc) && \text{P6} \\
\iff & a(b^{-1}b)d + cd^{-1}(bd) = (ad + bc) && \text{P5} \\
\iff & a(b^{-1}b)d + cd^{-1}(db) = (ad + bc) && \text{P8} \\
\iff & a(b^{-1}b)d + c(d^{-1}d)b = (ad + bc) && \text{P5} \\
\iff & a \cdot 1 \cdot d + c \cdot 1 \cdot b = (ad + bc) && \text{P7} \\
\iff & ad + cb = (ad + bc) && \text{P6} \\
\iff & ad + bc = ad + bc && \text{P8}
\end{aligned}$$

■

Proof. Suppose $a, b \neq 0$. Then:

$$\begin{aligned}
& (ab)^{-1} = a^{-1}b^{-1} \\
\iff & (ab)(ab)^{-1} = (ab)a^{-1}b^{-1} \\
\iff & 1 = a(ba^{-1})b^{-1} && \text{P5} \\
\iff & 1 = a(a^{-1}b)b^{-1} && \text{P4} \\
\iff & 1 = (a \cdot a^{-1})b \cdot b^{-1} && \text{P5} \\
\iff & 1 = 1 \cdot b \cdot b^{-1} && \text{P7} \\
\iff & 1 = 1 \cdot 1 && \text{P7} \\
\iff & 1 = 1 && \text{P6}
\end{aligned}$$

■

Proof. Suppose $b, d \neq 0$. Then:

$$\begin{aligned}
& \frac{a}{b} \cdot \frac{c}{d} = \frac{ac}{db} \\
\iff & ab^{-1} \cdot cd^{-1} = ac(db)^{-1} & \text{P8} \\
\iff & ab^{-1} \cdot cd^{-1} = ac(bd)^{-1} & \text{P8} \\
\iff & acb^{-1}d^{-1} = ac(bd)^{-1} \\
\iff & acb^{-1}d^{-1}(bd) = ac(bd)^{-1}(bd) \\
\iff & acb^{-1}d^{-1}(bd) = ac \cdot 1 & \text{P7} \\
\iff & acb^{-1}d^{-1}(bd) = ac & \text{P6} \\
\iff & acb^{-1}d^{-1}(db) = ac & \text{P8} \\
\iff & acb^{-1}(d^{-1}d)b = ac & \text{P5} \\
\iff & acb^{-1} \cdot 1 \cdot b = ac & \text{P7} \\
\iff & acb^{-1}b = ac & \text{P6} \\
\iff & ac \cdot 1 = ac & \text{P7} \\
\iff & ac = ac & \text{P6}
\end{aligned}$$

■

Proof. Suppose $b, c, d \neq 0$. Then:

$$\begin{aligned}
& \frac{a}{b} \div \frac{c}{d} = \frac{ad}{bc} \\
\iff & \frac{a}{b} \left(\frac{c}{d} \right)^{-1} = \frac{ad}{bc} \\
\iff & \frac{a}{b} \left(\frac{c}{d} \right)^{-1} = \frac{a}{b} \cdot \frac{d}{c} & \text{Part (iv)} \\
\iff & \frac{a}{b} \left(\frac{c}{d} \right)^{-1} \cdot \frac{c}{d} = \frac{a}{b} \cdot \frac{d}{c} \cdot \frac{c}{d} \\
\iff & \frac{a}{b} \cdot 1 = \frac{a}{b} \cdot \frac{d}{c} \cdot \frac{c}{d} & \text{P7} \\
\iff & \frac{a}{b} = \frac{a}{b} \cdot \frac{d}{c} \cdot \frac{c}{d} & \text{P6} \\
\iff & \frac{a}{b} = \frac{a}{b} \cdot \frac{dc}{cd} & \text{Part (iv)} \\
\iff & \frac{a}{b} = \frac{a}{b} \cdot \frac{dc}{dc} & \text{P8} \\
\iff & \frac{a}{b} = \frac{a}{b} \cdot dc(dc)^{-1} \\
\iff & \frac{a}{b} = \frac{a}{b} \cdot 1 & \text{P7} \\
\iff & \frac{a}{b} = \frac{a}{b} & \text{P6}
\end{aligned}$$

■

Proof. Suppose $b, d \neq 0$. Then:

$$\begin{aligned}
\frac{a}{b} &= \frac{c}{d} \\
\iff ab^{-1} &= cd^{-1} \\
\iff ab^{-1}d &= cd^{-1}d \\
\iff ab^{-1}d &= c \cdot 1 && \text{P7} \\
\iff ab^{-1}d &= c && \text{P6} \\
\iff adb^{-1} &= c && \text{P8} \\
\iff adb^{-1}b &= cb \\
\iff ad \cdot 1 &= cb && \text{P7} \\
\iff ad &= cb && \text{P7} \\
\iff ad &= bc && \text{P5}
\end{aligned}$$

Suppose $b, a \neq 0$ and $\frac{a}{b} = \frac{b}{a}$. Then:

$$\begin{aligned}
\frac{a}{b} &= \frac{b}{a} \\
\iff a^2 &= b^2 && \text{By previous answer} \\
\iff |a| &= |b|
\end{aligned}$$

Therefore $\frac{a}{b} = \frac{b}{a}$ iff $|a| = |b|$. ■

Problem 5

Prove the following:

- (i) If $a < b$ and $c < d$, then $a + c < b + d$.
- (ii) If $a < b$, then $-b < -a$.
- (iii) If $a < b$ and $c > d$, then $a - c < b - d$.
- (iv) If $a < b$ and $c > 0$, then $ac < bc$.
- (v) If $a < b$ and $c < 0$, then $ac > bc$.
- (vi) If $a > 1$, then $a^2 > a$.
- (vii) If $0 < a < 1$, then $a^2 < a$.
- (viii) If $0 \leq a < b$ and $0 \leq c < d$, then $ac < bd$.
- (ix) If $0 \leq a < b$, then $a^2 < b^2$. (Use (viii).)
- (x) If $a, b \geq 0$ and $a^2 < b^2$, then $a < b$. (Use (ix) backwards.)

Proof. Suppose $a < b$ and $c < d$. Then $b - a$ is in P and $d - c$ is in P. Therefore $(b - a) + (d - c)$ is in P. It follows that $(b - a) + (d - c) > 0$ and therefore $a + c < b + d$. ■

Proof. Suppose $a < b$. Clearly $b - a$ is in P. It follows that $-(-b - (-a))$ is in P. Now $-b - (-a) < 0$ so $-b < -a$. ■

Proof. Since $d < c$ by (ii) $-c < -d$. Since $-c < -d$ and $a < b$ by (i) $a + (-c) < b + (-d)$ therefore $a - c < b - d$. ■

Proof. Suppose $a < b$ and $c > 0$. Since $a < b$ it follows $b - a$ is in P. Since $b - a$ and c are in P it follows that $c(b - a)$ is in P. Then $c(b - a) = bc - ac$ is in P so $ac < bc$. ■

Proof. Suppose $a < b$ and $c < 0$ it follows that $-c > 0$. Then by (iv) $a(-c) < b(-c)$ so $-ac < -bc$. Then by (ii) it follows that $ac > bc$. ■

Proof. Suppose $a > 1$. It follows that $a - 1 > 0$. Since $a > 1$ and $1 > 0$ it follows that $a > 0$. Since $0 < a - 1$ and $a > 0$ it follows that $0(a) < (a - 1)a$ so $0 < a^2 - a$ and therefore $a^2 > a$. ■

Proof. Suppose $0 < a < 1$. It follows that $a - 1 < 0$. Since $a - 1 < 0$ and $a > 0$ it follows by (iv) that $a(a - 1) < 0(a)$. Therefore $a^2 - a < 0$ and $a^2 < a$. ■

Proof. Suppose $0 \leq a < b$ and $0 \leq c < d$. If $a = 0$ or $c = 0$ then $ac = 0$. Now since $b > 0$ and $d > 0$ it follows that $bd > 0$ so $0 = ac < bd$. Suppose $a > 0$ and $c > 0$. Since $a < b$ and $d > 0$ it follows that $ad < bd$. Since $c < d$ and $a > 0$ it follows that $ac < ad$. Then $ac < ad < bd$ so $ac < bd$. ■

Proof. Suppose $0 \leq a < b$. By part (viii) it follows that $a \cdot a < b \cdot b$ so $a^2 < b^2$. ■

Proof. Suppose $a, b \geq 0$ and $a^2 < b^2$. Since $a^2 < b^2$ by (ix) it follows that $0 \leq a < b$ so $a < b$. ■

Problem 7

Prove that if $0 < a < b$, then

$$a < \sqrt{ab} < \frac{a+b}{2} < b$$

Notice that the inequality $\sqrt{ab} \leq (a+b)/2$ holds for all $a, b \geq 0$. A generalization of this fact occurs in Problem 2 – 22.

Proof. Suppose $0 < a < b$. Now let $x^2 = a$ and $y^2 = b$. By Problem 5 part (ix) since $x^2 < y^2$, $x < y$ so $\sqrt{a} < \sqrt{b}$. It then follows that $\sqrt{a} - \sqrt{b} < 0$. Since $\sqrt{a} > 0$ it follows that $\sqrt{a}(\sqrt{a} - \sqrt{b}) < 0$. Then $\sqrt{a}(\sqrt{a} - \sqrt{b}) < 0 \iff a - \sqrt{ab} < 0$ so $a < \sqrt{ab}$. Since $\sqrt{b} > 0$ it follows that $\sqrt{b}(\sqrt{a} - \sqrt{b}) < 0$. Then $\sqrt{b}(\sqrt{a} - \sqrt{b}) < 0 \iff \sqrt{ab} - b < 0$ so $\sqrt{ab} < b$. ■

Problem 12

Prove the following:

- (i) $|xy| = |x| \cdot |y|$
- (ii) $|\frac{1}{x}| = \frac{1}{|x|}$, if $x \neq 0$. (The best way to do this is to remember what $|x|^{-1}$ is.)
- (iii) $|\frac{x}{y}| = |\frac{x}{y}|$, if $y \neq 0$.
- (iv) $|x - y| \leq |x| + |y|$. (Give a very short proof.)
- (v) $|x| - |y| \leq |x - y|$. (A very short proof is possible, if you write things in the right way.)
- (vi) $|(x| - |y)| \leq |x - y|$. (Why does this follow immediately from (v)?)
- (vii) $|x + y + z| \leq |x| + |y| + |z|$. Indicate when equality holds, and prove your statement.

Proof. There are four cases to consider:

1. $x \leq 0$ and $y \leq 0$
2. $x \leq 0$ and $y \geq 0$
3. $x \geq 0$ and $y \leq 0$
4. $x \geq 0$ and $y \geq 0$

Suppose $x \leq 0$ and $y \leq 0$. Then $xy \geq 0$ so $|xy| = xy$. Now $|x| = -x$ and $|y| = -y$ so $|x| \cdot |y| = (-x)(-y) = xy = |xy|$.

Suppose $x \leq 0$ and $y \geq 0$. Then $xy \leq 0$ so $|xy| = -xy$. Now $|x| = -x$ and $|y| = y$ so $|x| \cdot |y| = -xy = |xy|$.

Suppose $x \geq 0$ and $y \leq 0$. Then $xy \leq 0$ so $|xy| = -xy$. Now $|x| = x$ and $|y| = -y$ so $|x| \cdot |y| = -xy = |xy|$.

Suppose $x \geq 0$ and $y \geq 0$. Then $xy \geq 0$ so $|xy| = xy$. Now $|x| = x$ and $|y| = y$ so $|x| \cdot |y| = xy = |xy|$.

Since these cases were exhaustive $|x||y| = |xy|$. ■

Proof. Suppose $x \neq 0$. So $\left|\frac{1}{x}\right||x| = \left|\frac{x}{x}\right| = 1 = \frac{|x|}{|x|} = \frac{1}{|x|} \cdot |x|$. Then dividing by $|x| \neq 0$ it follows that $\left|\frac{1}{x}\right| = \frac{1}{|x|}$. ■

Proof. Suppose $y \neq 0$. So $\left|\frac{x}{y}\right||y| = \left|\frac{xy}{y}\right| = |x| = \frac{|x||y|}{|y|}$. Then dividing by $|y| \neq 0$ it follows that $\left|\frac{x}{y}\right| = \frac{|x|}{|y|}$. ■

Proof. So $|x - y| = |x + (-y)| \leq |x| + |-y|$ triangle inequality $= |x| + |y|$. ■

Proof. So $|x| = |x + y - y| = |(x - y) + y| \leq |x - y| + |y|$ triangle inequality $= |x + y| + |y|$. Then subtracting $|y|$ on both sides gives $|x| - |y| \leq |x - y|$. ■

Proof. So $|(x| - |y|)| \leq ||x - y||$ part (v) $= |x - y|$. ■

Proof. So

$$\begin{aligned} |x + y + z| &\leq |(x + y) + z| \\ &\leq |x + y| + |z| && \text{triangle inequality} \\ &\leq |x| + |y| + |z| && \text{triangle inequality.} \end{aligned}$$

Now let us discover when $|x+y+z| = |x|+|y|+|z|$. Equality occurs when $|x+y| = |x|+|y|$ and $|x+y+z| = |x+y|+|z|$. Clearly $|x+y| = |x|+|y|$ when x, y are both non-positive or non-negative. We can take $|x+y|+|z| = |x|+|y|+|z|$ subtract $|z|$ from both sides and get $|x+y| = |x|+|y|$ which we already showed requires that $|x|$ and $|y|$ both be non-positive or non-negative. Now $|x+y|+|z| = |x|+|y|+|z|$ requires $x+y$ and z to be both non-positive or non-negative. If x and y have the same sign then $x+y$ also has this sign. Thus, $|x+y+z| = |x|+|y|+|z|$ if x, y, z are all non-positive or non-negative. ■

Problem 13

The maximum of two numbers x and y is denoted by $\max(x, y)$. Thus $\max(-1, 3) = \max(3, 3) = 3$ and $\max(-1, -4) = \max(-4, -1) = -1$. The minimum of x and y is denoted by $\min(x, y)$. Prove that

$$\max(x, y) = \frac{x + y + |y - x|}{2}$$

$$\min(x, y) = \frac{x + y - |y - x|}{2}$$

Derive a formula for $\max(x, y, z)$ and $\min(x, y, z)$, using, for example

$$\max(x, y, z) = \max(x, \max(y, z))$$

Proof. Lets analyze $\frac{x+y+|y-x|}{2}$. Now if $y-x > 0$ then $y \geq x$ and $|y-x| = y-x$. Then $\frac{x+y+|y-x|}{2} = \frac{x+y+y-x}{2} = \frac{2y}{2} = y$ as expected. If $y-x < 0$ then $x > y$ and $|y-x| = -(y-x)$. Then $\frac{x+y+|y-x|}{2} = \frac{x+y-y+x}{2} = \frac{2x}{2} = x$ as expected. The \min equation simply negates $|y-x|$ and following similarly to our \max computation would result in y if $y < x$ and x if $x \leq y$. ■

Formula for $\max(x, y, z)$:

$$\begin{aligned}\max(x, y, z) &= \max(\max(x, y), z) \\ &= \max\left(\frac{x + y + |y - x|}{2}, z\right) \\ &= \frac{(x + y + |y - x|) + z + |z - (x + y + |y - x|)|}{2}\end{aligned}$$

Formula for $\min(x, y, z)$:

$$\begin{aligned}\min(x, y, z) &= \min(\min(x, y), z) \\ &= \min\left(\frac{x + y - |y - x|}{2}, z\right) \\ &= \frac{(x + y - |y - x|) + z - |z - (x + y - |y - x|)|}{2}\end{aligned}$$

Problem 14

- (a) Prove that $|a| = |-a|$. (The trick is not to become confused by too many cases. First prove the statement $a \geq 0$. Why is it then obvious for $a \leq 0$?)
- (b) Prove that $-b \leq a \leq b$ if and only if $|a| \leq b$. In particular, it follows that $-|a| \leq a \leq |a|$.
- (c) Use this fact to give a new proof that $|a + b| \leq |a| + |b|$.

Proof. Suppose $a \geq 0$ so $-a \leq 0$. So $|a| = a$ and $|-a| = -(-a)$. Then $|-a| = -(-a) = a = |a|$. Suppose $a < 0$ so $-a > 0$. So $|a| = -a$ and $|-a| = -a$. Then $|a| = -a = |-a|$. ■

Proof. Suppose $-b \leq a \leq b$. Suppose $a \geq 0$ then $|a| = a$. So $-b \leq a \leq b \iff -b \leq |a| \leq b$. Suppose $a < 0$ then $|a| = -a$ So $-b \leq a \leq b \iff b \geq -a \geq -b \iff b \geq |a| \geq -b$. Therefore $|a| \leq b$.

Suppose $|a| \leq b$. Suppose $a \geq 0$ then $|a| \leq b \iff a \leq b$. Suppose $a < 0$ then $|a| \leq b \iff -a \leq b \iff -b \leq a$. Since $-b \leq a$ and $a \leq b$ then $-b \leq a \leq b$.

Letting $b = a$ gives us $-|a| \leq a \leq |a|$. ■

Proof. Trivially $-|a| \leq a \leq |a|$ and $-|b| \leq b \leq |b|$. Taking the sum of these gives $-|a| + (-|b|) \leq a + b \leq |a| + |b| \iff -(|a| + |b|) \leq a + b \leq |a| + |b|$. Then by part (ii) we get $|a + b| \leq |a| + |b|$. ■

Problem 16

- (a) Show that

$$\begin{aligned}(x + y)^2 &= x^2 + y^2 \quad \text{only when } x = 0 \text{ or } y = 0 \\ (x + y)^3 &= x^3 + y^3 \quad \text{only when } x = 0 \text{ or } y = 0 \text{ or } x = -y\end{aligned}$$

- (b) Using the fact that

$$x^2 + 2xy + y^2 = (x + y)^2 \geq 0$$

show that $4x^2 + 6xy + 4y^2 > 0$ unless x and y are both 0.

(c) Use part (b) to find out when $(x + y)^4 = x^4 + y^4$.

(d) Find out when $(x + y)^5 = x^5 + y^5$. Hint: From the assumption $(x + y)^5 = x^5 + y^5$ you should be able to derive the equation $x^3 + 2x^2 + 2xy^2 + y^3 = 0$, if $xy \neq 0$. This implies that $(x + y)^3 = x^2y + xy^2 = xy(x + y)$. You should now be able to make a good guess as to when $(x + y)^n = x^n + y^n$; the proof is contained in Problem 11 – 57.

Proof. First $(x+y)^2 = x^2 + 2xy + y^2$. Then $x^2 + 2xy + y^2 = x^2 + y^2 \iff 2xy = 0 \iff xy = 0$. Therefore $x = 0$ or $y = 0$.

Now $(x+y)^3 = x^3 + 3x^2y + 3xy^2 + y^3$. Then $x^3 + 3x^2y + 3xy^2 + y^3 = x^3 + y^3 \iff 3x^2y + 3xy^2 = 0 \iff 3xy(x+y) = 0$. So either $3xy = 0$ in which case $x = 0$ or $y = 0$, or $x + y = 0$ in which case $x = -y$. ■

Proof. Now $4x^2 + 2xy + 4y^2 = 4(x^2 + 2xy + y^2) - 6xy = 4(x+y)^2 - 6xy$. Then $(x+y)^2 \geq 0 \iff x^2 + 2xy + y^2 \geq 0 \iff x^2 + y^2 \geq -2xy$. Similarly $(x-y)^2 \geq 0 \iff x^2 - 2xy + y^2 \geq 0 \iff x^2 + y^2 \geq 2xy$. Now since $x^2 + y^2 \geq -2xy$ it follows that $-(x^2 + y^2) \leq 2xy$. Since $-(x^2 + y^2) \leq 2xy$ and $x^2 + y^2 \geq 2xy$, it follows that $-(x^2 + y^2) \leq 2xy \leq x^2 + y^2$. and therefore $|2xy| \leq x^2 + y^2 \iff 2|xy| \leq x^2 + y^2$. Now expanding, $4(x+y)^2 - 6xy > 0 \iff 4(x^2 + 2xy + y^2) - 6xy > 0 \iff 4x^2 + 8xy + 4y^2 - 6xy > 0 \iff 4x^2 + 4y^2 + 2xy > 0$. Now since $-(x^2 + y^2) \leq 2xy \leq x^2 + y^2$ it follows that $4x^2 + 4y^2 + 2xy > 4x^2 + 4y^2 - (x^2 + y^2) \iff 4(x^2 + y^2) - (x^2 + y^2) > 0 \iff 3(x^2 + y^2) > 0$. Which is clearly true if $x, y \neq 0$, since $x^2 \geq 0$ and $y^2 \geq 0$ therefore $3(x^2 + y^2) > 0$. Therefore $4x^2 + 2xy + 4y^2 > 0$ if x, y are not both zero. ■

Problem 18

- (a) Suppose that $b^2 - 4c \geq 0$. Show that the numbers

$$\frac{-b + \sqrt{b^2 - 4c}}{2}, \quad \frac{-b - \sqrt{b^2 - 4c}}{2}$$

both satisfy the equation $x^2 + bx + c = 0$.

(b) Suppose that $b^2 - 4c < 0$. Show that there are not numbers x satisfying $x^2 + bx + c = 0$; in fact, $x^2 + bx + c > 0$ for all x . Hint: Complete the square.

(c) Use this fact to give another proof that if x and y are not both 0, then $x^2 + xy + y^2 > 0$.

(d) For which numbers α is it true that $x^2 + \alpha xy + y^2 > 0$ whenever x and y are not both 0?

(e) Find the smallest possible value of $x^2 + bx + c$ and of $ax^2 + bx + c$, for $a > 0$.

Proof.

$$\begin{aligned} x^2 + bx + c &= \left(\frac{-b + \sqrt{b^2 - 4c}}{2} \right)^2 + b \left(\frac{-b + \sqrt{b^2 - 4c}}{2} \right) + c \\ &= \frac{b^2 - 2b\sqrt{b^2 - 4c} + b^2 - 4c}{4} + \frac{-2b^2 + 2b\sqrt{b^2 - 4c}}{4} + \frac{4c}{4} \\ &= \frac{2b^2 - 2b^2 + 2b\sqrt{b^2 - 4c} - 2b\sqrt{b^2 - 4c} + 4c - 4c}{4} \\ &= \frac{0}{4} = 0 \end{aligned}$$

Proof.

$$\begin{aligned} x^2 + bx + c &= \left(\frac{-b - \sqrt{b^2 - 4c}}{2} \right)^2 + b \left(\frac{-b - \sqrt{b^2 - 4c}}{2} \right) + c \\ &= \frac{b^2 + 2b\sqrt{b^2 - 4c} + b^2 - 4c}{4} + \frac{-2b^2 - 2b\sqrt{b^2 - 4c}}{4} + \frac{4c}{4} \\ &= \frac{2b^2 - 2b^2 + 2b\sqrt{b^2 - 4c} - 2b\sqrt{b^2 - 4c} + 4c - 4c}{4} \\ &= \frac{0}{4} = 0 \end{aligned}$$

Proof. Suppose $b^2 - 4c < 0$. For contradiction, suppose $x^2 + bx + c = 0$ for some x . Then $x^2 + bx + c = 0 \iff x^2 + bx = -c \iff \left(x + \frac{b}{2}\right)^2 = \left(\frac{b}{2}\right)^2 - c \iff \left(x + \frac{b}{2}\right)^2 = \frac{b^2 - 4c}{4}$. Now, $b^2 - 4c < 0$ thus $\frac{b^2 - 4c}{4} < 0$ contradicting the square of a non-zero number being > 0 . ■

Proof. Suppose wlog that $x \neq 0$. Let $b = y$ and $c = y^2$. Notice $b^2 - 4c = y^2 - 4y^2$ which is certainly < 0 . It directly follows from part (b) that $x^2 + xy + y^2 > 0$. ■

Proof. From part (b), for $x^2 + \alpha xy + y^2$ to be > 0 for all x, y not both 0, we require that $(\alpha y)^2 - 4y^2 < 0$. Then $(\alpha y)^2 - 4y^2 < 0 \iff (\alpha y)^2 < 4y^2 \iff \alpha^2 y^2 < 4y^2 \iff \alpha^2 < 4$. From which it follows that $|\alpha| < 2$. ■

Proof. We find the smallest value of $ax^2 + bx + c$ to derive the formula for the general solution then show $x^2 + bx + c$ as a specific case where $a = 1$. Notice

$$\begin{aligned} ax^2 + bx + c &= a\left(x^2 + \frac{b}{a}x\right) + c \\ &= a\left(\left(x + \frac{b}{2a}\right)^2 - \frac{b^2}{4a^2}\right) + c \\ &= a\left(x + \frac{b}{2a}\right)^2 + c - \frac{b^2}{4a}. \end{aligned}$$

It is clear that $x = -\frac{b}{2a}$ gives the minimum value. Plugging in shows $\min(ax^2 + bx + c) = c - \frac{b^2}{4a}$. Let $a = 1$, plugging in shows $\min(x^2 + bx + c) = c - \frac{b^2}{4}$. ■

Problem 19

The fact that $a^2 \geq 0$ for all numbers a , elementary as it may seem, is nevertheless a fundamental idea upon which most important inequalities are ultimately based. The great-granddaddy of all inequalities is the *Schwarz inequality*:

$$x_1 y_1 + x_2 y_2 \leq \sqrt{x_1^2 + x_2^2} \sqrt{y_1^2 + y_2^2}$$

(A more general form occurs in Problem 2 – 21.) The three proofs of the Schwarz inequality outlined below have one thing in common - their reliance on the fact that $a^2 \geq 0$ for all a .

(a) Prove that if $x_1 = \lambda y_1$ and $x_2 = \lambda y_2$ for some number λ , then equality holds in the Schwarz inequality. Prove the same thing if $y_1 = y_2 = 0$. Now suppose that y_1 and y_2 are not both 0, and that there is no λ such that $x_1 = \lambda y_1$ and $x_2 = \lambda y_2$. Then

$$\begin{aligned} 0 &< (\lambda y_1 - x_1)^2 + (\lambda y_2 - x_2)^2 \\ &= \lambda^2(y_1^2 + y_2^2) - 2\lambda(x_1 y_1 + x_2 y_2) + (x_1^2 + x_2^2) \end{aligned}$$

Using Problem 18, complete the proof of the Schwarz inequality.

(b) Prove the Schwarz inequality by using $2xy \leq x^2 + y^2$ (how is this derived) with

$$x = \frac{x_i}{\sqrt{x_1^2 + x_2^2}}, \quad y = \frac{y_i}{\sqrt{y_1^2 + y_2^2}}$$

first show for $i = 1$ then for $i = 2$.

(c) Prove the Schwarz inequality by first proving that

$$(x_1^2 + x_2^2)(y_1^2 + y_2^2) = (x_1 y_1 + x_2 y_2)^2 + (x_1 y_2 - x_2 y_1)^2$$

(d) Deduce, from each of these three proofs, that equality holds only when $y_1 = y_2 = 0$ or when there is a number λ such that $x_1 = \lambda y_1$ and $x_2 = \lambda y_2$.

Proof. Suppose $y_1 = y_2 = 0$. Then

$$0 = 0 + 0 = x_1 \cdot 0 + x_2 \cdot 0 = x_1 y_1 + x_2 y_2 = \sqrt{x_1^2 + x_2^2} \cdot 0 = \sqrt{x_1^2 + x_2^2} \sqrt{0^2 + 0^2} = \sqrt{x_1^2 + x_2^2} \sqrt{y_1^2 + y_2^2}$$

Thus $x_1 x_2 = \sqrt{x_1^2 + x_2^2} \sqrt{y_1^2 + y_2^2}$ as required. ■

Problem 20

Prove that if

$$|x - x_0| < \frac{\epsilon}{2} \text{ and } |y - y_0| < \frac{\epsilon}{2}$$

then

$$|(x + y) - (x_0 + y_0)| < \epsilon$$

$$|(x - y) - (x_0 - y_0)| < \epsilon$$

Proof. Suppose $|x - x_0| < \frac{\epsilon}{2}$ and $|y - y_0| < \frac{\epsilon}{2}$. Then

$$|(x + y) - (x_0 + y_0)| = |(x - x_0) + (y - y_0)| \leq |x - x_0| + |y - y_0| < \frac{\epsilon}{2} + \frac{\epsilon}{2} = \epsilon$$

Thus $|(x + y) - (x_0 + y_0)| < \epsilon$. Similarly

$$|(x - y) - (x_0 - y_0)| = |(x - x_0) + (y_0 - y)| \leq |x - x_0| + |y_0 - y| = |x - x_0| + |y - y_0| < \frac{\epsilon}{2} + \frac{\epsilon}{2} = \epsilon$$

Thus $|(x - y) - (x_0 - y_0)| < \epsilon$. ■

Problem 21

Prove that if

$$|x - x_0| < \min\left(\frac{\epsilon}{2(|y_0| + 1)}, 1\right) \text{ and } |y - y_0| < \frac{\epsilon}{2(|x_0| + 1)}$$

then $|xy - x_0 y_0| < \epsilon$

(The notion "min" was defined in Problem 13, but the formula provided by that problem is irrelevant at the moment; the first inequality in the hypothesis just means that

$$|x - x_0| < \frac{\epsilon}{2(|y_0| + 1)} \text{ and } |x - x_0| < 1;$$

at one point in the argument you will need the first inequality, and at another point you will need the second. One more word of advice: since the hypotheses only provide information about $x - x_0$ and $y - y_0$, it is almost a forgone conclusion that the proof will depend up writing $xy - x_0 y_0$ in a way that involves $x - x_0$ and $y - y_0$.)

Problem 22

Prove that if $y_0 \neq 0$ and

$$|y - y_0| < \min\left(\frac{|y_0|}{2}, \frac{\epsilon |y_0|^2}{2}\right)$$

then $y \neq 0$ and

$$\left| \frac{1}{y} - \frac{1}{y_0} \right| < \epsilon$$

Proof. Suppose $y_0 \neq 0$. Then

$$|y| = |y - y_0 + y_0| = |y_0 + (y - y_0)| \geq ||y_0| - |y - y_0|| \geq |y_0| - |y - y_0| > |y_0| - \frac{|y_0|}{2} = \frac{|y_0|}{2}$$

Thus $y \neq 0$. Also

$$\left| \frac{1}{y} - \frac{1}{y_0} \right| = \left| \frac{y - y_0}{yy_0} \right| = \frac{|y - y_0|}{|y||y_0|} < \frac{\frac{\epsilon|y_0|^2}{2}}{\frac{|y_0|}{2}|y_0|} = \epsilon$$

■

Problem 23

Replace the question marks in the following statement by expressions involving ϵ , x_0 , and y_0 so that the conclusion will be true:

If $y_0 \neq 0$ and

$$|y - y_0| < ? \text{ and } |x - x_0| < ?$$

then $y \neq 0$ and

$$\frac{x}{y} - \frac{x_0}{y_0} < \epsilon$$

This problem is trivial in the sense that its solution follows from Problem 21 and 22 with almost now work at all (notice that $\frac{x}{y} = x \cdot \frac{1}{y}$). The crucial point is not to become confused; decide which of the two problems should be used first, and don't panic if your answer looks unlikely.

2 Numbers of Various Sorts

Problem 1

Prove the following formulas by induction.

1. $1^2 + \dots + n^2 = \frac{n(n+1)(2n+1)}{6}$
2. $1^3 + \dots + n^3 = (1 + \dots + n)^2$

Proof. First notice

$$n(n+1)(2n+1) = 2n^3 + 3n^2 + n.$$

Also

$$(n+1)((n+1)+1)(2(n+1)+1) = 2n^3 + 9n^2 + 13n + 6.$$

Now, the base case is trivial. Suppose for some $n \in \mathbb{N}$ the equation holds. Then

$$1 + \dots + n^2 + (n+1)^2 = \frac{2n^3 + 3n^2 + n}{6} + \frac{6(n^2 + 2n + 1)}{6} = \frac{2n^3 + 9n^2 + 13n + 6}{6}.$$

■

Proof. The base case is trivial. Suppose for some $n \in \mathbb{N}$ the equation holds. Then

$$\begin{aligned} (1 + \dots + n + (n+1))^2 &= (1 + \dots + n)^2 + [(n+1)(1 + \dots + n) + (n+1)(1 + \dots + n) + (n+1)(n+1)] \\ &= (1 + \dots + n)^2 + (n+1)((1 + \dots + n) + (1 + \dots + n) + (n+1)) \\ &= (1 + \dots + n)^2 + (n+1)(n^2 + 2n + 1) \\ &= (1 + \dots + n)^2 + (n+1)^3 \\ &= 1^3 + \dots + n^3 + (n+1)^3. \end{aligned}$$

Problem 2

Find a formula for

1. $\sum_{i=1}^n (2i - 1) = 1 + 3 + 5 + \dots + (2n - 1)$
2. $\sum_{i=1}^n (2i - 1)^2 = 1^2 + 3^2 + 5^2 + \dots + (2n - 1)^2$

Proof. Suppose n is even. We can view the sum as pairing terms as follows

$$\sum_{k=0}^n ((n - k + 1) + (2n - (2k + 1))).$$

This gives the pattern

$$(1 + (2n - 1)) + (3 + (2n - 3)) + \dots.$$

There are $\frac{n}{2}$ such pairs. Thus the sum is $\frac{n}{2}(2n) = n^2$. If n is odd, we simply add the middle unpaired term and find

$$\frac{n-1}{2}(2n) + (2n - 2 \cdot \frac{n-1}{2}) = n^2.$$

Proof. From Problem 1 part (i) we know

$$1^2 + \dots + (2n)^2 = \frac{2n(2n+1)(2(2n)+1)}{6} = \frac{2n(2n+1)(4n+1)}{6}.$$

Now, we have overcounted the sum of the even squares between 1 and $2n$. The even squares are

$$2^2 + 4^2 + \dots + (2n)^2 = 4(1^2 + 2^2 + \dots + n^2) = 4 \cdot \frac{n(n+1)(2n+1)}{6}.$$

Thus

$$1^2 + 3^2 + 5^2 + \dots + (2n-1)^2 = \frac{2n(2n+1)(4n+1)}{6} - 4 \cdot \frac{n(n+1)(2n+1)}{6} = \frac{n(2n-1)(2n+1)}{3}.$$

Problem 6