

# Calculus by Spivak

Noah Lewis

September 9, 2025

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1 Chapter 1 - Basic Properties of Numbers

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### Problem 1

Prove the following:

(i) If  $ax = a$  for some number  $a \neq 0$ , then  $x = 1$ .

(ii)  $x^2 - y^2 = (x - y)(x + y)$ .

(iii) If  $x^2 = y^2$ , then  $x = y$  or  $x = -y$ .

(iv)  $x^3 - y^3 = (x - y)(x^2 + xy + y^2)$ .

(v)  $x^n - y^n = (x - y)(x^{n-1} + x^{n-2}y + \dots + xy^{n-2} + y^{n-1})$

(vi)  $x^3 + y^3 = (x + y)(x^2 - xy + y^2)$ . There is a particularly easy way to do this (iv), and it will show you how to find a factorization for  $x^n + y^n$  whenever  $n$  is odd.

*Proof.*

$$\begin{aligned} & ax = a \\ \Leftrightarrow & a^{-1} \cdot ax = a^{-1} \cdot a \\ \Leftrightarrow & 1x = 1 & (P7) \\ \Leftrightarrow & x = 1 & (P6) \end{aligned}$$

*Proof.*

$$\begin{aligned} x^2 - y^2 &= x^2 - xy + xy - y^2 & (P2, P3) \\ &= x(x - y) + y(x - y) & (P9) \\ &= (x - y)(x + y) & (P9) \end{aligned}$$

*Proof.*

$$\begin{aligned} & x^2 = y^2 \\ \Leftrightarrow & x^2 - y^2 = y^2 - y^2 \\ \Leftrightarrow & x^2 - y^2 = 0 & (P3) \\ \Leftrightarrow & (x - y)(x + y) = 0 & (1 \text{ ii}) \end{aligned}$$

It then follows that either  $x = y$  or  $x = -y$ .

*Proof.*

$$\begin{aligned}
 x^3 - y^3 &= x^3 - x^2y + x^2y - xy^2 + xy^2 - y^3 && \text{(P2, P3)} \\
 &= x^2(x - y) + xy(x - y) + y^2(x - y) && \text{(P9)} \\
 &= (x - y)(x^2 + xy + y^2) && \text{(P9)}
 \end{aligned}$$

*Proof.*

$$\begin{aligned}
 &(x - y)(x^{(n-1)} + x^{(n-2)}y + \dots + xy^{(n-2)} + y^{(n-1)}) \\
 &= x^{(n-1)}(x - y) + x^{(n-2)}y(x - y) + \dots + xy^{(n-2)}(x - y) + y^{(n-1)}(x - y) && \text{(P9)} \\
 &= x^{(n-1)} \cdot x - x^{(n-1)} \cdot y + x^{(n-2)}y \cdot x - x^{(n-2)}y \cdot y + \\
 &\quad \dots + xy^{(n-2)} \cdot x - xy^{(n-2)} \cdot y + y^{(n-1)} \cdot x - y^{(n-1)} \cdot y && \text{(P9)} \\
 &= x^n - x^{n-1}y + x^{n-1}y - x^{n-2}y^2 + \dots + x^2y^{n-2} - xy^{n-1} + xy^{n-1} - y^n \\
 &= x^n - y^n && \text{(P3)}
 \end{aligned}$$

*Proof.*

$$\begin{aligned}
 x^3 + y^3 &= x^3 - (-y)^3 \\
 &= (x - (-y))(x^2 + x(-y) + (-y)^2) && \text{(1 iv)} \\
 &= (x + y)(x^2 - xy + y^2)
 \end{aligned}$$

### Problem 3

Prove the following:

- (i)  $\frac{a}{b} = \frac{ac}{bc}$ , if  $b, c \neq 0$ .
- (ii)  $\frac{a}{b} + \frac{c}{d} = \frac{ad+bc}{bd}$ , if  $b, d \neq 0$ .
- (iii)  $(ab)^{-1} = a^{-1}b^{-1}$ , if  $a, b \neq 0$ . (To do this you must remember the defining property of  $(ab)^{-1}$ .)
- (iv)  $\frac{a}{b} \cdot \frac{c}{d} = \frac{ac}{db}$ , if  $b, d \neq 0$ .
- (v)  $\frac{a}{b} \div \frac{c}{d} = \frac{ad}{bc}$ , if  $b, c, d \neq 0$ .
- (vi) If  $b, d \neq 0$ , then  $\frac{a}{b} = \frac{c}{d}$  if and only if  $ad = bc$ . Also determine when  $\frac{a}{b} = \frac{b}{a}$ .

*Proof.* Suppose  $b, c \neq 0$ . Then:

$$\begin{aligned}
 &\frac{a}{b} = \frac{ac}{bc} \\
 \Leftrightarrow &ab^{-1} = ac(bc)^{-1} \\
 \Leftrightarrow &ab^{-1}(bc) = ac(bc)^{-1}bc \\
 \Leftrightarrow &ab^{-1}(bc) = ac \cdot 1 && \text{P7} \\
 \Leftrightarrow &ab^{-1}(bc) = ac && \text{P6} \\
 \Leftrightarrow &a(b^{-1}b)c = ac && \text{P5} \\
 \Leftrightarrow &a \cdot 1 \cdot c = ac && \text{P7} \\
 \Leftrightarrow &ac = ac && \text{P6}
 \end{aligned}$$

*Proof.* Suppose  $b, d \neq 0$ . Then:

$$\begin{aligned}
 & \frac{a}{b} + \frac{c}{d} = \frac{ad + bc}{bd} \\
 \Leftrightarrow & ab^{-1} + cd^{-1} = (ad + bc)(bd)^{-1} \\
 \Leftrightarrow & (bd)(ab^{-1} + cd^{-1}) = (ad + bc)(bd)^{-1}(bd) \\
 \Leftrightarrow & ab^{-1}(bd) + cd^{-1}(bd) = (ad + bc)(bd)^{-1}(bd) & \text{P9} \\
 \Leftrightarrow & ab^{-1}(bd) + cd^{-1}(bd) = (ad + bc) \cdot 1 & \text{P7} \\
 \Leftrightarrow & ab^{-1}(bd) + cd^{-1}(bd) = (ad + bc) & \text{P6} \\
 \Leftrightarrow & a(b^{-1}b)d + cd^{-1}(bd) = (ad + bc) & \text{P5} \\
 \Leftrightarrow & a(b^{-1}b)d + cd^{-1}(db) = (ad + bc) & \text{P8} \\
 \Leftrightarrow & a(b^{-1}b)d + c(d^{-1}d)b = (ad + bc) & \text{P5} \\
 \Leftrightarrow & a \cdot 1 \cdot d + c \cdot 1 \cdot b = (ad + bc) & \text{P7} \\
 \Leftrightarrow & ad + cb = (ad + bc) & \text{P6} \\
 \Leftrightarrow & ad + bc = ad + bc & \text{P8}
 \end{aligned}$$

*Proof.* Suppose  $a, b \neq 0$ . Then:

$$\begin{aligned}
 & (ab)^{-1} = a^{-1}b^{-1} \\
 \Leftrightarrow & (ab)(ab)^{-1} = (ab)a^{-1}b^{-1} \\
 \Leftrightarrow & 1 = a(ba^{-1})b^{-1} & \text{P5} \\
 \Leftrightarrow & 1 = a(a^{-1}b)b^{-1} & \text{P4} \\
 \Leftrightarrow & 1 = (a \cdot a^{-1})b \cdot b^{-1} & \text{P5} \\
 \Leftrightarrow & 1 = 1 \cdot b \cdot b^{-1} & \text{P7} \\
 \Leftrightarrow & 1 = 1 \cdot 1 & \text{P7} \\
 \Leftrightarrow & 1 = 1 & \text{P6}
 \end{aligned}$$

*Proof.* Suppose  $b, d \neq 0$ . Then:

$$\begin{aligned}
 & \frac{a}{b} \cdot \frac{c}{d} = \frac{ac}{db} \\
 \Leftrightarrow & ab^{-1} \cdot cd^{-1} = ac(db)^{-1} \\
 \Leftrightarrow & ab^{-1} \cdot cd^{-1} = ac(bd)^{-1} & \text{P8} \\
 \Leftrightarrow & acb^{-1}d^{-1} = ac(bd)^{-1} & \text{P8} \\
 \Leftrightarrow & acb^{-1}d^{-1}(bd) = ac(bd)^{-1}(bd) \\
 \Leftrightarrow & acb^{-1}d^{-1}(bd) = ac \cdot 1 & \text{P7} \\
 \Leftrightarrow & acb^{-1}d^{-1}(bd) = ac & \text{P6} \\
 \Leftrightarrow & acb^{-1}d^{-1}(db) = ac & \text{P8} \\
 \Leftrightarrow & acb^{-1}(d^{-1}d)b = ac & \text{P5} \\
 \Leftrightarrow & acb^{-1} \cdot 1 \cdot b = ac & \text{P7} \\
 \Leftrightarrow & acb^{-1}b = ac & \text{P6} \\
 \Leftrightarrow & ac \cdot 1 = ac & \text{P7} \\
 \Leftrightarrow & ac = ac & \text{P6}
 \end{aligned}$$

*Proof.* Suppose  $b, c, d \neq 0$ . Then:

$$\begin{aligned}
 & \frac{a}{b} \div \frac{c}{d} = \frac{ad}{bc} \\
 \Leftrightarrow & \frac{a}{b} \left( \frac{c}{d} \right)^{-1} = \frac{ad}{bc} \\
 \Leftrightarrow & \frac{a}{b} \left( \frac{c}{d} \right)^{-1} = \frac{a}{b} \cdot \frac{d}{c} & \text{Part (iv)} \\
 \Leftrightarrow & \frac{a}{b} \left( \frac{c}{d} \right)^{-1} \cdot \frac{c}{d} = \frac{a}{b} \cdot \frac{d}{c} \cdot \frac{c}{d} \\
 \Leftrightarrow & \frac{a}{b} \cdot 1 = \frac{a}{b} \cdot \frac{d}{c} \cdot \frac{c}{d} & \text{P7} \\
 \Leftrightarrow & \frac{a}{b} = \frac{a}{b} \cdot \frac{d}{c} \cdot \frac{c}{d} & \text{P6} \\
 \Leftrightarrow & \frac{a}{b} = \frac{a}{b} \cdot \frac{dc}{cd} & \text{Part (iv)} \\
 \Leftrightarrow & \frac{a}{b} = \frac{a}{b} \cdot \frac{dc}{dc} & \text{P8} \\
 \Leftrightarrow & \frac{a}{b} = \frac{a}{b} \cdot dc(dc)^{-1} \\
 \Leftrightarrow & \frac{a}{b} = \frac{a}{b} \cdot 1 & \text{P7} \\
 \Leftrightarrow & \frac{a}{b} = \frac{a}{b} & \text{P6}
 \end{aligned}$$

*Proof.* Suppose  $b, d \neq 0$ . Then:

$$\begin{aligned}
 \frac{a}{b} &= \frac{c}{d} \\
 \Leftrightarrow ab^{-1} &= cd^{-1} \\
 \Leftrightarrow ab^{-1}d &= cd^{-1}d \\
 \Leftrightarrow ab^{-1}d &= c \cdot 1 & P7 \\
 \Leftrightarrow ab^{-1}d &= c & P6 \\
 \Leftrightarrow adb^{-1} &= c & P8 \\
 \Leftrightarrow adb^{-1}b &= cb \\
 \Leftrightarrow ad \cdot 1 &= cb & P7 \\
 \Leftrightarrow ad &= cb & P7 \\
 \Leftrightarrow ad &= bc & P5
 \end{aligned}$$

Suppose  $b, a \neq 0$  and  $\frac{a}{b} = \frac{b}{a}$ . Then:

$$\begin{aligned}
 \frac{a}{b} &= \frac{b}{a} \\
 \Leftrightarrow a^2 &= b^2 & \text{By previous answer} \\
 \Leftrightarrow |a| &= |b|
 \end{aligned}$$

Therefore  $\frac{a}{b} = \frac{b}{a}$  iff  $|a| = |b|$ . ■

#### Problem 5

Prove the following:

- (i) If  $a < b$  and  $c < d$ , then  $a + c < b + d$ .
- (ii) If  $a < b$ , then  $-b < -a$ .
- (iii) If  $a < b$  and  $c > d$ , then  $a - c < b - d$ .
- (iv) If  $a < b$  and  $c > 0$ , then  $ac < bc$ .
- (v) If  $a < b$  and  $c < 0$ , then  $ac > bc$ .
- (vi) If  $a > 1$ , then  $a^2 > a$ .
- (vii) If  $0 < a < 1$ , then  $a^2 < a$ .
- (viii) If  $0 \leq a < b$  and  $0 \leq c < d$ , then  $ac < bd$ .
- (ix) If  $0 \leq a < b$ , then  $a^2 < b^2$ . (Use (viii).)
- (x) If  $a, b \geq 0$  and  $a^2 < b^2$ , then  $a < b$ . (Use (ix) backwards.)

*Proof.* Suppose  $a < b$  and  $c < d$ . Then  $b - a$  is in  $P$  and  $d - c$  is in  $P$ . Therefore  $(b - a) + (d - c)$  is in  $P$ . It follows that  $(b - a) + (d - c) > 0$  and therefore  $a + c < b + d$ . ■

*Proof.* Suppose  $a < b$ . Clearly  $b - a$  is in  $P$ . It follows that  $-(-b - (-a))$  is in  $P$ . Now  $-b - (-a) < 0$  so  $-b < -a$ . ■

*Proof.* Since  $d < c$  by (ii)  $-c < -d$ . Since  $-c < -d$  and  $a < b$  by (i)  $a + (-c) < b + (-d)$  therefore  $a - c < b - d$ . ■

*Proof.* Suppose  $a < b$  and  $c > 0$ . Since  $a < b$  it follows  $b - a$  is in  $P$ . Since  $b - a$  and  $c$  are in  $P$  it follows that  $c(b - a)$  is in  $P$ . Then  $c(b - a) = bc - ac$  is in  $P$  so  $ac < bc$ . ■

*Proof.* Suppose  $a < b$  and  $c < 0$  it follows that  $-c > 0$ . Then by (iv)  $a(-c) < b(-c)$  so  $-ac < -bc$ . Then by (ii) it follows that  $ac > bc$ . ■

*Proof.* Suppose  $a > 1$ . It follows that  $a - 1 > 0$ . Since  $a > 1$  and  $1 > 0$  it follows that  $a > 0$ . Since  $0 < a - 1$  and  $a > 0$  it follows that  $0(a) < (a - 1)a$  so  $0 < a^2 - a$  and therefore  $a^2 > a$ . ■

*Proof.* Suppose  $0 < a < 1$ . It follows that  $a - 1 < 0$ . Since  $a - 1 < 0$  and  $a > 0$  it follows by (iv) that  $a(a - 1) < 0(a)$ . Therefore  $a^2 - a < 0$  and  $a^2 < a$ . ■

*Proof.* Suppose  $0 \leq a < b$  and  $0 \leq c < d$ . If  $a = 0$  or  $c = 0$  then  $ac = 0$ . Now since  $b > 0$  and  $d > 0$  it follows that  $bd > 0$  so  $0 = ac < bd$ . Suppose  $a > 0$  and  $c > 0$ . Since  $a < b$  and  $d > 0$  it follows that  $ad < bd$ . Since  $c < d$  and  $a > 0$  it follows that  $ac < ad$ . Then  $ac < ad < bd$  so  $ac < bd$ . ■

*Proof.* Suppose  $0 \leq a < b$ . By part (viii) it follows that  $a \cdot a < b \cdot b$  so  $a^2 < b^2$ . ■

*Proof.* Suppose  $a, b \geq 0$  and  $a^2 < b^2$ . Since  $a^2 < b^2$  by (ix) it follows that  $0 \leq a < b$  so  $a < b$ . ■

### Problem 7

Prove that if  $0 < a < b$ , then

$$a < \sqrt{ab} < \frac{a+b}{2} < b$$

Notice that the inequality  $\sqrt{ab} \leq (a+b)/2$  holds for all  $a, b \geq 0$ . A generalization of this fact occurs in Problem 2 – 22.

*Proof.* Suppose  $0 < a < b$ . Now let  $x^2 = a$  and  $y^2 = b$ . By Problem 5 part (ix) since  $x^2 < y^2$ ,  $x < y$  so  $\sqrt{a} < \sqrt{b}$ . It then follows that  $\sqrt{a} - \sqrt{b} < 0$ . Since  $\sqrt{a} > 0$  it follows that  $\sqrt{a}(\sqrt{a} - \sqrt{b}) < 0$ . Then  $\sqrt{a}(\sqrt{a} - \sqrt{b}) < 0 \iff a - \sqrt{ab} < 0$  so  $a < \sqrt{ab}$ . Since  $\sqrt{b} > 0$  it follows that  $\sqrt{b}(\sqrt{a} - \sqrt{b}) < 0$ . Then  $\sqrt{b}(\sqrt{a} - \sqrt{b}) < 0 \iff \sqrt{ab} - b < 0$  so  $\sqrt{ab} < b$ . ■

### Problem 12

Prove the following:

- (i)  $|xy| = |x| \cdot |y|$
- (ii)  $|\frac{1}{x}| = \frac{1}{|x|}$ , if  $x \neq 0$ . (The best way to do this is to remember what  $|x|^{-1}$  is.)
- (iii)  $|\frac{x}{y}| = |\frac{x}{y}|$ , if  $y \neq 0$ .
- (iv)  $|x - y| \leq |x| + |y|$ . (Give a very short proof.)
- (v)  $|x| - |y| \leq |x - y|$ . (A very short proof is possible, if you write things in the right way.)
- (vi)  $||x| - |y|| \leq |x - y|$ . (Why does this follow immediately from (v)?)
- (vii)  $|x + y + z| \leq |x| + |y| + |z|$ . Indicate when equality holds, and prove your statement.

*Proof.* There are four cases to consider:

1.  $x \leq 0$  and  $y \leq 0$
2.  $x \leq 0$  and  $y \geq 0$
3.  $x \geq 0$  and  $y \leq 0$
4.  $x \geq 0$  and  $y \geq 0$

Suppose  $x \leq 0$  and  $y \leq 0$ . Then  $xy \geq 0$  so  $|xy| = xy$ . Now  $|x| = -x$  and  $|y| = -y$  so  $|x| \cdot |y| = (-x)(-y) = xy = |xy|$ .

Suppose  $x \leq 0$  and  $y \geq 0$ . Then  $xy \leq 0$  so  $|xy| = -xy$ . Now  $|x| = -x$  and  $|y| = y$  so  $|x| \cdot |y| = -xy = |xy|$ .

Suppose  $x \geq 0$  and  $y \leq 0$ . Then  $xy \leq 0$  so  $|xy| = -xy$ . Now  $|x| = x$  and  $|y| = -y$  so  $|x| \cdot |y| = -xy = |xy|$ .

Suppose  $x \geq 0$  and  $y \geq 0$ . Then  $xy \geq 0$  so  $|xy| = xy$ . Now  $|x| = x$  and  $|y| = y$  so  $|x| \cdot |y| = xy = |xy|$ .

Since these cases were exhaustive  $|x||y| = |xy|$ . ■

*Proof.* Suppose  $x \neq 0$ . So  $|\frac{1}{x}||x| = |\frac{x}{x}|$  part (i)  $= 1 = \frac{|x|}{|x|} = \frac{1}{|x|} \cdot |x|$ . Then dividing by  $|x| \neq 0$  it follows that  $|\frac{1}{x}| = \frac{1}{|x|}$ . ■

*Proof.* Suppose  $y \neq 0$ . So  $|\frac{x}{y}||y| = |\frac{xy}{y}|$  part (i)  $= |x| = \frac{|x||y|}{|y|}$ . Then dividing by  $|y| \neq 0$  it follows that  $|\frac{x}{y}| = \frac{|x|}{|y|}$ . ■

*Proof.* So  $|x - y| = |x + (-y)| \leq |x| + |-y|$  triangle inequality  $= |x| + |y|$ . ■

*Proof.* So  $|x| = |x + y - y| = |(x - y) + y| \leq |x - y| + |y|$  triangle inequality  $= |x + y| + |y|$ . Then subtracting  $|y|$  on both sides gives  $|x| - |y| \leq |x - y|$ . ■

*Proof.* So  $||x| - |y|| \leq ||x - y||$  part (v)  $= |x - y|$ . ■

*Proof.* So

$$\begin{aligned} |x + y + z| &\leq |(x + y) + z| \\ &\leq |x + y| + |z| && \text{triangle inequality} \\ &\leq |x| + |y| + |z| && \text{triangle inequality.} \end{aligned}$$

Now let us discover when  $|x + y + z| = |x| + |y| + |z|$ . Equality occurs when  $|x + y| = |x| + |y|$  and  $|x + y + z| = |x + y| + |z|$ . Clearly  $|x + y| = |x| + |y|$  when  $x, y$  are both non-positive or non-negative. We can take  $|x + y| + |z| = |x| + |y| + |z|$  subtract  $|z|$  from both sides and get  $|x + y| = |x| + |y|$  which we already showed requires that  $|x|$  and  $|y|$  both be non-positive or non-negative. Now  $|x + y| + |z| = |x| + |y| + |z|$  requires  $x + y$  and  $z$  to be both non-positive or non-negative. If  $x$  and  $y$  have the same sign then  $x + y$  also has this sign. Thus,  $|x + y + z| = |x| + |y| + |z|$  if  $x, y, z$  are all non-positive or non-negative. ■

### Problem 13

The maximum of two numbers  $x$  and  $y$  is denoted by  $\max(x, y)$ . Thus  $\max(-1, 3) = \max(3, 3) = 3$  and  $\max(-1, -4) = \max(-4, -1) = -1$ . The minimum of  $x$  and  $y$  is denoted by  $\min(x, y)$ . Prove that

$$\max(x, y) = \frac{x + y + |y - x|}{2}$$

$$\min(x, y) = \frac{x + y - |y - x|}{2}$$

Derive a formula for  $\max(x, y, z)$  and  $\min(x, y, z)$ , using, for example

$$\max(x, y, z) = \max(x, \max(y, z))$$

*Proof.* Lets analyze  $\frac{x+y}{2} + \frac{|y-x|}{2}$ . Now if  $y - x > 0$  then  $y \geq x$  and  $|y - x| = y - x$ . Then  $\frac{x+y}{2} + \frac{y-x}{2} = \frac{x+y+y-x}{2} = \frac{2y}{2} = y$  as expected. If  $y - x < 0$  then  $x > y$  and  $|y - x| = -(y - x)$ . Then  $\frac{x+y}{2} + \frac{-(y-x)}{2} = \frac{x+y-y+x}{2} = \frac{2x}{2} = x$  as expected. The  $\min$  equation simply negates  $|y - x|$  and following similarly to our  $\max$  computation would result in  $y$  if  $y < x$  and  $x$  if  $x \leq y$ . ■

**Formula for  $\max(x, y, z)$ :**

$$\begin{aligned}\max(x, y, z) &= \max(\max(x, y), z) \\ &= \max\left(\frac{x + y + |y - x|}{2}, z\right) \\ &= \frac{(x + y + |y - x|) + z + |z - (x + y + |y - x|)|}{2}\end{aligned}$$

**Formula for  $\min(x, y, z)$ :**

$$\begin{aligned}\min(x, y, z) &= \min(\min(x, y), z) \\ &= \min\left(\frac{x + y - |y - x|}{2}, z\right) \\ &= \frac{(x + y - |y - x|) + z - |z - (x + y - |y - x|)|}{2}\end{aligned}$$

#### Problem 14

- (a) Prove that  $|a| = |-a|$ . (The trick is not to become confused by too many cases. First prove the statement  $a \geq 0$ . Why is it then obvious for  $a \leq 0$ ?)  
 (b) Prove that  $-b \leq a \leq b$  if and only if  $|a| \leq b$ . In particular, it follows that  $-|a| \leq a \leq |a|$ .  
 (c) Use this fact to give a new proof that  $|a + b| \leq |a| + |b|$ .

*Proof.* Suppose  $a \geq 0$  so  $-a \leq 0$ . So  $|a| = a$  and  $|-a| = -(-a)$ . Then  $|-a| = -(-a) = a = |a|$ . Suppose  $a < 0$  so  $-a > 0$ . So  $|a| = -a$  and  $|-a| = -a$ . Then  $|a| = -a = |-a|$ . ■

*Proof.* Suppose  $-b \leq a \leq b$ . Suppose  $a \geq 0$  then  $|a| = a$ . So  $-b \leq a \leq b \iff -b \leq |a| \leq b$ . Suppose  $a < 0$  then  $|a| = -a$ . So  $-b \leq a \leq b \iff b \geq -a \geq -b \iff b \geq |a| \geq -b$ . Therefore  $|a| \leq b$ .

Suppose  $|a| \leq b$ . Suppose  $a \geq 0$  then  $|a| \leq b \iff a \leq b$ . Suppose  $a < 0$  then  $|a| \leq b \iff -a \leq b \iff -b \leq a$ . Since  $-b \leq a$  and  $a \leq b$  then  $-b \leq a \leq b$ .

Letting  $b = a$  gives us  $-|a| \leq a \leq |a|$ . ■

*Proof.* Trivially  $-|a| \leq a \leq |a|$  and  $-|b| \leq b \leq |b|$ . Taking the sum of these gives  $-|a| + (-|b|) \leq a + b \leq |a| + |b| \iff -( |a| + |b| ) \leq a + b \leq |a| + |b|$ . Then by part (ii) we get  $|a + b| \leq |a| + |b|$ . ■

#### Problem 16

- (a) Show that

$$\begin{aligned}(x + y)^2 &= x^2 + y^2 \quad \text{only when } x = 0 \text{ or } y = 0 \\ (x + y)^3 &= x^3 + y^3 \quad \text{only when } x = 0 \text{ or } y = 0 \text{ or } x = -y\end{aligned}$$

- (b) Using the fact that

$$x^2 + 2xy + y^2 = (x + y)^2 \geq 0$$

show that  $4x^2 + 6xy + 4y^2 > 0$  unless  $x$  and  $y$  are both 0.

- (c) Use part (b) to find out when  $(x + y)^4 = x^4 + y^4$ .

(d) Find out when  $(x + y)^5 = x^5 + y^5$ . Hint: From the assumption  $(x + y)^5 = x^5 + y^5$  you should be able to derive the equation  $x^3 + 2x^2 + 2xy^2 + y^3 = 0$ , if  $xy \neq 0$ . This implies that  $(x + y)^3 = x^2y + xy^2 = xy(x + y)$ . You should now be able to make a good guess as to when  $(x + y)^n = x^n + y^n$ ; the proof is contained in Problem 11 – 57.



*Proof.* First  $(x + y)^2 = x^2 + 2xy + y^2$ . Then  $x^2 + 2xy + y^2 = x^2 + y^2 \iff 2xy = 0 \iff xy = 0$ . Therefore  $x = 0$  or  $y = 0$ .

Now  $(x + y)^3 = x^3 + 3x^2y + 3xy^2 + y^3$ . Then  $x^3 + 3x^2y + 3xy^2 + y^3 = x^3 + y^3 \iff 3x^2y + 3xy^2 = 0 \iff 3xy(x + y) = 0$ . So either  $3xy = 0$  in which case  $x = 0$  or  $y = 0$ , or  $x + y = 0$  in which case  $x = -y$ . ■

*Proof.* Now  $4x^2 + 2xy + 4y^2 = 4(x^2 + 2xy + y^2) - 6xy = 4(x + y)^2 - 6xy$ . Then  $(x + y)^2 \geq 0 \iff x^2 + 2xy + y^2 \geq 0 \iff x^2 + y^2 \geq -2xy$ . Similarly  $(x - y)^2 \geq 0 \iff x^2 - 2xy + y^2 \geq 0 \iff x^2 + y^2 \geq 2xy$ . Now since  $x^2 + y^2 \geq -2xy$  it follows that  $-(x^2 + y^2) \leq 2xy$ . Since  $-(x^2 + y^2) \leq 2xy$  and  $x^2 + y^2 \geq 2xy$ , it follows that  $-(x^2 + y^2) \leq 2xy \leq x^2 + y^2$ . and therefore  $|2xy| \leq x^2 + y^2 \iff 2|xy| \leq x^2 + y^2$ . Now expanding,  $4(x + y)^2 - 6xy > 0 \iff 4(x^2 + 2xy + y^2) - 6xy > 0 \iff 4x^2 + 8xy + 4y^2 - 6xy > 0 \iff 4x^2 + 4y^2 + 2xy > 0$ . Now since  $-(x^2 + y^2) \leq 2xy \leq x^2 + y^2$  it follows that  $4x^2 + 4y^2 + 2xy > 4x^2 + 4y^2 - (x^2 + y^2) \iff 4(x^2 + y^2) - (x^2 + y^2) > 0 \iff 3(x^2 + y^2) > 0$ . Which is clearly true if  $x, y \neq 0$ , since  $x^2 \geq 0$  and  $y^2 \geq 0$  therefore  $3(x^2 + y^2) > 0$ . Therefore  $4x^2 + 2xy + 4y^2 > 0$  if  $x, y$  are not both zero. ■

### Problem 18

(a) Suppose that  $b^2 - 4c \geq 0$ . Show that the numbers

$$\frac{-b + \sqrt{b^2 - 4c}}{2}, \quad \frac{-b - \sqrt{b^2 - 4c}}{2}$$

both satisfy the equation  $x^2 + bx + c = 0$ .

(b) Suppose that  $b^2 - 4c < 0$ . Show that there are not numbers  $x$  satisfying  $x^2 + bx + c = 0$ ; in fact,  $x^2 + bx + c > 0$  for all  $x$ . Hint: Complete the square.

(c) Use this fact to give another proof that if  $x$  and  $y$  are not both 0, then  $x^2 + xy + y^2 > 0$ .

(d) For which numbers  $a$  is it true that  $x^2 + axy + y^2 > 0$  whenever  $x$  and  $y$  are not both 0?

(e) Find the smallest possible value of  $x^2 + bx + c$  and of  $ax^2 + bx + c$ , for  $a > 0$ .

### Problem 19

The fact that  $a^2 \geq 0$  for all numbers  $a$ , elementary as it may seem, is nevertheless a fundamental idea upon which most important inequalities are ultimately based. The great-granddaddy of all inequalities is the *Schwarz inequality*:

$$x_1y_1 \leq \sqrt{x_1^2 + x_2^2} \sqrt{y_1^2 + y_2^2}$$

(A more general form occurs in Problem 2 – 21.) The three proofs of the Schwarz inequality outlined below have one thing in common - their reliance on the fact that  $a^2 \geq 0$  for all  $a$ .

(a) Prove that if  $x_1 = \lambda y_1$  and  $x_2 = \lambda y_2$  for some number  $\lambda$ , then equality holds in the Schwarz inequality. Prove the same thing if  $y_1 = y_2 = 0$ . Now suppose that  $y_1$  and  $y_2$  are not both 0, and that there is no  $\lambda$  such that  $x_1 = \lambda y_1$  and  $x_2 = \lambda y_2$ . Then

$$\begin{aligned} 0 &< (\lambda y_1 - x_1)^2 + (\lambda y_2 - x_2)^2 \\ &= \lambda^2(y_1^2 + y_2^2) - 2\lambda(x_1y_1 + x_2y_2) + (x_1^2 + x_2^2) \end{aligned}$$

Using Problem 18, complete the proof of the Schwarz inequality.

(b) Prove the Schwarz inequality by using  $2xy \leq x^2 + y^2$  (how is this derived) with

$$x = \frac{x_i}{\sqrt{x_1^2 + x_2^2}}, \quad y = \frac{y_i}{\sqrt{y_1^2 + y_2^2}}$$

first show for  $i = 1$  then for  $i = 2$ .

(c) Prove the Schwarz inequality by first proving that

$$(x_1^2 + x_2^2)(y_1^2 + y_2^2) = (x_1y_1 + x_2y_2)^2 + (x_1y_2 - x_2y_1)^2$$

(d) Deduce, from each of these three proofs, that equality holds only when  $y_1 = y_2 = 0$  or when there is a number  $\lambda$  such that  $x_1 = \lambda y_1$  and  $x_2 = \lambda y_2$ .

In our later work, three facts about inequalities will be crucial. Although proofs will be supplied at the appropriate point in the text, a personal assault on these problems is infinitely more enlightening than a perusal of a completely worked-out proof. The statements of these propositions involve some weird numbers, but their basic message is very simple: if  $x$  is close enough to  $x_0$ , and  $y$  is close enough to  $y_0$  then  $x + y$  will be close to  $x_0 + y_0$ , and  $xy$  will be close to  $x_0 y_0$ , and  $\frac{1}{y}$  will be close to  $\frac{1}{y_0}$ . The symbol " $\epsilon$ " which appears in these propositions is the fifth letter of the Greek alphabet ("epsilon"), and could just as well be replaced by a less intimidating Roman letter; however, tradition has made the use of the  $\epsilon$  almost sacrosanct in the contexts to which these theorems apply.

#### Problem 20

Prove that if

$$|x - x_0| < \frac{\epsilon}{2} \text{ and } |y - y_0| < \frac{\epsilon}{2}$$

then

$$|(x + y) - (x_0 + y_0)| < \epsilon$$

$$|(x - y) - (x_0 - y_0)| < \epsilon$$

#### Problem 21

Prove that if

$$|x - x_0| < \min\left(\frac{\epsilon}{2(|y_0| + 1)}, 1\right) \text{ and } |y - y_0| < \frac{\epsilon}{2(|x_0| + 1)}$$

then  $|xy - x_0 y_0| < \epsilon$

(The notion "min" was defined in Problem 13, but the formula provided by that problem is irrelevant at the moment; the first inequality in the hypothesis just means that

$$|x - x_0| < \frac{\epsilon}{2(|y_0| + 1)} \text{ and } |x - x_0| < 1;$$

at one point in the argument you will need the first inequality, and at another point you will need the second. One more word of advice: since the hypotheses only provide information about  $x - x_0$  and  $y - y_0$ , it is almost a forgone conclusion that the proof will depend upon writing  $xy - x_0 y_0$  in a way that involves  $x - x_0$  and  $y - y_0$ .)

#### Problem 22

Prove that if  $y_0 \neq 0$  and

$$|y - y_0| < \min\left(\frac{|y_0|}{2}, \frac{\epsilon|y_0|^2}{2}\right)$$

#### Problem 23

Replace the question marks in the following statement by expressions involving  $\epsilon$ ,  $x_0$ , and  $y_0$  so that the conclusion will be true:

If  $y_0 \neq 0$  and

$$|y - y_0| < ? \text{ and } |x - x_0| < ?$$

then  $y \neq 0$  and

$$\frac{x}{y} - \frac{x_0}{y_0} < \epsilon$$

This problem is trivial in the sense that its solution follows from Problem 21 and 22 with almost no work at all (notice that  $\frac{x}{y} = x \cdot \frac{1}{y}$ ). The crucial point is not to become confused; decide which of the two problems should be used first, and don't panic if your answer looks unlikely.