

# Algebraic Geometry by Thomas Garrity et. al.

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## 1 Conics

### 1.1 Conics over the Reals

Problem 1

$$P(x, y) = y - x^2, \quad C = \{(x, y) \in \mathbb{R}^2 \mid P(x, y) = 0\}.$$

Show that for any  $(x, y) \in C$ , we also have

$$(-x, y) \in C.$$

Thus the curve is symmetric about the y-axis.

*Proof.* Let  $(x, y) \in C$ . Then  $P(x, y) = y - x^2 = 0$ . Let  $x' = -x$  and note that  $(-x)^2 = x^2$ . Thus

$$P(-x, y) = y - (-x)^2 = y - x^2 = 0.$$

Thus  $(-x, y) \in C$ .

Problem 2

$$P(x, y) = y - x^2, \quad C = \{(x, y) \in \mathbb{R}^2 \mid P(x, y) = 0\}.$$

Show that if  $(x, y) \in C$ , then we have  $y \geq 0$ .

*Proof.* Suppose  $(x, y) \in C$ . Then

$$P(x, y) = y - x^2 = 0 \iff y = x^2 \geq 0.$$

Thus  $y \geq 0$ .

### Problem 3

$$P(x, y) = y - x^2, \quad C = \{(x, y) \in \mathbb{R}^2 \mid P(x, y) = 0\}.$$

Show that for every  $y \geq 0$ , there is a point  $(x, y) \in C$  with this  $y$ -coordinate. Now, for points  $(x, y) \in C$ , show that if  $y$  goes to infinity, then one of the corresponding  $x$ -coordinates also approaches infinity while the other corresponding  $x$  coordinate must approach negative infinity.

*Proof.* Let  $y \in \mathbb{R}$  such that  $y \geq 0$ . Let  $x = \sqrt{y} \in \mathbb{R}$ . Then

$$y - x^2 = y - (\sqrt{y})^2 = y - y = 0.$$

Thus  $(x, y) = (\sqrt{y}, y) \in C$ .

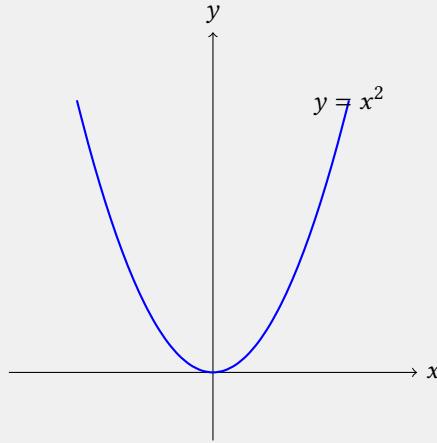
Now suppose  $y \rightarrow \infty$ . For points  $(x, y) \in C$ , we have

$$y - x^2 = 0 \iff x = \pm\sqrt{y}.$$

Since  $y \rightarrow \infty$ , we have  $\sqrt{y} \rightarrow \infty$  and  $-\sqrt{y} \rightarrow -\infty$ . Thus one corresponding  $x$ -coordinate approaches infinity, while the other approaches negative infinity. ■

### Problem 4

Sketch the curve  $C = \{(x, y) \in \mathbb{R}^2 \mid P(x, y) = 0\}$ .



### Problem 5

$$C = \left\{ (x, y) \in \mathbb{R}^2 \mid \frac{x^2}{4} + \frac{y^2}{9} - 1 = 0 \right\}.$$

Show that if  $(x, y) \in C$ , then the three points  $(-x, y), (x, -y), (-x, -y)$  are also on  $C$ . Thus the curve  $C$  is symmetric about both the  $x$ - and  $y$ -axes.

*Proof.* Let  $(x, y) \in \mathbb{R}^2$ . Suppose  $\frac{x^2}{4} + \frac{y^2}{9} - 1 = 0$ . Notice that  $x^2 = (-x)^2$  and  $y = (-y)^2$ . Then

$$\frac{x^2}{4} + \frac{y^2}{9} - 1 = \frac{(-x)^2}{4} + \frac{y^2}{9} - 1 = \frac{x^2}{4} + \frac{(-y)^2}{9} - 1 = \frac{(-x)^2}{4} + \frac{(-y)^2}{9} - 1 = 0.$$

Thus  $(-x, y), (x, -y), (-x, -y) \in C$ . ■

Problem 6

$$C = \left\{ (x, y) \in \mathbb{R}^2 \mid \frac{x^2}{4} + \frac{y^2}{9} - 1 = 0 \right\}.$$

Show that for every  $(x, y) \in C$ , we have  $|x| \leq 2$  and  $|y| \leq 3$ .

*Proof.* Let  $(x, y) \in C$ . Then

$$\frac{x^2}{4} + \frac{y^2}{9} - 1 = 0 \iff 9x^2 + 4y^2 - 36 = 0 \iff 9x^2 = -4y^2 + 36 \iff |x| = \sqrt{\frac{-4}{9}y^2 + 4} \leq \sqrt{4} = 2.$$

Similarly

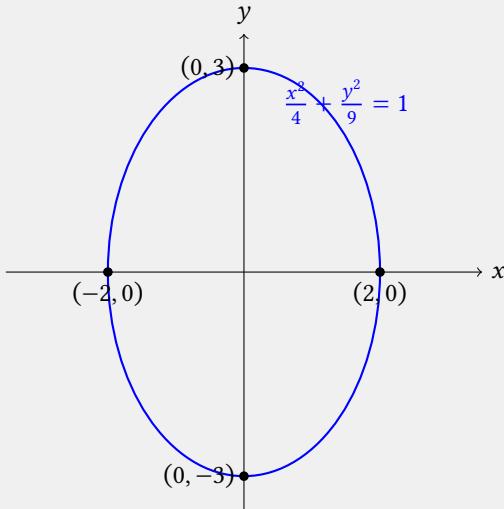
$$9x^2 + 4y^2 - 36 = 0 \iff |y| = \sqrt{\frac{-9}{4}x^2 + 9} \leq \sqrt{9} = 3.$$

■

Problem 7

Sketch

$$C = \left\{ (x, y) \in \mathbb{R}^2 \mid \frac{x^2}{4} + \frac{y^2}{9} - 1 = 0 \right\}.$$



Problem 8

$$C = \left\{ (x, y) \in \mathbb{R}^2 \mid x^2 - y^2 - 4 = 0 \right\}.$$

Show that if  $(x, y) \in C$ , then the three points  $(-x, y)$ ,  $(x, -y)$ , and  $(-x, -y)$  are also on  $C$ . Thus the curve  $C$  is also symmetric about the  $x$ - and  $y$ -axes.

*Proof.* Let  $(x, y) \in \mathbb{R}^2$ . Suppose  $x^2 - y^2 - 4 = 0$ . Notice that  $x^2 = (-x)^2$  and  $y^2 = (-y)^2$ . Then

$$x^2 - y^2 - 4 = (-x)^2 - y^2 = x^2 - (-y)^2 = (-x)^2 - (-y)^2 = 0.$$

Thus  $(-x, y), (x, -y), (-x, -y) \in C$ .

■

Problem 9

$$C = \{(x, y) \in \mathbb{R}^2 \mid x^2 - y^2 - 4 = 0\}.$$

Show that if  $(x, y) \in C$ , then we have  $|x| \geq 2$ .

*Proof.* Let  $(x, y) \in \mathbb{R}^2$ . Suppose  $x^2 - y^2 - 4 = 0$ . Then

$$x^2 - y^2 - 4 = 0 \iff x^2 = y^2 + 4 \iff |x| = \sqrt{y^2 + 4} \geq \sqrt{4} = 2.$$

■

Problem 10

$$C = \{(x, y) \in \mathbb{R}^2 \mid x^2 - y^2 - 4 = 0\}.$$

Show that the curve  $C$  is unbounded in the positive and negative  $x$ -directions and also unbounded in the positive and negative  $y$ -directions.

*Proof.* First notice

$$x^2 - y^2 - 4 = 0 \iff x^2 = y^2 + 4 \iff x = \pm\sqrt{y^2 + 4} \iff y = \pm\sqrt{x^2 - 4}.$$

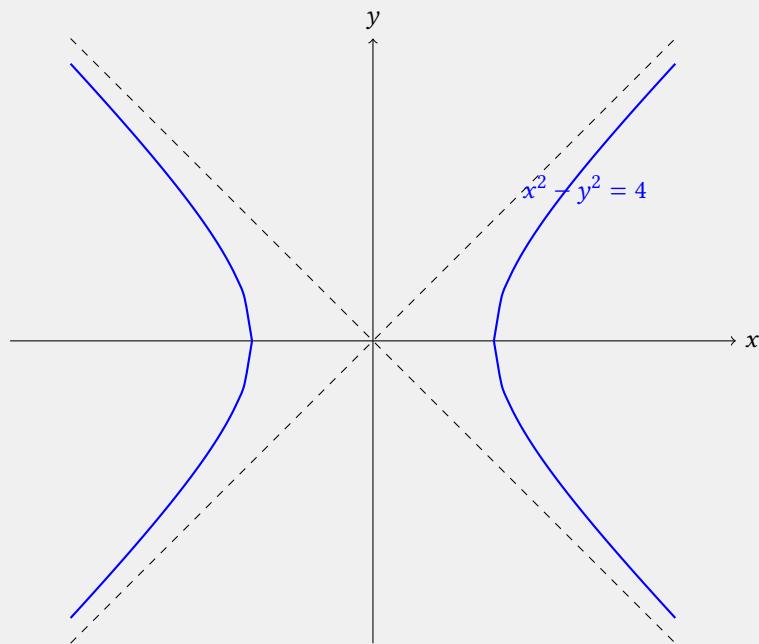
As  $y \rightarrow \infty$ , we have  $x = \pm\sqrt{y^2 + 4} \rightarrow \infty$  and  $-\infty$ . Similarly, as  $x \rightarrow \infty$ , we have  $y = \pm\sqrt{x^2 - 4} \rightarrow \infty$  and  $-\infty$ .

■

Problem 11

Sketch

$$C = \{(x, y) \in \mathbb{R}^2 \mid x^2 - y^2 - 4 = 0\}.$$

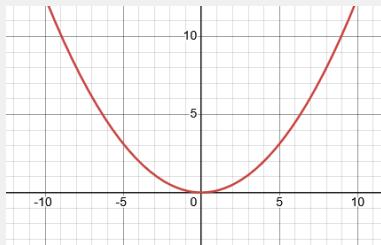


### Problem 12

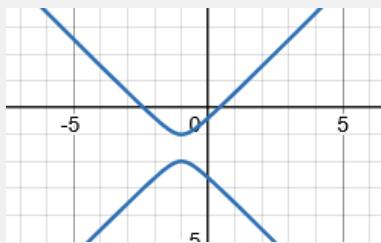
Sketch the graph of each of the following conics in  $\mathbb{R}^2$ . Identify which are parabolas, ellipses, or Hyperbola.

1.  $V(x^2 - 8y)$ .
2.  $V(x^2 + 2x - y^2 - 3y - 1)$ .
3.  $V(4x^2 + y^2)$ .
4.  $V(3x^2 + 3y^2 - 75)$ .
5.  $V(x^2 - 9y^2)$ .
6.  $V(4x^2 + y^2 - 8)$ .
7.  $V(x^2 + 9y^2 - 36)$ .
8.  $V(x^2 - 4y^2 - 16)$ .
9.  $V(y^2 - x^2 - 9)$ .

**Solution (1):** Parabola.

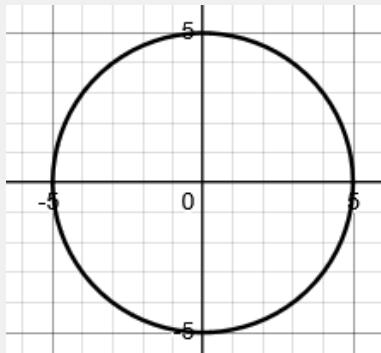


**Solution (2):** Hyperbola.



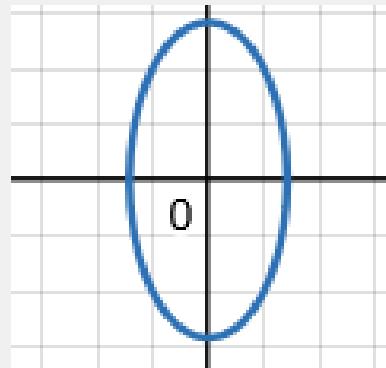
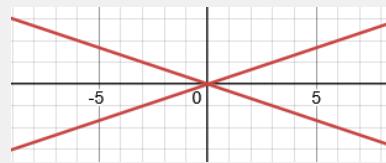
**Solution (3):** Point.

**Solution (4):** Ellipse.

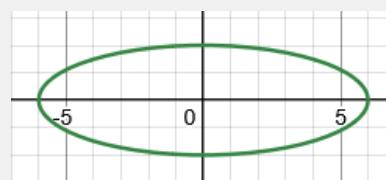


**Solution (5):** Two lines.

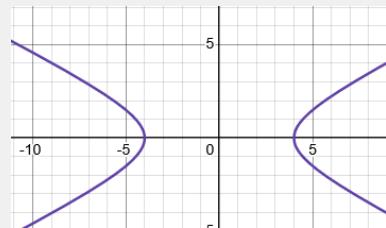
**Solution (6):** Ellipse.



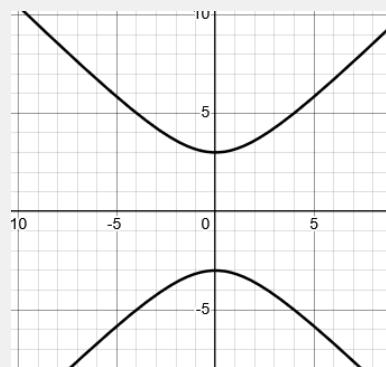
**Solution (7):** Ellipse.



**Solution (8):** Hyperbola.



**Solution (9):** Hyperbola.



### Problem 13

Express the polynomial  $P(x, y) = ax^2 + bxy + cy^2 + dx + ey + h$  in the form

$$P(x, y) = Ax^2 + Bx + C,$$

where  $A, B$ , and  $C$  are polynomials in  $y$ . What are  $A, B$ , and  $C$ ?

*Proof.* Let  $A = a$ ,  $B = by + d$ , and  $C = cy^2 + ey + h$ . Notice

$$ax^2 + bxy + cy^2 + dx + ey + h = ax^2 + bxy + dx + cy^2 + ey + h = ax^2 + (by + d)x + (cy^2 + ey + h) = Ax^2 + Bx + C.$$

■

### Problem 14

Treating  $P(x, y) = ax^2 + bxy + cy^2 + dx + ey + h$  as a polynomial in the variable  $x$ , show that the discriminant is

$$\Delta_x(y) = (b^2 - 4ac)y^2 + (2bd - 4ae)y + (d^2 - 4ah).$$

*Proof.* From Problem 13 we have  $A = a$ ,  $B = by + d$ , and  $C = cy^2 + ey + h$ . Then

$$\Delta_x(y) = B^2 - 4AC = (by + d)^2 - 4a(cy^2 + ey + h) = (b^2 - 4ac)y^2 + (2bd - 4ae)y + (d^2 - 4ah).$$

■

### Problem 15

1. Suppose  $\Delta_x(y_0) < 0$ . Explain why there is no point on  $V(p)$  whose  $y$ -coordinate is  $y_0$ .
2. Suppose  $\Delta_x(y_0) = 0$ . Explain why there is exactly one point on  $V(P)$  whose  $y$ -coordinate is  $y_0$ .
3. Suppose  $\Delta_x(y_0) > 0$ . Explain why there are exactly two points on  $V(P)$  whose  $y$ -coordinate is  $y_0$ .

**Solution (a):** In  $\mathbb{R}$ , the square root is undefined for values  $< 0$ .

**Solution (b):** If  $\Delta_x(y_0) = 0$ , then  $+\sqrt{B^2 - 4AC} = -\sqrt{B^2 - 4AC}$ , so there is exactly one point on  $V(P)$  whose  $y$ -coordinate is  $y_0$ .

**Solution (c):** If  $\Delta_x(y_0) > 0$ , then  $+\sqrt{B^2 - 4AC} \neq -\sqrt{B^2 - 4AC}$ , so there are exactly two points on  $V(P)$  whose  $y$ -coordinate is  $y_0$ .

### Problem 16

Suppose  $b^2 - 4ac = 0$ . Suppose further that  $2bd - 4ae > 0$ .

1. Show that  $\Delta_x(y) \geq 0$  if and only if  $y \geq \frac{4ah-d^2}{2bd-4ae}$ .
2. Conclude that if  $b^2 - 4ac = 0$  and  $2bd - 4ae > 0$ , then  $V(P)$  is a parabola.

*Proof.* Suppose  $\Delta_x(y) \geq 0$ . Then

$$\begin{aligned}\Delta_x(y) &= (b^2 - 4ac)y^2 + (2bd - 4ae)y + (d^2 - 4ah) \\ &= 0y^2 + (2bd - 4ae)y + (d^2 - 4ah).\end{aligned}$$

Therefore,

$$(2bd - 4ae)y + (d^2 - 4ah) \geq 0.$$

Since  $2bd - 4ae > 0$ , we have

$$y \geq \frac{4ah - d^2}{2bd - 4ae}.$$

Conversely, suppose  $y \geq \frac{4ah - d^2}{2bd - 4ae}$ . Then

$$\begin{aligned}\triangle_x(y) &= (2bd - 4ae)y + (d^2 - 4ah) \\ &\geq (2bd - 4ae)\left(\frac{4ah - d^2}{2bd - 4ae}\right) + (d^2 - 4ah) \\ &= 0.\end{aligned}$$

■

*Proof.* Suppose  $b^2 - 4ac = 0$  and  $2bd - 4ae > 0$ . Then  $\triangle_x(y) = (2bd - 4ae)y + (d^2 - 4ah)$ . Now,  $x = \frac{-B \pm \sqrt{B^2 - 4AC}}{2A}$ . It is clear that  $x$  is symmetrical, and since  $y \geq \frac{4ah - d^2}{2bd - 4ae}$ ,  $V(P)$  is a parabola. ■

### Problem 17

Suppose  $b^2 - 4ac < 0$ .

1. Show that one of the following occurs:

- (a)  $\{y \mid \triangle_x(y) \geq 0\} = \emptyset$ ,
- (b)  $\{y \mid \triangle_x(y) \geq 0\} = \{y_0\}$ ,
- (c) there exist real numbers  $\alpha$  and  $\beta$ ,  $\alpha < \beta$ , such that

$$\{y \mid \triangle_x(y) \geq 0\} = \{y \mid \alpha \leq y \leq \beta\}.$$

2. Conclude that  $V(P)$  is either emptyset, a point, or an ellipse.

*Proof.* Since  $b^2 - 4ac < 0$ , the graph of  $\triangle_x(y)$  is a downward opening parabola in  $y$ . There are three cases, depending on the number of real zeros of  $\triangle_x(y)$ .

1. If  $\triangle_x(y) < 0$  for all  $y$ , then

$$\{y \mid \triangle_x(y) \geq 0\} = \emptyset.$$

2. If  $\triangle_x(y)$  has exactly one real zero  $y_0$ , then

$$\{y \mid \triangle_x(y) \geq 0\} = \{y_0\}.$$

3. If  $\triangle_x(y)$  has two distinct real zeros  $\alpha < \beta$ , then

$$\{y \mid \triangle_x(y) \geq 0\} = \{y \mid \alpha \leq y \leq \beta\}.$$

■

*Proof.* From part 1 the set of  $y$  values is either empty, a single point, or a bounded interval, it follows that  $V(P)$  is either empty, a point, or an ellipse. ■

### Problem 18

Suppose  $b^2 - 4ac > 0$ .

1. Show that one of the following occurs:

- (a)  $\{y \mid \triangle_x(y) \geq 0\} = \mathbb{R}$  and  $\triangle_x(y) \neq 0$ ,

- (b)  $\{y \mid \Delta_x(y) = 0\} = \{y_0\}$  and  $\{y \mid \Delta_x(y) > 0\} = \{y \mid y \neq y_0\}$ ,  
(c) there exist real numbers  $\alpha$  and  $\beta$ ,  $\alpha < \beta$ , such that

$$\{y \mid \Delta_x(y) \geq 0\} = \{y \mid y \leq \alpha\} \cup \{y \mid y \geq \beta\}.$$

2. If  $\{y \mid \Delta_x(y)\} = \mathbb{R}$ , show that  $V(P)$  is a hyperbola opening left and right.  
3. If  $\{y \mid \Delta_x(y) = 0\} = \{y_0\}$ , show that  $V(P)$  is two lines intersecting in a point.  
4. If there are two real numbers  $\alpha$  and  $\beta$ ,  $\alpha < \beta$ , such that

$$\{y \mid \Delta_x(y) \geq 0\} = \{y \mid y \leq \alpha\} \cup \{y \mid y \geq \beta\},$$

show that  $V(P)$  is a hyperbola opening up and down.

*Proof.* Since  $b^2 - 4ac > 0$ , the graph of  $\Delta_x(y)$  is an upward opening parabola in  $y$ . There are three cases, depending on the number of real zeros of  $\Delta_x(y)$ .

1. If  $\Delta_x(y) > 0$  for all  $y$ , then

$$\{y \mid \Delta_x(y) \geq 0\} = \mathbb{R}.$$

2. If  $\Delta_x(y)$  has exactly one real zero  $y_0$ , then

$$\{y \mid \Delta_x(y) = 0\} = \{y_0\} \quad \text{and} \quad \{y \mid \Delta_x(y) > 0\} = \{y \mid y \neq y_0\}.$$

3. If  $\Delta_x(y)$  has two distinct real zeros  $\alpha < \beta$ , then

$$\{y \mid \Delta_x(y) \geq 0\} = \{y \mid y \leq \alpha\} \cup \{y \mid y \geq \beta\}.$$

*Proof.* Since  $b^2 - 4ac > 0$ , the graph of  $\Delta_x(y)$  is an upward opening parabola in  $y$ . There are three cases, depending on the number of real zeros of  $\Delta_x(y)$ .

1. If  $\Delta_x(y) > 0$  for all  $y$ , then

$$\{y \mid \Delta_x(y) \geq 0\} = \mathbb{R}.$$

2. If  $\Delta_x(y)$  has exactly one real zero  $y_0$ , then

$$\{y \mid \Delta_x(y) = 0\} = \{y_0\} \quad \text{and} \quad \{y \mid \Delta_x(y) > 0\} = \{y \mid y \neq y_0\}.$$

3. If  $\Delta_x(y)$  has two distinct real zeros  $\alpha < \beta$ , then

$$\{y \mid \Delta_x(y) \geq 0\} = \{y \mid y \leq \alpha\} \cup \{y \mid y \geq \beta\}.$$

*Proof.* Suppose  $\{y \mid \Delta_x(y) \geq 0\} = \mathbb{R}$ . Then for every  $y$  there exist two real solutions for  $x$ , and  $x$  is unbounded to the left and right. Since the equation is quadratic in  $x$ , the curve is symmetric in  $x$ . Thus  $V(P)$  is a hyperbola opening left and right.

*Proof.* Suppose  $\{y \mid \Delta_x(y) = 0\} = \{y_0\}$ . Then for  $y = y_0$  the equation has exactly one real solution for  $x$ , and for  $y \neq y_0$  it has two real solutions. Since the equation is quadratic in  $x$ ,  $V(P)$  consists of two lines intersecting at a point.

*Proof.* Suppose there exist real numbers  $\alpha$  and  $\beta$ ,  $\alpha < \beta$ , such that

$$\{y \mid \triangle_x(y) \geq 0\} = \{y \mid y \leq \alpha\} \cup \{y \mid y \geq \beta\}.$$

For  $y \leq \alpha$  or  $y \geq \beta$ , the equation has two real solutions in  $x$ . If  $\alpha < y < \beta$  it has no real solutions. Thus  $x$  is bounded for each  $y$ , but  $y$  is unbounded above and below. Since the equation is quadratic in  $x$ , the curve is symmetric in  $x$ . Therefore  $V(P)$  is a hyperbola opening up and down. ■

### Problem 19

Show that the discriminant of  $A'y^2 + B'y + C' = 0$  is

$$\triangle_y(x) = (b^2 - 4ac)x^2 + (2be - 4cd)x + (e^2 - 4ch).$$

*Proof.* Here  $A' = c$ ,  $B' = bx + e$ , and  $C' = ax^2 + dx + h$ . Then

$$\triangle_y(x) = (B')^2 - 4A'C' = (bx + e)^2 - 4c(ax^2 + dx + h) = (b^2 - 4ac)x^2 + (2be - 4cd)x + (e^2 - 4ch).$$

## 1.2 Changes of Coordinates

### Problem 1

Show that the origin in the  $xy$ -coordinate system agrees with the origin in the  $uv$ -system if and only if  $e = f = 0$ . Thus the constants  $e$  and  $f$  describe translations of the origin.

*Proof.* Suppose the  $xy$ -coordinate system agrees with the origin of the  $uv$ -system. Then

$$u = 0 = a(0) + b(0) + e = e,$$

and

$$v = 0 = c(0) + d(0) + f = f.$$

Thus  $f = e = 0$ .

Conversely, suppose  $e = f = 0$ . Then

$$u = ax + by + e = ax + by + 0 = a(0) + b(0) = 0,$$

and

$$v = cx + dy + f = cx + dy + 0 = c(0) + d(0) = 0.$$

Thus the origin of the  $xy$ -coordinate system agrees with the origin of the  $uv$ -system. ■

### Problem 2

Show that if  $u = ax + by + e$  and  $v = cx + dy + f$  is a change of coordinates, then the inverse change of coordinates is

$$x = \left( \frac{1}{ad - bc} \right) (du - bv) - \left( \frac{1}{ad - bc} \right) (de - bf).$$

$$y = \left( \frac{1}{ad - bc} \right) (-cu + av) - \left( \frac{1}{ad - bc} \right) (-ce + af).$$

*Proof.* We need to solve the two equations  $u = ax + by + e$  and  $v = cx + dy + f$  in two unknowns  $x$  and  $y$ . Translating this to linear algebra, we have

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} u - e \\ v - f \end{bmatrix}.$$

Using Cramer's rule we see

$$x = \frac{\begin{vmatrix} u - e & b \\ v - f & d \end{vmatrix}}{\begin{vmatrix} a & b \\ c & d \end{vmatrix}} = \frac{d(u - e) - b(v - f)}{ad - bc},$$

$$y = \frac{\begin{vmatrix} a & u - e \\ c & v - f \end{vmatrix}}{\begin{vmatrix} a & b \\ c & d \end{vmatrix}} = \frac{-c(u - e) + a(v - f)}{ad - bc}.$$

Therefore

$$x = \frac{du - bv - de + bf}{ad - bc}, \quad y = \frac{-cu + av + ce - af}{ad - bc}.$$

■

### Problem 3

Show that if

$$u = ax + by + e$$

$$v = cx + dy + f,$$

and

$$s = Au + Bv + E$$

$$t = Cu + Dv + F$$

are two real affine changes of coordinates from the  $xy$ -plane to the  $uv$ -plane and from the  $uv$ -plane to the  $st$ -plane, respectively, then the composition from the  $xy$ -plane to the  $st$ -plane is a real affine change of coordinates.

*Proof.* Suppose

$$u = ax + by + e$$

$$v = cx + dy + f,$$

and

$$s = Au + Bv + E$$

$$t = Cu + Dv + F$$

are two real affine changes of coordinates from the  $xy$ -plane to the  $uv$ -plane and from the  $uv$ -plane to the  $st$ -plane respectively. Substituting  $u, v$  into  $s, t$  we see

$$s = A(ax + by + e) + B(cx + dy + f) + E = (Aa + Bc)x + (Ab + Bd)y + (Ae + Bf + E),$$

and

$$t = C(ax + by + e) + D(cx + dy + f) + F = (Ca + Dc)x + (Cb + Dd)y + (Ce + Df + F).$$

Finally,

$$\det \begin{pmatrix} Aa + Bc & Ab + Bd \\ Ca + Dc & Cb + Dd \end{pmatrix} = (Aa + Bc)(Cb + Dd) - (Ab + Bd)(Ca + Dc) = (ad - bc)(AD - BC) \neq 0.$$

■

#### Problem 4

For each affine pair of ellipses, find a real affine change of coordinates that maps the ellipse in the  $xy$ -plane to the ellipse in the  $uv$ -plane.

1.  $V(x^2 + y^2 - 1), V(16u^2 + 9v^2 - 1)$ .
2.  $V((x-1)^2 + y^2 - 1), V(16u^2 + 9(v+2)^2 - 1)$ .
3.  $V(4x^2 + y^2 - 6y + 8), V(u^2 - 4u + v^2 - 2v + 4)$ .
4.  $V(13x^2 - 10xy + 13y^2 - 1), V(4u^2 + 9v^2 - 1)$ .

**Solution (1):** Let  $x = 4u$  and  $y = 3v$ . Then

$$x^2 + y^2 - 1 = (4u)^2 + (3v)^2 - 1 = 16u^2 + 9v^2 - 1 = 0.$$

**Solution (2):** Let  $x = 4u + 1$  and  $y = 3v + 6$ . Then

$$(x-1)^2 + y^2 - 1 = (4u + 1 - 1)^2 + (3v + 6)^2 = 16u^2 + 9(v+2)^2 = 0.$$

**Solution (3):** Let  $x = \frac{u}{2} - 1$  and  $y = v + 2$ . Then

$$\begin{aligned} 4x^2 + y^2 - 6y + 8 &= 4\left(\frac{u}{2} - 1\right)^2 + (v+2)^2 - 6(v+2) + 8 = \\ 4\left(\frac{u^2}{4} - 2\frac{u}{2} + 1\right) + v^2 + 4v + 4 - 6v - 12 + 8 &= u^2 - 4u + 4 + v^2 - 2v = u^2 - 4u + v^2 - 2v + 4. \end{aligned}$$

**Solution (4):** Let  $x = \frac{u+v}{2}$  and  $y = \frac{u-v}{2}$ . Then

$$\begin{aligned} 13x^2 - 10xy + 13y^2 - 1 &= 13\left(\frac{u+v}{2}\right)^2 - 10\left(\frac{u+v}{2} \cdot \frac{u-v}{2}\right) + 13\left(\frac{u-v}{2}\right)^2 - 1 \\ &= 13\frac{(u+v)^2}{4} - 10\frac{u^2 - v^2}{4} + 13\frac{(u-v)^2}{4} - 1 \\ &= \frac{13}{4}(u^2 + 2uv + v^2) - \frac{10}{4}(u^2 - v^2) + \frac{13}{4}(u^2 - 2uv + v^2) - 1 \\ &= \frac{13 + 13 - 10}{4}u^2 + \frac{13 + 13 + 10}{4}v^2 + \frac{26 - 26}{4}uv - 1 \\ &= 4u^2 + 9v^2 - 1. \end{aligned}$$

#### Problem 5

For each pair of hyperbolas, find a real affine change of coordinates that maps the hyperbola in the  $xy$ -plane to the hyperbola in the  $uv$ -plane.

1.  $V(xy - 1), V(u^2 - v^2 - 1)$ .
2.  $V(x^2 - y^2 - 1), V(16u^2 - 9v^2 - 1)$ .
3.  $V((x-1)^2 - y^2 - 1), V(16u^2 - 9(v+2)^2 - 1)$ .
4.  $V(x^2 - y^2 - 1), V(v^2 - u^2 - 1)$ .
5.  $V(8xy - 1), V(2u^2 - 2v^2 - 1)$ .

**Solution (1):** Let  $x = u - v$  and  $y = u + v$ . Then

$$xy - 1 = (u - v)(u + v) - 1 = u^2 - v^2 - 1.$$

**Solution (2):** Let  $x = 4u$  and  $y = 3v$ . Then

$$x^2 - y^2 - 1 = (4u)^2 - (3v)^2 - 1 = 16u^2 - 9v^2 - 1.$$

**Solution (3):** Let  $x = 4u + 1$  and  $y = 3v + 6$ . Then

$$(x - 1)^2 - y^2 - 1 = (4u + 1 - 1)^2 - (3v + 6)^2 = 16u^2 - 9(v + 2)^2 - 1.$$

**Solution (4):** Let  $x = v$  and  $y = u$ . Then

$$x^2 - y^2 - 1 = v^2 - u^2 - 1.$$

**Solution (5):** Let  $x = (u + v)/4$  and  $y = (u - v)/2$ . Then

$$8xy - 1 = 8((u + v)/4)((u - v)/2) - 1 = (u + v)(u - v) - 1 = u^2 - v^2 - 1.$$

### Problem 6

For each pair of parabolas, find a real affine change of coordinates that maps the parabola in the  $xy$ -plane to the parabola in the  $uv$ -plane.

1.  $V(x^2 - y), V(9v^2 - 4u)$ .
2.  $V((x - 1)^2 - y), V(u^2 - 9(v + 2))$ .
3.  $V(x^2 - y), V(u^2 + 2uv + v^2 - u + v - 2)$ .
4.  $V(x^2 - 4x + y + 4), V(4u^2 - (v + 1))$ .
5.  $V(4x^2 + 4xy + y^2 - y + 1), V(4u^2 + v)$ .

**Solution (1):** Let  $x = 3v$  and  $y = 4u$ . Then

$$x^2 - y = (3v)^2 - 4u = 9v^2 - 4u.$$

**Solution (2):** Let  $x = u + 1$  and  $y = 9v + 18$ . Then

$$(x - 1)^2 - y = (u + 1 - 1)^2 - (9v + 18) = u^2 - 9(v + 2).$$

**Solution (3):** Let  $x = (u + v)^2$  and  $y = u - v + 2$ . Then

$$x^2 - y = (u + v)^2 - (u - v + 2) = u^2 + 2uv + v^2 - u + v - 2.$$

**Solution (4):** Let  $x = 2u + 2$  and  $y = -(v + 1)$ . Then

$$x^2 - 4x + y + 4 = (2u + 2)^2 - 4(2u + 2) - (v + 1) + 4 = 4u^2 + 8u + 4 - 8u - 8 - (v + 1) + 4 = 4u^2 - (v + 1).$$

**Solution (5):** Let  $x = u - \frac{1}{2}v + \frac{1}{2}$  and  $y = v$ . Then

$$\begin{aligned} 4x^2 + 4xy + y^2 - y + 1 &= 4\left(u - \frac{1}{2}v + \frac{1}{2}\right)^2 + 4\left(u - \frac{1}{2}v + \frac{1}{2}\right)v + v^2 - v + 1 \\ &= 4\left(u^2 - uv + u + \frac{1}{4}v^2 - \frac{1}{2}v + \frac{1}{4}\right) + 4uv - 2v^2 + 2v + v^2 - v + 1 \\ &= 4u^2 - 4uv + 4u + v^2 - 2v + 1 + 4uv - 2v^2 + 2v + v^2 - v + 1 \\ &= 4u^2 + v. \end{aligned}$$

### Problem 7

Explain why if  $b^2 - 4ac < 0$ , then  $ac > 0$ .

*Proof.* Suppose  $b^2 - 4ac < 0$ . Then  $0 \leq b^2 < 4ac \iff 0 \leq \frac{b^2}{4} < ac$ . Thus  $ac > 0$ . ■

### Problem 8

Show that under the real affine transformation

$$x = \sqrt{\frac{c}{a}}u + v$$

$$y = u - \sqrt{\frac{a}{c}}v,$$

the ellipse  $V(ax^2 + bxy + cy^2 + dx + ey + h)$  in the  $xy$ -plane becomes an ellipse in the  $uv$ -plane whose defining equation is  $Au^2 + Cv^2 + Du + Ev + H = 0$ . Find  $A$  and  $C$  in terms of  $a, b, c$ . Show that if  $b^2 - 4ac < 0$ , then  $A \neq 0$  and  $C \neq 0$ .

*Proof.*

$$\begin{aligned} ax^2 + bxy + cy^2 + dx + ey + h &= a\left(\sqrt{\frac{c}{a}}u + v\right)^2 + b\left(\sqrt{\frac{c}{a}}u + v\right)\left(u - \sqrt{\frac{a}{c}}v\right) + c\left(u - \sqrt{\frac{a}{c}}v\right)^2 \\ &\quad + d\left(\sqrt{\frac{c}{a}}u + v\right) + e\left(u - \sqrt{\frac{a}{c}}v\right) + h \\ &= (cu^2 + 2\sqrt{ac}uv + av^2) + b\left(\sqrt{\frac{c}{a}}u^2 - \sqrt{\frac{a}{c}}v^2\right) + (cu^2 - 2\sqrt{ac}uv + av^2) \\ &\quad + (d\sqrt{\frac{c}{a}} + e)u + (d - e\sqrt{\frac{a}{c}})v + h \\ &= (2c + b\sqrt{\frac{c}{a}})u^2 + (2a - b\sqrt{\frac{a}{c}})v^2 + (d\sqrt{\frac{c}{a}} + e)u + (d - e\sqrt{\frac{a}{c}})v + h \\ &= Au^2 + Cv^2 + Du + Ev + H. \end{aligned}$$

■

*Proof.* Suppose  $b^2 - 4ac < 0$ . Then

$$A = \sqrt{\frac{c}{a}}b + 2c, \quad C = -\sqrt{\frac{a}{c}}b + 2a.$$

Then

$$AC = (2c + b\sqrt{\frac{c}{a}})(2a - b\sqrt{\frac{a}{c}}) = 4ac - b^2.$$

Since  $b^2 - 4ac < 0$ ,

$$4ac - b^2 > 0 \implies AC > 0.$$

Therefore  $A \neq 0$  and  $C \neq 0$ .

■

### Problem 9

Show that there exists constants  $R, S$ , and  $T$  such that the equation

$$Au^2 + Cv^2 + Du + Ev + H = 0,$$

can be written in the form

$$A(u - R)^2 + C(v - S)^2 - T = 0.$$

Express  $R, S$ , and  $T$  in terms of  $A, C, D, E$ , and  $H$ .

*Proof.* Let  $R = -\frac{D}{2A}$ ,  $S = -\frac{E}{2C}$ ,  $T = \frac{D^2}{4A} + \frac{E^2}{4C} - H$ . Note  $A \neq 0$  and  $C \neq 0$  from problem 8. Notice

$$\begin{aligned} Au^2 + Cv^2 + Du + Ev + H &= A\left(u^2 + \frac{Du}{A}\right) + C\left(v^2 + \frac{Ev}{C}\right) + H \\ &= A\left(u^2 + \frac{Du}{A} + \left(\frac{D}{2A}\right)^2\right) - \frac{D^2}{4A} + C\left(v^2 + \frac{Ev}{C} + \left(\frac{E}{2C}\right)^2\right) - \frac{E^2}{4C} + H \\ &= A\left(u + \frac{D}{2A}\right)^2 + C\left(v + \frac{E}{2C}\right)^2 - \frac{D^2}{4A} - \frac{E^2}{4C} + H \\ &= A(u - R)^2 + C(v - S)^2 - T = 0. \end{aligned}$$

■

### Problem 10

Suppose  $A, C > 0$ . Find a real affine change of coordinates that maps the ellipse

$$V(A(x - R)^2 + C(y - S)^2 - T),$$

to the circle

$$V(u^2 + v^2 - 1).$$

*Proof.* Since  $A, C > 0$  we know  $T > 0$ . Notice

$$A(x - R)^2 + C(y - S)^2 = T \iff \frac{A(x - R)^2}{T} + \frac{C(y - S)^2}{T} = 1.$$

We set

$$u^2 = \frac{A(x - R)^2}{T}, \quad v^2 = \frac{C(y - S)^2}{T},$$

and solving for  $x, y$  shows

$$x = \sqrt{\frac{T}{A}} u + R, \quad y = \sqrt{\frac{T}{C}} v + S.$$

Substituting into the original equation, we find

$$\begin{aligned} A(x - R)^2 + C(y - S)^2 - T &= A\left(\sqrt{\frac{T}{A}} u\right)^2 + C\left(\sqrt{\frac{T}{C}} v\right)^2 - T \\ &= Tu^2 + Tv^2 - T \\ &= T(u^2 + v^2 - 1), \end{aligned}$$

■

### Problem 11

Consider the values  $A$  and  $C$  found in Exercise 1.2.8. Show that if  $b^2 - 4ac = 0$ , then either  $A = 0$  or  $C = 0$ , depending on the signs of  $a, b, c$ . [Hint: Recall,  $\sqrt{\alpha^2} = -\alpha$  if  $\alpha < 0$ .]

*Proof.* Suppose  $b^2 - 4ac = 0$ . From Exercise 1.2.8 we have

$$A = \sqrt{\frac{c}{a}} b + 2c, \quad C = -\sqrt{\frac{a}{c}} b + 2a.$$

We see that

$$AC = 4ac - b^2 = -(b^2 - 4ac) = -0 = 0.$$

Thus  $A = 0$  or  $C = 0$ .

■

### Problem 12

Show that there exists constants  $R$  and  $T$  such that the equation

$$Au^2 + Du + Ev + H = 0,$$

can be written as

$$A(u - R)^2 + E(v - T) = 0.$$

Express  $R$  and  $T$  in terms of  $A, D, E$ , and  $H$ .

*Proof.* First note  $A \neq 0$  therefore  $E \neq 0$ . Let

$$R = -\frac{D}{2A}, \quad T = -\left(\frac{H}{E} - \frac{D^2}{4AE}\right).$$

Then

$$\begin{aligned} Au^2 + Du + Ev + H &= A\left(u^2 + \frac{D}{A}u + \left(\frac{D}{2A}\right)^2\right) - \frac{D^2}{4A} + Ev + H \\ &= A\left(u + \frac{D}{2A}\right)^2 + E\left(v + \frac{H}{E} - \frac{D^2}{4AE}\right) \\ &= A(u - R)^2 + E(v - T) = 0. \end{aligned}$$

■

### Problem 13

Suppose  $A > 0$  and  $E \neq 0$ . Find a real affine change of coordinates that maps the parabola

$$V(A(x - R)^2 - E(y - T)),$$

to the parabola

$$V(u^2 - v).$$

*Proof.* We set  $A(x - R)^2 = u^2$  and  $-E(y - T) = -v$ . Then solving for  $x, y$  we have

$$x = \frac{u}{\sqrt{A}} + R, \quad y = \frac{v}{E} + T.$$

Then substituting into our original equation we have

$$A(x - R)^2 - E(y - T) = A\left(\frac{u}{\sqrt{A}} + R - R\right)^2 - E\left(\frac{v}{E} + T - T\right) = u^2 - v.$$

■

### Problem 14

Suppose  $ac > 0$ . Use the real affine transformation in Exercise 1.2.8 to transform  $C$  to a conic in the  $uv$ -plane. Find the coefficients of  $u^2$  and  $v^2$  in the resulting equation and show that they have opposite signs.

*Proof.* Suppose  $ac > 0$ . From Exercise 1.2.8 we have

$$A = \sqrt{\frac{c}{a}} b + 2c, \quad C = -\sqrt{\frac{a}{c}} b + 2a.$$

We see that

$$AC = 4ac - b^2 = -(b^2 - 4ac) < 0.$$

Thus  $A$  and  $C$  have opposite signs. ■

### Problem 15

Suppose  $ac < 0$  and  $b \neq 0$ . Use the real affine transformation

$$x = \sqrt{-\frac{c}{a}} u + v$$

$$y = u - \sqrt{-\frac{a}{c}} v,$$

to transform  $C$  to a conic in the  $uv$ -plane of the form

$$Au^2 + Cv^2 + Du + Ev + H = 0.$$

Find the coefficients of the resulting equation and show that they have opposite signs.

*Proof.*

$$\begin{aligned} ax^2 + bxy + cy^2 + dx + ey + h &= a(\sqrt{-\frac{c}{a}} u + v)^2 + b(\sqrt{-\frac{c}{a}} u + v)(u - \sqrt{-\frac{a}{c}} v) + c(u - \sqrt{-\frac{a}{c}} v)^2 \\ &\quad + d(\sqrt{-\frac{c}{a}} u + v) + e(u - \sqrt{-\frac{a}{c}} v) + h \\ &= (-cu^2 + 2\sqrt{-ac} uv - av^2) + b(\sqrt{-\frac{c}{a}} u^2 - \sqrt{-\frac{a}{c}} v^2) + (-cu^2 - 2\sqrt{-ac} uv - av^2) \\ &\quad + (d\sqrt{-\frac{c}{a}} + e)u + (d - e\sqrt{-\frac{a}{c}})v + h \\ &= (-2c + b\sqrt{-\frac{c}{a}})u^2 + (-2a - b\sqrt{-\frac{a}{c}})v^2 + (d\sqrt{-\frac{c}{a}} + e)u + (d - e\sqrt{-\frac{a}{c}})v + h \\ &= Au^2 + Cv^2 + Du + Ev + H. \end{aligned}$$

*Proof.* Since  $ac < 0$  and  $b \neq 0$ , we have

$$A = -2c + b\sqrt{-\frac{c}{a}}, \quad C = -2a - b\sqrt{-\frac{a}{c}}.$$

Then

$$AC = (-2c + b\sqrt{-\frac{c}{a}})(-2a - b\sqrt{-\frac{a}{c}}) = 4ac - b^2.$$

Since  $ac < 0$ ,

$$4ac - b^2 < 0 \implies AC < 0.$$

Therefore  $A$  and  $C$  have opposite signs. ■

### Problem 16

Suppose  $ac = 0$  (so  $b \neq 0$ ). Since either  $a = 0$  or  $c = 0$ , we can assume  $c = 0$ . Use the real affine transformation

$$\begin{aligned}x &= u + v \\y &= \left(\frac{1-a}{b}\right)u - \left(\frac{1+a}{b}\right)v,\end{aligned}$$

to transform  $V(ax^2 + bxy + dx + ey + h)$  to a conic in the  $uv$ -plane of the form

$$V(u^2 - v^2 + Du + Ev + H).$$

*Proof.*

$$\begin{aligned}ax^2 + bxy + dx + ey + h &= a(u+v)^2 + b(u+v)\left(\frac{1-a}{b}u - \frac{1+a}{b}v\right) \\&\quad + d(u+v) + e\left(\frac{1-a}{b}u - \frac{1+a}{b}v\right) + h \\&= a(u^2 + 2uv + v^2) + (u+v)((1-a)u - (1+a)v) \\&\quad + d(u+v) + e\left(\frac{1-a}{b}u - \frac{1+a}{b}v\right) + h \\&= (a+1-a)u^2 + ((-1+a)+a)v^2 + 2auv \\&\quad + \left(d + e\frac{1-a}{b}\right)u + \left(d - e\frac{1+a}{b}\right)v + h \\&= u^2 - v^2 + Du + Ev + H\end{aligned}$$

■

### Problem 17

Show that there exists  $R, S$ , and  $T$  so that

$$Au^2 - Cv^2 + Du + Ev + H = A(u-R)^2 - C(v-S)^2 - T.$$

Express  $R, S$ , and  $T$  in terms of  $A, C, D, E$ , and  $H$ .

*Proof.* We set  $A(u-R)^2 = Au^2 + Du$  and  $-C(v-S)^2 = -Cv^2 + Ev$ . Then solving for  $R, S$  we have

$$R = -\frac{D}{2A}, \quad S = \frac{E}{2C}.$$

Then substituting into our original equation we have

$$\begin{aligned}Au^2 - Cv^2 + Du + Ev + H &= \left(A(u-R)^2 - AR^2\right) + \left(-C(v-S)^2 + CS^2\right) + H \\&= A(u-R)^2 - C(v-S)^2 - (AR^2 - CS^2 - H) \\&= A(u-R)^2 - C(v-S)^2 - T,\end{aligned}$$

where

$$T = AR^2 - CS^2 - H = \frac{D^2}{4A} - \frac{E^2}{4C} - H.$$

■

### Problem 18

Suppose  $A, C, T > 0$ . Find a real affine change of coordinates that maps the hyperbola

$$V(A(x - R)^2 - C(y - S)^2 - T),$$

to the hyperbola

$$V(u^2 - v^2 - 1).$$

*Proof.* Notice

$$A(x - R)^2 - C(y - S)^2 - T = 0 \iff \frac{A(x - R)^2}{T} - \frac{C(y - S)^2}{T} = 1.$$

We set

$$u^2 = \frac{A(x - R)^2}{T}, \quad v^2 = \frac{C(y - S)^2}{T},$$

and solving for  $x, y$  shows

$$x = \sqrt{\frac{T}{A}} u + R, \quad y = \sqrt{\frac{T}{C}} v + S.$$

Substituting into the original equation, we find

$$\begin{aligned} A(x - R)^2 - C(y - S)^2 - T &= A\left(\sqrt{\frac{T}{A}} u + R\right)^2 - C\left(\sqrt{\frac{T}{C}} v + S\right)^2 - T \\ &= Tu^2 - Tv^2 - T \\ &= T(u^2 - v^2 - 1). \end{aligned}$$

■

### Problem 19

Give an intuitive argument, based on the number of connected components, for the fact that no ellipse can be transformed into a hyperbola by a real affine change of coordinates.

**Solution:** A real affine change of coordinates can scale, rotate, shear, or translate a shape. These operations preserve the number of connected components. Therefore, no real affine change can transform an ellipse into a hyperbola.

### Problem 20

Show that there is no real affine change of coordinates

$$u = ax + by + e$$

$$v = cx + dy + f,$$

that transforms the ellipse  $V(x^2 + y^2 - 1)$  to the hyperbola  $V(u^2 - v^2 - 1)$ .

*Proof.* For contradiction, suppose such a real affine change exists.

$$\begin{aligned} u^2 - v^2 &= (ax + by + e)^2 - (cx + dy + f)^2 \\ &= (a^2 - c^2)x^2 + (b^2 - d^2)y^2 + 2(ab - cd)xy + 2(ae - cf)x + 2(be - df)y + (e^2 - f^2). \end{aligned}$$

We must have

$$(a^2 - c^2)x^2 + (b^2 - d^2)y^2 + 2(ab - cd)xy + 2(ae - cf)x + 2(be - df)y + (e^2 - f^2) - 1 = 0$$

for all points on the ellipse  $x^2 + y^2 = 1$ . Now substituting  $y^2 = 1 - x^2$  we see for this to vanish for all  $(x, y)$ , the coefficients of  $x^2$  and  $y^2$  must be

$$a^2 - c^2 = b^2 - d^2,$$

which would make the squared coefficients have the same sign, contradicting the requirement for a hyperbola that they have opposite signs. Thus there is no real affine transformation from an ellipse to a hyperbola. ■

#### Problem 21

Give an intuitive argument, based on boundedness, for the fact that no parabola can be transformed into an ellipse by a real affine change of coordinates.

**Solution:** A real affine change of coordinates can scale, rotate, shear, or translate a shape. These operations preserve boundedness. Therefore, no real affine change can transform a parabola into an ellipse.

#### Problem 22

Show that there is no real affine change of coordinates that transforms the parabola  $V(x^2 - y)$  to the circle  $V(u^2 + v^2 - 1)$ .

*Proof.* For contradiction, suppose such a real affine change exists.

$$\begin{aligned} u^2 + v^2 &= (ax + by + e)^2 + (cx + dy + f)^2 \\ &= (a^2 + c^2)x^2 + (b^2 + d^2)y^2 + 2(ab + cd)xy + 2(ae + cf)x + 2(be + df)y + (e^2 + f^2). \end{aligned}$$

We must have

$$(a^2 + c^2)x^2 + (b^2 + d^2)y^2 + 2(ab + cd)xy + 2(ae + cf)x + 2(be + df)y + (e^2 + f^2) - 1 = 0$$

for all points on the parabola  $y = x^2$ . Now substituting  $y = x^2$ , we have

$$(b^2 + d^2)x^4 + 2(ab + cd)x^3 + (a^2 + c^2 + 2(be + df))x^2 + 2(ae + cf)x + (e^2 + f^2) - 1 = 0.$$

For this to vanish for all  $x$  all coefficients must be zero so

$$b^2 + d^2 = 0, \quad ab + cd = 0, \quad a^2 + c^2 + 2(be + df) = 0, \quad ae + cf = 0.$$

It follows that  $a = b = c = d = 0$  and therefore  $u^2 + v^2 = e^2 + f^2$  is constant, which cannot equal  $x^2 + y^2$  on the parabola. Thus there is no real affine transformation from the parabola to the circle. ■

#### Problem 23

Give an intuitive argument, based on the number of connected components, for the fact that no parabola can be transformed into a hyperbola by a real affine change of coordinates.

**Solution:** A real affine change of coordinates can scale, rotate, shear, or translate a shape. These operations preserve the number of components. Therefore, no real affine change can transform a parabola into a hyperbola.

#### Problem 24

Show that there is no real affine change of coordinates that transforms the parabola  $V(x^2 - y)$  to the hyperbola  $V(u^2 - v^2 - 1)$ .

*Proof.* For contradiction, suppose such a real affine change exists. Then

$$\begin{aligned} u^2 - v^2 &= (ax + by + e)^2 - (cx + dy + f)^2 \\ &= (a^2 - c^2)x^2 + (b^2 - d^2)y^2 + 2(ab - cd)xy + 2(ae - cf)x + 2(be - df)y + (e^2 - f^2). \end{aligned}$$

We must have

$$(a^2 - c^2)x^2 + (b^2 - d^2)y^2 + 2(ab - cd)xy + 2(ae - cf)x + 2(be - df)y + (e^2 - f^2) - 1 = 0$$

for all points on the parabola  $y = x^2$ . Substituting  $y = x^2$ , we get

$$(b^2 - d^2)x^4 + 2(ab - cd)x^3 + (a^2 - c^2)x^2 + 2(ae - cf)x + 2(be - df)x^2 + (e^2 - f^2) - 1 = 0.$$

For this to vanish for all  $x$ , the coefficient of  $x^4$  must be zero

$$b^2 - d^2 = 0 \implies b = \pm d.$$

Then, the  $x^3$  coefficient gives  $ab - cd = 0$ . Since  $b = \pm d$ , we have  $a = \pm c$ . Then, the  $x^2$  coefficient becomes  $a^2 - c^2 + 2(be - df)$ . Since  $a = \pm c$  and  $b = \pm d$ , this is zero if all coefficients vanish. Thus  $u^2 - v^2$  are constant, which cannot equal  $x^2 - y$  on the parabola. Therefore, there is no real affine transformation from the parabola to the hyperbola. ■

### 1.3 Conics over the Complex Numbers

### Problem 1

Show that the set

$$\{(x, y) \in \mathbb{R}^2 \mid x^2 + y^2 + 1 = 0\},$$

is empty but that the set

$$C = \{(x, y) \in \mathbb{C}^2 \mid x^2 + y^2 + 1 = 0\},$$

is not empty. In fact, show that given any complex number  $x$  there must exist  $y \in \mathbb{C}$  such that

$$(x, y) \in C.$$

Then show that if  $x \neq \pm i$ , then there are two distinct values  $y \in \mathbb{C}$  such that  $(x, y) \in C$ , while if  $x = \pm i$  there is only one such  $y$ .

*Proof.* Suppose  $(x, y) \in \mathbb{R}^2$  such that  $x^2 + y^2 + 1 = 0 \iff x^2 + y^2 = -1$ . Then  $x^2 \geq 0$  and  $y^2 \geq 0$  so  $x^2 + y^2 \geq 0$ , which is a contradiction. ■

*Proof.* Let  $(x, y) = (i, 0) \in \mathbb{C}^2$ . Then

$$x^2 + y^2 + 1 = -1 + 1 = 0.$$

Thus  $(x, y) \in C$ . ■

*Proof.* Let  $x$  be an arbitrary complex number. Furthermore, let  $y = \sqrt{-1 - x^2}$ . Then

$$x^2 + (\sqrt{-1 - x^2})^2 + 1 = x^2 - 1 - x^2 + 1 = 0.$$

Thus  $(x, y) \in C$ . ■

*Proof.* Suppose  $x \neq \pm i$ . Then  $1 + x^2 \neq 0$ , so  $\sqrt{1 + x^2} \neq 0$ . Let

$$y = \pm i\sqrt{1 + x^2}.$$

These are two distinct values of  $y$ . Then

$$x^2 + y^2 + 1 = x^2 - (1 + x^2) + 1 = 0.$$

Now suppose  $x = \pm i$ . Then  $1 + x^2 = 0$ , so  $y^2 = 0$  and it follows that  $y = 0$ . Therefore, there is exactly one value of  $y$ . ■

### Problem 2

Let

$$P(x, y) = ax^2 + bxy + cy^2 + dx + ey + f,$$

with  $a \neq 0$ . Show that for any value  $y \in \mathbb{C}$ , there must be at least one  $x \in \mathbb{C}$ , but no more than two such  $x$ 's, such that

$$P(x, y) = 0.$$

[Hint: Write  $P(x, y) = Ax^2 + Bx + C$  as a function whose coefficients  $A, B$ , and  $C$  are themselves functions of  $y$ , and use the quadratic formula. As mentioned before, this technique will be used frequently.]

*Proof.* Let  $A = a$ ,  $B = by + d$ , and  $C = cy^2 + ey + f$ . Notice

$$P(x, y) = ax^2 + bxy + cy^2 + dx + ey + f = ax^2 + (by + d)x + (cy^2 + ey + f) = Ax^2 + Bx + C.$$

Applying the quadratic formula we find

$$x = \frac{-B \pm \sqrt{B^2 - 4AC}}{2A}.$$

Since  $A = a \neq 0$  this is defined. Now if  $B^2 - 4AC = 0$  then we get one corresponding  $x$ . Otherwise, we get two corresponding  $x$ 's. ■

### Problem 3

Let  $C = V\left(\frac{x^2}{4} + \frac{y^2}{9} - 1\right) \subset \mathbb{C}^2$ . Show that  $C$  is unbounded in  $x$  and  $y$ .

*Proof.* We can solve for  $x$  in terms of  $y$

$$\frac{x^2}{4} = 1 - \frac{y^2}{9} \iff x = \pm 2\sqrt{1 - \frac{y^2}{9}}.$$

Since  $y \in \mathbb{C}$  is arbitrary and square roots always exist in  $\mathbb{C}$ , for any value of  $y$  there is a corresponding value of  $x$ . As  $|y|$  becomes arbitrarily large,  $1 - \frac{y^2}{9}$  becomes arbitrarily large, and thus the corresponding  $x$  is arbitrarily large. Thus  $C$  is unbounded in both  $x$  and  $y$ . ■

### Problem 4

Let  $C = V(x^2 - y^2 - 1) \subset \mathbb{C}^2$ . Show that there is a continuous path on the curve  $C$  from the point  $(-1, 0)$  to the point  $(1, 0)$ , despite the fact that no such continuous path exists in  $\mathbb{R}^2$ .

*Proof.* Let  $x(t) = \cos(t)$  and  $y(t) = i \sin(t)$ . Then

$$x(t)^2 - y(t)^2 - 1 = \cos^2(t) - (i \sin(t))^2 - 1 = \cos^2(t) + \sin^2(t) - 1 = 0.$$

### Problem 5

Show that if  $x = u$  and  $y = iv$ , then the circle  $\{(x, y) \in \mathbb{C}^2 \mid x^2 + y^2 = 1\}$  transforms into the hyperbola  $\{(u, v) \in \mathbb{C}^2 \mid u^2 - v^2 = 1\}$ .

*Proof.* Suppose  $x = u$  and  $y = iv$ . Then

$$x^2 + y^2 = u^2 + (iv)^2 = u^2 - v^2 = 1.$$

■

### Problem 6

Show that if  $u = ax + by + e$  and  $v = cx + dy + f$  is a change of coordinates, then the inverse change of coordinates is

$$\begin{aligned} x &= \left( \frac{1}{ad - bc} \right) (du - bv) - \left( \frac{1}{ad - bc} \right) (de - bf). \\ y &= \left( \frac{1}{ad - bc} \right) (-cu + av) - \left( \frac{1}{ad - bc} \right) (-ce + af). \end{aligned}$$

*Proof.* We need to solve the two equations  $u = ax + by + e$  and  $v = cx + dy + f$  in two unknowns  $x$  and  $y$ . Translating this to linear algebra, we have

$$\begin{bmatrix} a & b \\ c & d \end{bmatrix} \begin{bmatrix} x \\ y \end{bmatrix} = \begin{bmatrix} u - e \\ v - f \end{bmatrix}.$$

Using Cramer's rule we see

$$\begin{aligned} x &= \frac{\begin{vmatrix} u - e & b \\ v - f & d \end{vmatrix}}{\begin{vmatrix} a & b \\ c & d \end{vmatrix}} = \frac{d(u - e) - b(v - f)}{ad - bc}, \\ y &= \frac{\begin{vmatrix} a & u - e \\ c & v - f \end{vmatrix}}{\begin{vmatrix} a & b \\ c & d \end{vmatrix}} = \frac{-c(u - e) + a(v - f)}{ad - bc}. \end{aligned}$$

Therefore

$$x = \frac{du - bv - de + bf}{ad - bc}, \quad y = \frac{-cu + av + ce - af}{ad - bc}.$$

■

Problem 7

Use Theorem 1.2.25 together with the new result of Exercise 1.3.5 to conclude that all ellipses and hyperbolas are equivalent under complex affine changes of coordinates.

*Proof.* By Theorem 1.2.25, any ellipse can be transformed via an affine change of coordinates to a circle. Then, by Exercise 1.3.5 the circle can be transformed via a complex affine change to a hyperbola. ■

### Problem 8

Show that the circle  $\{(x, y) \in \mathbb{C}^2 \mid x^2 + y^2 - 1 = 0\}$  is not equivalent under a complex affine change of coordinates to the parabola  $\{(u, v) \in \mathbb{C}^2 \mid u^2 - v^2 = 0\}$ .

*Proof.* For contradiction, suppose such a complex affine change exists

$$u = ax + by + e, \quad v = cx + dy + f.$$

Then

$$\begin{aligned} u^2 - v^2 &= (ax + by + e)^2 - (cx + dy + f)^2 \\ &= (a^2 - c^2)x^2 + (b^2 - d^2)y^2 + 2(ab - cd)xy + 2(ae - cf)x + 2(be - df)y + (e^2 - f^2). \end{aligned}$$

We need

$$(a^2 - c^2)x^2 + (b^2 - d^2)y^2 + 2(ab - cd)xy + 2(ae - cf)x + 2(be - df)y + (e^2 - f^2) - 1 = 0$$

for all points on the circle. Substituting  $y = \sqrt{1 - x^2}$ , the lhs must vanish for all  $x$ . The highest-degree terms show  $b^2 - d^2 = 0 \implies b = \pm d$  and the other coefficients similarly give  $a = \pm c$ ,  $e = \pm f$ . But then  $u^2 - v^2$  would be constant, which cannot equal  $x^2 + y^2 - 1$ . Therefore there is no complex affine transformation mapping the circle to the hyperbola  $u^2 - v^2 = 0$ .  $\blacksquare$

### Problem 9

Let

$$C = \{(z, w) \in \mathbb{C}^2 \mid z^2 + w^2 = 1\}.$$

Give a bijection from

$$C \cap \{(x + iy, u + iv) \mid x, u \in \mathbb{R}, y = 0, v = 0\},$$

to the real circle of the unit radius in  $\mathbb{R}^2$ .

**Solution:**

$$(x + iy, u + iv) \mapsto (x, u).$$

### Problem 10

Let

$$C = \{(z, w) \in \mathbb{C}^2 \mid z^2 + w^2 = 1\}.$$

Give a bijection from

$$C \cap \{(x + iy, u + iv) \in \mathbb{R}^4 \mid x, v \in \mathbb{R}, y = 0, u = 0\},$$

to the hyperbola  $V(x^2 - v^2 - 1)$  in  $\mathbb{R}^2$ .

**Solution:**

$$(x + 0i, 0 + iv) \mapsto (y, u).$$

## 1.4 The Complex Projective Plane $\mathbb{P}^2$

### Problem 1

1. Show that  $(2, 1+i, 3i) \sim (2-2i, 2, 3+3i)$ .
2. Show that  $(1, 2, 3) \sim (2, 4, 6)$  and  $(-2, -4, -6) \sim (-i, -2i, -3i)$ .
3. Show that  $(2, 1+i, 3i) \not\sim (4, 4i, 6i)$ .
4. Show that  $(1, 2, 3) \not\sim (3, 6, 8)$ .

*Proof.* Let  $\lambda = \frac{2}{2-2i} = \frac{1}{2} + \frac{1}{2}i$ . Then

$$\begin{aligned}\lambda(2-2i) &= \frac{2}{2-2i}(2-2i) = 2, \\ \lambda \cdot 2 &= \left(\frac{1}{2} + \frac{1}{2}i\right)2 = 1+i, \\ \lambda(3+3i) &= \left(\frac{1}{2} + \frac{1}{2}i\right)(3+3i) = 3i.\end{aligned}$$

*Proof.* Let  $\lambda = \frac{1}{2}$ . Then

$$\begin{aligned}\lambda \cdot 2 &= 1, \\ \lambda \cdot 4 &= 2, \\ \lambda \cdot 6 &= 3.\end{aligned}$$

*Proof.* Let  $\lambda = 2i$ . Then

$$\begin{aligned}\lambda \cdot (-i) &= -2, \\ \lambda \cdot (-2i) &= -4, \\ \lambda \cdot (-3i) &= -6.\end{aligned}$$

*Proof.* Suppose there exists  $\lambda$  such that  $\lambda(4, 4i, 6i) = (2, 1+i, 3i)$ . Then

$$\lambda \cdot 4 = 2 \implies \lambda = \frac{1}{2},$$

$$\lambda \cdot 4i = 2i \neq 1+i.$$

Thus no such  $\lambda$  exists.

*Proof.* Suppose there exists  $\lambda$  such that  $\lambda(3, 6, 8) = (1, 2, 3)$ . Then

$$\lambda \cdot 3 = 1 \implies \lambda = \frac{1}{3},$$

$$\lambda \cdot 8 = \frac{8}{3} \neq 3.$$

Thus no such  $\lambda$  exists.

### Problem 2

Show that  $\sim$  is an equivalence relation.

*Proof.* Suppose  $(x, y, z), (a, b, c), (d, e, f) \in \mathbb{C}^3 - \{(0, 0, 0)\}$ . Then  $\lambda = 1$  shows  $(x, y, z) \sim (x, y, z)$ . Thus  $\sim$  is reflexive.

Suppose  $(a, b, c) \sim (d, e, f)$ . Then there exists  $\lambda \in \mathbb{C} - \{0\}$  such that  $(a, b, c) = (\lambda d, \lambda e, \lambda f)$ . Therefore  $(\frac{1}{\lambda}a, \frac{1}{\lambda}b, \frac{1}{\lambda}c) = (d, e, f)$ . It follows that  $(d, e, f) \sim (a, b, c)$ . Thus  $\sim$  is symmetric.

Suppose  $(x, y, z) \sim (a, b, c)$  and  $(a, b, c) \sim (d, e, f)$ . Then there exist  $\lambda_1, \lambda_2 \in \mathbb{C} - \{0\}$  such that  $(x, y, z) = (\lambda_1 a, \lambda_1 b, \lambda_1 c)$  and  $(a, b, c) = (\lambda_2 d, \lambda_2 e, \lambda_2 f)$ . Then

$$(x, y, z) = (\lambda_1 a, \lambda_1 b, \lambda_1 c) = (\lambda_1 \lambda_2 d, \lambda_1 \lambda_2 e, \lambda_1 \lambda_2 f).$$

Thus  $(x, y, z) \sim (d, e, f)$ . Therefore  $\sim$  is transitive. ■

### Problem 3

Suppose that  $(x_1, y_1, z_1) \sim (x_2, y_2, z_2)$  and that  $x_1 = x_2 \neq 0$ . Show that  $y_1 = y_2$  and  $z_1 = z_2$ .

*Proof.* Since  $(x_1, y_1, z_1) \sim (x_2, y_2, z_2)$  there exists  $\lambda \in \mathbb{C} - \{0\}$  such that  $(x_1, y_1, z_1) = (\lambda x_2, \lambda y_2, \lambda z_2)$ . Thus  $x_1 = \lambda x_2 = \lambda x_1$  therefore  $\lambda = \frac{x_1}{x_1} = 1$ . It follows that  $y_1 = y_2$  and  $z_1 = z_2$ . ■

### Problem 4

Suppose that  $(x_1, y_1, z_1) \sim (x_2, y_2, z_2)$  with  $z_1 \neq 0$  and  $z_2 \neq 0$ . Show that

$$(x_1, y_1, z_1) \sim \left( \frac{x_1}{z_1}, \frac{y_1}{z_1}, 1 \right) = \left( \frac{x_2}{z_2}, \frac{y_2}{z_2}, 1 \right) \sim (x_2, y_2, z_2).$$

*Proof.* We see

$$(x_1, y_1, z_1) = \left( z_1 \cdot \frac{x_1}{z_1}, z_1 \cdot \frac{y_1}{z_1}, z_1 \cdot 1 \right).$$

Since  $z_1 \neq 0$  we see  $(x_1, y_1, z_1) \sim \left( \frac{x_1}{z_1}, \frac{y_1}{z_1}, 1 \right)$ . Now, since  $(x_1, y_1, z_1) \sim (x_2, y_2, z_2)$  there exists  $\lambda \in \mathbb{C} - \{0\}$  such that  $(x_1, y_1, z_1) = (\lambda x_2, \lambda y_2, \lambda z_2)$ . Since  $z_1 = \lambda z_2$  and  $z_1, z_2 \neq 0$  we see

$$\frac{x_1}{z_1} = \frac{\lambda x_2}{\lambda z_2} = \frac{x_2}{z_2} \quad \text{and} \quad \frac{y_1}{z_1} = \frac{\lambda y_2}{\lambda z_2} = \frac{y_2}{z_2}.$$

Thus

$$\left( \frac{x_1}{z_1}, \frac{y_1}{z_1}, 1 \right) = \left( \frac{x_2}{z_2}, \frac{y_2}{z_2}, 1 \right).$$

Since

$$(x_2, y_2, z_2) = \left( z_2 \cdot \frac{x_2}{z_2}, z_2 \cdot \frac{y_2}{z_2}, z_2 \cdot 1 \right),$$

and  $z_2 \neq 0$  we see

$$\left( \frac{x_2}{z_2}, \frac{y_2}{z_2}, 1 \right) \sim (x_2, y_2, z_2).$$

Therefore,

$$(x_1, y_1, z_1) \sim \left( \frac{x_1}{z_1}, \frac{y_1}{z_1}, 1 \right) = \left( \frac{x_2}{z_2}, \frac{y_2}{z_2}, 1 \right) \sim (x_2, y_2, z_2). ■$$

### Problem 5

1. Find the equivalence class of  $(0, 0, 1)$ .
2. Find the equivalence class of  $(1, 2, 3)$ .

**Solution (1):**

$$\{(0, 0, c) \in \mathbb{C}^3 \mid c \neq 0\}.$$

**Solution (2):**

$$\{(\lambda, 2\lambda, 3\lambda) \in \mathbb{C}^3 \mid \lambda \neq 0\}.$$

### Problem 6

Show that the equivalence class  $(1 : 2 : 3)$  and  $(2 : 4 : 6)$  are equal as sets.

*Proof.* Clearly, with  $\lambda = \frac{1}{2} \in \mathbb{C}$  we have  $(1, 2, 3) = (\lambda 2, \lambda 4, \lambda 6)$ . Thus  $(1, 2, 3) \sim (2, 4, 6)$  so  $(1 : 2 : 3) = (2 : 4 : 6)$ . ■

### Problem 7

Explain why the elements of  $\mathbb{P}^2$  can intuitively be thought of as complex lines through the origin in  $\mathbb{C}^3$ .

**Solution:** Take a line passing through the origin in  $\mathbb{C}^3$  with direction vector  $(a, b, c) \neq (0, 0, 0)$ . This line consists of all points of the form  $(\lambda a, \lambda b, \lambda c)$  such that  $\lambda \in \mathbb{C}$ . If we require  $\lambda \neq 0$  we get the equivalence class  $(a : b : c) \in \mathbb{P}^2$ . Thus each element of  $\mathbb{P}^2$  represents a complex line through the origin in  $\mathbb{C}^3$ .

### Problem 8

If  $c \neq 0$ , show, in  $\mathbb{C}^3$ , that the line  $x = \lambda a, y = \lambda b, z = \lambda c$  intersects the plane  $\{(x, y, z) \mid z = 1\}$  in exactly one point. Show that this point of intersection is  $\left(\frac{a}{c}, \frac{b}{c}, 1\right)$ .

*Proof.* Suppose  $c \neq 0$ . At the intersection we must have  $z = \lambda c = 1$  so  $\lambda = \frac{1}{c}$ . Thus

$$(\lambda a, \lambda b, \lambda c) = \left(\frac{a}{c}, \frac{b}{c}, 1\right).$$

■

Problem 9

Show that the map  $\psi : \mathbb{C}^2 \rightarrow \{(x : y : z) \in \mathbb{P}^2 \mid z \neq 0\}$  defined by  $\psi(x, y) = (x : y : 1)$  is a bijection.

*Proof.* Suppose  $(a, b), (x, y) \in \mathbb{C}^2$  such that  $\psi(x, y) = \psi(a, b)$ . Then

$$\psi(x, y) = \psi(a, b) \iff (x : y : 1) = (a : b : 1).$$

There exists  $\lambda \neq 0$  such that  $(x, y, 1) = (\lambda a, \lambda b, \lambda)$ . Therefore  $\lambda = 1$  thus  $x = a$  and  $y = b$ . Thus  $\psi$  is injective. Let  $(x : y : z)$  be an arbitrary element in  $\{(x : y : z) \in \mathbb{P}^2 \mid z \neq 0\}$ . Then

$$(x : y : z) = \left( \frac{x}{z} : \frac{y}{z} : 1 \right) = \psi \left( \frac{x}{z}, \frac{y}{z} \right).$$

Thus  $\psi$  is surjective. It follows that  $\psi$  is bijective. ■

Problem 10

Find a map from  $\{(x, y, z) \in \mathbb{P}^2 \mid z \neq 0\}$  to  $\mathbb{C}^2$  that is the inverse of the map  $\psi$  in Exercise 1.4.9.

**Solution:** Let

$$\phi : \{(x : y : z) \in \mathbb{P}^2 \mid z \neq 0\} \longrightarrow \mathbb{C}^2$$

be defined by

$$\phi(x : y : z) = \left( \frac{x}{z}, \frac{y}{z} \right).$$

Problem 11

Consider the line  $l = \{(x, y) \in \mathbb{C}^2 \mid ax + by + c = 0\}$  in  $\mathbb{C}^2$ . Assume  $a, b \neq 0$ . Explain why, as  $|x| \rightarrow \infty$ ,  $|y| \rightarrow \infty$ . (Hence,  $|x|$  is the modulus of  $x$ .)

*Proof.* We see  $y = \frac{-c - ax}{b}$  and  $x = \frac{-by - c}{a}$ . Since  $b$  and  $c$  are constants, as  $|y| \rightarrow \infty$  we have  $|x| \rightarrow \infty$ . ■

### Problem 12

Consider again the line  $l$ . We know that  $a$  and  $b$  cannot both be 0, so we will assume without loss of generality that  $b \neq 0$ .

1. Show that the image of  $l$  in  $\mathbb{P}^2$  under  $\psi$  is the set

$$\{(bx : -ax - c : b) \mid x \in \mathbb{C}\}.$$

2. Show that this set equals the following union.

$$\{(bx : -ax - c : b) \mid x \in \mathbb{C}\} = \{(0 : -c : b)\} \cup \left\{ \left( 1 : -\frac{a}{b} - \frac{c}{bx} : \frac{1}{x} \right) \right\}.$$

3. Show that as  $|x| \rightarrow \infty$ , the second set in the above union becomes

$$\left\{ \left( 1 : -\frac{a}{b} : 0 \right) \right\}.$$

### Problem 13

### Problem 14

### Problem 15

### Problem 16

### Problem 17

### Problem 18

### Problem 19

### Problem 20

### Problem 21