Algebraic subtyping for algebraic effects and handlers

AXEL FAES, KU Leuven AMR HANY SALEH, KU Leuven TOM SCHRIJVERS, KU Leuven

Algebraic effects and handlers benefit from a custom type-&-effect system, a type system that also tracks which effects can happen in a program. Several such type-&-effect systems have been proposed in the literature, but all are unsatisfactory. Recently, Stephen Dolan (University of Cambridge, UK) presented a novel type system that combines subtyping and parametric polymorphism in a particulary attractive and elegant fashion. A cornerstone of his design are the algebraic properties that the subtyping relation should respect. In this work, a type-&-effect system is derived that extends Dolan's elegant type system with effect information. This type-&-effect system inherits Dolan's harmonious combination of subtyping (in our case induced by a lattice structure on the effect information) with parametric polymorphism and preserves all of its desirable properties (both low-level algebraic properties and high-level meta-theoretical properties like type soundness and the existence of principal types).

Additional Key Words and Phrases: algebraic effect handler, algebraic subtyping, effects, optimised compilation

CONTENTS

Abstract	1
Contents	1
List of Figures	1
List of Tables	1
Acknowledgments	1
References	1

LIST OF FIGURES

LIST OF TABLES

ACKNOWLEDGMENTS

I would like to thank Amr Hany Saleh for his continuous guidance and help.

REFERENCES

- [1] Andrej Bauer and Matija Pretnar. 2014. An Effect System for Algebraic Effects and Handlers. Logical Methods in Computer Science 10, 4 (2014). https://doi.org/10.2168/LMCS-10(4:9)2014
- [2] Andrej Bauer and Matija Pretnar. 2015. Programming with algebraic effects and handlers. J. Log. Algebr. Meth. Program. 84, 1 (2015), 108–123. https://doi.org/10.1016/j.jlamp.2014.02.001
- [3] Edwin Brady. 2013. Programming and reasoning with algebraic effects and dependent types. In *Proceedings of the* 18th ACM SIGPLAN International Conference on Functional Programming. ACM, 133–144.
- [4] Stephen Dolan and Alan Mycroft. 2017. Polymorphism, Subtyping, and Type Inference in MLsub. In Proceedings of the 44th ACM SIGPLAN Symposium on Principles of Programming Languages (POPL 2017). ACM, New York, NY, USA, 60-72. https://doi.org/10.1145/3009837.3009882
- [5] Stephen Dolan, Leo White, KC Sivaramakrishnan, Jeremy Yallop, and Anil Madhavapeddy. 2015. Effective Concurrency through Algebraic Effects. In *OCaml Workshop*.

Authors' addresses: Axel Faes, Department of Computer Science, KU Leuven, axel.faes@student.kuleuven.be; Amr Hany Saleh, Department of Computer Science, KU Leuven, amrhanyshehata.saleh@kuleuven.be; Tom Schrijvers, Department of Computer Science, KU Leuven, tom.schrijvers@kuleuven.be.

- [6] Axel Faes and Tom Schrijvers. 2017. A core language with row-based effects for optimised compilation. In *Student Research Competition*. ICFP.
- [7] Daniel Hillerström and Sam Lindley. 2016. Liberating Effects with Rows and Handlers. In Proceedings of the 1st International Workshop on Type-Driven Development (TyDe 2016). ACM, New York, NY, USA, 15–27. https://doi.org/10. 1145/2976022.2976033
- [8] Daniel Hillerström, Sam Lindley, and KC Sivaramakrishnan. 2016. Compiling Links Effect Handlers to the OCaml Backend. In *OCaml Workshop*.
- [9] J Roger Hindley and Jonathan P Seldin. 1986. Introduction to Combinators and (lambda) Calculus. Vol. 1. CUP Archive.
- [10] Ohad Kammar, Sam Lindley, and Nicolas Oury. 2013. Handlers in action. In *Proceedings of the 18th ACM SIGPLAN International Conference on Functional programming (ICFP '14)*. ACM, 145–158.
- [11] Ohad Kammar and Gordon D. Plotkin. 2012. Algebraic foundations for effect-dependent optimisations. In *Proceedings* of the 39th Annual ACM SIGPLAN-SIGACT Symposium on Principles of Programming Languages. ACM, 349–360.
- [12] Oleg Kiselyov and KC Sivaramakrishnan. 2016. Eff Directly in OCaml. In OCaml Workshop.
- [13] Daan Leijen. 2014. Koka: Programming with row polymorphic effect types. arXiv preprint arXiv:1406.2061 (2014).
- [14] Daan Leijen. 2017. Type Directed Compilation of Row-typed Algebraic Effects. In Proceedings of the 44th ACM SIGPLAN Symposium on Principles of Programming Languages (POPL 2017). ACM, New York, NY, USA, 486–499. https://doi.org/10.1145/3009837.3009872
- [15] Sam Lindley, Conor McBride, and Craig McLaughlin. 2017. Do Be Do Be Do. In Proceedings of the 44th ACM SIGPLAN Symposium on Principles of Programming Languages (POPL 2017). ACM, New York, NY, USA, 500–514. https://doi.org/10.1145/3009837.3009897
- [16] Benjamin C Pierce. 2002. Types and programming languages.
- [17] Gordon D. Plotkin and John Power. 2003. Algebraic Operations and Generic Effects. *Applied Categorical Structures* 11, 1 (2003), 69–94.
- [18] Gordon D. Plotkin and Matija Pretnar. 2008. A Logic for Algebraic Effects. In Proceedings of the Twenty-Third Annual IEEE Symposium on Logic in Computer Science, LICS 2008, 24-27 June 2008, Pittsburgh, PA, USA. IEEE Computer Society, 118–129
- [19] Gordon D. Plotkin and Matija Pretnar. 2013. Handling Algebraic Effects. Logical Methods in Computer Science 9, 4 (2013). https://doi.org/10.2168/LMCS-9(4:23)2013
- [20] Matija Pretnar. 2014. Inferring Algebraic Effects. Logical Methods in Computer Science 10, 3 (2014). https://doi.org/10. 2168/LMCS-10(3:21)2014
- [21] Matija Pretnar. 2015. An introduction to algebraic effects and handlers. invited tutorial paper. *Electronic Notes in Theoretical Computer Science* 319 (2015), 19–35.
- [22] Matija Pretnar. 2018. Eff. https://github.com/matijapretnar/eff. (2018).
- [23] Matija Pretnar, Amr Hany Saleh, Axel Faes, and Tom Schrijvers. 2017. Efficient compilation of algebraic effects and handlers. Technical Report CW 708. KU Leuven Department of Computer Science.
- [24] Didier Rémy. 1994. Theoretical Aspects of Object-oriented Programming. MIT Press, Cambridge, MA, USA, Chapter Type Inference for Records in Natural Extension of ML, 67–95. http://dl.acm.org/citation.cfm?id=186677.186689
- [25] Amr Hany Saleh, Georgios Karachalias, Matija Pretnar, and Tom Schrijvers. 2018. Explicit Effect Subtyping. In *European Symposium on Programming*. Springer, 327–354.