

Algebraic Subtyping for Algebraic Types and Effects

Axel Faes

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Promotor:

Prof. dr. ir. Tom Schrijvers

Assessor:

assesors

Begeleider:

Amr Hany Saleh

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Voorwoord

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Samenvatting

Algebraic effects and handlers are a very active area of research. An important aspect is the development of an optimising compiler. $E_{\rm FF}$ is an ML-style language with support for effects and forms the testbed for the optimising compiler. However, the type-&-effect system of $E_{\rm FF}$ is unsatisfactory. This is due to the lack of some elegant properties. It is also awkward to implement and use in practice.

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Introduction

The specification for a type-&-effect system with algebraic subtyping for algebraic effects and handlers is given in this document. The formal properties of this system are studied in order to find which properties are satisfied compared to other type-&-effect systems. The proposed type-&-effect system builds on two very recent developments in the area of programming language theory.

Algebraic subtyping

In his December 2016 PhD thesis, Stephen Dolan (University of Cambridge, UK), has presented a novel type system that combines subtyping and parametric polymorphism in a particulary attractive and elegant fashion. A cornerstone of his design are the algebraic properties that the subtyping relation should respect.

Algebraic effects and handlers

These are a new formalism for formally modelling side-effects (e.g. mutable state or non-determinism) in programming languages, developed by Matija Pretnar (University of Ljubjana) and Gordon Plotkin (University of Edinburgh). This approach is gaining a lot of traction, not only as a formalism but also as a practical feature in actual programming languages (e.g. the Koka language developed by Microsoft Research). We are collaborating with Matija Pretnar on the efficient implementation of one such language, called Eff. Axel Faes has contributed to this collaboration during a project he did for the Honoursprogramme of the Faculty of Engineering Science.

1.1 Motivation

Algebraic effects and handlers benefit from a custom type-&-effect system, a type system that also tracks which effects can happen in a program. Several such type-&-effect systems have been proposed in the literature, but all are unsatisfactory. We attribute this to the lack of the elegant properties of Dolan's type system. Indeed the existing type-&-effect systems are not only theoretically unsatisfactory, but they are also awkward to implement and use in practice.

Research questions

- How can Dolan's elegant type system be extended with effect information?
- Which properties are preserved and which aren't preserved?
- What advantages are there to an type-&-effect system based on Dolan's elegant type system?

1.2 Goals

The goal of this thesis is to derive a type-&-effect system that extends Dolan's elegant type system with effect information. This type-&-effect system should inherit Dolan's harmonious combination of subtyping (in our case induced by a lattice structure on the effect information) with parametric polymorphism and preserve all of its desirable properties (both low-level algebraic properties and high-level meta-theoretical properties like type soundness and the existence of principal types). Afterwards this type-&-effect system The following approach is taken:

- 1. Study of the relevant literature and theoretical background.
- 2. Design of a type-&-effect system derived from Dolan's, that integrates effects.
- 3. Proving the desirable properties of the proposed type-&-effect system: type soundness, principal typing, ...
- 4. Time permitting: Design of a type inference algorithm that derives the principal types of programs without type annotations and proving its correctness.
- 5. Time permitting: Implementation of the algorithm and comparing it to other algorithms (such as row polymorphism based type-&-effect systems).

1.3 Results

Describe what the resulting product is and how it is useful or provides an advantage over other solutions.

Background

2.1 Programming language theory

The field of programming language theory is a branch of computer science that describes how to formally describe complete programming languages and programming language features, such as algebraic effect handlers.

The work described in this thesis uses several aspects from programming language theory. A first subdiscipline is type theory. Type theory is used to formally describe type systems. A type system is a set of rules that are used to describe the accepted structure of a programming language. Each rule uses or assigns types to the various terms of the programming language. The typing rules typically have one of the following forms:

Fact
$$\Gamma \vdash e : \tau$$

$$\Gamma \vdash e : \tau$$

$$\Gamma \vdash e_1 : \tau_1 \rightarrow \tau_2 \qquad \Gamma \vdash e_2 : \tau_1$$

$$\Gamma \vdash e_1 e_2 : \tau_2$$

Figuur 2.1: Typing rules: judgements

A Fact states that, under the assumption of Γ , e has type τ . The context, Γ , is a mapping of the free variables of e to their types. It is called a fact since the rule always holds.

An *Inference rule* states that, if a derivation for $\Gamma \vdash e_1 : \tau_1 \to \tau_2$ can be found and a derivation for $ctx \vdash e_2 : \tau_1$ can be found, it follows that there is a derivation for $\Gamma \vdash e_1 e_2 : \tau_2$.

In this example, e_1 is a function type. There is also a derivation for e_2 which has the same type as the argument type of e_1 . The inference rule then states that the application of e_2 to e_1 has type τ_2 . Thus, the semantics of the programming language constructs, such as function application, are defined by the typing rules.

An inference rule can be read in multiple ways. It can be read top-down or bottom-up. Reading it top-down gives the above described reasoning. Given some expressions and some constraints, another expression can be constructed with a specific type. The

bottom-up approach states that, given an expression such as the function application, there is a specific way the different parts of the expression can be typed. In the given example, a function expression has type τ_2 . Therefor, both e_1 and e_2 must follow a specific set of constraints. It is known that a function needs to exist of type $\tau_1 \to \tau_2$ and an expression that matches the argument of the function, τ_1 needs to exist.

Figure 2.2 also shows that the context, Γ , can be manipulated. In the typing rule, the context is used for the λ -abstraction. The condition states that e has type τ_2 given that context and that x, which can be a free variable in the expression e, has type tau_1 .

$$\frac{\Gamma_{\text{UN}}}{\Gamma, x: \tau_1 \vdash e: \tau_2} \frac{\Gamma, x: \tau_1 \vdash e: \tau_2}{\Gamma \vdash \lambda x.c: \tau_1 \rightarrow \tau_2}$$

Figuur 2.2: Typing rules: manipulate context

2.2 Subtyping

2.3 Algebraic effects and handlers

Related Work (Algebraic Subtyping)

Subtyping is a partial order which is a reflexive transitive binary relation satisfying antisymmetry (subtyping rules). The subtyping order also forms a distributive lattice (equivalence rules).

Related Work (Eff)

The type-&-effect system that is used in EFF is based on subtyping and dirty types [1].

4.1 Types and terms

Terms

Figure 4.1 shows the two types of terms in E_{FF} . There are values v and computations c. Computations are terms that can contain effects. Effects are denoted as operations Op which can be called.

```
value v ::= x
                                                     variable
                  true
                                                     true
                  false
                                                     false
                  \lambda x.c
                                                     function
                                                     handler
                      return x \mapsto c_r,
                                                        return case
                      [\operatorname{Op} y \, k \mapsto c_{\operatorname{Op}}]_{\operatorname{Op} \in O}
                                                        operation cases
\mathsf{comp}\; c \quad ::= \ v_1 \, v_2
                                                     application
                  do x \leftarrow c_1 ; c_2
                                                     sequencing
                   if e then c_1 else c_2
                                                     conditional
                  let rec f x = c_1 in c_2
                                                     rec definition
                                                     returned val
                  \mathtt{return}\ v
                                                     operation call
                   0pv
                   handle c with v
                                                     handling
```

Figuur 4.1: Terms of Eff

Types

Figure 4.2 shows the types of Eff. There are two main sorts of types. There are (pure) types A, B and dirty types $\underline{C}, \underline{D}$. A dirty type is a pure type A tagged with a finite set of operations Δ , which we call dirt, that can be called. This finite set Δ is an over-approximation of the operations that are actually called. The type $\underline{C} \Rightarrow \underline{D}$ is used for handlers because a handler takes an input computation \underline{C} , handles the effects in this computation and outputs computation \underline{D} as the result.

Figuur 4.2: Types of ${\rm Eff}$

4.2 Type System

4.2.1 Subtyping

The dirty type $A \,!\, \Delta$ is assigned to a computation returning values of type A and potentially calling operations from the set Δ . This set Δ is always an over-approximation of the actually called operations, and may safely be increased, inducing a natural subtyping judgement $A \,!\, \Delta \leq A \,!\, \Delta'$ on dirty types. As dirty types can occur inside pure types, we also get a derived subtyping judgement on pure types. Both judgements are defined in Figure 4.3. Observe that, as usual, subtyping is contravariant in the argument types of functions and handlers, and covariant in their return types.

Figuur 4.3: Subtyping for pure and dirty types of EFF

4.2.2 Typing rules

Figure 4.4 defines the typing judgements for values and computations with respect to a standard typing context Γ .

Values

The rules for subtyping, variables, and functions are entirely standard. For constants we assume a signature Σ that assigns a type A to each constant k, which we write as $(k:A) \in \Sigma$.

A handler expression has type $A ! \Delta \cup O \Rightarrow B ! \Delta$ iff all branches (both the operation cases and the return case) have dirty type $B ! \Delta$ and the operation cases cover the set of operations O. Note that the intersection $\Delta \cap O$ is not necessarily empty. The handler deals with the operations O, but in the process may re-issue some of them (i.e., $\Delta \cap O$).

When typing operation cases, the given signature for the operation $(0p:A_{0p}\to B_{0p})\in \Sigma$ determines the type A_{0p} of the parameter x and the domain B_{0p} of the continuation k. As our handlers are deep, the codomain of k should be the same as the type $B!\Delta$ of the cases.

Computations

With the following exceptions, the typing judgement $\Gamma \vdash c : \underline{C}$ has a straightforward definition. The return construct renders a value v as a pure computation, i.e., with empty dirt. An operation invocation $\operatorname{Op} v$ is typed according to the operation's signature, with the operation itself as its only operation. Finally, rule WITH shows that a handler with type $\underline{C} \Rightarrow \underline{D}$ transforms a computation with type \underline{C} into a computation with type \underline{D} .

Figuur 4.4: Typing of ${\operatorname{Eff}}$

Core Language (EffCore)

 ${\it EFFCORE}$ is a language with row-based effects, intersection and union types and effects and is subtyping based.

Define your problem very clearly. Provide a formal definition if possible, using mathematical definitions.

5.1 Types and terms

Terms

Figure 5.1 shows the two types of terms in EFFCORE. There are values v and computations c. Computations are terms that can contain effects. Effects are denoted as operations Op which can be called. The function term is explicitly annotated with a type and type abstraction and type application has been added to the language. These terms only work on pure types.

Types

Figure 5.2 shows the types of EFFCORE. There are two main sorts of types. There are (pure) types A, B and dirty types C, D. A dirty type is a pure type A tagged with a finite set of operations Δ , which we call dirt, that can be called. It can also be an union or intersection of dirty types. In further sections, the relations between dirty intersections or unions and pure intersections or unions are explained. The finite set Δ is an over-approximation of the operations that are actually called. Row variables are introduced as well as intersection and unions. The .(DOT) is used to close rows that do not end with a row variable. The type $C \Rightarrow D$ is used for handlers because a handler takes an input computation C, handles the effects in this computation and outputs computation D as the result.

```
\lambda-variable
value v ::=
                                                    let-variable
                   â
                                                    true
                   true
                   false
                                                    false
                                                    function
                   \lambda x.c
                                                    handler
                   {
                                                       return case
                      return x \mapsto c_r,
                      [\operatorname{Op} y \, k \mapsto c_{\operatorname{Op}}]_{\operatorname{Op} \in O}
                                                       operation cases
\mathsf{comp}\ c \quad ::= \ v_1\,v_2
                                                    application
                  do \hat{\mathbf{x}} = c_1 \; ; c_2
                                                    sequencing
                   let \hat{\mathbf{x}} = v in c
                                                    let
                   if e then c_1 else c_2
                                                   conditional
                                                    returned val
                   return v
                                                    operation call
                   0p ν
                   handle c with v
                                                    handling
```

Figuur 5.1: Terms of ${\it EffCore}$

```
typing contexts \Gamma ::= \epsilon \mid \Gamma, x : A \mid \Gamma, \hat{\mathbf{x}} : \forall \bar{\alpha}.B
monomorphic typing contexts \Xi ::= \epsilon \mid \Xi, x : A
 polymorphic typing contexts \Pi ::= \epsilon \mid \Pi, \hat{\mathbf{x}} : [\Xi]A
                        (pure) type A, B ::= bool
                                                                                                         bool type
                                                            A \rightarrow \underline{C}
                                                                                                         function type
                                                            \underline{C} \Rightarrow \underline{D}
                                                                                                         handler type
                                                            \alpha
                                                                                                         type variable
                                                                                                         recursive type
                                                            \mu\alpha.A
                                                            Т
                                                                                                         top
                                                            \perp
                                                                                                         bottom
                                                            A \sqcap B
                                                                                                         intersection
                                                            A \sqcup B
                                                                                                         union
                          dirty type \underline{C}, \underline{D} ::= A ! \Delta
                                        \mathsf{dirt}\ \Delta\ ::=\ \mathsf{Op}
                                                                                                         operation
                                                            \delta
                                                                                                         dirt variable
                                                            Ø
                                                                                                         empty dirt
                                                                                                         intersection
                                                            \Delta_1 \sqcap \Delta_2
                                                                                                         union
                                                            \Delta_1 \sqcup \Delta_2
                        All operations \Omega ::= \bigcup Op_i | Op_i \in \Sigma
```

Figuur 5.2: Types of EffCore

$$A_{1} \leqslant A_{2} \leftrightarrow A_{1} \sqcup A_{2} \equiv A_{2}$$

$$A_{1} \leqslant A_{2} \leftrightarrow A_{1} \equiv A_{1} \sqcap A_{2}$$

$$\Delta_{1} \leqslant \Delta_{2} \leftrightarrow \Delta_{1} \sqcup \Delta_{2} \equiv \Delta_{2}$$

$$\Delta_{1} \leqslant \Delta_{2} \leftrightarrow \Delta_{1} \equiv \Delta_{1} \sqcap \Delta_{2}$$

$$\underline{C}_{1} \leqslant \underline{C}_{2} \leftrightarrow \underline{C}_{1} \sqcup \underline{C}_{2} \equiv \underline{C}_{2}$$

$$\underline{C}_{1} \leqslant \underline{C}_{2} \leftrightarrow \underline{C}_{1} \equiv \underline{C}_{1} \sqcap \underline{C}_{2}$$

Figuur 5.3: Relationship between Equivalence and Subtyping

$$A \sqcup A \equiv A$$

$$A \sqcap A \equiv A$$

$$A_1 \sqcup A_2 \equiv A_2 \sqcup A_1$$

$$A_1 \sqcup (A_2 \sqcup A_3) \equiv (A_1 \sqcup A_2) \sqcup A_3$$

$$A_1 \sqcap (A_2 \sqcap A_3) \equiv (A_1 \sqcap A_2) \equiv A_1$$

$$A_1 \sqcap (A_1 \sqcup A_2) \equiv A_1$$

$$A_1 \sqcap A \equiv A$$

$$A_1 \sqcap A \equiv A$$

$$A_1 \sqcup A_2 \sqcup A_3 \equiv (A_1 \sqcup A_2) \sqcap (A_1 \sqcup A_3)$$

$$A_1 \sqcap (A_2 \sqcup A_3) \equiv (A_1 \sqcap A_2) \sqcup (A_1 \sqcap A_3)$$

Figuur 5.4: Equations of distributive lattices for types

5.2 Type system

5.3 Typing rules

Figure 5.8 defines the typing judgements for values and computations with respect to a standard typing context Γ .

$$(A_1 \to \underline{C}_1) \sqcup (A_2 \to \underline{C}_2) \equiv (A_1 \sqcap A_2) \to (\underline{C}_1 \sqcup \underline{C}_2)$$

$$(A_1 \to \underline{C}_1) \sqcap (A_2 \to \underline{C}_2) \equiv (A_1 \sqcup A_2) \to (\underline{C}_1 \sqcap \underline{C}_2)$$

$$(A_1 \Rightarrow \underline{C}_1) \sqcup (A_2 \Rightarrow \underline{C}_2) \equiv (A_1 \sqcap A_2) \Rightarrow (\underline{C}_1 \sqcup \underline{C}_2)$$

$$(A_1 \Rightarrow \underline{C}_1) \sqcap (A_2 \Rightarrow \underline{C}_2) \equiv (A_1 \sqcup A_2) \Rightarrow (\underline{C}_1 \sqcup \underline{C}_2)$$

$$(\underline{C}_1 \sqcup \underline{C}_2) \equiv (A_1 ! \Delta_1 \sqcup A_2 ! \Delta_2) \equiv (A_1 \sqcup A_2) ! (\Delta_1 \sqcup \Delta_2)$$

$$(\underline{C}_1 \sqcap \underline{C}_2) \equiv (A_1 ! \Delta_1 \sqcap A_2 ! \Delta_2) \equiv (A_1 \sqcap A_2) ! (\Delta_1 \sqcap \Delta_2)$$

Figuur 5.5: Equations for function, handler and dirty types

$$\Delta \sqcup \Delta \equiv \Delta$$

$$\Delta_1 \sqcup \Delta_2 \equiv \Delta_2 \sqcup \Delta_1$$

$$\Delta_1 \sqcap \Delta_2 \equiv \Delta_2 \sqcap \Delta_1$$

$$\Delta_1 \sqcup (\Delta_2 \sqcup \Delta_3) \equiv (\Delta_1 \sqcup \Delta_2) \sqcup \Delta_3$$

$$\Delta_1 \sqcap (\Delta_2 \sqcap \Delta_3) \equiv (\Delta_1 \sqcap \Delta_2) \sqcap \Delta_3$$

$$\Delta_1 \sqcup (\Delta_1 \sqcap \Delta_2) \equiv \Delta_1$$

$$\Delta_1 \sqcap (\Delta_1 \sqcup \Delta_2) \equiv \Delta_1$$

$$0 \sqcup \Delta \equiv \Delta$$

$$\Omega \sqcup \Delta \equiv 0$$

$$\Omega \sqcup \Delta \equiv \Delta$$

$$\Delta_1 \sqcup (\Delta_2 \sqcap \Delta_3) \equiv (\Delta_1 \sqcup \Delta_2) \sqcap (\Delta_1 \sqcup \Delta_3)$$

$$\Delta_1 \sqcup (\Delta_2 \sqcap \Delta_3) \equiv (\Delta_1 \sqcup \Delta_2) \sqcup (\Delta_1 \sqcap \Delta_3)$$

Figuur 5.6: Equations of distributive lattices for dirts

Values

The rules for subtyping, variables, type abstraction, type application and functions are entirely standard. For constants we assume a signature Σ that assigns a type A to each constant k, which we write as $(k:A) \in \Sigma$.

A handler expression has type $A ! \Delta \cup O \Rightarrow B ! \Delta$ iff all branches (both the operation cases and the return case) have dirty type $B ! \Delta$ and the operation cases cover the set of

$$\begin{array}{|c|c|c|} \hline \textbf{Subtyping of dirts} \\ \hline & SUB-!-RoW-RoW \\ & n \geq 0 \quad m \geq 0 \quad p \geq 0 \quad \{Op_1,...,Op_n,Op_{n+m+1},...,Op_{n+m+p},\delta_1\} \leq \\ & \quad \{Op_1,...,Op_n,Op_{n+1},...,Op_{n+m},\delta_2\} \\ \hline & \quad \{\delta_1\} \leqslant \{Op_{n+1},...,Op_{n+m},\delta_3\} \quad \{\delta_3\} = \{Op_{n+m},...,Op_{n+m+p},\delta_2\} \\ \hline & SUB-!-DOT-ROW \\ & n \geq 0 \quad m \geq 0 \quad p \geq 0 \\ \hline & \quad \{Op_1,...,Op_n,Op_{n+m+1},...,Op_{n+m+p},.\} \leqslant \quad \{Op_1,...,Op_n,Op_{n+1},...,Op_{n+m},\delta_2\} \\ \hline & \quad \emptyset \leqslant \{Op_{n+1},...,Op_{n+m},\delta_3\} \quad \{\delta_3\} = \{Op_{n+m},...,Op_{n+m+p},\delta_2\} \\ \hline & \quad SUB-!-ROW-DOT \\ & \quad n \geq 0 \quad m \geq 0 \quad \{Op_1,...,Op_n,\delta_1\} \leqslant \{Op_1,...,Op_n,Op_{n+1},Op_{n+m},.\} \\ \hline & \quad \{\delta_1\} \leqslant \{Op_{n+1},Op_{n+m},.\} \\ \hline & \quad SUB-!-DOT-DOT \\ & \quad n \geq 0 \quad m \geq 0 \quad \{Op_1,...,Op_n,.\} \leqslant \{Op_1,...,Op_n,Op_{n+1},...,Op_{n+m},.\} \\ \hline & \quad \emptyset \leqslant \{Op_{n+1},Op_{n+m},.\} \\ \hline & \quad \emptyset \leqslant \{Op_{n+1},Op_{n+m},.\} \\ \hline \end{array}$$

Figuur 5.7: Subtyping for dirts of EffCore

operations O. Note that the intersection $\Delta \cap O$ is not necessarily empty (with \cap being the intersection of the operations, not to be confused with the \sqcap type). The handler deals with the operations O, but in the process may re-issue some of them (i.e., $\Delta \cap O$).

When typing operation cases, the given signature for the operation (Op: $A_{\rm Op} \to B_{\rm Op}$) $\in \Sigma$ determines the type $A_{\rm Op}$ of the parameter x and the domain $B_{\rm Op}$ of the continuation k. As our handlers are deep, the codomain of k should be the same as the type $B \,!\, \Delta$ of the cases.

Computations

With the following exceptions, the typing judgement $\Gamma \vdash c : \underline{C}$ has a straightforward definition. The return construct renders a value v as a pure computation, i.e., with empty dirt. In this case, this is defined as a set with the .(DOT) as the only element. An operation invocation $\operatorname{Op} v$ is typed according to the operation's signature, with the operation itself as its only operation. Finally, rule WITH shows that a handler with type $\underline{C} \Rightarrow \underline{D}$ transforms a computation with type \underline{C} into a computation with type \underline{D} .

5.4 Reformulated typing rules

Figuur 5.8: Typing of EffCore

$$\Xi \mathrm{Def} \\ \Xi \ \mathrm{contains} \ \mathrm{free} \ \lambda \mathrm{-bound} \ \mathrm{variables} \\ \mathrm{SubSCheme} \\ [\Xi_2]A_2 \leqslant [\Xi_1]A_1 \leftrightarrow A_2 \leqslant A_1, \Xi_1 \leqslant \Xi_2 \\ \mathrm{SubInst} \\ [\Xi_2]A_2 \leqslant^{\forall} [\Xi_1]A_1 \leftrightarrow \rho([\Xi_2]A_2) \leqslant [\Xi_1]A_1 \mathrm{for} \ \mathrm{some} \ \mathrm{substitution} \ \rho \\ \mathrm{(instantiate} \ \mathrm{type} + \mathrm{dirt} \ \mathrm{vars}) \\ \mathrm{INTER} \\ dom(\Xi_1 \sqcap \Xi_2) = dom(\Xi_1) \cup dom(\Xi_2) \\ (\Xi_1 \sqcap \Xi_2)(x) = \Xi_1(x) \sqcap \Xi_2(x), \ \mathrm{interpreting} \ \Xi_i(x) = \top \ \mathrm{if} \ x \in dom(\Xi_i) \ \mathrm{(for} \ i \in \{1,2\}) \\ \Xi_1 \ \mathrm{and} \ \Xi_2 \ \mathrm{have} \ \mathrm{greatest} \ \mathrm{lower} \ \mathrm{bound} \colon \ \Xi_1 \sqcap \Xi_2 \\ \mathrm{Substeq} \\ \rho([\Xi]A) \equiv [\rho(\Xi)]\rho(A) \\ \mathrm{EQ} \\ [\Xi_2]A_2 \equiv^{\forall} [\Xi_1]A_1 \leftrightarrow [\Xi_2]A_2 \leqslant^{\forall} [\Xi_1]A_1, [\Xi_1]A_1 \leqslant^{\forall} [\Xi_2]A_2 \\ \mathrm{WeakeningMono} \\ \Xi_2 \leqslant^{\forall} \Xi_1 \leftrightarrow dom(\Xi_2) \supseteq dom(\Xi_1), \Xi_2(x) \leqslant^{\forall} \Xi_1(x) \mid x \in dom(\Xi_1) \\ \mathrm{WeakeningPoly} \\ \Pi_2 \leqslant^{\forall} \Pi_1 \leftrightarrow dom(\Pi_2) \supseteq dom(\Pi_1), \Pi_2(\hat{\mathbf{x}}) \leqslant^{\forall} \Pi_1(\hat{\mathbf{x}}) \mid \hat{\mathbf{x}} \in dom(\Pi_1) \\ \end{array}$$

Figuur 5.9: Definitions for typing schemes and reformulated typing rules

Figuur 5.10: Reformulated typing rules of EffCore

Proofs

- 6.1 Instantiation
- 6.2 Weakening
- 6.3 Substitution
- 6.4 Soundness

Type Inference

7.1 Polar types

7.2 Unification

To operate on polar type terms, we generalise from substitutions to bisubsti- tutions, which map type variables to a pair of a positive and a negative type term. The definitions for bisubstitions are given in Figure 7.2.

The presence of explicit type application in F, F_{ω} and CoC makes the exact parameterisation of a polymorphic type relevant. Conversely, in ML, the parameterisation is irrelevant and all that matters is the set of possible instances.

Thus, when manipulating constraints, an ML type checker need only preserve equivalence of the set of instances, and not equivalence of the parameterisation. This freedom is not much used in plain ML, since unification happens to preserve equivalence of the parameterisation. However, this freedom is what allows MLsub to eliminate subtyping constraints.

For all positive type terms A+ and variables, there exist positive type terms A^+_{α} and

 A_g^+ such that $A_\alpha^+ \in \bot, \alpha$, α is guarded in A_g^+ , and A^+ is equivalent to $A_\alpha^+ \sqcup A_g^+$. For all negative type terms A^- and variables, there exist negative type terms A_α^- and $A_g^- \text{ such that } A_\alpha^- \in \top, \alpha, \ \alpha \text{ is guarded in } A_g^-, \text{ and } A^- \text{ is equivalent to } A_\alpha^- \sqcap A_g^-.$

7.3 **Principal Type Inference**

We introduce a judgement form $\Pi \triangleright e : [\Xi^-]A^+$, stating that $[\Xi^-]A^+$ is the principal typing scheme of e under the polar typing context Π .

```
polymorphic typing contexts \Pi ::= \epsilon \mid \Pi, \hat{\mathbf{x}} : [\Xi^-]A^+
                   (pure) type A^+, B^+ ::= bool
                                                                                            bool type
                                                      \begin{vmatrix} A^{-} \rightarrow \underline{C}^{+} \\ \underline{C}^{-} \Rightarrow \underline{D}^{+} \\ \alpha \\ \mu\alpha.A^{+} \end{vmatrix} 
                                                                                            function type
                                                                                            handler type
                                                                                            type variable
                                                                                            recursive type
                                                                                            bottom
                                                     A^+ \sqcup B^+
                                                                                            union
                     dirty type \underline{C}^+, \underline{D}^+ ::= A^+ ! \Delta^+
                   (pure) type A^-, B^- ::= bool
                                                                                            bool type
                                                        A^+ \to \underline{C}^-
                                                                                            function type
                                                                                            handler type
                                                                                            type variable
                                                      \mid \mu\alpha.A^-
                                                                                            recursive type
                                                                                            top
                                                      A^- \sqcap B^-
                                                                                            intersection
                     \text{dirty type } \underline{C}^-,\underline{D}^- \ ::= \ A^- \ ! \ \Delta^-
                                     \mathsf{dirt}\ \Delta^+\ ::=\ \mathsf{Op}
                                                                                            operation
                                                                                            dirt variable
                                                                                            empty dirt
                                     union
                                                                                            operation
                                                                                            dirt variable
                                                      |\Omega
                                                                                            full dirt (all operations, top)
                                                        \begin{array}{c} \Delta_1^- \sqcup \Delta_2^- \\ \Delta_1^- \sqcap \Delta_2^- \end{array}
                                                                                            union
                                                                                            intersection
```

Figuur 7.1: Polar types of EFFCORE

BISUBSTITUTION
$$\xi = [A^+/\alpha^+, A^-/\alpha^-, \Delta^+/\delta^+, \Delta^-/\delta^-]$$

$$\xi'(\alpha^+) = \alpha \qquad \xi'(\alpha^-) = \alpha \qquad \xi'(\delta^+) = \delta \qquad \xi'(\delta^-) = \delta \qquad \xi'(_) = _$$

$$\xi(\underline{C}^+) \equiv \xi(A^+ ! \Delta^+) \equiv \xi(A^+) ! \xi(\Delta^+) \qquad \xi(\underline{C}^-) \equiv \xi(A^- ! \Delta^-) \equiv \xi(A^-) ! \xi(\Delta^-)$$

$$\xi(\Delta_1^+ \sqcup \Delta_2^+) \equiv \xi(\Delta_1^+) \sqcup \xi(\Delta_2^+) \qquad \xi(\Delta_1^- \sqcap \Delta_2^-) \equiv \xi(\Delta_1^-) \sqcap \xi(\Delta_2^-)$$

$$\xi(0p) \equiv 0p \qquad \qquad \xi(\Delta_1^- \sqcup \Delta_2^-) \equiv \xi(\Delta_1^-) \sqcup \xi(\Delta_2^-)$$

$$\xi(0p) \equiv 0p \qquad \qquad \xi(0p) \equiv 0p$$

$$\xi(A_1^+ \sqcup A_2^+) \equiv \xi(A_1^+) \sqcup \xi(A_2^+) \qquad \qquad \xi(\Omega) \equiv \Omega$$

$$\xi(\Delta_1^- \sqcup \Delta_2^-) \equiv \xi(\Delta_1^-) \sqcup \xi(\Delta_2^-)$$

$$\xi(\alpha) \equiv 0 \qquad \qquad \xi(\alpha) \equiv 0$$

$$\xi(\alpha) \equiv$$

Figuur 7.2: Bisubstitutions

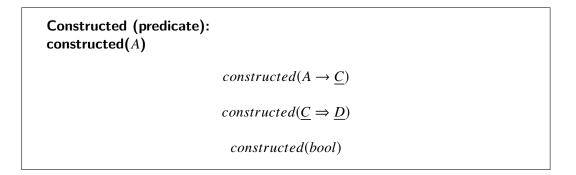
$$\forall \alpha \forall \beta. \alpha \to \beta \to \alpha \qquad \forall \beta \forall \alpha. \alpha \to \beta \to \alpha$$

$$\{\alpha \to \beta \to \alpha \mid \alpha, \beta \text{types}\} \qquad \{\alpha \to \beta \to \alpha \mid \beta, \alpha \text{types}\}$$

Figuur 7.3: Parameterisation and typing

$$\mu^+\alpha.A^+ = \mu\alpha.A_g^+ \qquad \mu^-\alpha.A^- = \mu\alpha.A_g^-$$

Figuur 7.4: Polar recursive type



Figuur 7.5: Constructed types

```
Atomic (partial function): atomic(A^+ \leqslant A^-) = \theta, atomic(A^+ \leqslant A^-) = \theta, atomic(A^+ \leqslant A^-) = \theta, atomic(A^+ \leqslant A^-) = \theta not free in A^-
\frac{constructed(A^-)}{atomic(\beta \leqslant A^-)} = [\beta \sqcap A^-/\beta^-]
\frac{constructed(A^-)}{atomic(\beta \leqslant A^-)} = [\mu^-\alpha.(\beta \sqcap [\alpha/\beta^-](A^-))/\beta^-]
\frac{constructed(A^+)}{atomic(A^+ \leqslant \beta)} = [\beta \sqcup A^+/\beta^+]
\frac{constructed(A^+)}{atomic(A^+ \leqslant \beta)} = [\beta \sqcup A^+/\beta^+]
\frac{constructed(A^+)}{atomic(A^+ \leqslant \beta)} = [\mu^+\alpha.(\beta \sqcup [\alpha/\beta^+](A^+))/\beta^+]
atomic(A^+ \leqslant \beta) = [\mu^+\alpha.(\beta \sqcup [\alpha/\beta^+](A^+))/\beta^-] = [\beta \sqcup \gamma/\gamma^+]
atomic(\beta \leqslant \gamma) = [\mu^-\alpha.(\beta \sqcap [\alpha/\beta^-](\gamma))/\beta^-] = [\beta \sqcap \gamma/\beta^-] = [\beta \sqcup \gamma/\gamma^+]
atomic(\delta \leqslant 0p^-) = [\delta \sqcap 0p/\delta^-]
atomic(\delta \leqslant (\Delta_1 \sqcup \Delta_2)) = [\delta \sqcap (\Delta_1 \sqcup \Delta_2)/\delta^-]
atomic(\delta \leqslant (\Delta_1 \sqcup \Delta_2)) = [\delta \sqcap (\Delta_1 \sqcup \Delta_2)/\delta^-]
atomic(\delta \leqslant (\Delta_1 \sqcup \Delta_2)) = [\delta \sqcap (\Delta_1 \sqcup \Delta_2)/\delta^-]
```

Figuur 7.6: Constraint solving

Subi (partial function): subi(
$$A^{+} \leqslant A^{-}$$
) = C , subi($\Delta^{+} \leqslant A^{-}$) = C subi(bool \leqslant bool) = C subi(bool \leqslant bool) = C subi($\Delta^{+} \leqslant A^{-}$) = C sub

Figuur 7.7: Constraint decomposition

```
Binunify(History, ContraintSet) = substitution

START
biunify(C) = biunify(\emptyset; C)
EMPTY
biunify(H; \epsilon) = 1
REDUNDANT
c \in H
\overline{biunify(H; c :: C)} = biunify(H; C)
ATOMIC
atomic(c) = \theta_c
\overline{biunify(H; c :: C)} = biunify(\theta_c(H \cup \{c\}); \theta_c(C)) \cdot \theta_c
DECOMPOSE
subi(c) = C'
\overline{biunify(H; c :: C)} = biunify(H \cup \{c\}; C' + C)
```

Figuur 7.8: Biunification algorithm

monomorphic typing contexts
$$\Xi^- ::= \epsilon \mid \Xi^-, x : A^-$$
 polymorphic typing contexts $\Pi ::= \epsilon \mid \Pi, \hat{\mathbf{x}} : [\Xi^-]A^+$
Expressions

$$\frac{\text{Var-}\Xi}{\Pi \triangleright x : [x : \alpha]\alpha} \qquad \frac{\text{Var-}\Pi}{\Pi \triangleright \hat{\mathbf{x}} : [\Xi^-]A^+} \qquad \frac{\text{True}}{\Pi \triangleright \text{true} : []bool}$$

$$\frac{\text{False}}{\Pi \triangleright \text{false} : []bool} \qquad \frac{\text{Fun}}{\Pi \triangleright \lambda x . c : [\Xi^-]C^+} \qquad \frac{\text{True}}{\Pi \triangleright \text{true} : []bool}$$
Hand
$$\frac{\text{Fun}}{\Pi \triangleright \alpha x . c : [\Xi^-]C^+} \qquad \frac{\text{True}}{\Pi \triangleright \alpha x . c : [\Xi^-]C^+}$$

$$\frac{\text{In}}{\Pi \triangleright \alpha x . c : [\Xi^-]C^+} \qquad \frac{\text{In}}{\Pi \triangleright \alpha x . c : [\Xi^-]C^+}$$

$$\frac{\text{In}}{\Pi \triangleright \alpha x . c : [\Xi^-]C^+} \qquad \frac{\text{In}}{\Pi \triangleright \alpha x . c : [\Xi^-]C^+}$$

$$\frac{\text{In}}{\Pi \triangleright \alpha x . c : [\Xi^-]C^+} \qquad \frac{\text{In}}{\Pi \triangleright \alpha x . c : [\Xi^-]C^+}$$

$$\frac{\text{In}}{\Pi \triangleright \alpha x . c : [\Xi^-]C^+} \qquad \frac{\text{In}}{\Pi \triangleright \alpha x . c : [\Xi^-]C^+}$$

$$\frac{\text{In}}{\Pi \triangleright \alpha x . c : [\Xi^-]C^+}$$

$$\frac{\text{In}}{$$

Figuur 7.9: Type inference algorithm for expressions

monomorphic typing contexts
$$\Xi^- ::= \epsilon \mid \Xi^-, x : A^-$$
 polymorphic typing contexts $\Pi ::= \epsilon \mid \Pi, \hat{\mathbf{x}} : [\Xi^-]A^+$

Computations
$$\frac{\text{APP}}{\Pi \triangleright v_1 : [\Xi^-_1]A_1^+ \qquad \Pi \triangleright v_2 : [\Xi^-_2]A_2^+}{\Pi \triangleright v_1 v_2 : \xi([\Xi^-_1 \sqcap \Xi^-_2](\alpha \mid \delta))} \xi = biunify(A_1^+ \leqslant A_2^+ \to (\alpha \mid \delta))$$

$$\frac{\text{Cond}}{\Pi \triangleright v : [\Xi^-_1]A^+ \qquad \Pi \triangleright c_1 : [\Xi^-_2]C_1^+ \qquad \Pi \triangleright c_2 : [\Xi^-_3]C_2^+}{\Pi \triangleright if \ v \ \text{then} \ c_1 = \text{lese} \ c_2 : \xi([\Xi^-_1 \sqcap \Xi^-_2 \sqcap \Xi^-_3](\alpha \mid \delta))} \xi =$$

$$biunify\left(\begin{array}{c} A^+ \leqslant bool \\ C_1^+ \leqslant (\alpha \mid \delta) \\ C_2^+ \leqslant (\alpha \mid \delta) \end{array}\right) \qquad \frac{\text{RET}}{\Pi \triangleright v : [\Xi^-]A^+} \frac{\Pi \triangleright v : [\Xi^-]A^+}{\Pi \triangleright \text{return} \ v : [\Xi^-](A^+ \mid \emptyset)} \xi =$$

$$\frac{\text{OP}}{(\text{Op} : A^+ \to B^-) \in \Sigma} \qquad \Pi \triangleright v : [\Xi^-]A^+}{\Pi \triangleright \text{op} \ v : [\Xi^-](B^+ \mid 0p)}$$

$$\frac{\text{LET}}{\Pi \triangleright v : [\Xi^-_1]A^+} \qquad \Pi, \hat{\mathbf{x}} : [\Xi^-_1]A^+ \triangleright c : [\Xi^-_2](B^+ \mid \Delta^+)}{\Gamma \triangleright \text{let} \ \hat{\mathbf{x}} = v \ \text{in} \ c : [\Xi^-_1 \sqcap \Xi^-_2](B^+ \mid \Delta^+)}$$

$$\frac{\text{Do}}{\Pi \triangleright c_1 : [\Xi^-_1](A^+ \mid \Delta^+_1)} \qquad \Pi, \hat{\mathbf{x}} : [\Xi^-_1]A^+ \triangleright c_2 : [\Xi^-_2](B^+ \mid \Delta^+_2)}{\Gamma \triangleright \text{do} \ \hat{\mathbf{x}} = c_1 : c_2 : [\Xi^-_1 \sqcap \Xi^-_2](B^+ \mid \Delta^+_2)}$$

$$\frac{\text{With}}{\Pi \triangleright v : [\Xi^-_1]C_1^+} \qquad \Pi \triangleright c : [\Xi^-_2]C_2^+ \qquad (\alpha \mid \delta))$$

Figuur 7.10: Type inference algorithm for computations

Hoofdstuk 8

Implementation

Describe the approach itself, in such detail that a reader could also implement this approach if s/he wished to do that.

Hoofdstuk 9

Evaluation

Novel approaches to problems are often evaluated empirically. Describe the evaluation process in such detail that a reader could reproduce the results. Describe in detail the setup of an experiment. Argue why this experiment is useful, and what you could learn from it. Be precise about what you want to measure, or about the hypothesis that you are testing. Discuss and interpret the results in terms of your experimental questions. Summarize the conclusions of the experimental evaluation.

Hoofdstuk 10

Conclusion

Briefly recall what the goal of the work was. Summarize what you have done, summarize the results, and present conclusions. Conclusions include a critical assessment: where the original goals reached? Discuss the limitations of your work. Describe how the work could possibly be extended in the future, mitigating limitations or solving remaining problems.

Bijlagen

Bibliografie

[1] A. Bauer and M. Pretnar. An effect system for algebraic effects and handlers. *Logical Methods in Computer Science*, 10(4), 2014.

Fiche masterproef

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UDC:

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Promotor: Prof. dr. ir. Tom Schrijvers

Assessor: assesors

Begeleider: Amr Hany Saleh