

# MAT437 problem set 10

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## I. Suggested problem 1

**Part 1.** Let us make note of the fact that if  $f$  is essentially bounded (in  $L^\infty(\mathbb{T})$ ), and  $x \in L^2(\mathbb{T})$  is square integrable, then  $fx \in L^2(\mathbb{T})$  (the product is clearly square integrable). It follows that

$$\|T_f x\| = \|P(fx)\| = \left\| P \left( \sum_{n<0} \langle e_n, fx \rangle e_n + \sum_{n \geq 0} \langle e_n, fx \rangle e_n \right) \right\| = \sum_{n \geq 0} \langle |e_n, fx| \|e_n\| = \sum_{n \geq 0} \langle |e_n, fx| \rangle \quad (1)$$

where  $\langle fx, e_n \rangle = \frac{1}{\sqrt{2\pi}} \int_{\mathbb{T}} f x z^n d\mu$ , where  $\mu$  is the uniform Haar measure on the circle. Of course,

$$\sum_{n \geq 0} \langle |e_n, fx| \rangle \leq \sum_{n \in \mathbb{Z}} \langle |e_n, fx| \rangle = \|fx\| \leq \|f\| \|x\| \leq M \|x\| \quad (2)$$

as  $f$  is essentially bounded by some  $M$ , so that  $\|T_f x\| \leq M \|x\|$ , and by definition,  $T_f$  is bounded.

**Part 2.** In the above proof, we have  $M = \|f\|_\infty$ . Thus, we have already shown that  $\frac{\|T_f x\|}{\|x\|} \leq \|f\|_\infty$  for all  $x$ . Thus,

$$\|T_f\| = \sup_x \frac{\|T_f x\|}{\|x\|} \leq \|f\|_\infty \quad (3)$$

as well. Let us also note that for basis vectors  $e_j, e_k \in H^2(\mathbb{T})$  with  $j, k \geq 0$ , we have

$$\langle T_f e_j, e_k \rangle = \langle P(f e_j), e_k \rangle = \frac{1}{\sqrt{2\pi}} \sum_{i \in \mathbb{Z}} \langle f, e_i \rangle \langle e_{i+j}, e_k \rangle = \frac{1}{\sqrt{2\pi}} \langle f, e_{k-j} \rangle \quad (4)$$

where we make use of the fact that  $e_a \cdot e_b = \frac{1}{2\pi} z^a \cdot z^b = \frac{1}{2\pi} z^{a+b} = \frac{1}{\sqrt{2\pi}} e_{a+b}$ . Moreover, note that

$$\langle e_j, T_{\bar{f}} e_k \rangle = \langle e_j, P(\bar{f} e_k) \rangle = \frac{1}{\sqrt{2\pi}} \sum_{i \in \mathbb{Z}} \langle e_j, \overline{\langle f, e_i \rangle} e_{k-i} \rangle = \frac{1}{\sqrt{2\pi}} \langle f, e_{k-j} \rangle \quad (5)$$

where we use the fact that if  $f = \sum_k \langle f, e_k \rangle e_k$ , then  $\bar{f} = \sum_k \overline{\langle f, e_k \rangle} e_{-k}$  as  $\bar{z^k} = z^{-k}$  for  $k \in \mathbb{T}$ . Thus, by definition,  $T_f^* = T_{\bar{f}}$ .

**Part 3.** We have

$$T_f e_n = P(f e_n) = \frac{1}{\sqrt{2\pi}} P \left( \sum_{m \in \mathbb{Z}} \langle f, e_m \rangle e_{m+n} \right) = \frac{1}{\sqrt{2\pi}} \sum_{m+n \geq 0} \langle f, e_m \rangle e_{m+n} = \frac{1}{\sqrt{2\pi}} \sum_{m \geq 0} \langle f, e_{m-n} \rangle e_m \quad (6)$$

which immediately yields the desired result, as clearly,

$$\langle f, e_{m-n} \rangle = \frac{1}{\sqrt{2\pi}} \int_{\mathbb{T}} f(z) z^{n-m} d\mu = \frac{1}{\sqrt{2\pi}} \int_0^1 f(e^{2\pi i \theta}) e^{-2\pi(m-n)\theta i} d\theta = \hat{f}(m-n) \quad (7)$$

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is the  $m - n$ -th Taylor series coefficient.

**Part 4.** Note that  $T_{e_k}(e_j) = \frac{1}{\sqrt{2\pi}}P(e_{k+j})$ , which is  $\frac{1}{\sqrt{2\pi}}$  for  $k + j \geq 0$  and 0 otherwise. Thus, the induced operator  $\tilde{T}_{e_k}$  must send  $\delta_n$  to  $\frac{1}{\sqrt{2\pi}}\delta_{n+k}$ , so it follows that this operator is precisely the  $k$ -th power of the unilateral shift, composed with multiplication by  $\frac{1}{\sqrt{2\pi}}$ .

**Part 5.** Clearly, if  $f = 0$ , the operator is compact. Now, conversely, suppose that  $T_f$  is compact. Recall that in a Hilbert space, a sequence  $x_n$  is said to converge weakly if for every  $y \in H$ , we have  $\lim_{n \rightarrow \infty} \langle x_n, y \rangle = 0$ . Since the  $e_n$  form a Hilbert space basis,  $\sum_{n \in \mathbb{Z}} |\langle e_n, y \rangle|^2 = \|y\|^2$  for some  $y$ . Thus, the series  $\langle e_n, y \rangle$  converges absolutely, so  $\langle e_n, y \rangle \rightarrow 0$ , so the sequence of  $e_n$  converges weakly.

Since  $T_f$  is compact,  $T_f e_n$  converges strongly,  $\|T_f e_n\| \rightarrow 0$ . Thus, it follows that

$$\|T_f e_n\|^2 = \sum_{k \in \mathbb{Z}} |\langle T_f e_n, e_{n+k} \rangle|^2. \quad (8)$$

Via the same logic as before,  $\langle T_f e_n, e_{n+k} \rangle \rightarrow 0$  for  $k \rightarrow \pm\infty$ , as the sum converges. From Part 3 that  $\langle T_f e_n, e_{n+k} \rangle = \sum_{m \geq 0} \hat{f}(m - n) \langle e_n, e_{n+k} \rangle = \hat{f}(k)$  converges to 0 as we take  $k \rightarrow \infty$ . **I wasn't sure where to go from here: just because  $\hat{f}(k) \rightarrow 0$ , why does this imply  $\hat{f} = 0$ , as is stated in the hint?**

**Part 6.** Suppose first that  $f = e_k$  and  $g$  arbitrary. Note that, from Part 3,

$$\begin{aligned} (T_{e_k} T_g - T_g T_{e_k}) e_n &= T_{e_k} \sum_{m \geq 0} \hat{g}(m - n) e_m - T_g \left( \frac{1}{\sqrt{2\pi}} e_{k+n} \right) \\ &= \frac{1}{\sqrt{2\pi}} \sum_{m \geq 0} \hat{g}(m - n) e_{m+k} - \frac{1}{\sqrt{2\pi}} \sum_{m \geq 0} \hat{g}(m - k - n) e_m = -\frac{1}{\sqrt{2\pi}} \sum_{m=-k}^{-1} \hat{g}(m - n) e_{m+k} \end{aligned} \quad (9)$$

Thus, the image of any of the basis vectors  $e_n$  under the commutator map can be written as a linear combination of the basis vectors  $e_0, \dots, e_{k-1}$ . It follows immediately that  $[T_{e_k}, T_g]$  has finite rank. This implies that the operator is compact: given a sequence  $\{x_n\}$  of functions, their image under this operator must be bounded (this comes from finite rank), so Bolzano-Weierstrass gives a convergent subsequence and the operator is compact.

Now, let us turn our attention to the general case. Since  $f$  is continuous on  $\mathbb{T}$ , Stone-Weierstrass implies that we can approximate it uniformly with trig polynomials. Clearly,  $T_f$  is linear, in the sense that  $T_{\lambda f + g} = \lambda T_f + T_g$ . Thus, we can find a sequence  $f_n = \sum_{|k| \leq n} c_k e_k$  which converges uniformly to  $f$  for  $|k| \rightarrow \infty$ . Each operator

$$[T_g, T_{f_n}] = \left[ T_g, \sum_{|k| \leq n} c_k T_{e_k} \right] = \sum_{|k| \leq n} c_k [T_g, T_{e_k}] \quad (10)$$

is a finite sum of compact operators, and is thus compact. Since the compact operators form a sub- $C^*$ -algebra, the limit point of this sequence, which is precisely  $[T_g, T_f]$ , will also be compact, and the proof is complete.

The proof for the operator  $T_f T_g - T_g T_f$  carries forward similarly: we let  $f = e_k$ . Note that

$$T_{e_k} T_g = \sum_{m \geq 0} \widehat{e_k g}(m - n) e_m = \frac{1}{\sqrt{2\pi}} \sum_{m \geq 0} \hat{g}(m - n - k) e_m \quad (11)$$

so once again,  $T_f T_g =$

**Part 7.** Recall that an operator  $T$  is Fredholm if we can find another operator  $S \in B(H)$  such that  $1 - ST$  and  $1 - TS$  are both compact. In the case that  $f$  is non-zero, so that  $f^{-1}$  is well-defined, we have  $T_{ff^{-1}} = T_1$ , which is clearly the identity on  $H^2(\mathbb{T})$ . Moreover, from Part 6, we have that

$$T_{ff^{-1}} - T_f T_{f^{-1}} = 1 - T_f T_{f^{-1}} \quad \text{and} \quad T_{f^{-1}f} - T_{f^{-1}} T_f = 1 - T_{f^{-1}} T_f \quad (12)$$

are both compact, so  $T_f$  is automatically Fredholm.

**Part 8.**

**Part 9.**