

Business Case: Capital Asset Summary

Part I: Summary Information and Justification (All Capital Assets)

Section A: Overview & General Information

Date Investment First Submitted: 2009-06-30
Date of Last Change to Activities: 2021-06-28
Investment Auto Submission Date:
Date of Last Investment Detail Update: 2021-04-29
Date of Last Business Case Update: 2021-04-29
Date of Last Revision: 2021-06-28

Agency: 028 - Small Business Administration **Bureau:** 00 - Agency-Wide Activity

1. Name of this Investment: (OCA) Capital Access Financial Systems (CAFS)

2. Unique Investment Identifier (UII): 028-000001004

Section B: Investment Detail

- Provide at least one Agency Strategic objective code ([A-11 Section 230](#)) and/or Agency Priority Goal code ([A-11 Section 250](#)) that this investment aligns to on performance.gov. If this investment aligns to more than one Agency strategic objective code and/or Agency Priority goal code list all that apply. If your agency does not report to performance.gov please use "0". This is required for Agency IT Portfolio Summary Part 1 and Part 2 Investments, not for Part 3 Investments.

Agency Strategic Objective(s):

028SO18186: Expand Access to Capital

028SO18192: Deploy Disaster Assistance Effectively and Efficiently

028SO18193: Ensure Effective and Efficient Management of Agency Resources

Agency Priority Goal(s):

- Briefly describe the investment's return on investment, including benefits internal and external to the government and outcomes achieved or planned.

The investment is a financial services investment supports the goals listed below. SBA Goal 1: Growing businesses and creating jobs. SBA Goal 2: Building a SBA that meets needs of today s and tomorrow s small businesses.

- If this investment will result in the elimination or the reduction of another major or non-major investment(s), please complete the following:

Table I.B.1 Affected Investment Information

Investment UII	To Be Status
NONE	

4. Does the Investment currently include an intra- or inter-Agency shared service (common, shared, or centralized solution)?:

NO

5. Does the Investment plan to include an Intra- or Inter-Agency shared service that it does not currently include (common, shared, or centralized solution)?:

NO

6. If systems contained in this Investment collect data from the public, please identify the OMB Control Numbers which authorize that data collection as per the Paperwork Reduction Act. Use [Reginfo](#) at the following link to identify information collection requests and OMB control numbers. Agencies can work with their Records Officers to determine the applicability.

3245-0062|3245-0071|3245-0084|3245-0348|3245-0352

7. Provide the name of the Investment-level project manager:

Nirish Namilae

8. Select the qualification/experience level of the Investment-level project manager (select one):

4 - Other certification with 4 or more years PM experience (within the last five years)

Section C: Life Cycle Costs

1. Provide the total estimated life cycle costs for the investment in millions. Note: Do not enter information in the grey cells as these will be calculated.

Table I.C.1 Life Cycle Costs				
	PY-1 & Prior	PY 2021	CY 2022	BY 2023
Planning Costs:	0	0	0	0
DME (Excluding Planning) Costs:	\$0.997000	\$0.816520	\$1.206000	\$1.206000
DME (Including Planning) Govt. FTEs:	0	0	\$0.192000	\$0.198000
Sub-Total DME (including Internal Labor (Govt. FTE)):	\$0.997000	\$0.816520	\$1.398000	\$1.404000
O & M Costs:	\$2.990000	\$12.823480	\$3.618000	\$3.618000
O & M Internal Labor (Govt. FTE):	\$0.326000	\$0.448000	\$0.575000	\$0.593000
Sub-Total O & M Costs (Including Internal Labor (Govt. FTE)):	\$3.316000	\$13.271480	\$4.193000	\$4.211000
Total Cost (Including Internal Labor (Govt. FTE)):	\$4.313000	\$14.088000	\$5.591000	\$5.615000
Total Cost Internal Labor (Govt. FTE) costs:	\$0.326000	\$0.448000	\$0.767000	\$0.791000
# of FTE rep by costs:	3	3	5	5
Total change from prior year final President's Budget (\$)		\$9.255000	\$5.591000	
Total change from prior year final President's Budget		291.50%	0.00%	

Table I.C.1 Life Cycle Costs				
	PY-1 & Prior	PY 2021	CY 2022	BY 2023
(%)				

2.
 - a. In which year did or will this investment begin? (specify year - e.g., PY-1= 2019)
2015
 - b. In which year will this investment reach the end of its estimated useful life? (specify year - e.g., FY+5 = 2027)
2026
3. Compare the funding levels for PY and CY to the final FY 2022 President's Budget for those same years. Briefly explain any significant changes. When making comparisons, ensure that you compare same-year-to-same-year (e.g., the FY20 level for 2020 versus the FY21 level for 2020):
The FY 2020 funding reflects steady state funding based on OCFO guidance.

Business Case Detail: Performance Measurement Report

Section A1: General Information

1. **Name of this Investment:** (OCA) CAPITAL ACCESS FINANCIAL SYSTEMS (CAFS)
2. **Unique Investment Identifier (UII):** 028-000001004

Section C1: Projects Table

Projects Table C.1

Unique Project ID	Project Name	Project Goal	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)	Software Project?
2021	Operations FY 2021	The project includes loan program support which includes a 24x7 help desk, loan accounting batch support, and hosting costs.	10/01/2020	09/30/2021	\$1.2	No

Section C2: Project Activities

- Provide all non-agile project activities for projects in Table C.1 that started in a previous FY (PY and earlier) and that have not been completed by the beginning of the CY, as well as activities that are scheduled to start in the current FY and BY.

Project Activity Table C.2.1

Unique Project ID	Activity Name	Activity Description	Structure ID	Planned Start Date	Projected Start Date	Actual Start Date	Planned Completion Date	Projected Completion Date	Actual Completion Date	Planned Total Costs	Projected Total Costs	Actual Total Costs
2021	Operations 2021 1st Half	The operational support for October to March FY 2021	2021.1	2020-10-01	2020-10-01	2020-10-01	2021-03-31	2021-03-31	2021-03-31	0.548000	0.548000	0.548000
2021	Operations 2021 2nd Half	The operations for April to September FY 2021	2021.2	2021-04-01	2021-04-04	2021-04-01	2021-09-30	2021-09-30		0.548000	0.548000	

Section D: Operational Data

- Provide the date and results of the last Operational Analysis (for operational and mixed life cycle systems/Investments):

1. Date of Analysis:

2. Analysis Results:

3. Analysis Conclusion: continue as is

2. Report a minimum of 5 metrics using the following table to provide metrics and actual results for each individual metric:

Metrics Definitions and Actual Results Table D.2 / D.3										
Metric ID	Metric Description	Unit of Measure	Performance Measurement Category Mapping	Agency Baseline Capability	2020 Target	2021 Target	Measurement Condition	Reporting Frequency	Agency Strategic Objective / Agency Priority Goal	Is Metric Retired?
29648	Accurately report the SBA current loan portfolio within a day	Percent	3 - Financial Performance		100.000000	100.000000	Over target	Monthly	028SO18186 : Expand Access to Capital	No

Metric ID	Actual Result ID	Actual Result	Date of Actual Result	Comment
29648	291315	100.000000	08/17/2021	
29648	289195	100.000000	07/15/2021	
29648	286804	100.000000	06/15/2021	
29648	286401	100.000000	05/05/2021	
29648	284076	100.000000	04/10/2021	
29648	281223	100.000000	03/15/2021	
29648	278633	100.000000	02/11/2021	
29648	276399	100.000000	01/19/2021	
29648	275062	100.000000	12/18/2020	

Metrics Definitions and Actual Results Table D.2 / D.3										
Metric ID	Metric Description	Unit of Measure	Performance Measurement Category Mapping	Agency Baseline Capability	2020 Target	2021 Target	Measurement Condition	Reporting Frequency	Agency Strategic Objective / Agency Priority Goal	Is Metric Retired?
					29648	274704	100.000000	11/16/2020		
					29648	272012	100.000000	10/07/2020		
					29648	272011	100.000000	09/10/2020		
					29648	267346	100.000000	08/10/2020		
					29648	267345	100.000000	07/10/2020		
					29648	267344	100.000000	06/10/2020		
					29648	264727	100.000000	05/04/2020		
					29648	264726	100.000000	04/06/2020		
					29648	264725	100.000000	03/04/2020		
					29648	264724	100.000000	02/04/2020		
					29648	264723	100.000000	01/07/2020		
					29648	264722	100.000000	12/06/2019		
					29648	264721	100.000000	11/20/2019		
					29648	264720	100.000000	10/16/2019		
					29648	264719	100.000000	09/06/2019		
					29648	264718	100.000000	08/15/2019		
					29648	264717	100.000000	07/19/2019		

Metrics Definitions and Actual Results Table D.2 / D.3

Metric ID	Metric Description	Unit of Measure	Performance Measurement Category Mapping	Agency Baseline Capability	2020 Target	2021 Target	Measurement Condition	Reporting Frequency	Agency Strategic Objective / Agency Priority Goal	Is Metric Retired?
					29648	264716	100.000000	06/13/2019		
					29648	264715	100.000000	05/14/2019		
					29648	264714	100.000000	04/09/2019		
					29648	264713	100.000000	03/28/2019		
					29648	264712	100.000000	02/21/2019		
					29648	264711	100.000000	01/14/2019		
					29648	264710	100.000000	12/15/2018		
					29648	264709	100.000000	11/15/2018		
					29648	264708	100.000000	10/17/2018		
					29648	264707	100.000000	09/14/2018		
					29648	264706	100.000000	08/15/2018		
					29648	264705	100.000000	07/17/2018		
					29648	264704	100.000000	06/13/2018		
					29648	264703	100.000000	05/11/2018		
					29648	264702	100.000000	04/10/2018		
					29648	264701	100.000000	03/13/2018		
					29648	264700	100.000000	02/16/2018		

Metrics Definitions and Actual Results Table D.2 / D.3

Metric ID	Metric Description	Unit of Measure	Performance Measurement Category Mapping	Agency Baseline Capability	2020 Target	2021 Target	Measurement Condition	Reporting Frequency	Agency Strategic Objective / Agency Priority Goal	Is Metric Retired?
					29648	264699	100.000000	01/17/2018		
					29648	264698	100.000000	12/06/2017		
					29648	264697	100.000000	11/16/2017		
					29648	264696	100.000000	09/15/2017		
					29648	264695	100.000000	10/16/2017		
29647	Systems Connectivity to external partners	Percent	2 - Strategic and Business Results		100.000000	100.000000	Over target	Monthly	028SO18193 : Ensure Effective and Efficient Management of Agency Resources	No
					Metric ID	Actual Result ID	Actual Result	Date of Actual Result	Comment	
					29647	291316	100.000000	08/17/2021		
					29647	289196	100.000000	07/15/2021		
					29647	286805	100.000000	06/15/2021		
					29647	286402	100.000000	05/05/2021		
					29647	284077	100.000000	04/10/2021		
					29647	281224	100.000000	03/15/2021		
					29647	278634	100.000000	02/11/2021		
					29647	276400	100.000000	01/19/2021		

Metrics Definitions and Actual Results Table D.2 / D.3

Metric ID	Metric Description	Unit of Measure	Performance Measurement Category Mapping	Agency Baseline Capability	2020 Target	2021 Target	Measurement Condition	Reporting Frequency	Agency Strategic Objective / Agency Priority Goal	Is Metric Retired?
					29647	275063	100.000000	12/18/2020		
					29647	274705	100.000000	11/16/2020		
					29647	272014	100.000000	10/07/2020		
29646	Systems availability to LAS users not including scheduled maintenance	Percent	2 - Strategic and Business Results		100.000000	100.000000	Over target	Monthly	028SO18193 : Ensure Effective and Efficient Management of Agency Resources	No
Metric ID	Actual Result ID	Actual Result	Date of Actual Result	Comment						
29646	291317	100.000000	08/17/2021							
29646	289197	100.000000	07/15/2021							
29646	286806	100.000000	06/15/2021							
29646	286403	100.000000	05/05/2021							
29646	284078	100.000000	04/15/2021							
29646	281225	100.000000	03/15/2021							
29646	278635	100.000000	02/11/2021							
29646	276401	100.000000	01/19/2021							
29646	275064	100.000000	12/18/2020							
29646	274706	100.000000	11/16/2020							

Metrics Definitions and Actual Results Table D.2 / D.3

Metric ID	Metric Description	Unit of Measure	Performance Measurement Category Mapping	Agency Baseline Capability	2020 Target	2021 Target	Measurement Condition	Reporting Frequency	Agency Strategic Objective / Agency Priority Goal	Is Metric Retired?
					29646	272016	100.000000	10/07/2020		
29645	LAS nightly Updates completed by 9:00 AM	Percent	1 - Customer Satisfaction (Process Results)		100.000000	100.000000	Over target	Monthly	028SO18193 : Ensure Effective and Efficient Management of Agency Resources	No
Metric ID	Actual Result ID	Actual Result	Date of Actual Result	Comment						
29645	291318	100.000000	08/17/2021							
29645	289198	100.000000	07/15/2021							
29645	286807	100.000000	06/15/2021							
29645	286404	100.000000	05/05/2021							
29645	284079	100.000000	04/10/2021							
29645	281226	100.000000	03/15/2021							
29645	278636	100.000000	02/11/2021							
29645	276402	100.000000	01/19/2021							
29645	275065	100.000000	12/18/2020							
29645	274707	100.000000	11/16/2020							
29645	272018	100.000000	10/07/2020							
29644	Systems Connectivity to	Percent	2 - Strategic and Business Results		100.000000	100.000000	Over target	Monthly	028SO18193 : Ensure Effective	No

Metrics Definitions and Actual Results Table D.2 / D.3

Metric ID	Metric Description	Unit of Measure	Performance Measurement Category Mapping	Agency Baseline Capability	2020 Target	2021 Target	Measurement Condition	Reporting Frequency	Agency Strategic Objective / Agency Priority Goal	Is Metric Retired?
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internal partners

and Efficient
Management of
Agency
Resources

Metric ID	Actual Result ID	Actual Result	Date of Actual Result	Comment
29644	291319	100.000000	08/17/2021	
29644	289199	100.000000	07/15/2021	
29644	286808	100.000000	06/15/2021	
29644	286405	100.000000	05/05/2021	
29644	284080	100.000000	04/10/2021	
29644	281227	100.000000	03/15/2021	
29644	278637	100.000000	02/11/2021	
29644	276403	100.000000	01/19/2021	
29644	275066	100.000000	12/18/2020	
29644	274708	100.000000	11/16/2020	
29644	272020	100.000000	10/07/2020	