

## Business Case: Capital Asset Summary

### Part I: Summary Information and Justification (All Capital Assets)

#### Section A: Overview & General Information

**Date Investment First Submitted:** 2013-09-12  
**Date of Last Change to Activities:** 2021-07-23  
**Investment Auto Submission Date:**  
**Date of Last Investment Detail Update:** 2020-09-25  
**Date of Last Business Case Update:** 2021-01-27  
**Date of Last Revision:** 2021-07-23

**Agency:** 028 - Small Business Administration      **Bureau:** 00 - Agency-Wide Activity

**1. Name of this Investment:** (OCFO) Financial Systems

**2. Unique Investment Identifier (UII):** 028-000001005

#### Section B: Investment Detail

- Provide at least one Agency Strategic objective code ([A-11 Section 230](#)) and/or Agency Priority Goal code ([A-11 Section 250](#)) that this investment aligns to on performance.gov. If this investment aligns to more than one Agency strategic objective code and/or Agency Priority goal code list all that apply. If your agency does not report to performance.gov please use "0". This is required for Agency IT Portfolio Summary Part 1 and Part 2 Investments, not for Part 3 Investments.

Agency Strategic Objective(s):

028SO18193: Ensure Effective and Efficient Management of Agency Resources

Agency Priority Goal(s):

- Briefly describe the investment's return on investment, including benefits internal and external to the government and outcomes achieved or planned.

This investment is for agency's system of record for funding, expenditure of funds, consolidated general ledger and financial reporting. It allows the agency to report consistently and remain in compliance with laws and regulations. The investment also helps to prevent from the agency becoming anti deficient.

- If this investment will result in the elimination or the reduction of another major or non-major investment(s), please complete the following:

Table I.B.1 Affected Investment Information

Investment UII	To Be Status
NONE	

4. Does the Investment currently include an intra- or inter-Agency shared service (common, shared, or centralized solution)?:

NO

5. Does the Investment plan to include an Intra- or Inter-Agency shared service that it does not currently include (common, shared, or centralized solution)?:

NO

6. If systems contained in this Investment collect data from the public, please identify the OMB Control Numbers which authorize that data collection as per the Paperwork Reduction Act. Use [Reginfo](#) at the following link to identify information collection requests and OMB control numbers. Agencies can work with their Records Officers to determine the applicability.

7. Provide the name of the Investment-level project manager:

Tony Paul

8. Select the qualification/experience level of the Investment-level project manager (select one):

2 - FAC-P/PM(DAWIA-2)- Mid-Level

## Section C: Life Cycle Costs

1. Provide the total estimated life cycle costs for the investment in millions. Note: Do not enter information in the grey cells as these will be calculated.

Table I.C.1 Life Cycle Costs				
	PY-1 & Prior	PY 2021	CY 2022	BY 2023
Planning Costs:	0	0	0	0
DME (Excluding Planning) Costs:	\$0.525000	\$2.488361	0	0
DME (Including Planning) Govt. FTEs:	\$0.287773	\$0.149130	\$0.153359	\$0.158235
Sub-Total DME (including Internal Labor (Govt. FTE)):	\$0.812773	\$2.637491	\$0.153359	\$0.158235
O & M Costs:	\$5.783068	\$5.701651	\$5.681983	\$5.897615
O & M Internal Labor (Govt. FTE):	\$1.661647	\$1.256142	\$1.222938	\$1.261823
Sub-Total O & M Costs (Including Internal Labor (Govt. FTE)):	\$7.444715	\$6.957793	\$6.904921	\$7.159438
Total Cost (Including Internal Labor (Govt. FTE)):	\$8.257488	\$9.595284	\$7.058280	\$7.317673
Total Cost Internal Labor (Govt. FTE) costs:	\$1.949420	\$1.405272	\$1.376297	\$1.420058
# of FTE rep by costs:	10	10	10	10
Total change from prior year final President's Budget (\$)		\$9.595284	\$7.058280	
Total change from prior year final President's Budget		0.00%	0.00%	

Table I.C.1 Life Cycle Costs

	PY-1 & Prior	PY 2021	CY 2022	BY 2023
(%)				

2.
  - a. In which year did or will this investment begin? (specify year - e.g., PY-1= 2019)  
2014
  - b. In which year will this investment reach the end of its estimated useful life? (specify year - e.g., FY+5 = 2027)  
2030
3. Compare the funding levels for PY and CY to the final FY 2022 President's Budget for those same years. Briefly explain any significant changes. When making comparisons, ensure that you compare same-year-to-same-year (e.g., the FY20 level for 2020 versus the FY21 level for 2020):  
Investment inline with President's Budget

## Business Case Detail: Performance Measurement Report

### Section A1: General Information

1. **Name of this Investment:** (OCFO) FINANCIAL SYSTEMS
2. **Unique Investment Identifier (UII):** 028-000001005

## Section C1: Projects Table

Projects Table C.1

Unique Project ID	Project Name	Project Goal	Project Start Date	Project Completion Date	Project Lifecycle Cost (\$M)	Software Project?
FMS1	FMS Enhancements	Incremental upgrades to FMS system.	06/01/2018	06/30/2019	\$1.0	No
MONGOOSE1	Workforce Planning	Build capability for OCFO project S and E for program offices	10/14/2019	04/01/2020	\$0.2	Yes
FS1	19c Upgrade	O&M project to upgrade Oracle databases to 19c	10/01/2020	03/31/2021	\$0.3	No
EBS12210	EBS 12.2.10 upgrade	Upgrade JAAMS to EBS 12.2.10 for preparation of G-invoicing project and other features	05/03/2021	07/19/2021	\$0.0	No

## Section C2: Project Activities

1. Provide all non-agile project activities for projects in Table C.1 that started in a previous FY (PY and earlier) and that have not been completed by the beginning of the CY, as well as activities that are scheduled to start in the current FY and BY.

Project Activity Table C.2.1

Unique Project ID	Activity Name	Activity Description	Structure ID	Planned Start Date	Projected Start Date	Actual Start Date	Planned Completion Date	Projected Completion Date	Actual Completion Date	Planned Total Costs	Projected Total Costs	Actual Total Costs
FMS1	Framework	Create design, framework as well as enhancements to account servicing modules.	FMS1.1	2018-06-01	2018-06-01	2018-06-01	2018-09-30	2018-09-30	2018-09-30	0.441000	0.441000	0.441000
FMS1	FRIS-Block Processing	Enhancements for FRIS, Block Processing modules	FMS1.2	2018-10-01	2018-10-01	2018-10-01	2019-02-28	2019-02-28	2019-02-28	0.290000	0.290000	0.290000
FMS1	FRIS Block Processing	Enhancements for FRIS Block	FMS1.3	2019-03-01	2019-03-01	2019-03-01	2019-06-30	2019-06-30	2019-06-30	0.290000	0.290000	0.290000

Project Activity Table C.2.1

Unique Project ID	Activity Name	Activity Description	Structure ID	Planned Start Date	Projected Start Date	Actual Start Date	Planned Completion Date	Projected Completion Date	Actual Completion Date	Planned Total Costs	Projected Total Costs	Actual Total Costs
		Processing modules continued										
MONGOOSE1	Define	Identify requirements from HR and Budget office and build prototype	MONGOOSE1.4	2019-10-14	2019-10-14	2019-10-14	2020-01-13	2020-01-13	2020-01-13	0.110000	0.110000	0.110000
MONGOOSE1	Build	Build, Test and Implement workforce planning enhancements	MONGOOSE1.5	2020-01-14	2020-01-14	2020-01-14	2020-04-01	2020-04-01	2020-04-13	0.110000	0.110000	0.110000
FS1	FMS Database	Test and implement 19c upgrade for FMS	FS1.1	2020-10-01	2020-10-01	2020-10-01	2020-12-27	2020-12-27	2020-12-20	0.135000	0.135000	0.135000
FS1	JAAMS Database	Test and implement JAAMS 19c upgrades	FS1.2	2021-01-04	2021-01-04	2021-01-04	2021-03-31	2021-03-31	2021-03-31	0.135000	0.135000	0.135000
EBS12210	JAAMS Upgrade	Evaluate the EBS 12.2.10 release for compatibility with JAAMS processes and validate release.	EBS12210.1	2021-05-03	2021-05-03	2021-05-03	2021-06-14	2021-06-14	2021-06-14	0.023000	0.023000	0.023000
EBS12210	12.2.10 Testing	Testing Release 12.2.10	EBS12210.2	2021-06-16	2021-06-16	2021-06-16	2021-07-11	2021-07-11	2021-07-11	0.011000	0.011000	0.011000

## Section D: Operational Data

1. Provide the date and results of the last Operational Analysis (for operational and mixed life cycle systems/Investments):

1. **Date of Analysis:**
2. **Analysis Results:**
3. **Analysis Conclusion:** continue as is

2. **Report a minimum of 5 metrics using the following table to provide metrics and actual results for each individual metric:**

Metrics Definitions and Actual Results Table D.2 / D.3										
Metric ID	Metric Description	Unit of Measure	Performance Measurement Category Mapping	Agency Baseline Capability	2020 Target	2021 Target	Measurement Condition	Reporting Frequency	Agency Strategic Objective / Agency Priority Goal	Is Metric Retired?
29627	Acceptance of Data Act Transactions	Percent	2 - Strategic and Business Results	0.000000	99.000000	99.000000	Over target	Quarterly	028SO18193 : Ensure Effective and Efficient Management of Agency Resources	No
Metric ID	Actual Result ID	Actual Result	Date of Actual Result	Comment						
29627	289200	99.990000	07/20/2021							
29627	284086	99.990000	04/26/2021							
29627	278623	99.980000	01/28/2021							
29627	272221	99.970000	10/26/2020							
29627	267444	99.990000	07/31/2020							
29627	264378	99.980000	04/30/2020							
29627	264377	99.990000	01/30/2020							



Metrics Definitions and Actual Results Table D.2 / D.3										
Metric ID	Metric Description	Unit of Measure	Performance Measurement Category Mapping	Agency Baseline Capability	2020 Target	2021 Target	Measurement Condition	Reporting Frequency	Agency Strategic Objective / Agency Priority Goal	Is Metric Retired?
					29627	264376	99.980000	10/30/2019		
					29627	264375	99.970000	07/30/2019		
					29627	264374	99.970000	04/17/2019		
					29627	264373	99.990000	01/31/2019		
					29627	264372	99.990000	10/24/2018		
29626	System Availability of 99.5% or more to administrative accounting system users	Percentage	2 - Strategic and Business Results	99.500000	99.500000	99.500000	Over target	Monthly	028SO18193 : Ensure Effective and Efficient Management of Agency Resources	No
					Metric ID	Actual Result ID	Actual Result	Date of Actual Result	Comment	
					29626	289201	99.600000	06/29/2021		
					29626	286816	99.700000	05/31/2021		
					29626	286398	99.500000	04/26/2021		
					29626	284087	99.600000	03/30/2021		
					29626	281220	99.800000	02/26/2021		
					29626	278624	99.900000	01/28/2021		
					29626	276404	99.800000	12/28/2020		
					29626	274569	99.900000	11/25/2020		

Metrics Definitions and Actual Results Table D.2 / D.3

Metric ID	Metric Description	Unit of Measure	Performance Measurement Category Mapping	Agency Baseline Capability	2020 Target	2021 Target	Measurement Condition	Reporting Frequency	Agency Strategic Objective / Agency Priority Goal	Is Metric Retired?
					29626	272223	99.900000	10/26/2020		
					29626	272222	99.800000	09/28/2020		
					29626	267446	99.500000	08/31/2020		
					29626	267445	99.900000	07/30/2020		
					29626	267341	99.800000	06/29/2020		
					29626	264371	99.600000	05/29/2020		
					29626	264370	99.900000	04/30/2020		
					29626	264369	99.900000	03/27/2020		
					29626	264368	99.900000	02/28/2020		
					29626	264367	99.900000	01/31/2020		
					29626	264366	99.700000	12/30/2019		
					29626	264365	99.800000	11/29/2019		
					29626	264364	99.900000	10/30/2019		
					29626	264363	99.800000	09/30/2019		
					29626	264362	99.900000	08/30/2019		
					29626	264361	99.900000	07/30/2019		
					29626	264360	99.500000	06/28/2019		

Metrics Definitions and Actual Results Table D.2 / D.3										
Metric ID	Metric Description	Unit of Measure	Performance Measurement Category Mapping	Agency Baseline Capability	2020 Target	2021 Target	Measurement Condition	Reporting Frequency	Agency Strategic Objective / Agency Priority Goal	Is Metric Retired?
					29626	264359	99.800000	05/30/2019		
					29626	264358	99.900000	04/29/2019		
					29626	264357	99.500000	03/29/2019		
					29626	264356	99.500000	02/27/2019		
					29626	264355	100.000000	01/31/2019		
					29626	264354	99.900000	12/21/2018		
					29626	264353	99.900000	11/28/2018		
					29626	264352	100.000000	10/24/2018		
					29626	264351	99.800000	09/30/2018		
					29626	264350	99.800000	08/30/2018		
					29626	264349	99.900000	07/25/2018		
					29626	264348	99.800000	06/27/2018		
					29626	264347	99.900000	05/31/2018		
					29626	264346	99.800000	04/26/2018		
					29626	264345	99.600000	03/22/2018		
					29626	264344	99.800000	02/21/2018		
					29626	264343	99.500000	01/19/2018		

Metrics Definitions and Actual Results Table D.2 / D.3

Metric ID	Metric Description	Unit of Measure	Performance Measurement Category Mapping	Agency Baseline Capability	2020 Target	2021 Target	Measurement Condition	Reporting Frequency	Agency Strategic Objective / Agency Priority Goal	Is Metric Retired?
29625	Customer Satisfaction for Financial Systems	Rating	1 - Customer Satisfaction (Process Results)	0.000000	3.000000	3.000000	Over target	Annual	028SO18193 : Ensure Effective and Efficient Management of Agency Resources	No
Metric ID	Actual Result ID	Actual Result	Date of Actual Result	Comment						
29625	272224	4.700000	10/26/2020							
29625	264342	4.700000	10/30/2019							
29624	Electronic Invoicing via IPP for all new contracts	Percentage	2 - Strategic and Business Results	0.000000	99.000000	99.000000	Over target	Annual		No
Metric ID	Actual Result ID	Actual Result	Date of Actual Result	Comment						
29624	278625	100.000000	01/11/2021							
29623	Monthly Agency Reconciliation of Fund Balance with Treasury	Percent	2 - Strategic and Business Results	100.000000	100.000000	100.000000	Over target	Monthly	028SO18193 : Ensure Effective and Efficient Management of Agency Resources	No
Metric ID	Actual Result ID	Actual Result	Date of Actual Result	Comment						
29623	289202	100.000000	06/29/2021							
29623	286817	100.000000	05/31/2021							
29623	286399	100.000000	04/26/2021							
29623	284088	100.000000	03/30/2021							

Metrics Definitions and Actual Results Table D.2 / D.3

Metric ID	Metric Description	Unit of Measure	Performance Measurement Category Mapping	Agency Baseline Capability	2020 Target	2021 Target	Measurement Condition	Reporting Frequency	Agency Strategic Objective / Agency Priority Goal	Is Metric Retired?
					29623	281221	100.000000	02/26/2021		
					29623	278626	100.000000	01/28/2021		
					29623	276405	100.000000	12/28/2020		
					29623	274570	100.000000	11/25/2020		
					29623	272226	100.000000	10/26/2020		
					29623	272225	100.000000	09/28/2020		
					29623	267448	100.000000	08/31/2020		
					29623	267447	100.000000	07/30/2020		
					29623	267342	100.000000	06/29/2020		
					29623	264341	100.000000	05/29/2020		
					29623	264340	100.000000	04/30/2020		
					29623	264339	100.000000	03/27/2020		
					29623	264338	100.000000	02/28/2020		
					29623	264337	100.000000	01/30/2020		
					29623	264336	100.000000	12/30/2019		
					29623	264335	100.000000	11/29/2019		
					29623	264334	100.000000	10/30/2019		

Metrics Definitions and Actual Results Table D.2 / D.3

Metric ID	Metric Description	Unit of Measure	Performance Measurement Category Mapping	Agency Baseline Capability	2020 Target	2021 Target	Measurement Condition	Reporting Frequency	Agency Strategic Objective / Agency Priority Goal	Is Metric Retired?
					29623	264333	100.000000	09/23/2019		
					29623	264332	100.000000	08/30/2019		
					29623	264331	100.000000	07/30/2019		
					29623	264330	100.000000	06/28/2019		
					29623	264329	100.000000	05/30/2019		
					29623	264328	100.000000	04/29/2019		
					29623	264327	100.000000	03/29/2019		
					29623	264326	100.000000	02/27/2019		
					29623	264325	100.000000	01/31/2019		
					29623	264324	100.000000	12/21/2018		
					29623	264323	100.000000	11/28/2018		
					29623	264322	100.000000	10/24/2018		
					29623	264321	100.000000	09/30/2018		
					29623	264320	100.000000	08/30/2018		
29622	Monthly GTAS submissions to Treasury	Percent	2 - Strategic and Business Results	100.000000	100.000000	100.000000	Over target	Monthly	028SO18193 : Ensure Effective and Efficient Management of Agency	No

Metrics Definitions and Actual Results Table D.2 / D.3										
Metric ID	Metric Description	Unit of Measure	Performance Measurement Category Mapping	Agency Baseline Capability	2020 Target	2021 Target	Measurement Condition	Reporting Frequency	Agency Strategic Objective / Agency Priority Goal	Is Metric Retired?
									Resources	
					Metric ID	Actual Result ID	Actual Result	Date of Actual Result	Comment	
					29622	289203	100.000000	06/29/2021		
					29622	286818	100.000000	05/31/2021		
					29622	286400	100.000000	04/26/2021		
					29622	284089	100.000000	03/30/2021		
					29622	281222	100.000000	02/26/2021		
					29622	278627	100.000000	01/28/2021		
					29622	276406	100.000000	12/28/2020		
					29622	274571	100.000000	11/25/2020		
					29622	272228	100.000000	10/26/2020		
					29622	272227	100.000000	09/28/2020		
					29622	267450	100.000000	08/31/2020		
					29622	267449	100.000000	07/30/2020		
					29622	267343	100.000000	06/29/2020		
					29622	264319	100.000000	05/29/2020		
					29622	264318	100.000000	04/30/2020		

Metrics Definitions and Actual Results Table D.2 / D.3

Metric ID	Metric Description	Unit of Measure	Performance Measurement Category Mapping	Agency Baseline Capability	2020 Target	2021 Target	Measurement Condition	Reporting Frequency	Agency Strategic Objective / Agency Priority Goal	Is Metric Retired?
					29622	264317	100.000000	03/27/2020		
					29622	264316	100.000000	02/28/2020		
					29622	264315	100.000000	01/30/2020		
					29622	264314	100.000000	12/30/2019		
					29622	264313	100.000000	11/29/2019		
					29622	264312	100.000000	10/30/2019		
					29622	264311	100.000000	09/23/2019		
					29622	264310	100.000000	08/30/2019		
					29622	264309	100.000000	07/30/2019		
					29622	264308	100.000000	06/28/2019		
					29622	264307	100.000000	05/30/2019		
					29622	264306	100.000000	04/29/2019		
					29622	264305	100.000000	03/29/2019		
					29622	264304	100.000000	02/27/2019		
					29622	264303	100.000000	01/31/2019		
					29622	264302	100.000000	12/21/2018		
					29622	264301	100.000000	11/28/2018		



Metrics Definitions and Actual Results Table D.2 / D.3

Metric ID	Metric Description	Unit of Measure	Performance Measurement Category Mapping	Agency Baseline Capability	2020 Target	2021 Target	Measurement Condition	Reporting Frequency	Agency Strategic Objective / Agency Priority Goal	Is Metric Retired?
					29622	264300	100.000000	10/24/2018		
					29622	264299	100.000000	09/30/2018		
					29622	264298	100.000000	08/30/2018		