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CHAPTER 1

Foundations

Basic Category Theory

Categories. We use the first order theory of Neumann-Bernays-Gödel (BNG) as described in [Men15, p. 231].

Definition 1.1 (Category). A *category* \mathcal{C} consists of

- A class $\text{ob}(\mathcal{C})$, called the *objects of* \mathcal{C} .
- A class $\text{mor}(\mathcal{C})$, called the *morphisms of* \mathcal{C} .
- Two functions $\text{dom} : \text{mor}(\mathcal{C}) \rightarrow \text{ob}(\mathcal{C})$ and $\text{cod} : \text{mor}(\mathcal{C}) \rightarrow \text{ob}(\mathcal{C})$, which assign to each morphism f in \mathcal{C} its **domain** and **codomain**, respectively.
- For each $X \in \text{ob}(\mathcal{C})$ a function $\text{ob}(\mathcal{C}) \rightarrow \text{mor}(\mathcal{C})$ which assigns a morphism id_X such that $\text{dom id}_X = \text{cod id}_X = X$.
- A function

$$\circ : \{(g, f) \in \text{mor}(\mathcal{C}) \times \text{mor}(\mathcal{C}) : \text{dom } g = \text{cod } f\} \rightarrow \text{mor}(\mathcal{C}) \quad (1)$$

mapping (g, f) to $g \circ f$, called **composition**, such that $\text{dom}(g \circ f) = \text{dom } f$ and $\text{cod}(g \circ f) = \text{cod } g$.

Subject to the following axioms:

- **(Associativity Axiom)** For all $f, g, h \in \text{mor}(\mathcal{C})$ with $\text{dom } h = \text{cod } g$ and $\text{dom } g = \text{cod } f$, we have that

$$(h \circ g) \circ f = h \circ (g \circ f). \quad (2)$$

- **(Unit Axiom)** For all $f \in \text{mor}(\mathcal{C})$ with $\text{dom } f = X$ and $\text{cod } f = Y$ we have that

$$f = f \circ \text{id}_X = \text{id}_Y \circ f. \quad (3)$$

Remark 1.1. Let \mathcal{C} be a category. For $X, Y \in \text{ob}(\mathcal{C})$ we will abbreviate

$$\mathcal{C}(X, Y) := \{f \in \text{mor}(\mathcal{C}) : \text{dom } f = X \text{ and } \text{cod } f = Y\}.$$

Moreover, $f \in \mathcal{C}(X, Y)$ is depicted as

$$f : X \rightarrow Y. \quad (4)$$

Example 1.1. Let $*$ be a single, not nearer specified object. Consider as morphisms the class of all cardinal numbers and as composition cardinal addition. By [Hal12, pp. 112–113], cardinal addition is associative and \emptyset serves for the identity id_* .

Definition 1.2 (Locally Small, Hom-Set). A category \mathcal{C} is said to be **locally small** if for all $X, Y \in \mathcal{C}$, $\mathcal{C}(X, Y)$ is a set. If \mathcal{C} is locally small, $\mathcal{C}(X, Y)$ is called a **hom-set** for all $X, Y \in \mathcal{C}$.

Definition 1.3 (Isomorphism). Let \mathcal{C} be a category. An **isomorphism in \mathcal{C}** is a morphism $f : X \rightarrow Y$ in \mathcal{C} , such that there exists a morphism $g : Y \rightarrow X$ in \mathcal{C} with

$$g \circ f = \text{id}_X \quad \text{and} \quad f \circ g = \text{id}_Y.$$

In algebraic topology, there is a very useful construction on categories.

Definition 1.4 (Congruence). Let \mathcal{C} be a category. A **congruence on \mathcal{C}** is an equivalence relation \sim on $\text{mor}(\mathcal{C})$ such that

- (a) If $f \in \mathcal{C}(X, Y)$ and $f \sim g$, then $g \in \mathcal{C}(X, Y)$.
- (b) If $f_0 : X \rightarrow Y$ and $g_0 : Y \rightarrow Z$ such that $f_0 \sim f_1$ and $g_0 \sim g_1$, then $g_0 \circ f_0 \sim g_1 \circ f_1$.

Exercise 1.1. Let \mathcal{C} be a category. Show that for any congruence on \mathcal{C} , there exists a category \mathcal{C}' , called **quotient category**, with $\text{ob}(\mathcal{C}') = \text{ob}(\mathcal{C})$, for any objects $X, Y \in \mathcal{C}'$

$$\mathcal{C}'(X, Y) = \{[f] : f \in \mathcal{C}(X, Y)\},$$

and pointwise composition.

Functors.

Definition 1.5 (Functor). Let \mathcal{C} and \mathcal{D} be categories. A **functor $F : \mathcal{C} \rightarrow \mathcal{D}$** is a pair of functions (F_1, F_2) , $F_1 : \text{ob}(\mathcal{C}) \rightarrow \text{ob}(\mathcal{D})$, called the **object function** and $F_2 : \text{mor}(\mathcal{C}) \rightarrow \text{mor}(\mathcal{D})$, called the **morphism function**, such that for every morphism $f : X \rightarrow Y$ we have that $F_2(f) : F_1(X) \rightarrow F_1(Y)$ and (F_1, F_2) is subject to the following **compatibility conditions**:

- For all $X \in \text{ob}(\mathcal{C})$, $F_2(\text{id}_X) = \text{id}_{F_1(X)}$.
- For all $f \in \mathcal{C}(X, Y)$ and $g \in \mathcal{C}(Y, Z)$ we have that $F_2(g \circ f) = F_2(g) \circ F_2(f)$.

Remark 1.2. Let $F : \mathcal{C} \rightarrow \mathcal{D}$ be a functor. It is convenient to denote the components F_1 and F_2 also with F .

Subcategories.

Definition 1.6 (Subcategory). Let \mathcal{C} be a category. A **subcategory \mathcal{S} of \mathcal{C}** consists of

- A subclass $\text{ob}(\mathcal{S}) \subseteq \text{ob}(\mathcal{C})$.
- A subclass $\text{mor}(\mathcal{S}) \subseteq \text{mor}(\mathcal{C})$.

Subject to the following conditions:

- For all $X \in \mathcal{S}$, $\text{id}_X \in \text{mor}(\mathcal{S})$.

Example 1.2 (Top²). Define the objects of Top^2 to be the class of tuple (X, A) , where $X \in \text{ob}(\text{Top})$ and A is a subspace of X . Moreover, given objects (X, A) and (Y, B) in

Top^2 , a morphism between (X, A) and (Y, B) is a tuple (f, g) , where $f \in \text{Top}(X, Y)$ and $g \in \text{Top}(A, B)$, such that

$$\begin{array}{ccc} A & \hookrightarrow & X \\ g \downarrow & & \downarrow f \\ B & \hookrightarrow & Y \end{array}$$

commutes.

Example 1.3 (Top_*). Define the objects of Top_* to be the class of all tuple (X, p) , where X is a topological space and $p \in X$. Moreover, given objects (X, p) and (Y, q) in Top_* , define $\text{Top}_*((X, p), (Y, q)) := \{f \in \text{Top}(X, Y) : f(p) = q\}$. It is easy to check that Top_* is a category, called the *category of pointed topological spaces*.

Limits.

Definition 1.7 (Diagram). Let \mathcal{C} be a category and \mathbf{A} a small category. A functor $\mathbf{A} \rightarrow \mathcal{C}$ is called a *diagram in \mathcal{C} of shape \mathbf{A}* .

Definition 1.8 (Cone and Limit). Let \mathcal{C} be a category and $D : \mathbf{A} \rightarrow \mathcal{C}$ a diagram in \mathcal{C} of shape \mathbf{A} . A *cone on D* is a tuple $(C, (f_\alpha)_{\alpha \in \mathbf{A}})$, where $C \in \mathcal{C}$ is an object, called the *vertex* of the cone, and a family of arrows in \mathcal{C}

$$(C \xrightarrow{f_\alpha} D(\alpha))_{\alpha \in \mathbf{A}}. \quad (5)$$

such that for all morphisms $f \in \mathbf{A}$, $f : \alpha \rightarrow \beta$, the triangle

$$\begin{array}{ccc} & D(\alpha) & \\ f_\alpha \nearrow & \downarrow D(f) & \\ C & & \\ f_\beta \searrow & \downarrow & \\ & D(\beta) & \end{array}$$

commutes. A (*small*) *limit of D* is a cone $(L, (\pi_\alpha)_{\alpha \in \mathbf{A}})$ with the property that for any other cone $(C, (f_\alpha)_{\alpha \in \mathbf{A}})$ there exists a unique morphism $\bar{f} : C \rightarrow L$ such that $\pi_\alpha \circ \bar{f} = f_\alpha$ holds for every $\alpha \in \mathbf{A}$.

Remark 1.3. In the setting of definition 1.8, if $(L, (\pi_\alpha)_{\alpha \in \mathbf{A}})$ is a limit of D , we sometimes referring to L only as the limit of D and we write

$$L = \lim_{\leftarrow \mathbf{A}} D. \quad (6)$$

Filtered Colimits.

Definition 1.9 (Filtered Category). A category \mathcal{J} is **filtered**, if \mathcal{J} is not empty and

- (a) To any two objects j and j' in \mathcal{J} there exists $k \in \text{ob}(\mathcal{J})$ and morphisms $j \rightarrow k$ and $j' \rightarrow k$.
- (b) To any two parallel arrows $u, v : i \rightarrow j$ in \mathcal{J} , there exists $k \in \text{ob}(\mathcal{J})$ and a morphism $w : j \rightarrow k$ in \mathcal{J} , such that $w \circ u = w \circ v$.

Definition 1.10 (Filtered Colimit). Let \mathcal{C} be a category. A **filtered diagram in \mathcal{C} of shape \mathcal{J}** is a diagram in \mathcal{C} of shape \mathcal{J} , where \mathcal{J} is a small filtered category. A **filtered colimit of D** is a colimit of a filtered diagram D in \mathcal{C} , written $\varinjlim D$.

Proposition 1.1. In **Set**, all filtered limits exist.

Proof. Let $D : \mathcal{J} \rightarrow \text{Set}$ be a filtered diagram. Define

$$X := \coprod_{j \in \text{ob}(\mathcal{J})} D(j),$$

and define a relation \sim on X by

$$x \in D(j) \sim y \in D(j') :\Leftrightarrow \exists f : j \rightarrow k, g : j' \rightarrow k \text{ such that } D(f)(x) = D(g)(y).$$

Then it is easy to check that \sim is an equivalence relation and that $\varinjlim D \cong X/\sim$. \square

Proposition 1.2. In **Top**, all filtered colimits exist.

Proof. Let $D : \mathcal{J} \rightarrow \text{Top}$ be a filtered diagram. If $U : \text{Top} \rightarrow \text{Set}$ denotes the forgetful functor, $U \circ D$ is a filtered diagram in **Set**. Hence using proposition 1.1, we know that $\varinjlim(U \circ D)$ exists. Hence we get a limiting cocone $(\varinjlim(U \circ D), (q_j)_{j \in \text{ob}(\mathcal{J})})$ in **Set**. Define a topology $\varinjlim(U \circ D)$ by letting $U \subseteq \varinjlim(U \circ D)$ to be open if and only if $q_j^{-1}(U)$ open in $U(D(j))$ for all $j \in \text{ob}(\mathcal{J})$. Then it is easy to check that we have a limiting cocone in **Top**. \square

Definition 1.11 (Preorder). Let P be a set. A **preorder on P** is a reflexive and transitive binary relation \preceq on P . A set equipped with a preorder is called a **preordered set**.

Definition 1.12 (Directed Preorder). A preorder \preceq on a set P is said to be **directed** if for every two elements $p, q \in P$ there exists $r \in P$, such that $p \preceq r$ and $q \preceq r$ holds. A set equipped with a directed preorder is called a **directed set**.

Lemma 1.1. Let (P, \preceq) be a directed set. Define

- $\text{ob}(\mathcal{J}(P, \preceq)) := P$.
- For $p, q \in P$, if $p \not\preceq q$ let $\mathcal{J}(P, \preceq)(p, q) := \emptyset$ and if $p \preceq q$ let $\mathcal{J}(P, \preceq)(p, q)$ be the unique arrow $p \rightarrow q$.
- For $p, q, r \in P$, let $q \rightarrow r \circ p \rightarrow q := p \rightarrow r$.

Then $\mathcal{J}(P, \preceq)$ is a filtered category.

Exercise 1.2. Prove lemma 1.1.

Definition 1.13 (Sequential Colimit). A *sequential colimit of D* is a colimit of a diagram D of shape (ω, \leq) . We will write $\varinjlim_n D(n)$ for a sequential colimit of D .

Basic Algebra

The Isomorphism Theorems.

Basic Point-Set Topology

The Lebesgue Number Lemma.

Definition 1.14 (Lebesgue Number). Let (M, d) be a metric space with an open cover $(U_\alpha)_{\alpha \in A}$. A number $\delta > 0$ is called a **Lebesgue number** for the cover, if every subset of M whose diameter is less than δ is contained in U_α for some $\alpha \in A$.

Lemma 1.2 (Lebesgue Number Lemma). Every open cover of a compact metric space admits a Lebesgue number.

The Closed Map Lemma.

Lemma 1.3 (Closed Map Lemma). Let $X, Y \in \text{ob}(\text{Top})$ such that X is compact and Y is Hausdorff, and $f \in \text{Top}(X, Y)$. Then:

- (a) f is a closed map.
- (b) If f is injective, it is a topological embedding.
- (c) If f is surjective, it is a quotient map.
- (d) If f is bijective, it is a homeomorphism.

Homological Algebra

Diagram Lemmas.

Proposition 1.3 (Snake Lemma). Suppose we are given a commutative diagram in AbGrp with exact rows:

$$\begin{array}{ccccccc} A & \xrightarrow{i} & B & \xrightarrow{j} & C & \longrightarrow & 0 \\ \downarrow f & & \downarrow g & & \downarrow h & & \\ 0 & \longrightarrow & A' & \xrightarrow{i'} & B' & \xrightarrow{j'} & C' \end{array}$$

Then there exists $\delta \in \text{AbGrp}(\ker h, \text{coker } f)$ such that the sequence

$$\ker f \longrightarrow \ker g \longrightarrow \ker h \xrightarrow{\delta} \text{coker } f \longrightarrow \text{coker } g \longrightarrow \text{coker } h \quad (7)$$

is exact.

Proof. Consider the augmented diagram in figure 1, where the morphisms k, l, p and q are induced by i, j, i' and j' , respectively.

$$\begin{array}{ccccccc}
 & 0 & & 0 & & 0 & \\
 & \downarrow & & \downarrow & & \downarrow & \\
 & \ker f & \xrightarrow{k} & \ker g & \xrightarrow{l} & \ker h & \\
 & \downarrow & & \downarrow & & \downarrow & \\
 & A & \xrightarrow{i} & B & \xrightarrow{j} & C & \longrightarrow 0 \\
 & \downarrow f & & \downarrow g & & \downarrow h & \\
 0 & \longrightarrow & A' & \xrightarrow{i'} & B' & \xrightarrow{j'} & C' \\
 & \downarrow & & \downarrow & & \downarrow & \\
 & \operatorname{coker} f & \xrightarrow{p} & \operatorname{coker} g & \xrightarrow{q} & \operatorname{coker} h & \\
 & \downarrow & & \downarrow & & \downarrow & \\
 & 0 & & 0 & & 0 &
 \end{array}$$

Figure 1. Proof of the snake lemma.

Step 1: Exactness at $\ker g$. Let $a \in \ker f$. Then $l(k(a)) = j(i(a)) = 0$ by exactness at B and thus $\operatorname{im} k \subseteq \ker l$. Conversely, let $b \in \ker l$. Then $j(b) = 0$ and by exactness at B , there exists $a \in A$ such that $i(a) = b$. Moreover $0 = g(b) = g(i(a)) = i'(f(a))$ since $b \in \ker g$ and thus $f(a) = 0$ by injectivity of i' . Hence $\ker j \subseteq \operatorname{im} k$.

Step 2: Exactness at $\operatorname{coker} g$. Let $a' + \operatorname{im} f \in \operatorname{coker} f$. Then

$$q(p(a' + \operatorname{im} f)) = j'(i'(a')) + \operatorname{im} h = \operatorname{im} h$$

by exactness at B' implies $\operatorname{im} p \subseteq \ker q$. Conversely, let $b' + \operatorname{im} g \in \ker q$. Then

$$0 = q(b' + \operatorname{im} g) = j'(b') + \operatorname{im} h$$

and thus $j'(b') \in \operatorname{im} h$. Hence there exists $c \in C$, such that $j'(b') = h(c)$. Since j is surjective, we find $b \in B$ such that $j(b) = c$. Therefore $j'(b') = h(j(b))$. By commutativity we get $j'(b') = j'(g(b))$ which is equivalent to $j'(b' - g(b)) = 0$. Thus $b' - g(b) \in \ker j'$ and exactness at B' yields the existence of $a' \in A'$ such that $i'(a') = b' - g(b)$. Now

$$p(a' + \operatorname{im} f) = i'(a') + \operatorname{im} g = b' - g(b) + \operatorname{im} g = b' + \operatorname{im} g$$

and thus $\ker q \subseteq \operatorname{im} p$.

Step 3: Definition of δ . Consider the snakelike path indicated in figure 2a. Let $c \in \ker h$. Since j is surjective, we find $b \in B$ such that $j(b) = c$. Since $c \in \ker h$, we get that $0 = h(c) = h(j(b)) = j'(g(b))$ and thus $g(b) \in \ker j'$ which implies $g(b) \in \operatorname{im} i'$ by exactness at B' . Hence there exists $a' \in A'$ such that $i'(a') = g(b)$. Actually this a' is unique since i' is injective. Define $\delta : \ker h \rightarrow \operatorname{coker} f$ by

$$\delta(c) := a' + \operatorname{im} f.$$

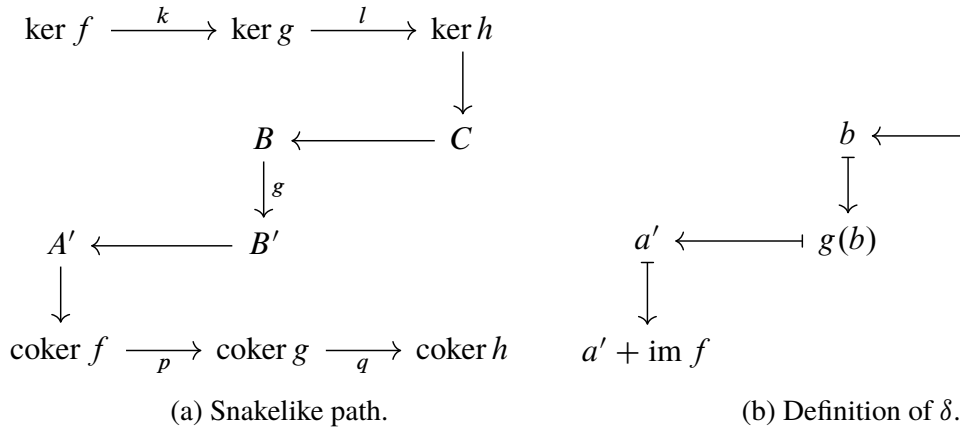


Figure 2

Step 4: Checking that δ is a morphism of groups. Since j is only surjective, we have to show that δ is a function. So suppose we choose $b_0 \in B$ instead of $b \in B$ in figure 2b with $b_0 \neq b$. We want to show that $\delta(c) = a' + \operatorname{im} f = a'_0 + \operatorname{im} f$, or equivalently $a' - a'_0 \in \operatorname{im} f$. Since $c = j(b) = j(b_0)$, we have that $b - b_0 \in \ker j$. Hence by exactness at B there exists $a \in A$ such that $i(a) = b - b_0$. Applying g and invoking commutativity yields

$$g(b) - g(b_0) = g(i(a)) = i'(f(a))$$

Hence $i'(a') - i'(a'_0) = i'(f(a))$ and thus the injectivity of i' yields $a' - a'_0 = f(a)$. In the same manner one can show that δ is a morphism of groups.

Step 5: Exactness at $\ker h$. Let $b \in \ker g$. Then $\operatorname{im} l \subseteq \ker \delta$ immediately follows from figure 3a. Conversely, suppose $c \in \ker \delta$. From figure 3b we get that

$$g(b) = i'(a') = i'(f(a)) = g(i(a))$$

and thus $b - i(a) \in \ker g$. So $l(b - i(a)) = j(b) - j(i(a)) = j(b) = c$ by exactness at B and thus $\ker \delta \subseteq \operatorname{im} l$.

Step 6: Exactness at $\operatorname{coker} f$. Suppose that $a' + \operatorname{im} f \in \operatorname{im} \delta$. Then

$$p(a' + \operatorname{im} f) = i'(a') + \operatorname{im} g = g(b) + \operatorname{im} g = \operatorname{im} g$$

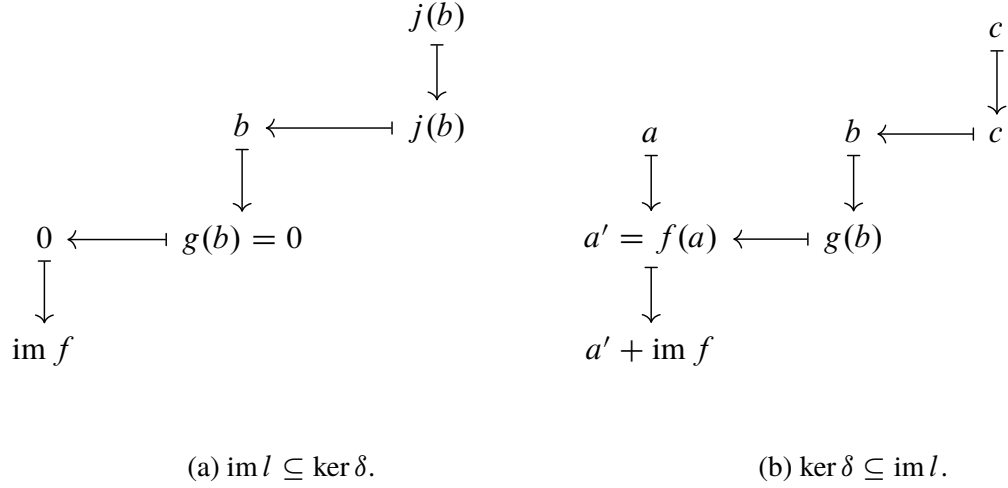


Figure 3

and thus $\text{im } \delta \subseteq \ker p$. Conversely, suppose that $a' + \text{im } f \in \ker p$. Hence $i'(a') \in \text{im } g$ and we find $b \in B$ such that $g(b) = i'(a')$. Consider $j(b)$. By exactness at B' follows

$$h(j(b)) = j'(g(b)) = j'(i'(a')) = 0$$

So $j(b) \in \ker h$. Moreover, by construction $\delta(j(b)) = a' + \text{im } f$ and thus $\ker p \subseteq \text{im } \delta$. \square

Proposition 1.4 (Five Lemma). Suppose we are given a commutative diagram in AbGrp with exact rows and columns:

$$\begin{array}{ccccccc}
 & & 0 & & 0 & & 0 \\
 & & \downarrow & & \downarrow & & \downarrow \\
 A & \xrightarrow{\varphi_1} & B & \xrightarrow{\varphi_2} & C & \xrightarrow{\varphi_3} & D & \xrightarrow{\varphi_4} & E \\
 \downarrow f & & \downarrow g & & \downarrow h & & \downarrow k & & \downarrow l \\
 A' & \xrightarrow{\psi_1} & B' & \xrightarrow{\psi_2} & C' & \xrightarrow{\psi_3} & D' & \xrightarrow{\psi_4} & E' \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 0 & & 0 & & 0 & & 0 & & 0
 \end{array}$$

Then h is an isomorphism.

Proof. We show that h is bijective.

Step 1: h is injective. See figure 4. Let $c \in \ker h$. Hence $h(c) = 0$ and since φ_3 is a morphism of groups, we have that $(\varphi_3 \circ h)(c) = 0$. By commutativity, $(k \circ \varphi_3)(c) = 0$ and thus since k is injective, $\varphi_3(c) = 0$. Exactness at C implies that there exists some

$b \in B$ such that $\varphi_2(b) = c$. Moreover, by commutativity $\psi_2(g(b)) = 0$ and thus we find $a' \in A'$ such that $\psi_1(a') = g(b)$. Subjectivity of f implies the existence of $a \in A$ such that $f(a) = a'$. Commutativity yields $g(b) = g(\varphi_1(a))$ and thus $b - \varphi_1(a) \in \ker g$. Since g is injective, $b = \varphi_1(a)$ and thus $c = \varphi_2(\varphi_1(a)) = 0$.

$$\begin{array}{ccccccc}
 a & \longrightarrow & b = \varphi_1(a) & \longrightarrow & c & \longrightarrow & 0 \\
 \downarrow & & \downarrow & & \downarrow & & \downarrow \\
 a' & \longrightarrow & g(b) & \longrightarrow & h(c) = 0 & \longrightarrow & 0
 \end{array}$$

Figure 4. Proof of injectivity of h .

Step 2: h is surjective. See figure 5. Let $c' \in C'$. Since k is surjective, we find $d \in D$ such that $k(d) = \psi_3(c')$. Hence exactness at D' together with commutativity yields $(l \circ \varphi_4)(d) = 0$. Since l is injective, we get that $\varphi_4(d) = 0$. Thus by exactness at D we find $c \in C$ such that $\varphi_3(c) = d$. Hence by commutativity, $(\psi_3 \circ h)(c) = \psi_3(c')$ or equivalently, $c' - h(c) \in \ker \psi_3$. By exactness at C' we find $b' \in B'$ such that $\psi_2(b') = c' - h(c)$. Moreover, since g is surjective, we find $b \in B$ such that $g(b) = b'$. Finally, commutativity yields $(h \circ \varphi_2)(b) = c' - h(c)$ or equivalently $c' = h(c + \varphi_2(b))$.

$$\begin{array}{ccccc}
 c & \longrightarrow & d & \longrightarrow & 0 \\
 & & \downarrow & & \downarrow \\
 c' & \longrightarrow & \psi_3(c') & \longrightarrow & 0
 \end{array}$$

Figure 5. Proof of surjectivity of h .

□

CHAPTER 2

The Fundamental Group

The Fundamental Grupoid

π_0 .

Lemma 2.1. *There exists a functor $\text{Top} \rightarrow \text{Set}$. Moreover, if $f, g \in \text{Top}(X, Y)$ are freely homotopic, then $\pi_0(f) = \pi_0(g)$.*

Proof. On objects $X \in \text{ob}(\text{Top})$, define $\pi_0(X)$ to be the set of equivalence classes of X under path connectedness. On morphisms $f : X \rightarrow Y$, define $\pi_0(f) : \pi_0(X) \rightarrow \pi_0(Y)$ by $\pi_0(f)[x] := [f(x)]$. This is well defined since if $[x] = [y]$, there exists a path u from x to y in X and it is easy to check that $f \circ u$ is a path from $f(x)$ to $f(y)$. Checking that π_0 is indeed a functor is left as an exercise. Suppose $H : f \simeq g$ and let $x \in X$. Then $H(x, t)$ is a path from $f(x)$ to $g(x)$ and thus $\pi_0(f)[x] = [f(x)] = [g(x)] = \pi_0(g)[x]$. \square

Exercise 2.1. Check the functoriality of $\pi_0 : \text{Top} \rightarrow \text{Set}$.

Proposition 2.1. *If $X, Y \in \text{ob}(\text{Top})$ have the same homotopy type, then $|\pi_0(X)| = |\pi_0(Y)|$, i.e. X and Y have the same number of path components.*

Proof. Since X and Y are of the same homotopy type, they are isomorphic in hTop . By lemma 2.1, π_0 descends to hTop and since functors preserve isomorphisms, we have that $\pi_0(X) \cong \pi_0(Y)$. In Set , isomorphisms are bijections and thus the statement follows. \square

Construction of the Fundamental Grupoid.

Lemma 2.2 (Gluing Lemma). *Let $X, Y \in \text{ob}(\text{Top})$, $(X_\alpha)_{\alpha \in A}$ a finite closed cover of X and $(f_\alpha)_{\alpha \in A}$ a finite family of maps $f_\alpha \in \text{Top}(X_\alpha, Y)$ such that $f_\alpha|_{X_\alpha \cap X_\beta} = f_\beta|_{X_\alpha \cap X_\beta}$ for all $\alpha, \beta \in A$. Then there exists a unique $f \in \text{Top}(X, Y)$ such that $f|_{X_\alpha} = f_\alpha$ for all $\alpha \in A$.*

Proof. Let $x \in X$. Since $(X_\alpha)_{\alpha \in A}$ is a cover of X , we find $\alpha \in A$ such that $x \in X_\alpha$. Define $f(x) := f_\alpha(x)$. This is well defined, since if $x \in X_\alpha \cap X_\beta$ for some $\beta \in A$, we have that $f(x) = f_\beta(x) = f_\alpha(x)$. Clearly $f|_{X_\alpha} = f_\alpha$ for all $\alpha \in A$ and f is unique. Let us show continuity. To this end, let $K \subseteq Y$ be closed. Then

$$f^{-1}(K) = X \cap f^{-1}(K)$$

$$\begin{aligned}
 &= \bigcup_{\alpha \in A} X_\alpha \cap f^{-1}(K) \\
 &= \bigcup_{\alpha \in A} (X_\alpha \cap f^{-1}(K)) \\
 &= \bigcup_{\alpha \in A} (X_\alpha \cap f_\alpha^{-1}(K)).
 \end{aligned}$$

Since each f_α is continuous, $f_\alpha^{-1}(K)$ is closed in X_α for each $\alpha \in A$ and thus since X_α is closed, $f^{-1}(K)$ is closed as a finite union of closed sets. \square

Theorem 2.1. *There is a functor $\text{Top} \rightarrow \text{Grpd}$.*

Proof. The proof is divided into several steps. Let us denote $\Pi : \text{Top} \rightarrow \text{Grpd}$ for the claimed functor.

Step 1: Definition of Π on objects. Let $X, Y \in \text{ob}(\text{Top})$, $f, g \in \text{Top}(X, Y)$ and $A \subseteq X$. A map $F \in \text{Top}(X \times I, Y)$ is called a **homotopy from X to Y relative to A** , if

- $F(x, 0) = f(x)$, for all $x \in X$.
- $F(x, 1) = g(x)$, for all $x \in X$.
- $F(x, t) = f(x) = g(x)$, for all $x \in A$ and for all $t \in I$.

If there exists a homotopy between f and g relative to A we say that f and g are **homotopic relative to A** and write $f \simeq_A g$. If we want to emphasize the homotopy relative to A , we write $F : f \simeq_A g$.

Lemma 2.3. *Let $X, Y \in \text{ob}(\text{Top})$ and $A \subseteq X$. Then being homotopic relative to A is an equivalence relation on $\text{Top}(X, Y)$.*

Proof. Define a binary relation $R_A \subseteq \text{Top}(X, Y) \times \text{Top}(X, Y)$ by

$$f R_A g \quad :\Leftrightarrow \quad f \simeq_A g.$$

Let $f \in \text{Top}(X, Y)$. Define $F \in \text{Top}(X \times I, Y)$ by

$$F(x, t) := f(x).$$

Then clearly $F : f \simeq_A f$. Hence R_A is reflexive.

Let $g \in \text{Top}(X, Y)$ and assume that $f R_A g$. Thus $G : f \simeq_A g$. Define $F \in \text{Top}(X \times I, Y)$ by

$$F(x, t) := G(x, 1 - t).$$

Then it is easy to check that $F : g \simeq_A f$ and so R_A is symmetric.

Finally, let $h \in \text{Top}(X, Y)$ and suppose that $f R_A g$ and $g R_A h$. Hence $F_1 : f \simeq_A g$ and $F_2 : g \simeq_A h$. Define $F \in \text{Top}(X \times I, Y)$ by

$$F(x, t) := \begin{cases} F_1(x, 2t) & 0 \leq t \leq \frac{1}{2}, \\ F_2(x, 2t - 1) & \frac{1}{2} \leq t \leq 1. \end{cases}$$

Continuity of F follows by an application of the gluing lemma 2.2. Then it is easy to check that $F : f \simeq_A h$ and hence R_A is transitive. \square

Let $X \in \text{ob}(\text{Top})$ and u a path in X from p to q . Define the **path class $[u]$ of u** by $[u] := [u]_{R_{\partial I}}$. Define now

- $\text{ob}(\Pi(X)) := X$.
- $\Pi(X)(p, q) := \{[u] : u \text{ is a path from } p \text{ to } q\}$ for all $p, q \in X$.
- Let $p \in X$. Then define $\text{id}_p \in \Pi(X)(p, p)$ by $\text{id}_p := [c_p]$, where c_p is the constant path defined by $c_p(s) := p$ for all $s \in I$.
- And $\Pi(X)(q, r) \times \Pi(X)(p, q) \rightarrow \Pi(X)(p, r)$ by

$$([v], [u]) \mapsto [u * v]$$

Where $u * v \in \text{Top}(p, r)$ is the **concatenated path of u and v** , defined by

$$(u * v)(s) := \begin{cases} u(2s) & 0 \leq s \leq \frac{1}{2}, \\ v(2s - 1) & \frac{1}{2} \leq s \leq 1. \end{cases}$$

Continuity follows again from the gluing lemma 2.2 whereas well definedness follows from the next lemma.

Lemma 2.4. Suppose that $[u_1], [u_2] \in \Pi(X)(p, q)$ and $[v_1], [v_2] \in \Pi(X)(q, r)$ such that $[u_1] = [u_2]$ and $[v_1] = [v_2]$. Then $[u_1 * v_1] = [u_2 * v_2]$.

Proof. By assumption we have $G : u_1 \simeq_{\partial I} u_2$ and $H : v_1 \simeq_{\partial I} v_2$. Define $F \in \text{Top}(I \times I, X)$ by

$$F(s, t) := \begin{cases} G(2s, t) & 0 \leq s \leq \frac{1}{2}, \\ H(2s - 1, t) & \frac{1}{2} \leq s \leq 1. \end{cases}$$

Again, continuity follows from the gluing lemma 2.2 and it is easy to check that

$$F : u_1 * v_1 \simeq_{\partial I} u_2 * v_2. \quad \square$$

Let us now check that $\Pi(X)$ is indeed a category. Let $[u] \in \Pi(X)(p, q)$. We want to show that $u \simeq_{\partial I} c_p * u$. To this end, we consider figure 6a and conclude that a suitable homotopy is given by $F \in \text{Top}(I \times I, X)$ defined by

$$F(s, t) := \begin{cases} p & 0 \leq 2s \leq t, \\ u\left(\frac{2s - t}{2 - t}\right) & t \leq 2s \leq 2. \end{cases}$$

Similarly, considering figure 6b leads to $F \in \text{Top}(I \times I, X)$ defined by

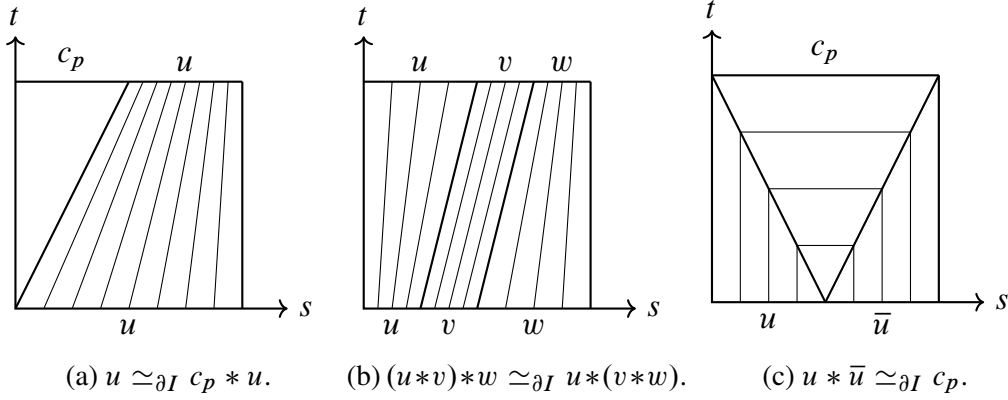


Figure 6. Visualization of the proof that $\Pi(X)$ is a grupoid object.

$$F(s, t) := \begin{cases} u\left(\frac{4s}{t+1}\right) & -1 \leq 4s-1 \leq t, \\ v(4s-t-1) & t \leq 4s-1 \leq t+1, \\ w\left(\frac{4s-t-2}{4-t-2}\right) & t+1 \leq 4s-1 \leq 3. \end{cases}$$

Lastly, we check that $\Pi(X)$ is a grupoid. To this end, for a path u from p to q , define its **reverse path** \bar{u} by

$$\bar{u}(s) := u(1-s).$$

We claim that $u * \bar{u} \simeq_{\partial I} c_p$. From figure 6c we deduce that $F \in \text{Top}(I \times I, X)$ is given by

$$F(s, t) := \begin{cases} u(2s) & 0 \leq 2s \leq 1-t, \\ u(1-t) & 1-t \leq 2s \leq t+1, \\ \bar{u}(2s-1) & t+1 \leq 2s \leq 2. \end{cases}$$

Step 2: Definition of Π on morphisms. Let $f \in \text{Top}(X, Y)$. Then $\Pi(f)$ is a functor from $\Pi(X)$ to $\Pi(Y)$. Define $\Pi(f)$ as follows:

- Let $p \in \text{ob}(\Pi(X))$. Then define $\Pi(f)(p) := f(p) \in \text{ob}(\Pi(Y))$.
- Let $[u] \in \Pi(X)(p, q)$. Then define $\Pi(f)[u] := [f \circ u] \in \Pi(Y)(f(p), f(q))$. We have to check that this definition is independent of the choice of the representative.

Lemma 2.5. *Let u and v be paths from p to q in X and suppose that $[u] = [v]$. Then for any $f \in \text{Top}(X, Y)$ we also have that $[f \circ u] = [f \circ v]$.*

Proof. Suppose that $H : u \simeq_{\partial I} v$. Define $F \in \text{Top}(I \times I, Y)$ by

$$F(s, t) := (f \circ F)(s, t).$$

Then $F : f \circ u \simeq_{\partial I} f \circ v$. □

Checking that Π satisfies the functorial properties is left as an exercise. □

Exercise 2.2. Check that $\Pi : \text{Top} \rightarrow \text{Grpd}$ is indeed a functor.

Definition 2.1 (Free Homotopy). Let $f, g \in \text{Top}(X, Y)$. f and g are said to be **freely homotopic** if $f \simeq_{\emptyset} g$.

Example 2.1 (Straight Line Homotopy). Let V be a real vector space. A subset $S \subseteq V$ is said to be **convex**, if the line segment $\{(1-t)p + tq : 0 \leq t \leq 1\}$ is contained in S for all $p, q \in V$. Suppose now that V is finite dimensional and $S \subseteq V$ is convex. Moreover, let $f, g \in \text{Top}(X, S)$ for some $X \in \text{ob}(\text{Top})$. Define $H : X \times I \rightarrow S$ by

$$H(x, t) := (1-t)f(x) + tg(x).$$

Then H is continuous and clearly $H : f \simeq g$. We call H the **straight line homotopy between f and g** . Hence any two continuous maps defined on the same domain into a convex space are freely homotopic.

Remark 2.1. We will also write $f \simeq g$ for a free homotopy.

Definition 2.2 (Nullhomotopic). A mapping $f \in \text{Top}(X, Y)$ is said to be **nullhomotopic**, if f is freely homotopic to a constant map.

Definition 2.3 (Contractible). A topological space X is said to be **contractible**, if id_X is nullhomotopic.

Definition 2.4 (Reparametrization). Let u be a path in a topological space X . A **reparametrization** of u is a path $u \circ \varphi$, where $\varphi \in \text{Top}(I, I)$ fixing 0 and 1.

Lemma 2.6. let u be a path in a topological space x and $u \circ \varphi$ a reparametrization of u . Then $u \simeq_{\partial I} u \circ \varphi$.

Proof. Since I is convex, we find a straight line homotopy $H : \text{id}_I \simeq \varphi$. Now $u \circ H$ is the homotopy we are looking for. □

The Fundamental Group.

Lemma 2.7. Let \mathcal{G} be a locally small grupoid. Then for every $X \in \text{ob}(\mathcal{G})$, $\mathcal{G}(X, X)$ can be equipped with a group structure.

Proof. Since \mathcal{G} is locally small, $\mathcal{G}(X, X)$ is a set for every $X \in \text{ob}(\mathcal{G})$. Define a multiplication $\mathcal{G}(X, X) \times \mathcal{G}(X, X) \rightarrow \mathcal{G}(X, X)$ by $gh := h \circ g$. Clearly, this multiplication is associative. Moreover, the identity element is given by $\text{id}_X \in \mathcal{G}(X, X)$ and since every $g \in \mathcal{G}(X, X)$ is an isomorphism, the multiplicative inverse is given by the inverse in $\mathcal{G}(X, X)$. □

Proposition 2.2. There is a functor $\text{Top}_* \rightarrow \text{Grp}$.

Proof. Define $\pi_1 : \text{Top}_* \rightarrow \text{Grp}$ on objects $(X, p) \in \text{Top}_*$ by

$$\pi_1(X, p) := \Pi(X)(p, p).$$

By theorem 2.1 together with lemma 2.7, $\pi_1(X, p)$ is actually a group, called the **fundamental group of X with basepoint p** . On morphisms $f \in \text{Top}_*((X, p), (Y, q))$, define

$$\pi_1(f) := \Pi(f) : \Pi(X)(p, p) \rightarrow \Pi(Y)(q, q).$$

Let $[u], [v] \in \pi_1(X, p)$. Then

$$\begin{aligned} \pi_1([u] [v]) &= \Pi(f)([u] [v]) \\ &= \Pi(f) [u * v] \\ &= [f \circ (u * v)] \\ &= [(f \circ u) * (f \circ v)] \\ &= \Pi(f) [u] \Pi(f) [v] \\ &= \pi_1(f) [u] \pi_1(f) [v]. \end{aligned}$$

Thus $\pi_1(f)$ is a morphism in Grp. Functoriality of π_1 immediately follows from the functoriality of Π . \square

Definition 2.5 (Simply Connected). A path connected topological space X is said to be **simply connected**, if $\pi_1(X)$ is trivial.

First Properties of the Fundamental Group.

Lemma 2.8. Let $X \in \text{ob}(\text{Top})$, $p \in X$ and A be the path component of X containing p . Then $\pi_1(\iota)$, where $\iota : A \hookrightarrow X$ denotes the inclusion, is an isomorphism.

Proof. Suppose $[u] \in \ker \pi_1(\iota)$. Then $[\iota \circ u] = [c_p]$ and Hence $F : \iota \circ u \simeq_{\partial I} c_p$. Since $I \times I$ is path connected and $p \in F(I \times I)$, it follows that $F(I \times I) \subseteq A$ and thus $F : u \simeq_{\partial I} c_p$ in A and hence $[u] = [c_p]$. To see that $\pi_1(\iota)$ is surjective, just observe that $u(I) \subseteq A$ for $[u] \in \pi_1(X, p)$ since $u(I)$ is path connected and $p \in u(I)$. \square

Lemma 2.9. Let $X \in \text{ob}(\text{Top})$ be path connected and $p, q \in X$. Then

$$\pi_1(X, p) \cong \pi_1(X, q).$$

Proof. Since X is path connected we find a path v from p to q in X . Define a mapping $\Phi_v : \pi_1(X, p) \rightarrow \pi_1(X, q)$

$$\Phi_v [u] := [\bar{v} * u * v].$$

Clearly, Φ_v is invertible with inverse $\Phi_{\bar{v}}$. Moreover, for $[u], [w] \in \pi_1(X, p)$ we have that

$$\begin{aligned} \Phi_v([u] [w]) &= \Phi_v [u * w] \\ &= [\bar{v} * u * w * v] \\ &= [\bar{v} * u * v * \bar{v} * w * v] \end{aligned}$$

$$\begin{aligned} &= [\bar{v} * u * v] [\bar{v} * w * v] \\ &= \Phi_v [u] \Phi_v [w]. \end{aligned}$$

□

Lemma 2.10 (Square Lemma). *Let $F \in \text{Top}(I \times I, X)$. Then*

$$F(0, \cdot) * F(\cdot, 1) \simeq_{\partial I} F(\cdot, 0) * F(1, \cdot).$$

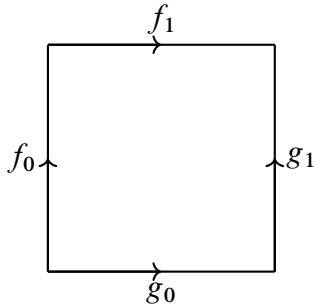
Proof. The idea is to consider first the case $F = \text{id}_{I \times I}$. Hence define the paths f_0 , f_1 , g_0 and g_1 in $I \times I$ as indicated in figure 7a. Then there is a straight line homotopy $H : I \times I \rightarrow I \times I$ between them as indicated in figure 7b. Explicitly

$$H(s, t) := (1 - t)(f_0 * f_1)(s) + t(g_0 * g_1)(s).$$

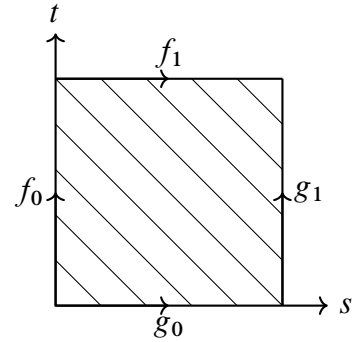
Then

$$(F \circ H)(s, t) = \begin{cases} F(2st, 2s(1 - t)) & 0 \leq s \leq \frac{1}{2}, \\ F(t + (1 - t)(2s - 1), 1 + 2t(s - 1)) & \frac{1}{2} \leq s \leq 1, \end{cases}$$

is the homotopy we are looking for. □



(a) The paths f_0 , f_1 , g_0 and g_1 in $I \times I$.



(b) $f_0 * f_1 \simeq_{\partial I} g_0 * g_1$.

Proposition 2.3. *Let $f_0, f_1 \in \text{Top}(X, Y)$ such that $F : f_0 \simeq f_1$. Moreover, let $p \in X$. Then the diagram*

$$\begin{array}{ccc} \pi_1(X, p) & \xrightarrow{\pi_1(f_0)} & \pi_1(Y, f_0(p)) \\ & \searrow \pi_1(f_1) & \downarrow \Phi_{F(p, \cdot)} \\ & & \pi_1(Y, f_1(p)) \end{array}$$

commutes, where Φ denotes the isomorphism in lemma 2.9.

Proof. Let $[u] \in \pi_1(X, p)$. We have that

$$\begin{aligned}\pi_1(f_1)[u] &= (\Phi_{F(p, \cdot)} \circ \pi_1(f_0))[u] \Leftrightarrow [f_1 \circ u] = [\bar{F}(p, \cdot) * (f_0 \circ u) * F(p, \cdot)] \\ &\Leftrightarrow [F(p, \cdot) * (f_1 \circ u)] = [(f_0 \circ u) * F(p, \cdot)] \\ &\Leftrightarrow [F(u(0), \cdot) * F(u, 1)] = [F(u, 0) * F(u(1), \cdot)],\end{aligned}$$

where the last equality is true by the square lemma 2.10. \square

Homotopy Invariance of π_1 .

Lemma 2.11. *Being freely homotopic is a congruence on Top .*

Proof. (a) is immediate so we only have to check (b). Suppose $f_0 \in \text{Top}(X, Y)$ and $g_0 \in \text{Top}(Y, Z)$ such that $F : f_0 \simeq f_1$ and $G : g_0 \simeq g_1$. Consider $H_1 : X \times I \rightarrow Z$ defined by $H_1 := g_0 \circ F$. Then clearly $H_1 : g_0 \circ f_0 \simeq g_0 \circ f_1$. Moreover, we define $H_2 : X \times I \rightarrow Z$ by $H_2 := G(f_1 \cdot, \cdot)$. Then $H_2 : g_0 \circ f_1 \simeq g_1 \circ f_1$. And we conclude by transitivity. \square

Definition 2.6 (hTop). *The quotient category under the congruence of being freely homotopic is called the **homotopy category**, and is denoted by hTop .*

Definition 2.7 (Homotopy Type). *Two topological spaces X and Y are of the **same homotopy type**, if they are isomorphic in hTop . An explicit choice of such an isomorphism is called a **homotopy equivalence**.*

Exercise 2.3. Show that a topological space X has the same homotopy type as a one-point space if and only if X is contractible.

Theorem 2.2 (Homotopy Invariance of π_1). *Suppose X and Y are of the same homotopy type with homotopy equivalence $f : X \rightarrow Y$. Then for any $p \in X$ we have that $\pi_1(f) : \pi_1(X, p) \rightarrow (Y, f(p))$ is an isomorphism.*

Proof. By assumption there exists $g \in \text{Top}(Y, X)$ such that $F : g \circ f \simeq \text{id}_X$ and $G : f \circ g \simeq \text{id}_Y$. By the functoriality of π_1 and proposition 2.3, the diagram

$$\begin{array}{ccccc}\pi_1(X, p) & \xrightarrow{\pi_1(f)} & \pi_1(Y, f(p)) & \xrightarrow{\pi_1(g)} & \pi_1(X, g(f(p))) \\ & \searrow \text{id}_{\pi_1(X, p)} & \downarrow \Phi_{F(p, \cdot)} & \swarrow \pi_1(g \circ f) & \\ & \pi_1(X, p) & & & \end{array}$$

commutes. Since $\Phi_{F(p, \cdot)}$ is an isomorphism, $\pi_1(g \circ f)$ is an isomorphism, too. Hence $\pi_1(f)$ is injective. Using G instead of F and a similar argument yields that $\pi_1(f)$ is surjective. \square

Lemma 2.12. *Let $G \in \text{ob}(\text{Grp})$, $S \in \text{Set}$ and $\varphi : U(G) \rightarrow S$ a bijection. Then S can be given a group structure such that φ is an isomorphism.*

Proof. It is easy to show that $xy := \varphi(\varphi^{-1}(x)\varphi^{-1}(y))$ defines a group structure on S with the requested property. \square

Proposition 2.4. *Let $(X, p) \in \text{ob}(\text{Top}_*)$. Then $\pi_1(X, p) \cong \text{hTop}_*((\mathbb{S}^1, 1), (X, p))$.*

Proof. Let $u \in \Omega(X, p)$. Then u passes to the quotient $\tilde{u} : (\mathbb{S}^1, 1) \rightarrow (X, p)$. Define now $\varphi[u] := [\tilde{u}] \in \text{hTop}_*((\mathbb{S}^1, 1), (X, p))$. This is well defined, since if $H : u \simeq_{\partial I} v$, it is easy to see that $\tilde{H} : \tilde{u} \simeq_{\{1\}} \tilde{v}$. Moreover, if $f \in \text{hTop}_*((\mathbb{S}^1, 1), (X, p))$, we define $\psi[f] := [f \circ \omega]$. Again, this is well defined since if $H : f \simeq_{\{1\}} g$, then $H \circ (\omega \times \text{id}_I) : f \circ \omega \simeq_{\partial I} g \circ \omega$. It is easy to check that φ and ψ are inverses of each other and thus we have a bijection $\pi_1(X, p) \cong \text{hTop}_*((\mathbb{S}^1, 1), (X, p))$ of sets. Hence an application of lemma 2.12 yields the result. \square

$\pi_1(\mathbb{S}^1)$.

Definition 2.8 (Exponential Quotient Map and Fundamental Loop). *The mapping $\varepsilon : \mathbb{R} \rightarrow \mathbb{S}^1$ defined by*

$$\varepsilon(x) := e^{2\pi i x} \quad (8)$$

*is called the **exponential quotient map**. Moreover, the **fundamental loop** ω is defined to be the restriction $\omega := \varepsilon|_I$.*

Proposition 2.5 (Lifting Property of the Circle). *Let $n \in \mathbb{Z}$, $n \geq 0$, $X \subseteq \mathbb{R}^n$ compact and convex, $p \in X$, $f \in \text{Top}_*((X, p), (\mathbb{S}^1, 1))$ and $m \in \mathbb{Z}$. Then there exists a unique map $\tilde{f} \in \text{Top}_*((X, p), (\mathbb{R}, m))$, called the **lifting of f** , such that*

$$\begin{array}{ccc} & & (\mathbb{R}, m) \\ & \nearrow \tilde{f} & \downarrow \varepsilon \\ (X, p) & \xrightarrow{f} & (\mathbb{S}^1, 1) \end{array}$$

commutes.

Proof. We show first existence and then uniqueness.

Step 1: Existence. Since X is compact and f is continuous, f is uniformly continuous on X . Thus we find $\delta > 0$ such that $|f(x) - f(y)| < 2$, whenever $|x - y| < \delta$, i.e. $f(x)$ and $f(y)$ are not antipodal points. Moreover, since X is compact, X is bounded and hence we find $N \in \mathbb{N}$, such that $|x - y| < N\delta$ holds for all $x, y \in X$. Let $x \in X$. For $0 \leq k \leq N$, define $L_k : X \rightarrow X$ by

$$L_k(x) := \left(1 - \frac{k}{N}\right)p + \frac{k}{N}x.$$

Those are well defined functions since X is convex. Moreover, each L_k is continuous. Indeed, it is easy to check that L_k is Lipschitz. Also, for each $0 \leq k < N$, $f(L_k(x))$ and $f(L_{k+1}(x))$ are not antipodal for all $x \in X$. Indeed, it is easy to check that $|L_k(x) - L_{k+1}(x)| < \delta$ holds for all $x \in X$. For $0 \leq k < N$ define $g_k : X \rightarrow \mathbb{S}^1 \setminus \{-1\}$ by

$$g_k(x) := \frac{f(L_{k+1}(x))}{f(L_k(x))}.$$

Clearly g_k is well defined and continuous as a composition of continuous functions. Let $\text{Log} : \mathbb{S}^1 \setminus \{-1\} \rightarrow \mathbb{C}$ denote the principal branch of the logarithm. Define $\tilde{f} : X \rightarrow \mathbb{R}$ by

$$\tilde{f}(x) := m + \frac{1}{2\pi i} \sum_{k=0}^{N-1} \text{Log}(g_k(x)).$$

Clearly, \tilde{f} is continuous and moreover we have that $\tilde{f} = m$ since $g_k(p) = 1$ for all $0 \leq k < N$. Finally, for any $x \in X$ we have that

$$(\varepsilon \circ \tilde{f})(x) = \varepsilon(m) \prod_{k=0}^{N-1} g_k(x) = \frac{f(L_N(x))}{f(L_0(x))} = \frac{f(x)}{f(p)} = f(x).$$

Step 2: Uniqueness. Suppose $\tilde{g} \in \text{Top}_*((X, p), (\mathbb{R}, m))$ is another such function. Define $\varphi \in \text{Top}_*((X, p), (\mathbb{R}, 0))$ by

$$\varphi(x) := \tilde{f}(x) - \tilde{g}(x).$$

Then clearly $\varepsilon \circ \varphi = 1$ and thus $\varphi(X) \subseteq \mathbb{Z}$. Since X is convex, X is connected and so $\varphi = 0$. □

Corollary 2.1. *Let $u, v \in \Omega(\mathbb{S}^1, 1)$ such that $[u] = [v]$. If $\tilde{u}, \tilde{v} : (I, 0) \rightarrow (\mathbb{R}, 0)$ are the liftings of u and v , respectively, then $[\tilde{u}] = [\tilde{v}]$.*

Proof. Let $F : u \simeq_{\partial I} v$. By proposition 2.5, we find $\tilde{F} \in \text{Top}_*((I \times I, (0, 0)), (\mathbb{R}, 0))$, such that $\varepsilon \circ \tilde{F} = F$. We claim that $\tilde{F} : \tilde{u} \simeq_{\partial I} \tilde{v}$. For $s \in I$ define $\tilde{u}_0(s) := \tilde{F}(s, 0)$. Then $\tilde{u}_0(0) = \tilde{F}(0, 0) = 0$ and since \tilde{u}_0 is continuous we have that $\tilde{u}_0 \in \text{Top}_*((I, 0), (\mathbb{R}, 0))$. Moreover

$$(\varepsilon \circ \tilde{u}_0)(s) = \varepsilon(\tilde{F}(s, 0)) = F(s, 0) = u(s)$$

for all $s \in I$ and thus \tilde{u}_0 is a lifting of u . But by proposition 2.5, liftings are unique and thus $\tilde{u}_0 = \tilde{u}$. Next define $\tilde{w}_0(t) := \tilde{F}(0, t)$ for all $t \in I$. Then $\tilde{w}_0(0) = \tilde{F}(0, 0) = 0$ and so $\tilde{w}_0 \in \text{Top}_*((I, 0), (\mathbb{R}, 0))$. Moreover

$$(\varepsilon \circ \tilde{w}_0)(t) = \varepsilon(\tilde{F}(0, t)) = F(0, t) = u(0) = v(0) = 1.$$

for all $t \in I$. Thus

$$\begin{array}{ccc} & & (\mathbb{R}, 0) \\ & \nearrow \tilde{w}_0 & \downarrow \varepsilon \\ (I, 0) & \xrightarrow{c_1} & (S^1, 1) \end{array}$$

commutes. But also c_0 makes the above diagram commute. By uniqueness, $\tilde{w}_0 = c_0$. Define $\tilde{v}_0(s) := \tilde{F}(s, 1)$ for all $s \in I$. Then $\tilde{v}_0(0) = \tilde{F}(0, 1) = \tilde{w}_0(1) = 0$ and it is easy to check that \tilde{v}_0 is a lift for v . Hence $\tilde{v}_0 = \tilde{v}$. Finally, define $\tilde{w}_1(t) := \tilde{F}(1, t)$ for all $t \in I$. Then $\tilde{w}_1(0) = \tilde{F}(1, 0) = \tilde{u}(1)$ and thus $\tilde{w}_1 \in \text{Top}_*((I, 0), (\mathbb{R}, \tilde{u}(1)))$. Moreover

$$(\varepsilon \circ \tilde{w}_1)(t) = \varepsilon(\tilde{F}(1, t)) = F(1, t) = v(1) = u(1) = 1$$

for all $t \in I$. By proposition 2.5, we have again that $\tilde{w}_1 = c_{\tilde{u}(1)}$. So $F : \tilde{u} \simeq_{\partial I} \tilde{v}$. \square

Definition 2.9 (Degree). Let $u \in \Omega(S^1, 1)$. The **degree of u** , written $\deg u$, is defined by $\deg u := \tilde{u}(1)$, where \tilde{u} is the unique lift of u such that $\tilde{u}(0) = 0$.

Theorem 2.3 (Fundamental Group of the Circle). $\pi_1(S^1) \cong \mathbb{Z}$.

Proof. Define $\deg : \pi_1(S^1, 1) \rightarrow \mathbb{Z}$ by $\deg[u] := \deg u$. This is well defined by corollary 2.1, since if $[u] = [v]$, then $[\tilde{u}] = [\tilde{v}]$ and in particular $\tilde{u}(1) = \tilde{v}(1)$.

Step 1: $\deg \in \text{Grp}(\pi_1(S^1, 1), (\mathbb{Z}, +))$. Let $[u], [v] \in \pi_1(S^1, 1)$. Moreover, let \tilde{u} and \tilde{v} denote the unique liftings of u and v , respectively, such that $\tilde{u}(0) = 0$ and $\tilde{v}(0) = 0$. Define $\tilde{w} : I \rightarrow \mathbb{R}$ by

$$\tilde{w}(s) := \begin{cases} \tilde{u}(2s) & 0 \leq s \leq \frac{1}{2}, \\ \deg u + \tilde{v}(2s - 1) & \frac{1}{2} \leq s \leq 1. \end{cases}$$

Then \tilde{w} is continuous by the gluing lemma and $\tilde{w}(0) = 0$. Hence $\tilde{w} \in \text{Top}_*((I, 0), (\mathbb{R}, 0))$. Also we have that $\varepsilon \circ \tilde{w} = u * v$ and thus \tilde{w} is the lift of $u * v$. But $\tilde{w}(1) = \deg u + \deg v$ and so

$$\deg([u][v]) = \deg[u * v] = \deg(u * v) = \tilde{w}(1) = \deg u + \deg v = \deg[u] + \deg[v].$$

Step 2: \deg is injective. Suppose $\deg[u] = 0$. Then $\tilde{u}(1) = 0$ and thus $\tilde{u} \in \Omega(\mathbb{R}, 0)$. Since \mathbb{R} is contractible, we have that $[\tilde{u}] = [c_0]$ and thus

$$[u] = [\varepsilon \circ \tilde{u}] = \pi_1(\varepsilon)[\tilde{u}] = \pi_1(\varepsilon)[c_0] = [\varepsilon \circ c_0] = [c_1].$$

Thus $\ker(\deg)$ is trivial.

Step 3: \deg is surjective. Let $m \in \mathbb{Z}$. Then $\deg[\varepsilon^m] = \deg \varepsilon^m = \tilde{\varepsilon}^m(1) = m$. \square

The Seifert-Van Kampen Theorem

Coproducts and Pushouts in Grp.

Proposition 2.6 (Coproducts in Grp). *Grp has all small coproducts.*

Proof. Let $A \in \text{ob}(\text{Set})$ and \mathbf{A} be the small category defined as the discrete category with $\text{ob}(\mathbf{A}) := A$, i.e.

$$\bullet \quad \bullet \quad \bullet \quad \dots \quad \bullet \quad \bullet \quad \bullet$$

Let $D : \mathbf{A} \rightarrow \text{Grp}$ be a functor. Hence we get a family $(G_\alpha)_{\alpha \in A}$ in Grp, where $G_\alpha := D(\alpha)$ for all $\alpha \in A$. A **word** in $(G_\alpha)_{\alpha \in A}$ is a finite sequence in $\coprod_{\alpha \in A} G_\alpha$. A word in $(G_\alpha)_{\alpha \in A}$ will simply be written as (g_1, \dots, g_n) , where $g_k \in G_\alpha$ for some $\alpha \in A$. The **empty word** is denoted by $()$. Let \mathcal{W} denote the set of all words in $(G_\alpha)_{\alpha \in A}$. On \mathcal{W} define a multiplication by **concatenation**

$$(g_1, \dots, g_n)(h_1, \dots, h_m) := (g_1, \dots, g_n, h_1, \dots, h_m).$$

An **elementary reduction** is an operation of one of the following forms:

- $(g_1, \dots, g_k, g_{k+1}, \dots, g_n) \mapsto (g_1, \dots, g_k g_{k+1}, \dots, g_n)$, where $g_k, g_{k+1} \in G_\alpha$ for some $\alpha \in A$.
- $(g_1, \dots, g_{k-1}, 1_\alpha, g_{k+1}, \dots, g_n) \mapsto (g_1, \dots, g_{k-1}, g_{k+1}, \dots, g_n)$.

Let \sim denote the equivalence relation on \mathcal{W} generated by elementary reductions.

Lemma 2.13. \mathcal{W}/\sim together with concatenation of representatives is an element of Grp.

Proof. Define

$$[(g_1, \dots, g_n)] [(h_1, \dots, h_m)] := [(g_1, \dots, g_n, h_1, \dots, h_m)].$$

It is left to the reader to show that this is well defined and that \mathcal{W}/\sim is indeed a group. \square

The group defined in lemma 2.13 will be denoted by $\bigstar_{\alpha \in A} G_\alpha$ and called the **free product of $(G_\alpha)_{\alpha \in A}$** . Let us define a cocone on D . For this consider the inclusions $\iota_\alpha : G_\alpha \rightarrow \bigstar_{\alpha \in A} G_\alpha$ defined by

$$\iota_\alpha(g) := [(g)]$$

for all $\alpha \in A$. It is immediate from

$$\iota_\alpha(gh) = [(gh)] = [(g, h)] = [(g)] [(h)] = \iota_\alpha(g) \iota_\alpha(h)$$

for $g, h \in G_\alpha$, that ι_α is a morphism of groups. Since there are only the identity morphisms in \mathbf{A} , $(\bigstar_{\alpha \in A} G_\alpha, (\iota_\alpha)_{\alpha \in A})$ is a cocone on D . Let us show that this is in fact a universal cocone. To this end, suppose that $(C, (\varphi_\alpha)_{\alpha \in A})$ is another cocone on D . Define a mapping $\bar{f} : \bigstar_{\alpha \in A} G_\alpha \rightarrow C$ by

$$\bar{f}[(g_1, \dots, g_n)] := \varphi_{\alpha_1}(g_1) \cdots \varphi_{\alpha_n}(g_n)$$

where $g_k \in G_{\alpha_k}$. Then \bar{f} is easily seen to be well defined since each φ_α is a morphism of groups. Moreover, if $g \in G_\alpha$, then

$$(\bar{f} \circ \iota_\alpha)(g) = \bar{f}[(g)] = \varphi_\alpha(g)$$

for all $\alpha \in A$. Suppose that $f : \bigstar_{\alpha \in A} G_\alpha \rightarrow C$ is another homomorphism of groups such that $f \circ \iota_\alpha = \varphi_\alpha$ for all $\alpha \in A$. Then for $[(g_1, \dots, g_n)] \in \bigstar_{\alpha \in A} G_\alpha$ we have

$$\begin{aligned} f[(g_1, \dots, g_n)] &= f([(g_1)] \cdots [(g_n)]) \\ &= f[(g_1)] \cdots f[(g_n)] \\ &= f(\iota_{\alpha_1}(g_1)) \cdots f(\iota_{\alpha_n}(g_n)) \\ &= \varphi_{\alpha_1}(g_1) \cdots \varphi_{\alpha_n}(g_n) \\ &= \bar{f}[(g_1, \dots, g_n)]. \end{aligned}$$

□

Exercise 2.4. Check that \mathcal{W}/\sim is indeed a group with the declared group structure and that \bar{f} is indeed well defined.

Proposition 2.7 (Pushouts in Grp). Grp has all pushouts.

Proof. Consider the diagram $D : A \rightarrow \text{Grp}$

$$\begin{array}{ccc} \bullet & \longrightarrow & \bullet \\ \downarrow & & \\ \bullet & & \end{array} \quad \xrightarrow{D} \quad \begin{array}{ccc} G & \xrightarrow{\varphi_1} & H_1 \\ \varphi_2 \downarrow & & \\ & & H_2 \end{array}$$

and define N to be the normal subgroup of $H_1 * H_2$ generated by elements of the form $[(\varphi_1(g^{-1}), \varphi_2(g))]$ for $g \in G$. Let $K := (H_1 * H_2)/N$. Then

$$\begin{array}{ccc} G & \xrightarrow{\varphi_1} & H_1 \\ \varphi_2 \downarrow & & \downarrow \pi \circ \iota_1 \\ H_2 & \xrightarrow{\pi \circ \iota_2} & K \end{array}$$

commutes. Indeed, if $g \in G$, we have that $(\pi \circ \iota_1 \circ \varphi_1)(g) = [(\varphi_1(g))]$ N and similarly $(\pi \circ \iota_2 \circ \varphi_2)(g) = [(\varphi_2(g))]$ N . Then

$$[(\varphi_1(g))^{-1}][(\varphi_2(g))] = [(\varphi_1(g^{-1}))][(\varphi_2(g))] = [(\varphi_1(g^{-1}))][(\varphi_2(g))] \in N.$$

Suppose that we have another cocone on D :

$$\begin{array}{ccc} G & \xrightarrow{\varphi_1} & H_1 \\ \varphi_2 \downarrow & & \downarrow \psi_1 \\ H_2 & \xrightarrow{\psi_2} & C \end{array}$$

By proposition 2.6, there exists a unique morphism of groups $f : H_1 * H_2 \rightarrow C$ and we thus get the following diagram:

$$\begin{array}{ccccc} G & \xrightarrow{\varphi_1} & H_1 & & \\ \varphi_2 \downarrow & & \downarrow \iota_1 & \searrow \psi_1 & \\ H_2 & \xrightarrow{\iota_2} & H_1 * H_2 & \xrightarrow{\pi} & K \\ & \searrow \psi_2 & \searrow f & \searrow \bar{f} & \downarrow \\ & & & & C \end{array}$$

To show that $N \subseteq \ker f$ is left as an exercise. Hence by the factorization theorem (see [Gri07, p. 23]), f factors uniquely through π , i.e. there exists a unique morphism of groups $\bar{f} : K \rightarrow C$ such that $\bar{f} \circ \pi = f$. \square

Exercise 2.5. In the previous proposition, verify that $N \subseteq \ker f$.

Definition 2.10 (Amalgamated Free Product). The pushout of a diagram

$$\begin{array}{ccc} G & \xrightarrow{\varphi_1} & H_1 \\ \varphi_2 \downarrow & & \\ H_2 & & \end{array}$$

in \mathbf{Grp} is called the **amalgamated free product of H_1 and H_2 along $(G, \varphi_1, \varphi_2)$** , written $H_1 *_{(G, \varphi_1, \varphi_2)} H_2$.

The Seifert-Van Kampen Theorem and its Consequences.

Theorem 2.4 (Seifert-Van Kampen). Let $X \in \mathbf{ob}(\mathbf{Top})$, (U, V) an open cover for X , such that U, V and $U \cap V$ are path connected. Moreover, let $p \in U \cap V$. Then

$$\pi_1(X, p) \cong \pi_1(U, p) *_{(\pi_1(U \cap V, p), \pi_1(\iota_U), \pi_1(\iota_V))} \pi_1(V, p), \quad (9)$$

where $\iota_U : U \cap V \hookrightarrow U$ and $\iota_V : U \cap V \hookrightarrow V$ denote inclusion.

Proof. Let $j_U : U \hookrightarrow X$ and $j_V : V \hookrightarrow X$ denote inclusions. We will show that $(\pi_1(X, p), \pi_1(j_U), \pi_1(j_V))$ is a pushout of the diagram

$$\begin{array}{ccc} \pi_1(U \cap V, p) & \xrightarrow{\pi_1(\iota_U)} & \pi_1(U, p) \\ \pi_1(\iota_V) \downarrow & & \\ \pi_1(V, p) & & \end{array} \quad (10)$$

in Grp and hence by proposition 2.7 and uniqueness, the statement follows. Clearly

$$\begin{array}{ccc} \pi_1(U \cap V, p) & \xrightarrow{\pi_1(\iota_U)} & \pi_1(U, p) \\ \pi_1(\iota_V) \downarrow & & \downarrow \pi_1(j_U) \\ \pi_1(V, p) & \xrightarrow{\pi_1(j_V)} & \pi_1(X, p) \end{array}$$

commutes. Suppose now that $(G, \varphi_U, \varphi_V)$ is another cocone for the diagram (10). We want to show that there exists a unique homomorphism $\Phi : \pi_1(X, p) \rightarrow G$ such that $\Phi \circ \pi_1(j_U) = \varphi_U$ and $\Phi \circ \pi_1(j_V) = \varphi_V$. Let $[u] \in \pi_1(X, p)$. Choose a partition $0 = x_0 < \dots < x_n = 1$ of I such that $u(x_k) \in U \cap V$ for all $k = 0, \dots, n$ and such that all $u|_{[x_{k-1}, x_k]}$ take values either in U or in V for all $k = 1, \dots, n$. The existence of such a partition follows from an application of the Lebesgue number lemma on the open cover $(u^{-1}(U), u^{-1}(V))$ of I . Indeed, if $\delta > 0$ is the corresponding Lebesgue number of the cover, we find $n \in \omega, n > 0$, such that $1/n < \delta$. Thus $[(i-1)/n, i/n]$ is contained in either $u^{-1}(U)$ or $u^{-1}(V)$ for all $i = 1, \dots, n$. Now choose those i such that $u(i/n) \in U \cap V$. For $k = 1, \dots, n$, let $u_k : I \rightarrow X$ be defined by

$$u_k(s) := u((1-s)x_{k-1} + sx_k).$$

Moreover, for each $k = 1, \dots, n-1$ choose a path γ_k in $U \cap V$ from p to $u(x_k)$ and set $\gamma_0, \gamma_n := c_p$. Define now

$$\Phi[u] := \prod_{k=1}^n \varphi_{\bullet}[\gamma_{k-1} * u_k * \bar{\gamma}_k], \quad (11)$$

where φ_{\bullet} denotes either φ_U or φ_V depending on whether $\gamma_{k-1} * u_k * \bar{\gamma}_k$ is a loop in U or in V . If u is a loop in $U \cap V$, we can choose either φ_U or φ_V since $(G, \varphi_U, \varphi_V)$ is a cocone of the diagram (10). Now there are some things to check.

Φ is a function. Suppose $H : u \simeq_{\partial I} v$.

$\Phi[u]$ does not depend on the choice of γ_k . Fix some $k = 1, \dots, n-1$ and suppose that γ'_k is another path from p to $u(x_k)$ in $U \cap V$. Then we have that

$$\varphi_{\bullet}[\gamma_{k-1} * u_k * \bar{\gamma}_k] = \varphi_{\bullet}[\gamma_{k-1} * u_k * \bar{\gamma}'_k * \gamma'_k * \bar{\gamma}_k] = \varphi_{\bullet}[\gamma_{k-1} * u_k * \bar{\gamma}'_k] \varphi_{\bullet}[\gamma'_k * \bar{\gamma}_k]$$

and

$$\begin{aligned}\varphi_\bullet[\gamma_k * u_{k+1} * \bar{\gamma}_{k+1}] &= \varphi_\bullet[\gamma_k * \bar{\gamma}'_k * \gamma'_k * u_{k+1} * \bar{\gamma}_{k+1}] \\ &= \varphi_\bullet[\gamma_k * \bar{\gamma}'_k] \varphi_\bullet[\gamma'_k * u_{k+1} * \bar{\gamma}_{k+1}] \\ &= (\varphi_\bullet[\gamma'_k * \bar{\gamma}_k])^{-1} \varphi_\bullet[\gamma'_k * u_{k+1} * \bar{\gamma}_{k+1}].\end{aligned}$$

Since $\gamma'_k * \bar{\gamma}_k$ is a loop in $U \cap V$, we have that

$$\varphi_\bullet[\gamma_{k-1} * u_k * \bar{\gamma}_k] \varphi_\bullet[\gamma_k * u_{k+1} * \bar{\gamma}_{k+1}] = \varphi_\bullet[\gamma_{k-1} * u_k * \bar{\gamma}'_k] \varphi_\bullet[\gamma'_k * u_{k+1} * \bar{\gamma}_{k+1}].$$

$\Phi[u]$ does not depend on the choice of a partition of I . Suppose \mathcal{P}_1 and \mathcal{P}_2 are both partitions of I , their union $\mathcal{P}_1 \cup \mathcal{P}_2$ is a refinement of both \mathcal{P}_1 and \mathcal{P}_2 . If we can show that adding a single point to a partition \mathcal{P} of I does not affect the value $\Phi[u]$, then so it does not on $\mathcal{P}_1 \cup \mathcal{P}_2$ and hence is independent of the choice of a partition. Suppose we add $x_{k-1} < y < x_k$. Let us denote by u_y the reparametrized restriction of u from $u(x_{k-1})$ to $u(y)$ and by u'_k the reparametrized restriction of u from $u(y)$ to $u(x_k)$. Moreover, let γ_y be a path from p to $u(y)$ in $U \cap V$. We compute

$$\begin{aligned}\varphi_\bullet[\gamma_{k-1} * u_y * \bar{\gamma}_y] \varphi_\bullet[\gamma_y * u'_k * \bar{\gamma}_k] &= \varphi_\bullet[\gamma_{k-1} * u_y * \bar{\gamma}_y * \gamma_y * u'_k * \bar{\gamma}_k] \\ &= \varphi_\bullet[\gamma_{k-1} * u_y * u'_k * \bar{\gamma}_k] \\ &= \varphi_\bullet[\gamma_{k-1} * u_k * \bar{\gamma}_k],\end{aligned}$$

since $u_y * u'_k$ is a reparametrization of u_k and $\gamma_{k-1} * u_y * \bar{\gamma}_y$, $\gamma_y * u'_k * \bar{\gamma}_k$ are both loops either in U or in V .

Φ is a morphism of groups. Let $[u], [v] \in \pi_1(X, p)$. Let $0 = x_0 < \dots < x_n = 1$ be a partition of I as above. By invariance under a change of partitions, we may assume that $0 = x_0 < \dots < x_m = \frac{1}{2} < \dots < x_n = 1$. Clearly $(u * v)(x_m) = p \in U \cap V$. Now both $0 = 2x_0 < \dots < 2x_m = 1$ and $0 = 2x_m - 1 < \dots < 2x_n - 1 = 1$ are partitions of I with $(u * v)_k = u_k$ for $k = 1, \dots, m$ and $(u * v)_k = v_k$ for $k = m + 1, \dots, n$. By using invariance of the choice of a partition again and invariance of the choice of the γ_k yields

$$\begin{aligned}\Phi([u][v]) &= \Phi[u * v] \\ &= \prod_{k=1}^n \varphi_\bullet[\gamma_{k-1} * (u * v)_k * \bar{\gamma}_k] \\ &= \prod_{k=1}^m \varphi_\bullet[\gamma_{k-1} * u_k * \bar{\gamma}_k] \prod_{k=m+1}^n \varphi_\bullet[\gamma_{k-1} * v_k * \bar{\gamma}_k] \\ &= \Phi[u] \Phi[v].\end{aligned}$$

Checking commutativity. We have to show that $\Phi \circ \pi_1(j_U) = \varphi_U$ and $\Phi \circ \pi_1(j_V) = \varphi_V$ hold. Let us show the first identity, the second is similar. Let $[u] \in \pi_1(U, p)$. Then we

can choose the trivial partition $0 = x_0 < x_1 = 1$ of I and thus get

$$(\Phi \circ \pi_1(j_U)) [u] = \Phi [u] = \varphi_U [\gamma_0 * u_1 * \bar{\gamma}_1] = \varphi_U [u].$$

Showing uniqueness of Φ . Suppose $\Psi : \pi_1(X, p) \rightarrow G$ is another map with the same properties as Φ . Let $[u] \in \pi_1(X, p)$. The keypoint is to observe that

$$[u] = \left[\prod_{k=1}^n (\gamma_{k-1} * u_k * \bar{\gamma}_k) \right]$$

holds. Thus

$$\begin{aligned} \Psi [u] &= \Psi \left[\prod_{k=1}^n (\gamma_{k-1} * u_k * \bar{\gamma}_k) \right] \\ &= \prod_{k=1}^n \Psi [\gamma_{k-1} * u_k * \bar{\gamma}_k] \\ &= \prod_{k=1}^n \varphi_{\bullet} [\gamma_{k-1} * u_k * \bar{\gamma}_k] \\ &= \Phi [u]. \end{aligned}$$

□

Exercise 2.6. In the proof of the Seifert-Van Kampen theorem, show that $u_y * u'_k = u_k \circ \varphi$, where $\varphi \in \text{Top}(I, I)$ is given by

$$\varphi(s) := \begin{cases} 2s(y - x_{k-1})/(x_k - x_{k-1}) & 0 \leq s \leq \frac{1}{2}, \\ 2(1-s)(y - x_{k-1})/(x_k - x_{k-1}) + 2s - 1 & \frac{1}{2} \leq s \leq 1. \end{cases}$$

CHAPTER 3

Singular Homology

The Eilenberg-Steenrod Axioms

Definition 3.1 (The Eilenberg-Steenrod Axioms). A *homology theory* consist of two sequences $(\mathcal{H}_n)_{n \in \omega}$ and $(\delta_n)_{n \in \omega}$, where for each $n \in \omega$, $\mathcal{H}_n : \text{Top}^2 \rightarrow \text{AbGrp}$ is a functor and $\delta_n : \mathcal{H}_n \Rightarrow \mathcal{H}_{n-1} \circ R$ if $n > 0$ and $\delta_0 : \mathcal{H}_0 \Rightarrow 0$ is a natural transformation, with $R : \text{Top}^2 \rightarrow \text{Top}^2$ defined by $R(X, A) := (A, \emptyset)$, and subject to the following axioms:

- **The Exact Sequence Axiom.** Let $(X, A) \in \text{ob}(\text{Top}^2)$. Then there exists a long exact sequence

$$\cdots \longrightarrow \mathcal{H}_n(A) \xrightarrow{\mathcal{H}_n(\iota_A)} \mathcal{H}_n(X) \xrightarrow{\mathcal{H}_n(\iota_X)} \mathcal{H}_n(X, A) \xrightarrow{\delta_n} \mathcal{H}_{n-1}(A) \longrightarrow \cdots$$

where $\iota_A : (A, \emptyset) \hookrightarrow (X, \emptyset)$ and $\iota_X : (X, \emptyset) \hookrightarrow (X, A)$ denote inclusions.

- **The Dimension Axiom.** Let $*$ be the terminal object in Top . Then $\mathcal{H}_0(*) \cong \mathbb{Z}$ and $\mathcal{H}_n(*) = 0$ for all $n \in \omega$, $n > 0$.

Construction of the Singular Homology Functor

Aim of this section is to construct for each $n \in \omega$ a functor $H_n : \text{Top} \rightarrow \text{AbGrp}$, called the *n-th singular homology functor*.

Free Abelian Groups.

Proposition 3.1. The forgetful functor $U : \text{AbGrp} \rightarrow \text{Set}$ admits a left adjoint.

Proof. We have to construct a functor $F : \text{Set} \rightarrow \text{AbGrp}$. Let S be a set. Define

$$F(S) := \{f \in \mathbb{Z}^S : \text{supp } f \text{ is finite}\}.$$

Equipped with pointwise addition, $F(S)$ is an abelian group. There is a natural inclusion $\iota : S \hookrightarrow U(F(S))$ sending $x \in S$ to the function taking the value one at x and zero else. Hence we may regard elements of $F(S)$ as formal linear combinations $\sum_{x \in S} m_x x$, where $m_x \in \mathbb{Z}$ for all $x \in S$. On morphisms $f : S \rightarrow T$ in Set , define $F(f) : F(S) \rightarrow F(T)$ simply by setting $F(f)(\sum_{x \in S} m_x x) := \sum_{x \in S} m_x f(x)$.

Let $G \in \text{ob}(\text{AbGrp})$ be an abelian group and $\varphi \in \text{AbGrp}(F(S), G)$ a morphism of groups. Define $\bar{\varphi} \in \text{Set}(S, U(G))$ by $\bar{\varphi} := U(\varphi)$. Conversely, if we have $f \in \text{Set}(S, U(G))$, define $\bar{f} \in \text{AbGrp}(F(S), G)$ by $\bar{f}(\sum_{x \in S} m_x x) := \sum_{x \in S} m_x f(x)$. This is well defined

since all but finitely many m_x are zero and G is abelian. It is easy to check that \bar{f} is indeed a morphism of groups. Let $\varphi \in \text{AbGrp}(F(S), G)$. Then

$$\begin{aligned}\bar{\bar{\varphi}}\left(\sum_{x \in S} m_x x\right) &= \sum_{x \in S} m_x \bar{\varphi}(x) \\ &= \sum_{x \in S} m_x U(\varphi)(x) \\ &= \sum_{x \in S} m_x \varphi(x) \\ &= \varphi\left(\sum_{x \in S} m_x x\right).\end{aligned}$$

And for $f \in \text{Set}(S, U(G))$ we have that

$$\bar{\bar{f}}(x) = U(\bar{f})(x) = \bar{f}(x) = f(x).$$

Hence $\bar{\bar{\varphi}} = \varphi$ and $\bar{\bar{f}} = f$ and so we have a bijection

$$\text{AbGrp}(F(S), G) \cong \text{Set}(S, U(G)).$$

The mapping $f \mapsto \bar{f}$ will be referred to as **extending by linearity**. To check naturality in S and G is left as an exercise. \square

Exercise 3.1. In proposition 3.1, check that $F : \text{Set} \rightarrow \text{AbGrp}$ is indeed a functor, called the **free functor from Set to AbGrp**, and the naturality of the bijection in both arguments.

Definition 3.2 (Free Abelian Group). Let $F : \text{Set} \rightarrow \text{AbGrp}$ be the free functor. For any set S , we call $F(S)$ the **free group generated by S** .

Chain Complexes.

Definition 3.3 (Chain Complex). A **chain complex** is a tuple $(C_\bullet, \partial_\bullet)$ consisting of a sequence $(C_n)_{n \in \mathbb{Z}}$ in $\text{ob}(\text{AbGrp})$ and a sequence $(\partial_n)_{n \in \mathbb{Z}}$ in $\text{mor}(\text{AbGrp})$, called **boundary operators**, such that we have $\partial_n \in \text{AbGrp}(C_n, C_{n-1})$ and $\partial_n \circ \partial_{n+1} = 0$ for all $n \in \mathbb{Z}$.

Definition 3.4 (Chain Maps). Let $(C_\bullet, \partial_\bullet)$ and $(C'_\bullet, \partial'_\bullet)$ be two chain complexes. A **chain map** $f_\bullet : C_\bullet \rightarrow C'_\bullet$ is a sequence $(f_n)_{n \in \mathbb{Z}}$ in $\text{mor}(\text{AbGrp})$ such that $f_n \in \text{AbGrp}(C_n, C'_n)$ and the diagram

$$\begin{array}{ccc} C_n & \xrightarrow{\partial_n} & C_{n-1} \\ f_n \downarrow & & \downarrow f_{n-1} \\ C'_n & \xrightarrow{\partial'_n} & C'_{n-1} \end{array}$$

commutes for all $n \in \mathbb{Z}$.

Proposition 3.2. *There is a category with objects chain complexes and morphisms chain maps.*

Proof. Let $f_\bullet : C_\bullet \rightarrow C'_\bullet$ and $g_\bullet : C'_\bullet \rightarrow C''_\bullet$ be chain maps. Define a map $g_\bullet \circ f_\bullet$ by $g_n \circ f_n$ for each $n \in \mathbb{Z}$. This defines a chain map. Moreover, for each chain complex C_\bullet define id_{C_\bullet} by id_{C_n} for all $n \in \mathbb{Z}$. It is easy to check, that then \circ is associative and the identity laws hold. \square

Definition 3.5 (Comp). *The category in 3.2 is called the **category of chain complexes** and we refer to it as **Comp**.*

Theorem 3.1. *There is a functor $\text{Top} \rightarrow \text{Comp}$.*

Proof. The proof is divided into several steps. Let us denote $C_\bullet : \text{Top} \rightarrow \text{Comp}$ for the claimed functor.

Step 1: Construction of a sequence of abelian groups. Let $v_0, \dots, v_k \in \mathbb{R}^n$ for some $n, k \in \omega$. We say that (v_0, \dots, v_k) is **affinely independent** if $(v_1 - v_0, \dots, v_k - v_0)$ is linearly independent. We define the **k -simplex spanned by (v_0, \dots, v_k)** , written $[v_0, \dots, v_k]$, to be

$$[v_0, \dots, v_k] := \left\{ \sum_{i=0}^k s_i v_i : s_i \geq 0 \text{ for all } i = 0, \dots, k \text{ and } \sum_{i=0}^k s_i = 1 \right\}. \quad (12)$$

equipped with the subspace topology. Moreover, we define the **standard n -simplex Δ^n** to be the n -simplex spanned by (e_0, \dots, e_n) where $e_0 := 0 \in \mathbb{R}^n$ and (e_1, \dots, e_n) is the standard ordered basis of \mathbb{R}^n . Let $X \in \text{ob}(\text{Top})$. Define a **singular n -simplex in X** to be a morphism $\sigma \in \text{Top}(\Delta^n, X)$. Let $n \in \mathbb{Z}$. Define

$$C_n(X) := \begin{cases} F(\text{Top}(\Delta^n, X)) & n \geq 0, \\ 0 & n < 0. \end{cases} \quad (13)$$

We will call elements of $C_n(X)$ **singular n -chains**.

Step 2: Construction of boundary operators. Let $X \in \text{ob}(\text{Top})$ and σ a singular n -simplex in X for $n \geq 1$. We define $\varphi_k^n : \Delta^{n-1} \rightarrow \Delta^n$, called the **k -th face map**, to be the unique affine map determined by the vertex map

$$\begin{array}{ccc} & \varphi_k^n & \\ e_0 & \mapsto & e_0 \\ \vdots & & \vdots \\ e_{k-1} & \mapsto & e_{k-1} \\ e_k & \mapsto & e_{k+1} \\ \vdots & & \vdots \\ e_{n-1} & \mapsto & e_n. \end{array}$$

Explicitely, given $\sum_{i=0}^{n-1} s_i e_i \in \Delta^{n-1}$, we have that (see [Lee11, p. 152])

$$\varphi_k^n \left(\sum_{i=0}^{n-1} s_i e_i \right) = \sum_{i=0}^{n-1} s_i \varphi_k^n(e_i).$$

Define now

$$\partial \sigma := \sum_{k=0}^n (-1)^k \sigma \circ \varphi_k^n \in U(C_{n-1}(X)) \quad (14)$$

to be the **boundary of σ** . Moreover, the **singular boundary operator** is defined to be $\bar{\partial}_n$ and $\partial_n := 0$ for $n \leq 0$.

Step 3: $\partial_n \circ \partial_{n+1} = 0$ for all $n \in \mathbb{Z}$. It is enough to consider $n \geq 1$, since $\partial_n \circ \partial_{n+1} = 0$ holds trivially in the other cases. Let $X \in \text{ob}(\text{Top})$ and $\sigma \in \text{Top}(\Delta^{n+1}, X)$. Then we have

$$\begin{aligned} (\partial_n \circ \partial_{n+1})(\sigma) &= \partial_n \left(\sum_{k=0}^{n+1} (-1)^k \sigma \circ \varphi_k^{n+1} \right) \\ &= \sum_{k=0}^{n+1} (-1)^k \partial_n (\sigma \circ \varphi_k^{n+1}) \\ &= \sum_{k=0}^{n+1} \sum_{j=0}^n (-1)^{k+j} \sigma \circ \varphi_k^{n+1} \circ \varphi_j^n \\ &= \sum_{0 \leq k \leq j \leq n} (-1)^{k+j} \sigma \circ \varphi_k^{n+1} \circ \varphi_j^n + \sum_{0 \leq j < k \leq n+1} (-1)^{k+j} \sigma \circ \varphi_k^{n+1} \circ \varphi_j^n \\ &= \sum_{0 \leq j \leq k \leq n} (-1)^{k+j} \sigma \circ \varphi_j^{n+1} \circ \varphi_k^n + \sum_{0 \leq j < k \leq n+1} (-1)^{k+j} \sigma \circ \varphi_k^{n+1} \circ \varphi_j^n \\ &= \sum_{0 \leq j < k \leq n+1} ((-1)^{k+j-1} \sigma \circ \varphi_j^{n+1} \circ \varphi_{k-1}^n + (-1)^{k+j} \sigma \circ \varphi_k^{n+1} \circ \varphi_j^n) \end{aligned}$$

Since $\varphi_j^{n+1} \circ \varphi_{k-1}^n = \varphi_k^{n+1} \circ \varphi_j^n$, it follows that

$$\partial_n \circ \partial_{n+1} = 0.$$

Indeed, consider the following chart of vertex maps:

$$\begin{array}{ccccccc}
 & \varphi_{k-1}^n & & \varphi_j^{n+1} & & \varphi_j^n & & \varphi_k^{n+1} \\
 e_0 & \mapsto & e_0 & \mapsto & e_0 & & e_0 & \mapsto & e_0 \\
 \vdots & & \vdots & & \vdots & & \vdots & & \vdots \\
 e_{j-1} & \mapsto & e_{j-1} & \mapsto & e_{j-1} & & e_{j-1} & \mapsto & e_{j-1} \\
 e_j & \mapsto & e_j & \mapsto & e_{j+1} & & e_j & \mapsto & e_{j+1} \\
 \vdots & & \vdots & & \vdots & & \vdots & & \vdots \\
 e_{k-1} & \mapsto & e_{k-1} & \mapsto & e_{k+1} & & e_{k-1} & \mapsto & e_k \\
 e_k & \mapsto & e_{k+1} & \mapsto & e_{k+2} & & e_k & \mapsto & e_{k+1} \\
 \vdots & & \vdots & & \vdots & & \vdots & & \vdots \\
 e_{n-1} & \mapsto & e_n & \mapsto & e_{n+1} & & e_{n-1} & \mapsto & e_n
 \end{array}$$

Step 4: Construction of chain maps. Let $X, Y \in \text{ob}(\text{Top})$ and $f \in \text{Top}(X, Y)$. For $n \geq 0$, define $f_n^\# : \text{Top}(\Delta^n, X) \rightarrow U(C_n(Y))$ by $f_n^\# := f \circ \sigma$. Extending this map by linearity yields a homomorphism $f_n^\# : C_n(X) \rightarrow C_n(Y)$. Moreover, set $f_n^\# := 0$ for $n < 0$. Let $n \geq 1$ and $\sigma \in \text{Top}(\Delta^n, X)$. Then on one hand we have

$$(f_{n-1}^\# \circ \partial_n)(\sigma) = f_{n-1}^\# \left(\sum_{k=0}^n (-1)^k \sigma \circ \varphi_k^n \right) = \sum_{k=0}^n (-1)^k f \circ \sigma \circ \varphi_k^n$$

and on the other

$$(\partial_n \circ f_n^\#)(\sigma) = \partial_n(f \circ \sigma) = \sum_{k=0}^n (-1)^k f \circ \sigma \circ \varphi_k^n.$$

Checking, that C_\bullet is indeed a functor is left as an exercise. \square

Exercise 3.2. Show that $C_\bullet : \text{Top} \rightarrow \text{Comp}$ is a functor.

The Homology Functor.

Proposition 3.3. For each $n \in \mathbb{Z}$ there exists a functor $\text{Comp} \rightarrow \text{AbGrp}$.

Proof. Let $(C_\bullet, \partial_\bullet)$ be a chain complex. Let $x \in \text{im } \partial_{n+1}$. Hence there exists $y \in C_{n+1}$ such that $x = \partial_{n+1}y$. But then $\partial_n x = (\partial_n \circ \partial_{n+1})(y) = 0$ and thus $\text{im } \partial_{n+1} \subseteq \ker \partial_n$. Define

$$H_n(C_\bullet, \partial_\bullet) := \frac{\ker \partial_n}{\text{im } \partial_{n+1}} \in \text{ob}(\text{AbGrp}).$$

Let $(C'_\bullet, \partial'_\bullet)$ be a chain complex and $f_\bullet : C_\bullet \rightarrow C'_\bullet$ a chain map. Then $f_n(\ker \partial_n) \subseteq \ker \partial'_n$. Indeed, if $y \in f_n(\ker \partial_n)$, there exists $x \in \ker \partial_n$, such that $y = f_n(x)$. Since f_\bullet is a chain map, we thus have $\partial'_n y = (\partial'_n \circ f_n)(x) = (f_{n-1} \circ \partial_n)(x) = 0$. Moreover, we have that $\text{im } \partial_{n+1} \subseteq \ker \pi'_n \circ f_n$, where $\pi'_n : \ker \partial'_n \rightarrow H_n(C'_\bullet, \partial'_\bullet)$ is the usual projection. Indeed, if $y \in \text{im } \partial_{n+1}$, we find $x \in C_{n+1}$, such that $y = \partial_{n+1}x$. Since again f_\bullet is a chain map, we

have that $f_n y = (f_n \circ \partial_{n+1})(x) = (\partial'_{n+1} \circ f_{n+1})(x) \in \text{im } \partial'_{n+1} = \ker \pi'_n$. Hence $\pi'_n \circ f_n$ factors uniquely through $\pi_n : \ker \partial_n \rightarrow H_n(C_\bullet, \partial_\bullet)$. Define $H_n(f_\bullet)$ to be this map. \square

Remark 3.1. Let $(C_\bullet, \partial_\bullet)$ be a chain complex and $n \in \mathbb{Z}$. Then we will write $\langle x \rangle$ for an element in $H_n(C_\bullet, \partial_\bullet)$, the so-called *homology class*. Hence if $(C'_\bullet, \partial'_\bullet)$ is another chain complex and $f_\bullet : C_\bullet \rightarrow C'_\bullet$ a chain map, then $H_n(f)(\langle c \rangle) = \langle f_n c \rangle$.

Definition 3.6 (Cycles and Boundaries). Let $(C_\bullet, \partial_\bullet)$ be a chain complex and $n \in \mathbb{Z}$. Then elements of $\ker \partial_n$ are called ***n-cycles*** and elements of $\text{im } \partial_{n+1}$ are called ***n-boundaries***.

Definition 3.7 (Homology Functor). Let $n \in \mathbb{Z}$ and $H_n : \text{Comp} \rightarrow \text{AbGrp}$ be the functor defined in proposition 3.3. We call H_n the ***n-th homology functor***.

Definition 3.8 (Singular Homology Functor). Let $n \in \mathbb{Z}$. The composition

$$H_n \circ C_\bullet : \text{Top} \rightarrow \text{AbGrp} \quad (15)$$

of the singular chain complex functor C_\bullet in theorem 3.1 and the n -th homology functor of proposition 3.3 is called the ***n-th singular homology functor***, written H_n^{sing} .

Remark 3.2. For notational purposes we will often refer to the functor H_n^{sing} simply as H_n .

Relative Homology.

Definition 3.9 (Subcomplex). Let $(C_\bullet, \partial_\bullet)$ be a chain complex. A **subcomplex** of $(C_\bullet, \partial_\bullet)$ is a chain complex $(C'_\bullet, \partial'_\bullet)$, such that $C'_n \subseteq C_n$ for all $n \in \mathbb{Z}$ and that $\iota : C'_\bullet \rightarrow C_\bullet$ defined by $\iota_n : C'_n \hookrightarrow C_n$ for all $n \in \mathbb{Z}$, is a chain map.

Definition 3.10 (Quotient Complex). Let $(C'_\bullet, \partial'_\bullet)$ be a subcomplex of $(C_\bullet, \partial_\bullet)$. Then define the **quotient complex**, written C_\bullet/C'_\bullet , by setting

$$(C_\bullet/C'_\bullet)_n := C_n/C'_n \quad \text{and} \quad \partial_n := C_n/C'_n \rightarrow C_{n-1}/C'_{n-1},$$

the induced function, for all $n \in \mathbb{Z}$.

Proposition 3.4. There is a functor $\text{Top}^2 \rightarrow \text{Comp}$.

Proof. Let $(X, A) \in \text{ob}(\text{Top}^2)$. Then we have an inclusion $\iota : A \hookrightarrow X$. Moreover, we have that $C_n(\iota)$ is injective for all $n \in \mathbb{Z}$. Indeed, this is obvious for $n < 0$ and for $n \geq 0$, suppose that $\sum_k m_k \sigma_k \in \ker C_n(\iota)$, where the σ_k are distinct. Then we have that $0 = C_n(\iota) \left(\sum_k m_k \sigma_k \right) = \sum_k m_k \iota \circ \sigma_k$, where the $\iota \circ \sigma_k$ are also distinct. Thus we conclude $\sum_k m_k \sigma_k = 0$. Hence we can see $C_\bullet(A)$ as a subcomplex of $C_\bullet(X)$ and so we can define

$$C_\bullet(X, A) := C_\bullet(X)/C_\bullet(A).$$

Moreover, on morphisms $f \in \text{Top}^2((X, A), (Y, B))$ just let $C_\bullet(f)$ be the induced map. \square

Definition 3.11 (Reduced Homology Functor). For $n \in \mathbb{Z}$, the functor

$$H_n \circ C_\bullet : \text{Top}^2 \rightarrow \text{AbGrp} \quad (16)$$

is called the *n -th reduced singular homology functor*.

The Exact Sequence Axiom

Proposition 3.5 (Long Exact Sequence in Homology). Let

$$0 \longrightarrow C_\bullet \xrightarrow{f_\bullet} C'_\bullet \xrightarrow{g_\bullet} C''_\bullet \longrightarrow 0$$

be a short exact sequence in Comp . Then there exists a sequence $(\delta_n)_{n \in \mathbb{Z}}$, where for all $n \in \mathbb{Z}$, $\delta_n \in \text{AbGrp}(H_n(C''_\bullet), H_{n-1}(C_\bullet))$ and such that

$$\cdots \longrightarrow H_n(C_\bullet) \xrightarrow{H_n(f)} H_n(C'_\bullet) \xrightarrow{H_n(g)} H_n(C''_\bullet) \xrightarrow{\delta_n} H_{n-1}(C_\bullet) \longrightarrow \cdots$$

is a long exact sequence in AbGrp .

Proof. Let $n \in \mathbb{Z}$ and consider the following diagram of induced morphisms:

$$\begin{array}{ccccccc} C_n / \text{im } \partial_{n+1} & \xrightarrow{f_n} & C'_n / \text{im } \partial'_{n+1} & \xrightarrow{g_n} & C''_n / \text{im } \partial''_{n+1} & \longrightarrow & 0 \\ \downarrow \partial_n & & \downarrow \partial'_n & & \downarrow \partial''_n & & \\ 0 \longrightarrow & \ker \partial_{n-1} & \xrightarrow{f_{n-1}} & \ker \partial'_{n-1} & \xrightarrow{g_{n-1}} & \ker \partial''_{n-1} & \end{array} \quad (17)$$

It is left to the reader to show that the induced maps are actually well defined, the diagram commutes and the rows are exact. Hence an application of the snake lemma 1.3 yields $\delta_n \in \text{AbGrp}(\ker \partial''_n, \text{coker } \partial_n)$ and an exact sequence

$$\ker \partial_n \xrightarrow{f_n} \ker \partial'_n \xrightarrow{g_n} \ker \partial''_n \xrightarrow{\delta_n} \text{coker } \partial_n \xrightarrow{f_{n-1}} \text{coker } \partial'_n \xrightarrow{g_{n-1}} \text{coker } \partial''_n$$

It is easy to check that this exact sequence is the same as

$$H_n(C_\bullet) \xrightarrow{H_n(f)} H_n(C'_\bullet) \xrightarrow{H_n(g)} H_n(C''_\bullet) \xrightarrow{\delta_n} H_{n-1}(C_\bullet) \xrightarrow{H_{n-1}(f)} H_{n-1}(C'_\bullet) \xrightarrow{H_{n-1}(g)} H_{n-1}(C''_\bullet).$$

□

Exercise 3.3. In the proof of theorem 3.5 in the diagram, show that the induced maps are actually well defined, the diagram commutes and the two rows are exact.

Definition 3.12 (Connecting Homomorphism). The sequence $(\delta_n)_{n \in \mathbb{Z}}$ of morphisms in AbGrp of theorem 3.5 is called the *connecting homomorphism of the short exact sequence* $0 \rightarrow C_\bullet \rightarrow C'_\bullet \rightarrow C''_\bullet \rightarrow 0$.

Proposition 3.6 (Naturality of the Connecting Homomorphism). *Suppose we are given a commutative diagram with exact rows in Comp:*

$$\begin{array}{ccccccc} 0 & \longrightarrow & A_{\bullet} & \xrightarrow{f} & B_{\bullet} & \xrightarrow{g} & C_{\bullet} \longrightarrow 0 \\ & & \downarrow i & & \downarrow j & & \downarrow k \\ 0 & \longrightarrow & A'_{\bullet} & \xrightarrow{f'} & B'_{\bullet} & \xrightarrow{g'} & C'_{\bullet} \longrightarrow 0. \end{array}$$

Then there is a commutative diagram with exact rows in AbGrp:

$$\begin{array}{ccccccc} \cdots & \longrightarrow & H_n(A_{\bullet}) & \xrightarrow{H_n(f)} & H_n(B_{\bullet}) & \xrightarrow{H_n(g)} & H_n(C_{\bullet}) \xrightarrow{\delta_n} H_{n-1}(A_{\bullet}) \longrightarrow \cdots \\ & & \downarrow H_n(i) & & \downarrow H_n(j) & & \downarrow H_n(k) \\ \cdots & \longrightarrow & H_n(A'_{\bullet}) & \xrightarrow{H_n(f')} & H_n(B'_{\bullet}) & \xrightarrow{H_n(g')} & H_n(C'_{\bullet}) \xrightarrow{\delta'_n} H_{n-1}(A'_{\bullet}) \longrightarrow \cdots, \end{array}$$

where δ and δ' are the corresponding connecting homomorphisms.

Proof. That the rows are exact is the content of proposition 3.5. Moreover, the first two squares commute because H_n is a functor. Hence left to check is only the commutativity of the third square. Let $\langle c \rangle \in H_n(C_{\bullet})$. Using diagram 17 and figure 2b, we have $\delta_n \langle c \rangle = \langle a \rangle$ as in figure 8a. Hence

$$(H_{n-1}(i) \circ \delta_n) \langle c \rangle = H_{n-1}(i) \langle a \rangle = \langle i_{n-1}(a) \rangle.$$

By the commutativity of the initial diagram and the fact that j is a chain map, we have that

$$(f'_{n-1} \circ i_{n-1}) \langle a \rangle = (j_{n-1} \circ f_{n-1}) \langle a \rangle = j_{n-1} \partial_n(b) = \partial_n j_n(b).$$

Again, commutativity of the initial diagram implies $g'_n(j_n(b)) = k_n(g_n(b)) = k_n(c)$. Thus we get $\delta'_n \langle k_n(c) \rangle = \langle i_{n-1}(a) \rangle$ as indicated in figure 8b and so

$$(H_{n-1}(i) \circ \delta_n) \langle c \rangle = \langle i_{n-1}(a) \rangle = \delta'_n \langle k_n(c) \rangle = (\delta'_n \circ H_n(k)) \langle c \rangle.$$

□

Corollary 3.1 (The Exact Sequence Axiom). *Consider the reduced homology functors $(H_n)_{n \in \omega}$. Moreover, for each $(X, A) \in \text{ob}(\text{Top})^2$, let $(\delta_{n,(X,A)})_{n \in \omega}$ be the sequence of connecting homomorphisms of the short exact sequence*

$$0 \longrightarrow C_{\bullet}(A) \xrightarrow{C_{\bullet}(\iota_A)} C_{\bullet}(X) \xrightarrow{C_{\bullet}(\iota_X)} C_{\bullet}(X, A) \longrightarrow 0,$$

where $\iota_A : (A, \emptyset) \hookrightarrow (X, \emptyset)$ and $\iota_X : (X, \emptyset) \hookrightarrow (X, A)$ denote inclusions. Then δ_n is a natural transformation for $n > 0$ and there is a long exact sequence

$$\cdots \longrightarrow H_n(A) \xrightarrow{H_n(\iota_A)} H_n(X) \xrightarrow{H_n(\iota_X)} H_n(X, A) \xrightarrow{\delta_{n,(X,A)}} H_{n-1}(A) \longrightarrow \cdots$$

$$\begin{array}{ccc}
 & \langle c \rangle & \\
 & \downarrow & \\
 \langle b \rangle & \xrightarrow{g_n} & \langle c \rangle \\
 \downarrow \partial_n & & \\
 a & \xrightarrow{f_{n-1}} & \partial_n b \\
 \downarrow & & \\
 \langle a \rangle & &
 \end{array}
 \quad
 \begin{array}{ccc}
 & \langle k(c) \rangle & \\
 & \downarrow & \\
 \langle j_n(b) \rangle & \xrightarrow{g'_n} & \langle k_n(c) \rangle \\
 \downarrow \partial_n & & \\
 i_{n-1}(a) & \xrightarrow{f'_{n-1}} & \partial_n j_n(b) \\
 \downarrow & & \\
 \langle i_{n-1}(a) \rangle & &
 \end{array}$$

(a) $\delta_n \langle c \rangle$. (b) $\delta' \langle k_n(c) \rangle$.

Figure 8

Proof. The only thing to show is that $\delta_n : H_n \Rightarrow H_{n-1} \circ R$ is a natural transformation for $n > 0$. Hence for any $f \in \text{Top}^2((X, A), (Y, B))$, we have to show that

$$\begin{array}{ccc}
 H_n(X, A) & \xrightarrow{\delta_{n,(X,A)}} & H_{n-1}(A) \\
 H_n(f) \downarrow & & \downarrow H_{n-1}(R(f)) \\
 H_n(Y, B) & \xrightarrow{\delta_{n,(Y,B)}} & H_{n-1}(B)
 \end{array}$$

commutes. To this end, consider the following commutative diagram with exact rows in Comp :

$$\begin{array}{ccccccc}
 0 & \longrightarrow & C_\bullet(A) & \xrightarrow{C_\bullet(\iota_A)} & C_\bullet(X) & \xrightarrow{C_\bullet(\iota_X)} & C_\bullet(X, A) \longrightarrow 0 \\
 & & \downarrow C_\bullet(R(f)) & & \downarrow C_\bullet(S(f)) & & \downarrow C_\bullet(f) \\
 0 & \longrightarrow & C_\bullet(\iota_B) & \xrightarrow{C_\bullet(\iota_B)} & C_\bullet(Y) & \xrightarrow{C_\bullet(\iota_Y)} & C_\bullet(Y, B) \longrightarrow 0,
 \end{array}$$

where $S : \text{Top}^2 \rightarrow \text{Top}^2$ is the functor defined by $S(X, A) := (X, \emptyset)$. Applying proposition 3.6 to the above diagram yields the result. \square

The Dimension Axiom

In general, it is hard to compute $H_n(X)$ for an arbitrary topological space X and $n \in \omega$. However, as the next proposition shows, we can always compute $H_0(X)$ for a path connected space X . Combining this with lemma 3.4, we know how $H_0(X)$ looks for an arbitrary topological space X .

Proposition 3.7 (Zeroth Singular Homology Group). *Let $X \in \text{ob}(\text{Top})$ be non empty and path connected. Then $H_0(X) \cong \mathbb{Z}$ and any generator is of the form $\langle x \rangle$ for some $x \in X$.*

Proof. Since $\partial_0 : C_0(X) \rightarrow 0$, $\ker \partial_0 = C_0(X)$. Moreover, a map in $\text{Top}(\Delta^0, X)$ can be identified with a point in X and hence an element of $C_0(X)$ can be written as $\sum_{x \in X} m_x x$. Define a mapping $\Phi : C_0(X) \rightarrow \mathbb{Z}$ by $\Phi(\sum_{x \in X} m_x x) := \sum_{x \in X} m_x$. This mapping is well defined since all but finitely many m_x are zero. It is also easy to check, that Φ is a morphism of groups and that Φ is surjective. We claim that $\ker \Phi = \text{im } \partial_1$. Indeed, if $\sum_{x \in X} m_x x \in \ker \Phi$, then $\sum_{x \in X} m_x = 0$. Let $p \in X$. Since X is path connected, we find for each $x \in X$ a path σ_x from p to x . Consider the singular 1-chain $\sum_{x \in X} m_x \sigma_x$. Then we have

$$\partial_1 \left(\sum_{x \in X} m_x \sigma_x \right) = \sum_{x \in X} m_x (\sigma_x(1) - \sigma_x(0)) = \sum_{x \in X} m_x (x - p) = \sum_{x \in X} m_x x.$$

Hence $\sum_{x \in X} m_x x \in \text{im } \partial_1$. Conversely, it is enough to show the claim on basis elements $\sigma \in \text{Top}(\Delta^1, X)$. We have

$$\Phi(\partial_1 \sigma) = \Phi(\sigma(1) - \sigma(0)) = 1 - 1 = 0.$$

Hence the first isomorphism theorem [Gri07, p. 23] implies that $H_0(X) \cong \mathbb{Z}$. Let $x \in X$. Then $\mathbb{Z}\langle x \rangle = H_0(X)$. Indeed, if $\sum_{y \in X} m_y y \in C_0(X)$, we have that $\sum_{y \in X} m_y \langle y \rangle = \sum_{y \in X} m_y \langle x \rangle$, since X is path connected we always find a path from x to y and hence $\langle x \rangle = \langle y \rangle$ for all $y \in X$. Suppose $\langle g \rangle$, where $g := \sum_{y \in X} m_y y \in C_0(X)$, is a generator of $H_0(X)$. Since isomorphisms map generators to generators, we have that $\Phi(g) = \pm 1$. Replacing g with $-g$, if necessary, we can assume that $\Phi(g) = 1$. Moreover, $g = x + (g - x)$ for any $x \in X$. Then $g - x \in \text{im } \partial_1$. Indeed, we have that $\Phi(g - x) = 1 - 1 = 0$. \square

Proposition 3.8. *Let $* \in \text{ob}(\text{Top})$ be a one point space. Then $H_n(*) = 0$ for all $n \in \omega$, $n > 0$.*

Proof. Since $*$ is a one-point space, we have that there is only one singular n -simplex in $*$, say σ_n . We compute

$$\partial_n \sigma_n = \sum_{k=0}^n (-1)^k \sigma_n \circ \varphi_k^n = \sum_{k=0}^n (-1)^k \sigma_{n-1} = \begin{cases} \sigma_{n-1} & n \text{ even,} \\ 0 & n \text{ odd.} \end{cases}$$

Hence

$$\ker \partial_n = \begin{cases} C_n(*) & n \text{ odd,} \\ 0 & n \text{ even.} \end{cases}$$

Moreover

$$\partial_{n+1}\sigma_{n+1} = \sum_{k=0}^{n+1} (-1)^k \sigma_{n+1} \circ \varphi_k^{n+1} = \sum_{k=0}^{n+1} (-1)^k \sigma_n = \begin{cases} 0 & n \text{ even,} \\ \sigma_n & n \text{ odd.} \end{cases}$$

So

$$\text{im } \partial_{n+1} = \begin{cases} 0 & n \text{ even,} \\ C_n(*) & n \text{ odd,} \end{cases}$$

and thus $H_n(*) = 0$ for all $n > 0$. □

The Homotopy Axiom

The Acyclic Models Theorem.

Definition 3.13 (Models). Let \mathcal{C} be a category. A **family of models for \mathcal{C}** is a set A together with a family $(M_\alpha)_{\alpha \in A}$ of objects in \mathcal{C} .

Definition 3.14 (K-Models). Let \mathcal{C} be a category with family of models $(M_\alpha)_{\alpha \in A}$ and $K : \mathcal{C} \rightarrow \text{AbGrp}$ a functor. A **model for K** is a family $(g_\alpha)_{\alpha \in A}$ where $g_\alpha \in K(M_\alpha)$ for all $\alpha \in A$.

Definition 3.15. Let \mathcal{C} be a locally small category with family of models $(M_\alpha)_{\alpha \in A}$ and $K : \mathcal{C} \rightarrow \text{AbGrp}$ a functor. K is called **free with basis in $(M_\alpha)_{\alpha \in A}$** , if there exists a model $(g_\alpha)_{\alpha \in A}$ for K such that for all $X \in \text{ob}(\mathcal{C})$

$$F(\{K(f)(g_\alpha) : \alpha \in A, f \in \mathcal{C}(M_\alpha, X)\}) \cong K(X), \quad (18)$$

where $F : \text{Set} \rightarrow \text{AbGrp}$ is the free functor from proposition 3.1. The model $(g_\alpha)_{\alpha \in A}$ for K is then called a **model basis for K** .

Example 3.1. Let $n \in \omega$. Then the one-element family (Δ^n) consisting of the standard n -simplex is a family of models for Top . Moreover, let $C_n : \text{Top} \rightarrow \text{AbGrp}$ be the functor which assigns to each topological space X the n -th singular chain group $C_n(X)$. Then C_n is free with basis (id_{Δ^n}) . Indeed, we have that

$$F(\{C_n(\sigma)(\text{id}_{\Delta^n}) : \sigma \in \text{Top}(\Delta^n, X)\}) = F(\{\sigma : \sigma \in \text{Top}(\Delta^n, X)\}) = C_n(X).$$

Proposition 3.9. Let \mathcal{C} be a locally small category with family of models $(M_\alpha)_{\alpha \in A}$ and $K, L : \mathcal{C} \rightarrow \text{AbGrp}$ two functors, where L is free with basis in $(M_\alpha)_{\alpha \in A}$ and model basis $(g_\alpha)_{\alpha \in A}$ for L . Moreover, let $(h_\alpha)_{\alpha \in A}$ be a family such that $h_\alpha \in K(M_\alpha)$ for all $\alpha \in A$. Then there exists a unique natural transformation $\Phi : L \Rightarrow K$ such that $\Phi_{M_\alpha}(g_\alpha) = h_\alpha$ for all $\alpha \in A$.

Chain Homotopies and the Homotopy Axiom.

Definition 3.16 (Chain Homotopies). Two chain maps $f_\bullet, g_\bullet : (C_\bullet, \partial_\bullet) \rightarrow (C'_\bullet, \partial'_\bullet)$ are said to be **chain homotopic**, written $f_\bullet \simeq g_\bullet$, if there exists a sequence $(F_n)_{n \in \mathbb{Z}}$, such that $F_n \in \text{AbGrp}(C_n, C'_{n+1})$ and

$$\partial'_{n+1} \circ F_n + F_{n-1} \circ \partial_n = f_n - g_n,$$

for all $n \in \mathbb{Z}$. The sequence $(F_n)_{n \in \mathbb{Z}}$ is then called a **chain homotopy** and is written $F : f_\bullet \simeq g_\bullet$.

Proposition 3.10. Let $f_\bullet, g_\bullet \in \text{Comp}(C_\bullet, C'_\bullet)$ with $f \simeq g$. Then $H_n(f_\bullet) = H_n(g_\bullet)$ for all $n \in \mathbb{Z}$.

Proof. Assume $F : f_\bullet \simeq g_\bullet$ and let $\langle c \rangle \in H_n(C_\bullet) \rightarrow H_n(C'_\bullet)$. Then

$$\begin{aligned} H_n(f_\bullet)\langle c \rangle &= \langle f_n(c) \rangle \\ &= \langle g_n(c) + \partial'_{n+1}(F_n(c)) + F_{n-1}(\partial_n(c)) \rangle \\ &= \langle g_n(c) + \partial'_{n+1}(F_n(c)) \rangle \\ &= \langle g_n(c) \rangle \\ &= H_n(g_\bullet)\langle c \rangle \end{aligned}$$

since $c \in \ker \partial_n$. □

Proposition 3.11. Let X be a topological space and define $\iota, j : X \rightarrow X \times I$ by

$$\iota(x) := (x, 0) \quad \text{and} \quad j(x) := (x, 1).$$

Then $H_n(\iota) = H_n(j)$ for all $n \in \omega$.

Theorem 3.2 (The Homotopy Axiom). Let $f, g \in \text{Top}(X, Y)$ be freely homotopic. Then $H_n(f) = H_n(g)$ for all $n \in \omega$.

Proof. Using the notation and the result from proposition 3.10, we get that

$$H_n(f) = H_n(F \circ \iota) = H_n(F) \circ H_n(\iota) = H_n(F) \circ H_n(j) = H_n(F \circ j) = H_n(g).$$

□

The Excision Axiom

Barycentric Subdivision.

Definition 3.17 (Cone). Let $m, n \in \omega$, $K \subseteq \mathbb{R}^m$ convex, $v_0, \dots, v_n, w \in K$ and suppose $\alpha := A(v_0, \dots, v_n)$ is an affine n -simplex. Then define the **cone on α from w** , written $\text{Cone}_w(\alpha)$, by

$$\text{Cone}_w(\alpha) := A(w, v_0, \dots, v_n).$$

Moreover, for an affine chain $c := \sum_i m_i \alpha_i$, define

$$\text{Cone}_w(c) := \sum_i m_i \text{Cone}_w(\alpha_i).$$

Lemma 3.1. Let $m, n \in \omega$, $K \subseteq \mathbb{R}^m$ convex, $v_0, \dots, v_n, w \in K$ and $\alpha := A(v_0, \dots, v_n)$. Then

$$\partial \text{Cone}_w(\alpha) + \text{Cone}_w(\partial \alpha) = \alpha.$$

Proof. We compute

$$\begin{aligned} \text{Cone}_w(\partial \alpha) &= \sum_{k=0}^n (-1)^k \text{Cone}_w(A(v_0, \dots, \hat{v}_k, \dots, v_n)) \\ &= \sum_{k=0}^n (-1)^k A(w, v_0, \dots, \hat{v}_k, \dots, v_n), \end{aligned}$$

since $\alpha \circ \varphi_k^n = A(v_0, \dots, \hat{v}_k, \dots, v_n)$. Thus

$$\begin{aligned} \partial \text{Cone}_w(\alpha) &= \sum_{k=0}^{n+1} (-1)^k \text{Cone}_w(\alpha) \circ \varphi_k^{n+1} \\ &= \alpha + \sum_{k=1}^{n+1} (-1)^k A(w, v_0, \dots, \hat{v}_{k-1}, \dots, v_n) \\ &= \alpha - \sum_{k=0}^n (-1)^k A(w, v_0, \dots, \hat{v}_k, \dots, v_n) \\ &= \alpha - \text{Cone}_w(\partial \alpha). \end{aligned}$$

□

Definition 3.18 (Barycenter). Let $\sigma := [v_0, \dots, v_k] \subseteq \mathbb{R}^n$. Define the **barycenter** of σ , written b_σ , to be

$$b_\sigma := \frac{1}{1+k} \sum_{i=0}^k v_i.$$

Definition 3.19 (Affine Barycentric Subdivision Operator). Let $K \subseteq \mathbb{R}^m$ be convex. Define the **affine barycentric subdivision operator** $s : C_n^{\text{aff}}(K) \rightarrow C_n^{\text{aff}}(K)$ inductively by

$$s_n^{\text{aff}}(\sigma) := \begin{cases} \sigma & n = 0, \\ \text{Cone}_{\sigma(b_n)}(s_{n-1}^{\text{aff}}(\partial \sigma)), & n > 0, \end{cases}$$

where $b_n := b_{\Delta^n}$, on affine n -simplices $\sigma : \Delta^n \rightarrow K$ and extend by linearity.

Exercise 3.4. Let $m, n \in \omega$, $K \subseteq \mathbb{R}^m$ convex, $v_0, \dots, v_n, w \in K$. Show that

$$s_n^{\text{aff}}(A(v_0, \dots, v_n)) = \sum_{\sigma \in S_{n+1}} \text{sgn}(\sigma) A(v_0^\sigma, \dots, v_n^\sigma),$$

where

$$v_i^\sigma := \frac{1}{n-i+1} \sum_{k=i}^n v_{\sigma(k)}.$$

Definition 3.20 (Barycentric Subdivision Operator). Let $X \in \text{ob}(\text{Top})$. Define the barycentric subdivision operator $s : C_n(X) \rightarrow C_n(X)$ by

$$s_n(\sigma) := \begin{cases} 0 & n < 0, \\ C_n(\sigma) (s_n^{\text{aff}}(\text{id}_{\Delta^n})) & n \geq 0, \end{cases}$$

on n -simplices σ .

Lemma 3.2. Let $K \subseteq \mathbb{R}^m$ convex. Then for any affine n -simplex α we have that

$$s_n(\alpha) = s_n^{\text{aff}}(\alpha).$$

Proof. Let $\alpha := A(v_0, \dots, v_n)$. Observe that exercise 3.4 yields

$$\begin{aligned} s(\alpha) &= C_n(\alpha)(s_n^{\text{aff}}(\text{id}_{\Delta^n})) \\ &= C_n(\alpha)(s_n^{\text{aff}}(A(e_0, \dots, e_n))) \\ &= \sum_{\sigma \in S_{n+1}} \text{sgn}(\sigma) \alpha \circ A(e_0^\sigma, \dots, e_n^\sigma) \\ &= \sum_{\sigma \in S_{n+1}} \text{sgn}(\sigma) A(v_0^\sigma, \dots, v_n^\sigma) \\ &= s_n^{\text{aff}}(\alpha). \end{aligned}$$

□

Proposition 3.12. The barycentric subdivision operators $(s_n)_{n \in \mathbb{Z}}$ have the following properties:

- (a) For any $f \in \text{Top}(X, Y)$, we have that $s_n \circ C_n(f) = C_n(f) \circ s_n$.
- (b) $\partial_n \circ s_n = s_{n-1} \circ \partial_n$.

Proof. For proving (a), let $\sigma : \Delta^n \rightarrow X$ be an n -simplex. Then by the functoriality of C_n we get that

$$\begin{aligned} (s_n \circ C_n(f))(\sigma) &= s_n(f \circ \sigma) \\ &= C_n(f \circ \sigma)(s_n^{\text{aff}}(\text{id}_{\Delta^n})) \\ &= (C_n(f) \circ C_n(\sigma))(s_n^{\text{aff}}(\text{id}_{\Delta^n})) \end{aligned}$$

$$= (C_n(f) \circ s_n)(\sigma).$$

For proving (b), we do an induction on n . For $n = 0$, this is trivially true. Hence assume (b) holds for some $n \in \omega$. Using lemma 3.1, lemma 3.2 and part (a) we compute

$$\begin{aligned} (\partial \circ s)(\sigma) &= \partial C_{n+1}(\sigma) (s^{\text{aff}}(\text{id}_{\Delta^{n+1}})) \\ &= C_n(\sigma) \partial \text{Cone}_{b_{n+1}} (s^{\text{aff}}(\partial \text{id}_{\Delta^{n+1}})) \\ &= C_n(\sigma) (s^{\text{aff}}(\partial \text{id}_{\Delta^{n+1}}) - \text{Cone}_{b_{n+1}}(\partial s^{\text{aff}} \partial \text{id}_{\Delta^{n+1}})) \\ &= C_n(\sigma) (s(\partial \text{id}_{\Delta^{n+1}}) - \text{Cone}_{b_{n+1}}(\partial s \partial \text{id}_{\Delta^{n+1}})) \\ &= C_n(\sigma) (s(\partial \text{id}_{\Delta^{n+1}}) - \text{Cone}_{b_{n+1}}(s \partial^2 \text{id}_{\Delta^{n+1}})) \\ &= C_n(\sigma) s(\partial \text{id}_{\Delta^{n+1}}) \\ &= s C_n(\sigma) (\partial \text{id}_{\Delta^{n+1}}) \\ &= s \partial C_{n+1}(\sigma) (\text{id}_{\Delta^{n+1}}) \\ &= (s \circ \partial)(\sigma), \end{aligned}$$

for any $n + 1$ -simplex σ . □

The Additivity Axiom

Proposition 3.13. *In Grp, all small products exist.*

Proof. It is easy to show that if $(G_\alpha)_{\alpha \in A}$ is a family of objects in Grp, then the cartesian product $\prod_{\alpha \in A} G_\alpha$ with componentwise product $(gh)_\alpha := g_\alpha h_\alpha$ together with the natural projections $\pi_\alpha : \prod_{\alpha \in A} G_\alpha \rightarrow G_\alpha$, is a universal cone. □

Definition 3.21 (Direct Product). *Small products in Grp are called **direct products**, written $\prod_{\alpha \in A} G_\alpha$.*

Proposition 3.14. *In AbGrp, all small coproduct exist.*

Proof. It is easy to show that if $(G_\alpha)_{\alpha \in A}$ is a family of objects in AbGrp, then the subgroup of $\prod_{\alpha \in A} G_\alpha$ defined by the elements which entries are almost all zero together with the natural inclusions ι_α is a universal cocone. □

Definition 3.22 (Direct Sum). *The small coproducts in AbGrp are called **direct sums**, written $\bigoplus_{\alpha \in A} G_\alpha$.*

Definition 3.23 (Direct Sum Chain Complex). *Let $(C_\bullet^\alpha, \partial_\bullet^\alpha)_{\alpha \in A}$ be a family of chain complexes. Then the chain complex $(\bigoplus_{\alpha \in A} C_\bullet^\alpha, \bigoplus_{\alpha \in A} \partial_\bullet^\alpha)$ defined by*

$$\left(\bigoplus_{\alpha \in A} C_\bullet^\alpha \right)_n := \bigoplus_{\alpha \in A} C_n^\alpha \quad \text{and} \quad \left(\bigoplus_{\alpha \in A} \partial_\bullet^\alpha \right)_n := \bigoplus_{\alpha \in A} \partial_n^\alpha,$$

*for all $n \in \mathbb{Z}$, is called the **direct sum chain complex** of the family $(C_\bullet^\alpha, \partial_\bullet^\alpha)_{\alpha \in A}$.*

Lemma 3.3. Let $(C_\bullet^\alpha, \partial_\bullet^\alpha)_{\alpha \in A}$ be a family in Comp . Then

$$H_n \left(\bigoplus_{\alpha \in A} C_\bullet^\alpha \right) \cong \bigoplus_{\alpha \in A} H_n(C_\bullet^\alpha),$$

for all $n \in \mathbb{Z}$.

Exercise 3.5. Prove lemma 3.3.

Lemma 3.4. Let X be a topological space and let $\{X_\alpha\}_{\alpha \in A}$ denote the set of path components of X . Then

$$H_n(X) \cong \bigoplus_{\alpha \in A} H_n(X_\alpha)$$

for all $n \in \omega$.

Proof. Let $\iota_\alpha : X_\alpha \hookrightarrow X$ denote inclusion for all $\alpha \in A$. Consider

$$\sum_{\alpha \in A} C_n(\iota_\alpha) : \bigoplus_{\alpha \in A} C_n(X_\alpha) \rightarrow C_n(X)$$

and let $\varphi : C_n(X_\alpha) \rightarrow \bigoplus_{\alpha \in A} C_n(X_\alpha)$ the map extended by linearity defined as follows on elements $\sigma \in \text{Top}(\Delta^n, X)$: since Δ^n is path connected, we have that $\sigma(\Delta^n) \subseteq X_\alpha$ for some unique $\alpha \in A$. Just set $x_\alpha := \sigma_k : \Delta^n \rightarrow X_\alpha$ if $\sigma(\Delta^n) \subseteq X_\alpha$ and $x_\alpha := 0$ else. Thus it is easy to show that $\bigoplus_{\alpha \in A} C_n(X_\alpha) \cong C_n(X)$. Then we have $\bigoplus_{\alpha \in A} C_\bullet(X_\alpha) \cong C_\bullet(X)$ as chain complexes, and since functors preserve isomorphisms, the result follows from lemma 3.3. \square

The Brouwer Fixed Point Theorem

Definition 3.24 (Retract). Let $X \in \text{ob}(\text{Top})$ and $S \subseteq X$ a subspace. We say that S is a retract of X , if the inclusion $\iota : S \hookrightarrow X$ admits a retraction in Top .

Lemma 3.5. Let $n \in \mathbb{Z}$, $n \geq 1$. Then \mathbb{S}^n is not a retract of \mathbb{B}^{n+1} .

Proof.

\square

Proposition 3.15. Let $n \in \omega$, $X \in \text{ob}(\text{Top})$ and $f \in \text{Top}(\mathbb{S}^n, X)$. Then the following conditions are equivalent:

- (a) f is nullhomotopic.
- (b) f admits a continuous extension to \mathbb{B}^{n+1} .
- (c) Let $p \in \mathbb{S}^n$. Then $f \simeq_p c_{f(p)}$.

Proof. We show (a) \Rightarrow (b) \Rightarrow (c) \Rightarrow (a). Assume that (a) holds. Hence we have that $H : f \simeq c_p$ for some $p \in X$. Define $g : \mathbb{B}^{n+1} \rightarrow X$ by

$$g(x) := \begin{cases} p & 0 \leq |x| \leq \frac{1}{2}, \\ H(x/|x|, 2 - 2|x|) & \frac{1}{2} \leq |x| \leq 1. \end{cases}$$

Then $g \in \text{Top}(\mathbb{B}^{n+1}, X)$ by the gluing lemma and $g|_{\mathbb{S}^n} = f$. Assume that (b) holds. So let $g \in \text{Top}(\mathbb{B}^{n+1}, X)$ be an extension of f . Define $H : \mathbb{S}^n \times I \rightarrow X$ by

$$H(x, t) := g((1 - t)x + tp, t).$$

Then it is easy to check that $H : f \simeq_p c_{f(p)}$. Finally, (c) \Rightarrow (a) is immediate. \square

Theorem 3.3 (Brouwer Fixed Point Theorem). *Let $n \in \mathbb{Z}$, $n \geq 1$. Then every mapping $f \in \text{Top}(\mathbb{B}^n, \mathbb{B}^n)$ has a fixed point.*

Proof.

\square

The Hurewicz Theorem

Abelianizations.

Proposition 3.16. *The forgetful functor $U : \text{AbGrp} \rightarrow \text{Grp}$ admits a left adjoint.*

Proof. Let $G \in \text{ob}(\text{Grp})$. For $g, h \in G$, define the **commutator of g and h** , written $[g, h]$, by $[g, h] := ghg^{-1}h^{-1}$. Moreover, set

$$X_G := \{[g, h] : g, h \in G\}$$

and define the **commutator subgroup of G** , written $[G, G]$, by $[G, G] := \langle X_G \rangle$.

Lemma 3.6. *For all $G \in \text{ob}(\text{Grp})$, $[G, G] \trianglelefteq G$.*

Proof. We follow [Lee11, p. 265]. Clearly, $[G, G] \leq G$. By [KM13, p. 31] we have that

$$\langle X \rangle = \{x_1 \cdots x_n : n \in \omega \setminus \{0\}, x_1, \dots, x_n \in X_G \cup X_G^{-1}\}.$$

It is easy to check that $X_G = X_G^{-1}$ and thus

$$\langle X \rangle = \{x_1 \cdots x_n : n \in \omega \setminus \{0\}, x_1, \dots, x_n \in X_G\}.$$

Let $k \in G$ and $x_1 \cdots x_n \in [G, G]$. Since

$$kx_1 \cdots x_n k^{-1} = kx_1 k^{-1} kx_2 k^{-1} k \cdots kx_n k^{-1}$$

it is enough to show that $k[g, h]k^{-1} \in [G, G]$ for all $g, h \in G$. But this immediately follows from

$$k[g, h]k^{-1} = kghg^{-1}h^{-1}k^{-1} = [kgk^{-1}, khk^{-1}].$$

Thus $[G, G] \trianglelefteq G$. \square

Lemma 3.7. $G \in \text{ob}(\text{AbGrp})$ if and only if $[G, G] = \{1\}$.

Proof. Let $G \in \text{ob}(\text{AbGrp})$. Then $[g, h] = 1$ for all $g, h \in G$, which implies $X_G = \{1\}$ and thus $\langle X_G \rangle = \{1\}$. Conversely, since $X_G \subseteq [G, G] = \{1\}$, we have that $[g, h] = 1$ for all $g, h \in G$ which is equivalent to $gh = hg$ for all $g, h \in G$. \square

Corollary 3.2. The quotient group $G/[G, G]$ is abelian.

Proof. By lemma 3.7 it is enough to show that $[G/[G, G], G/[G, G]]$ is trivial. We actually show that $X_{G/[G, G]} = \{1\}$. This immediately follows from

$$[g[G, G], h[G, G]] = ghg^{-1}h^{-1}[G, G] = [G, G]$$

for $g[G, G], h[G, G] \in G/[G, G]$. \square

Hence define $\text{Ab} : \text{Grp} \rightarrow \text{AbGrp}$ on objects by

$$\text{Ab}(G) := G/[G, G].$$

The abelian group $\text{Ab}(G)$ is called the **abelianization of G** . On morphisms $\varphi : G \rightarrow H$ in Grp define $\text{Ab}(\varphi) : \text{Ab}(G) \rightarrow \text{Ab}(H)$ by setting $\text{Ab}(\varphi)(g[G, G]) := \varphi(g)[H, H]$. It is easy to check that this is a well defined morphism of abelian groups.

Let $H \in \text{ob}(\text{AbGrp})$ and $\psi \in \text{AbGrp}(\text{Ab}(G), H)$. Define $\bar{\psi} \in \text{Grp}(G, U(H))$ by setting $\bar{\psi}(g) := \psi(g[G, G])$. If $\varphi \in \text{Grp}(G, U(H))$, define $\bar{\varphi} \in \text{AbGrp}(\text{Ab}(G), H)$ by $\bar{\varphi}(g[G, G]) := \varphi(g)$. It is easy to check that this mapping is actually well defined and that $\bar{\bar{\psi}} = \psi$ and $\bar{\bar{\varphi}} = \varphi$ holds. \square

Exercise 3.6. In proposition 3.16, check that $\text{Ab} : \text{Grp} \rightarrow \text{AbGrp}$ is indeed a functor and the naturality of the bijection in both arguments.

The Hurewicz Morphism. Since elements of $H_1(X)$ are homology classes of loops, one might suspect that there is a connection between the fundamental group $\pi_1(X, p)$ of a path connected space X at p and the first singular homology group $H_1(X)$. However, since $H_1(X)$ is always abelian and $\pi_1(X, p)$ is not necessarily abelian, they cannot be equal. In this section we use a little trick which makes matters simpler: if c is any singular n -chain, not necessarily an n -cycle, we can also take its equivalence class modulo n -boundaries. We shall denote this class also with $\langle c \rangle$. Clearly, if c is an n -cycle, then $\langle c \rangle$ is the usual homology class.

Theorem 3.4 (Hurewicz Theorem). Let $X \in \text{ob}(\text{Top})$ be path connected and $p \in X$. Then $\text{Ab}(\pi_1(X, p)) \cong H_1(X)$.

Proof. We show the result in a sequence of lemmata.

Lemma 3.8. The mapping $h : \pi_1(X, p) \rightarrow H_1(X)$ defined by $h([u]) := \langle u \rangle$ is well defined.

Proof. First of all, since $u \in \Omega(X, p)$, we have that $u \in C_1(X)$. Moreover, $\partial u = u(1) - u(0) = p - p = 0$. Thus u has a homology class $\langle u \rangle$. Let us check that h is well defined. Suppose that $[u] = [v]$. Hence $F : u \simeq_{\partial I} v$. Consider the fundamental loop $\omega \in \Omega(S^1, 1)$. By [Lee11, p. 70], ω is a quotient map. Since $u, v \in \Omega(X, p)$, there exist $\tilde{u}, \tilde{v} \in \text{Top}(S^1, X)$, such that $\tilde{u} \circ \omega = u$ and $\tilde{v} \circ \omega = v$ (see [Lee11, p. 72]). Since I is a locally compact Hausdorff space [Lee11, p. 107] implies that $\omega \times \text{id}_I$ is a quotient map. Thus F passes to the quotient and yields a map $\tilde{F} \in \text{Top}(S^1 \times I, X)$. Now it is easy to check that $\tilde{F} : \tilde{u} \simeq_{\{1\}} \tilde{v}$. Thus an application of the homotopy axiom yields

$$\langle u \rangle = \langle \tilde{u} \circ \omega \rangle = H_1(\tilde{u})(\langle \omega \rangle) = H_1(\tilde{v})(\langle \omega \rangle) = \langle \tilde{v} \circ \omega \rangle = \langle v \rangle.$$

□

Lemma 3.9. *Let u be a path in X from p to q . Then $\langle \bar{u} \rangle = -\langle u \rangle$.*

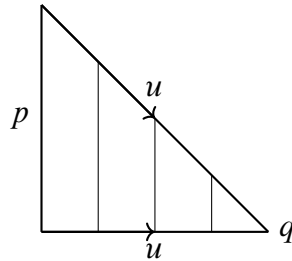
Proof. From figure 9a, we deduce that an appropriate definition of a singular 2-simplex σ would be

$$\sigma(x, y) := u(x).$$

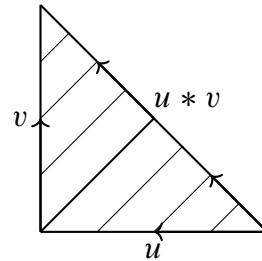
Indeed

$$\partial \sigma = \bar{u} - c_p + u$$

and since c_p is the boundary of $\sigma_p \in \text{Top}(\Delta^2, X)$ defined by $\sigma_p(x, y) := p$, we have that $\bar{u} + u$ is a boundary. □



(a) $\langle \bar{u} \rangle = -\langle u \rangle$.



(b) $\langle u * v \rangle = \langle u \rangle + \langle v \rangle$.

Lemma 3.10. *Let u and v be paths in X from p to q and from q to r , respectively. Then $\langle u * v \rangle = \langle u \rangle + \langle v \rangle$.*

Proof. Consider figure 9b. The thin lines correspond to where $y - x$ is constant. Hence define $\sigma : \Delta^2 \rightarrow X$ by

$$\sigma(x, y) := \begin{cases} u(y - x + 1) & 0 \leq y \leq x \leq 1, \\ v(y - x) & 0 \leq x \leq y \leq 1. \end{cases}$$

An application of the gluing lemma shows that σ is actually a singular 2-simplex. Moreover

$$\partial \sigma = u * v - v + \bar{u}.$$

Hence lemma 3.9 yield

$$0 = \langle u * v - v + \bar{u} \rangle = \langle u * v \rangle - \langle v \rangle - \langle u \rangle.$$

□

Corollary 3.3. *h is a morphism of groups.*

Corollary 3.4. *Let u, v, w be composable paths in X . Then $\langle (u * v) * w \rangle = \langle u * (v * w) \rangle$.*

Lemma 3.11. *h is surjective.*

Proof. Let $x \in X$. If $x = p$, define $\gamma_p := c_p$. If $x \neq p$, by the path connectedness of X we can choose a path γ_x from p to x . Hence we get a map $\gamma : X \rightarrow \text{Top}(\Delta^1, X)$. Extending by linearity yields a mapping $\gamma : C_0(X) \rightarrow C_1(X)$. Let $c := \sum_{k=1}^n m_k \sigma_k$ be a 1-cycle in X . Consider

$$[u] := [\gamma_{\sigma_1(0)} * \sigma_1 * \overline{\gamma_{\sigma_1(1)}}]^{m_1} \cdots [\gamma_{\sigma_n(0)} * \sigma_n * \overline{\gamma_{\sigma_n(1)}}]^{m_n} \in \pi_1(X, p).$$

Now lemma 3.9 and 3.10, corollary 3.3 and 3.4 yields

$$\begin{aligned} h([u]) &= \sum_{k=1}^n m_k \langle \gamma_{\sigma_k(0)} * \sigma_k * \overline{\gamma_{\sigma_k(1)}} \rangle \\ &= \sum_{k=1}^n m_k (\langle \gamma_{\sigma_k(0)} \rangle + \langle \sigma_k \rangle + \langle \overline{\gamma_{\sigma_k(1)}} \rangle) \\ &= \sum_{k=1}^n m_k (\langle \gamma_{\sigma_k(0)} \rangle + \langle \sigma_k \rangle - \langle \gamma_{\sigma_k(1)} \rangle) \\ &= \langle c \rangle - \sum_{k=1}^n m_k \langle \gamma_{\sigma_k(1) - \sigma_k(0)} \rangle \\ &= \langle c \rangle - \sum_{k=1}^n m_k \langle \gamma_{\partial \sigma_k} \rangle \\ &= \langle c \rangle - \langle \gamma_{\partial c} \rangle \\ &= \langle c \rangle. \end{aligned}$$

□

Lastly, we want to show that $\ker h = [\pi_1(X, p), \pi_1(X, p)]$. Since then the first isomorphism theorem implies $\text{Ab}(\pi_1(X, p)) \cong H_1(X)$. Since $H_1(X)$ is abelian, clearly $[\pi_1(X, p), \pi_1(X, p)] \subseteq \ker h$ and thus h factors uniquely $\tilde{h} : \text{Ab}(\pi_1(X, p)) \rightarrow H_1(X)$. The next lemma will be useful.

Lemma 3.12. *Let $\sigma : \Delta^2 \rightarrow X$ be a singular 2-simplex. Define $\sigma^{(k)} := \sigma \circ \varphi_k^2$ for $k = 0, 1, 2$. Then $[\sigma^{(0)} * \overline{\sigma^{(1)}} * \sigma^{(2)}] = [c_{\sigma(e_1)}]$.*

Proof. Let $u := \sigma^{(0)} * \overline{\sigma^{(1)}} * \sigma^{(2)}$. Since $\mathbb{B}^2 \approx \Delta^2$, we can consider $\sigma : \mathbb{B}^2 \rightarrow X$. One can check that the circle representative \tilde{u} of u is the reparametrized restriction $\sigma|_{\mathbb{S}^1}$. Since reparametrizations are invariant under homotopies, we have that u is a nullhomotopic loop. \square

Let $\sigma \in \text{Top}(\Delta^1, X)$. Define $g(\sigma) := [\gamma_{\sigma(0)} * \sigma * \overline{\gamma_{\sigma(1)}}]_{\text{Ab}}$, where $[u]_{\text{Ab}}$ denotes the equivalence class of $[u]$ in $\text{Ab}(\pi_1(X, p))$. Since $\text{Ab}(\pi_1(X, p))$ is abelian, extension by linearity yields a map $g : C_1(X) \rightarrow \text{Ab}(\pi_1(X, p))$.

Lemma 3.13. g vanishes on $\text{im } \partial_2$.

Proof. Let $\sigma \in \text{Top}(\Delta^2, X)$. Then lemma 3.12 yields

$$\begin{aligned} g(\partial\sigma) &= g(\sigma^{(0)}) g(\sigma^{(1)})^{-1} g(\sigma^{(2)}) \\ &= [\gamma_{\sigma(e_1)} * \sigma^{(0)} * \overline{\gamma_{\sigma(e_2)}} * \gamma_{\sigma(e_2)} * \overline{\sigma^{(1)}} * \overline{\gamma_{\sigma(e_0)}} * \gamma_{\sigma(e_0)} * \sigma^{(2)} * \overline{\gamma_{\sigma(e_1)}}]_{\text{Ab}} \\ &= [\gamma_{\sigma(e_1)} * \sigma^{(0)} * \overline{\sigma^{(1)}} * \sigma^{(2)} * \overline{\gamma_{\sigma(e_1)}}]_{\text{Ab}} \\ &= [\gamma_{\sigma(e_1)} * c_{\sigma(e_1)} * \overline{\gamma_{\sigma(e_1)}}]_{\text{Ab}} \\ &= [c_p]_{\text{Ab}}. \end{aligned}$$

\square

By lemma 3.13, g passes to the quotient and yields a map $\tilde{g} : H_1(X) \rightarrow \text{Ab}(\pi_1(X, p))$. Moreover

$$(\tilde{g} \circ \tilde{h})[u]_{\text{Ab}} = \tilde{g}(h[u]) = \tilde{g}\langle u \rangle = g(u) = [c_p * u * \overline{c_p}]_{\text{Ab}} = [u]_{\text{Ab}}$$

and thus \tilde{h} admits a retraction in AbGrp which implies that \tilde{h} is injective. Hence $\ker \tilde{h}$ is trivial and thus if we write $\pi : \pi_1(X, p) \rightarrow \text{Ab}(\pi_1(X, p))$ for the canonical projection

$$\ker h = \ker(\tilde{h} \circ \pi) = (\tilde{h} \circ \pi)^{-1}(0) = \pi^{-1}(\tilde{h}^{-1}(0)) = \pi^{-1}(0) = [\pi_1(X, p), \pi_1(X, p)].$$

\square

Definition 3.25 (Hurewicz Homomorphism). Let $X \in \text{ob}(\text{Top})$ and $p \in X$. The homomorphism $h : \pi_1(X, p) \rightarrow H_1(X)$ defined in theorem 3.4 is called the **Hurewicz homomorphism**.

Proposition 3.17. Let $U : \text{AbGrp} \rightarrow \text{Grp}$ denote the forgetful functor. Then the Hurewicz homomorphism is a natural transformation $\pi_1 \Rightarrow U \circ H_1$.

Proof.

\square

The Jordan-Brouwer Separation Theorem

Lemma 3.14. Let $X \in \text{ob}(\text{Top})$, $S \in \text{ob}(\text{Set})$ and $f : U(X) \rightarrow S$ a bijection. Then S can be equipped with a topology such that f becomes a homeomorphism.

Proof. Let \mathcal{T} be the topology on X . Then it is easy to see, that

$$\varphi(\mathcal{T}) := \{\varphi(U) : U \in \mathcal{T}\}$$

is the right topology on S . □

Lemma 3.15. *Given a sequential diagram*

$$X_0 \xrightarrow{\iota_0} X_1 \xrightarrow{\iota_1} X_2 \xrightarrow{\iota_2} \dots$$

in Top, we have that $\varinjlim_n X_n \cong \bigcup_{n \in \omega} X_n$.

Proof. Using the construction in proposition 1.1, we have that a sequential colimit in Set is given by $\bigsqcup_{n \in \omega} X_n / \sim$, where it is easy to check that in this case $x \in X_n \sim y \in X_m$ if and only if $x = y$. Moreover, we have that $\bigsqcup_{n \in \omega} X_n / \sim \cong \bigcup_{n \in \omega} X_n$ in Set, as one can easily show by considering the map $[x_n] \mapsto x_n$. Using lemma 3.14, we get that $\bigsqcup_{n \in \omega} X_n / \sim \cong \bigcup_{n \in \omega} X_n$ in Top. Moreover, it is easy to check that a set $U \subseteq \bigcup_{n \in \omega} X_n$ is open if and only if $U \cap X_n$ is open in X_n for all $n \in \omega$. □

APPENDIX A

Set Theory

Basic Concepts

Problem A.1. Let $n \in \mathbb{N}$ and $a_{kj} \in \mathbb{C}$ for $k = 0, \dots, n+1$, $j = 0, \dots, n$. Show that

$$\sum_{k=0}^{n+1} \sum_{j=0}^n a_{kj} = \sum_{0 \leq k \leq j \leq n} a_{kj} + \sum_{0 \leq j < k \leq n+1} a_{kj}.$$

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