

MAT602 - FUNCTIONAL ANALYSIS

YANNIS BÄHNI

Contents

1	Linear Operators	1
1.1	Continuous Operators	1
1.2	The Hahn-Banach Theorem	1
1.3	Reflexivity	2
1.4	Hilbert Space Methods	2
2	Baire Category Theorem	2
2.1	Baire Category Theorem and Banach-Steinhaus	2

1. Linear Operators

1.1. Continuous Operators.

Definition 1.1. Let $(X, \|\cdot\|_X)$ and $(Y, \|\cdot\|_Y)$ be two normed spaces. An **operator** is a linear mapping $T : X \rightarrow Y$. Moreover, we say that an operator $T : X \rightarrow Y$ is **bounded** if there exists $c > 0$ such that

$$\|T(x)\|_Y \leq c\|x\|_X \quad (1)$$

holds for all $x \in X$.

1.2. The Hahn-Banach Theorem.

Lemma 1.1. Let V be a real vector space, $S \subsetneq V$ a linear subspace, $p : V \rightarrow \mathbb{R}$ a sublinear functional, $f : S \rightarrow \mathbb{R}$ linear and $x_0 \in V \setminus S$. Moreover, assume that $f \leq p$ on S . Then there exists $F : S + \mathbb{R}x_0 \rightarrow \mathbb{R}$ linear such that $F \leq p$ on $S + \mathbb{R}x_0$ and $F|_S = f$.

Theorem 1.1 (Hahn-Banach, \mathbb{R}). Let V be a vector space over \mathbb{R} , $S \subseteq V$ a linear subspace and $f : S \rightarrow \mathbb{R}$ linear. Moreover, let $p : V \rightarrow \mathbb{R}$ be a sublinear functional such that $f \leq p$ on S . Then there exists $F : V \rightarrow \mathbb{R}$ linear such that $F \leq p$ on V and $F|_S = f$.

(Yannis Bähni) UNIVERSITY OF ZURICH, RÄMISTRASSE 71, 8006 ZURICH
E-mail address: yannis.baehni@uzh.ch.

Theorem 1.2 (Hahn-Banach, \mathbb{R} or \mathbb{C}). Let V be a vector space over \mathbb{K} , $q : V \rightarrow \mathbb{R}$ a seminorm, $S \subseteq V$ a linear subspace and $f : S \rightarrow \mathbb{K}$ linear with $|f| \leq q$ on S . Then there exists $F : V \rightarrow \mathbb{K}$ linear with $F|_S = f$ and $|F| \leq q$ on V .

Corollary 1.1 (Extension). Let $(X, \|\cdot\|)$ be a normed space over \mathbb{K} , $S \subseteq X$ a linear subspace and $f \in S^*$. Then there exists $F \in X^*$ such that $F|_S = f$ and $\|F\|_{X^*} = \|f\|_{S^*}$.

Corollary 1.2 (Separation). Let $(X, \|\cdot\|)$ be a normed space over \mathbb{K} and $x_0 \in X \setminus \{0\}$. Then there exists $f \in X^*$ with $\|f\| = 1$ and $f(x_0) = \|x_0\|$.

1.3. Reflexivity.

Proposition 1.1. Let X be a normed vector space over \mathbb{K} . Then the mapping $\Phi : X \rightarrow X^{**}$ defined by $\Phi(x) := \varphi_x$, where $\varphi_x : X^* \rightarrow \mathbb{K}$ is defined by $\varphi_x(f) := f(x)$, is a linear isometry.

Theorem 1.3. Let X be a Banach space. Then X is reflexive if and only if X^* is reflexive.

1.4. Hilbert Space Methods.

Theorem 1.4 (Riesz's Representation Theorem). Let $(H, \langle \cdot, \cdot \rangle)$ be a Hilbert space over \mathbb{K} . The mapping $\Psi : H \rightarrow H^*$ defined by $(\Psi(x))(y) := \langle x, y \rangle$ is an anti-linear isometric isomorphism.

Corollary 1.3. Every Hilbert space is reflexive.

Theorem 1.5 (Lax-Milgram). Let H be a Hilbert space over \mathbb{K} and let $a : H \times H \rightarrow \mathbb{K}$ be a sesquilinear form. Moreover, suppose that there are constants $0 < c_0 \leq C_0 < \infty$ such that

$$\begin{aligned} |a(x, y)| &\leq C_0 \|x\| \|y\| && \text{(Continuity),} \\ \operatorname{Re} a(x, x) &\geq c_0 \|x\|^2 && \text{(Coercivity),} \end{aligned}$$

for all $x, y \in H$. Then there exists a unique $A \in \mathcal{L}(H)$ such that

$$a(x, y) = \langle Ax, y \rangle \tag{2}$$

for all $x, y \in H$. Moreover, A is invertible with

$$\|A\| \leq C_0 \quad \text{and} \quad \|A^{-1}\| \leq \frac{1}{c_0}. \tag{3}$$

2. Baire Category Theorem

2.1. Baire Category Theorem and Banach-Steinhaus.

Theorem 2.1 (Baire Category Theorem). Every complete metric space is a Baire space.

Theorem 2.2 (Banach-Steinhaus). *Let X be a Banach space, Y a normed space and $\mathcal{F} \subseteq \mathcal{L}(X, Y)$. Assume that for all $x \in X$ there exists $c_x \geq 0$ such that*

$$\sup_{T \in \mathcal{F}} \|T(x)\| \leq c_x. \quad (4)$$

Then there exists $c \geq 0$ with

$$\sup_{T \in \mathcal{F}} \|T\| \leq c. \quad (5)$$