

# Castelnuovo-Mumford Regularity of Toric Surfaces

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  - teaching and learning statement

#### Betti tables

Given an ideal  $I \subseteq R = \mathbb{K}[x_0, \dots, x_n]$ , a free resolution "approximates" R/I. The Betti table records the ranks of the summands appearing in a free resolution.

#### **Definition**

Let

$$0 \leftarrow R/I \leftarrow F_0 \leftarrow F_1 \leftarrow \dots F_n \leftarrow 0$$

be the minimal free resolution of R/I with  $F_i = \bigoplus_j R(-j)^{\beta_{i,j}}$ . The Betti table of  $F_{\bullet}$  is a table where the entry in the j-th row and i-th column is  $\beta_{i,i+j}$ ,

## **Example**

$$R = \mathbb{K}[x, y, z] \text{ and } I = \langle x^2, y^2, z^3 \rangle$$

$$0 \leftarrow R/I \leftarrow R \xleftarrow{(x^2 \ y^2 \ z^3)} \underset{R(-3)}{\overset{R(-2)^2}{\oplus}} \xleftarrow{\begin{pmatrix} -y^2 - z^3 & 0 \\ x^2 & 0 & -z^3 \\ 0 & x^2 & y^2 \end{pmatrix}} \underset{R(-5)^2}{\overset{R(-4)}{\oplus}} \xleftarrow{\begin{pmatrix} z^3 \\ -y^2 \\ x^2 \end{pmatrix}} R(-7) \leftarrow 0$$

	0	1	2	3
0	1			
1		2		
1 2		1	1	
3			2	
4				1

## **Example**

$$R = \mathbb{K}[x, y, z]$$
 and  $I = \langle x^2, y^2, z^3 \rangle$ 

$$0 \leftarrow R/I \leftarrow R \leftarrow \frac{R(-(1+1))^2}{\oplus} \leftarrow \frac{R(-(2+2))}{R(-(2+3))^2} \leftarrow R(-(3+4)) \leftarrow 0$$

	0	1	2	3
0	1			
1		2		
2		1	1	
2			2	
4				1

## Castelnuovo-Mumford regularity

#### **Definition**

Let  $I \subseteq \mathbb{K}[x_0, \dots, x_n]$  be a homogeneous ideal, and consider the minimal free resolution

$$0 \leftarrow R/I \leftarrow F_0 \leftarrow F_1 \leftarrow \cdots \leftarrow F_{n+1} \leftarrow 0$$

of R/I, where  $F_i \cong \bigoplus_j R(-i-j)^{\beta_{i,i+j}}$ . The Castelnuovo-Mumford regularity (or simply regularity) is

$$\operatorname{reg}(R/I) = \max_{i,j} \left\{ j : \beta_{i,i+j} \neq 0 \right\}.$$

The regularity is the index of the bottom row of the Betti table.

## Castelnuovo-Mumford regularity

#### **Definition**

A coherent sheaf  $\mathcal{F}$  on  $\mathbb{P}^n$  is m-regular if

$$H^{i}(\mathcal{F}(m-i))=0$$

for all i>0. The Castelnuovo-Mumford regularity (or simply regularity) is

$$\inf\left\{d\ :\ H^i(\mathcal{F}(d-i))=0\ \text{for all}\ i>0\right\}.$$

#### **Monomial curves**

#### Definition

The monomial curve with exponents  $a_1 \leq \ldots \leq a_{n-1}$  in  $\mathbb{P}^n$  is the curve  $C \subset \mathbb{P}^n$  of degree  $d = a_n$  parameterized by

$$\varphi \colon \mathbb{P}^1 \to \mathbb{P}^n \quad \text{with} \quad (s,t) \mapsto (s^d, s^{d-a_1}t^{a_1}, \dots, s^{d-a_{n-1}}t^{a_{n-1}}, t^d).$$

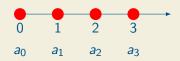
## Theorem (L'vovsky; 1996)

Let  $A = (0, a_1, ..., a_n)$  be a sequence of non-negative integers such that the g.c.d. of the  $a_j$ 's equals 1, and let C be the corresponding monomial curve. Then C is m-regular, where

$$m = \max_{1 \le i < j \le n} \left\{ \left( a_i - a_{i-1} \right) + \left( a_j - a_{j-1} \right) \right\},$$

i.e., m is the sum of the two largest gaps in the semigroup generated by A.

## **Example (twisted cubic)**



$$A = \begin{pmatrix} 0 & 1 & 2 & 3 \end{pmatrix} \longrightarrow \begin{pmatrix} 0 & 1 & 2 & 3 \\ 3 & 2 & 1 & 0 \end{pmatrix}$$

$$\varphi(s,t) = \begin{pmatrix} s^3, & s^2t, & st^2, & t^3 \end{pmatrix}$$

$$I_C = \langle x_2^2 - x_1 x_3, & x_1 x_2 - x_0 x_3, & x_1^2 - x_0 x_2 \rangle$$

$$0 \leftarrow R/I_C \leftarrow R \leftarrow R(-2)^3 \leftarrow R(-3)^2 \leftarrow 0$$

$$\operatorname{reg}(I_C) = 2 < (a_1 - a_0) + (a_2 - a_1) = 1 + 1 = 2$$

```
i1 : kk = ZZ/32749;
i2 : I = monomialCurveIdeal(kk[x_0..x_3], {1,2,3})
o2 = ideal(x - xx, xx - xx, x - xx)
           2 13 12 03 1 02
i3 : print betti res I
     0 1 2
total: 1 3 2
 0:1..
  1: . 3 2
```

## **Example (sporadic)**



$$A = \begin{pmatrix} 0 & 3 & 5 & 7 \end{pmatrix} \longrightarrow \begin{pmatrix} 0 & 3 & 5 & 7 \\ 7 & 4 & 2 & 0 \end{pmatrix}$$

$$\varphi(s,t) = \begin{pmatrix} s^7, & s^3t^4, & s^5t^2, & t^7 \\ x_0 & x_1 & x_2 & x_3 \end{pmatrix}$$

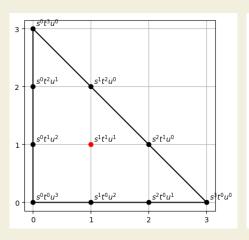
$$I_C = \langle x_2^2 - x_1x_3, & x_1^3x_2 - x_0^2x_3^2, & x_1^4 - x_0^2x_2x_3 \rangle$$

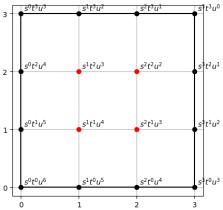
$$0 \leftarrow R/I_C \leftarrow R \leftarrow \bigoplus_{R(-4)^2}^{R(-2)} \leftarrow R(-5)^2 \leftarrow 0$$

$$\operatorname{reg}(I_C) = 4 \le (a_1 - a_0) + (a_2 - a_1) = 3 + 2 = 5$$

```
i1: kk = ZZ/32749;
i2: I = monomialCurveIdeal(kk[x_0..x_3], {3,5,7})
           3 22 4 2
o2 = ideal (x - x x, x x - x x, x - x x)
          2 13 12 03 1 023
i3 : print betti res I
  0 1 2
total: 1 3 2
  0:1..
   1: . 1 .
   2: . . .
   3: . 2 2
```

#### **Toric surfaces**



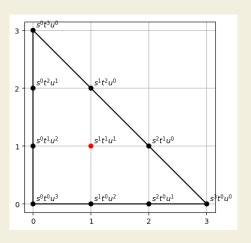


#### Goal

Extend L'vovsky's result to toric surfaces, i.e., find a combinatorial bound on the regularity of toric surfaces.

- Look at toric surfaces which are defined by an incomplete linear series.
- Include all points on the boundary of a polygon and exclude all its interior points.
- These are usually not normal, but may be smooth.

## Setup



$$A = \begin{pmatrix} 0 & 0 & 3 \\ 0 & 3 & 0 \end{pmatrix}$$

$$\downarrow \quad \text{Conv}(A) \setminus \text{Int}(A)$$

$$\begin{pmatrix} 0 & 1 & 2 & \cdots & 0 & 0 & 0 \\ 0 & 0 & 0 & \cdots & 3 & 2 & 1 \end{pmatrix}$$

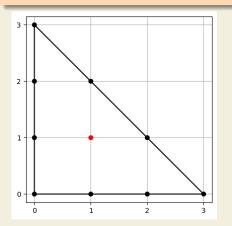
$$\downarrow \quad \text{homogenize}$$

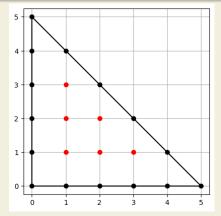
$$\tilde{A} = \begin{pmatrix} 0 & 1 & 2 & \cdots & 0 & 0 & 0 \\ 0 & 0 & 0 & \cdots & 3 & 2 & 1 \\ 3 & 2 & 1 & \cdots & 0 & 1 & 2 \end{pmatrix}$$

## Hollow triangle

#### Definition

Suppose 
$$A = \begin{pmatrix} 0 & k & 0 \\ 0 & 0 & k \end{pmatrix}$$
. The hollow triangle of length  $k$  is  $\triangle^k := \tilde{A}$ .





## Hollow triangle data

```
0 1 2 3
2 | total: 1 6 8 3
       0:1...
       1: . 6 8 3
          0 1 2 3 4 5 6 7 8
3 | total: 1 17 53 91 108 83 37 9 1
       1: . 17 43 36 8 . .
       2: . . 10 55 100 83 37 9 1
```

## Hollow triangle data

```
0 1 2 3 4 5 6 7 8 9 10 11
total: 1 33 153 525 1356 2178 2205 1486 675 201 36 3
   1: . 33 123 144 30
   2: . . 30 381 1326 2178 2205 1486 675 201 36 3
      0 1 2 3 4 5 6 7 8 9
total: 1 54 389 2028 7845 18957 30393 34672 29106 18162
   1: . 54 266 462 174
                        15
   2: . . 123 1566 7671 18942 30393 34672 29106 18162
```

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#### Results

#### Lemma

For all  $d \geq 2$ ,  $(R/I_{\triangle^k})_d = (\overline{R/I_{\triangle^k}})_d$ .

#### Theorem

For all  $k \geq 2$ ,  $\operatorname{reg}(\triangle^k) = 2$ .

#### Results

#### Lemma

For all  $d \geq 2$ ,  $(R/I_{\square^k})_d = (\overline{R/I_{\triangle^k}})_d$ .

#### Theorem

For all  $k \geq 2$ ,  $\operatorname{reg}(\square^k) = 2$ .

#### Proof sketch of theorem

• Use the short exact sequence of sheaves

$$0 o \mathscr{I}_{\square^k}(d) o \mathscr{O}_{\mathbb{P}^{4k-1}}(d) o \mathscr{O}_{\square^k}(d) o 0$$

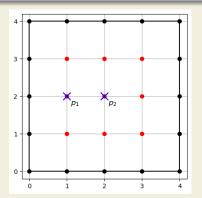
to eventually get a short exact sequence

$$0 \to R/I_{\square^k} \to \overline{R/I_{\square^k}} \to N \to 0.$$

- By the lemma, N is generated is only generated by degree 1 monomials.
- $\operatorname{reg}(R/I_{\square^k}) \leq \max(\operatorname{reg}(\overline{R/I_{\square^k}}), N) = \operatorname{reg}(\overline{R/I_{\square^k}}) = 2.$

#### Proof sketch of lemma

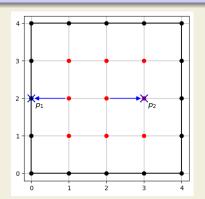
- Showing  $(R/I_{\square^k})_d = (\overline{R/I_{\square^k}})_d$  for  $d \ge 2$  amounts to a computation with the lattice points of  $\square^k$ .
- We are done if for any  $p_1, p_2 \in \overline{\square^k}$ , we can write  $p_1 + p_2 = q_1 + q_2$  with  $q_1, q_2 \in \overline{\square^k}$ .



$$p_1 + p_2 = \begin{pmatrix} 1 \\ 2 \\ 5 \end{pmatrix} + \begin{pmatrix} 2 \\ 2 \\ 4 \end{pmatrix} = \begin{pmatrix} 3 \\ 4 \\ 9 \end{pmatrix}$$

### **Proof sketch of lemma**

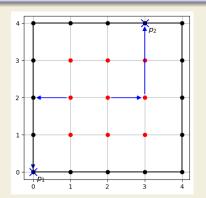
- Showing  $(R/I_{\square^k})_d = (\overline{R/I_{\square^k}})_d$  for  $d \ge 2$  amounts to a computation with the lattice points of  $\square^k$ .
- We are done if for any  $p_1, p_2 \in \overline{\square^k}$ , we can write  $p_1 + p_2 = q_1 + q_2$  with  $q_1, q_2 \in \square^k$ .



$$p_1 + p_2 = \begin{pmatrix} 1 \\ 2 \\ 5 \end{pmatrix} + \begin{pmatrix} 2 \\ 2 \\ 4 \end{pmatrix} = \begin{pmatrix} 3 \\ 4 \\ 9 \end{pmatrix}$$
$$= \begin{pmatrix} 0 \\ 2 \\ 6 \end{pmatrix} + \begin{pmatrix} 3 \\ 2 \\ 3 \end{pmatrix}$$

#### Proof sketch of lemma

- Showing  $(R/I_{\square^k})_d = (R/I_{\square^k})_d$  for  $d \ge 2$  amounts to a computation with the lattice points of  $\square^k$ .
- We are done if for any  $p_1, p_2 \in \overline{\square^k}$ , we can write  $p_1 + p_2 = q_1 + q_2$  with  $q_1, q_2 \in \square^k$ .

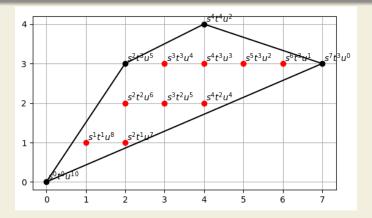


$$p_1 + p_2 = \begin{pmatrix} 1 \\ 2 \\ 5 \end{pmatrix} + \begin{pmatrix} 2 \\ 2 \\ 4 \end{pmatrix} = \begin{pmatrix} 3 \\ 4 \\ 9 \end{pmatrix}$$
$$= \begin{pmatrix} 0 \\ 2 \\ 6 \end{pmatrix} + \begin{pmatrix} 3 \\ 2 \\ 3 \end{pmatrix}$$
$$= \begin{pmatrix} 0 \\ 0 \\ 8 \end{pmatrix} + \begin{pmatrix} 3 \\ 4 \\ 1 \end{pmatrix}$$

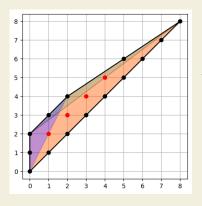
## "Bad Boy"

In general, the regularity can be arbitrarily large by using

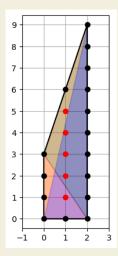
$$A = \tilde{A} = \begin{pmatrix} 0 & d & d-1 & d \\ 0 & d-1 & d & d \end{pmatrix}.$$



## Smooth is not enough



## Smooth is not enough



Thank you!