

Holor Calculus v1.1 — RTTP as a Functorial Kernel

(Markdown-only, category-flavored, ready to splice into "Holor Categories")

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Version Note: This functorial formulation of RTTP (Resonant Tensor Transaction Protocol) reflects work that was completed and in internal use **≈10 months prior** to this v1.1 integration; we are now making its categorical structure explicit within Holor Calculus.

I. Two Worlds: Holors and Tensors as Categories

We work with two conceptual categories:

- **Category `Ho1` (Holors)**
 - **Objects:** holors `H` equipped with signatures
 $\text{Sig}(\mathcal{H}) = (\Phi^\mu, T_\chi, \mathfrak{R}_e)$.
 - **Morphisms:** signature-preserving (or bounded-drift) maps between holors, typically:
 - phase-respecting embeddings,
 - holor updates,
 - alignment-preserving transformations.
- **Category `Ten` (Tensors-as-Projections)**
 - **Objects:** tensors `T` with attached metadata:
 - origin holor ID (or reference),
 - phase/window parameters (e.g. $\Delta\phi$, context),
 - local signature snapshot.
 - **Morphisms:** admissible tensor operations (linear maps, contractions, etc.) that are:
 - phase-bounded (do not exceed allowed signature drift),
 - compatible with RTTP (i.e. they yield a meaningful return).

The spirit:

`Ho1` is the semantic world.

`Ten` is the computational projection world.

RTTP is the disciplined bridge between them.

II. The Two Key Functors: Extraction and Update

We define two (endowed) functors:

1. Extraction Functor $E : \text{Ho1} \rightarrow \text{Ten}$

- On objects:

$$E(\mathcal{H}) = T_H$$

where T_H is a tensor extracted from \mathcal{H} via a phase-aware operator ∂_Φ , along with its metadata:

$$T_H = (\text{raw_tensor}, \text{origin} = \mathcal{H}, \text{Sig}(\mathcal{H}), \text{phase_window} = \Delta\phi, \text{context})$$

- On morphisms:

Given a holor morphism $f : \mathcal{H}_1 \rightarrow \mathcal{H}_2$ (e.g., a signature-preserving update), we define:

$$E(f) : E(\mathcal{H}_1) \rightarrow E(\mathcal{H}_2)$$

as the induced tensor-level map, e.g. pulling back or pushing forward tensors while respecting the phase structure.

Intuition: E is “flatten with memory”. It is **never** a blind projection; the metadata ensures the tensor “remembers” its holor of origin.

2. Update Functor $U : \text{Ten} \rightarrow \text{Ho1}$

- On objects:

$$U(T_H) = \mathcal{H}_T$$

where \mathcal{H}_T is the **minimal holor update** consistent with the tensor’s:

- origin holor reference,
- accumulated phase drift $\delta\psi$,
- and the RTTP constraints.

In practice, U is often an *incremental* functor: it does not instantiate a new holor from scratch, but:

$$U(T_H) = \mathcal{H}_{\text{origin}} + R(\delta\psi)$$

with R the recursive re-alignment operator.

- On morphisms:

Given an admissible tensor morphism $g : T_H \rightarrow T_{H'}$, we set:

$$U(g) : U(T_H) \rightarrow U(T_{H'})$$

as the holor-level morphism that accounts for the delta in phase/structure implied by g .

Intuition: U is “re-thicken with accountability”. It pulls tensor-world operations back into holor-world learning.

III. RTTP as a Natural Transformation: $\text{Id}_{\text{Hol}} \Rightarrow U \circ E$

We now express RTTP as a **natural transformation**:

$$\mathcal{T}_{\text{RTTP}} : \text{Id}_{\text{Hol}} \Rightarrow U \circ E$$

This is the categorical statement that:

For every holor \mathcal{H} , there is a canonical way to

- extract a tensor,
 - potentially act on it in Ten ,
 - and update \mathcal{H} accordingly,
- such that this whole pipeline behaves coherently with respect to holor morphisms.

Concretely, for each object \mathcal{H} in Hol , RTTP gives a morphism:

$$\mathcal{T}_{\text{RTTP}}(\mathcal{H}) : \mathcal{H} \rightarrow (U \circ E)(\mathcal{H})$$

Think of it as:

$$\mathcal{H} \text{ --(extract+return)--> } \mathcal{H}'$$

where:

- $E(\mathcal{H}) = T_H$ is the borrowed tensor,
- we (possibly) manipulate T_H via RTTP-admissible morphisms in Ten ,
- U pulls the result back up as an updated holor \mathcal{H}' .

The **naturality condition** says:

For any holor morphism $f : \mathcal{H}_1 \rightarrow \mathcal{H}_2$, the following diagram commutes:

$$\begin{array}{ccc}
 \mathcal{H}_1 & \xrightarrow{f} & \mathcal{H}_2 \\
 | & & | \\
 \mathcal{T}_{RTTP}(\mathcal{H}_1) & & \mathcal{T}_{RTTP}(\mathcal{H}_2) \\
 | & & | \\
 U(E(\mathcal{H}_1)) & \xrightarrow{U(E(f))} & U(E(\mathcal{H}_2))
 \end{array}$$

In words:

Whether you:

1. update the holor first (f), then run RTTP, or
2. run RTTP first, then propagate the result via the induced tensor and holor maps, you end up in the same place (up to the tolerances encoded in RTTP).

This is the categorical form of:

“Borrow–use–return” must be consistent with any legitimate change in holor context.

IV. RTTP Axioms Rephrased in Category Language

We can now restate the RTTP axioms in this functorial language.

Axiom 1 (Coherent Borrowing) $\rightarrow E$ is Signature-Faithful

The extraction functor E is **signature-faithful**:

- On each object \mathcal{H} , $E(\mathcal{H})$ must carry $Sig(\mathcal{H})$ in its metadata.
- For any holor morphism $f : \mathcal{H}_1 \rightarrow \mathcal{H}_2$, the induced tensor morphism $E(f)$ must not erase the origin or signature information beyond allowed drift.

Equivalently:

$$Sig(E(\mathcal{H})) \subseteq Sig(\mathcal{H})$$

and **there exists** a compatible U such that $U \circ E$ can reconstruct or update \mathcal{H} from $E(\mathcal{H})$.

This is the categorical version of:

A tensor may only be borrowed if the holor remembers how to resonate it.

Axiom 2 (Bounded Usage) \rightarrow Admissible Morphisms in \mathbf{Ten}

We define a **sub-category** $\mathbf{Ten_RTTP} \subseteq \mathbf{Ten}$ where:

- Objects: same as \mathbf{Ten} (tensors-with-metadata).
- Morphisms: only those tensor operations $g : T \rightarrow T'$ for which:
 - the induced phase drift $\delta\psi$ stays within the holor's bounds,
 - and the update functor U exists and is **well-defined** on g .

So for T_H in the image of E , we require:

```
g ∈ Hom_Ten_RTTP(T_H, T_H')  
⇒ U(g) : U(T_H) → U(T_H') is defined and phase-admissible.
```

This encodes:

Only those computations on tensors that preserve a valid return path are allowed in RTTP.

Axiom 3 (Obligatory Return) \rightarrow Totality of $\mathcal{T_RTTP}$

The natural transformation:

```
 $\mathcal{T\_RTTP} : \mathbf{Id\_Ho1} \Rightarrow U \circ E$ 
```

is **total** over the RTTP-admissible domain:

- For every holor \mathcal{H} in $\mathbf{Ho1}$, $\mathcal{T_RTTP}(\mathcal{H})$ is *defined*.
- For every holor morphism f , the naturality square commutes (possibly with explicitly tracked defects representing intentional learning drift).

This is precisely:

Every RTTP-admissible extraction **must** admit a return morphism back into the holor category.

No “orphan tensors” are allowed in $\mathbf{Ten_RTTP}$. If there is no admissible return via U , the operation is *not* an RTTP morphism.

V. How a Simple Example Looks in This Language

Let's recast the previous 2D example in minimal category-flavored Markdown.

Objects

- \mathcal{H} in Hol : a 2D holor with signature
 $\text{Sig}(\mathcal{H}) = ((\phi, \theta), \chi, \kappa)$.
- T_H in Ten : a 2×2 tensor with metadata:

```
T_H = E( $\mathcal{H}$ ) = {  
  data:  
    [ [  $\kappa$ ,           $\theta$  ],  
      [  $\theta$ ,  $\kappa + \chi$  ] ],  
  origin:  $\mathcal{H}$ ,  
  Sig_origin:  $\text{Sig}(\mathcal{H})$ ,  
  phase_window:  $\Delta\phi$ ,  
  context: ...  
}
```

Extraction (the object part of E)

We apply E to \mathcal{H} to get T_H . This is $E(\mathcal{H})$.

Tensor morphism in $\mathsf{Ten_RTTP}$

We define a morphism $g : T_H \rightarrow T_{H'}$ in $\mathsf{Ten_RTTP}$:

$$g(T_H) = T_{H'}$$

where $T_{H'}.data = L^T T_H.data L$ for

$$L = \begin{bmatrix} 1, & \theta \\ 0, & \lambda \end{bmatrix}$$

and we extend $T_{H'}$'s metadata:

$$\begin{aligned} T_{H'}.origin &= \mathcal{H} \\ T_{H'}.Sig_origin &= \text{Sig}(\mathcal{H}) \\ T_{H'}.phase_drift &= \delta\psi = (\theta, (\lambda^2 - 1)(\kappa + \chi)) \end{aligned}$$

RTTP-bounded usage: g is in Ten_RTTP only if this $\delta\psi$ is within tolerance.

Return via U

Now we apply U :

$$\begin{aligned} U(T_H) &= \mathcal{H} && \text{(no learning yet, } \delta\psi = 0) \\ U(T_{H'}) &= \mathcal{H}' && \text{(updated holor, } \delta\psi \text{ absorbed)} \end{aligned}$$

Here, $U(g)$ is the morphism $\mathcal{H} \rightarrow \mathcal{H}'$ whose effect is to:

- keep Φ^μ unchanged,
- adjust χ (or κ) according to $\delta\psi$.

So:

$$U(g) : \mathcal{H} \rightarrow \mathcal{H}'$$

is the holor-level echo of the tensor-level operation g .

RTTP as the natural square

Now, if we have a holor morphism $f : \mathcal{H} \rightarrow \mathcal{H}_2$ (e.g., embedding \mathcal{H} into a bigger composite holor \mathcal{H}_2), then naturality demands:

$$\begin{aligned} (U \circ E)(f) \circ \mathcal{T}_{\text{RTTP}}(\mathcal{H}) \\ = \mathcal{T}_{\text{RTTP}}(\mathcal{H}_2) \circ f \end{aligned}$$

which, operationally, says:

1. Start from \mathcal{H} ,
2. either:
 - change to \mathcal{H}_2 then run RTTP there,
 - or run RTTP at \mathcal{H} (extract, use, return as \mathcal{H}'), then apply the holor-level map induced by f ,
3. both ways must line up (again, up to explicitly tracked learning drift).

This is how RTTP becomes not just “a story about tensors and holors” but a **coherent functorial kernel** for Holor Calculus.

VI. How to Slot This into v1.1

We insert this Markdown as:

Section: Holor Categories and the RTTP Functor

- Subsection: Categories Hol and Ten
- Subsection: The Functors E and U
- Subsection: RTTP as a Natural Transformation
- Subsection: A Simple RTTP Diagram in Practice

And in the version note / changelog:

"Holor Calculus v1.1 makes explicit the categorical structure of the Resonant Tensor Transaction Protocol (RTTP) as a natural transformation $\mathcal{T}_{\text{RTTP}} : \text{Id}_{\text{HoL}} \Rightarrow U \circ E$ between a holor category HoL and a tensor projection category Ten . This structure has been in use in our internal notebooks for approximately ten months before this public integration; the current update formalizes it for collaborators and future work."
