



Introduction to Heap

Data Structure

* Finding smallest, largest or any query type conditions in data structures heap method is used. It gives the result in constant time i.e $O(1)$.

→ For example finding the smallest element in an array or largest element in an array etc. It can be done in $O(1)$ time

→ $O(N)$ element find smallest number

3	8	4	19	20	12	36	..
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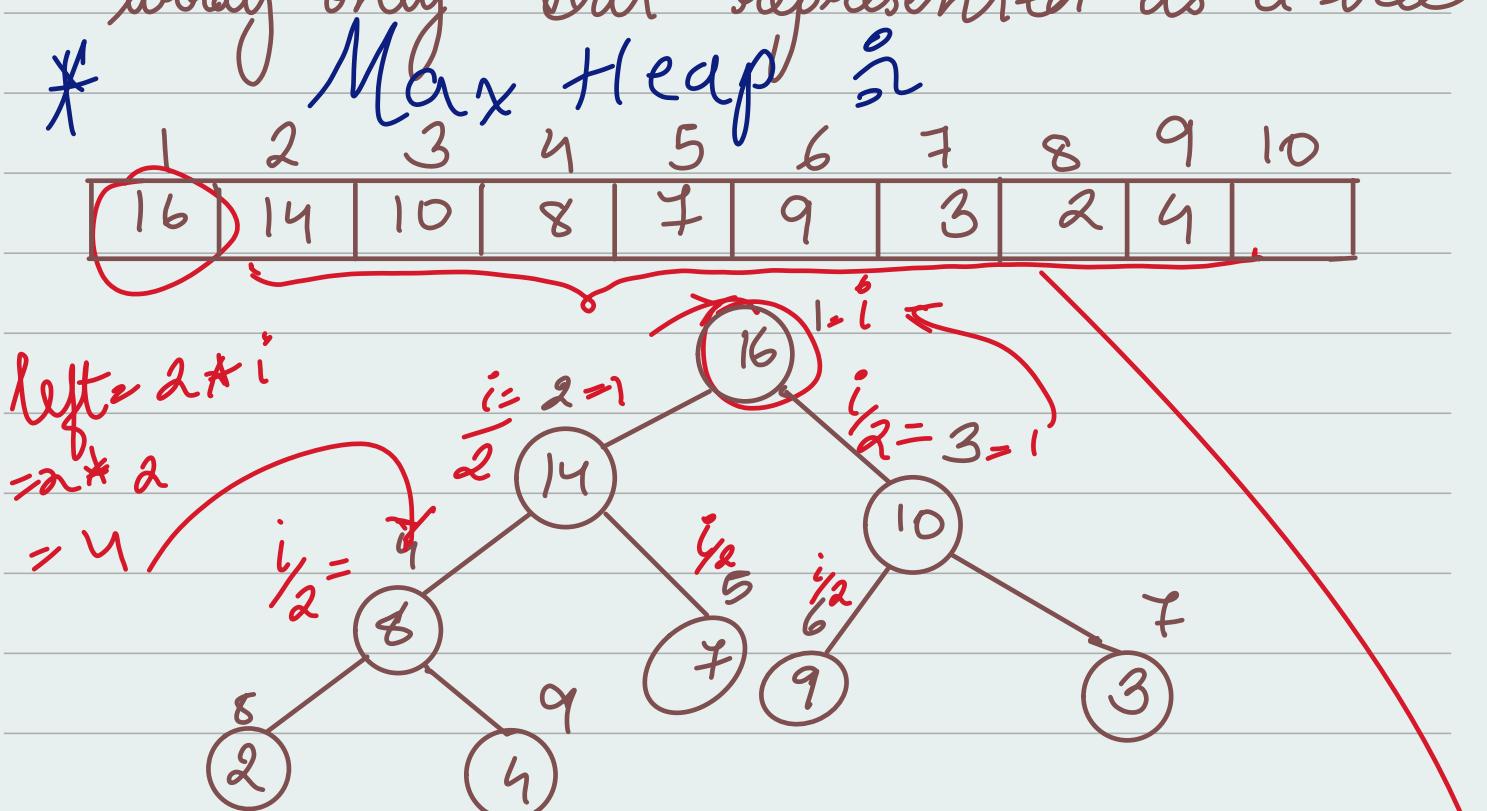
→ First sort the element while entering the number in the array smallest would be in 0^{th} index and largest would be at last index

2	3	4	8	19	20	36
→ $O(1)$						

→ But here is a problem if we want to insert an element in an array it will take an average of $O(N \log N)$ to insert one element. Can it be reduced to less time complexity? Yes, answer is heaps

→ Given a condition on collection of n items. The result will be in constant times. Heap data structure is used. Inserting an element would take $\log(n)$

→ Heaps are stored internally as an array only. But represented as a tree.



- ① Complete Binary Tree
- ② Every Node value \geq All of its children

may not be sorted

$$\left\{
 \begin{array}{l}
 \text{root} \Rightarrow i = 1 \\
 \text{parent}(i) = \frac{i}{2} \\
 \text{left}(i) = 2 * i \\
 \text{right}(i) = 2 * i + 1
 \end{array}
 \right.$$

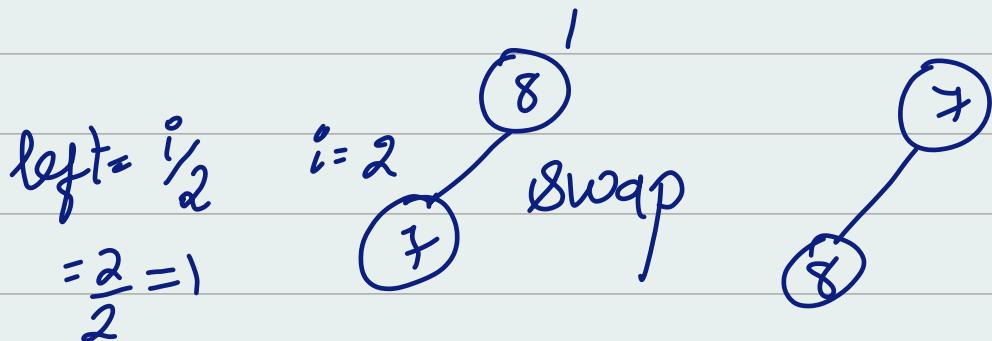
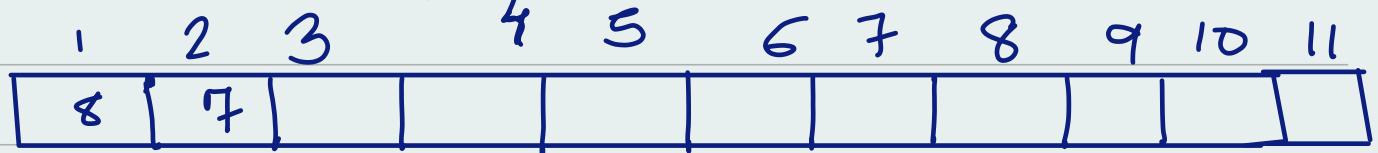
} no pointers required.
 } height of the binary tree
 = $\log(N)$

Because it does not have node left or node right.

we will use this formula to get into every node

- \rightarrow Suppose we want to insert 37 at very first index and remove 16 from there.
- \rightarrow How to insert and how to delete

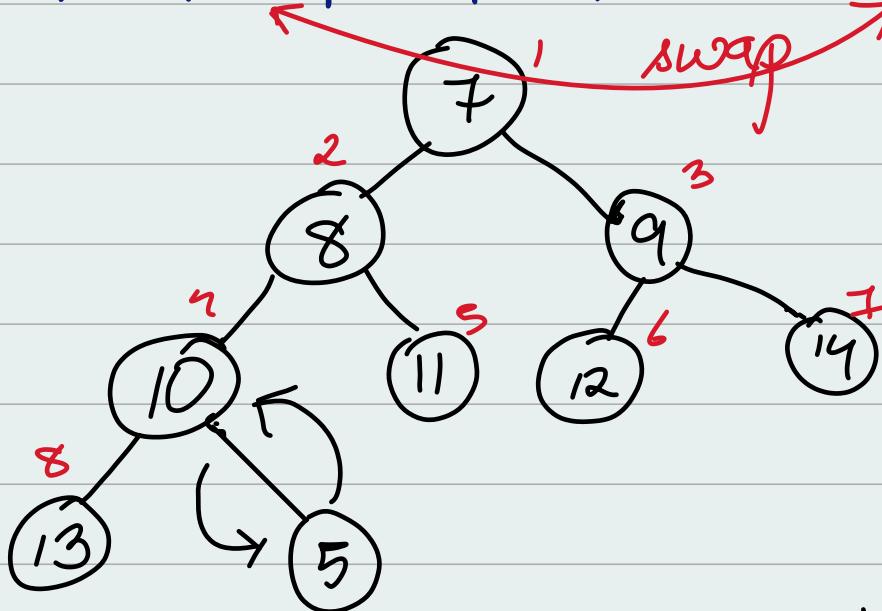
Min Heap Insert



here $\text{val}(\text{node}) \leq \text{all of its children}$

$$7 \leq 8$$

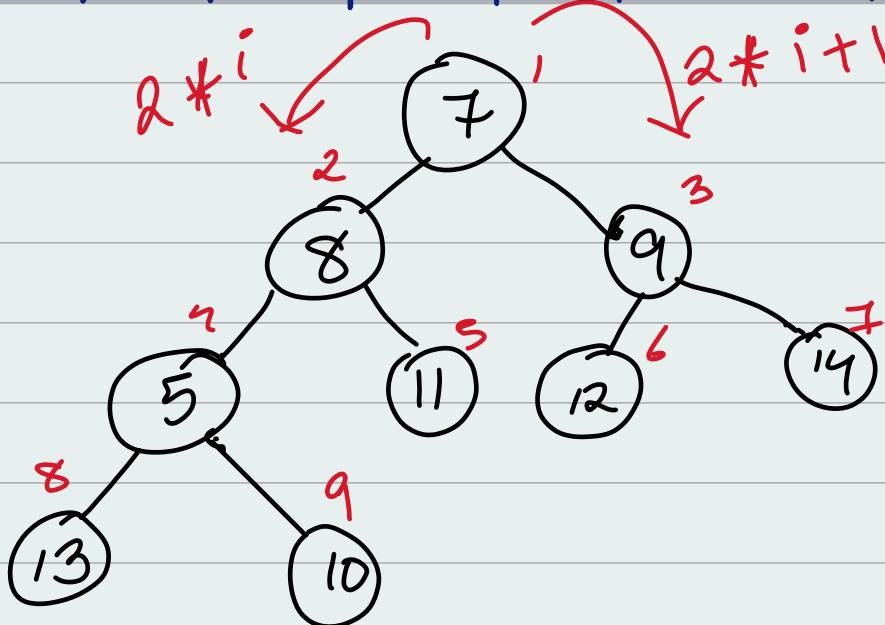
First item should be minimum violating the condition



condition violated

parent of 5 greater than 5, swap

1	2	3	4	5	6	7	8	9	10	11
7	8	9	5	11	12	14	13	10		



→ We don't have to check whether 5 is greater than 13 or left side of the node. Cause value of the node is \leq all of its children node.

For 10,

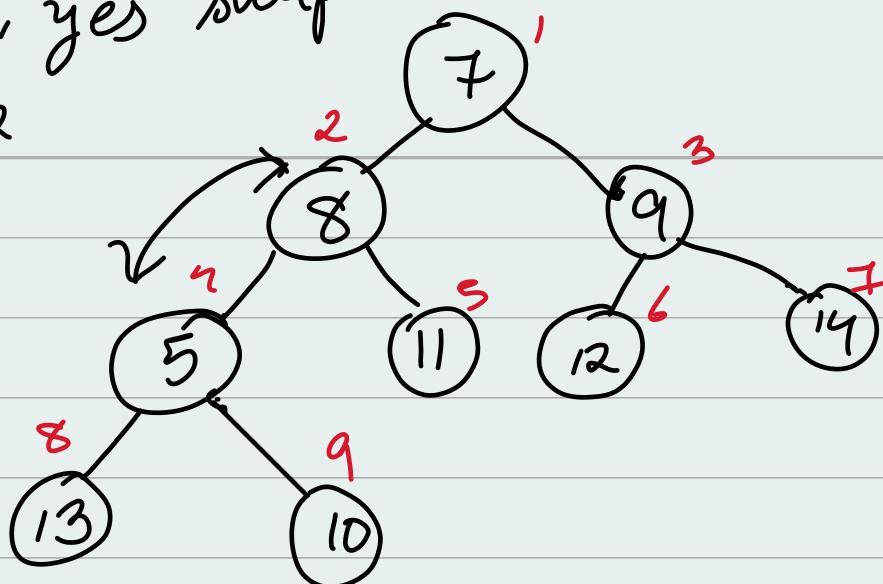
$13 > 10$ and $5 < 10$ then obviously $5 < 13$ Hence no need to check.

|| keep checking until it not breaking any laws.

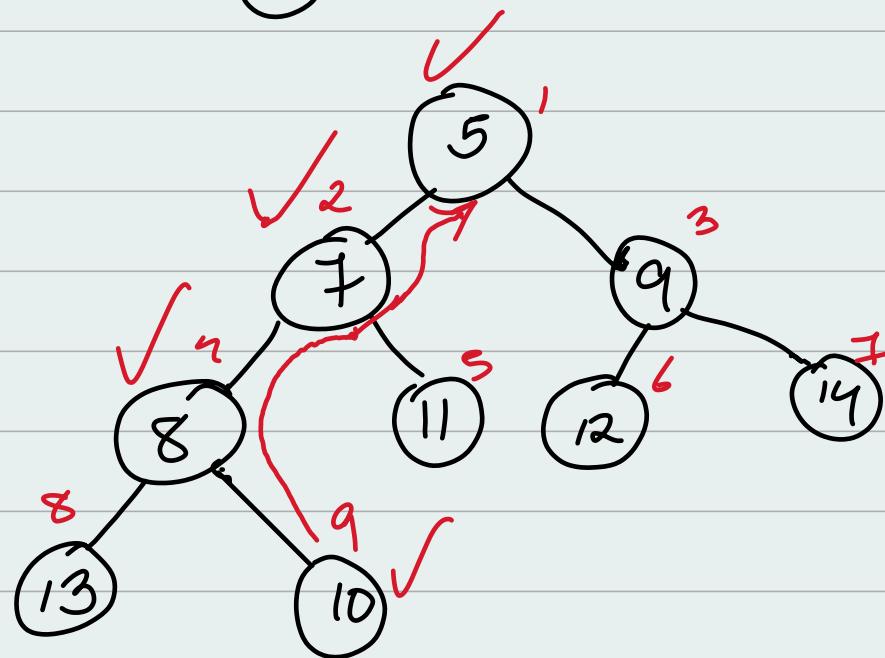
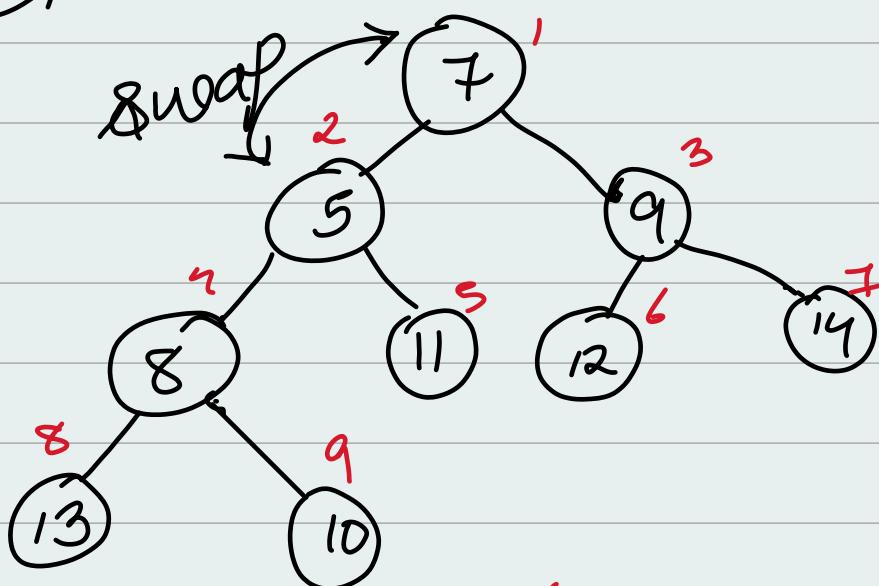
1	2	3	4	5	6	7	8	9	10	11
7	8	9	5	11	12	14	13	10		

↑ ↑

is 8 > 5, yes swap
left = 0 / 2



1	2	3	4	5	6	7	8	9	10	11
7	5	9	8	11	12	14	13	10		

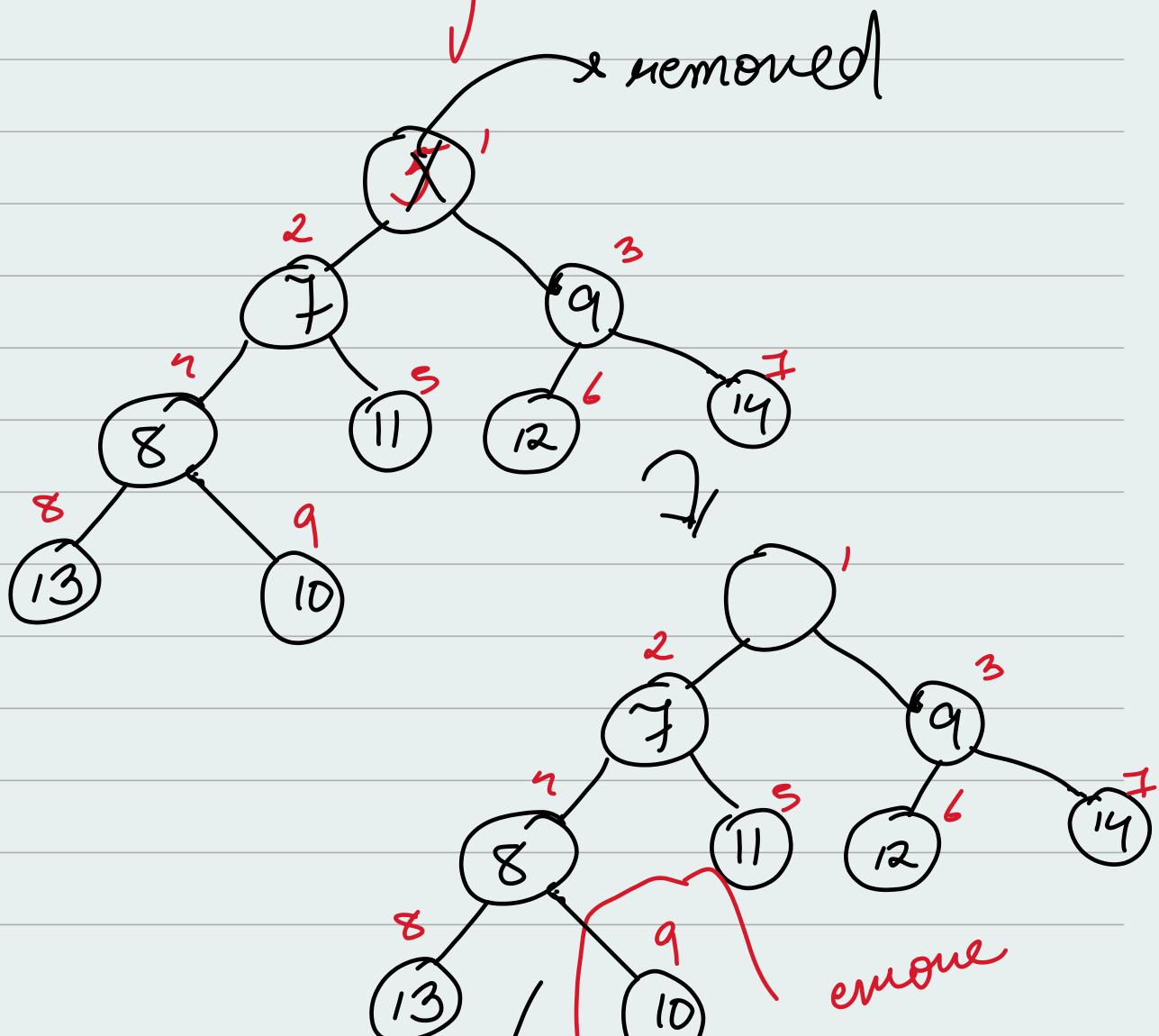


1	2	3	4	5	6	7	8	9	10
5	7	9	8	11	12	14	13	10	

→ This is known as up heap method.

→ Checking once every level. Total height is $\log(N)$ so inserting is $\log(N)$.

→ Removing the first item in the min heap ③



Rebalancing the tree by putting the next smallest item in the min heap tree

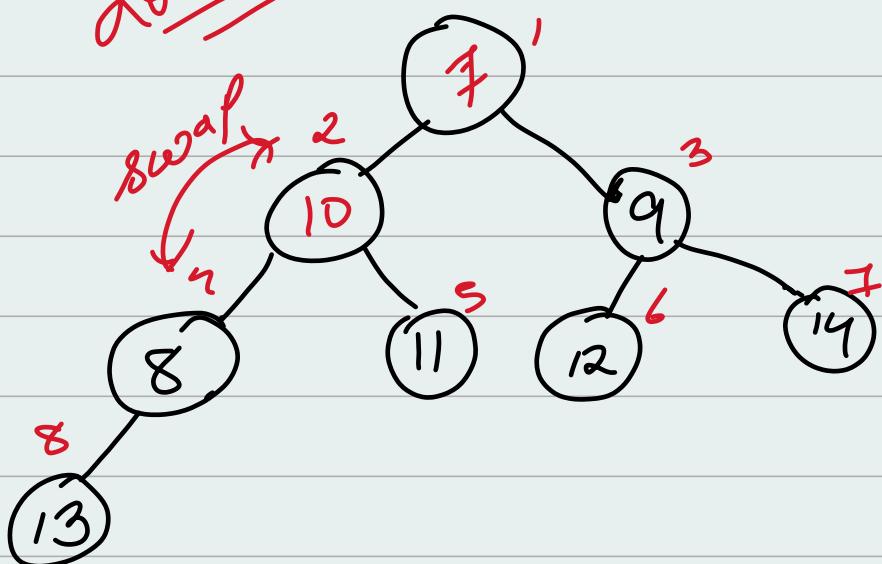
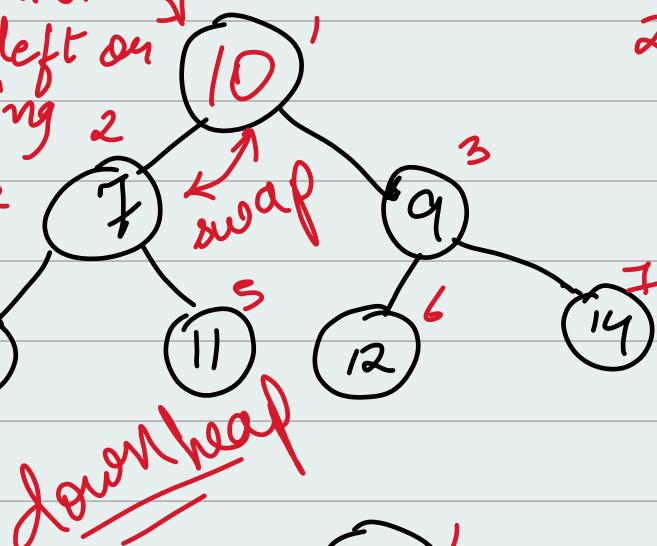
→ Take the last element (i.e 10 in the above array) and put it in the first index because it can be done easily.

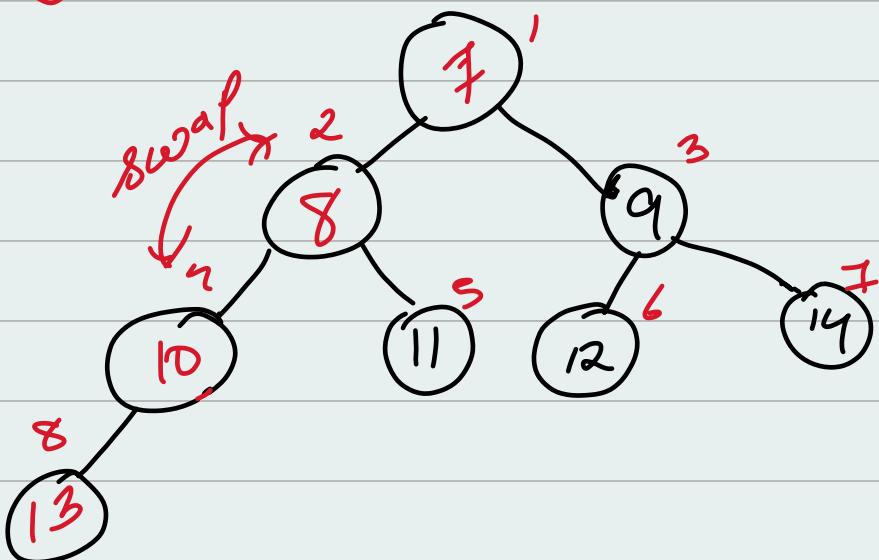
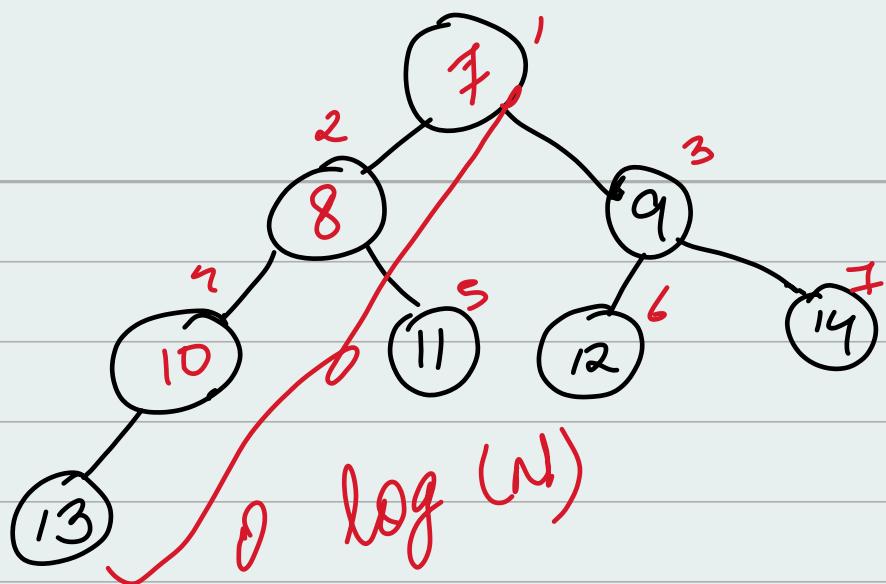
1	2	3	4	5	6	7	8	9	10	11
10	7	9	8	11	12	14	13			

check what item is smaller left or right by using 2 formula it can be done easily.

7 is smaller than the left side

so swap





* Priority Queue using Linked List

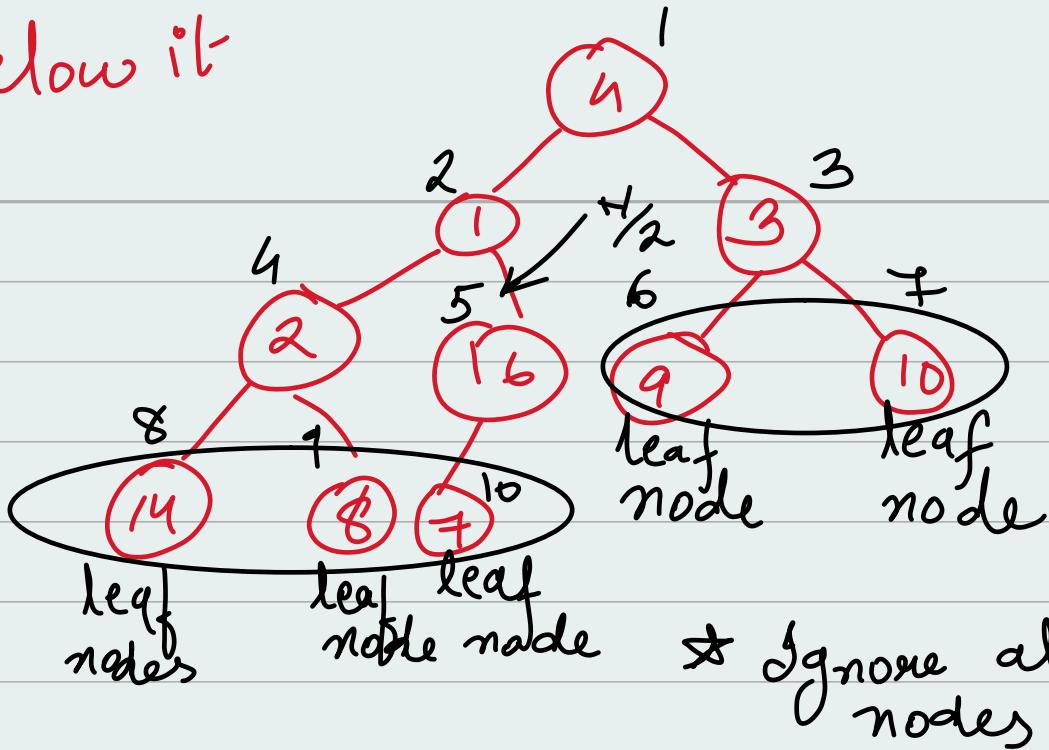
* Given an unsorted array create a max heap from that

1	2	3	4	5	6	7	8	9	10
4	1	3	2	16	9	10	14	8	1'

→ Make it into a binary tree.

→ Max heap property: every node should be greater than equal to all the children

below it



Why start from $\frac{N}{2}$?

$\left[\frac{N}{2} + 1, \dots, N \right]$ leaf nodes

→ We are skipping all the leaf nodes
6, 7, 8, 9, 10 index elements because
every element's down 14, 8, 7, 9, 10 will all be greater than
children nodes

→ `for (index = $\frac{N}{2}$ till 1)`
do downheap (index)

→ outer loop running $\frac{N}{2}$ times
and downheap is $\log(N)$
but time complexities $N \log(N)$

Because, for all the nodes that are one level above leaf nodes for leaf nodes it's taking constant time complexities

$$\text{leaf nodes} = \frac{N}{2} = D \text{ times comparison}$$

$$1 \text{ level above leaf nodes} = \frac{\cancel{\frac{N}{2}}}{\cancel{2}} = \frac{N}{4} = C$$

$$\text{level 2} = \frac{N}{\cancel{4}/2} = \frac{N}{8} = 2C$$

$$\text{level 3} = \frac{N}{16} = 3C$$

:

$$100t = 1 = \log N \times (C)$$

$$\text{Total time} = \frac{N}{4} * C + \frac{N}{8} (2C) + \frac{N}{16} * 3C$$

$$+ \dots + 1 (C * \log N)$$

$$\frac{N}{4} = 2^k$$

$$= C \cdot 2^k \left(\underbrace{\frac{1}{2^0} + \frac{1}{2^1} + \frac{3}{2^2} + \frac{4}{2^3} + \dots + \frac{k+1}{2^k}}_{\text{Bounded by a constant.}} \right)$$

Sums of $\sum x^i$ to $\infty = \frac{x}{(1-x)^2}$

Sums in mathematics

$$\boxed{O(2^k) = O(n)}$$

Code :-

public class Heap <T extends Comparable<T>> {

private ArrayList<T> list; // list to store the heap.

public Heap () { // constructor to initialize
list = new ArrayList<>(); the list }

private void swap (int first, int second) {
T temp = list.get(first),
list.set(first, list.get(second));
list.set(second, temp);

3

```
private int parent (int index){  
    return (index - 1) / 2;
```

3
private int left (int index){
 return index * 2 + 1;

3
private int right (int index){
 return index * 2 + 2;

3

```
public void insert (T value){  
    list.add (value);  
    upheap (list.size () - 1),
```

3

```
private void upheap (int index){  
    if (index == 0){  
        return;
```

3

```
        int p = parent (index);  
        if (list.get (index).compareTo (list.  
                                         get (p)) < 0){
```

swap (index, p);

upheap (p);

3

3

public T remove () throws Exception {
if (list.isEmpty ()) {
throw new Exception ("Removing
from an Empty Heap ! ");
}

3

T temp = list get (0);

```
T last = list.remove(list.size() - 1);
```

```
i) ( | list · is empty ( ) ) &  
    list · set ( 0, last );  
    downheap ( 0 );
```

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return temp;

3

private void downHeap(int index){

int min = index,

int left = left(index);

```
int right = right(index);
```

if (left < list.size() & & list.get(min).compareTo(list.get(left)) < 0) {
 min = left;
}

if (right < list.size() & & list.get(min).compareTo(list.get(right)) < 0) {
 min = right;
}

if (min != index) {

swap(min, index);
 downheap(min);
}

public ArrayList<T> heapSort()
throws Exception {

ArrayList<T> data = new
ArrayList<>();

while (! list. isEmpty()) {

 data = add (this. remove());

}
return data;

}
}

