

# TDSE-Scattering-v1

April 1, 2025

## 0.1 1. Conceptual Overview

We consider the 1D TDSE:

$$i\hbar \frac{\partial \psi}{\partial t} = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi}{\partial x^2} + V(x) \psi(x, t).$$

Split the Hamiltonian into kinetic ( $\hat{T}$ ) and potential ( $\hat{V}$ ) parts:

$$\hat{H} = \hat{T} + \hat{V}.$$

For a small time step  $\Delta t$ , the **Strang splitting** approximates the evolution operator as:

$$e^{-\frac{i}{\hbar} \hat{H} \Delta t} \approx e^{-\frac{i}{\hbar} \hat{T} \frac{\Delta t}{2}} e^{-\frac{i}{\hbar} \hat{V} \Delta t} e^{-\frac{i}{\hbar} \hat{T} \frac{\Delta t}{2}}.$$

1. **Kinetic step** (momentum space): FFT  $\psi(x)$  to  $\psi(k)$ , multiply by  $e^{-i E_{\text{kin}}(k) \Delta t / (2\hbar)}$ , inverse FFT.
2. **Potential step** (real space): multiply  $\psi(x)$  by  $e^{-i V(x) \Delta t / \hbar}$ .
3. **Kinetic step** again.

This is **second-order** accurate in  $\Delta t$ , preserves wavefunction norm (unitary), and is fast when using FFTs.

## 1 Theoretical Background of the Split-Operator Method

### 1. The Goal: Solving the TDSE

The fundamental equation we want to solve is the Time-Dependent Schrödinger Equation (TDSE):

$$i\hbar \frac{\partial}{\partial t} |\psi(t)\rangle = \hat{H} |\psi(t)\rangle$$

where  $|\psi(t)\rangle$  is the quantum state vector (wavefunction) at time  $t$ ,  $\hat{H}$  is the Hamiltonian operator of the system, and  $\hbar$  is the reduced Planck constant.

### 2. The Formal Solution: The Time Evolution Operator

If the Hamiltonian  $\hat{H}$  does not explicitly depend on time, the state at a slightly later time  $t + \Delta t$  can be formally obtained from the state at time  $t$  using the time evolution operator  $\hat{U}(\Delta t)$ :

$$|\psi(t + \Delta t)\rangle = \hat{U}(\Delta t) |\psi(t)\rangle$$

This operator is defined as the exponential of the Hamiltonian:

$$\hat{U}(\Delta t) = \exp\left(-\frac{i\hat{H}\Delta t}{\hbar}\right)$$

### 3. The Challenge: Non-Commuting Hamiltonian Components

In many physical systems, the Hamiltonian  $\hat{H}$  is composed of different parts that do not commute with each other. The most common example is the separation into kinetic energy  $\hat{T}$  and potential energy  $\hat{V}$ :

$$\hat{H} = \hat{T} + \hat{V}$$

Generally, these operators do not commute:

$$[\hat{T}, \hat{V}] = \hat{T}\hat{V} - \hat{V}\hat{T} \neq 0$$

(They only commute if  $V$  is constant or linear in position, which is rarely the case).

This non-commutativity is the central problem. If they *did* commute, we could simply write  $\exp\left(-\frac{i(\hat{T}+\hat{V})\Delta t}{\hbar}\right) = \exp\left(-\frac{i\hat{T}\Delta t}{\hbar}\right)\exp\left(-\frac{i\hat{V}\Delta t}{\hbar}\right)$ . However, because  $[\hat{T}, \hat{V}] \neq 0$ , this simple separation is **not** mathematically correct.

### 4. The Baker-Campbell-Hausdorff (BCH) Formula

The relationship between the exponential of a sum of operators and the product of their exponentials is given by the Baker-Campbell-Hausdorff (BCH) formula. For small values, a useful form is:

$$\exp(A)\exp(B) = \exp\left(A + B + \frac{[A, B]}{2} + \frac{[A, [A, B]]}{12} - \frac{[B, [A, B]]}{24} + \dots\right)$$

If we let  $A = -i\hat{T}\Delta t/\hbar$  and  $B = -i\hat{V}\Delta t/\hbar$ , the simple product  $\exp(A)\exp(B)$  differs from the desired  $\exp(A+B)$  by terms involving the commutator  $[A, B] = (-i\Delta t/\hbar)^2[\hat{T}, \hat{V}]$ , and higher-order nested commutators.

### 5. The Split-Operator Approximation: Core Idea

Since calculating  $\exp\left(-\frac{i(\hat{T}+\hat{V})\Delta t}{\hbar}\right)$  directly is often intractable, the split-operator method approximates it by applying the evolution due to  $\hat{T}$  and  $\hat{V}$  *sequentially* over a small time step  $\Delta t$ . The way this sequence is constructed determines the accuracy of the approximation.

### 6. First-Order Splitting (Asymmetric)

The simplest approximation is:

$$\hat{U}(\Delta t) \approx \hat{U}_1(\Delta t) = \exp\left(-\frac{i\hat{T}\Delta t}{\hbar}\right)\exp\left(-\frac{i\hat{V}\Delta t}{\hbar}\right)$$

Let's analyze the error. Using the BCH formula (or Taylor expansions):

$$\exp\left(-\frac{i\hat{T}\Delta t}{\hbar}\right)\exp\left(-\frac{i\hat{V}\Delta t}{\hbar}\right) \approx \exp\left(\left(-\frac{i\hat{T}\Delta t}{\hbar}\right) + \left(-\frac{i\hat{V}\Delta t}{\hbar}\right) + \frac{1}{2}\left[-\frac{i\hat{T}\Delta t}{\hbar}, -\frac{i\hat{V}\Delta t}{\hbar}\right] + \dots\right)$$

$$\hat{U}_1(\Delta t) \approx \exp \left( -\frac{i(\hat{T} + \hat{V})\Delta t}{\hbar} - \frac{[\hat{T}, \hat{V}](\Delta t/\hbar)^2}{2} + O(\Delta t^3) \right)$$

Comparing this to the exact  $\hat{U}(\Delta t) = \exp \left( -\frac{i(\hat{T} + \hat{V})\Delta t}{\hbar} \right)$ , the difference (the error) in the exponent is dominated by the commutator term  $-\frac{[\hat{T}, \hat{V}](\Delta t/\hbar)^2}{2}$ . This means the error *per step* is of the order  $O(\Delta t^2)$ .

To evolve the system over a finite time  $T$ , we need  $N = T/\Delta t$  steps. The total accumulated error (global error) is roughly  $N \times O(\Delta t^2) = (T/\Delta t) \times O(\Delta t^2) = O(\Delta t)$ . This is called a **first-order method** because the global error scales linearly with  $\Delta t$ .

## 7. Second-Order Splitting (Symmetric - Strang Splitting)

A significant improvement comes from using a symmetric sequence, like:

$$\hat{U}(\Delta t) \approx \hat{U}_2(\Delta t) = \exp \left( -\frac{i\hat{T}\Delta t}{2\hbar} \right) \exp \left( -\frac{i\hat{V}\Delta t}{\hbar} \right) \exp \left( -\frac{i\hat{T}\Delta t}{2\hbar} \right)$$

This is derived from the symmetric Trotter-Suzuki decomposition:

$$\exp((A + B)\Delta t) = \exp(A\Delta t/2) \exp(B\Delta t) \exp(A\Delta t/2) + O(\Delta t^3)$$

Why is this better? The symmetry causes the leading error term (proportional to  $\Delta t^2$ ) to cancel out. Let  $X = -i\hat{T}\Delta t/(2\hbar)$  and  $Y = -i\hat{V}\Delta t/\hbar$ . We are approximating  $\exp(2X + Y)$  with  $\exp(X)\exp(Y)\exp(X)$ . Using BCH carefully:  $\exp(Y)\exp(X) \approx \exp(Y + X + [Y, X]/2 + \dots)$   $\exp(X)\exp(Y)\exp(X) \approx \exp(X)\exp(Y + X + [Y, X]/2 + \dots)$   $\approx \exp(X + (Y + X + [Y, X]/2) + \frac{[X, (Y + X + [Y, X]/2)]}{2} + \dots)$   $\approx \exp(2X + Y + [Y, X]/2 + [X, Y]/2 + [X, X]/2 + \text{Higher Order Terms}) \approx \exp(2X + Y + O(\Delta t^3))$

Because  $[Y, X] = -[X, Y]$ , the terms proportional to  $\Delta t^2$  (which involve the first commutator  $[\hat{T}, \hat{V}]$ ) cancel out. The remaining error terms involve higher-order commutators (like  $[\hat{T}, [\hat{T}, \hat{V}]]$  and  $[\hat{V}, [\hat{V}, \hat{T}]]$ ) and are proportional to  $\Delta t^3$ .

The error *per step* for the symmetric method is  $O(\Delta t^3)$ . The global error over time  $T$  is  $N \times O(\Delta t^3) = (T/\Delta t) \times O(\Delta t^3) = O(\Delta t^2)$ . This is a **second-order method**, which is significantly more accurate for a given step size  $\Delta t$  compared to the first-order method.

## 8. Unitarity Preservation

A crucial property of quantum mechanics is that the total probability must be conserved, meaning the norm of the wavefunction ( $\langle \psi | \psi \rangle = \int |\psi|^2 d^3r$ ) must remain constant (usually 1). This requires the time evolution operator  $\hat{U}(\Delta t)$  to be **unitary** ( $\hat{U}^\dagger \hat{U} = \hat{U} \hat{U}^\dagger = \hat{I}$ , where  $\dagger$  denotes the Hermitian conjugate and  $\hat{I}$  is the identity operator).

An operator  $\exp(iA)$  is unitary if  $A$  is a Hermitian operator. Since the Hamiltonian  $\hat{H}$  (and typically its components  $\hat{T}$  and  $\hat{V}$ ) are Hermitian, the exact evolution operator  $\exp \left( -\frac{i\hat{H}\Delta t}{\hbar} \right)$  is unitary.

Importantly, the building blocks of the split-operator method, like  $\exp \left( -\frac{i\hat{T}\Delta t}{\hbar} \right)$  and  $\exp \left( -\frac{i\hat{V}\Delta t}{\hbar} \right)$ , are *also* unitary operators. The split-operator approximations ( $\hat{U}_1$  and  $\hat{U}_2$ ) are constructed as

*products* of these unitary operators. Since the product of unitary operators is always unitary, **the split-operator method produces an approximate evolution operator that is exactly unitary by construction.**

This guarantees that the norm of the wavefunction is conserved at every step of the simulation, preventing probabilities from artificially increasing or decreasing. This inherent stability and physical correctness is a major advantage of the method.

### Summary:

The split-operator method works by approximating the computationally difficult exponential of the full Hamiltonian  $\exp\left(-\frac{i\hat{H}\Delta t}{\hbar}\right)$  with a sequence of exponentials of its simpler (often non-commuting) parts, like  $\hat{T}$  and  $\hat{V}$ . The accuracy of the approximation depends on the order of the sequence: asymmetric splitting gives first-order accuracy ( $O(\Delta t)$  global error), while symmetric splitting (Strang splitting) cancels the leading error term and achieves second-order accuracy ( $O(\Delta t^2)$  global error). A key theoretical advantage is that the method constructs an exactly unitary approximation, ensuring probability conservation throughout the simulation.

## 2 A Very Short Introduction to the FFT and Inverse FFT

The **Fast Fourier Transform (FFT)** is a **fast** algorithm (typically  $O(N \log N)$ ) to compute the **Discrete Fourier Transform (DFT)** of a finite set of data points.

---

### 2.1 1. Discrete Fourier Transform

If we have a **1D array**  $x_0, x_1, \dots, x_{N-1}$  (often representing samples of a function in **real space**), then the **DFT** is a new array  $X_k$  given by:

$$X_k = \sum_{n=0}^{N-1} x_n e^{-2\pi i \frac{kn}{N}}, \quad k = 0, 1, \dots, N-1.$$

This transforms the data to **frequency space** (or **momentum space**, in physics), where  $k$  indexes the frequency/mode.

---

### 2.2 2. Fast Fourier Transform (FFT)

- A **naive** DFT algorithm takes  $O(N^2)$  operations.
- The **FFT** exploits symmetries in the complex exponentials to reduce this to  $O(N \log N)$ .
- Widely used implementations: **FFTW** (C library), built-in Python `numpy.fft`, or Julia's `FFTW.jl`.

In **Julia** (or Python), doing `fft(x)` calculates the forward FFT of the array  $x$ . This is typically the **forward** transform to frequency space.

## 2.3 3. Inverse FFT (iFFT)

To **invert** the transform, we use the **Inverse DFT**:

$$x_n = \frac{1}{N} \sum_{k=0}^{N-1} X_k e^{2\pi i \frac{kn}{N}}, \quad n = 0, 1, \dots, N-1.$$

In **Julia** or **Python**, calling `ifft(X)` (or `ifft(x)` in **Python**) returns this **inverse FFT**, reconstructing the original data (up to numerical round-off).

---

## 2.4 4. Typical Usage

1. **Forward transform:** `X = fft(x)`
2. **Process** in frequency space (e.g., filter or multiply by a factor).
3. **Inverse transform:** `x_new = ifft(X)`

---

## 2.5 5. Key Points

- **Complex exponentials:** The FFT returns complex values, even if  $x$  is real.
- **Normalization:** Some libraries automatically divide by  $N$  in `ifft`; others do not. Check library conventions (Julia's **FFTW** does not, so you often manually divide by  $N$  if needed).
- **Indexing:** Frequencies typically run from low to high, then negative frequencies (for real-valued transforms). The *zero frequency* is at index 0, the *Nyquist frequency* around the middle of the array.

The FFT is fundamental in **signal processing**, **image processing**, **spectral methods** for PDEs, and **quantum mechanics** (split-operator approach). Its efficiency and ease of use have made it a **cornerstone** of numerical computing.

```
[4]: #####
# 1D TDSE Scattering of a Gaussian Wavepacket from a Finite Square Well
# Using the Split-Operator (Strang splitting) Method in Julia
#####

using FFTW          # For fft and ifft
using Plots          # For plotting

# -----
# Physical Constants / Setup
# -----
const ħ = 1.0          # Reduced Planck's constant (choose units)
const m = 1.0          # Mass of particle (choose units)
const Nx = 512         # Number of spatial grid points
const x_min = -50.0
const x_max = 50.0
const L = x_max - x_min # Total spatial domain length
```

```

const dx = L/(Nx)           # Spatial step size

# Time stepping
const dt = 0.025           # Time step
const n_steps = 500         # Number of time steps
const plot_every = 1        # Plot wavefunction every "plot_every" steps

# -----
# Spatial / Momentum Grids
# -----
x = [x_min + i*dx for i in 0:Nx-1]           # Real-space grid
dk = 2 / L                                   # Momentum-space resolution
k_vals = [ (i <= Nx/2) ? (i*dk) : ((i-Nx)*dk) for i in 0:Nx-1 ]
# k_vals now goes from 0..(Nx/2)*dk, then negative values up to -dk

# -----
# Define the Finite Square Well
# -----
# Let's place a square well from x=-2 to x=2 with depth = -V0
V0 = 50.0           # Well depth
well_left = -2.0
well_right = 2.0

function finite_square_well(x_array, well_left, well_right, V0)
    V = zeros(size(x_array))
    for i in eachindex(x_array)
        if well_left <= x_array[i] <= well_right
            V[i] = -V0   # negative => well
        else
            V[i] = 0.0
        end
    end
    return V
end

V = finite_square_well(x, well_left, well_right, V0)

# -----
# Initial Gaussian Wave Packet
# -----
# Centered at x0, with momentum k0, width sigma
x0 = -15.0
sigma = 1.0
k0 = 3.0   # positive => moving to the right

function initial_gaussian_packet(x_array, x0, sigma, k0)
    psi = similar(x_array, ComplexF64)

```

```

norm_factor = 1.0/(sigma*sqrt(pi))^(0.5)
for i in eachindex(x_array)
    xval = x_array[i]
    phase = im*k0*xval
    gauss = exp(-0.5*((xval - x0)/sigma)^2)
    psi[i] = norm_factor * gauss * exp(phase)
end
return psi
end

psi = initial_gaussian_packet(x, x0, sigma, k0)

# -----
# Split-Operator: One Time Step
# -----
function split_operator_step!(psi::Vector{ComplexF64}, V::Vector{Float64},
                             dt::Float64, k::Vector{Float64})
    # Step 1: half-step in Kinetic (momentum) space
    psi_k = fft(psi)
    #  $E_{kin}(k) = \hbar^2 * k^2 / (2m)$ 
    for j in eachindex(psi_k)
        E_kin_j = (h^2 * k[j]^2)/(2*m)
        # factor for half-step:  $\exp(-i E_{kin_j} dt / (2\hbar))$ 
        psi_k[j] *= exp(-0.5im * E_kin_j * dt / h)
    end
    psi .= ifft(psi_k)

    # Step 2: full-step in Potential (real) space
    # factor =  $\exp(-i V(x_j) dt / \hbar)$ 
    for j in eachindex(psi)
        psi[j] *= exp(-1im * V[j] * dt / h)
    end

    # Step 3: another half-step in Kinetic
    psi_k = fft(psi)
    for j in eachindex(psi_k)
        E_kin_j = (h^2 * k[j]^2)/(2*m)
        psi_k[j] *= exp(-0.5im * E_kin_j * dt / h)
    end
    psi .= ifft(psi_k)

    return nothing
end

# -----
# Main Time Evolution
# -----

```

```

animation_data = [] # store wavefunction snapshots

# Store initial wavefunction
push!(animation_data, copy(psi))

for step in 1:n_steps
    split_operator_step!(psi, V, dt, k_vals)

    # Optionally re-check norm or re-normalize (usually not necessary with good
    ↪ dt)
    # norm_psi = sum(abs2, psi)*dx
    # psi .*= 1/sqrt(norm_psi)

    # Save frames occasionally
    if step % plot_every == 0
        push!(animation_data, copy(psi))
    end
end

# -----
# Plot Results
# -----
# We'll just plot the final wavefunction vs x,
# but we can also show intermediate snapshots

#=
display(plot(
    x, abs.(psi).^2,
    xlabel="x",
    ylabel="|psi|^2",
    title="Final Wavefunction",
    legend=false
))

# If you want to visualize multiple snapshots:
num_frames = length(animation_data)
for (i, snapshot) in enumerate(animation_data)
    frame_x = x
    frame_y = abs.(snapshot).^2
    p = plot(frame_x, frame_y,
        xlabel="x",
        ylabel="|psi|^2",
        title="Time step = $(i*plot_every)",
        legend=false
    )
    display(p)

```



```

    # could save to file or build a gif
end
=#

#####
# End of Example
#####

# 1) Create an Animation block
anim = @animate for (i, snapshot) in enumerate(animation_data)
    # Compute probability density
    prob_density = abs.(snapshot).^2

    # Plot the probability density
    plot(
        x, prob_density,
        xlabel="x", ylabel="|psi|^2",
        title="Time Index = $(i*plot_every)", # or any time label you prefer
        legend=false,                        # no legend needed
        ylim=(0, maximum(prob_density)*1.1)  # just for consistent Y-range
    )
end

# 2) Save as a GIF
#   fps=10 means 10 frames per second, adjust to your preference
gif(anim, "wavefunction_scattering.gif", fps=20)

```

WARNING: redefinition of constant Main.x\_min. This may fail, cause incorrect answers, or produce other errors.

WARNING: redefinition of constant Main.x\_max. This may fail, cause incorrect answers, or produce other errors.

WARNING: redefinition of constant Main.L. This may fail, cause incorrect answers, or produce other errors.

WARNING: redefinition of constant Main.dx. This may fail, cause incorrect answers, or produce other errors.

[ Info: Saved animation to /home/rangeet/Dropbox/IISER\_Office/Courses/2025/PH3205/Slides/09-TDSE/wavefunction\_scattering.gif

[4]: Plots.AnimatedGif("/home/rangeet/Dropbox/IISER\_Office/Courses/2025/PH3205/Slides/09-TDSE/wavefunction\_scattering.gif")

[ ]: