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# PH3102: QM Assignment 09

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## Q1. Perturbing the Infinite square well

The unperturbed problem is  $H_0 = \frac{\hat{p}^2}{2m} + V'(x)$ , where  $V'(x)$  and the perturbation  $V(x)$  is

$$V'(x) = \begin{cases} 0 & x : 0 < x < a \\ \infty & \text{elsewhere} \end{cases} ; \quad V(x) = \begin{cases} V_0 & x : \frac{a}{2} < x < \frac{3a}{2} \\ 0 & \text{elsewhere} \end{cases} \quad [1]$$

The energy eigenvalues and eigenfunctions to  $H_0$  are given as

$$E_n = \frac{n^2 \pi^2 \hbar^2}{2ma^2} \quad , \quad \psi_n^{(0)} = \sqrt{\frac{2}{a}} \sin\left(\frac{n\pi x}{a}\right)$$

### Answer 1.1

Considering the nature of the perturbation, the ground state energy should increase to accomodate the perturbation. Since  $V_0 > 0$ , the particle in its ground state should have higher energy. The peak of the ground state takes place at  $x = a/2$ . Since there is a higher potential at  $x = a/2$ , the peak which is proportional to the pdf at  $x = a/2$ , will decrease since the addition of a higher potential near it would decrease the pdf and thereafter the peak.

### Answer 1.2

We now calculate the first order correction to the ground state energy. The first order correction is given as

$$E_1^{(1)} = \langle \psi_1^{(0)} | V | \psi_1^{(0)} \rangle = \frac{2}{a} V_0 \int_{\frac{a}{3}}^{\frac{2a}{3}} \sin^2\left(\frac{\pi x}{a}\right) dx = \left[ \frac{2\pi + 3^{\frac{3}{2}}}{6\pi} \right] V_0 = \left[ \frac{1}{3} + \frac{\sqrt{3}}{2\pi} \right] V_0 \quad [2]$$

### Answer 1.3

The perturbation  $V_0$  is invariant under parity transformation about  $x = a/2$ . Thus there will only be mixing with among the states of same parity. The ground state has even parity about  $x = a/2$ . Thus it will mix with only the states that have even parity around  $a/2$  which have  $n = 3, 5, 7, \dots$  which are odd eigenstates. The lowest excited state with which it will mix is  $\psi_3$

$$\begin{aligned} E_1^{(2)} |_{n=3} &= \frac{\left| \langle \psi_3^{(0)} | V_0 | \psi_1^{(0)} \rangle \right|^2}{E_1^{(0)} - E_3^{(0)}} \\ &= -\frac{ma^2}{4a\hbar^2\pi^2} \left| \frac{2}{a} V_0 \int_{\frac{a}{3}}^{\frac{2a}{3}} \sin\left(\frac{\pi x}{a}\right) \sin\left(\frac{3\pi x}{a}\right) dx \right|^2 \\ \Rightarrow E_1^{(0)} |_{n=3} &= -\frac{27ma^2V_0^2}{64\pi^4\hbar^2} \end{aligned} \quad [3]$$

The complete corrected ground state function upto the corrections upto a certain order we calculated is given by

$$E_1 = E_1^{(0)} + E_1^{(1)} + E_1^{(2)} \big|_{n=3} = \frac{\pi^2 \hbar^2}{2ma^2} + V_0 \left( \frac{1}{3} + \frac{\sqrt{3}}{2\pi} \right) - \frac{27ma^2 V_0^2}{64\pi^4 \hbar^2} \quad [4]$$

#### Answer 1.4

We want to find the first order correction to the ground state due to mixing with lowest excited state which is given as

$$\begin{aligned} |\psi_1^{(1)}\rangle \big|_{n=3} &= \frac{\langle \psi_3^{(0)} | V_0 | \psi_1^{(0)} \rangle}{E_1^{(0)} - E_3^{(0)}} |\psi_3\rangle = \frac{3\sqrt{3}V_0}{4\pi} \frac{ma^2}{4\hbar^2 \pi^2} \sqrt{\frac{2}{a}} \sin\left(\frac{3\pi x}{a}\right) \\ &= \frac{3\sqrt{6}V_0 ma^{\frac{3}{2}}}{16\pi^3 \hbar^2} \sin\left(\frac{3\pi x}{a}\right) \end{aligned} \quad [5]$$

The corrected ground state with first order corrections upto the first order with the mixing of the ground state with the lowest excited state is given by

$$|\psi_1\rangle = |\psi_1^{(0)}\rangle + |\psi_1^{(1)}\rangle \big|_{n=3} = \sqrt{\frac{2}{a}} \sin\left(\frac{\pi x}{a}\right) + \frac{3\sqrt{6}V_0 ma^{\frac{3}{2}}}{16\pi^3 \hbar^2} \sin\left(\frac{3\pi x}{a}\right) \quad [6]$$

#### Answer 1.5

To infer results for  $V_0 < 0$  in the perturbation, we map  $V_0 \rightarrow -V_0$ . The energy eigenvalues and eigenstates are given as

$$\begin{aligned} E_1 &= \frac{\pi^2 \hbar^2}{2ma^2} - V_0 \left( \frac{1}{3} + \frac{\sqrt{3}}{2\pi} \right) - \frac{27ma^2 V_0^2}{64\pi^4 \hbar^2} \\ |\psi_1\rangle &= \sqrt{\frac{2}{a}} \sin\left(\frac{\pi x}{a}\right) - \frac{3\sqrt{6}V_0 ma^{\frac{3}{2}}}{16\pi^3 \hbar^2} \sin\left(\frac{3\pi x}{a}\right) \end{aligned} \quad [7]$$

#### Answer 1.6

The plots for the unperturbed ground state and perturbed ground states with  $V_0 > 0$  and  $V_0 < 0$  are plotted below,

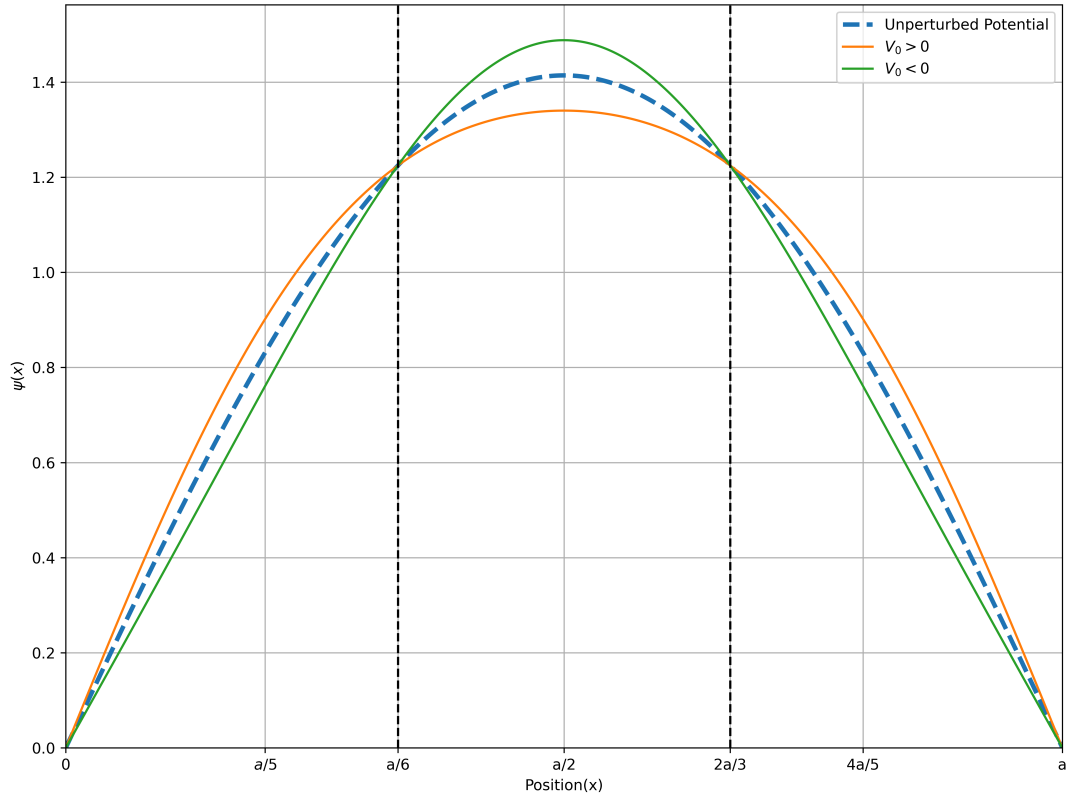


Figure 1: Sketches of the ground state wavefunctions

## Q2. Perturbation theory for a 3-level problem

### Answer 2.1

The Hamiltonian of the 3-level system is given as

$$\hat{H} = \begin{bmatrix} -u & v & 0 \\ v & u & 0 \\ 0 & 0 & u' \end{bmatrix} ; u', u, v > 0$$

To apply perturbation theory to this we separate  $\hat{H}$  into  $H_0 + V$  where  $H_0$  is the zeroth Hamiltonian and  $V$  is the perturbation.

We define  $H_0$  and  $V$  to be

$$H_0 = \begin{bmatrix} -u & 0 & 0 \\ 0 & u & 0 \\ 0 & 0 & u' \end{bmatrix} \quad V = \begin{bmatrix} 0 & v & 0 \\ v & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \quad [8]$$

### Answer 2.2

We want to find the energy eigenvalues  $E_1^{(0)}, E_2^{(0)}, E_3^{(0)}$  and the energy eigenstates  $|\psi_1^{(0)}\rangle, |\psi_2^{(0)}\rangle, |\psi_3^{(0)}\rangle$  of the zeroth Hamiltonian. The eigenvalues of a diagonal matrix like  $H_0$  are the diagonal entries themselves. So the energy eigenvalues are

$$\begin{aligned} E_1^{(0)} &= -u & E_2^{(0)} &= u & E_3^{(0)} &= u' \\ |\psi_1^{(0)}\rangle &= \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} & |\psi_2^{(0)}\rangle &= \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} & |\psi_3^{(0)}\rangle &= \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \end{aligned} \quad [9]$$

### Answer 2.3

We calculate the energy corrections upto the second order. The first order and second energy corrections are given by

$$\begin{aligned} E_n^{(1)} &= \langle \psi_n^{(0)} | V | \psi_n^{(0)} \rangle \\ E_n^{(2)} &= \langle \psi_n^{(0)} | V | \psi_n^{(1)} \rangle = \sum_{k \neq n} \frac{|\langle \psi_k^{(0)} | V | \psi_n^{(0)} \rangle|^2}{E_n^{(0)} - E_k^{(0)}} \end{aligned} \quad [10]$$

To find them we represent the perturbation  $V$  in the eigenbasis of  $H_0$  that is in terms of  $|\psi_1^{(0)}\rangle, |\psi_2^{(0)}\rangle, |\psi_3^{(0)}\rangle$ .

$$V = \begin{bmatrix} \langle \psi_1^{(0)} | V | \psi_1^{(0)} \rangle & \langle \psi_1^{(0)} | V | \psi_2^{(0)} \rangle & \langle \psi_1^{(0)} | V | \psi_3^{(0)} \rangle \\ \langle \psi_2^{(0)} | V | \psi_1^{(0)} \rangle & \langle \psi_2^{(0)} | V | \psi_2^{(0)} \rangle & \langle \psi_2^{(0)} | V | \psi_3^{(0)} \rangle \\ \langle \psi_3^{(0)} | V | \psi_1^{(0)} \rangle & \langle \psi_3^{(0)} | V | \psi_2^{(0)} \rangle & \langle \psi_3^{(0)} | V | \psi_3^{(0)} \rangle \end{bmatrix} = \begin{bmatrix} 0 & v & 0 \\ v & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \quad [11]$$

Let us calculate  $E_n^{(1)}$  using the gram-matrix elements of  $V$  using the eigenbasis of  $H_0$

$$E_1^{(1)} = E_2^{(2)} = E_3^{(3)} = 0 \quad [12]$$

We know calculate  $E_n^{(2)}$  using the matrix elements of  $V$  in the eigenbasis of  $H_0$

$$\begin{aligned} E_1^{(2)} &= \frac{|\langle \psi_2^{(0)} | V | \psi_1^{(0)} \rangle|^2}{E_1^{(0)} - E_2^{(0)}} = -\frac{v^2}{2u} \\ E_2^{(2)} &= \frac{|\langle \psi_1^{(0)} | V | \psi_2^{(0)} \rangle|^2}{E_2^{(0)} - E_1^{(0)}} = \frac{v^2}{2u} \\ E_3^{(2)} &= 0 \end{aligned} \quad [13]$$

### Answer 2.4

We use the expression for the corrections to the eigenstates as  $|\psi_n^{(j)}\rangle = \sum_{k \neq n} c_{nk}^{(j)} |\psi_n^{(0)}\rangle$  to find the first order corrections to the eigenstates. To find out  $c_{nk}^{(1)}$  we use,

$$c_{nk}^{(1)} = \frac{\langle \psi_k^{(0)} | V | \psi_n^{(0)} \rangle}{E_n^{(0)} - E_k^{(0)}} \quad [14]$$

Let us write the matrix of the coefficients of first order corrections  $C$  as  $C_{ij} = c_{ij}^{(1)}$ . The matrix is

$$C = \begin{bmatrix} 0 & \frac{v}{2u} & 0 \\ -\frac{v}{2u} & 0 & 0 \\ 0 & 0 & 0 \end{bmatrix} \quad [15]$$

The first order correction to the eigenstates are  $|\psi_1^{(1)}\rangle, |\psi_2^{(1)}\rangle, |\psi_3^{(1)}\rangle$ , are

$$\begin{aligned} |\psi_1^{(1)}\rangle &= C_{12}|\psi_2^0\rangle + C_{13}|\psi_3^0\rangle = -\frac{v}{2u}|\psi_2^0\rangle = -\frac{v}{2u} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} \\ |\psi_2^{(1)}\rangle &= C_{21}|\psi_1^0\rangle + C_{23}|\psi_3^0\rangle = \frac{v}{2u}|\psi_1^0\rangle = \frac{v}{2u} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} \\ |\psi_3^{(1)}\rangle &= C_{32}|\psi_2^0\rangle + C_{31}|\psi_1^0\rangle = 0 \end{aligned} \quad [16]$$

### Answer 2.5

We now solve the problem by diagonalising the matrix  $H$ . The matrix  $H$  is diagonalizable because it is a symmetric matrix and symmetric matrices are normal. By Spectral Theorem, there exists an orthogonal eigenbasis of the matrix. After diagonalizing, we get

$$\begin{aligned} H &= \begin{bmatrix} -u & v & 0 \\ v & u & 0 \\ 0 & 0 & u' \end{bmatrix} = \begin{bmatrix} v_1 & v_2 & v_3 \end{bmatrix} \begin{bmatrix} -\sqrt{u^2+v^2} & 0 & 0 \\ 0 & \sqrt{u^2+v^2} & 0 \\ 0 & 0 & u' \end{bmatrix} \begin{bmatrix} v_1 & v_2 & v_3 \end{bmatrix}^T \\ &= \begin{bmatrix} -\frac{\sqrt{u^2+v^2}+u}{v} & \frac{\sqrt{u^2+v^2}-u}{v} & 0 \\ 1 & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \begin{bmatrix} -\sqrt{u^2+v^2} & 0 & 0 \\ 0 & \sqrt{u^2+v^2} & 0 \\ 0 & 0 & u' \end{bmatrix} \begin{bmatrix} -\frac{\sqrt{u^2+v^2}+u}{v} & 1 & 0 \\ \frac{\sqrt{u^2+v^2}-u}{v} & 1 & 0 \\ 0 & 0 & 1 \end{bmatrix} \end{aligned} \quad [17]$$

The energy eigenvalues that we get from diagonalising the matrix are

$$\lambda_1 = -\sqrt{u^2+v^2} \quad ; \quad \lambda_2 = \sqrt{u^2+v^2} \quad ; \quad \lambda_3 = u' \quad [18]$$

The third energy eigenvalue is not disturbed by the perturbation  $V$ . Let us focus on the other ones. In the regime where  $v/u \ll 1$ , we expand eigenvalues as a power series in terms of  $\frac{v}{u}$

$$\begin{aligned} \lambda_1 &= -u\sqrt{1+\frac{v^2}{u^2}} = -u - \frac{v^2}{2u} - O\left(\frac{v^4}{u^2}\right) = -u - \frac{v^2}{2u} = E_1 \\ \lambda_2 &= u\sqrt{1+\frac{v^2}{u^2}} = u + \frac{v^2}{2u} + O\left(\frac{v^4}{u^2}\right) = u + \frac{v^2}{2u} = E_2 \end{aligned}$$

Thus we can see that this result matches that of perturbative result which we have calculated ignoring terms with  $O\left(\frac{v^4}{u^2}\right)$ . The eigenvectors are

$$v_1 = \begin{pmatrix} -\frac{\sqrt{u^2+v^2}+u}{v} \\ 1 \\ 0 \end{pmatrix}, v_2 = \begin{pmatrix} \frac{\sqrt{u^2+v^2}-u}{v} \\ 1 \\ 0 \end{pmatrix}, v_3 = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} \quad [19]$$

Let us take the first two eigenvectors and again in the regime of  $v/u \ll 1$  let us expand into a power series

$$\begin{aligned}
v_1 &= \begin{pmatrix} -\frac{u}{v} \left( 1 + \sqrt{1 + \frac{v^2}{u^2}} \right) \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} \frac{-2u}{v} \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ -\frac{v}{2u} \\ 0 \end{pmatrix} = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} - \frac{v}{2u} \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} = |\psi_1^{(0)}\rangle + |\psi_1^{(1)}\rangle = |\psi_1\rangle \\
v_2 &= \begin{pmatrix} \frac{u}{v} \left( \sqrt{1 + \frac{v^2}{u^2}} - 1 \right) \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} \frac{v}{2u} \\ 1 \\ 0 \end{pmatrix} = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix} + \frac{v}{2u} \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix} = |\psi_2^{(0)}\rangle + |\psi_2^{(1)}\rangle = |\psi_s\rangle \\
v_3 &= \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix} = |\psi_3\rangle
\end{aligned} \tag{20}$$

Here we have used the approximations  $v/u \approx 0$  and  $\sqrt{1 + v^2/u^2} \approx 1 + v^2/2u^2$ . We see that the eigenfunctions of the total hamiltonian match the eigenstates we obtained from our perturbation calculations upto to certain order.

### Answer 2.6

We calculate the wavefunction renormalization as  $Z$  of the ground state which is given by

$$Z = \left| \langle \psi_1^{(0)} | \psi_1 \rangle_N \right|^2 \tag{21}$$

We apply the corrections we obtained from the perturbation theory results, to the ground state

$$\begin{aligned}
|\psi_1\rangle &= |\psi_1^{(0)}\rangle - \frac{v}{2u} |\psi_2^{(0)}\rangle = \begin{pmatrix} 1 \\ -\frac{v}{2u} \\ 0 \end{pmatrix} \\
\Rightarrow |\psi_1\rangle_N &= \frac{1}{\sqrt{1 + \frac{v^2}{4u^2}}} \begin{pmatrix} 1 \\ -\frac{v}{2u} \\ 0 \end{pmatrix} \\
\Rightarrow Z &= \frac{1}{1 + \frac{v^2}{4u^2}} \left| \begin{pmatrix} 1 & 0 & 0 \end{pmatrix} \begin{pmatrix} 1 \\ -\frac{v}{2u} \\ 0 \end{pmatrix} \right|^2 = \frac{1}{1 + \frac{v^2}{4u^2}}
\end{aligned} \tag{22}$$

The regime of validity of this perturbation problem is  $v/u \ll 1$ . We can see this regime validity appearing in the renormalization problem. Going beyond the regime of this problem, we get

$$Z = \frac{1}{1 + v^2/4u^2} \longrightarrow 0, \quad \text{as} \quad \frac{v}{u} \longrightarrow \infty$$