

# Homework 7 Solutions

December 4, 2019

## 5.4

Show that if an undirected graph with  $n$  vertices has  $k$  connected components, then it has at least  $n - k$  edges.

### Solution

Each of the  $k$  components must contain at least one node. The remaining  $n - k$  nodes must be connected to some of the first  $k$  nodes via at least one edge each. Thus, the total number of edges is at least  $n - k$ .

## 5.10

Let  $T$  be an MST of graph  $G$ . Given a connected subgraph  $H$  of  $G$ , show that  $T \cap H$  is contained in some MST of  $H$ .

### Solution

*Proof.* (By constructing a solution)

We have to show that all edges in  $T \cap H$  are included in some MST  $T_H$  of  $H$ . We construct  $T_H$  as follows.

By the cut property, each edge  $e$  in  $T \cap H$  must be the lightest edge across the two partitions in some cut  $(S, V - S)$  of  $G$ . Let  $V_H$  be the node set of  $H$ . This is also true in the cut  $(S \cap V_H, V_H - S \cap V_H)$ , unless some other edge  $e'$  has an equal weight with  $e$ . In that case, we can always choose to include  $e$  into  $T_H$ . In this way, we can add every edge in  $T \cap H$  into  $T_H$ . Then we add additional edges if necessary to complete  $T_H$ . Thus, we must have  $T \cap H \subseteq T_H$ .  $\square$

## 5.16

Prove the following two properties of the Huffman encoding scheme.

- (a) If some character occurs with frequency more than  $2/5$ , then there is guaranteed to be a codeword of length 1.

### Solution

*Proof.* (By contradiction). We assume no codeword of length 1 if some character occurs with frequency  $> 2/5$ . Using the Huffman encoding scheme, one can create a binary tree  $T$  where there is a node  $a \in T$  which  $Freq(a) > 2/5$ . Let  $T_0$  be subtree of  $T$  where  $a \in T_0$ , we have nodes  $b, c, d \in T_0$ .

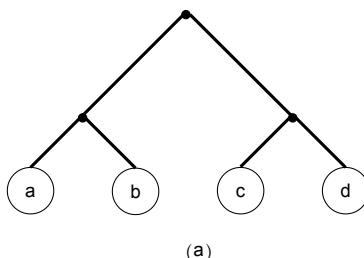


Figure 1: Subtree  $T_0$  of the Huffman tree  $T$ .

We use  $a, b, c, d$  to represent  $Freq(a), Freq(b), Freq(c), Freq(d)$

We have:

$$\begin{cases} a > 2/5 \\ a + b + c + d = 1 \\ a \leq c + d \\ b \leq c + d \\ b, c, d > 0 \end{cases}$$

By  $a > 2/5$  and  $a \leq c + d$ , we get  $c + d > 2/5$ .

By  $c + d > 2/5$ ,  $a > 2/5$  and  $a + b + c + d = 1$ , we get  $b < 1/5$

Then, we need to determine if nodes  $a, b$  picked before than  $c, d$  to build tree. If  $a, b$  picked first, we have  $c > a > 2/5$ ,  $d > a > 2/5$ , thus will have  $a + b + c + d > 6/5 > 1$ . So, we determine nodes  $c, d$  were picked before  $a, b$ , thus get  $c < b$  and  $d < b$ .

Now we have  $b + b > c + d > 2/5$  and get  $b > 1/5$ , which contradicts  $b < 1/5$  we got before.

So, the assumption is wrong and the original statement is correct.  $\square$

- (b) If all characters occur with frequency less than  $1/3$ , then there is guaranteed to be no codeword of length 1.

### Solution

*Proof.* (By contradiction) We assume there exists a codeword of length 1 when all characters occur with frequency  $< 1/3$ .

Similar to (a), we have a binary tree  $T$  and subtree  $T_0 \subset T$ . Nodes  $a, b, c \in T_0$ . Let  $a$  be a codeword of length 1.

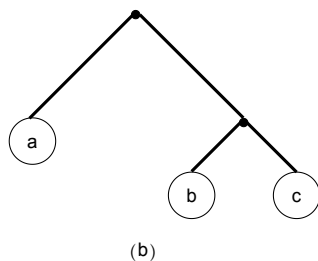


Figure 2: Subtree  $T_0$  of the Huffman tree  $T$ .

Use  $a, b, c$  to represent  $Freq(a), Freq(b), Freq(c)$ , we have:

$$\begin{cases} a + b + c = 1 \\ a < 1/3 \\ b \leq a \\ c \leq a \end{cases}$$

By  $a < 1/3$  and  $b \leq a$ , get  $b < 1/3$ .

By  $a < 1/3$  and  $c \leq a$ , get  $c < 1/3$ .

We get  $a + b + c < 1/3 + 1/3 + 1/3 = 1$  which contradicts  $a + b + c = 1$ . Thus the assumption is wrong, original statement is correct.  $\square$