# VGP337 - Neural Network & Machine Learning

Instructor: Peter Chan

## Genetic Algorithms

- A genetic algorithm (GA) is a stochastic search heuristic inspired by the process of natural selection
- 'Stochastic' refers to a randomly determined process
- 'Natural selection' means 'Survival of the fittest' and is one of the basic mechanisms of evolution
- GA is an example of a larger class of methods called Evolutionary Algorithms

#### The Double Helix of Life

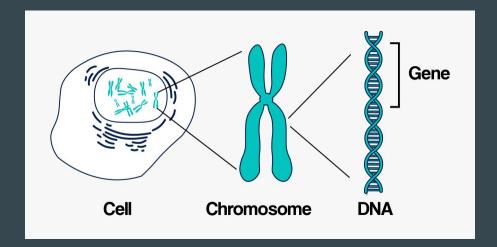
All living organisms are essentially a large collection of cells

• Each cell contains the same set of strings of DNA, called chromosomes

Individual chromosomes are built from smaller building blocks, called genes

The set of chromosomes holds all the information necessary to reproduce that

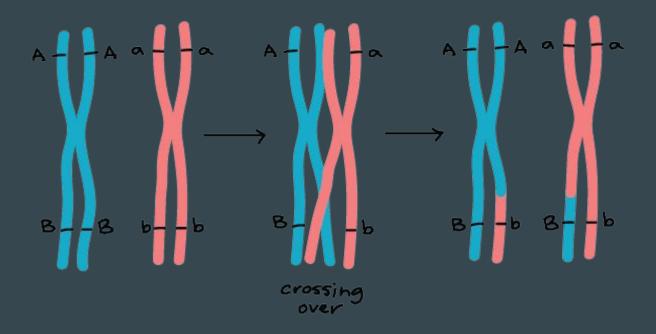
organism



#### **Evolution**

- Creatures evolve over many generations to become more successful at the tasks of survival and reproduction
- This works because when two organisms mate and reproduce, their chromosomes are mixed together to create an entirely new set of chromosomes
- This process is called crossover

### **Crossover and Recombination**



#### **Evolution**

- The key is that the more fit the offspring are, the more likely they will go on to reproduce new generations
- Over time only the good genes will be pass down to future generations
- The measure of success of an organism to reproduce is called its fitness



## Mutation



#### Mutation

- Base on this idea, it follows that after many generations, the new population will get genes that are only as good as the initial population
- Therefore, if the initial population does not have good enough genes to survive unforeseen environments, the species will eventually die off
- Fortunately, when genes are passed onto the offspring, there is a very small probability that the genes may be slightly changed
- This gives the organism the ability to develop new traits that are better than previous generations
- This process is known as mutation

## Genetic Algorithm

- The way GA works is essentially mimicking evolution
- First, you need to find a way to encode a solution to your problem as a digital chromosome
- Then, you create a starting population of random chromosomes
- Next, compute the fitness of each chromosome in the population
- Apply random selection based on the fitness to crossover/mutate a new generation
- Repeat until a solution is found

#### Pseudocode

```
generate the initial population
compute fitness for each chromosome
while not converged
    randomly select parents based on fitness
    crossover + mutation
    compute fitness
    add offspring to new generation
```

#### How Powerful is GA?

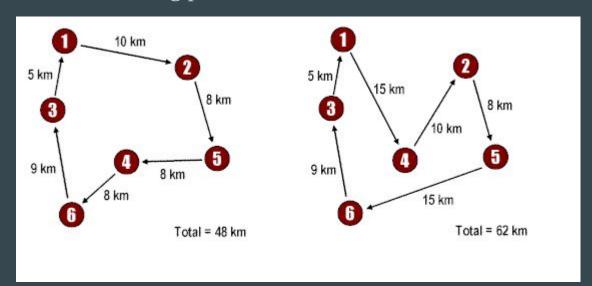
- Like any algorithm, the success of GA is highly dependent on the type of problems you need to solve
- Specifically, you need to consider how to encode a solution to your problem into a digital sequence, how crossover and mutation will work, and how to evaluate the fitness of a solution
- Some advantages of GA include:
  - It is gradient-free and can deal with a wide variety of problems (linear/non-linear, discrete or continuous, etc)
  - It is highly parallelizable
  - Mostly importantly, you don't need to know how to solve a problem!
- However, GA also does not guarantee a solution

## The Traveling Salesman Problem



## The Traveling Salesman Problem

Definition: Given a collection of cities, the traveling salesman must determine the shortest route that will enable him to visit each city precisely once and then return back to his starting point.



**Objective:** Find the order of the cities to visit such that the total distance travelled is minimized!

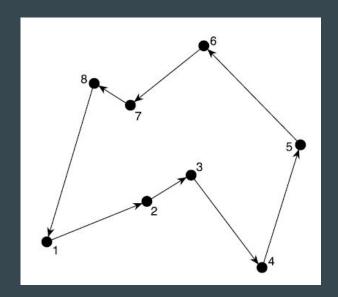
## The Traveling Salesman Problem

- The problem may look deceptively simple
- However, TSP is actually an NP-hard problem
- This is a term used in computational complexity theory to classify problems that are suspected to have no polynomial time solution
- Finding the exact solution to such problems may take at least exponential time
- Fortunately, usually we can get reasonably good results using GA

## **Permutation Encoding**

- In order to use GA on TSP, you need to be careful with how you encode a solution
- Consider the figure to the right
- Two possible tours may look like this:

```
4, 5, 1, 8, 3, 6, 2, 7
3, 6, 1, 4, 7, 2, 5, 8
```



## **Permutation Encoding**

• If these are chosen as parents, after crossover you may get the follow:

$$4, 5, 1, 8, 3, 6, 2, 7 \rightarrow 4, 5, 1, 8, 3, 2, 5, 8$$
  
 $3, 6, 1, 4, 7, 2, 5, 8 \rightarrow 3, 6, 1, 4, 7, 6, 2, 7$ 

Which are no longer valid routes!

## **Permutation Encoding**

• Instead, one way to solve this is to note the mapping, in this case:

$$\begin{array}{ccc}
6 & \rightarrow & 2 \\
2 & \rightarrow & 5 \\
7 & \rightarrow & 8
\end{array}$$

Next, iterate through each gene and swap based on the mapping

$$4, 5, 1, 8, 3, 6, 2, 7 \rightarrow 4, 5, 1, 8, 3, 2, 6, 7$$
  
 $\rightarrow 4, 2, 1, 8, 3, 5, 6, 7$   
 $\rightarrow 4, 2, 1, 7, 3, 5, 6, 8$ 

You can apply the same for mutation as well



#### References

<u>Introduction to Optimization with Genetic Algorithm</u>

<u>Introduction to Genetic Algorithms — Including Example Code</u>

Chapter 3 in Ai Techniques for Game Programming by Mat Buckland

How do Genetic Algorithms Work? (Unity)

Genetic Algorithms

Travelling salesman problem