



1

# CS 3104 OPERATING SYSTEMS



## CHAPTER 2 OPERATING-SYSTEM STRUCTURES

# OUTLINE

1

## ✓ Outline

- Operating System Services
- User and Operating-System Interface
- System Calls
- System Services
- Linkers and Loaders

- Operating System Services
- User and Operating-System Interface
- System Calls
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- Linkers and Loaders



# OPERATING SYSTEM SERVICES

- Operating Systems provide an environment for execution of programs and services to programs and users
- One set of operating-system services provides functions that are helpful to the user:
  - **User Interface:** Almost all operating systems have a User Interface (UI)
    - **Examples:**  
Command-Line Interface (CLI), Graphical User Interface (GUI), Touchscreen Interface
  - **Program execution:** The system must be able to load a program into memory and to run that program, end execution, either normally or abnormally (indicating error)
  - **I/O operations:** A running program may require I/O, which may involve a file or an I/O device



- Outline
- ✓ Operating System Services
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# OPERATING SYSTEM SERVICES

- One set of **operating-system services** provides **functions** that are helpful to the user:
  - **File-system manipulation:** The file system is of particular interest. Programs need to read and write files and directories, create and delete them, search them, list file information, & permission management.
  - **Communications:** Processes may exchange information, on the same computer or between computers over a network
    - **Communications** may be **via shared memory** or **through message passing** (packets moved by the OS)
  - **Error detection:** OS needs to be constantly aware of possible errors
    - May occur in the CPU and memory hardware, in I/O devices, in user program
    - For each type of error, OS should take the appropriate action to ensure correct and consistent computing
    - Debugging facilities can greatly enhance the user's and programmer's abilities to efficiently use the system



- Outline
- ✓ Operating System Services
- User and Operating-System Interface
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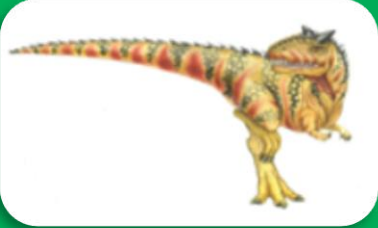
# OPERATING SYSTEM SERVICES

- Another set of OS functions exists for ensuring the efficient operation of the system itself via resource sharing
  - **Resource allocation:** When multiple users or multiple jobs running concurrently, resources must be allocated to each of them
    - Many types of resources: CPU cycles, main memory, file storage, I/O devices.
  - **Logging:** To keep track of which users use how much and what kinds of computer resources
  - **Protection and security:** The owners of information stored in a multiuser or networked computer system may want to control use of that information, concurrent processes should not interfere with each other
    - **Protection** involves ensuring that all access to system resources is controlled
    - **Security** of the system from outsiders requires user authentication, extends to defending external I/O devices from invalid access attempts



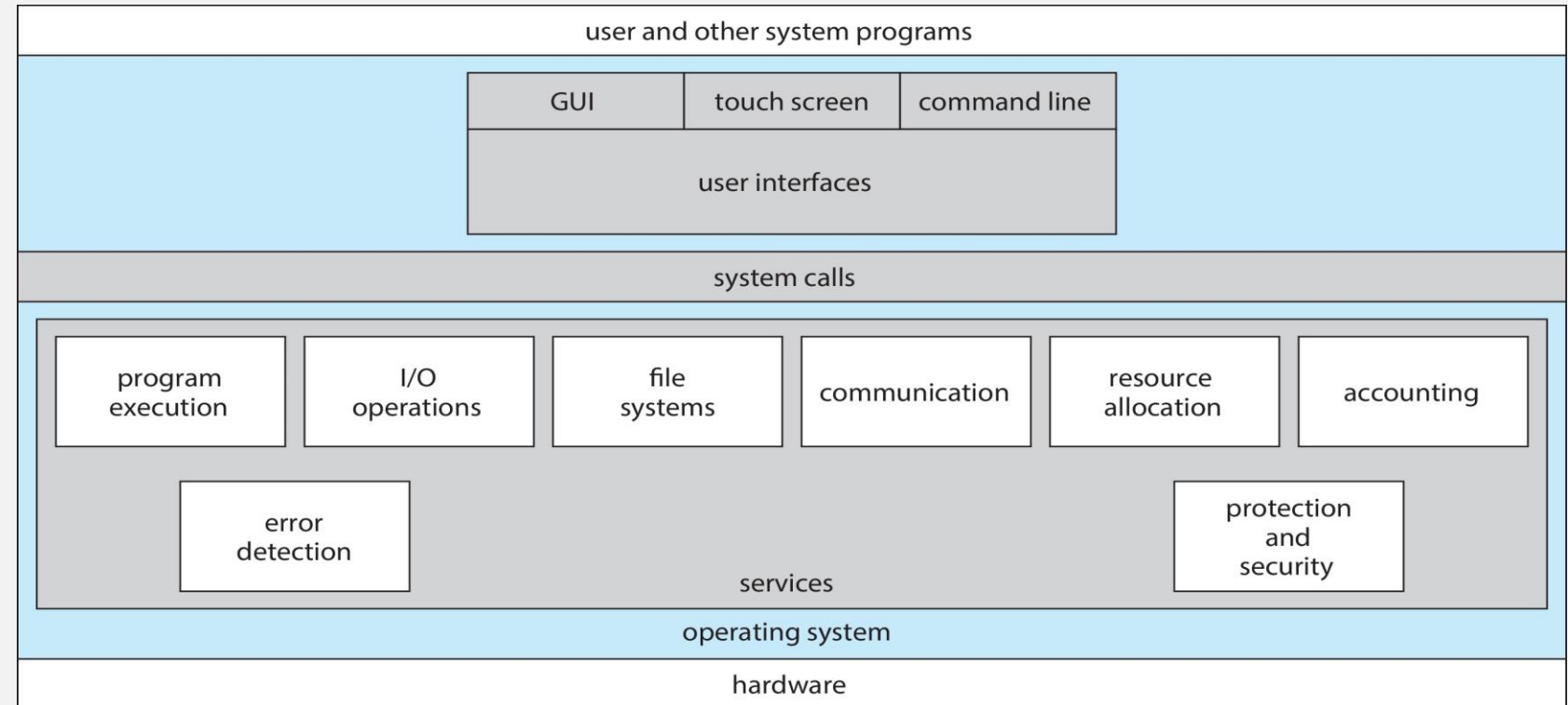
- Outline
- ✓ Operating System Services
- User and Operating-System Interface
- System Calls
- System Services
- Linkers and Loaders





# OPERATING SYSTEM SERVICES

## A VIEW OF OPERATING-SYSTEM SERVICES



- Outline
- ✓ Operating System Services
- User and Operating-System Interface
- System Calls
- System Services
- Linkers and Loaders





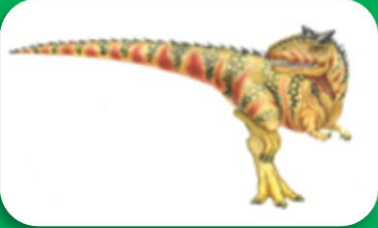
# USER AND OPERATING-SYSTEM INTERFACE

## Command-Line Interface (CLI)

- Outline
- Operating System Services
- ✓ User and Operating-System Interface
- System Calls
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- It is also known as **Command interpreter**.
- It allows **direct command entry**
  - Sometimes implemented in kernel, sometimes by systems program
  - Sometimes multiple flavors implemented (**shells**)
  - Primarily fetches a command from user and executes it
  - Sometimes commands built-in, sometimes just names of programs
    - If the latter, adding new features doesn't require shell modification



# USER AND OPERATING-SYSTEM INTERFACE

## Bourne-Again (or Bash) Shell Command Interpreter in macOS

```
1. root@r6181-d5-us01:~ (ssh)
root@r6181-d5-u...  ⓘ1  ssh  ⓘ2  root@r6181-d5-us01... ⓘ3
Last login: Thu Jul 14 08:47:01 on ttys002
iMacPro:~ pbg$ ssh root@r6181-d5-us01
root@r6181-d5-us01's password:
Last login: Thu Jul 14 06:01:11 2016 from 172.16.16.162
[root@r6181-d5-us01 ~]# uptime
 06:57:48 up 16 days, 10:52,  3 users,  load average: 129.52, 80.33, 56.55
[root@r6181-d5-us01 ~]# df -kh
Filesystem                Size      Used Avail Use% Mounted on
/dev/mapper/vg_ks-lv_root   50G       19G   28G   41% /
tmpfs                      127G      520K   127G    1% /dev/shm
/dev/sda1                   477M       71M   381M   16% /boot
/dev/dssd0000               1.0T     480G   545G   47% /dssd_xfs
tcp://192.168.150.1:3334/orangefs 12T     5.7T   6.4T   47% /mnt/orangefs
/dev/gpfs-test              23T     1.1T   22T    5% /mnt/gpfs
[root@r6181-d5-us01 ~]#
[root@r6181-d5-us01 ~]# ps aux | sort -nrk 3,3 | head -n 5
root      97653 11.2  6.6 42665344 17520636 ?    S<Ll  Jul13 166:23 /usr/lpp/mmfs/bin/mmfsd
root      69849  6.6   0.0      0      0 ?        S    Jul12 181:54 [vpthread-1-1]
root      69850  6.4   0.0      0      0 ?        S    Jul12 177:42 [vpthread-1-2]
root      3829   3.0   0.0      0      0 ?        S    Jun27 730:04 [rp_thread 7:0]
root      3826   3.0   0.0      0      0 ?        S    Jun27 728:08 [rp_thread 6:0]
[root@r6181-d5-us01 ~]# ls -l /usr/lpp/mmfs/bin/mmfsd
-r-x----- 1 root root 20667161 Jun  3  2015 /usr/lpp/mmfs/bin/mmfsd
[root@r6181-d5-us01 ~]#
```



- Outline
- Operating System Services
- ✓ User and Operating-System Interface
- System Calls
- System Services
- Linkers and Loaders





# USER AND OPERATING-SYSTEM INTERFACE

## Graphical User Interface (GUI)

- Outline
- Operating System Services
- ✓ User and Operating-System Interface
- System Calls
- System Services
- Linkers and Loaders



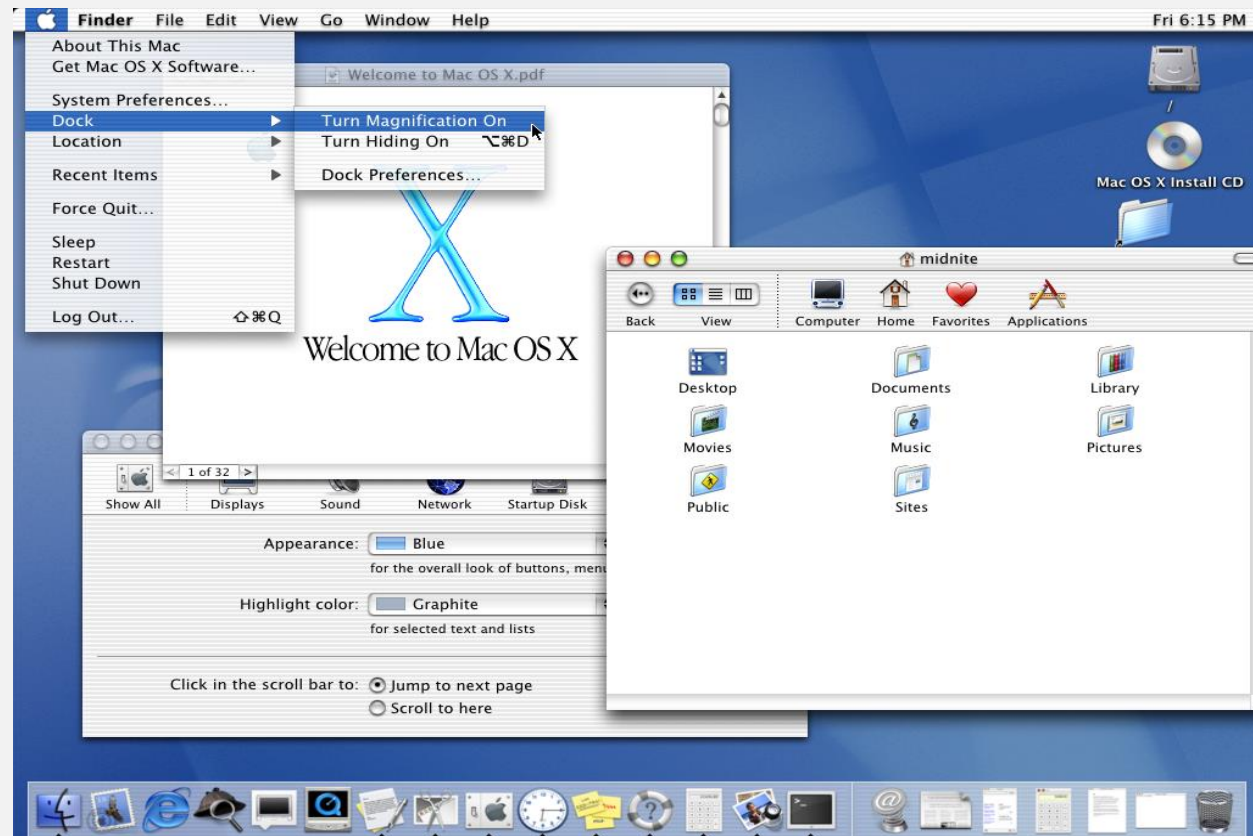
- User-friendly **desktop** metaphor interface
  - Usually mouse, keyboard, and monitor
  - **Icons** represent files, programs, actions, etc.
  - Various mouse buttons over objects in the interface cause various actions
    - provide information, options, execute function, open directory (known as a **folder**)
  - Invented at Xerox PARC
- Many systems now include both **CLI** and **GUI** interfaces
  - **Microsoft Windows** is **GUI** with **CLI “command” shell**
  - **Apple Mac OS X** is “**Aqua**” **GUI** interface with **UNIX kernel underneath and shells available**
  - **Unix and Linux** have **CLI** with optional **GUI** interfaces
    - CDE, KDE, GNOME

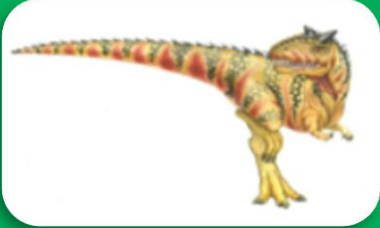


# USER AND OPERATING-SYSTEM INTERFACE

## The macOS X GUI

- Outline
- Operating System Services
- ✓ User and Operating-System Interface
- System Calls
- System Services
- Linkers and Loaders





# USER AND OPERATING-SYSTEM INTERFACE

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- Outline
- Operating System Services
- ✓ User and Operating-System Interface
- System Calls
- System Services
- Linkers and Loaders



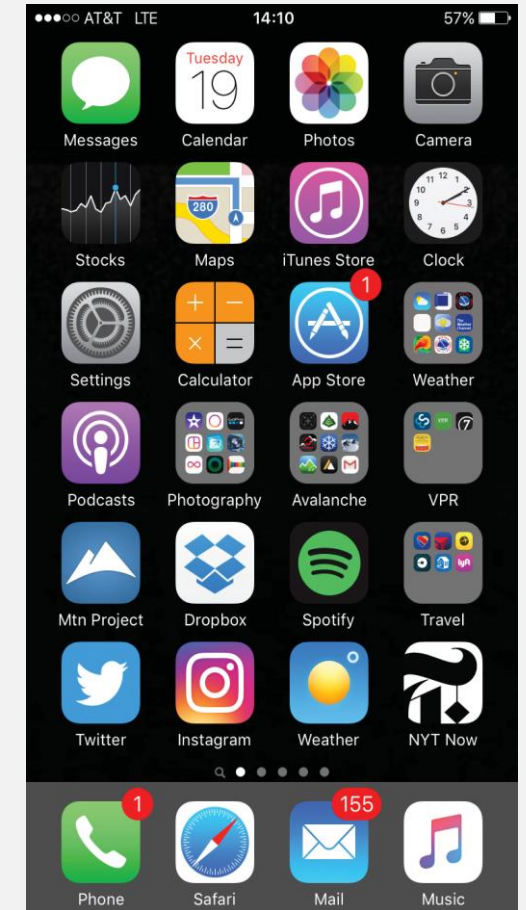


# USER AND OPERATING-SYSTEM INTERFACE

## Touch-Screen Interface

- Outline
- Operating System Services
- ✓ User and Operating-System Interface
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- Touchscreen devices require new interfaces
  - Mouse not possible or not desired
  - Actions and selection based on **gestures**
  - Virtual keyboard for text entry
- Voice commands







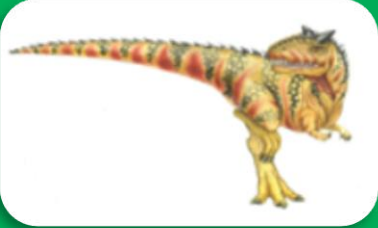
# SYSTEM CALLS

- **Programming interface to the services** provided by the OS
- Typically written in a **high-level language** (C or C++)
- For certain **low-level tasks** (for example, tasks where hardware must be accessed directly) may have to be written using **assembly-language** instructions
- **Mostly accessed by programs** via a high-level **Application Programming Interface (API)** rather than direct system call use
- **Three most common APIs** are **Win32 API** for Windows, **POSIX API** for **POSIX-based systems** (including virtually all versions of UNIX, Linux, and macOS), and **Java API** for the Java Virtual Machine (JVM).
- **Important Note:**  
The system-call names used throughout this text are generic



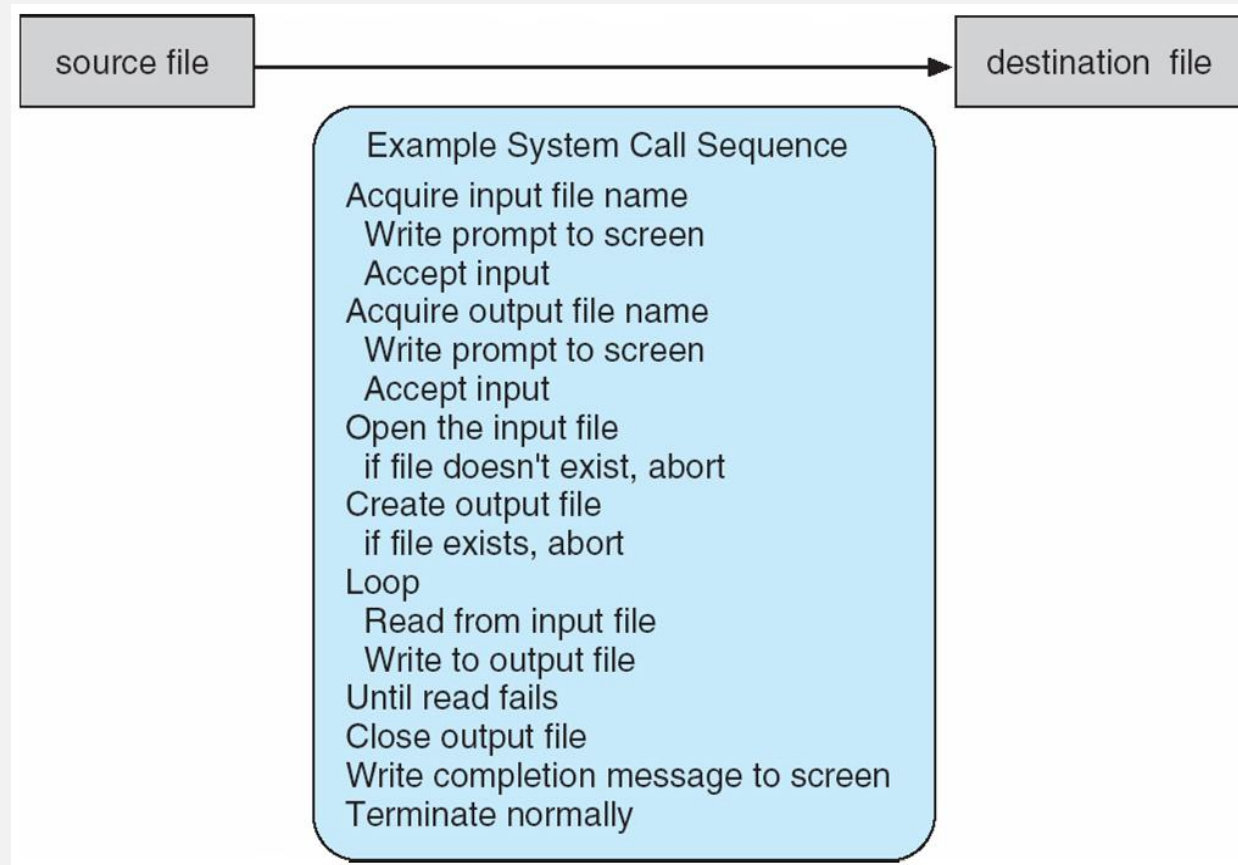
- Outline
- Operating System Services
- User and Operating-System Interface
- ✓ System Calls
- System Services
- Linkers and Loaders





# SYSTEM CALLS: EXAMPLE

- System call sequence to copy the contents of one file to another file



- Outline
- Operating System Services
- User and Operating-System Interface
- ✓ System Calls
- System Services
- Linkers and Loaders



# EXAMPLE OF STANDARD API

## EXAMPLE OF STANDARD API

As an example of a standard API, consider the `read()` function that is available in UNIX and Linux systems. The API for this function is obtained from the `man` page by invoking the command

```
man read
```

on the command line. A description of this API appears below:

```
#include <unistd.h>
```

```
ssize_t read(int fd, void *buf, size_t count)
```

return  
value

function  
name

parameters

A program that uses the `read()` function must include the `unistd.h` header file, as this file defines the `ssize_t` and `size_t` data types (among other things). The parameters passed to `read()` are as follows:

- `int fd`—the file descriptor to be read
- `void *buf`—a buffer into which the data will be read
- `size_t count`—the maximum number of bytes to be read into the buffer

On a successful read, the number of bytes read is returned. A return value of 0 indicates end of file. If an error occurs, `read()` returns `-1`.

- Outline
- Operating System Services
- User and Operating-System Interface
- ✓ System Calls
- System Services
- Linkers and Loaders



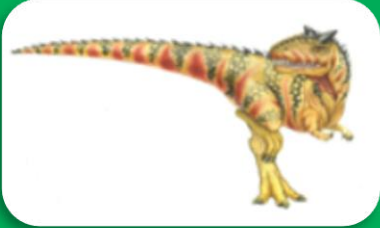


# SYSTEM CALL IMPLEMENTATION

- Typically, a **number** is **associated** with each **system call**.
  - **System-call interface** maintains a table indexed according to these numbers.
- The **system call interface** invokes the intended system call in OS kernel and returns the status of the system call and any return values.
- The **caller** needs to know **nothing** about how the **system call** is **implemented**.
  - Just **needs to obey API** and **understands what OS will do** as a result of the execution of that system call
  - **Most details of OS interface are hidden from the programmer by API**
    - Managed by run-time support library (set of functions built into libraries included with compiler)



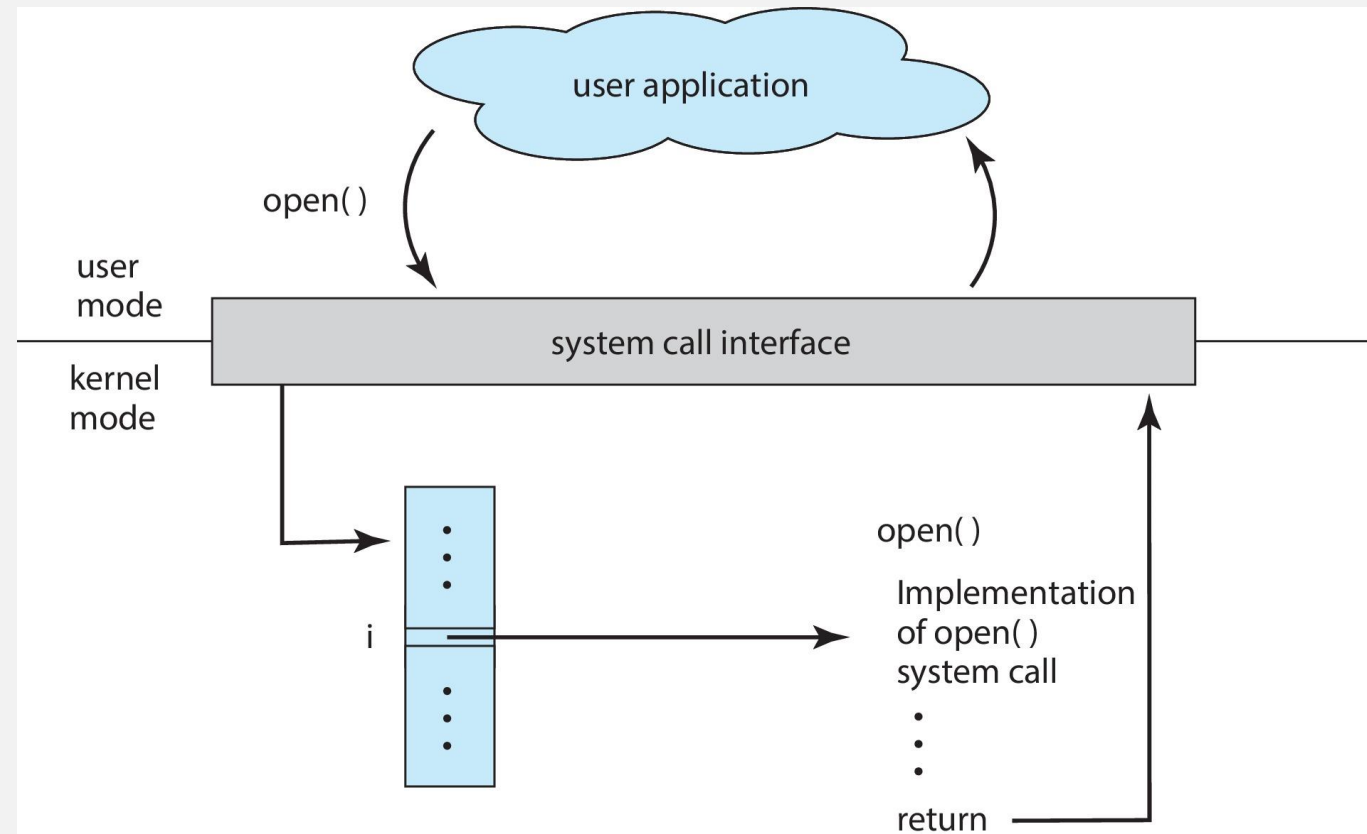
- Outline
- Operating System Services
- User and Operating-System Interface
- ✓ System Calls
- System Services
- Linkers and Loaders



# SYSTEM CALLS

## API-System Call Interface-OS Relationship

The handling of a user application invoking the `open()` system call



- Outline
- Operating System Services
- User and Operating-System Interface
- ✓ System Calls
- System Services
- Linkers and Loaders



# SYSTEM CALLS: PARAMETER PASSING

- More information is required than simply the identity of desired system call
  - Exact type and amount of information vary according to OS and call
- Three general methods used to pass parameters to the OS:
  - **Simplest:** pass the parameters in **registers**
    - In some cases, there may be more parameters than registers
  - Parameters stored in a **block**, or table, in memory, and address of block passed as a parameter in a register
    - This approach taken by Linux and Solaris
  - Parameters placed, or pushed, onto the **stack** by the program and popped off the stack by the operating system
    - **Block and stack methods** do not limit the number or length of parameters being passed



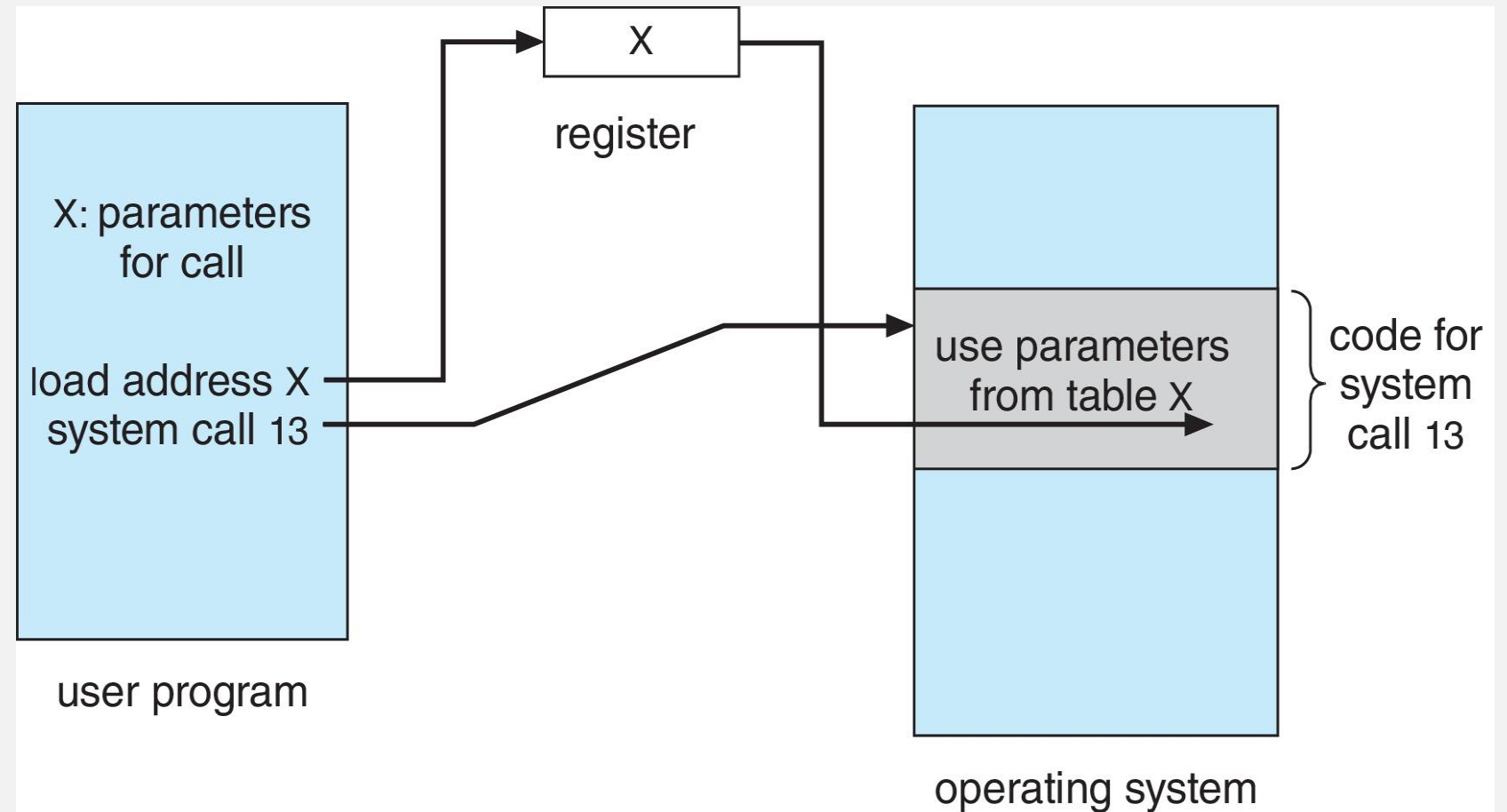
- Outline
- Operating System Services
- User and Operating-System Interface
- ✓ System Calls
- System Services
- Linkers and Loaders





# SYSTEM CALLS: PARAMETER PASSING

## Passing of Parameters as a Table



- Outline
- Operating System Services
- User and Operating-System Interface
- ✓ System Calls
- System Services
- Linkers and Loaders



- Outline
- Operating System Services
- User and Operating-System Interface
- ✓ System Calls
- System Services
- Linkers and Loaders



# TYPES OF SYSTEM CALLS

## ■ Process control

- create process, terminate process
- end, abort
- load, execute
- get process attributes, set process attributes
- wait for time
- wait event, signal event
- allocate and free memory
- Dump memory if error
- **Debugger** for determining **bugs**, **single step** execution
- **Locks** for managing access to shared data between processes



# TYPES OF SYSTEM CALLS

## ■ File management

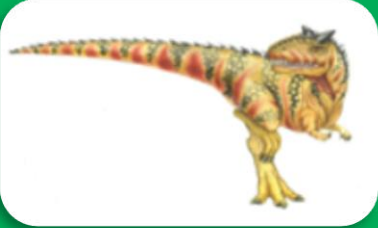
- create file, delete file
- open, close file
- read, write, reposition
- get and set file attributes

## ■ Device management

- request device, release device
- read, write, reposition
- get device attributes, set device attributes
- logically attach or detach devices



- Outline
- Operating System Services
- User and Operating-System Interface
- ✓ System Calls
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- Linkers and Loaders



# TYPES OF SYSTEM CALLS

## ■ Information maintenance

- get time or date, set time or date
- get system data, set system data
- get and set process, file, or device attributes

## ■ Communications

- create, delete communication connection
- send, receive messages if **message passing model** to **host name** or **process name**
  - From **client** to **server**
- **Shared-memory model** create and gain access to memory regions
- transfer status information
- attach and detach remote devices

- Outline
- Operating System Services
- User and Operating-System Interface
- ✓ System Calls
- System Services
- Linkers and Loaders





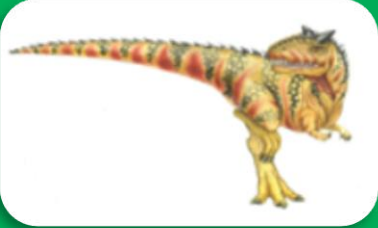
# TYPES OF SYSTEM CALLS

- **Protection**
  - Control access to resources
  - Get and set permissions
  - Allow and deny user access

- Outline
- Operating System Services
- User and Operating-System Interface
- ✓ System Calls
- System Services
- Linkers and Loaders







- Outline
- Operating System Services
- User and Operating-System Interface
- ✓ System Calls
- System Services
- Linkers and Loaders

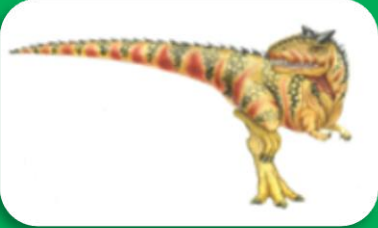


# SYSTEM CALLS

## EXAMPLES OF WINDOWS AND UNIX SYSTEM CALLS

The following illustrates various equivalent system calls for Windows and UNIX operating systems.

	Windows	Unix
<b>Process control</b>	CreateProcess() ExitProcess() WaitForSingleObject()	fork() exit() wait()
<b>File management</b>	CreateFile() ReadFile() WriteFile() CloseHandle()	open() read() write() close()
<b>Device management</b>	SetConsoleMode() ReadConsole() WriteConsole()	ioctl() read() write()
<b>Information maintenance</b>	GetCurrentProcessID() SetTimer() Sleep()	getpid() alarm() sleep()
<b>Communications</b>	CreatePipe() CreateFileMapping() MapViewOfFile()	pipe() shm_open() mmap()
<b>Protection</b>	SetFileSecurity() InitializeSecurityDescriptor() SetSecurityDescriptorGroup()	chmod() umask() chown()



# SYSTEM CALLS

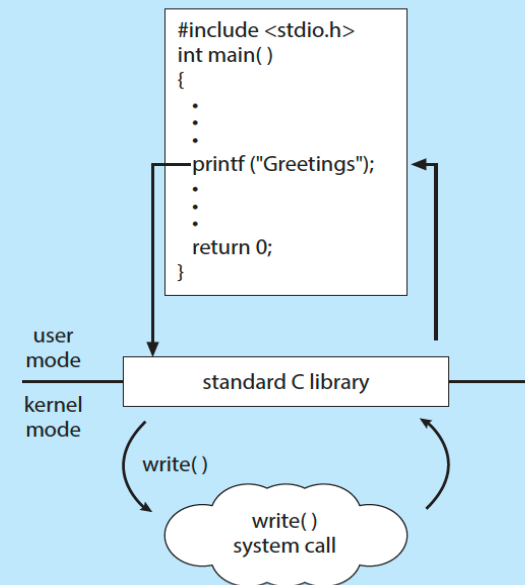
C program invoking **printf()** library call, which calls **write()** system call

- Outline
- Operating System Services
- User and Operating-System Interface
- ✓ System Calls
- System Services
- Linkers and Loaders



## THE STANDARD C LIBRARY

The standard C library provides a portion of the system-call interface for many versions of UNIX and Linux. As an example, let's assume a C program invokes the `printf()` statement. The C library intercepts this call and invokes the necessary system call (or calls) in the operating system—in this instance, the `write()` system call. The C library takes the value returned by `write()` and passes it back to the user program:





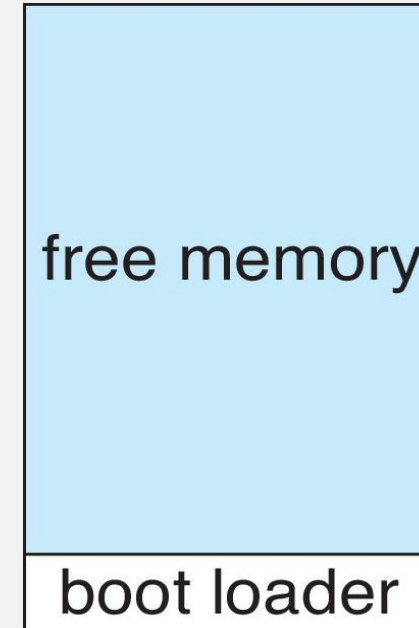
# SYSTEM CALLS

- Outline
- Operating System Services
- User and Operating-System Interface
- ✓ System Calls
- System Services
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## ▪ ARDUINO

- Single-tasking
- No operating system
- Program (sketch) loaded via USB into flash memory
- Single memory space
- Boot loader loads program
- Program exit → shell reloaded



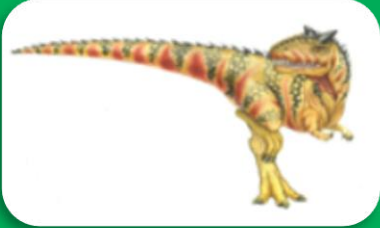
(a)

At system startup



(b)

Running a sketch



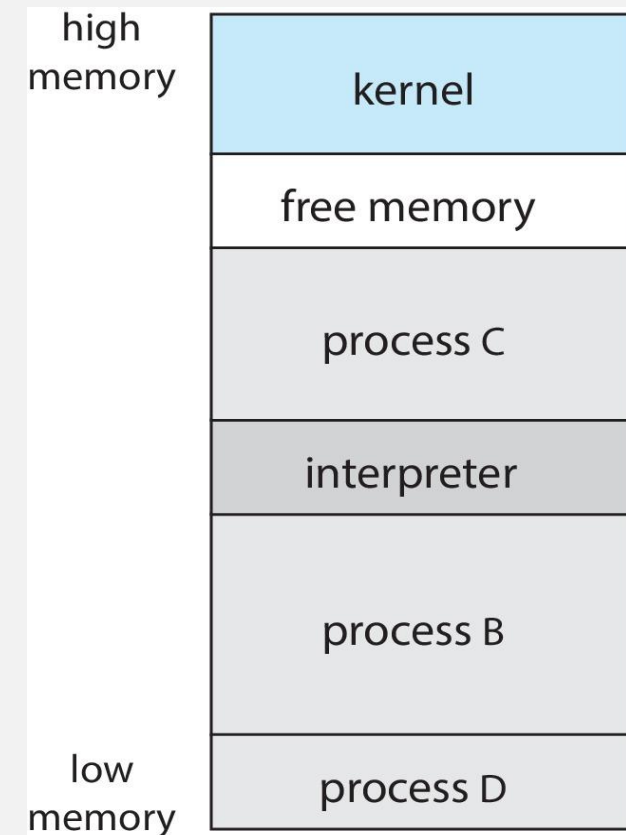
# SYSTEM CALLS

- Outline
- Operating System Services
- User and Operating-System Interface
- ✓ System Calls
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## ▪ **FREEBSD**

- Unix variant
- Multitasking
- User login → invokes user's choice of shell
- Shell executes **fork()** system call to create process
  - Executes **exec()** to load program into process
  - Shell waits for process to terminate or continues with user commands
- Process exits with:
  - $\text{code} = 0 \rightarrow$  no error
  - $\text{code} > 0 \rightarrow$  error code





- Outline
- Operating System Services
- User and Operating-System Interface
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# SYSTEM SERVICES

- **System services (programs)**, which are also known as **System Utilities**, provide a convenient environment for program development and execution.
- Categories of system services:
  - File management
  - Status information
  - File modification
  - Programming-language support
  - Program loading and execution
  - Communications
  - Background services
  - Application programs
- **Important Note:**  
**Most users' view of the operating system is defined by system programs, not by the actual system calls**





- Outline
- Operating System Services
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# SYSTEM SERVICES

## CATEGORIES OF SYSTEM SERVICES

- **File management:** Create, delete, copy, rename, print, dump, list, and generally manipulate files and directories
- **Status information**
  - **Some ask the system for info:** date, time, amount of available memory, disk space, number of users
  - Others provide detailed performance, logging, and debugging information
  - Typically, these programs format and print the output to the terminal or other output devices
  - Some systems implement a **registry:** used to store and retrieve configuration information
- **File modification:**
  - Text editors to create and modify files
  - Special commands to search contents of files or perform transformations of the text



# SYSTEM SERVICES

## CATEGORIES OF SYSTEM SERVICES

- **Programming-language support:**
  - Compilers, assemblers, debuggers and interpreters sometimes provided
- **Program loading and execution:**
  - Absolute loaders, relocatable loaders, linkage editors, and overlay-loaders, debugging systems for higher-level and machine language
- **Communications:**
  - Provide the mechanism for creating virtual connections among processes, users, and computer systems
  - Allow users to send messages to one another's screens, browse web pages, send electronic-mail messages, log in remotely, transfer files from one machine to another



- Outline
- Operating System Services
- User and Operating-System Interface
- System Calls
- ✓ System Services
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# SYSTEM SERVICES

## CATEGORIES OF SYSTEM SERVICES

- **Background Services:**
  - Launch at boot time
    - ✓ Some for system startup, then terminate
    - ✓ Some from system boot to shutdown
  - Provide facilities like disk checking, process scheduling, error logging, printing
  - Run in user context not kernel context
  - Known as **services, subsystems, daemons**
- **Application programs:**
  - Don't pertain to system
  - Run by users
  - Not typically considered part of OS
  - Launched by command line, mouse click, finger poke

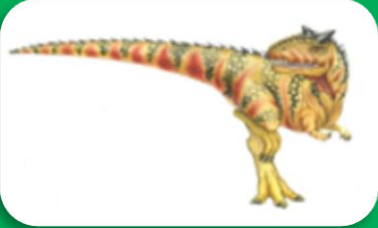


# LINKERS AND LOADERS

- **Source files (source codes)** are compiled into **object files** that are designed to be loaded into any **physical** memory location (**relocatable object file**).
- **Linker** combines these **relocatable object files** into a **single binary executable file**.
  - **During the linking phase**, other **object files or libraries** may be included as well, such as the **standard C or math library**
- **Program** resides on **secondary storage** as binary executable, must be brought into memory by **loader** to be executed
- **Loader** loads the **binary executable file** into **memory**, where it is eligible to run on a CPU core.



- Outline
- Operating System Services
- User and Operating-System Interface
- System Calls
- System Services
- ✓ Linkers and Loaders



# LINKERS AND LOADERS

- Outline
- Operating System Services
- User and Operating-System Interface
- System Calls
- System Services
- ✓ Linkers and Loaders



- **Relocation**

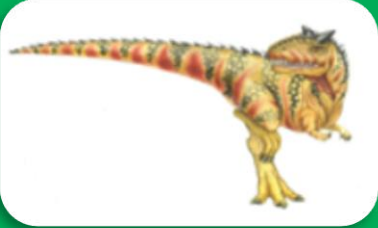
- activity associated with **linking and loading**
- **assigns final addresses** to program parts and adjusts code and data in program to match those addresses

- Modern general purpose systems don't link libraries into executables

- Rather, **dynamically linked libraries** (in Windows, **DLLs**) are loaded as needed, shared by all that use the same version of that same library (loaded once)

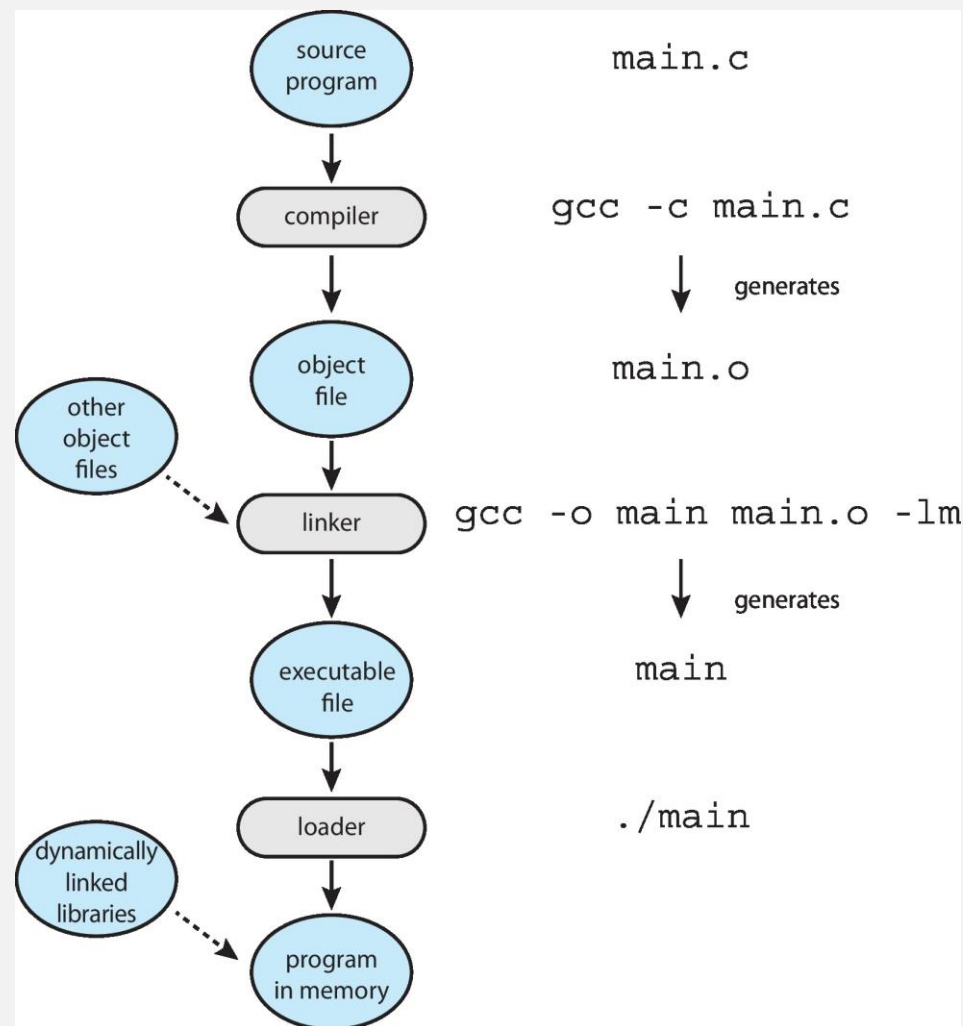
- **Object, executable files have standard formats**, so operating system knows how to load and start them





# LINKERS AND LOADERS

## THE ROLE OF THE LINKER AND LOADER



- Outline
- Operating System Services
- User and Operating-System Interface
- System Calls
- System Services
- ✓ Linkers and Loaders





1

## CS 3104 OPERATING SYSTEMS

\*\*\* END \*\*\*

THANK YOU!

