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# EXPLORING THE MECHANISM OF ACTIVE NOISE CANCELATION

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Mathematical Exploration

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# Introduction

Music has been inspiring me for as long as I can remember, as I have carried around a Walkman with me where ever I go when I was young. I grew up in the crowded city of Beijing, and loud noises in public – such as the subway station and the sidewalk – have always bothered me when I listen to music. This is why when my parents bought me my first active noise canceling (ANC) headphones when I was in middle school, I was astonished by its ability to reduce the noise.

I learned the physics that allows the functionality of ANC last year in my SL physics course. Sound is a pressure wave of air with propagating numbers of air molecules as moving across the sound wave. (Berg, 2020) There are points of compression created where there are more air molecules present with higher pressure, and points of rarefaction where there are fewer air molecules present with lower pressure. (Kendall, 1986)

Figure 1 includes a graph that represents the shown sound wave in the form of a sine function. While most sound waves cannot be represented by a single sine function, the fact that each point in space only has one air pressure prompts us that soundwave can be described using a mathematical function. (Berg, 2020)

The graph in figure 1 denoted soundwaves by graphing distance against air pressure to better math with the visual representation of soundwave above, but this is not how soundwaves are usually recorded. Microphone record sound through the use of a diaphragm, which vibrates along the air molecule in the air as sound pass by. (Eargle & Chris, 2002)The microphone records the change in the physical location of the diaphragm, which is caused by the different air pressure on the soundwave passing by, allowing the computer to note down the change in air pressure over the change in time. (Robjohns, 2010)

When two different soundwaves overlap, they interfere with each other. (Berg, 2020) By viewing soundwave as a function of the change of air pressure over the change in time, it is intuitive that the result of two functions overlapping can be found by adding the two functions together. (Berg) Graph 1 shows the result of the interference,  $h(x)$ , of the two functions  $f(x)$  and  $g(x)$ . In the green boxes, because of that both soundwaves have the same sign, they interfere constructively, causing the amplitude of the resulting wave  $h(x)$  to be greater than either  $f(x)$  or  $g(x)$  in those regions. In the boxes highlighted by red, the soundwaves interfere destructively, as their difference signs cause the two sound waves to cancel each other out. Consequentially, the resulting wave  $h(x)$  has a smaller amplitude than either  $f(x)$  or  $g(x)$  in these regions.

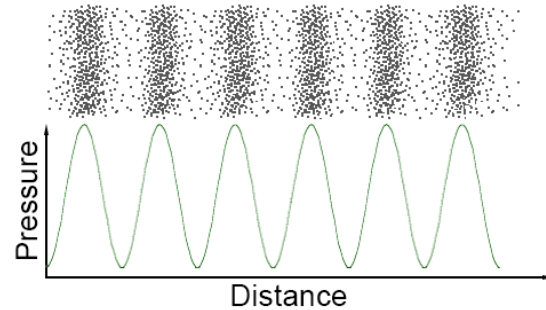
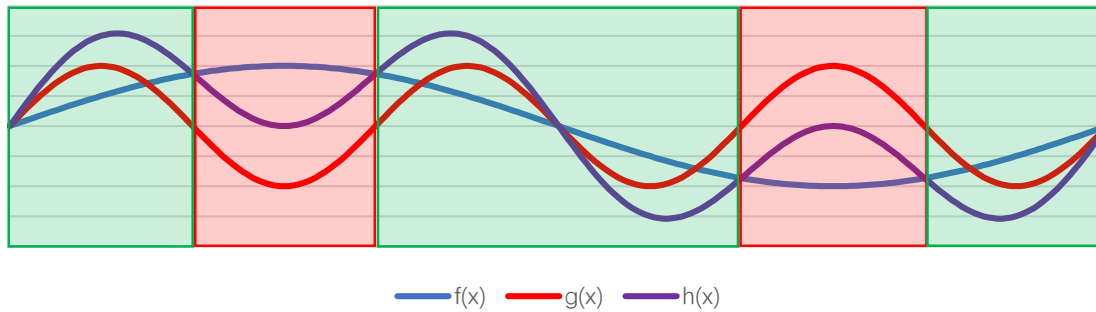


Figure 1. Each dot on the top represent a air molecule, allowing the first graph to represent what a soundwave look like in real life. The second graph shows the air pressure at different points in the sound wave.

Graph 1: Interference of two different waves



ANC headphones use the interference of soundwaves to block out the noises from the outside environment. The headphone's tight seal on the ears acts as a physical barrier of sound, but there will still be some noise getting into the headphone. The headphone then uses multiple different microphones to record the noise that was not blocked out by the headphone, and flips the signal to cancel it altogether.

While some cheaper headphones use an analog circuit to directly flip the signal from the microphone to cancel the sound, this type of noise cancellation does not perform as well as many would expect. Because of that high precision is required to cancel out the sound because of the high speed of sound, the uncertainties created by changes in temperature would affect the effect of the noise-canceling circuit.

Moreover, to remain competitive in the ANC market, most modern ANC headphone manufacturers need to block out certain frequencies by a different amount, to make sure that the headphones do not block out sound which the users may be interested in hearing. These may include things such as other people's voices.

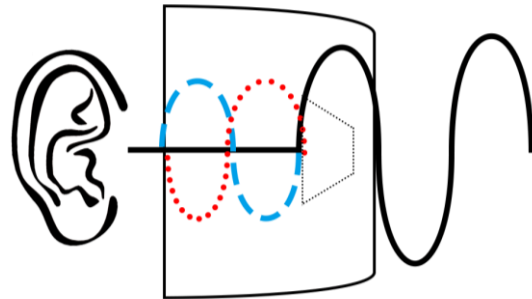


Figure 2 The black line represents the noise from outside. The blue line is the noise heard by the ears without ANC on, and the red line is the sound coming out from the speakers.

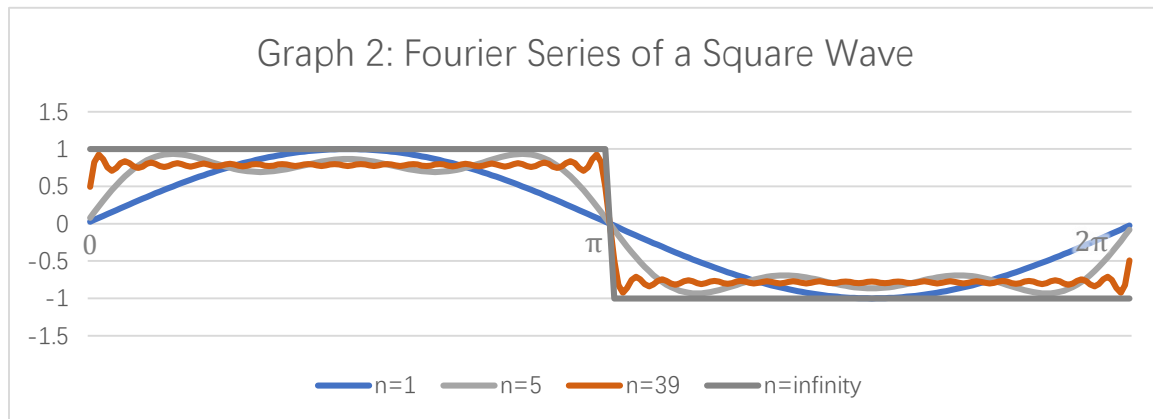
## The Fourier Theorem

In order to be able to manipulate the amplitude of different frequencies of sound, the headphone must separate the different frequencies of sounds first. Jean-Baptiste Joseph Fourier, a French mathematician and physicist, has tackled this problem. (Cajori, 2893) His Fourier theorem states that any periodical function can be composed of a Fourier Series. (Dorf & Tallarida, 1993)

A Fourier Series is a summation function of an infinite amount of sine and cosine functions of different frequencies. (Dorf & Tallarida, 1993) Since the Fourier theorem is commonly

regarded as an intuitive assumption in math, and its proof goes beyond the scope of this essay, I will be providing an example to show the theorem's effect instead of going through a tedious proving process.

$$g_n(x) = a_0 + a_1 \sin(b_1x + c_1) + a_2 \sin(b_2x + c_2) + a_3 \sin(b_3x + c_3) + \dots + a_n \sin(b_nx + c_n)$$



This graph illustrates the function  $f_n(x) = \sum_{i=1}^n \frac{\sin(ix)}{i}$ . As  $n$  increases from 1 to infinity, the graph approaches the desired shape of the function, a square wave. If a recording of sound was described as a periodic function with a period as same as the total time of the recording, it could also be expressed as a Fourier Series. By expressing soundwaves in the form of a Fourier Series, to be able to adjust the amplitude of each frequency, the headphone will be better able to cancel out noises actively.

## Fourier Analysis

I have established that a soundwave can be represented using a Fourier Series, and now I will investigate the concept of the Fourier analysis, which transforms of the soundwave as a function of time into a function of frequencies.

### Fourier Transformation

Starting simple, our understanding of what defines a sinusoidal wave needs to translate into mathematics. Take the function  $f(x) = \sin(x)$  for example, the amplitude of the function is just  $f(x)$  for any given point on the x-axis. We determine the period of the function by first finding an x-interception of the function. We then follow the function, as it increases above the original point, returns to the height of the original point, decreases to below the original point and finally returns again to the height of the original point. We then find the distance we have traveled on the x-axis, and that value will be the period of the function.

While we can replicate the identification process exactly with the use of  $f'(x)$  and  $f(x)$ , which finds the slope of the function and x-interceptions, the process can be dramatically simplified with another way of approaching the problem.

The characteristic of a concave down curve above the x-axis directly followed by a negative hump both with the exact same shape can be taken advantage of to describe a period. The opposite nature of the concave up and concave down curves cancels each other out when trying to find the average or the integral of the graph  $f(x)$  within the boundaries of its period. When the boundaries do not match up exactly with the period, not all of the parts above and below the x-axis will match up perfectly. Causing the average and the integral of the graph  $f(x)$  to have an absolute value greater than 0.

Starting simple, if we simplify the Fourier series to only whole number frequencies, we get:

$$g_n(x) = a_0 + a_1 \sin(1x + c_1) + a_2 \sin(2x + c_2) + a_3 \sin(3x + c_3) + \dots + a_n \sin(nx + c_n)$$

Since the Fourier series is the sum of an infinite amount of sinusoidal functions,  $n$  will be approaching infinity, allowing us to re-write the function  $g(x)$ :

$$g(x) = \sum_{i=1}^n a_0 + A(i) \sin(B(i)x + C(i))$$

$A(n)$ ,  $B(n)$  and  $C(n)$  are functions to represent the constants  $a$ ,  $b$  and  $c$  in each sinusoidal function within the series instead of listing them one by one. For instance:  $A(1)=a_1$ . This equation can also be further modified to suit our context better. The variables  $n$  and  $x$  while looks simple, does not help us model soundwave. We can thus replace them with frequency ( $f$ ) of oscillations in a given time interval ( $t$ ). The “ $n$ ” in the expression represents the  $n$ th sinusoidal function in the series, and we can instead label each sinusoidal function using their frequency. For example, the cosine function with a frequency of  $f$  would have a weight of  $A(f)$ . We also need to modify the cosine function in this specific term to have the frequency (oscillations for 1 unit of time)  $f$  in the specific point in time  $t$ . The function is first horizontally compressed by  $2\pi$ , to set its period to 1, and then expanded by its period. Since the inverse of the period is just the frequency, the cosine function can be written as  $\cos(2\pi ft)$ , and the function  $g(x)$  can thus be represented as follows:

$$g(t) = \sum_{f=-\infty}^{\infty} a_0 + a_0 + A(f) \sin(2\pi ft + C(f))$$

Since the Fourier series is actually continuous, we can use an integration to represent the function instead:

$$g(t) = \int_{-\infty}^{\infty} a_0 + a_0 + A(f) \sin(2\pi ft + C(f))$$

This expression uses two functions  $A(f)$  and  $C(f)$  to represent the amplitude and phase shift of each sinusoidal function in the series. Therefore, when trying to find the Fourier series of a soundwave, we need to determine the amplitude and phase shift of each frequency.

A useful tool to represent the amplitude and phase shift is complex numbers, as they can be expressed in polar form:  $|z| \text{cis}(\theta)$ .  $|z|$  is the absolute value of the complex number, which is also the amplitude of the cis function, and  $\theta$  can be used with  $2\pi ft$  to determine the phase shift of the function.

How can we then incorporate complex numbers into our process of converting a soundwave to a function that outputs the amplitude and phase shift when given a certain frequency? The special characteristics of averages of a sine function within a certain interval, which we have investigated before, inspired me to utilize the concept of centroids in geometry.

A radial symmetrical shape has its centroid located in the center of the shape. The centroid can be interpreted as the average location of all the points on the shape, which is why it is also the center of gravity of the shape. The radial segments of the radial symmetrical shape cancel each other out, causing the average of the location of points on the shape to be in the middle.

A symmetrical shape can be created by repeating a certain pattern multiple times to form its sides. Since sinusoidal functions have a repeating pattern, a shape exhibiting radial symmetry can be created with the sinusoidal function as its side and twisting the sine function around a certain point.

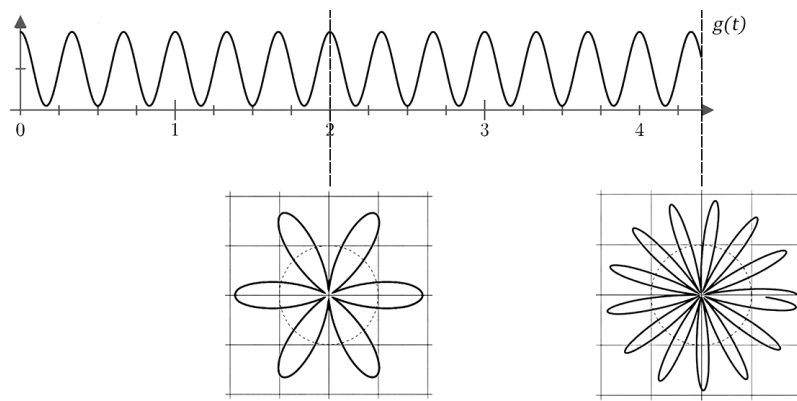


Figure 3. Winding the function  $g(t)=\sin(6\pi t)+1$  for  $t \in [0,2]$  and  $t \in [0,4.3]$  around a certain point

In figure 3, I chose to use the function  $\sin(6\pi t)+1$  so that I do not need to deal with negative values on the visual representation, as they might be unnecessarily complex to be quickly understood. The figure suggests the importance of the domain chosen for the winding: if the domain is an integer multiple of the period of the graph, (2 is an integer multiple of  $\frac{1}{3}$  by 6 times) then the shape will be symmetrical. If the domain is not an integer multiple of the period of the sin function, then it will not be a radial symmetrical shape.

While a 2D Cartesian plane can be used to plot the shape, and find the location of the centroid of the shape, finding an equation that describes the shape will be complicated. Instead, we can use the useful tool of complex numbers' polar form to graph this function.

Recall function  $g(t)$  from figure 3. The process of transitioning the graph into shape can be seen as changing the x-axis into the degree of rotation and y-axis to the length from a certain point to the origin. Since the x-axis values are just  $t$  and y-axis values are  $g(t)$ , we can write the complex expression  $g(t)\text{cis}(6\pi t)$ . The expression within the cis function is the same as the sine function in  $g(x)$  because we also need to perform the same horizontal compression the cis function to make sure that both functions represent the same graph.

The expression can be further generalized by using the variable  $f$  to represent the frequency. The transition that we are essentially performing on the graph is compressing a

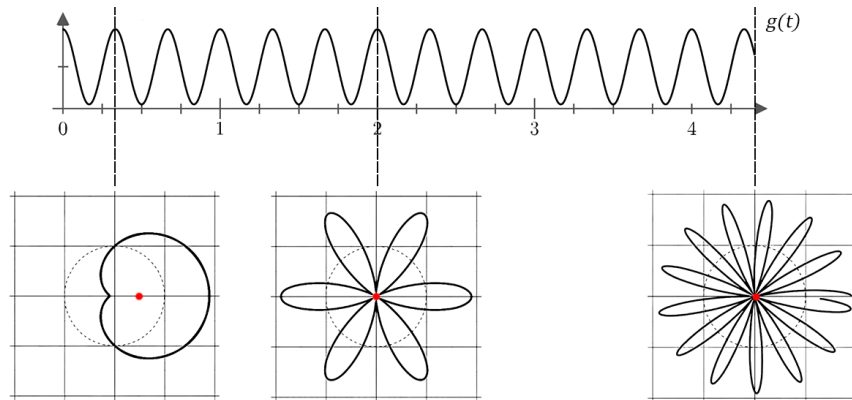
normal sine wave horizontally by  $2\pi$ , and then horizontally expanding it by its period. Notice that the transition that we are performing here is as same as the transitions that we have performed to a Fourier series, which prompts us that we are on the right path. The expression thus becomes:  $\hat{g}(t) = g(t)\text{cis}(2\pi t)$ .

Since we need to find the average location of the graph, which is a continuous set of data, we can divide its integral by its domain. After this modification, we can create a function of  $f$ :

$$\hat{g}(f) = \frac{\int_{t_1}^{t_2} g(t)\text{cis}(2\pi t)dt}{t_2 - t_1}$$

Now that we have built this complex expression  $\hat{g}(f)$ , which we know has some sort of connection to the abundance of sinusoidal functions with different frequencies, but what does it actually do and how does it behave? I claimed that when the frequency  $f$  is a whole number multiple of the actual frequency of the function, the centroid will be in the middle of the shape, which in this case is the origin. This is actually a false claim because there is one exception of this rule when  $f$  is exactly the same as the frequency of the function  $g(x)$ . This is because the whole number of multiples actually indicator of the number of segments that are creating the radial

symmetry. This can be seen in figure 3, where the whole number multiple is  $2 \div \frac{2\pi}{6\pi} = 6$ , which is also the number of segments in the shape which it produces. When the whole number multiple is one, there is only one segment, and there is nothing else to balance it out, making it so that the centroid of the shape being far away from the center. This can be seen in figure 4:



**Figure 4. Winding the function  $g(t)=\sin(6\pi t)+1$  for  $t \in [0,1]$ ,  $t \in [0,2]$  and  $t \in [0,4.3]$  around a certain point and their centroids respectively**

There is something special happening to the shape on the very right: even though it is not radially symmetrical, its centroid still appears to be so close to the origin that it is almost indistinguishable by eye. This is because of the large amount of oscillation that happens in the period. It contains 12.8 phases, meaning that each phase only account for  $\frac{1}{12.8} = 7.8125\%$  of the location in the centroid. This causes the lack of radial symmetry of the shape to have a small effect on the location of the centroid of the shape. The influence of the lack of symmetry on the shape also decreases as a larger interval is considered. This can be seen if we use a simple

function to represent the influence of the length of the interval on the effect of a single period on the centroid:  $E(x) = \frac{1}{fx}$  where  $f$  is the frequency of the wave. Since  $x$  is in the denominator,

$\lim_{x \rightarrow \infty} E(x) = 0$ . This means that the larger the range selected, the smaller the effect of the lack of symmetry on the centroid.

The distance between the centroid of the shape and the origin can thus be connected to the abundance of a sinusoidal function with the period as same as the time interval. This is because the effect on the lack of radial symmetry on the distance between the centroid and origin can be eliminated by increasing the boundary of the domain to  $\infty$  and  $-\infty$ . (I will refer back to this later in the paper) After whipping out the effect of radial symmetry on the distance, the only factor which affects the distance of the two-point is whether the period matches the time interval.

However, given the domain that is being used, the sinusoid cannot have the same period as the period must be a finite value. If the period of the sinusoid is used to control the number of oscillations, we are limiting ourselves to just one cycle in the graph, which jams the entire set of real numbers into the domain 0 to  $2\pi$  for the cis function.

This “bug” in our formula can be fixed by adding a small patch. Instead of using the period to control the frequency of oscillations two-point, we can use the frequency itself as a variable. This increases the domain of the cis function from only 0 to  $2\pi$  into  $-\infty$  to  $\infty$ . This way, if the frequency of winding the graph matches the frequency of the sinusoid oscillating, the period of the sinusoid will match up with the domain of one cycle in the graph, causing the centroid of the shape to deviate from the origin.

This modification is essentially making the cis function to have the same frequency as the sinusoid function, and can be carried out by expanding the sinusoid horizontally by  $f$  when plotting it onto the complex plane. This changes the expression to:

$$\hat{g}(f) = \frac{\int_{t_1}^{t_2} g(t) \text{cis}(2\pi f t) dt}{t_2 - t_1}$$

To recap everything that has just happened, we first established the connection between averages, integrals and the frequency of a sine wave. We then investigated the potential of using complex numbers to represent the Fourier series. After, we connected the concept of centroid with the idea of averaging a function, and through minor tweaks, created the expression  $\hat{g}(f)$  which describes the abundance, phase shift of a sinusoid of the frequency  $f$  in a periodic function.

Now that we know that the complex function  $\hat{g}(f)$  provides information about a single sinusoid, will it also work for the sum of multiple sinusoids?

If the function  $g(t)=A(t)+B(t)$ , such that  $A(t)=\sin(2\pi f_1 t)$  and  $B(t)=\sin(2\pi f_2 t)$ , the function  $g(x)$  will be representing the sum of multiple sinusoids with different frequencies  $f_1$  and  $f_2$ .  $\hat{g}(f)$  can thus be rewritten using integration rules:



$$\begin{aligned}
\hat{g}(f) &= \frac{\int_{t_1}^{t_2} g(t) \text{cis}(2\pi f t) dt}{t_2 - t_1} \\
&= \frac{\int_{t_1}^{t_2} (A(t) + B(t)) \text{cis}(2\pi f t) dt}{t_2 - t_1} \\
&= \frac{\int_{t_1}^{t_2} A(t) \text{cis}(2\pi f t) dt}{t_2 - t_1} + \frac{\int_{t_1}^{t_2} B(t) \text{cis}(2\pi f t) dt}{t_2 - t_1}
\end{aligned}$$

By rewriting the function into this form, it can be seen that the function  $\hat{g}(f)$  just became the sum of  $\hat{A}(f)$  and  $\hat{B}(f)$ . Since  $\hat{A}(f)$  and  $\hat{B}(f)$  would only have a significant peak when  $f$  is the same as the frequencies of the function  $f_1$  and  $f_2$ , adding the function together would thus create two large peaks at  $f_1$  and  $f_2$  in the function  $\hat{g}(f)$ . Since the Fourier theorem states that any periodical function can be expressed using a Fourier series, a series of sinusoids with different frequencies by modifying their amplitude and phase shift,  $\hat{g}(f)$  would thus output information on the amplitude and phase shift of the sinusoid function with frequency  $f$  in the Fourier series of  $g(f)$ .

This expression, however, does not cleanly display the information about amplitude and phase shift of the certain frequency, as the non-radial symmetric shapes create uncertainties. As previously discussed, this can be fixed by setting the boundary of the integral into  $-\infty$  to  $\infty$ . This cancels out the effect of a lack of symmetry on the position of the centroid. The  $t_2 - t_1$  in the denominator is also removed, as the result of the subtraction will become  $2\infty$ , leading the value generated by the function to be compressed to 0. Getting rid of the denominator will not affect the visualization of the frequencies, as the interval is set constant, and the denominator only scales the result of the function down by the set range being considered. The function will thus become:

$$\hat{g}(f) = \int_{-\infty}^{\infty} g(t) \text{cis}(2\pi f t) dt$$

This function has two minor differences in terms of representation from the Fourier transformation known by the public. The first difference is that we constructed the function using the polar form of complex numbers, while the version more well known to the public uses the Euler's form, likely because the integration is easier to find. Another difference is that we drew the shape in terms of angle, which naturally goes in the counter-clockwise direction. Fourier approached the transformation in the clockwise direction, which can be done by flipping the function around the y-axis. This does not make any differences to the function itself, as we are just changing the direction we draw the shape. This changes the function to:

$$\hat{g}(f) = \int_{-\infty}^{\infty} g(t) e^{-2\pi i f t} dt$$

## Discrete Fourier Transform

We will now apply our Fourier transformation formula on the topic of noise cancelation. Recall that microphones record the air pressure difference from in the soundwave and in the surrounding in a given frequency to represent the soundwave. This means that the recording of the soundwave is not a continuous function, but rather just a large amount of sample points the belong to the actual function of the soundwave. This creates a problem, as the expression that we have just created requires the exact function of the periodic wave: the function  $g(x)$  is continuous and the boundaries of the integral are  $\pm\infty$ , which cannot be satisfied with only a set of sample points. We thus need to modify the function  $\hat{g}(f)$ .

Since we are now dealing with a sequence, we can use the variable  $i_n$  to represent the value of the  $n$ th recording in the series, with a total of  $N$  recording.

Instead of winding the function  $g(x)$  to create a radial shape, we can instead turn the series of points to create the shape. The effects will be exactly the same and the only difference is that we will only be dealing with a finite amount of points instead of a line. Borrowing concepts from the previous section of  $|z|\text{cis}(\theta)$ , we can create an expression which plots the data points

around the origin in the complex plane:  $g_n \text{cis}(2\pi \frac{fn}{N})$

We can then find the mean of the points,  $G_n$ , by summing them and dividing the sum by the total amount of points:

$$G_f = \frac{\sum_{n=0}^{N-1} g_n \text{cis}(2\pi \frac{fn}{N})}{N}$$

## Fast Fourier Transformation

Looking at the expression which we have just come up with to perform Fourier transformation on a discrete set of data, there are two problems present if it were to be applied to ANC on a headphone.

The first problem is the accuracy of the Fourier transformation. If the distance were to be estimated to be 5 centimeters, which is a very optimistic estimation, there would be only  $1.45 \times 10^{-4}$  seconds for the computer to process the audio signal. A standard microphone has a sample rate of 44.1kHz, meaning there will only be 6.4 samples collected before the sound wave reaches the user's ears. Such a small amount of sample points will create an extremely large amount of uncertainty, causing the Fourier analysis of the sample points to be unable to accurately represent the actual sound wave of the sound coming from outside.

A common trick which headphones employ to counter this problem is to perform the Fourier transformation on a cumulative sample: storing the samples from the past several seconds, and doing a Fourier analysis based on the cumulating sample points. This would dramatically increase of accuracy of the sound produced to cancel out noise from outside. While this would reduce some lag in the noise cancelation, creating a light echo effect, this can be

minimized from the manufacturer of the headphone fine-tuning the cumulative time to the optimal for best noise cancelation and least lag.

The second but more prominent problem is time for calculation. ANC headphones need to finish processing the sound signal before the sound travel from the location of the microphone to the location of the speakers. Going back to the previous estimation of, the headphone would have only  $1.45 \times 10^{-4}$  seconds to process thousands of sample points from the last few seconds. Note that calculations without any optimization, the chip inside the headphone would need to run through each of the sample points once for every frequency (humans can hear sound from around 20Hz to 20kHz) to complete the Fourier analysis of the soundwave.

One characteristic of the calculation can be noted: many of the calculation that the headphone is performing is repetitive: if  $G_f = \frac{\sum_{n=0}^{N-1} g_n \text{cis}(2\pi \frac{f_n}{N})}{N}$ , then the next function will be  $G_f = \frac{\sum_{n=1}^N g_n \text{cis}(2\pi \frac{f_n}{N})}{N}$ . The only change in the calculation is that the second expression ignored the point and  $n=0$ , but considered the new point at  $n=N$ . This prompts the concept of recursion, which is a technique that allows the utilization of values of previous values of a function to calculate a new value by defining a function in terms of itself.

J. W. Cooley and John Tukey proposed a method that separates the segments of the discrete Fourier transformation into its substituent odd and even parts. To show this in the expression, we can use  $2m$  to represent the even numbers and  $2m+1$  to represent the odd

numbers: 
$$G_f = \frac{\sum_{m=0}^{\frac{N}{2}-1} g_{2m} \text{cis}(2\pi \frac{f}{N} 2m)}{N} + \frac{\sum_{m=0}^{\frac{N}{2}-1} g_{2m+1} \text{cis}(2\pi \frac{f}{N} (2m+1))}{N}$$

In the range for each part of the transformation has been halved because even and odd numbers would each account for half of the set of data points. This assuming there is an even number of data points, and this assumption can be made because the headphone manufacturers can choose the amount of sample being accounted for. For the ease of the future steps, I will represent the complex numbers in Euler's form instead of the polar form:

$$G_f = \frac{\sum_{n=0}^{\frac{N}{2}-1} g_{2m} e^{i2\pi \frac{f}{N} 2m}}{N} + \frac{\sum_{n=0}^{\frac{N}{2}-1} g_{2m+1} e^{i2\pi \frac{f}{N} (2m+1)}}{N}$$

Notice I have changed the range to half on  $N$ . This is built on top of the assumption that  $N$  is an even number and half of the number in the series is even and the other half is odd.

Furthermore, the constant  $e^{i2\pi \frac{f}{N} 2m}$  can be factored out from its odd part, and the function can

thus be rewritten: 
$$G_f = \frac{\sum_{n=0}^{\frac{N}{2}-1} g_{2m} e^{i2\pi \frac{f}{N} 2m}}{N} + e^{i2\pi \frac{f}{N} 2m} \frac{\sum_{n=0}^{\frac{N}{2}-1} g_{2m+1} e^{i2\pi \frac{f}{N} (2m)}}{N}$$

Using the periodic property of complex exponentials, we can shift the function by  $\frac{N}{2}$  to represent itself recursively, allowing us to use recursion to perform a fast Fourier transformation:

$$\begin{aligned}
G_{f+\frac{N}{2}} &= \frac{\sum_{n=0}^{\frac{N}{2}-1} g_{2n} e^{i2\pi \frac{f}{N} 2n}}{N} + e^{i2\pi \frac{f+\frac{N}{2}}{N}} \frac{\sum_{n=0}^{\frac{N}{2}-1} g_{2n+1} e^{i2\pi \frac{f+\frac{N}{2}}{N} (2n)}}{N} \\
&= \frac{\sum_{n=0}^{\frac{N}{2}-1} g_{2n} e^{i2\pi \frac{f+\frac{N}{2}}{N} n}}{N} + e^{i2\pi \frac{f+\frac{N}{2}}{N}} \frac{\sum_{n=0}^{\frac{N}{2}-1} g_{2n+1} e^{i2\pi \frac{f+\frac{N}{2}}{N} n}}{N} \\
&= \frac{\sum_{n=0}^{\frac{N}{2}-1} g_{2n} e^{i2\pi \frac{f}{N} n} e^{i2\pi n}}{N} + e^{i2\pi \frac{f}{N}} e^{i\pi} \frac{\sum_{n=0}^{\frac{N}{2}-1} g_{2n+1} e^{i2\pi \frac{f+\frac{N}{2}}{N} n} e^{i2\pi n}}{N} \\
&= \frac{\sum_{n=0}^{\frac{N}{2}-1} g_{2n} e^{i2\pi \frac{f}{N} n} \times 1}{N} + e^{i2\pi \frac{f}{N}} \times (-1) \frac{\sum_{n=0}^{\frac{N}{2}-1} g_{2n+1} e^{i2\pi \frac{f}{N} n} \times 1}{N}
\end{aligned}$$

Now, if we use  $E(f)$  to represent the discrete Fourier transformation of the even inputs,

$$\frac{\sum_{n=0}^{\frac{N}{2}-1} g_{2n} e^{i2\pi \frac{f}{N} n} \times 1}{N}, \text{ and } O(f) \text{ to represent the discrete Fourier transformation of the odd inputs,}$$

$$\frac{\sum_{n=0}^{\frac{N}{2}-1} g_{2n+1} e^{i2\pi \frac{f+\frac{N}{2}}{N} n} e^{i2\pi n}}{N}, \text{ we can easily compare the expression } G_f \text{ and } G_{f+\frac{N}{2}}:$$

$$G_f = E(f) + e^{i2\pi \frac{f}{N}} O(f)$$

$$G_{f+\frac{N}{2}} = E(f) - e^{i2\pi \frac{f}{N}} O(f)$$

Using these two formulas, we can essentially perform Fourier transformation much more efficiently with the use of recursion. By expressing the discrete Fourier transformation of length  $N$  in terms of two discrete Fourier transformation of length  $\frac{N}{2}$ , it increases the speed of the calculation by recursively using the result of the intermediate calculations to compute further discrete Fourier transforms.

## Conclusion

The ultimate goal of this investigation is to understand the principals which ANC headphones rely on in order to cancel out noises from the outside environment. In order to just have some sort of control in what noises to cancel out, the headphone needs to decipher the soundwave into multiple frequencies, and adjust the weight of each of those frequencies accordingly to achieve its purpose. It then uses the speakers to play the processed soundwave out of phase of the original soundwave of the noise to cancel it out.

Instead of going through pages of tedious and boring proofs with pure math, we used logic to derive the Fourier transformation function: we analyzed the connection between average and frequency of a sinusoid, the relationship of amplitude and phase shift of a sinusoid with a complex number, and then connect the concepts above to the idea of centroid in geometry. Since the processing of the soundwave needs to be done in a minimal amount of time – before the soundwave moves from the microphone to the speaker in the headphone – we used a recursive algorithm to perform a fast Fourier transformation.

Using Fourier transformation on noise cancelation while allows control in what sound to cancel, also creates 2 other issues when compared to just playing the soundwave with opposite amplitude.

The first issue is the lag created for analyzing the cumulative soundwave from the past. I have briefly mentioned this issue in my body, but what I essentially mean is that since more sample points from the soundwave are considered that just what is happening at the moment, newly appeared sound are weighted down, as they exist for a shorter time than the noises that are sustained continuously in the background.

A possible improvement to this issue is to use a microphone with a higher sample rate than usual. This minimizes the delay, as the headphone would then need to use less cumulated soundwave from before, as there are more present points in the soundwave to sustain the transformation with acceptable uncertainty.

Another problem is the frequencies that the transformation can account for. The more extensive the frequencies range; the more time it will take for the headphone to performing the fast Fourier transform. If the range of frequency is overextended, then the Fourier transformation would likely take too long. This means that there is a limit to the frequency in which the headphone can reproduce.

These consequences can be seen in the modern generation of ANC headphones, which tend to be better at canceling sustained low-frequency sounds than higher frequency and more random sounds. The low frequency sustained noise is easier to cancel out because repetition in the sound makes the sustaining soundwave used by the headphone be to represent the actual soundwave better. The low frequency makes it easier for the headphone to process the soundwave.

One possible fix to this problem can be approaching the problem in the other way: instead of using Fourier transformation to determine what to cancel out, we can use the Fourier transformation to determine what not to cancel out. We can flip the sound wave first, and then add on any extra signal that we want to allow to pass to the user. Since the interval of the allowed signal is likely smaller than the other signals on the entire spectrum, this would enable the calculation of more decimal in the frequency, which creates a better result for performing the fast Fourier transformation.

As technology such as the speed of calculation of computer chips and battery capacity increases, ANC headphones will be able to perform Fourier transform to soundwaves faster, allowing better cancelation of noise and controllability. Therefore advancement in technology and in mathematical theories work hand-in-hand to improve our society.

## Works Cited

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