

The University of Manchester  
Department of Computer Science  
Project Report 2024

**My Little Operating System**

Author: Thomas H. Jones

Supervisor: Dr James Garside

**Abstract**

My Little Operating System

Author: Thomas H. Jones

Test

Supervisor: Dr James Garside

## **Acknowledgements**

Thanks page?

# Contents

<b>1</b>	<b>Introduction</b>	<b>6</b>
1.1	Aims . . . . .	6
1.2	Motivations . . . . .	6
1.3	Project Plan . . . . .	7
1.4	Report Outline . . . . .	7
<b>2</b>	<b>Background</b>	<b>8</b>
2.1	Operating System Fundamentals . . . . .	8
2.1.1	Scheduling algorithms . . . . .	8
2.2	Information on board . . . . .	8
2.3	Risc-v fundamentals . . . . .	8
2.3.1	Extensions . . . . .	8
2.3.2	Traps . . . . .	8
2.3.3	Important CSRs . . . . .	9
2.3.4	Comparison between RISC-V and ARM . . . . .	9
<b>3</b>	<b>Design and Implementation</b>	<b>10</b>
3.1	Toolchain . . . . .	10
3.2	Board Configuration . . . . .	10
3.2.1	Clock settings . . . . .	10
3.3	Processes . . . . .	12
3.3.1	Process Structure . . . . .	12
3.3.2	Scheduler . . . . .	13
3.4	Memory Management . . . . .	13
3.5	IO . . . . .	13
<b>4</b>	<b>Outcome</b>	<b>14</b>

<b>5</b>	<b>Evaluation</b>	<b>15</b>
<b>6</b>	<b>Conclusions and further work</b>	<b>16</b>

# List of Figures

3.1	High Frequency Clock Diagram . . . . .	11
3.2	Low Frequency Clock Diagram . . . . .	11

## List of Tables

# Chapter 1

## Introduction

### 1.1 Aims

This project will be based upon the following goals

- A basic implementation of processes, which can run arbitrary user code
- A scheduler that schedules processes interactively
- Memory management, to ensure that user code has limited access to memory
- IO, for example a text input and output, or other visual methods of output

### 1.2 Motivations

This project is an exploration of concepts and methods of operating systems, with the overall goal to implement these concepts to create a simple operating system. In addition to this, the project will be done using a board that implements the open source, RISC-V processor architecture, which will require research into the operation of RISC-V, as well as any implementation specific features to the board in use. The board used will be the Sifive Hifive1 Rev B. The main goal is the implementation of an interactive scheduler to run multiple processes at once, as this is something that will not be implemented unless part of an operating system.



## 1.3 Project Plan

The initial part of this project will be determining an appropriate microcontroller board and setting up the toolchain required to compile and load code onto the board. Following this, the basic components of the system will be set up, such as the interrupt handler. Simple IO will be setup, to allow for ease of debugging, which will then be reimplemented later to be consistent with other IO elements. The implementation of processes will be split into multiple parts, such as the storage of process information, the creation of processes, the scheduling of processes and the deletion of processes. As part of this, memory management will be developed at the same time, as a basic implementation is required for user code to function.

## 1.4 Report Outline

- Introduction: This chapter gives the basic overview of the planned project
- Background: This chapter will introduce key concepts to enable a more comprehensive understanding of the projects details
- Design: This chapter will outline the key design decisions made before and during the project
- Implementation: This chapter will give details on the practical implementation of concepts and designs mentioned in previous chapters
- Evaluation: This chapter will discuss the implementation and findings made during the implementation
- Conclusion: This chapter will give a summary of how the aims of the project were met

# Chapter 2

## Background

### 2.1 Operating System Fundamentals

#### 2.1.1 Scheduling algorithms

### 2.2 Information on board

### 2.3 Risc-v fundamentals

#### 2.3.1 Extensions

The Hifive1 uses a 32 bit E31 core, which runs the RV32IMAC ISA, with user and machine priviledge levels.

#### 2.3.2 Traps

In Risc-v, a trap refers to anything where the execution on the hart is handed to the trap handler. There are two categories of traps, synchronous and asynchronous

##### **Asynchronous/Interrupts**

An asynchronous trap is a break in execution caused by external factors, and can be referred to as an interrupt. There are three types of interrupt, software, timer, and external. These are controlled by two units, the CLINT (core local interruptor) and the PLIC (platform level interrupt controller). The CLINT handles the

software and timer interrupts, and the PLIC handles the external interrupts.

**Synchronous**

### **2.3.3 Important CSRs**

### **2.3.4 Comparison between RISC-V and ARM**

# **Chapter 3**

## **Design and Implementation**

### **3.1 Toolchain**

### **3.2 Board Configuration**

On the Hifive1, there are several important configuration options that affect general operation of the board. The most notable of these are the clock settings, as these indicate the frequency of the processor, input and output frequencies, and timer interrupts.

#### **3.2.1 Clock settings**

The Hifive1 has 3 clock regions, a high frequency clock, a low frequency clock, and a clock used to drive the JTAG connection. The JTAG driver is constant and only used for debugging through JTAG, so is not relevant here.

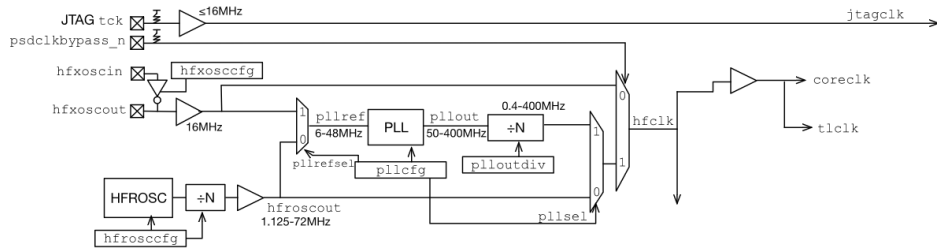


Figure 3.1: The high frequency clock generation scheme, specifying how the high frequency clock is driven and configured, taken from the Sifive FE310-G002 Manual CITE REFERENCE

The high frequency clock controls the processor frequency, and the baud rate of input and output is derived from it. The high frequency clock can be driven from two sources, an internally trimmable high frequency ring oscillator and an external high frequency crystal oscillator. The ring oscillator can produce frequencies ranging from 1 MHz to 75 MHz, whereas the crystal will produce a constant frequency of 16 MHz. Both of these clock sources may be used ‘as is’, or can be modified using a PLL and divider, giving an available range of 48 MHz to 384 MHz.

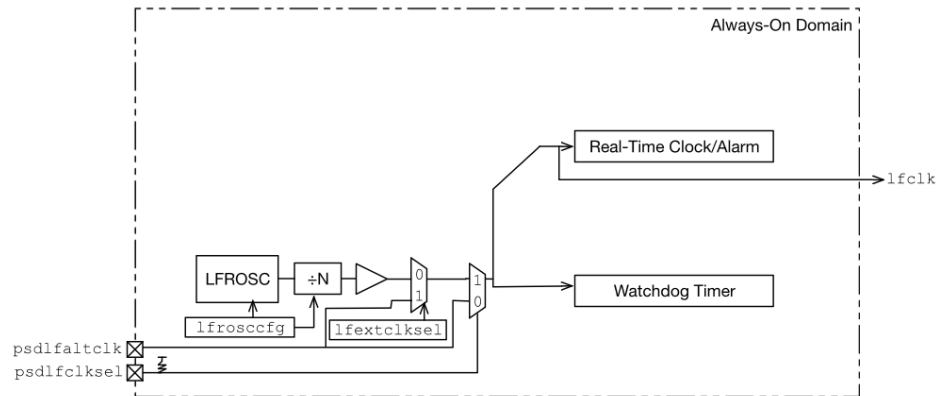


Figure 3.2: The low frequency clock generation scheme, specifying how the low frequency clock is driven and configured

The low frequency clock is part of the Hifive1 ‘always on block’ and controls the watchdog timer, which can be used to cause a reset on malfunction, and both

the realtime clock and the machine timer, both of which are used to generate timed interrupts. Similar to the high frequency clock can be driven from a ring oscillator or from an external clock, which in the Hifive1 is a crystal oscillator. The low frequency ring oscillator functions at 1.5 KHz to 230 KHz using a frequency divider, and the implemented external clock runs at a constant 32.768 KHz, with no option to divide the frequency.

For both clock domains, the crystal oscillator was chosen. The ring oscillator gives the option to operate at a higher frequency, which would result in a higher number of operations per second. While in a practical operating system this would be desirable, since this system is not intended for practical use, a constant frequency was more desirable as it would give more predictable results, and makes IO operations more reliable. For the low frequency clock, a high frequency would be beneficial for a real time system as the higher frequency would allow for more precise timing of interrupts and other functions, however for an interactive system this precision is not required, and so similar to the high frequency domain the constant frequency of a crystal oscillator was selected.

## **3.3 Processes**

### **3.3.1 Process Structure**

Due to the extremely limited amount of memory available on the Hifive1, the data required to store information on each process has to be structured carefully, else the amount of memory required to store process information would begin to limit the amount of memory available to the processes themselves. In the current implementation, 35 bytes are used to store process information.

One byte each is allocated for a process id, process parent id, process status, and size of a processes text section. For process id and process parent id, only one byte is needed as the Hifive1 does not have the memory to support a large of processes, so a theoretical cap of 256 processes is acceptable. Process status can only take 4 values, so only 2 bits of the byte are used, and the text size byte stores the size as a power of 2, where a process has  $2^n$  words, where  $n$  is the value stored. While some of these values only require bits, they are stored as bytes to keep the structure word aligned.

One word each is allocated for the processes program counter, text section pointer and address space pointer. Since memory addresses are word length, these cannot be reduced.

The vast majority of the process structure is used storing the 31 general purpose registers. This is required to retain the state of each process in between scheduling. The only option to reduce this would be to limit the amount of registers available to use. Only 31 must be stored as the x0 register is hardwired to zero.

In other systems, information like the processes stack pointer may be stored, however standard RISC-V calling convention specifies x2 to be used as the stack pointer, so separate storing of this information is not required, and allows a process to handle its address space on its own, however on process creation x2 and x3 are initialised as the stack pointer and the global pointer, where the stack pointer points to the bottom of the process address space and the global pointer at the top. This is done to reduce the overhead of processes that use the standard calling convention.

### **3.3.2 Scheduler**

To implement an interactive system, a scheduler will be designed to allow a process run for a limited time, before halting the process, and running another ready process. The time allowed for a process to run is referred to as its quantum. Processes will be scheduled to be ran in a round robin fashion, to allow a fair runtime to each process while maintaining a low overhead. This is implemented using a circular queue, where new and halted processes are added to the end of the queue, and processes to run are taken from the start of the queue.

## **3.4 Memory Management**

## **3.5 IO**

## **Chapter 4**

### **Outcome**



# **Chapter 5**

## **Evaluation**

## **Chapter 6**

### **Conclusions and further work**