S&DS 265 / 565 Introductory Machine Learning

# **Word Embeddings**

Thursday, October 28

ADJ
NOUN
VERB
PRYAIC

#### Reminders

- Assignment 4 due Tuesday
- Assignment 5 out Tuesday

#### Language models

 A language model is a way of assigning a probability to any sequence of words (or string of text)

$$p(w_1,\ldots,w_n)$$

#### Language models

 A language model is a way of assigning a probability to any sequence of words (or string of text)

$$p(w_1,\ldots,w_n)$$

By the basic rules of conditional probability we can factor this as

$$p(w_1,\ldots,w_n) = p(w_1)p(w_2 | w_1)\ldots p(w_n | w_1,\ldots,w_{n-1})$$

3

#### Language models

A language model is a way of generating any sequence of words

```
P(\text{``the whole forest had been anesthetized''}) = \\ P(\text{``the''}) \times P(\text{``whole''} | \text{``the''}) \\ \times P(\text{``forest''} | \text{``the whole''}) \\ \times P(\text{``had''} | \text{``the whole forest''}) \\ \times P(\text{``been''} | \text{``the whole forest had''}) \\ \times P(\text{``anesthetized''} | \text{``the whole forest had been''})
```

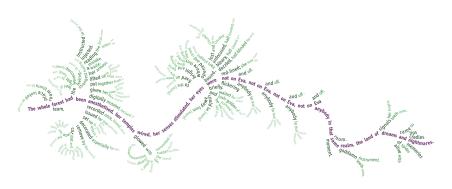
2

#### **Remixing Noon**

Text generated from Channel Skin by Jeff Noon

"The whole forest had been anesthetised, her temples wired, her senses stimulated, her eyes were not on Eva, not on Eva, not on Eva, not on anybody in that same realm, the land of dreams and nightmares."

Viability: 0.000000326%



#### **Text generation**

- Words generated one-by-one
- A word is chosen by sampling from a probability distribution
- Then treated as if it were "real," as in dreaming
- Result is purely synthetic text

## How good is a language model? Perplexity

Perplexity is defined as

Perplexity(
$$\theta$$
) =  $\left(\prod_{i=1}^{n} p_{\theta}(w_i \mid w_{1:i-1})\right)^{-\frac{1}{n}}$ 

where  $w_1, w_2, \dots, w_n$  is a large chunk of text that wasn't used to train the language model.

7

#### How good is a language model? Perplexity

- Perplexity is the inverse of the geometric mean of the word probabilities
- If the perplexity is 100, the model predicts, on average, as if there were 100 equally likely words to follow
- This is the (geometric) average "branching factor" for the model on real text

7

Suppose a computer program assigns a "score" to possible next words:

$$s(v; \underbrace{w_1, \ldots, w_n})$$
 word history

 $<sup>\</sup>verb|https://en.wikipedia.org/wiki/Softmax_function| \\$ 

Suppose a computer program assigns a "score" to possible next words:

$$s(v; \underbrace{w_1, \ldots, w_n})$$
 word history

Can convert this to a language model by the "softmax" operation:

$$p(w \mid w_1, ..., w_n) = \frac{\exp(s(w; w_1, ..., w_n))}{\sum_{v \in V} \exp(s(v; w_1, ..., w_n))}$$

https://en.wikipedia.org/wiki/Softmax\_function

Suppose a computer program assigns a "score" to possible next words:

$$s(v; \underbrace{w_1, \ldots, w_n})$$
 word history

Can convert this to a language model by the "softmax" operation:

$$p(w \mid w_1, \ldots, w_n) = \frac{\exp(s(w; w_1, \ldots, w_n))}{\sum_{v \in V} \exp(s(v; w_1, \ldots, w_n))}$$

In GPT-3, the function  $s(v; w_{1:n})$  is learned on large amounts of text (unsupervised) using a type of deep neural network called a *transformer*.

https://en.wikipedia.org/wiki/Softmax\_function

Suppose a computer program assigns a "score" to possible next words:

$$s(v; \underbrace{w_1, \ldots, w_n})$$
 word history

c

Suppose a computer program assigns a "score" to possible next words:

$$s(v; \underbrace{w_1, \ldots, w_n})$$
 word history

Today, we'll be working with a simple case where

$$s(v; w_1, ..., w_n) = \beta_v^T \phi(w_1, ..., w_n)$$
  
=  $\beta_v^T \phi(w_n)$   
=  $\phi(v)^T \phi(w_n)$ 

Suppose a computer program assigns a "score" to possible next words:

$$s(v; \underbrace{w_1, \ldots, w_n})$$
 word history

Today, we'll be working with a simple case where

$$s(v; w_1, ..., w_n) = \beta_v^T \phi(w_1, ..., w_n)$$
  
=  $\beta_v^T \phi(w_n)$   
=  $\phi(v)^T \phi(w_n)$ 

C

## **Key intuition**

- Words that have similar neighbors will be similar
- Self-referential notion of similarity
- This will be an intuition behind "word embeddings"

#### Pointwise mutual information (PMI)

Can cluster words based on "pointwise mutual information" (PMI)

$$\log\left(\frac{p_{\text{near}}(w_1, w_2)}{p(w_1)p(w_2)}\right)$$

 How likely are specific words/clusters to co-occur together within some window, compared to if they were independent?

1:

#### **Example clusters from PMI**

we our us ourselves ours question questions asking answer answers answering performance performed perform performs performing tie jacket suit write writes writing written wrote pen morning noon evening night nights midnight bed attorney counsel trial court judge problems problem solution solve analyzed solved solving letter addressed enclosed letters correspondence large size small larger smaller operations operations operated operated school classroom teaching grade math street block avenue corner blocks table tables dining chairs plate published publication author publish writer titled wall ceiling walls enclosure roof sell buy selling buying sold

#### Core idea of embeddings

- Form a language model but replace classes by vectors, one for each word
- Use PMI-like scores to fit the vectors
- Can be applied whenever have cooccurrence data.

Language model is

$$p(w_2 \mid w_1) = \frac{\exp(\phi(w_2)^T \phi(w_1)}{\sum_{w} \exp(\phi(w)^T \phi(w_1))}.$$

Carry out stochastic gradient descent over the embedding vectors  $\phi \in \mathbb{R}^d$  (where  $d \approx 50$ –500 is chosen by hand)

This is what Mikolov et al. (2014, 2015) did at Google. With a couple of twists:

<sup>&</sup>quot;Distributed representations of words," (2014) "Efficient representations of words in vector space" (2015)

Heuristics used:

Skip-gram: predict surrounding words from current word

<sup>&</sup>quot;Distributed representations of words," (2014) "Efficient representations of words in vector space" (2015)

#### Heuristics used:

- Skip-gram: predict surrounding words from current word
- This leads to a model of nearby words  $p_{near}(w_2 \mid w_1)$ .

<sup>&</sup>quot;Distributed representations of words," (2014) "Efficient representations of words in vector space" (2015)

#### Heuristics used:

- Skip-gram: predict surrounding words from current word
- This leads to a model of nearby words  $p_{near}(w_2 | w_1)$ .
- Second is computational. The bottleneck is computing the denominator in the logistic (softmax) probability.

<sup>&</sup>quot;Distributed representations of words," (2014) "Efficient representations of words in vector space" (2015)

#### Heuristics used:

- Skip-gram: predict surrounding words from current word
- This leads to a model of nearby words  $p_{\text{near}}(w_2 \mid w_1)$ .
- Second is computational. The bottleneck is computing the denominator in the logistic (softmax) probability.
- Use "negative sampling": Approximation

$$\begin{split} & \sum_{w} \exp(\phi(w)^{T} \phi(w_{1})) \\ & \approx \exp(\phi(w_{2})^{T} \phi(w_{1})) + \sum_{\text{random } w} \exp(\phi(w)^{T} \phi(w_{1})) \end{split}$$

<sup>&</sup>quot;Distributed representations of words," (2014) "Efficient representations of words in vector space" (2015)

#### **Using PCA**

A closely related approach is to use PCA of PMI:

• Form  $V \times V$  matrix of pointwise mutual information values

$$\log\left(\frac{p_{\text{near}}(w_1,w_2)}{p(w_1)p(w_2)}\right)$$

- Compute top k eigenvectors  $\phi_1, \ldots, \phi_k$
- For each word w, define embedding as

$$\phi(\mathbf{w}) \equiv (\phi_{1\mathbf{w}}, \phi_{2\mathbf{w}}, \dots, \phi_{k\mathbf{w}})^T$$

16

#### **Analogies**

These heuristics enable training on very large text collections. Leads to vector representations of words with interesting properties.

For example, analogies:

king is to man as? is to woman

#### **Analogies**

These heuristics enable training on very large text collections. Leads to vector representations of words with interesting properties.

For example, analogies:

king is to man as? is to woman

Paris is to France as? is to Germany

## **Analogies**

These heuristics enable training on very large text collections. Leads to vector representations of words with interesting properties.

For example, analogies:

king is to man as? is to woman
Paris is to France as? is to Germany

$$\begin{split} \phi(\texttt{king}) - \phi(\texttt{man}) &\stackrel{?}{\approx} \phi(\texttt{queen}) - \phi(\texttt{woman}) \\ \widehat{\textit{\textbf{w}}} &= \mathop{\arg\min}_{\textit{\textbf{w}}} \|\phi(\texttt{king}) - \phi(\texttt{man}) + \phi(\texttt{woman}) - \phi(\textit{\textbf{w}})\|^2 \end{split}$$

Does  $\widehat{w} = \text{queen}$ ?

#### **Learned Analogies**

Table 8: Examples of the word pair relationships, using the best word vectors from Table 4 (Skipgram model trained on 783M words with 300 dimensionality).

Relationship	Example 1	Example 2	Example 3
France - Paris	Italy: Rome	Japan: Tokyo	Florida: Tallahassee
big - bigger	small: larger	cold: colder	quick: quicker
Miami - Florida	Baltimore: Maryland	Dallas: Texas	Kona: Hawaii
Einstein - scientist	Messi: midfielder	Mozart: violinist	Picasso: painter
Sarkozy - France	Berlusconi: Italy	Merkel: Germany	Koizumi: Japan
copper - Cu	zinc: Zn	gold: Au	uranium: plutonium
Berlusconi - Silvio	Sarkozy: Nicolas	Putin: Medvedev	Obama: Barack
Microsoft - Windows	Google: Android	IBM: Linux	Apple: iPhone
Microsoft - Ballmer	Google: Yahoo	IBM: McNealy	Apple: Jobs
Japan - sushi	Germany: bratwurst	France: tapas	USA: pizza

Mikolov et al., "Distributed representations of words," (2014); "Efficient representations of words in vector space" (2015)

#### **Evaluation Analogies**

Type of relationship	Word Pair 1		Word Pair 2	
Common capital city	Athens	Greece	Oslo	Norway
All capital cities	Astana	Kazakhstan	Harare	Zimbabwe
Currency	Angola	kwanza	Iran	rial
City-in-state	Chicago	Illinois	Stockton	California
Man-Woman	brother	sister	grandson	granddaughter
Adjective to adverb	apparent	apparently	rapid	rapidly
Opposite	possibly	impossibly	ethical	unethical
Comparative	great	greater	tough	tougher
Superlative	easy	easiest	lucky	luckiest
Present Participle	think	thinking	read	reading
Nationality adjective	Switzerland	Swiss	Cambodia	Cambodian
Past tense	walking	walked	swimming	swam
Plural nouns	mouse	mice	dollar	dollars
Plural verbs	work	works	speak	speaks

Mikolov et al., "Distributed representations of words," (2014); "Efficient representations of words in vector space" (2015)

#### GloVe

Shortly after: Stanford group introduced a variant

$$\mathcal{O}(\phi) = \sum_{w_1, w_2} f(c_{w_1, w_2}) \left( \phi(w_1)^T \phi(w_2) - \log c_{w_1, w_2} \right)^2$$

where  $c_{w,w'}$  are cooccurrence counts in a window (PMI)

- A type of regression estimator
- Main advantage is that SGD can be carried out much more efficiently

Pennington et al., "GloVe: Global vectors for word representation," (2015)

#### GloVe

$$\mathcal{O}(\phi) = \sum_{w_1, w_2} f(c_{w_1, w_2}) \left( \phi(w_1)^T \phi(w_2) - \log c_{w_1, w_2} \right)^2$$

where  $c_{w,w'}$  are cooccurrence counts.

Heuristic weighting function

$$f(x) = \left(\frac{x}{x_{\text{max}}}\right)^{\alpha}$$

where  $\alpha = 3/4$  set empirically.

• So  $10^{-4} \mapsto 10^{-3}$ . Each order of magnitude down gets "boosted" by 1/4-magnitude.

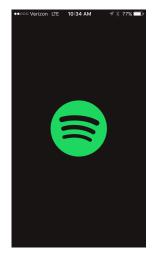
Pennington et al., "GloVe: Global vectors for word representation," (2015)

21

#### GloVe site and code



#### **Recommendation via Embedding**





#### **Notebook**

Let's go to the Python notebook!

## **Embedding embeddings: t-SNE**

• How can we visualize the embeddings?

## **Embedding embeddings: t-SNE**

- How can we visualize the embeddings?
- We're in a very high dimensional space

### **Embedding embeddings: t-SNE**

- How can we visualize the embeddings?
- We're in a very high dimensional space
- Could use PCA—this will tend to distort more

### **Embedding embeddings: t-SNE**

- How can we visualize the embeddings?
- We're in a very high dimensional space
- Could use PCA—this will tend to distort more
- Many visualization techniques exist. A currently popular one is t-SNE: "Student-t Stochastic Neighborhood Embedding"

Here's the idea behind t-SNE:

Form a language model using the embeddings

Here's the idea behind t-SNE:

- Form a language model using the embeddings
- Scale and symmetrize, giving a matrix  $P = [P_{ij}]$

Pronounced: tee-snee

#### Here's the idea behind t-SNE:

- Form a language model using the embeddings
- Scale and symmetrize, giving a matrix  $P = [P_{ij}]$
- Represent word i by  $y_i \in \mathbb{R}^2$ . Use a heavy-tailed distribution (Student-t)

Pronounced: tee-snee

#### Here's the idea behind t-SNE:

- Form a language model using the embeddings
- Scale and symmetrize, giving a matrix  $P = [P_{ij}]$
- Represent word i by  $y_i \in \mathbb{R}^2$ . Use a heavy-tailed distribution (Student-t)
- Select y<sub>i</sub> using stochastic gradient descent

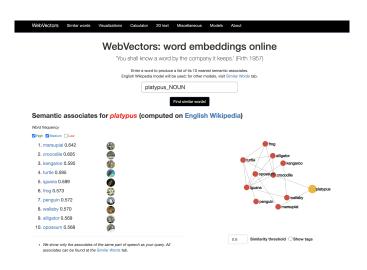
26

### t-SNE: More info and examples

```
https://lvdmaaten.github.io/tsne/
http://cs.stanford.edu/people/karpathy/tsnejs/
```

Note: This is just a visualization technique, to give intuition for the high dimensional embedding

### **Embedding / Visualization Examples**



http://vectors.nlpl.eu/explore/embeddings/en/

### **Summary: Word embeddings**

- Word embeddings are vector representations of words, learned from cooccurrence statistics
- The models can be viewed in terms of logistic regression and class-based bigram models
- Surprising semantic relations are encoded in linear relations
- Various heuristics have been introduced to get scalability
- Embeddings improve with more data
- t-SNE is an algorithm for visualizing embeddings

extra slides (optional)



For each word  $w_i$  compute a language model

$$P_{j\mid i} \propto \exp\left(-rac{\|\phi(w_i) - \phi(w_j)\|^2}{2h_i^2}
ight)$$

That is:

$$P_{j \mid i} = \frac{\exp\left(-\frac{\|\phi(w_i) - \phi(w_j)\|^2}{2h_i^2}\right)}{\sum_k \exp\left(-\frac{\|\phi(w_i) - \phi(w_k)\|^2}{2h_i^2}\right)}$$



For each word  $w_i$  compute a language model

$$P_{j\mid i} \propto \exp\left(-rac{\|\phi(w_i) - \phi(w_j)\|^2}{2h_i^2}
ight)$$

That is:

$$P_{j \mid i} = \frac{\exp\left(-\frac{\|\phi(w_i) - \phi(w_j)\|^2}{2h_i^2}\right)}{\sum_{k} \exp\left(-\frac{\|\phi(w_i) - \phi(w_k)\|^2}{2h_i^2}\right)}$$

Choose the bandwith  $h_i$  so that the perplexity is, say, 10. This puts the probabilities all on the same scale.



For each word w<sub>i</sub> compute a language model

$$P_{j|i} \propto \exp\left(-\frac{\|\phi(w_i) - \phi(w_j)\|^2}{2h_i^2}\right)$$



For each word  $w_i$  compute a language model

$$P_{j\,|\,i} \propto \exp\left(-rac{\|\phi( extit{ extit{w}}_i) - \phi( extit{ extit{w}}_j)\|^2}{2h_i^2}
ight)$$

Now form

$$P_{ij} = \frac{1}{2} \left( P_{j \mid i} + P_{i \mid j} \right)$$

as a simple way of symmetrizing.



Now form Student-t distribution depending on the visualization vectors  $y_i \in \mathbb{R}^2$ :

$$Q_{ij} \propto \left(1 + \|y_i - y_j\|^2\right)^{-1}$$



Now form Student-t distribution depending on the visualization vectors  $y_i \in \mathbb{R}^2$ :

$$Q_{ij} \propto \left(1 + \|y_i - y_j\|^2\right)^{-1}$$

That is:

$$Q_{ij} = \frac{\left(1 + \|y_i - y_j\|^2\right)^{-1}}{\sum_{k \neq \ell} \left(1 + \|y_k - y_\ell\|^2\right)^{-1}}$$

This has fatter tails than a Gaussian



Finally, run stochastic gradient descent (SGD) over the vectors  $y_i$  to optimize:

$$\widehat{y} = rg \min \sum_{ij} P_{ij} \log P_{ij} / Q_{ij}$$

$$= rg \max \sum_{ij} P_{ij} \log Q_{ij}$$

Interpretation: if  $\phi(w_i)$  is very close to  $\phi(w_j)$  then  $y_i$  will be close to  $y_j$ . (long distances may be stretched further...)