## **Assignment 1**

Manan Lohia (e0998061) 2022-09-20

Q1) The R object in abc.rds contains the number of births (BIR74) and birth defects (SID74) in 100 counties of a state of US for the period July 1, 1974 to June 30, 1978.

a) What type of spatial object is abc?

```
class(abc)
## [1] "SpatialPolygonsDataFrame"
## attr(,"package")
## [1] "sp"
```

abc is a SpatialPolygonsDataFrame

b) Find the CRS of abc

```
proj4string(abc)
## [1] "+proj=longlat +datum=NAD27 +no_defs"
```

abc uses the NAD27 CRS.

c) What commands in R transform the coordinate system of abc to WGS84? Specify the exact code.

The spTransform function allows us to transform the CRS of datasets

```
abc_wgs84 <- spTransform(abc, CRS("+proj=longlat +datum=WGS84"))</pre>
proj4string(abc_wgs84)
## [1] "+proj=longlat +datum=WGS84 +no_defs"
```

d) How would you compute the rate of birth defects per 10,000 births over the four year period?

To calculate the rate of birth defects per 10,000 over the 4 year period, we calculate the rate of birth defects and multiply it by 10,000

```
abc$rate <- 10000 * abc$SID74/abc$BIR74
head(slot(abc, 'data'))
          SP_ID CNTY_ID east north L_id M_id names AREA PERIMETER CNTY_
## 37001 37001 1904 278 151 1 3 Alamance 0.111 1.392 1904
## 37003 37003 1950 179 142 2 2 Alexander 0.066 1.070 1950
## 37005 37005 1827 183 182 1 2 Alleghany 0.061 1.231 1827
## 37007 37007 2096 240 75 3 2 Anson 0.138 1.621 2096
## 37009 37009 1825 164 176 1 2
                                                 Ashe 0.114
                                                                    1.442 1825
## 37011 37011 1892 138 154 1 2 Avery 0.064
                                                                    1.213 1892
           NAME FIPS FIPSNO CRESS_ID BIR74 SID74 NWBIR74 BIR79 SID79 NWBIR79
## 37001 Alamance 37001 37001 1 4672 13 1243 5767 11 1397
## 37003 Alexander 37003 37003 2 1333 0 128 1683 2 150
## 37005 Alleghany 37005 37005 3 487 0 10 542 3 12
## 37007 Anson 37007 37007 4 1570 NA 952 1875 4 1161
## 37009 Ashe 37009 37009 5 1091 1 10 1364 0 19
## 37011 Avery 37011 37011 6 781 0 4 977 0 5
## raw.74 EB DA rate
## 37001 5.565068 5.017129 3.870963 27.825342
## 37003 0.000000 2.682216 1.858859 0.000000
## 37005 0.000000 3.410755 2.010978 0.000000
## 37007 19.108280 9.677608 10.261004
## 37009 1.833181 3.394595 1.734804 9.165903
## 37011 0.000000 3.116575 1.472093 0.000000
```

e) How about the rate of birth defects per 10,000 births in a typical year during the period?

To estimate the rate of birth defects per 10,000 for a typical year, we assume that the rate of birth and rate of birth defects are both constant across the four years, as we do not have any information about the trend of the rates over the 4 years. Hence, this would involve dividing the numerator and the denominator by the same factor, leading us to the same value

f) The entry for SID74 is "NA" for one of the counties. Change it to 0 so that it does not cause problems in the computations below. Take note of the name of this county.

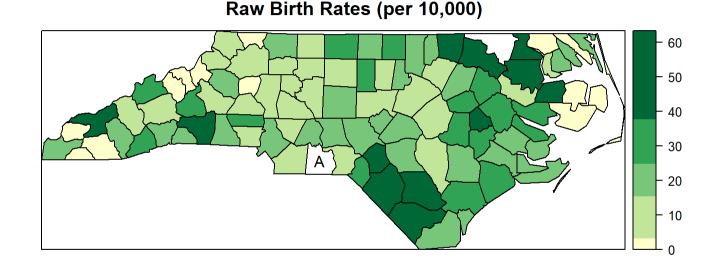
```
abc@data[is.na(abc$SID74),]
       SP_ID CNTY_ID east north L_id M_id names AREA PERIMETER CNTY_ NAME
## 37007 37007
              2096 240 75 3 2 Anson 0.138 1.621 2096 Anson
       FIPS FIPSNO CRESS_ID BIR74 SID74 NWBIR74 BIR79 SID79 NWBIR79 raw.74
## 37007 37007 37007
                    4 1570 NA 952 1875 4 1161 19.10828
            EB DA rate
## 37007 9.677608 10.261 NA
```

The name of the which had the missing value "NA" is **Anson** 

```
abc@data$SID74[is.na(abc@data$SID74)] = 0
abc@data[is.na(abc$SID74),]
## [1] SP_ID
               CNTY_ID east
                                           L_id
                                                    M_id
                                                             names
                                 north
               PERIMETER CNTY_
                                                   FIPSN0
                                                            CRESS_ID
## [8] AREA
                                 NAME
                                          FIPS
               SID74
## [15] BIR74
                        NWBIR74 BIR79
                                          SID79
                                                    NWBIR79 raw.74
## [22] EB
               DA
                        rate
## <0 rows> (or 0-length row.names)
```

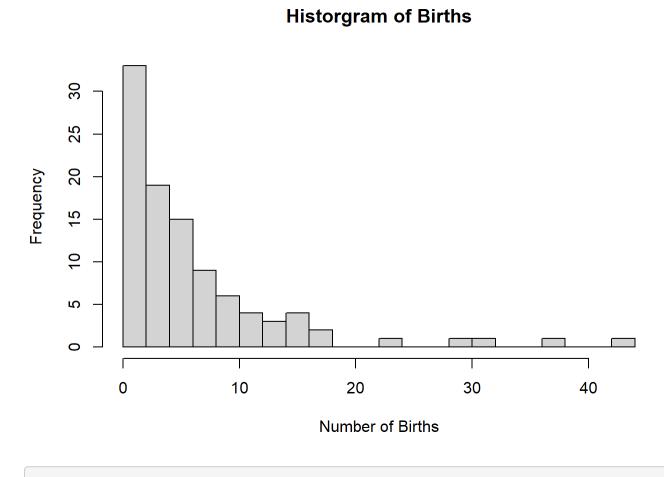
g) Provide a choropleth map of the raw rates per 10,000 births over the four year period. The map should be simple and visually appealing. Mark the county which you made the change in (f) with the letter A on the county in the map.

```
q5a <- classIntervals(abc$rate, n=5, "fisher")
pal = brewer.pal(5, 'YlGn')
spplot(abc, "rate", at=q5a$brks, col.regions=pal, main="Raw Birth Rates (per 10,000)",
      sp.layout=list("sp.text", coordinates(abc[abc$NAME == 'Anson',]), c('A')))
```



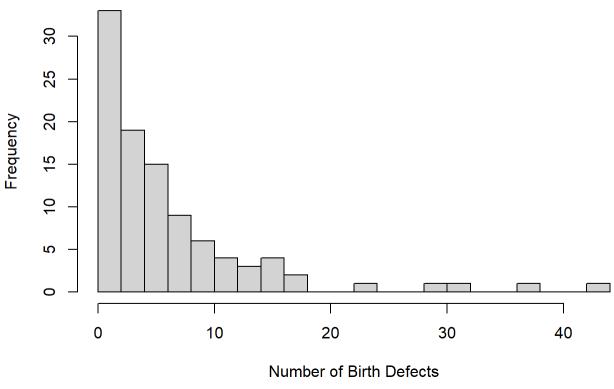
h) Are there counties with very few birth defect counts? What is the problem with displaying the raw rates for such counties? What is the commonly referred to name for this problem?

```
hist(abc$SID74, main = 'Historgram of Births', xlab = 'Number of Births', breaks = 20)
```



hist(abc\$SID74, main = 'Historgram of Birth Defects', xlab = 'Number of Birth Defects', breaks = 20)

**Historgram of Birth Defects** 



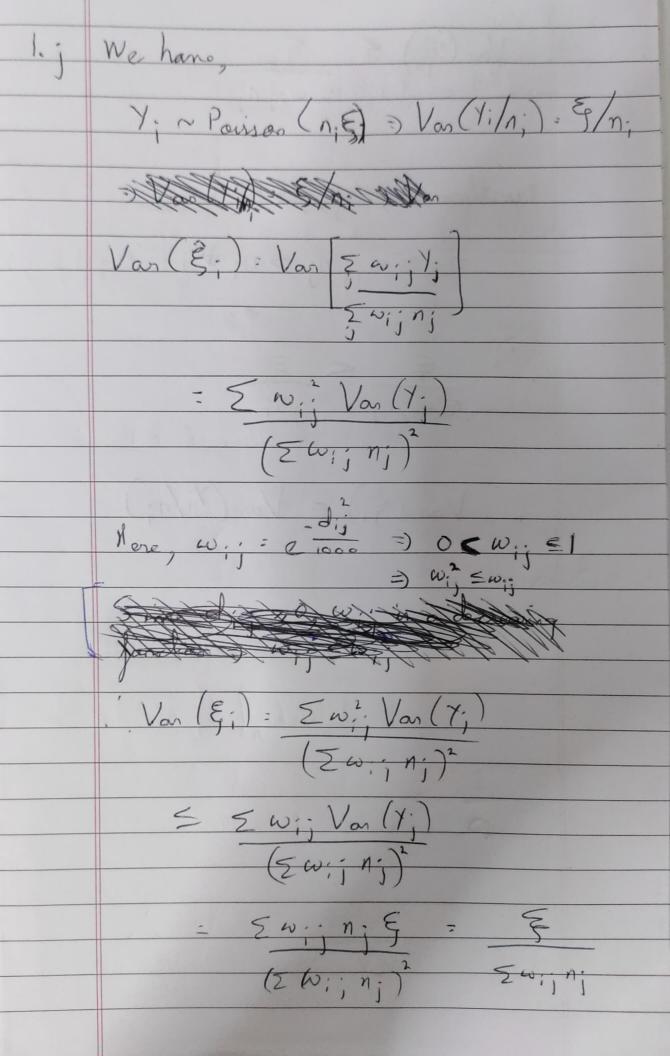
We observe that there exist counties which have only a handful of birth defects, relative to other counties. The problem with displaying the raw rates in this case is that we run the risk of getting a large value for the calculated rate due to a small population (small number of births) despite the small number of birth defects. This skews the perception and can lead to flawed inferences.

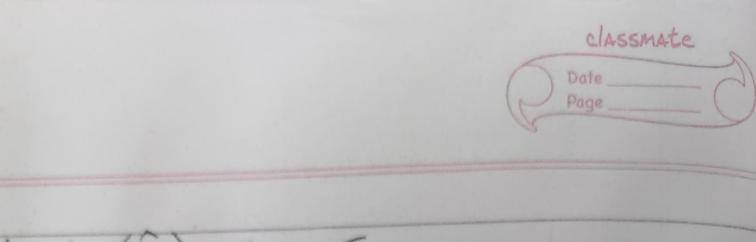
This problem is often termed as the **small number problem** 

i) One way of dealing with the problem in (h) is to group counties so that the groups have roughly equal population sizes. Comment on the advantages and disadvantages of doing so.

The benefit of grouping the counties is that we are able to distribution of population sizes uniform. Since each group has a similar population size, the number of defects in the region would be a better estimator of the true rate in the region.

However, the flipside of performing such a grouping is that we will lose some information regarding the distribution of birth and birth defects within the regions that were grouped together. This loss of information is not always desirable.





$$V_{\alpha n}(\hat{\xi}) \leq \frac{\xi}{\xi}$$

twithomore, wijo Vij

=) Σω; n; >n; +i ["ω; =lfn; i

$$\frac{\xi}{\xi \omega_{ij} n_{j}} < \xi$$

· Van (\(\xi\)) \(\xi\)

k) Provide a choropleth map of the smoothed rates using the ratio smoother and weights in (j). dists <- spDists(coordinates(abc), longlat = TRUE)</pre> weights  $<- \exp(-(\text{dists**2})/10000)$ abc\$rate\_weighted <- rep(0, times = nrow(weights))</pre> #I multiply the weights by 1000 to improve readability and avoid very small numbers for (i in 1:nrow(weights)) abc\$rate\_weighted[i] <- (sum(weights[i,] \* abc\$SID74)/sum(weights[i,] \* abc\$BIR74)) \* 1000</pre> q5a <- classIntervals(abc\$rate\_weighted, n=5, "fisher")

```
Weighted Birth Rates
```

I) Provide a choropleth map using the empirical Bayes estimator.

spplot(abc, "rate\_weighted", at=q5a\$brks, col.regions=pal, main="Weighted Birth Rates")

pal = brewer.pal(5, 'YlGn')

```
# I multiply the estimates by 1000 to improve readability and avoid very small numbers
abc$rate_bayesian <- EBest(abc$SID74, abc$BIR74)$estmm * 1000</pre>
q5a <- classIntervals(abc$rate_bayesian, n=5, "fisher")</pre>
pal = brewer.pal(5, 'YlGn')
spplot(abc, "rate_bayesian", at=q5a$brks, col.regions=pal, main="Bayesian Smoothed Birth Rates")
```

1.6

**Bayesian Smoothed Birth Rates** 

and the global rate. This is evident in the formulas, where Bayes estimator identifies the rate as a population weighted mean of the local and global rate, the ratio smoother calculates the rate as a distribution-based weighted average of the entire population, rather than just one region.

distances are predictors of the intensity function.

m) Discuss some of the differences between the ratio smoother and the empirical Bayes estimator.

As a result of this, areas with small populations are assigned a rate closer to the global rate through the Bayes estimator, which helps to reduce the impact of the small number problem, but also fails to accurately depict any spatial trends that might be occurring, relative to the ratio smoother. Due to the ratio smoothing method using the population and case counts in all the regions, it does a better job of capturing spatial trends. Q2) The R object in def.rds is a ppp object containing the locations of individuals with a certain health condition. The R object in dist.rds is a list contains two objects d1 and d2. These objects are images containing the distances to two different

sources of pollution: Source 1 and Source 2 respectively. Of interest is whether these

a) Display a plot of the F function of the point pattern against radius r (careful!). Set the envelope so that 199 datasets are simulated and the envelope corresponds to 95% pointwise confidence intervals. Write the code so that the same envelope is generated each time the

The major difference between the ratio smoother and the empirical Bayes estimator is that while the ratio smoother takes into consideration the distribution of cases/rates across the population and all the regions, the Bayes estimator only considers the rate of the region under consideration

code is run. set.seed(998051) defenv <- envelope(def, Fest, nrank = 5, nsim = 199)</pre>

## Generating 199 simulations of CSR ... ## 1, 2, 3, 4.6.8.10.12.14.16.18.20.22.24.26.28.30.32.34.36.38.40 ## .42.44.46.48.50.52.54.56.58.60.62.64.66.68.70.72.74.76.78.80 ## .82.84.86.88.90.92.94.96.98.100.102.104.106.108.110.112.114.116.118.120 ## .122.124.126.128.130.132.134.136.138.140.142.144.146.148.150.152.154.156.158.160 ## .162.164.166.168.170.172.174.176.178.180.182.184.186.188.190.192.194.196.198 199. ## Done. plot(defenv, obs~r, main = 'F plot')

```
F plot
0.4
0.2
                                                                                                           \hat{F}_{hi}(r)
0.0
```

0.04

r

0.02

defenv <- envelope(def, Fest, nrank = 5, nsim = 199)</pre>

0.00

H<sub>0</sub>: F(0.06) = 1 -  $e^{-\lambda \pi (0.06)2}$ 

1 -  $e^{-\lambda \pi (0.06)2}$ 

hypothesis.

0.0

##

## X

## y

## d1 ## d2

The fitted model is

AIC(model)

coordinates alone

set.seed(42380)

quad

##

quadrat counts

set.seed(347)

## Done.

 $K_{inhom}(r)$ 

 $K_{inhom}^{obs}(r)$ 

 $K_{inhom}^{lo}(r)$ 

 $K_{inhom}^{hi}(r)$ 

plot(def, pch="+", cex = 1)

## [1] -1301.462

 $\log \lambda$  (u) = 8.03 + 3.19 x - 2.22y - 0.16d<sub>1</sub> - 7.82 d<sub>2</sub>

## Generating 199 simulations of CSR ...

## 1, 2, 3, 4.6.8.10.12.14.16.18.20.22.24.26.28.30.32.34.36.38.40 ## .42.44.46.48.50.52.54.56.58.60.62.64.66.68.70.72.74.76.78.80

plot(defenv, obs~r, main = 'F plot with Global Enevelope')

H<sub>1</sub>: F(0.06) != 1 -  $e^{-\lambda \pi (0.06)2}$ set.seed(998051)

b) Write down the null and alternative hypotheses of the test corresponding to r=0.06 and say whether the null hypothesis is rejected.

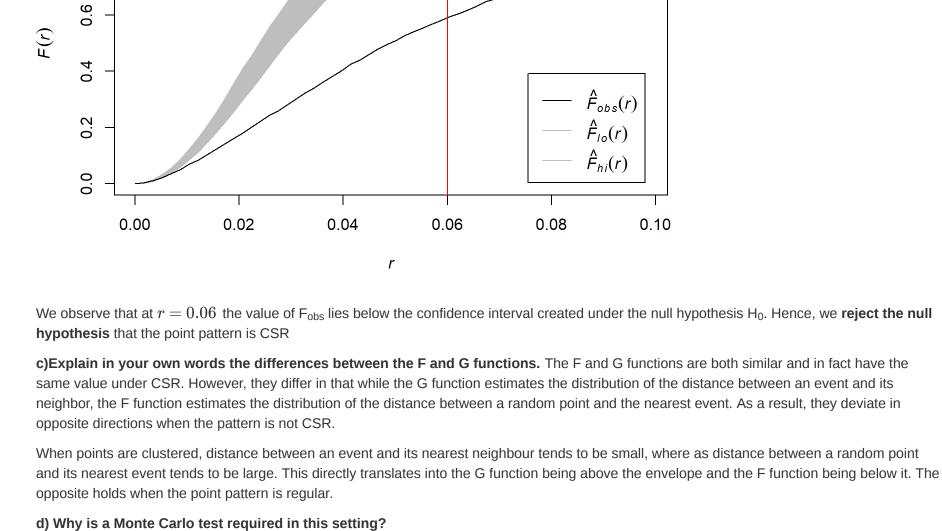
Under the null hypothesis, we assume that the Point Process exhibits CSR. For r=0.06, the value of the F function under the null hypothesis is

0.06

0.08

0.10

```
## Generating 199 simulations of CSR ...
## 1, 2, 3, 4.6.8.10.12.14.16.18.20.22.24.26.28.30.32.34.36.38.40
## .42.44.46.48.50.52.54.56.58.60.62.64.66.68.70.72.74.76.78.80
\#\# \ .82.84.86.88.90.92.94.96.98.100.102.104.106.108.110.112.114.116.118.120
\#\# \ .122.124.126.128.130.132.134.136.138.140.142.144.146.148.150.152.154.156.158.160
## .162.164.166.168.170.172.174.176.178.180.182.184.186.188.190.192.194.196.198 199.
##
## Done.
plot(defenv, obs~r, main = 'F plot')
abline(v = 0.06, col = 'red')
                                         F plot
```

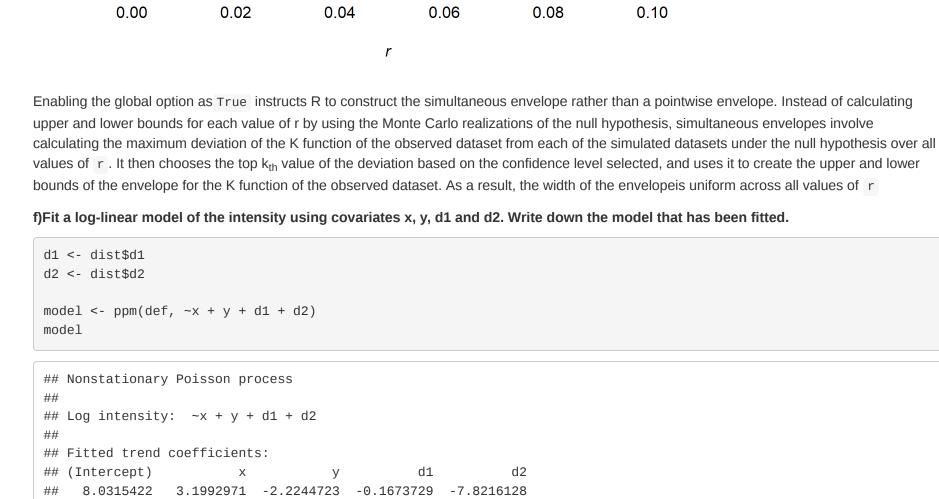


Any analysis of a spatial point pattern is prone to edge effects, which can cause a statistic calculated on the data to be distorted due to points that lie close to the edge of the region under study. This usually results in the theoretical distributions of such statistics being complicated or even unknown. Hence, traditional statistical techniques which cannot capture and account for such distributions due to edge effects can lead to flawed inference. Hence, we resort to Monte Carlo techniques instead by comparing the empirical data under study to simulated datasets under the null

e) Assume we are interested in finding envelopes corresponding to simultaneous confidence bands instead of pointwise confidence intervals. Typing global=TRUE with... function under CSR set.seed(998051) defenv <- envelope(def, Fest, nrank = 10, nsim = 199, global = TRUE)</pre>

## .82.84.86.88.90.92.94.96.98.100.102.104.106.108.110.112.114.116.118.120 ## .122.124.126.128.130.132.134.136.138.140.142.144.146.148.150.152.154.156.158.160 ## .162.164.166.168.170.172.174.176.178.180.182.184.186.188.190.192.194.196.198 199. ## ## Done.

```
F plot with Global Enevelope
1.0
0.8
9.0
```



Estimate S.E. CI95.lo CI95.hi Ztest

3.1992971 1.4793325 0.2998588 6.0987355 \* 2.1626627 -2.2244723 0.8059319 -3.8040699 -0.6448747 \*\* -2.7601242

-2.2244723 0.0033313 3.334333 2.7991866 -0.1105809 -0.1673729 1.5135785 -3.1339323 2.7991866 \*\*\* -3.6850132

## (Intercept) 8.0315422 0.5627183 6.9286346 9.1344497 \*\*\* 14.2727584

```
g)Perform an ANOVA test, at level /alpha= 0.05, of the model fitted in (f) with a model using only x and y. Compare the AIC of the two
models and provide suitable conclusions.
 model_base <- ppm(def, ~x+y)</pre>
 anova(model_base, model, test = 'Chi')
 ## Analysis of Deviance Table
 ## Model 1: ~x + y Poisson
 ## Model 2: ~x + y + d1 + d2
                                   Poisson
 ## Npar Df Deviance Pr(>Chi)
 ## 1 3
 ## 2 5 2 43.57 3.458e-10 ***
 ## Signif. codes: 0 '***' 0.001 '**' 0.01 '*' 0.05 '.' 0.1 ' ' 1
 AIC(model_base)
 ## [1] -1261.892
```

```
h) Is it true that if models A and B are such that the covariates of B are a subset of A, the AIC of model A is always smaller than that of
model B? Why?
This need not always be the case. The way it is calculated, the AIC tries to penalize a model for including extra covariates which might be
insignificant. Hence, if the covariates which are present in model A but not in model B are not significant and do not provide any additional
information on top of the already existing covariates, then the AIC for model A can be larger than the AIC for model B.However, if the additional
covariates provide more information and are significant in trying to estimate a quantity (such as intensity), then the AIC of model A will be smaller
than that of model, i.e model A is better than model B.
Additionally, in such a scenario, we can conclude model A to be better than model B only if the difference between the AICs are more than 2 * the
number of additionally features in A. This is because AIC adds a difference of 2 for each additional feature by default and hence this needs to be
accounted for.
i) Perform a Monte Carlo quadrat test for the log-linear model with covariates x and y, with 4 x 4 quadrats. Intepret the output of the test.
```

The p-value of the ANOVA test is significant at the 0.05 level. Additionally, the value of AIC is lower for the second model than for the second one.

Hence this suggests that including d1 and d2 to estimate the intensity function provides a better model for intensity than the  $\times$  an y

7 ++ 12+9 + 12 9.1

## Conditional Monte Carlo test of fitted Poisson model 'model\_base' using Test statistic: Pearson X2 statistic ## data: data from model\_base ## X2 = 27.229, = NA, p-value = 0.096 ## alternative hypothesis: two.sided ## Quadrats: 16 tiles (irregular windows)

quad <- quadrat.test(model\_base, nx=4, ny = 4, method = 'MonteCarlo')</pre>

plot(quad, add=TRUE, col="red", textargs = list(cex = 0.7, font = 2))

def

```
The p-value for the MonteCarlo quadrat test for this model is not significant, and suggesting that the model could be a good fit for the data. We also
can not reject IPP as the model dependent on x and y as covariates instead of only a constant seems to be a good fit.
j) The spatstat package allows you to apply the envelope function on Kinhom. You can use the same code as for the F and G functions
with def as the ppp object and Kinhom as the function. Plot the Kinhom function of def together with an envelope corresponding to 95%
pointwise confidence intervals with 199 simulated datasets. Comment on the plot.
 defenv_kin <- envelope(def, Kinhom, nrank = 5, nsim = 199)</pre>
 ## Generating 199 simulations of CSR ...
 ## 1, 2, 3, 4.6.8.10.12.14.16.18.20.22.24.26.28.30.32.34.36.38.40
 ## .42.44.46.48.50.52.54.56.58.60.62.64.66.68.70.72.74.76.78.80
 \#\# .82.84.86.88.90.92.94.96.98.100.102.104.106.108.110.112.114.116.118.120
 \#\# \ .122.124.126.128.130.132.134.136.138.140.142.144.146.148.150.152.154.156.158.160
 ## .162.164.166.168.170.172.174.176.178.180.182.184.186.188.190.192.194.196.198 199.
```

The observed value of the

plot(defenv\_kin, obs~theo, main = 'Inhomogenous K plot') Inhomogenous K plot 0.15

Inhomogenous K function is first above the envelope for but then crosses over to below the envelope. This suggests that for a small value of r, there is contagion but for larger values, there is competition. An interpretation of this might be that the events occur in clusters, wherein the points within clusters attract each other, however, there are several clusters which are spread out, suggesting the clusters repel each other.

The number of events N in the region A follows a Poisson distribution with mean 3-a  $\frac{C}{N} = \int_{A}^{\infty} \lambda(u) du$   $\frac{1}{N} = \frac{1}{2} \frac{\lambda(u) du}{\lambda(u) du}$   $\frac{1}{N} = \frac{1}{2} \frac{\lambda(u) du}{\lambda(u) du}$ where, log()(u)) = B+ \(\frac{1}{2}\big() \) b It is given that denite  $f(u) \propto \lambda(u)$ ) f(u) = k \(\lambda(u)\), k is nome constant But I f(n) don=1  $k \int_{A} \lambda(a) du = 1$  $\frac{1}{2} \int_{A} \lambda(u) du = C_{\lambda}$  $f(u) = \lambda(u)$  G

$$L^*(h) = P(N = n) \prod_{i=1}^{n} f(u_i)$$

$$= e^{-C\lambda}(C_{\lambda})^{n} \prod_{i=1}^{n} \lambda(u_i) \qquad \text{from a}$$

$$= e^{-C\lambda}(C_{\lambda})^{n} \times \prod_{i=1}^{n} \lambda(u_i)$$

$$= e^{-C\lambda}(C_{\lambda}$$

X B morenny a) Describe bei and bei.extra with the help of the class and str commands.

```
## $bei_class
## [1] "ppp"
## $bei_struct
## [1] "List of 5"
## [2] " $ window
                    :List of 4"
## [3] " ..$ type : chr \"rectangle\""
## [4] " ..$ xrange: num [1:2] 0 1000"
## [5] " ..$ yrange: num [1:2] 0 500"
## [6] " ..$ units :List of 3"
## [7] " .. ..$ singular : chr \"metre\""
## [8] " .. ..$ plural : chr \"metres\""
## [9] " .. ..$ multiplier: num 1"
## [10] " .. ..- attr(*, \"class\")= chr \"unitname\""
## [11] " ... attr(*, \"class\")= chr \"owin\""
## [12] " $ n
                    : int 3604"
## [13] " $ x
                    : num [1:3604] 11.7 998.9 980.1 986.5 944.1 ..."
## [14] " $ y : num [1:3604] 151 430 434 426 415 ..."
## [15] " $ markformat: chr \"none\""
## [16] " - attr(*, \"class\")= chr \"ppp\""
## $bei_ext_class
## [1] "imlist" "solist" "anylist" "listof" "list"
## $bei_ext_str
## [1] "List of 2"
## [2] " $ elev:List of 10"
## [3] " ..$ v : num [1:101, 1:201] 121 121 121 121 121 ..."
## [4] " ..$ dim : int [1:2] 101 201"
## [5] " ..$ xrange: num [1:2] -2.5 1002.5"
## [6] " ..$ yrange: num [1:2] -2.5 502.5"
## [7] " ..$ xstep : num 5"
## [8] " ..$ ystep : num 5"
## [9] " ..$ xcol : num [1:201] 0 5 10 15 20 25 30 35 40 45 ..."
## [10] " ..$ yrow : num [1:101] 0 5 10 15 20 25 30 35 40 45 ..."
## [11] " ..$ type : chr \"real\""
## [12] " ..$ units :List of 3"
## [13] " .. ..$ singular : chr \"metre\""
## [14] " .. ..$ plural : chr \"metres\""
## [15] " .. ..$ multiplier: num 1"
## [16] " .. ..- attr(*, \"class\")= chr \"unitname\""
## [17] " ... attr(*, \"class\")= chr \"im\""
## [18] " $ grad:List of 10"
## [19] " ..$ v : num [1:101, 1:201] 0.252 0.202 0.162 0.17 0.207 ..."
## [20] " ..$ dim : int [1:2] 101 201"
## [21] " ..$ xrange: num [1:2] -2.5 1002.5"
## [22] " ..$ yrange: num [1:2] -2.5 502.5"
## [23] " ..$ xstep : num 5"
## [24] " ..$ ystep : num 5"
## [25] " ..$ xcol : num [1:201] 0 5 10 15 20 25 30 35 40 45 ..."
## [26] " ..$ yrow : num [1:101] 0 5 10 15 20 25 30 35 40 45 ..."
## [27] " ..$ type : chr \"real\""
## [28] " ..$ units :List of 3"
## [29] " ....$ singular : chr \"metre\""
## [30] " .. ..$ plural : chr \"metres\""
## [31] " .. ..$ multiplier: num 1"
## [32] " .. ..- attr(*, \"class\")= chr \"unitname\""
## [33] " ... attr(*, \"class\")= chr \"im\""
## [34] " - attr(*, \"class\")= chr [1:5] \"imlist\" \"solist\" \"anylist\" \"listof\" ..."
```

b) The as function is a general function that lets you convert R objects... the gradient (slope) in bei.extra to Spatial-GridDataFrame.

```
bei_sp <- as(bei, "SpatialPoints")
bei_extra_slope <- as(bei.extra$grad, "SpatialGridDataFrame")</pre>
```

c) Create a SpatialPointsDataFrame with the tree locations in bei as the point pattern and the slope at the tree locations as the covariates. Display the first few entries of the SpatialPointsDataFrame.

```
slope <- over(bei_sp, bei_extra_slope)
colnames(slope) <- c('slope')
bei_slope <- SpatialPointsDataFrame(coordinates(bei_sp), slope)
head(bei_slope)</pre>
```

```
## coordinates slope

## 1 (11.7, 151.1) 0.1161989

## 2 (998.9, 430.5) 0.2846527

## 3 (980.1, 433.5) 0.2227576

## 4 (986.5, 425.8) 0.2417234

## 5 (944.1, 415.1) 0.0852658

## 6 (940.5, 410.4) 0.0914678
```