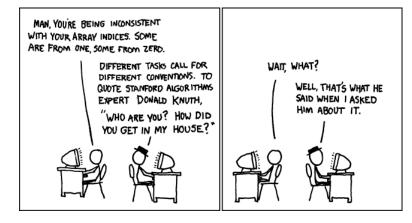
# The wonderful world of arithmetic And its applications to cryptography

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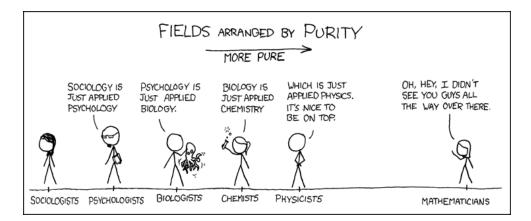
## The role of zero



Source: https://xkcd.com/163/

Also see: https://www.explainxkcd.com/wiki/index.php/163:\_Donald\_Knuth

## **Mathematicians**



Source: https://xkcd.com/435/

## The natural numbers

Properties of (commutative) monoids

$$\mathbb{N} = <\{0, 1, 2, \ldots\}, +, 0>$$

Laws

$$\forall x \forall y (x+y=y+x)$$
 (Commutativity) 
$$\forall x \forall y \forall z ((x+y)+z=x+(y+z))$$
 (Associativity) 
$$\forall x (x+0=x)$$
 (Neutral element)

Non-law

$$\forall x \exists y (x + y = 0)$$
 (Existence of inverses)

## The integers

Properties of (commutative) groups

 $\mathbb{Z} = <\{\ldots, -2, -1, 0, 1, 2, \ldots\}, +, 0>$ 

Laws

$$\forall x \forall y (x + y = y + x)$$

(Commutativity)

$$\forall x \forall y \forall z ((x+y) + z = x + (y+z))$$

(Associativity)

$$\forall x(x+0=x)$$

(Neutral element)

$$\forall x \exists y (x + y = 0)$$

(Existence of inverses)

# Examples

and non-examples

Examples (No groups)

$$<\mathbb{Z},\cdot,1>$$
 
$$<\mathbb{Q},\cdot,1>, \text{with }\mathbb{Q}=\{\frac{p}{q}|p,q\in\mathbb{Z},q\neq0\}$$

Examples (Groups)

$$\mathbb{Q}^* = <\mathbb{Q} \setminus \{0\}, \cdot, 1>$$

$$\mathbb{R}^* = <\mathbb{R} \setminus \{0\}, \cdot, 1>$$

$$\mathbb{C}^* = <\mathbb{C} \setminus \{0\}, \cdot, 1>$$

Here  $\mathbb R$  is the set of reals  $(\sqrt{2}, e, \pi, \ldots)$  and  $\mathbb C$  is the set of complex numbers adding  $i = \sqrt{-1}$ .

# Abelian (commutative) groups

An axiomatisation

$$\mathbb{G} = \langle G, \star, e, (\cdot)^{-1} \rangle$$

Laws

$$\forall x \forall y (x \star y = y \star x) \qquad \qquad \text{(Commutativity)}$$
 
$$\forall x \forall y \forall z ((x \star y) \star z = x \star (y \star z)) \qquad \qquad \text{(Associativity)}$$
 
$$\forall x (x \star e = x) \qquad \qquad \text{(Neutral element)}$$
 
$$\forall x (x \star x^{-1} = e) \qquad \qquad \text{(Existence of inverses)}$$

Because inverses are provably unique the existential quantifier can be turned into a unary function.

## The field of rational numbers

Groups

$$\mathbb{Q} = <\mathbb{Q}, +, 0>$$

$$\mathbb{Q}^* = <\mathbb{Q} \setminus \{0\}, \cdot, 1>$$

can be combined into

A field

$$\mathbb{Q}=<\mathbb{Q},+,\cdot,0,1>$$

defining the **field** of rational numbers.

## General fields

and their axiomatisation

A field  $\langle F, \oplus, \star, 0, 1 \rangle$  consists of

- ▶ A commutative group  $\langle F, \oplus, 0 \rangle$
- ▶ and a commutative group  $< F \setminus \{0\}, \star, 1 >$
- satisfying

Distributivity

$$\forall x \forall y \forall z ((x \oplus y) \star z = (x \star z) \oplus (y \star z))$$
 (Distributivity)

but never satisfying

"Wrong" distributivity

$$\forall x \forall y \forall z ((x \star y) \oplus z = (x \oplus z) \star (y \oplus z))$$
 (Wrong distributivity)

# Example prime factorisations

Example

$$210 = 2 \cdot 3 \cdot 5 \cdot 7 = 2^1 \cdot 3^1 \cdot 5^1 \cdot 7^1 = p_0^1 \cdot p_1^1 \cdot p_2^1 \cdot p_3^1$$

Example

$$189 = 3 \cdot 3 \cdot 3 \cdot 7 = 2^{0} \cdot 3^{3} \cdot 5^{0} \cdot 7^{1} = p_{0}^{0} \cdot p_{1}^{3} \cdot p_{2}^{0} \cdot p_{3}^{1}$$

These factorisations give an isomorphism  $\mathbb{N}_{>0} \cong \bigoplus_{\omega} \mathbb{N}$ , where

$$\bigoplus_{i \in \mathbb{N}} \mathbb{N} = \{ \langle e_0, e_1, \dots \rangle \mid e_i = 0 \text{ for all but finitely many } i \in \mathbb{N} \}.$$

#### **Primes**

and unique factorisation

$$\mathbb{P} = \{2,3,5,7,11,13,\ldots\}$$
$$= \{p_0, p_1, p_2, p_3, p_4, p_5,\ldots\}$$

So  $p_0 = 2$ ,  $p_1 = 3$ ,  $p_2 = 5$ , ... in increasing order.

Theorem

Every natural number n > 0 can be written in an essentially unique way as a product of primes:

$$n = \prod_{i=0}^{k-1} p_i^{a_i}$$

for some natural number k, where  $a_{k-1} > 0$  if k > 0.

## Greatest common divisor

An example of Euclid's algorithm

We want to find the gcd (greatest common divisor) of 49 and 35:

Euclid's reduction (instance of Euclid's algorithm)

$$49 = 1 \cdot 35 + 14 \implies \gcd(49, 35) = \gcd(35, 14)$$
  
 $35 = 2 \cdot 14 + 7 \implies \gcd(35, 14) = \gcd(14, 7)$   
 $14 = 2 \cdot 7 + 0 \implies \gcd(14, 7) = \gcd(7, 0) = 7$ 

Euclid's reversal (extended Euclid's algorithm)

$$7 = 35 - 2 \cdot 14 \quad \land \quad 14 = 49 - 1 \cdot 35$$

$$7 = 35 - 2 \cdot (49 - 1 \cdot 35)$$

$$= -2 \cdot 49 + 3 \cdot 35$$

# Extended Euclidean algorithm in compact table format

#### Greatest common divisor of 49 and 35

		35									
49	1	0	49	=	1	•	49	+	0	•	35
35	0	1	35	=	0		49	+	1		35
14	1	0 1 -1 3	14	=	1		49	+	-1		35
7	-2	3	7	=	-2		49	+	3		35

## Clock arithmetic

24 = 0 (or maybe 12 = 0)

$$ightharpoonup \mathbb{Z}_{24} = \{0, 1, 2, \dots, 23\}$$

$$23 + 1 \equiv 24 \equiv 0 \pmod{24}$$

Definition  $(a, b, n \in \mathbb{Z}$ , usually with n > 1)

$$a \equiv b \pmod{n} \iff n \mid (a-b) \iff \exists k \in \mathbb{Z}(k \cdot n = (a-b))$$

#### I heorem

" $\equiv \pmod{n}$ " is an equivalence relation on  $\mathbb{Z}$  which is also happens to be a congruence.

 $\mathbb{Z}_n$  is a standard representing set of the integers modulo n.

## Corollary

Addition and multiplication can be performed  $\pmod{n}$  as usual.

## Greatest common divisor

Euclid's algorithm

#### Theorem

For all  $a, b \in \mathbb{Z}$  we can (effectively) find  $p, q \in \mathbb{Z}$  such that

$$gcd(a, b) = p \cdot a + q \cdot b$$

Finding p and q can be done using the extended Euclid's algorithm.

#### Definition

a and b are called relatively prime iff gcd(a, b) = 1.

#### Theorem

If a and b are relatively prime the extended Euclid's algorithm calculates p and q such that

$$p \cdot a + q \cdot b = 1$$

## Clock arithmetic

Examples

## Examples

$$22 + 5 \equiv 3 \pmod{24}$$

$$22 \cdot 5 \equiv 110 \equiv 14 \pmod{24}$$

$$-2 \cdot 5 \equiv -10 \equiv 14 \pmod{24}$$

$$2 \cdot 12 \equiv 24 \equiv 0 \pmod{24}$$

$$2 \not\equiv 0 \pmod{24}$$

$$12 \not\equiv 0 \pmod{24}$$

 $\mathbb{Z}_{24}$  has "divisors of zero" or "zero divisors". This is considered an unwanted property in general.

## Clock arithmetic

The modulo function (% in Ruby or Python)

The function (.) (mod n), for a given n > 0

The notation  $a \pmod n$  means the unique b with  $0 \le b < n$  and  $a \equiv b \pmod n$ . Hence  $(.) \pmod n : \mathbb{Z} \to \mathbb{Z}_n$ .

Examples

$$3 \pmod{7} = 3$$

$$13 \pmod{7} = 6$$

$$-3 \pmod{7} = 4$$

$$21 \pmod{7} = 0$$

$$a \pmod{n} \equiv a \pmod{n}$$

# Multiplication tables for $\mathbb{Z}_n \setminus \{0\}$

Again, working modulo 6 gives these nasty zero divisors, but working modulo 5 (a prime) seems to behave much better.

## Who's afraid of zero?

or the AM/PM mess

- $\blacktriangleright$  Splitting up 24 hours as  $2 \cdot 12$  hours the sensible way:
  - ▶ 0:00 AM (midnight), 1:00 AM, ..., 11:59 AM
  - ▶ 0:00 PM (midday, noon), 1:00 PM, ..., 11:59 PM
- ▶ Splitting up 24 hours as  $2 \cdot 12$  hours the confusing way:
  - ▶ 12:00 AM (midnight), 12:59 AM, 1:00 AM, ..., 11:59 AM
  - ▶ 12:00 PM (midday, noon), 12:59 PM, 1:00 PM, ..., 11:59 PM
  - ▶  $12 \equiv 0 \pmod{12}$ , but  $12 \not\equiv 0 \pmod{24}$ , so using 12 hours in this context is confusing
    - ► For instance in Japan 00:00 AM is midnight and 12:00 AM is noon

## Prime fields

#### Theorem

$$\begin{split} \mathbb{F}_p = <\mathbb{Z}_p, +, \cdot, 0, 1 > \text{is a field if and only if p is prime.} \\ \mathbb{Z}_n^* = <\left\{a \in \mathbb{Z}_n \mid \gcd(a, n) = 1\right\}, \cdot, 1 > \text{is a group for all } n \in \mathbb{N}, n > 1. \end{split}$$

Example $(\mathbb{Z}_{12}^*)$					Exa	Example $(\mathbb{Z}_{10}^*)$			
•	1	5	7	11	•	1	3	7	9
		5				1			
5	5	1	11	7		3			
7	7	11	1	5	7	7			
11	11	7	5	1	9	9	7	3	1

Note that these groups have the same number of elements but are not isomorphic!

## Euler's $\varphi$ -function

and the Euler-Fermat theorem

Definition  $(n \in \mathbb{N}, n > 1)$ 

 $\varphi(n)$  is the number of elements of  $\mathbb{Z}_n^*$ :

$$\varphi(\mathbf{n}) = |\mathbb{Z}_{\mathbf{n}}^*|$$

Theorem

For all  $a \in \mathbb{Z}_n^*$  (or in other words gcd(a, n) = 1)

$$a^{\varphi(n)} \equiv 1 \pmod{n}$$

Example

$$\varphi(10) = 4$$

$$\varphi(12) = 4$$

# Cyclicity properties of $\mathbb{Z}_n^*$

Example ( $\mathbb{Z}_8^*$  is not cyclic)

$$3^1 = 3$$
:  $3^2 = 1$ 

$$5^1 = 5; 5^2 = 1$$

$$7^1 = 7$$
:  $7^2 = 1$ 

All elements except 1 have order 2.

Theorem

 $\mathbb{Z}_p^*$  is cyclic of order p-1 for all primes p. For every prime p we have at least one isomorphism

$$\langle \mathbb{Z}_{p-1}, +, 0 \rangle \cong \langle \mathbb{Z}_p^*, \cdot, 1 \rangle$$

#### Warning

These isomorphisms are easy to calculate from left to right but hard from right to left!

## More properties of Euler's $\varphi$ -function

Theorem

 $\varphi(p^k) = p^{k-1}(p-1)$ , for all primes p and k > 0. In particular  $\varphi(p) = p-1$ , for all primes p (k = 1).  $\varphi(m \cdot n) = \varphi(m) \cdot \varphi(n)$ , for all relatively prime m and n.

Examples

$$\varphi(18) = \varphi(2 \cdot 3^2) = \varphi(2) \cdot \varphi(3^2) = (2 - 1) \cdot 3^{(2 - 1)} \cdot (3 - 1) = 1 \cdot 3^1 \cdot 2 = 6$$
$$\varphi(125) = \varphi(5^3) = 5^2 \cdot 4 = 100$$

Corollary

If p and q are different primes and N = pq, then  $\varphi(N) = (p-1)(q-1)$ .

In general,  $\varphi(N)$  is easily calculated if you know the factorisation of N.

# Multiplicative order and primitive roots

Example ( $\mathbb{Z}_7^*$  is cyclic of the maximal order 6)

$$2^{0} = 1; 2^{1} = 2; 2^{2} = 4; 2^{3} = 1$$

$$3^{0} = 1; 3^{1} = 3; 3^{2} = 2; 3^{3} = 6; 3^{4} = 4; 3^{5} = 5; 3^{6} = 1$$

$$4^{0} = 1; 4^{1} = 4; 4^{2} = 2; 4^{3} = 1$$

$$5^{0} = 1; 5^{1} = 5; 5^{2} = 4; 5^{3} = 6; 5^{4} = 2; 5^{5} = 3; 5^{6} = 1$$

$$6^{0} = 1; 6^{1} = 6; 6^{2} = 1$$

3 and 5 are primitive roots of order 6.

2 and 4 have order 3, while 6 has order 2.

$$<\mathbb{Z}_{6},+,0>\cong<\mathbb{Z}_{7}^{*},\cdot,1>$$
 , using  $x\mapsto 3^{x}$  or  $x\mapsto 5^{x}$ 

## Chinese Remainder Theorem

#### Theorem

Whenever  $n_1, \ldots, n_k \in \mathbb{N}_{>1}$  are pairwise relatively prime we can solve the simultaneous set of n congruences:

$$x \equiv a_1 \pmod{n_1}$$
 $\dots$ 
 $x \equiv a_k \pmod{n_k}$ 

The solution x is unique  $(\text{mod } n_1 \cdot \ldots \cdot n_k)$ . This can also be stated as

$$\mathbb{Z}_{n_1 \cdot \ldots \cdot n_k} \cong \mathbb{Z}_{n_1} \times \ldots \times \mathbb{Z}_{n_k}$$

## Euler's function special case

Product of two primes

#### Theorem

Let  $p, q \in \mathbb{P}$  be two primes  $(p \neq q)$  and let n = pq.

$$\mathbb{Z}_{n} \cong \mathbb{Z}_{p} \times \mathbb{Z}_{q} 
\mathbb{Z}_{n}^{*} \cong \mathbb{Z}_{p}^{*} \times \mathbb{Z}_{q}^{*} 
\varphi(n) = \varphi(p)\varphi(q) 
\varphi(p) = p-1 
\varphi(q) = q-1$$

In general  $\varphi(n)$  is difficult to calculate, but for n=pq, where p and q are known primes, this is easy:  $\varphi(n)=(p-1)(q-1)$ .

## Simple example for the CRT

Example  $(\mathbb{Z}_{12} \cong \mathbb{Z}_3 \times \mathbb{Z}_4)$ 

$\mathbb{Z}_{12}$	$\mathbb{Z}_3$	$\mathbb{Z}_4$
0	0	0
1∙	1∙	$1 \bullet$
2	2●	2
3	0	3∙
4	1•	0
5●	2∙	$1 \bullet$
6	0	2
7∙	1∙	3∙
8	2∙	0
9	0	$1 \bullet$
10	1•	2
11∙	2∙	3∙

# RSA (Textbook variant)

Its definition

## Definition (RSA)

RSA works with public information (Uppercase,blue) and private information (lowercase,red).

- A public modulus N = pq, which is the product of two private (secret) primes. Notice that  $\varphi(N) = (p-1)(q-1)$ , which is (as far as we know) hard to calculate if you do not know the primes p and q.
- ▶ A public exponent  $E \in \mathbb{Z}_{\varphi(N)}^*$ .
- A private exponent d such that  $Ed \equiv 1 \pmod{\varphi(N)}$ . d can easily be calculated using Euclid's algorithm.
- ightharpoonup (N, E) is called the public key.
- $\triangleright$   $(p, q = \frac{N}{p}, d)$  is called the private key.
- N = pq, E, d) is called a public/private key "pair".

## RSA (Textbook variant)

Its principle of operation

#### Theorem

A message is represented as a positive m < N. This message is encrypted as  $C = m^E \pmod{N}$ . Then decryption follows from  $m \equiv C^d \pmod{N}$ .

#### Proof.

Let  $C = m^E \pmod{N}$  and  $Ed = 1 + k\varphi(N)$ . Then

$$C^{d} \equiv (m^{E})^{d} \pmod{N} \equiv m^{Ed} \pmod{N}$$
$$\equiv m^{(1+k\varphi(N))} \pmod{N}$$
$$\equiv m(m^{\varphi(N)})^{k} \pmod{N}$$
$$\equiv m1^{k} \pmod{N} \equiv m \pmod{N}$$

Who spots the (minor) omission in this proof?

## Difficult problems

Definition (The factorisation problem)

The integer factorisation problem, that is to reconstruct p and q from N = pq, is supposed to be a hard problem.

Definition (DLP or discrete logarithm problem)

The discrete logarithm problem, that is to reconstruct x from  $X = G^x \pmod{P}$ , is supposed to be a hard problem.

#### WARNING

Once quantum computers become a reality, these problems become easy to solve.

## Diffie-Hellman

Its definition

Let P be a prime and G a primitive root (or generator) of the group

$$\mathbb{Z}_{P}^{*} = \{ G^{0} = 1 = G^{P-1}, G^{1} = G, G^{2}, G^{3}, \dots, G^{P-2} \}$$

#### Definition (Diffie-Hellman)

Let two parties choose positive secret numbers 1 < x, y < P-1 and publish  $X = G^x \pmod{P}$  and  $Y = G^y \pmod{P}$ .

The two parties now have a shared secret:  $G^{xy} \pmod{P}$ .

X knows

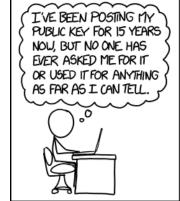
$$G^{xy} \equiv (G^y)^x \equiv Y^x \pmod{P}$$

Y knows

$$G^{xy} \equiv (G^x)^y \equiv X^y \pmod{P}$$

Note that nobody knows xy.

## All this complexity, for what...?









Source: https://xkcd.com/1553/

## The ring of polynomials over a field

#### Definition

 $\mathbb{F}[X] = \{a_n X^n + \dots + a_1 X + a_0 \mid n \in \mathbb{N}, \text{all } a_i \in \mathbb{F}, a_n \neq 0 \text{ if } n > 0\}$  is the ring<sup>1</sup> of (formal) polynomials in the variable X. The degree of  $f \in \mathbb{F}[X]$  is the highest exponent n of X.

Theorem (Euclidean division of polynomials)

- In  $\mathbb{F}[X]$  one can add and multiply polynomials as usual.
- In  $\mathbb{F}[X]$  the equivalent of Euclid's algorithm works.
  - For any  $f \in \mathbb{F}[X]$  and  $g \in \mathbb{F}[X]$  with  $g \neq 0$ there are  $q \in \mathbb{F}[X]$  and  $r \in \mathbb{F}[X]$  such that f = qg + rwhere r has lower degree than g.
  - We write  $f \equiv r \pmod{g}$

## The equivalents of the primes in $\mathbb{F}[X]$

#### Definition

A polynomial g is called <u>irreducible</u> in  $\mathbb{F}[X]$  if there are no lower degree polynomials h and k, both of degree at least 1, such that g = hk.

#### Theorem

If g is irreducible then

$$\mathbb{F}[X]/(g) = \{f \pmod g \mid f \in \mathbb{F}[X]\}$$

is a field.

# Examples of polynomial arithmetic

Examples (Addition and multiplication)

$$(X^2 - 3X + 4) + (X^3 - X^2 + 2X - 6) = X^3 - X - 2$$
  
 $(X^2 - 3X + 4) \cdot (X^3 - X^2 + 2X - 6) = X^5 - 4X^4 + 9X^3 - 16X^2 + 26X - 24$ 

Examples (Reduction modulo the polynomial  $X^2 + X + 1$ )

$$X^{3} + 3X - 4 = X(X^{2} + X + 1) - X^{2} + 2X - 4$$
$$= X(X^{2} + X + 1) - 1(X^{2} + X + 1) + 3X - 3$$
$$= (X - 1)(X^{2} + X + 1) + 3X - 3$$

So 
$$X^3 + 3X - 4 \equiv 3X - 3 \pmod{X^2 + X + 1}$$

# Examples of irreducible polynomials

Examples (using  $\mathbb R$  and  $\mathbb C$ )

- $ightharpoonup X^2+1$  is irreducible in  $\mathbb{R}[X]$  and  $\mathbb{R}[X]/(X^2+1)\cong\mathbb{C}$
- $ightharpoonup X^2 + 1$  is reducible in  $\mathbb{C}[X]$
- ►  $X^2 \frac{7}{6}X + \frac{1}{3}$  is reducible in  $\mathbb{Q}[X]$

Examples (Algebraic Number Fields, using Q)

- $\blacktriangleright$   $X^2-2$  is irreducible in  $\mathbb{Q}[X]$  and  $\mathbb{Q}[X]/(X^2-2)\cong \mathbb{Q}(\sqrt{2})$
- $lackbox{} X^2+X+1$  is irreducible in  $\mathbb{Q}[X]$  and  $\mathbb{Q}[X]/(X^2+X+1)\cong \mathbb{Q}(-1/2+1/2i\sqrt{3})$

<sup>&</sup>lt;sup>1</sup>A ring is like a field, but possibly without multiplicative inverses

## More examples of polynomial arithmetic

Examples (Addition and multiplication with coefficients in  $\mathbb{Z}_2$ )

$$(X^2 + X + 1) + (X^2 + 1) = X$$
  
 $(X^2 + X + 1) \cdot (X^2 + 1) = X^4 + X^3 + X + 1$   
 $(f + g)^2 = f^2 + g^2$  ("Freshman's dream")

Examples (Addition and multiplication with coefficients in  $\mathbb{Z}_3$ )

$$\begin{array}{l} (X^2+X+1)+(X^2+1)=2X^2+X+2=-X^2+X-1\\ (X^2+X+1)\cdot(X^2+1)=X^4+X^3+2X^2+X+1=X^4+X^3-X^2+X+1\\ (f+g)^2=f^2+g^2-f\cdot g \ (\text{```Freshman's nightmare'''}) \end{array}$$

# Irreducibles over $\mathbb{Z}_p$

#### Theorem

- ▶ Taking  $\mathbb{F} = \mathbb{Z}_p$  for a prime p and g an irreducible polynomial of degree n, with coefficients in  $\mathbb{F}$ ,  $\mathbb{F}[X]/(g)$  is a field with  $p^n$  elements.
- For any prime p and natural number n > 0 there is exactly one field, denoted  $GF(p^n)$  or  $\mathbb{F}_{p^n}$ , with  $p^n$  elements (up to isomorphism).

In honour of Évariste Galois these finite fields are also called Galois fields.

Uniqueness up to isomorphism tells you it doesn't matter

which irreducible polynomial is used for the construction.

## Examples of (ir)reducible polynomials

#### Examples

- ►  $X^2 + 1$  is not irreducible over  $\mathbb{Z}_2$ , for we have  $X^2 + 1 = X^2 + 2X + 1 = (X + 1)^2$
- $ightharpoonup X^2 + X + 1$  is irreducible over  $\mathbb{Z}_2$
- ▶  $X^2 + X + 1$  is not irreducible over  $\mathbb{Z}_3$ , for we have  $X^2 + X + 1 = X^2 + 4X + 4 = (X + 2)^2$
- $ightharpoonup X^2 + 1$  is irreducible over  $\mathbb{Z}_3$
- $\triangleright$   $X^2 + 2X + 2$  is also irreducible over  $\mathbb{Z}_3$

## Properties of finite fields

and their cyclic multiplicative subgroups

#### Theorem

For any finite field  $\mathbb F$ 

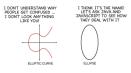
- $|\mathbb{F}| = p^n$ , where p is a prime called the characteristic of  $\mathbb{F}$ , being the smallest number
  - for which the p-time repetition  $1+1+\ldots+1$  is equal to 0.
- ightharpoonup The multiplicative group of  $\mathbb{F}$  is always cyclic.
- ► The irreducible polynomial g is called primitive if X is a generator of this (cyclic) multiplicative group.
- ▶  $GF(p) \cong \mathbb{Z}_p$ , but beware:
  - For n > 1:  $GF(p^n) \ncong (\mathbb{Z}_p)^n$
  - For n > 1:  $GF(p^n) \ncong \mathbb{Z}_{p^n}$

# Examples of finite fields and primitive polynomials

#### Examples

- $ightharpoonup X^2 + X + 1$  is (irreducible and) primitive over GF(2).
- ▶  $GF(4) = GF(2^2) = \mathbb{Z}_2[X]/(X^2 + X + 1) = \{0\} \cup \{X, X^2 = X + 1, X^3 = X^2 + X = X + 1 + X = 1\}$  with generator X.
- $\triangleright$   $X^2 + 1$  is irreducible, but not primitive over GF(3).
- $\blacktriangleright$   $X^2 + 2X + 2$  is (irreducible and) primitive over GF(3).
- ▶  $GF(9) = GF(3^2) = \mathbb{Z}_3[X]/(X^2 + 2X + 2) =$ {0}  $\cup \{X, X^2 = X + 1, X^3 = 2X + 1, X^4 = 2, X^5 = 2X, X^6 = 2X + 2, X^7 = X + 2, X^8 = 1\}$

# Ellipses? No!



Source: https://prateekvjoshi.com/2015/02/07/why-are-they-called-elliptic-curves/

## Use of Galois Fields in AES

- ▶ The *S-box* uses polynomials over GF(2)
  - ▶ The inverse modulo the irreducible  $x^8 + x^4 + x^3 + x + 1$
  - Multiplication by  $x^4 + x^3 + x^2 + x + 1$  modulo the (reducible)  $x^8 + 1$
  - Addition of  $x^6 + x^5 + x + 1$  also modulo the (reducible)  $x^8 + 1$
- MixColumn uses polynomials with coefficients over  $GF(2^8)$  modulo the reducible polynomial  $x^4 + \mathbf{01}$ 
  - $ightharpoonup GF(2^8) \cong \mathbb{Z}_2[x]/(x^8+x^4+x^3+x+1)$ , represented by hex digits **XY**
  - Multiplication by  $03x^3 + 01x^2 + 01x + 02$ and for the inverse by  $0Bx^3 + 0Dx^2 + 09x + 0E$
- Key expansion uses
  - ▶ Arithmetic in  $GF(2^8)$  for generating the constants  $C_i = x^i$  working modulo the irreducible polynomial  $x^8 + x^4 + x^3 + x + 1$
  - ► The polynomial  $x^3$  modulo  $x^4 + 01$  over  $GF(2^8)$  for rotations of columns

For a concise treatment of Rijndael (AES) for algebraists by Hendrik Lenstra, see

 $\verb|http://www.math.berkeley.edu/~hwl/papers/rijndael0.pdf|$ 

## Mathematical background

needed to really understand Elliptic Curves

- Advanced Algebra
  - ► Algebraic Geometry
  - ► Algebraic Number Theory
  - ► Galois Theory
- ▶ Deep relations...
  - ...with lattices
  - ...with modular forms
  - ...with Fermat's Last Theorem
- ▶ "You are not expected to understand this."<sup>2</sup>

 $<sup>^2</sup>_{\tt https://en.wikipedia.org/wiki/Lions'\_Commentary\_on\_UNIX\_6th\_Edition,\_with\_Source\_Code}$ 

## Definition of an elliptic curve

in a simplified case

#### Definition

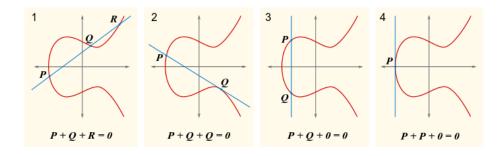
An elliptic curve consists of the solutions (x,y) of a cubic equation  $y^2=x^3+ax+b$ , where a, b, x and y are elements of a field. For our case we demand the field to be a finite one  $(\mathbb{F}_q)$ , with  $q=p^n$ , in most cases n=1 and p>3.

We also demand that  $4a^3 + 27b^2 \neq 0$ , which means there are no singularities. Finally we add one extra point "at infinity".

## Example

Consider the curve  $y^2 = x^3 + 2x + 1$  over  $\mathbb{F}_5$ . This is an elliptic curve with 6 finite points on it: (0,1) (0,4), (1,2), (1,3), (3,2), (3,3). There is also the special  $(7^{th})$  point at infinity..., which will work as 0 for addition, to be defined.

## Geometric intuition



Source: https://commons.wikimedia.org/wiki/File:ECClines.png

# Addition on an elliptic curve

## Definition (Point at infinity)

By adding a point at infinity to every elliptic curve we work in projective space, which moreover enables an operation of addition to be defined, turning the curve into an abelian group.

#### Theorem

On an elliptic curve an addition can be defined with the property that if a straight line intersects the curve in three points P, Q and R the relation P+Q+R=0 holds. This addition turns the elliptic curve into an abelian group with 0 (the point at infinity) as the neutral element.

Notation: [n]P = P + ... + P, with P repeated n times.

## Structure of an EC group

Theorem (Structure of an elliptic curve group)

Every elliptic curve group  $\mathbb{E}(\mathbb{F}_q)$  where  $q=p^n$  and p>3 is of the form

$$\mathbb{Z}_{\textit{n}_1} \oplus \mathbb{Z}_{\textit{n}_2}$$

where  $n_2 \mid n_1$  and  $n_2 \mid q - 1$ .

One can find an elliptic curve over  $\mathbb{F}_p$  such that its group is cyclic with order some prime  $g \neq p$ .

This curve<sup>3</sup> can be used for a Diffie-Hellman construction.

<sup>&</sup>lt;sup>3</sup>If we have g = p the curve is anomalous and not secure

## Diffie-Hellman over an elliptic curve

The simplest case

Let  $\mathbb E$  be an elliptic curve over  $\mathbb F_p$  with a cyclic additive group of prime order  $g\neq p$  and let the point G on  $\mathbb E$  be a generator of this group.

So 
$$E = \{G, [2]G, [3]G, \dots, [g-1]G, [g]G = 0\}.$$

Definition (ECDH, Elliptic Curve Diffie-Hellman)

Let two parties A and B choose secret numbers 1 < a, b < g and publish P = [a]G and Q = [b]G. Only A knows a and only B knows b.

The two parties now have a shared secret: [ab]G.

A knows

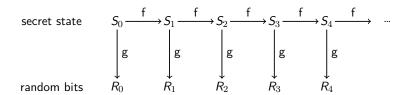
$$[a](Q) = [a]([b]G) = [ab]G$$

B knows

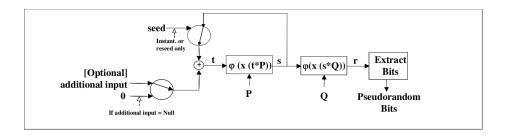
$$[b](P) = [b]([a]G) = [ba]G = [ab]G$$

Other observers, without knowledge of a or b, are confronted with the (supposedly) difficult ECDLP of calculating a and/or b from P and/or Q.

# General scheme for typical PRNGs

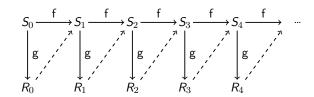


# The original NIST Dual EC DRBG algorithm

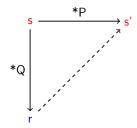


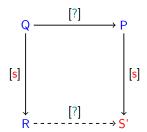
Source: NIST SP 800-90A

## Backdoored PRNG



# Surreptitious Diffie-Hellman

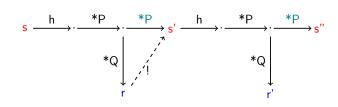




\*P is shorthand for  $\phi(x(.*P))$ 

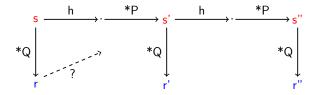
Effect on elliptic curve points

# Repaired surreptitious Diffie-Hellman



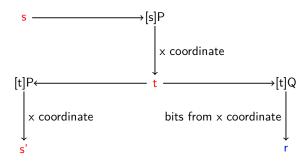
The trick is back because of the extra \*P operation, introduced without adapting the picture in the 2007 version of the specification.

# Spoiled surreptitious Diffie-Hellman



The trick is spoiled by the presence of the function h, which xors in some hash of the additional input.

# The NIST Dual EC DRBG backdoor option



Now suppose "someone" knows the secret relation P=[?]Q. Then a surreptitious DH exchange can be performed when r is used. First find the few possibilities for [t]Q from r. Then

$$[t]P = [t][?]Q = [t?]Q = [?t]Q = [?][t]Q$$