# Forvis: A Formal RISC-V ISA Specification

A Reading Guide

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 $\odot$  2018-2019 R.S.Nikhil

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\*\*\* DRAFT: this document is still being written \*\*\*

#### Abbreviations, acronyms and terminology and links

CSR	Control and Status Register	
FPR	Floating Point Register	
GPR	General Purpose Register	
Hart	Hardware Thread. Not to be confused with software threads such as	
	POSIX threads, "pthreads", and processes. A hart has, in hardware,	
	its own PC and fetch unit, and can work concurrently with ot	
	harts	
ISA	Instruction Set Architecture	
PC	Program Counter	
RVWMO	RISC-V Weak Memory Ordering (default memory model)	
spec	Specification	
Sv32	Virtual Memory System in RV32 systems	
Sv39	Virtual Memory System in RV64 systems	
Sv48	Optional additional Virtual Memory System in RV64 systems	
WMM	Weak Memory Model	

For more information on terminology and concepts, and information on RISC-V, we recommend these fine books:

- "The RISC-V Reader: An Open Architecture Atlas", by Patterson and Waterman [5]
- "Computer Architecture: A Quantitative Approach", by Hennessy and Patterson [1]
- "Computer Organization and Design: The Hardware/Software Interface" (RISC-V Edition) by Patterson and Hennessy [4]

and the RISC-V Foundation web site: https://riscv.org

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# Contents

1	Intr	roduction
	1.1	Forvis goals
		1.1.1 Extension for concurrent behavior and weak memory models
	1.2	About the choice of Haskell, and the level of Haskell features used
		1.2.1 The code in this document is real
	1.3	How to read the spec code
		1.3.1 Basic Haskell concepts and notation
2	File	Arch_Defs.hs: basic architectural definitions
	2.1	Base ISA type
	2.2	Key architectural types: instructions and registers
	2.3	Major Opcodes for 32-bit instructions
	2.4	Exception Codes
	2.5	Memory responses
	2.6	Privilege Levels
3	File	GPR_File.hs (General Purpose Registers)
4	File	Machine_State.hs: architectural and machine state
	4.1	Handling RV32 and RV64 simultaneously
	4.2	Machine State
5	File	Forvis_Spec_Common.hs: Common functions used by all the spec functions
6	Inst	ruction fetch
7	Exe	cution specification
	7.1	File Forvis_Spec_I: Spec for Integer Base Instruction Set
		7.1.1 Algebraic Data Type for I instructions
		7.1.2 Sub-opcodes for I instructions
		7.1.3 Decoder for I instructions
		7.1.4 Dispatcher for I instructions
		7.1.5 Execution semantics for each I instruction
	7.2	Files ALU.hs and FPU.hs
	7.3	File Virtual_Mem.hs: Virtual Memory
	7.4	CSR_File.hs (Control and Status Registers)
		7.4.1 CSR addresses
		7.4.2 The MISA CSR
		7.4.3 The MSTATUS CSR
	7.5	Interrupts

		1
8	Sequential (one-instruction-at-a-time) interpretation	21
9	File Forvis_Spec.hs: the ISA spec	22
10	Other source code files	22
Bi	bliography	24

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#### 1 Introduction

This is a reading guide for Forvis, a formal RISC-V ISA specification written in "extremely elementary" Haskell. It can be executed as a RISC-V simulator which, in turn, executes RISC-V binaries.

A separate document describes how to build the spec as a simulator and have it execute RISC-V ELF binary. Another document describes how to extend this spec for new ISA extensions.

This is a work-in-progress, one of several similar concurrent efforts within the "ISA Formal Specification" Technical Group constituted by The RISC-V Foundation (https://riscv.org). We welcome your feedback, comments and suggestions.<sup>1</sup>

Forvis corresponds to these original English-text specs:

- The RISC-V Instruction Set Manual, Volume I: Unprivileged ISA, Andrew Waterman and Krste Asanovic, Document Version 20181106-Base-Ratification, November 6, 2018. [7]
- The RISC-V Instruction Set Manual, Volume II: Privileged Architecture, Andrew Waterman and Krste Asanovic (eds.), Document Version 20181203-Base-Ratification, December 3, 2018. [8]:

#### 1.1 Forvis goals

Forvis is a formal specification of the RISC-V Instruction Set Architecture, i.e., it is written in a precise, unambiguous language (here, Haskell) without regard to hardware implementation considerations; clarity and precision are paramount concerns. In contrast, specs written a natural language such as English are often prone to ambiguity, inconsistency and incompleteness. Further, a formal spec can be parsed and processed automatically, connecting to other formal analysis and transformation tools. In addition to precision and completeness, Forvis also has these goals:

- Readability: This spec should be readable by people who may be completely unfamiliar with Haskell or other formal specification languages. Examples of our target audience:
  - RISC-V Assembly Language programmers as a reference explaining the instructions they use.
  - Compiler writers targeting RISC-V, as a reference explaining the instructions they generate.
  - RISC-V CPU hardware designers, as a reference explaining the instructions interpreted by their designs.
  - Students studying RISC-V.
  - Designers of new RISC-V ISA extensions, who may want to extend these specs to include their extensions.
  - Users of formal methods, who wish to prove properties (especially correctness) of compilers and hardware designs.
- Modularity: RISC-V is one of the most modular ISAs. It supports:
  - A couple of base ISAs: RV32 (32-bit) and RV64 (64-bit) (an RV128 base is under development)

<sup>&</sup>lt;sup>1</sup>Forvis, and this document, are available at: https://github.com/rsnikhil/RISCV-ISA-Spec

- Numerous extensions, such as M (Integer Multiply/Divide), A (Atomic Memory Ops), F (single precision floating point), D (double precision floating point), C (compressed 16b insructions), E (embedded).
- An optional Privilege Architecture, with M (machine) and optional S (supervisor) and U (user) privilege levels.
- Implementation options, such as whether misaligned memory accesses are handled or cause a trap, whether interrupt delegation is supported or not, etc.

Implementations can combine these flexibly in a 'mix-and-match' manner. Some of these options can coexist in a single implementation, and some may be dynamically switched on and off. Forvis tries to capture all these possibilities.

- Concurrency and non-determinism: RISC-V, like most modern ISAs, has opportunities for concurrency and legal non-determinism. For example, even in a single hart (hardware thread), it is expected that most implementations will have pipelined (concurrent) fetch and execute units, and that the instructions returned by the fetch unit may be unpredictable after earlier code that writes to instruction memory, unless mediated by a FENCE.I instruction. RISC-V has a Weak Memory Model, so that in a multi-hart system, memory-writes by one hart may be "seen" in a different order by another hart unless mediated by FENCE and AMO instructions. In particular, different implementations, and even different runs of the same program on the same implementation, may return different results from reading memory on different runs.
- Executabality: Forvis constitutes an "operational" semantics (as opposed to an "axiomatic" semantics). The spec can actually be executed as a Haskell program, representing a RISC-V "implementation", i.e., it can execute RISC-V binaries. The README file in the code repository explains how to execute the code.

#### 1.1.1 Extension for concurrent behavior and weak memory models

Although it is convenient to directly execute this Haskell code as a Haskell program, thereby giving us a sequential RISC-V simulator for free, the code (specifically, the file Forvis\_Spec.hs) can also be treated as a generic functional program with an alternate interpretation (non-Haskell, and changing what we mean by the "Machine State" that is an argument to each spec function).

Such an alternate interpreter can demonstrate all kinds of concurrencies (e.g., due to out-of-order execution, pipelining, different kinds of speculation, and more) and non-deterministic interaction with weak memory models. We believe it can describe the complete range of concurrent behaviors seen in actual implementations (and more concurrent behaviors not seen in practical implementations).

Describing this alternate interpretation is planned as a follow-up document. We have a general idea of how this concurrent interpreter works but are still working out the details. The concurrency is not exposed in the spec text, but is implicit in the data flow. The central ideas come from "implicit dataflow" computation (cf. "Implicit Parallel Programming in pH"[3]).

#### 1.2 About the choice of Haskell, and the level of Haskell features used

We chose to use the well-known programming language Haskell [6] because it is a pure functional language, with no side effects. ISA specs are sometimes hard to read because of hidden state, and

their updates by side-effect are hard to keep track of; in our Haskell code, all state is visible and all updates can be seen explicitly as recomputation of new state.

Forvis spec code is written in "extremely elementary" Haskell so that it is readable by people who may be totally unfamiliar with Haskell and who may have no interest in learning Haskell. It uses a very small, extremely simple subset of Haskell² (just simple types, function definition and function application) and none of the features that may be even slightly unfamiliar to the audience (no Currying/partial-application, lambda-expressions, laziness, typeclasses, monads, etc.) For those without prior exposure to Haskell, this document explains the minimal Haskell notation necessary to read the Forvis spec code.

Using extremely simple Haskell will also make it easier for authors of new ISA extensions to extend these specs to cover their ISA extensions, even if they are unfamiliar with Haskell.

Using extremely simple Haskell will also make it easy to parse and connect to other tools, such as proof assistants, theorem provers, and so on (including the alternate "concurrent" interpreter described at the end of the next section).

#### 1.2.1 The code in this document is real

This document is produced with a kind of "literate programming" process (Knuth 1984 [2]). The Forvis spec is the collection of Haskell source code files, and this document is just a reading guide. All the code fragments herein are automatically extracted from the actual Haskell code during document production. As a reading guide, this document is not meant to be read on its own, but as an accompaniment to perusing the actual source code.

#### 1.3 How to read the spec code

As mentioned earlier, the Forvis spec is Haskell source code. This document is just a reading guide, and contains code fragments automatically extracted from the actual source code. This document is not meant to be read on its own, but as a reference for clarification and commentary while you are reading the actual code.

For all readers, whether familiar with Haskell or not, this guide will help you navigate the source code; reading the code and files in the presented order may help you absorb the code most quickly.

Readers familiar with Haskell can skip the following sub-section.

#### 1.3.1 Basic Haskell concepts and notation

Haskell is a pure functional language: everything is expressed as pure mathematical functions from arguments to results, and composition of functions. There is no sequencing, and no concept of updatable variables (traditional "assignment statement")

Each Haskell file is a Haskell module and has the form:

<sup>&</sup>lt;sup>2</sup> We believe that the Haskell used here is simple enough that only minor syntactic transformation would be needed to render it into some other functional language such as SML, OCaml, or Scheme.

```
module module-name where import another-module-name ... import another-module-name ... constant-or-function-or-type-definition ... constant-or-function-or-type-definition
```

Comments begin with "--" and extend through the end of the line.

Haskell relies on "layout" to convey text structure, i.e., indentation instead of brackets and semicolons. A constant definition looks like this:

```
foo = value-expression :: type
```

A function definition looks like this:

```
\begin{array}{lll} & \text{fn :: } \textit{arg-type} \rightarrow \dots \rightarrow \textit{arg-type} \rightarrow \textit{resul-type} \\ & \text{fn } \textit{arg } \dots \textit{ arg = function-body-expression} \end{array}
```

Note: in Haskell, function arguments, both in definitions and in applications, are typically just juxtaposed and not enclosed in parentheses and commas, thus:

instead of:

A definition like this:

```
type Instr = Word32
```

just defines a new type synonym (Instr) for an existing type (Word32); this is done just for readability.

A definition like this:

```
data \ new type = ...
```

defines a new type; these will be explained as we go along.

For readability, large expressions are sometimes deconstructed using "let" expressions to provide meaningful names to intermediate sub-expressions, define local help-functions, etc. For example, instead of:

```
x + f y z - g a b c
we may write, equivalently:
    let
    tmp1 = f y z
    tmp2 = g a b c
    result = x + tmp1 + tmp2
in
    result
```

Conditional expressions may be written using if-then-else which can of course be nested:

```
x = if cond\text{-}expr1
then expr1
```

```
else if cond-expr2
then expr2
else expr3
```

or using case which can also be nested:

```
x = case \ cond\text{-}expr1 of True -> expr1 False -> case \ cond\text{-}expr2 of True -> expr2 False -> expr3
```

or may be folded into a definition:

```
x | cond-expr1 = expr1
| cond-expr2 = expr2
| True = expr3
```

The following table shows some operators in Haskell and their counterparts in C, where the notations differ.

Haskell	С	
not x	! x	Boolean negation
x /= y	x != y	Not-equals operator
x .&. y	х&у	Bitwise AND operator
x .   . y	$x \mid y$	Bitwise OR operator
complement x	~ <sub>X</sub>	Bitwise complement
shiftL x n	x << n	Left shift
shiftR x n	x >> n	Right shift (arith if x is signed, logical otherwise)

# 2 File Arch\_Defs.hs: basic architectural definitions

#### 2.1 Base ISA type

The following defines a data type RV with two possible values, RV32 and RV64. It is analogous to an "enum" declaration in C, defining a family of constants. The deriving clause says that Haskell can automatically extend the equality operator == to work on values of type RV, and that Haskell can automatically extend the show() function to work on such values, producing printable Strings "RV32" and "RV64", respectively.

#### 2.2 Key architectural types: instructions and registers

Througout the spec, we use Haskell's "unbounded integer" type (Integer) to represent values that are typically represented in bit vectors of fixed size in hardware. Unbounded integers are truly unbounded and have no limit such as the typical 32 bits or 64 bits found in most programming languages. Unbounded integers never overflow. In the spec, we take care of 32-bit and 64-bit overflow explicitly.

Below, we define Haskell "type synonyms" as more readable synonyms for Haskell's Integer type.

```
line 31 Arch_Defs.hs
-- These are just synonyms of 'Integer', for readability
type Instr_32b = Integer
type Instr_16b = Integer
type InstrField = Integer
                               -- various fields of instructions
-- General-purpose registers
type GPR_Addr = Integer
                               -- 5-bit addrs, 0..31
type GPR_Val = Integer
                               -- 32-bit or 64-bit values
-- Floating-point registers
type FPR_Addr = Integer
                               -- 5-bit addrs, 0..31
-- CSRs
                               -- 12-bit addrs, 0..0xFFF
type CSR_Addr = Integer
```

Next, we have Haskell function that decides whether a particular instruction is a 32-bit instruction or a 16-bit (compressed) instruction, by testing its two least-significant bits.

```
line 53 Arch_Defs.hs

-- instruction or not ('C' instrs have 2 lsbs not equal to 2'b11)

is_instr_C :: Integer -> Bool
is_instr_C u16 = ((u16 .&. 0x3) /= 0x3)

{-# INLINE is_instr_C #-}
```

Here, and everywhere in the spec, you can safely ignore the INLINE annotation. These are "pragmas" or "directives" to the Haskell compiler when we compiler this spec into a simulator, and are purely meant to improve the performance (speed) of the simulator. In accordance with the their unimportance to the semantics, we always write these INLINE annotations below the corresponding function.

#### 2.3 Major Opcodes for 32-bit instructions

The 7 least-significant bits of a 32-bit instruction constitute its "major opcode". This section defines them for the base "I" instruction set.

```
_ line 62 Arch_Defs.hs -
-- Major opcodes
-- 'I' (Base instruction set)
opcode_LUI
                = 0x37 :: InstrField
                                         -- 7'b_01_101_11
opcode_AUIPC
                = 0x17 :: InstrField
                                          -- 7'b_00_101_11
                                          -- 7'b_11_011_11
opcode_JAL
                = 0x6F :: InstrField
opcode_JALR
                = 0x67 :: InstrField
                                          -- 7'b_11_001_11
...more...
```

Later in the file, we see major-opcode definitions for the "A" (Atomics) extension<sup>3</sup>, the "F" and "D" extensions (single-precision and double floating point).

Most instructions also have other fields that further refine the opcode; we call them "sub-opcodes". These are generally defined in the separate modules for each extention (for example, in a section labelled "Sub-opcodes for 'I' instructions" in file Forvis\_Spec\_I.hs) because they are only used locally there.

However, some sub-opcodes are used in multiple modules and are therefore defined in this file (Arch\_Defs.hs). This is true of all the memory-operation sub-opcodes such as funct3\_LB, funct3\_SB, msbs5\_AMO\_ADD, etc., as well as funct3\_PRIV.

#### 2.4 Exception Codes

We define a type synonym for exception codes, and the values of all the standard exception codes for interrupts:

```
type Exc_Code = Integer

-- Interrupt exception codes
exc_code_u_software_interrupt = 0 :: Exc_Code
exc_code_s_software_interrupt = 1 :: Exc_Code
...more...
```

... and for traps:

```
line 161 Arch_Defs.hs

-- Trap exception codes

exc_code_instr_addr_misaligned = 0 :: Exc_Code

exc_code_instr_access_fault = 1 :: Exc_Code

...more...
```

 $<sup>^3</sup>$ I am grateful to my colleague Joe Stoy for introducing me to the etymology of the word "atomic". It can be read as "a+tom+ic". The "tom" (as in "tomography") means "cut", and the "a" negates it ( $\rightarrow$  "uncuttable").

#### 2.5 Memory responses

We define a type Mem\_Result for responses from memory. This may be Mem\_Result\_Ok (successful), in which case it returns a value (irrelevant for STORE instructions, but relevant for LOAD, load-reserved, store-conditional, and AMO ops). Otherwise it is a Mem\_Result\_Err, in which case it returns an exception code (such as misalignment error, an access error, or a page fault.)

When returning a result, we construct expressions like these:

```
Mem_Result_Ok value-expression
Mem_Result_Err exception-value-expression
```

When fielding a result, we deconstruct it using a case-expression like this:

```
case mem-result of
  Mem_Result_Ok v -> use v in an expression
  Mem_Result_Err ec -> use ec in an expression
```

#### 2.6 Privilege Levels

RISC-V defines 3 standard privilege levels: Machine, Supervisor and User:

```
type Priv_Level = InstrField

m_Priv_Level = 3 :: Priv_Level
s_Priv_Level = 1 :: Priv_Level
u_Priv_Level = 0 :: Priv_Level
```

# 3 File GPR\_File.hs (General Purpose Registers)

GPR\_File.hs implements a file of general-purpose registers.

We represent it using Haskell's Data\_Map.Map type, which is an associative map (like a Python "dictionary") that associates register names with values). This representation choice is purely internal to this module because in the export list in the module header at the top of the file:

```
module GPR_File (GPR_File,

mkGPR_File,

gpr_read,

gpr_write,

print_GPR_File) where
```

we mention the type <code>GPR\_File</code> without exporting its internal representation. If, instead, we had said "<code>GPR\_File(..)</code>", we'd expose its internal detail. Thus, we can freely change the representation to something else (and change the API functions accordingnly) without affecting any of the rest of the modules. In other words, <code>GPR\_File</code> is an abstract type for the rest of the modules. Here is the representation and constructor:

```
line 37 GPR_File.hs

newtype GPR_File = GPR_File (Data_Map.Map InstrField Integer)

mkGPR_File :: GPR_File

mkGPR_File = GPR_File (Data_Map.fromList (zip

[0..31]

(repeat (fromIntegral 0))))
```

The **zip** function constructs a list of intial values, associating each register name 0..31 with 0 (randomly chosen, since the spec does not specify the initial value of any register).

This is followed by the API functions gpr\_read and gpr\_write. The latter always writes 0 into GPR 0, so we can only ever read 0 from GPR 0.4

#### 4 File Machine\_State.hs: architectural and machine state

[Reminder: this is for the simple, sequential, one-instruction-at-a-time interpreter. The concurrent interpreter has a substantially different machine state.]

#### 4.1 Handling RV32 and RV64 simultaneously

Although each hardware implementation will typically be either an RV32 system or an RV64 system, the spec encompasses implementations that can simultaneously support both. For example, machine-privilege code may run in RV64 mode while supervisor- and user-privilege code may run in RV32 mode. There is also a future RV128 being defined.

In Forvis, which covers RV32 and RV64 and their simultaneous use, we represent everything using unbounded integers (Haskell's "Integer" type). The semantics of each instruction are defined to be governed by the current RV setting which is available in the architectural state (specifically, MISA.MXL, MSTATUS.SXL, MSTATUS.UXL, etc.). An RV32 setting can render some instructions illegal, and limits calculations on values to 32-bit arithmetic.

#### 4.2 Machine State

We define a new type representing a complete "machine state", which is just a record or struct. The first few fields represent a RISC-V hart's basic architectural state: a Program Counter, general purpose registers, floating-point regsiters, control-and-status Registers, and the current privilege level at which it is running. This is followed by two fields representing memory and memory-mapped I/O devices.

<sup>&</sup>lt;sup>4</sup>In "seq val1 (..)" in the last line of gpr\_write, only the part in parentheses is relevant, doing the actual GPR register file update; the rest is a wrapper that is merely a Haskell performance optimization for the simulator, concerned with Haskell's lazy evaluation regime.

Finally, we have fields that are not semantically relevant, but are needed or useful in simulation or formal reasoning, gathering statistics, etc., including a list of legal address ranges (memory load/store instructions should trap if accessing anything outside this range).

```
___ line 38 Machine_State.hs _
data Machine_State =
 Machine_State { -- Architectural state
                      :: Integer,
                 f_pc
                 f_gprs :: GPR_File,
                 f_fprs :: FPR_File,
                 f_csrs :: CSR_File,
                 f_priv :: Priv_Level,
                 -- Memory and mory mapped IO
                 f_mem :: Mem,
                 f_mmio :: MMIO,
                 -- Implementation options
                 -- Legal memory addresses: list of (addr_start, addr_lim)
                 f_mem_addr_ranges :: [(Integer, Integer)],
                 -- For convenience and debugging only; no semantic relevance
                                     :: RV,
                                             -- redundant copy of info in CSR MISA
                               :: Run_State,
                 f_run_state
                 f_last_instr_trapped :: Bool,
                 f_verbosity
                               :: Int
```

This record-with-fields is a purely internal representation choice in this module. Clients of this module only access it via the mstate\_function API that follows.<sup>5</sup>

The following function is a constructor that returns a new machine state:

```
_ line 90 Machine_State.hs
-- Make a Machine_State, given initial PC and memory contents
mkMachine_State :: RV ->
                                             -- Initial RV32/RV64
                   Integer ->
                                             -- Initial value of misa
                   Integer ->
                                             -- Initial value of PC
                   [(Integer,Integer)] -> -- List of legal memory addresses
                   ([(Integer, Integer)]) -> -- Initial mem contents (addr-&-byte list)
                  Machine_State
                                             -- result
mkMachine_State rv misa initial_PC addr_ranges addr_byte_list =
    mstate = Machine_State {f_pc = initial_PC,
                           f_gprs = mkGPR_File,
                           f_fprs = mkFPR_File,
                           f_csrs = mkCSR_File rv misa,
                           f_priv = m_Priv_Level,
                           f_{mem}
                                             = mkMem addr_byte_list,
                           f_mmio
                                             = mkMMIO,
```

<sup>&</sup>lt;sup>5</sup>Haskell has export-import mechanisms to enforce this external invisibility of our representation choice, but we have omitted them here to avoid clutter.

All functions that "update" the machine state are written in purely functional style: the first argument is typically a machine state, and the final result is the new machine state. This will be evident in their type signatures:

```
somefunction :: Machine_State -> ...other arguments... -> Machine_State
```

What follows is a series of API functions to read or update the machine state, such as the following to access and update the PC:

```
line 120 Machine_State.hs

mstate_pc_read :: Machine_State -> Integer
mstate_pc_read mstate = f_pc mstate

mstate_pc_write :: Machine_State -> Integer -> Machine_State
mstate_pc_write mstate val = mstate { f_pc = val }
```

The mstate\_pc\_write function just applies the f\_pc field selector to the machine state to extract that field. The mstate\_pc\_write function uses Haskell's "field update" notation:

```
mstate { f_pc = val }
```

to construct (and return) a new machine state in which the f\_pc field has the new value.

In many of the API functions, such as those to read and write GPRs, FPRs or CSRs, the function merely invokes the appropriate API of the corresponding component (GPR file, FPR file or CSR file).

In the API functions for read, write and atomic memory operations, such as mstate\_mem\_read, we check if the given address is a supported memory address and return an exception if not. Otherwise, we triage the address to determine if it is for actual memory or for a memory-mapped I/O device, and direct the request to the appropriate component.

The API functions for FENCE, FENCE.I and SFENCE.VMA are currenty no-ops in the spec since they only come into play when there is concurrency involving multiple paths to memory from one or more harts (hardware threads). The current specs is interpreted in a completely sequential, one-instruction-at-a-time manner; there is only one path to memory involving instructions and data; and there is only one hart.

The file ends with a number of functions to aid in simulation, to move console input and output between the machine state and the console, to "tick" IO devices (which logically run concurrently with the CPU), etc.

# 5 File Forvis\_Spec\_Common.hs: Common functions used by all the spec functions

All instructions "finish" in one of a few common ways, and these are captured as functions in this module. For example: this function captures the common finish of all ALU instructions, which:

- write a result value rd\_val to the GPR rd;
- increment the PC by 4 or 2, depending on boolean is\_C, which indicates whether the current instruction is a regular 32-bit instruction or a 16-bit C (compressed) instruction;
- and increment the MINSTRET (instructions retired) counter.

```
line 47 Forvis_Spec_Common.hs

finish_rd_and_pc_incr :: Machine_State -> GPR_Addr -> Integer -> Bool -> Machine_State

finish_rd_and_pc_incr mstate rd rd_val is_C =

let mstate1 = mstate_gpr_write mstate rd rd_val

pc = mstate_pc_read mstate1

delta = if is_C then 2 else 4

mstate2 = mstate_pc_write mstate1 (pc + delta)

mstate3 = incr_minstret mstate2

in

mstate3
```

#### 6 Instruction fetch

The start of the code for instruction fetch looks like this:

```
_{-} line 44 Forvis_Spec.hs _{-}
-- Instruction fetch
-- This function attempts an insruction fetch based on the current PC.
-- We do not blindly fetch 4 bytes, since the fetch of the latter 2
-- bytes may trap, which may not be relevant if the first 2 bytes are
-- a 'C' (compressed) instruction (which may trap or jump elsewhere).
-- So:
    - We first attempt to read 2 bytes only
   - This fetch-attempt may trap
   - Else we check if it's a 'C' instruction; if so, we're done
    - Else we attempt to read the next 2 bytes (remaining 2 bytes of a 32b instr)
           This fetch-attempt may also trap
data Fetch_Result = Fetch_Trap Exc_Code
                  | Fetch_C
                                Integer
                  | Fetch
                                Integer
                  deriving (Show)
instr_fetch :: Machine_State -> (Fetch_Result, Machine_State)
instr_fetch mstate =
```

The instr\_fetch function takes the current machine state as argument, and attempts to read and instruction from memory, returning a 2-tuple: a Fetch Result and the updated machine state.

The Fetch\_Result can indicate that there was a fault (such as a memory access fault or page fault) during the attempted memory-read, or that the instruction is a 16-bit instruction from the 'C' ISA extension, or that the instruction a regular 32-bit instruction. In all cases, there could have been a change in the machine state, and hence it returns the updated machine state.

The body of the <code>instr\_fetch</code> function first checks the 'C' flag in CSR MISA to see if compressed instructions are supported. If not, it reads a 4-byte (32-bit) instruction from memory. If 'C' is supported, it first reads 2 bytes from memory, and checks if it encodes a possible 'C' instruction. If not, it then reads 2 more bytes from memory and returns it as a full 32-bit instruction. Of course, either of these two reads can fault, and this is the reason we read two bytes at a time: the first read may succeed with a 'C' instruction, in which case we do not want to encounter a fault for reading two more bytes which may be unnecessary in the program flow.

### 7 Execution specification

The execution spec is modularized in the same way as the ISA itself:

Haskell module	Description
(append .hs for filename)	
Forvis_Spec_I	Base Integer Instruction Set, R32 and RV64
Forvis_Spec_I64	Base Integer Instruction Set, RV64 only
Forvis_Spec_M	Integer Multiply/Divide instructions
Forvis_Spec_A	Atomic ops
Forvis_Spec_C	Compressed instructions
Forvis_Spec_F	Single-precision Floating Point instructions
Forvis_Spec_D	Double-precision Floating Point instructions
Forvis_Spec_Priv	Privileged Architecture instructions
Forvis_Spec_Zicsr	CSR instructions
Forvis_Spec_Zifencei	FENCE.I instruction
Forvis_Spec_Common	Shared functions for above

The module for each group X follows a similar plan:

- Declaration of a type data Instr\_X, a data structure for the logical fields of instructions in the group X (a Haskell "algebraic data type"). These are like "abstract syntax trees" for instructions in the group.
- Definitions of sub-opcodes for instructions in group X. These are values of fields in the 32-bit instruction that collectively specify a particular instruction opcode.
- A decode function decode\_X of type:

```
decode_X :: RV -> Instr_32b -> Maybe Instr_X
that takes a a 32-bit instruction and returns a result that is either:
```

- Nothing: this is not an instruction in this group
- Just adt: this is an instruction in this group, and adt is a value of type Instr\_X, i.e., the logical view of the instruction.

The RV argument is because these functions serve for both the RV32 and RV64 base integer instructions, but some instructions are only valid in RV64.

- An execution-dispatch function exec\_instr\_X that dispatches each kind of instruction in the group to a specific execution function for that particular kind of instruction.
- A series of functions exec\_OP1, exec\_OP2, ..., one per opcode, describing the semantics of that kind of instruction.

Since all the modules follow this plan, we will not describe them all in detail here.

#### 7.1 File Forvis\_Spec\_I: Spec for Integer Base Instruction Set

#### 7.1.1 Algebraic Data Type for I instructions

The file begins with a Haskell data type declaration for the type Instr\_I

```
line 30 Forvis_Spec_I.hs -
data Instr_I = LUI
                      GPR_Addr InstrField
                                                          -- rd,
                                                                  imm20
             | AUIPC
                      GPR_Addr
                                InstrField
                                                          -- rd,
                                                                  imm20
             | JAL
                      GPR_Addr
                                InstrField
                                                          -- rd,
                                                                  imm21
             | JALR
                      GPR_Addr
                                GPR_Addr InstrField
                                                         -- rd, rs1, imm12
...more...
```

This should be read as follows: "A value of type Instr\_I is

- either a LUI instruction, in which case it has two fields of type GPR\_Addr and InstrField (the destination register rd and an immediate value),
- or a AUIPC instruction, in which case it has two fields of type GPR\_Addr and InstrField (the destination register rd and an immediate value),
- or a JAL instruction, in which case it has two fields of type GPR\_Addr and InstrField (the destination register rd and an immediate value),
- or a JALR instruction, in which case it has three fields of type GPR\_Addr, GPR\_Addr and InstrField (the destination register rd, the source register rs1, and an immediate value),
- ... and so on."

#### 7.1.2 Sub-opcodes for I instructions

The next section defines values of other fields in a 32-bit instruction that further refine the group opcode into a specific opcode:

```
line 84 Forvis_Spec_I.hs

-- opcode_JALR sub-opcodes

funct3_JALR = 0x0 :: InstrField -- 3'b_000

-- opcode_BRANCH sub-opcodes

funct3_BEQ = 0x0 :: InstrField -- 3'b_000

funct3_BNE = 0x1 :: InstrField -- 3'b_001
...more...
```

These are values in the 3-bit field in bits [14:12] of a 32-bit instruction.

#### 7.1.3 Decoder for I instructions

The next section defines the function decode\_I whose arguments are rv (because some I instructions are only valid in RV64 and not in RV32) and a 32-bit instruction. The result is of type Maybe Instr\_I, i.e., it is:

- either Nothing: this is not an I instruction,
- or Just instr\_I: this is an I instruction, and the field instr\_I is value of type Instr\_I, the logical view of the instruction.

```
line 148 Forvis_Spec_I.hs =
decode_I :: RV -> Instr_32b -> Maybe Instr_I
decode_I
           rv
                 instr_32b =
 let
   -- Symbolic names for notable bitfields in the 32b instruction 'instr_32b'
   opcode = bitSlice instr_32b
           = bitSlice instr_32b
                                       7
   funct3 = bitSlice instr_32b 14
                                      12
           = bitSlice instr_32b
                                 19
                                      15
                                      20
           = bitSlice instr_32b 24
   rs2
   funct7 = bitSlice instr_32b
 ..more...
```

The first few lines of the function use bitSlice to extract bit-fields of the instruction. This is a help-function defined in Bit\_Utils.hs, and bitSlice x [j,k] is equivalent to the Verilog/SystemVerilog bit-selection x[j,k]. Note that some field-extractions can involve some complex bit-shuffling, such as:

```
line 168 Forvis_Spec_I.hs
imm21_J = ((
               shiftL (bitSlice instr_32b 31 31)
                                                     20)
          .|. (shiftL (bitSlice instr_32b 30
                                                     1)
                                                21)
          .|. (shiftL (bitSlice
                                                20)
                                                    11)
                                 instr_32b
                                            20
          .|. (shiftL (bitSlice
                                 instr_32b
                                                    12))
                                           19
                                                12)
```

The function finally defines the result m\_instr\_I (of type Maybe Instr\_I) by dispatching on a series of conditions, each checking for a particular opcode:

If none of the conditions match, it returns Nothing

#### 7.1.4 Dispatcher for I instructions

The next function is simply a dispatcher that takes an Instr\_I value and, based on the kind of I instruction it is, dispatches to a specific execution function for that kind of of instruction.

```
_ line 257 Forvis_Spec_I.hs
type Spec_Instr_I = Bool -> Instr_I -> Machine_State -> Machine_State
                    is_C
                            instr_I
                                       mstate
                                                        mstate'
exec_instr_I :: Spec_Instr_I
exec_instr_I is_C instr_I mstate =
 case instr_I of
    LUI
          rd
                imm20
                           -> exec_LUI
                                          is_C instr_I mstate
    AUIPC
         rd
                imm20
                           -> exec_AUIPC
                                         is_C
                                               instr_I
                                                        mstate
    JAL
                imm21
                           -> exec_JAL
                                          is_C instr_I mstate
          rd
    JALR
               rs1 imm12 -> exec_JALR
                                          is_C instr_I mstate
 ..more...
```

It uses the Haskell pattern-matching case statement to determine which kind of instruction it is, and invokes the appropriate function. Note, it has no check for illegal instructions; the fact that the argument is of type Instr\_I means it can only be a valid I instruction.

```
Note that we've defined the type Spec_Instr_I to be a type-synonym for:

Bool -> Instr_I -> Machine_State -> Machine_State
because all our spec functions will have this common type.
```

The first argument,  $is_C$  is a boolean indicating whether the current instruction is a regular 32-bit instruction or a 16-bit (C, compressed) instruction. In RISC-V, each 16-bit instruction is defined as a "short form" for a specific corresponding 32-bit instruction. Thus, we define the semantics in one function, but use the parameter  $is_C$  to remember whether we're doing this for a 32-bit or 16-bit instruction. For almost all instructions, we either update the PC with the address of the next instruction, or we remember address of the next instruction (e.g., in JAL and JALR). This next-instruction-address may be the current PC +2 or +4, depending on  $is_C$ .

#### 7.1.5 Execution semantics for each I instruction

There is one exec\_FOO function for each opcode FOO. We examine excerpts of a few of them, for illustration.

The first I instruction, LUI, is very simple:

```
line 315 Forvis_Spec_I.hs

exec_LUI :: Spec_Instr_I
exec_LUI is_C (LUI rd imm20) mstate =
let
    xlen = mstate_xlen_read mstate
    rd_val = sign_extend 32 xlen (shiftL imm20 12)
    mstate1 = finish_rd_and_pc_incr mstate rd rd_val is_C
in
    mstate1
```

It uses the 20-bit immedate to calculate a value rd\_val to save in the destination register rd, and calls a standard "finish" function to write the destination register and increment the PC.

The JALR instruction is a bit more complex:

```
_ line 369 Forvis_Spec_I.hs
exec_JALR :: Spec_Instr_I
exec_JALR is_C (JALR rd rs1 imm12)
                                       mstate =
 let
                                     csr_addr_misa
   misa
          = mstate_csr_read
                              mstate
   xlen
          = mstate_xlen_read mstate
          = mstate_pc_read
   рс
                              mstate
   rd_val = if is_C then pc + 2 else pc + 4
   s_offset = sign_extend 12 xlen imm12
   rs1_val = mstate_gpr_read mstate rs1
   new_pc = alu_add xlen rs1_val s_offset
   new_pc' = clearBit new_pc 0
   aligned = if (misa_flag misa 'C') then
                True
              else
                ((new_pc' .&. 0x3) == 0)
   mstate1 = if aligned then
               finish_rd_and_pc mstate rd rd_val new_pc'
             else
               finish_trap mstate exc_code_instr_addr_misaligned new_pc'
 in
   mstate1
```

We see that that saved "return-address" (rd\_val is calculated as PC+2 or PC+4 depending on is\_C. The jump-target PC is initially calculated by adding the offset to the rs1\_val. Then, per the spec, we clear its bit [0] to 0. Then we check if is properly aligned, which decision itself depends on whether MISA.C is currently active or not. Finally, if the target is properly aligned, we finish normally (updating rd and incrementing PC), otherwise we finish by trapping with exception code exc\_code\_instr\_addr\_misaligned, and storing new\_pc' in the TVAL CSR.

The functions for memory load instructions exec\_LB, exec\_LH, exec\_LW, exec\_LD all funnel back through a common exec\_LOAD help-function. Let's focus on this excerpt:

```
line 486 Forvis_Spec_I.hs

-- Read mem, possibly with virtual mem translation

is_instr = False

(result1, mstate1) = mstate_vm_read mstate

is_instr

exc_code_load_access_fault

funct3

eaddr2
```

Its work is done by mstate\_vm\_read, which is in file Virtual\_Mem.hs, which does all memory reads. By examining mstate, it can decide whether the address is a virtual or physical address, and do the translation if needed. During translation or subsequent memory access, it may encounter a fault. The final result is of type Mem\_Result (described in Sec. 2.5), indicating that it's ok (and contains the appropriate payload, or an error (and contains the appropriate exception code).

Moving further down, the ADD instruction is handled by the following function:

```
line 592 Forvis_Spec_I.hs

exec_ADD :: Spec_Instr_I

exec_ADD is_C (ADD rd rs1 rs2) mstate =

exec_OP alu_add is_C rd rs1 rs2 mstate
```

This just dispatches to the function exec\_OP which is used by all the opcode\_OP functions. To this common function, we pass the function alu\_add (defined in file ALU.hs) to indicate the specific function to be performed on the operands.

The exec\_OP function is simple. We read the Rs1 and Rs2 registers, perform the specified alu\_op, and finish by updating the destination register rd and incrementing the PC (by 4 or 2, respectively, depending boolean is\_C, which indicates whether the current instruction is a regular 32-bit instruction or a 16-bit C (compressed) instruction. The finish function will also increment MINSTRET (see discussion in Sec. 5).

```
line 634 Forvis_Spec_I.hs
exec_OP :: (Int -> Integer -> Integer -> Integer) ->
          Bool ->
          GPR_Addr ->
          GPR_Addr ->
          GPR_Addr ->
          Machine_State -> Machine_State
exec_OP
          alu_op is_C rd rs1 rs2 mstate =
 let
   xlen
           = mstate_xlen_read mstate
   rs1_val = mstate_gpr_read
                               mstate
                                      rs1
                                                  -- read rs1
   rs2_val = mstate_gpr_read
                               mstate rs2
                                                  -- read rs2
   rd_val = alu_op xlen rs1_val rs2_val
                                                  -- compute rd_val
   mstate1 = finish_rd_and_pc_incr mstate rd rd_val is_C
```

#### 7.2 Files ALU.hs and FPU.hs

The module ALU represents the "pure integer ALU", i.e., pure functions of one or two inputs representing the various interger arithmetic, logic and comparison operations of interest. Most of the functions are quite straightforward, invoking Haskell primitives to perform the actual operations.

All considerations of whether we are dealing with 32-bit or 64-bit input and output values, whether they are to be interpreted as signed or unsigned values, etc., are confined to this module. Outside this module, all values are "stored" as Haskell's Integer data type.

Analogously, the module FPU represents the "pure floating point ALU", encapsulating all floating-point arithmetic, logic, comparision and conversion operations. All considerations of single-precision vs. double-precision, conversions between these and integers etc., are confined to this module.

#### 7.3 File Virtual\_Mem.hs: Virtual Memory

Essentially all the code to support virtual memory is in the file Virtual\_Mem.hs.

There are four broad classes of memory access: instruction fetch, loads, stores, and AMOs. The function fn\_vm\_is\_active checks whether the effective address computed in each kind of memory

access is a virtual memory address that needs to be translated to a physical memory address. It examines the current privilege level, and the value in the "mode" field of CSR SATP. It also takes into account that if MSTATUS.MPRV is set, then loads, stores and AMOs should be regarded as occurring at the privilege level MSTATUS.MPP instead of the current privilege.

```
line 47 Virtual_Mem.hs

fn_vm_is_active :: Machine_State -> Bool -> Bool

fn_vm_is_active mstate is_instr =
```

In file Forvis\_Spec.hs, in the spec functions for the four classes of memory access (instr\_fetch, spec\_LOAD, spec\_STORE and spec\_AMO), the code first invokes fn\_vm\_is\_active to check if virtual-to-physical address translation is required. If so, it then invokes the function vm\_translate to perform the translation. This function can return a memory access fault or page fault, or a successful translation with a physical address. We use the Memory\_Result type to return this range of results. The vm\_translate function may also modify machine state ("access" and "dirty" bits in page tables, internal cache- and TLB-tracking state, etc.), and so the machine state is both an argument and a result of the function.

```
line 155 Virtual_Mem.hs

-- vm_translate translates a virtual address into a physical address.

-- Notes:

-- 'is_instr' is True if this is for an instruction-fetch as opposed to LOAD/STORE

-- 'is_read' is True for LOAD, False for STORE/AMO

-- 1st component of tuple result is 'Mem_Result_Err exc_code' if there was a trap

-- and 'Mem_Result_Ok pa' if it successfully translated to a phys addr

-- 2nd component of tuple result is new mem state, potentially modified

-- (page table A D bits, cache tracking, TLB tracking, ...)

vm_translate :: Machine_State -> Bool -> Bool -> Integer -> (Mem_Result, Machine_State)
vm_translate mstate is_instr is_read va =
```

#### 7.4 CSR\_File.hs (Control and Status Registers)

CSR\_File.hs implements a file of Control and Status registers. The module begins with definitions of reset values for CSRs at the User, Supervisor and Machine levels of privilege.

#### 7.4.1 CSR addresses

The next few sections define the addresses of all the standard CSRs (Control and Status Registers), at User, Supervisor and Machine Privilege levels.

```
line 223 CSR_File.hs

csr_addr_ustatus = 0x000 :: CSR_Addr

csr_addr_uie = 0x004 :: CSR_Addr

csr_addr_utvec = 0x005 :: CSR_Addr

csr_addr_uscratch = 0x040 :: CSR_Addr

...more...
```

#### 7.4.2 The MISA CSR

A key CSR is MISA ("Machine ISA Register"). The 2 most-significant bits are called MXL and it encodes the current "native" width of the ISA (width of PC and GPRs), which can be 32, 64 or 128 bits.

```
line 483 CSR_File.hs

-- Codes for MXL, SXL, UXL

xl_rv32 = 1 :: Integer

xl_rv64 = 2 :: Integer

xl_rv128 = 3 :: Integer
```

The lower 26 bits are named by letters of the alphabet, A-Z, with bit 0 being A and Bit 25 begin Z. The function misa\_flag, when given the value in the MISA register and a letter of the alphabet (uppercase or lowercase), returns a boolean indicating whether the corresponding bit is set or not.

```
line 489 CSR_File.hs

-- Test whether a particular MISA 'letter' bit (A-Z) is set

misa_flag :: Integer -> Char -> Bool
misa_flag misa letter =
   if ( isAsciiUpper letter) then
      (((shiftR misa ((ord letter) - (ord 'A'))) .&. 1) == 1)
   else if (isAsciiLower letter) then
      (((shiftR misa ((ord letter) - (ord 'a'))) .&. 1) == 1)
   else
      error "Illegal argument to misa_flag"
```

This is followed by symbolic-name definitions for the integer bit positions of the 26 alphabets and the MXL field.

```
line 503 CSR_File.hs

misa_A_bitpos = 0 :: Int

misa_B_bitpos = 1 :: Int

misa_C_bitpos = 2 :: Int

...more...
```

#### 7.4.3 The MSTATUS CSR

Another key CSR is MSTATUS ("Machine Mode Status"). The code starts with definitions for the integer bit positions of its fields.

This is followed by two help-functions that are used in defining the semantics of exceptions (interrupts and traps) and returns-from-exceptions. The least-significant 8 fields of MSTATUS represent a shallow "stack" of interrupt-enable and privilege bits. On an exception, we push new values on to this stack, and when we return-from-exception we pop the stack. The function mstatus\_stack\_fields extracts the stack, returning the fields as an 8-tuple: (mpp,spp,mpie,spie,upie,mie,sie,uie). The inverse function, mstatus\_upd\_stack\_fields takes an MSTATUS value and an 8-tuple of new stack values, and returns a new MSTATUS with the stack updated.

Not all fields in MSTATUS are used (they may be defined in future versions of the spec). The next few definitions describe "masks" that restrict an MSTATUS value to defined fields so that we

do not disturb the undefined fields. Some of the fields of MSTATUS are visible as the SSTATUS (Supervisor Status) and USTATUS (User Status) at lower privilege levels. Masks for these views are also defined here.

The API functions csr\_read and csr\_write. The main subtlety here is that the certain distinct CSR addresses refer to "views" of the same underlying register with various restrictions:

- USTATUS and SSTATUS are restricted views of MSTATUS
- UIE and SIE are restricted views of MIE
- UIP and SIP are restricted views of MIP

The functions mstatus\_stack\_fields and mstatus\_upd\_stack\_fields encapsulate reading and writing the "stack" in the MSTATUS register containing the "previous privilege", "previous interrupt enable" and "interrupt enable" fields. This stack is pushed on traps/interrupts, and popped on URET/SRET/MRET instructions.

The function fn\_interrupt\_pending was mentioned earlier in Sec. 7.5; it analyzes the MSTATUS, MIP, MIE and current privilege level to decide whether a machine/supervisor/user external/software/timer interrupt is pending, and if so, which one.

#### 7.5 Interrupts

The take\_interrupt\_if\_any function can be applied between any two instruction executions. It uses the function fn\_interrupt\_pending that examines MSTATUS, MIP, MIE and the current privilege level to check if there is an interrupt is pending and the hart is ready to handle it. If so, it applies mstate\_upd\_on\_trap to update the machine state, which it returns along with True. Otherwise, it returns False and the unchanged machine state.

# 8 Sequential (one-instruction-at-a-time) interpretation

The sequential interpreter has a machine state M as described in Sec. 4, and a list *spec\_fns* of spec functions as described in the previous section, i.e., each having the type:

```
Machine_State -> Instr -> (Bool, Machine_State)
```

The interpreter performs the following, forever:

It uses the memory-access API function mstate\_mem\_read to read an instruction from M. It then applies each function from spec\_fns, one by one until one of them returns (True, M'), i.e., one of them successfully decodes and executes the instruction.

If all the functions in *spec\_fns* return (False,...), the interpreter applies the finish\_trap function to M with the ILLEGAL\_INSTRUCTION exception code to produce the next state M'.

# 9 File Forvis\_Spec.hs: the ISA spec

The entire spec is essentially in this one file. The major sections are:

- A function instr\_fetch expressing instruction-fetch, returning a regular 32-bit instruction, or 'C' compressed 16-bit instruction, or an instruction-fetch fault.
- A large number of functions named spec\_OPCODE describing the semantics of all RISC-V instructions.
- A small number of functions name finish\_scheme capturing the few common schemes by which instructions finish (write Rd, increment PC, increment MINSTRET, trap, ...)
- A function mstate\_upd\_on\_trap (which is perhaps the most intricate) that updates the machine state for a trap. It computes the new privilege level, new PC, new MSTATUS, new MEPC/SEPC/UEPC, new MCAUSE/SCAUSE/UCAUSE, and new MTVAL/STVAL/UTVAL based on whether it was an interrupt or synchronous trap, the current privilege level, the MSTATUS register, MIP and MIE registers, MIDELEG and MEDELEG registers, MTVEC/STVEC/UTVEC
- A function exec\_instr (and it's counterpart exec\_instr\_C for 'C' compressed instructions) that uses all the spec\_OPCODE to update the machine state by executing exactly one instruction.
- A function take\_interrupt\_if\_any that checks the machine state to see if an interrupt is pending and updates the machine state if so (to be poised at the trap vector).

#### 10 Other source code files

Bit\_Manipulation.hs contains utilities for bit manipulation, including sign- and zero-extension, truncation, conversion, etc. that are relevant for these semantics.

Most of the remaining files are not part of ISA semantics, but infrastructure for building a "system": a boot ROM, a memory, and I/O devices such as a timer (MTIME and MTIMECMP), a software-interrupt location (MSIP), and a UART for console I/O.

Main.hs is a driver program that just dispatches to one of two use-cases, Main\_RunProgram.hs (free-running) or Main\_TandemVerifier.hs (Tandem Verification).

Main\_RunProgram.hs reads RISC-V binaries (ELF), initializes architecture state and memory, and calls RunProgram to run the loaded program, up to a specified maximum number of instructions.

Run\_Program.hs contains the FETCH-EXECUTE loop, along with some heuristic stopping-conditions (maximum instruction count, detected self-loop, detected non-zero write into tohost memory location, etc.

Main\_TandemVerifier.hs sets up Forvis to be a slave process to a tandem verifier, receiving commands on stdin and sending responses on stdout. The commands allow a tandem verifier to initialize architecture state, execute 1 or more instructions, and query architectural state. Responses include tandem verification packets which the verifier can use to check an implementation.

Addres\_Map.hs specifies the address map for the "system": the base address and address range for each memory and I/O device.

Memory.hs implements a memory model with read, write and AMO functions.

MMIO.hs implements the memory-mapped I/O system.

Mem\_Ops.hs defines instruction field values that specify the type and size of memory operations. These are duplicates of defs in Forvis\_Spec.hs where they are in the specs of LOAD, STORE and AMO instructions. They are repeated here because this information is also needed in Memory.hs, MMIO.hs and other places.

UART. hs is a model of the popular National Semiconductor NS16550A UART.

Elf.hs and Read\_Hex\_File.hs are functions for reading ELF files and "Hex Memory" files, respectively.

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