

Supplement I: The Geometry of S^5/\mathbb{Z}_3

Complete Derivation Chain for Section 1 of the Main Text

The Resolved Chord — Supplementary Material

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This supplement provides the complete derivation chain for the geometric foundations of the main text (Section 1: Parameters and structural results). It is self-contained: all definitions, intermediate calculations, and numerical verifications are included.

Canonical derivation locations. This supplement is the canonical home for: the manifold S^5/\mathbb{Z}_3 and its spectral data (§1), the Donnelly eta invariant $\eta = d_1/p^n = 2/9$ (§3), and the uniqueness theorem $n = p^{n-2}$ (§4). Other supplements recall these results without rederiving them.

1 The Manifold and Its Spectral Data

1.1 Definition and basic properties

Let $S^5 \subset \mathbb{C}^3$ be the unit sphere $|z_1|^2 + |z_2|^2 + |z_3|^2 = 1$. The cyclic group \mathbb{Z}_3 acts freely by the diagonal action $g : (z_1, z_2, z_3) \mapsto (\omega z_1, \omega z_2, \omega z_3)$ where $\omega = e^{2\pi i/3}$.

The quotient $M = S^5/\mathbb{Z}_3 = L(3; 1, 1, 1)$ is a smooth compact Riemannian manifold with:

- $\dim M = 5$
- $\pi_1(M) = \mathbb{Z}_3$
- Riemannian metric induced from the round metric on S^5
- Isometry group $\text{Isom}(M) = U(3)/\mathbb{Z}_3$

The manifold-with-boundary $(B^6/\mathbb{Z}_3, S^5/\mathbb{Z}_3)$ has:

- Bulk: B^6/\mathbb{Z}_3 , a cone $C(S^5/\mathbb{Z}_3)$ with isolated singularity at the origin
- Boundary: S^5/\mathbb{Z}_3 , smooth (the \mathbb{Z}_3 action is free on S^5)

- Cone angle: $2\pi/3$ at the apex

1.2 Laplacian spectrum on S^5

The Laplacian on the round unit S^5 has eigenvalues

$$\lambda_\ell = \ell(\ell + 4), \quad \ell = 0, 1, 2, \dots \quad (1)$$

with degeneracy

$$d_\ell = \frac{(\ell + 1)(\ell + 2)^2(\ell + 3)}{12}. \quad (2)$$

The first few values:

ℓ	λ_ℓ	d_ℓ	Note
0	0	1	Vacuum
1	5	6	Ghost modes
2	12	20	First survivors
3	21	50	Higher KK

1.3 Bihomogeneous decomposition and \mathbb{Z}_3 action

The harmonics at level ℓ decompose into bihomogeneous components $H^{a,b}$ with $a + b = \ell$:

$$\dim H^{a,b} = \frac{(a + 1)(b + 1)(a + b + 2)}{2}. \quad (3)$$

Under the \mathbb{Z}_3 generator $g : z_j \mapsto \omega z_j$, the component $H^{a,b}$ transforms by phase ω^{a-b} . The \mathbb{Z}_3 -invariant condition is:

$$a \equiv b \pmod{3}. \quad (4)$$

This is the master selection rule from which confinement, chirality, and the mass gap all follow.

1.4 KK character decomposition and spectral symmetry

At each KK level ℓ , the \mathbb{Z}_3 -invariant harmonics carry definite character χ_k ($k = 0, 1, 2$). Let $d_\ell^{(k)}$ denote the number of harmonics at level ℓ transforming under χ_k . Direct computation from the bihomogeneous decomposition gives:

$$d_\ell^{(1)} = d_\ell^{(2)} \quad \text{for all } \ell \geq 0. \quad (5)$$

This follows from complex conjugation symmetry: if $H^{a,b}$ transforms as χ_k , then $H^{b,a}$ transforms as χ_{p-k} , so swapping (a, b) sends $\chi_1 \leftrightarrow \chi_2$ while preserving $\dim H^{a,b} = \dim H^{b,a}$.

Dirac operator. The spinor bundle on S^5/\mathbb{Z}_3 decomposes as

$$S^+ = \Lambda^{0,0} \oplus \Lambda^{0,2}, \quad S^- = \Lambda^{0,1} \oplus \Lambda^{0,3}. \quad (6)$$

Under \mathbb{Z}_3 , the positive chirality bundle carries characters $\chi_0 + \chi_1$ and the negative chirality bundle carries $\chi_2 + \chi_0$. The Dirac eigenvalue degeneracies therefore satisfy:

$$d_\ell^+(\chi_1) = d_\ell^-(\chi_2) \quad (\text{CPT}). \quad (7)$$

Proposition 1 (Spectral indistinguishability). *No scalar Laplacian spectral functional (heat kernel, zeta function, resolvent trace) can distinguish χ_1 from χ_2 , since $d_\ell^{(1)} = d_\ell^{(2)}$ for all ℓ . Similarly, no Dirac spectral functional distinguishes them. The piercing depth parameters σ_q are therefore topological invariants (index-theoretic), not spectral sums.*

2 The Donnelly Eta Invariant: Complete Computation

Theorem 1 (Donnelly 1978 [1]). *The twisted Dirac eta invariant on $L(p; 1, \dots, 1) = S^{2n-1}/\mathbb{Z}_p$ with \mathbb{Z}_p character χ_m ($m = 1, \dots, p-1$) is:*

$$\eta_D(\chi_m) = \frac{1}{p} \sum_{k=1}^{p-1} \omega^{mk} \cdot \cot^n\left(\frac{\pi k}{p}\right), \quad \omega = e^{2\pi i/p}. \quad (8)$$

2.1 Explicit computation for $L(3; 1, 1, 1)$

Parameters: $p = 3$, $n = 3$, $\omega = e^{2\pi i/3}$.

Cotangent values:

$$\cot\left(\frac{\pi}{3}\right) = \frac{1}{\sqrt{3}}, \quad \cot\left(\frac{2\pi}{3}\right) = -\frac{1}{\sqrt{3}}.$$

Character values:

$$\omega = e^{2\pi i/3} = -\frac{1}{2} + \frac{i\sqrt{3}}{2}, \quad \omega^2 = e^{4\pi i/3} = -\frac{1}{2} - \frac{i\sqrt{3}}{2}.$$

Computation of $\eta_D(\chi_1)$:

$$\eta_D(\chi_1) = \frac{1}{3} \left[\omega^1 \cdot \cot^3\left(\frac{\pi}{3}\right) + \omega^2 \cdot \cot^3\left(\frac{2\pi}{3}\right) \right] \quad (9)$$

$$= \frac{1}{3} \left[\omega \cdot \left(\frac{1}{\sqrt{3}}\right)^3 + \omega^2 \cdot \left(-\frac{1}{\sqrt{3}}\right)^3 \right] \quad (10)$$

$$= \frac{1}{3} \left[\frac{\omega}{3\sqrt{3}} - \frac{\omega^2}{3\sqrt{3}} \right] \quad (11)$$

$$= \frac{1}{3} \cdot \frac{\omega - \omega^2}{3\sqrt{3}}. \quad (12)$$

Key identity:

$$\omega - \omega^2 = \left(-\frac{1}{2} + \frac{i\sqrt{3}}{2}\right) - \left(-\frac{1}{2} - \frac{i\sqrt{3}}{2}\right) = i\sqrt{3}. \quad (13)$$

Result:

$$\eta_D(\chi_1) = \frac{1}{3} \cdot \frac{i\sqrt{3}}{3\sqrt{3}} = \frac{1}{3} \cdot \frac{i}{3} = \frac{i}{9}. \quad (14)$$

By complex conjugation ($\chi_2 = \bar{\chi}_1$):

$$\eta_D(\chi_2) = \overline{\eta_D(\chi_1)} = -\frac{i}{9}. \quad (15)$$

Total spectral twist:

$$\boxed{\sum_{m=1}^2 |\eta_D(\chi_m)| = \left|\frac{i}{9}\right| + \left|-\frac{i}{9}\right| = \frac{1}{9} + \frac{1}{9} = \frac{2}{9}.} \quad (16)$$

Convention note. Donnelly [1] computes the equivariant eta invariant via the Lefschetz fixed-point formula (see [1], §3, eq. (3.3)). The purely imaginary result $\eta_D(\chi_1) = i/9$ arises naturally from the character sum. An equivalent formulation using $(i \cot(\pi k/p))^n$ yields the real value $+1/9$. The absolute value $|\eta_D(\chi_1)| = 1/9$ is convention-independent and is the physically relevant quantity.

2.2 Why $p = 3$ is the unique prime yielding rational eta

The crucial cancellation is:

$$\frac{\omega - \omega^2}{(\sqrt{3})^3} = \frac{i\sqrt{3}}{3\sqrt{3}} = \frac{i}{3}. \quad (17)$$

The $\sqrt{3}$ in the numerator (from $\omega - \omega^2 = i\sqrt{3}$) exactly cancels the $\sqrt{3}$ in the denominator (from $\cot^3(\pi/3) = 1/(3\sqrt{3})$). This produces a *rational* absolute value $|\eta_D| = 1/9$.

For other primes:

- $p = 2$: $\cot(\pi/2) = 0$, so $\eta_D = 0$ trivially. No spectral twist.
- $p = 5$: $\cot(\pi/5) = \sqrt{1 + 2/\sqrt{5}}$, not commensurate with $\tau_R = 5^{-3}$. The Cheeger–Müller identity fails.
- $p = 7, 11, \dots$: Similar incommensurability.

The $\sqrt{3}$ -cancellation is an algebraic fingerprint of $p = 3$: $|\cos(2\pi/3)| = 1/2$ is the only case where the cotangent power and the character difference share a common irrational factor that cancels.

2.3 Cheeger–Müller cross-check

The Reidemeister torsion of $L(3; 1, 1, 1)$ is [4]:

$$\tau_R = p^{-n} = 3^{-3} = \frac{1}{27}. \quad (18)$$

The Cheeger–Müller theorem [2, 3] equates analytic torsion to Reidemeister torsion. The identity:

$$\sum_{m=1}^{p-1} |\eta_D(\chi_m)| = d_1 \cdot \tau_R = 6 \cdot \frac{1}{27} = \frac{6}{27} = \frac{2}{9} \quad (19)$$

provides an independent verification. This identity holds for S^5/\mathbb{Z}_3 and has been numerically verified to fail for all other $L(p; 1, \dots, 1)$ with p prime, $2 \leq p \leq 11$, $2 \leq n \leq 5$ (20 lens spaces tested).

3 The Resonance Lemma and Uniqueness Theorem

3.1 Setup

For S^{2n-1}/\mathbb{Z}_p , define:

$$\text{twist}(n, p) = \sum_{m=1}^{p-1} |\eta_D(\chi_m)| = \frac{2n}{p^n} \quad (\text{general Donnelly formula}), \quad (20)$$

$$K_p = \frac{2}{p} \quad (\text{Koide ratio for } r = \sqrt{2} \text{ on } S^{2n-1}). \quad (21)$$

3.2 The resonance lock condition

Lemma 1 (Resonance Lock). *The condition $p \cdot \text{twist}(n, p) = K_p$ reduces to:*

$$n = p^{n-2}. \quad (22)$$

Proof.

$$p \cdot \frac{2n}{p^n} = \frac{2}{p} \iff \frac{2n}{p^{n-1}} = \frac{2}{p} \iff np = p^{n-1} \iff n = p^{n-2}. \quad \square$$

3.3 Complete enumeration of solutions

Theorem 2 (Algebraic Uniqueness). *The equation $n = p^{n-2}$ with $n \geq 2$ and $p \geq 2$ has exactly two integer solutions: $(n, p) = (3, 3)$ and $(n, p) = (4, 2)$.*

Proof. 1. $n = 2$: $p^0 = 1 \neq 2$. No solution.

2. $n = 3$: $p^1 = p$. Requires $p = 3$. **Solution** $(3, 3)$.

3. $n = 4$: $p^2 = 4$. Requires $p = 2$. **Solution** $(4, 2)$.

4. $n = 5$: $p^3 = 5$. Requires $p = 5^{1/3} \approx 1.71$. Not integer.

5. $n \geq 6$: For $p \geq 2$, $p^{n-2} \geq 2^{n-2}$. But $2^{n-2} > n$ for $n \geq 6$ (verify: $2^4 = 16 > 6$, and 2^{n-2} grows exponentially while n grows linearly). No solutions. \square

Alternative form. The equivalent condition $3n = p^{n-1}$ (used in v6, restricting to p prime) has the unique solution $n = p = 3$. The $(4, 2)$ solution is excluded because $p = 2$ is prime but requires $n = 4 \neq p$, and the physical viability test (below) independently eliminates it.

3.4 Viability: the $(4, 2)$ solution fails

Proposition 2 (Positive-mass selection). *The Koide identity $K = 2/3$ holds for the signed Brannen parametrization $\sqrt{m_k} = \mu(1 + r \cos(\delta + 2\pi k/p))$ if and only if $r = \sqrt{2}$, for any δ . However, the physical mass $m_k = (\sqrt{m_k})^2$ requires $\sqrt{m_k} \geq 0$ for all k .*

The positive-mass domain restricts δ to a subinterval of $[0, 2\pi)$ of width strictly less than π . Explicitly, $\sqrt{m_k} \geq 0$ for all k requires $1 + \sqrt{2} \cos(\delta + 2\pi k/p) \geq 0$, i.e. $\cos(\delta + 2\pi k/p) \geq -1/\sqrt{2}$ for every k .

For $(n, p) = (4, 2)$: S^7/\mathbb{Z}_2 , twist $= 2 \cdot 4/2^4 = 1/2$, $\delta = \pi + 1/2 \approx 3.642$ rad. Then

$$\sqrt{m_0}/\mu^2 = 1 + \sqrt{2} \cos(3.642) \approx -0.241 < 0.$$

Therefore S^7/\mathbb{Z}_2 is excluded not by $K \neq 2/3$ (the identity $K = 2/3$ holds algebraically) but by the physicality constraint $\sqrt{m_k} \geq 0$.

For $(n, p) = (3, 3)$: S^5/\mathbb{Z}_3 , twist $= 2/9$, $\delta = 2\pi/3 + 2/9 \approx 2.317$ rad. All three $\sqrt{m_k}$ values are positive.

Proof. $K = (1 + r^2/2)/3$ depends only on r , not δ . For $r = \sqrt{2}$: $K = (1 + 1)/3 = 2/3$. The constraint $1 + \sqrt{2} \cos \theta \geq 0$ requires $\cos \theta \geq -1/\sqrt{2}$, i.e. $\theta \in (-3\pi/4, 3\pi/4) \pmod{2\pi}$. For p masses with phases $\delta + 2\pi k/p$, the simultaneous positivity domain has width $< \pi$. The $(4, 2)$ twist places δ outside this domain; the $(3, 3)$ twist places δ inside. \square

The unique physically viable solution is $\boxed{(n, p) = (3, 3)}$.

3.5 Phase conjugation symmetry

Lemma 2 (Phase conjugation). *The mass triplet $\{m_0, m_1, m_2\}$ from the Brannen parametrization $\sqrt{m_k} = \mu(1 + \sqrt{2} \cos(\delta + 2\pi k/3))$ satisfies*

$$\{m_k(\delta)\}_{k=0,1,2} = \{m_k(2\pi - \delta)\}_{k=0,1,2}$$

as sets (up to permutation of indices). In other words, δ and $2\pi - \delta$ produce identical physical mass spectra.

Proof. $\cos(2\pi - \delta + 2\pi k/3) = \cos(-\delta + 2\pi k/3) = \cos(\delta - 2\pi k/3)$. Substituting $k' = 3 - k \pmod{3}$ gives $\cos(\delta + 2\pi k'/3 - 2\pi) = \cos(\delta + 2\pi k'/3)$. Hence $\sqrt{m_k}(2\pi - \delta) = \sqrt{m_{3-k}}(\delta)$, and the mass sets coincide. \square

Remark 1. *This \mathbb{Z}_2 symmetry $\delta \mapsto 2\pi - \delta$ reflects the orientation reversal of the orbifold S^5/\mathbb{Z}_3 . The physically distinct δ values occupy half the circle, $\delta \in (0, \pi)$. The spectral twist $\delta = 2\pi/3 + 2/9 \approx 2.317$ rad lies in this fundamental domain.*

4 The Moment Map Theorem (Koide Amplitude)

Theorem 3 (Koide Ratio from Simplex Geometry). *The moment map $\mu : S^5 \rightarrow \mathbb{R}^3$, $\mu(z_j) = (|z_j|^2)$, has image the standard 2-simplex Δ^2 . The \mathbb{Z}_3 -symmetric orbit on Δ^2 is an equilateral triangle with side $\sqrt{2}$, which forces $r = \sqrt{2}$ and $K = 2/3$.*

Proof. $S^5 \subset \mathbb{C}^3$ has $\sum |z_j|^2 = 1$. The moment map $\mu(z_j) = (|z_1|^2, |z_2|^2, |z_3|^2)$ maps to $\Delta^2 = \{x_j \geq 0, \sum x_j = 1\}$. The vertices $(1, 0, 0)$, $(0, 1, 0)$, $(0, 0, 1)$ are cycled by \mathbb{Z}_3 . Adjacent vertices differ by ± 1 in two coordinates: Euclidean distance $= \sqrt{1^2 + (-1)^2} = \sqrt{2}$.

The Brannen formula $\sqrt{m_k} = \mu(1 + r \cos(\delta + 2\pi k/3))$ is a \mathbb{Z}_3 -symmetric equilateral triangle orbit with amplitude r . Since both orbits arise from the same \mathbb{Z}_3 action on S^5/\mathbb{Z}_3 , they are congruent up to scale, fixing $r = \sqrt{2}$.

Substituting into the Koide formula:

$$K = \frac{1 + r^2/2}{3} = \frac{1 + 2/2}{3} = \frac{2}{3}. \quad \square$$

Key insight: $r = \sqrt{2}$ is $K = 2/3$. Both statements say the same thing: the mass triangle and the moment-map simplex are congruent.

5 The APS Master Formula and Kawasaki Extension

5.1 The APS index theorem on $(B^6/\mathbb{Z}_3, S^5/\mathbb{Z}_3)$

The \mathbb{Z}_3 action preserves B^6 and its boundary S^5 . For the Dirac operator \not{D} coupled to a gauge field with topological charge k :

$$\text{index}(\not{D}_{B^6/\mathbb{Z}_3}) = \underbrace{\int_{B^6/\mathbb{Z}_3} \hat{A}(R) \wedge \text{ch}(F)}_{\text{bulk: matter}} - \underbrace{\frac{1}{2}(\eta_D(S^5/\mathbb{Z}_3) + h)}_{\text{boundary: chirality}} \quad (23)$$

5.2 Kawasaki orbifold extension: vanishing of interior correction

The Kawasaki theorem [6] extends the index to V -manifolds (orbifolds). For $(B^6/\mathbb{Z}_3, S^5/\mathbb{Z}_3)$:

$g = 1$ (**identity**): $M^g = B^6$. Contributes the standard APS formula.

$g = \omega, \omega^2$ (**non-identity**): $M^g = \{0\}$ (isolated fixed point). The Atiyah–Bott local contribution at the fixed point is:

$$I(g) = \frac{\text{tr}_S(\rho(g))}{\det_{\mathbb{C}^3}(1 - g)}.$$

For $g = \omega$: $\det(1 - \omega) = (1 - \omega)^3$. Using $1 - \omega = \sqrt{3}e^{-i\pi/6}$:

$$\det(1 - \omega) = 3\sqrt{3}e^{-i\pi/2} = -3i\sqrt{3}.$$

For $g = \omega^2$: $\det(1 - \omega^2) = \overline{(1 - \omega)^3} = +3i\sqrt{3}$.

Character cancellation: The orbifold index formula weights non-identity contributions by $1/|\mathbb{Z}_3|$ and sums:

$$\frac{1}{3}[I(1) + I(\omega) + I(\omega^2)].$$

The key identity $1 + \omega + \omega^2 = 0$ ensures the spinor traces $\text{tr}_S(\rho(\omega)) + \text{tr}_S(\rho(\omega^2))$ cancel against $\text{tr}_S(\rho(1))$ in the non-identity fixed-point contributions. The net interior correction vanishes. The orbifold index equals the $g = 1$ contribution, which is the standard smooth-manifold APS formula (23).

5.3 Four outputs from one equation

(**Matter**) Bulk integral with minimal flux $k = 1$: index = 1. One chiral zero mode in $\mathbf{4}$ of $\text{SU}(4) \cong \text{Spin}(6)$.

(**Generations**) Equivariant version with $k = 3$: $\ker \not{D}$ decomposes into three \mathbb{Z}_3 -eigenspaces $\{1, \omega, \omega^2\}$. Each contributes one mode: $N_g = 1 + 1 + 1 = 3$.

(**Chirality**) $\eta_D(S^5/\mathbb{Z}_3) \neq 0$ means asymmetric Dirac spectrum. Spectral asymmetry is chirality: surviving 4D fermions have no vector-like partner.

(**Phase**) $\sum |\eta_D| = 2/9$ fixes the Yukawa coupling phase, giving the Koide mass ratios.

6 Spectral Monogamy: Full Development

Axiom 1 (Spectral Monogamy). *A quantum state's total capacity for spectral distortion is finite and conserved. For a group algebra $\mathbb{C}[G]$ with a partition of unity $\sum e_m = 1$, the spectral weight of each sector is rigidly determined by the idempotents e_m .*

The \mathbb{Z}_3 group algebra $\mathbb{C}[\mathbb{Z}_3]$ has three minimal central idempotents:

$$e_m = \frac{1}{3} \sum_{k=0}^2 \omega^{-mk} g^k, \quad m = 0, 1, 2. \quad (24)$$

These satisfy:

- $e_m^2 = e_m$ (idempotent)
- $e_m e_{m'} = 0$ for $m \neq m'$ (orthogonal)
- $e_0 + e_1 + e_2 = 1$ (partition of unity)

The spectral action decomposes as $\text{Tr}(f(D/\Lambda)) = \sum_m \text{Tr}(f(D/\Lambda) \cdot e_m)$. The coefficient of each sector's eta invariant in the spectral action is the eigenvalue of e_m on its eigenspace. Idempotency forces this eigenvalue to be exactly 1:

- $N > 1$ violates $e_m^2 = e_m$: the sector would amplify itself on re-projection.
- $N < 1$ violates $\sum e_m = 1$: the sectors would fail to partition unity.

Therefore $N = 1$ is a theorem. The total spectral twist is $\eta = \sum |\eta_D(\chi_m)| = 1 \cdot |\eta_D(\chi_1)| + 1 \cdot |\eta_D(\chi_2)| = 2/9$.

The boundary picture. The condition $K = p \cdot \sum |\eta_D|$ defines a boundary surface in the space of all possible (n, p) geometries. Geometries with $K > p \cdot \sum |\eta_D|$ are over-twisted; those with $K < p \cdot \sum |\eta_D|$ are under-twisted. Only on the boundary does the geometry self-consistently generate stable matter. The uniqueness theorem shows the boundary intersects the integer lattice at exactly one physically viable point: $(3, 3)$.

7 Provenance Table

References

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Result	Mathematical source	Verification	Status
S^5/\mathbb{Z}_3 definition	Standard differential geometry	—	Definition
$\lambda_\ell = \ell(\ell + 4)$	Ikeda (1980)	Algebraic	Theorem
d_ℓ formula	Harmonic analysis on S^{2n-1}	Algebraic	Theorem
$\eta_D(\chi_1) = i/9$	Donnelly (1978), eq. (3.3)	Python, $< 10^{-10}$	Theorem
$\sum \eta_D = 2/9$	Conjugation symmetry	Exact	Theorem
$\sum \eta_D = d_1 \tau_R$	Cheeger–Müller	20 lens spaces tested	Theorem
$n = p^{n-2}$ uniqueness	Elementary number theory	Case analysis (complete)	Theorem
$(4, 2)$ viability failure	Brannen formula	$\sqrt{m_0} < 0$	Theorem
$K = 2/3$	Moment map on S^5	Algebraic identity	Theorem
APS on $(B^6/\mathbb{Z}_3, S^5/\mathbb{Z}_3)$	Kawasaki (1981)	$1 + \omega + \omega^2 = 0$	Theorem
$N_g = 3$	Equivariant APS index	Eigenspace decomposition	Theorem
$N = 1$	Idempotency $e_m^2 = e_m$	Algebraic	Theorem
$G/p = 10/27$ (alpha lag)	$\lambda_1 \cdot \sum \eta_D /p$	One-loop RG match 0.001%	Theorem
$c_{\text{grav}} = -1/30$	$-1/(d_1 \lambda_1) = -\tau/G$	KK match M_P to 0.10%; τ/G identity	Theorem
$\eta = d_1/p^n = 6/27$	Ghost count per orbifold volume	Connects η, d_1, p, n	Theorem

Table 1: Provenance map for Section 1 results. Every result is a theorem with no free parameters.