## CS 753 : Automatic Speech Recognition Assignment 1 Problem 1

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## 1 Part A

We are given that the probability of sending bit 0 is p and sending bit 1 is 1 - p. It is also mentioned that the bits are independent and indentically distributed.

Also it is given that the channel is noisy and therefore a bit can be flipped with a probability of  $\delta$ , and therefore remains unchanged with probability  $1 - \delta$ .

We need to find the probability that the  $k^{th}$  symbol received is 0.

Since the bits are independent the probability of  $k^{th}$  symbol is not dependent upon the other symbols. Also it can be assumed that the channel doesn't have a time delaying effect and therefore it affects only the considered bit with a probability given by  $\delta$ .

The  $k^{th}$  symbol can be received as 0 in two ways:

- The sent bit was 0 and no flipping occurred. The probability of this happening is  $P1 = p(1 \delta)$ .
- The sent bit was 1 and flipping occurred. The probability of this is  $P2 = (1 p)\delta$ .

Since the two events are mutually exclusive, therefore the probabilities simply add up. Therefore

$$Pr(\mathbf{k}^{\text{th}} \text{symbol received} = 0) = p(1 - \delta) + (1 - p)\delta$$
 (1)

## 2 Part B

A repetition code is used such that 0 is encoded as 000 and 1 as 111 and the decoder outputs a majority bit on the receiving 3-bit string.

We need to find the probability of correctly decoding 0.

It is easy to see that 0 will be correctly decoded when at most 1 bit is flipped. This can happen in one of the following ways:

- None of the bits get flipped.  $Pr(Nobitflipped) = (1 \delta)^3$
- Exactly one of the bits gets flipped.  $Pr(\text{Exactlyonebitflipped}) = 3\delta(1-\delta)^2$

Again the above are mutually exclusive, the probabilities add up. Therefore

$$Pr(0\text{beingcorrectlydecoded}) = (1 - \delta)^3 + 3\delta(1 - \delta)^2$$
 (2)

## 3 Part C

Now it is said that each bit is transmitted unencoded. But the decoder is allowed to output  $\{0,1,\perp\}$ .

If the decoder output is incorrect then the penalty points are 2 and if it outputs it to be  $\perp$  then it gets penalty 1.

The aim is to find the best decoding strategy which can minimize the expected penalty. We are given  $\delta = \frac{1}{8}$  and want the best strategy for  $p \in [0, 1]$ .

We first do the problem more generally and then impose the conditions given to us. We take that on output of  $\bot$  the penalty is 1 and the output on incorrect bit the penalty is  $X_1$ . Also we don't put the value of  $\delta$  till the last step.

The decoder either sees 0 or 1 and chooses on of the three options  $\{0, 1, \bot\}$ . We assign probabilities to each of the six cases.

	0	1	1
0	$\rho_1$	$\rho_2$	$\rho_3$
1	$\rho_4$	$\rho_5$	$\rho_6$

Let the expected penalty be denoted by  $\mathbb{E}[Pen]$ . Clearly there are four ways in which penalty can arise:

 Sender sends 0, bit is not flipped (stays 0). Penalty arises when detector declares it either 1 or ⊥.

$$\mathbb{E}[Pen|case_1] = X_1\rho_2 + \rho_3$$
$$Pr(case_1) = p(1 - \delta)$$

 Sender sends 0, bit is flipped (becomes 1). Penalty arises when detector declares it either 1 or ⊥.

$$\mathbb{E}[Pen|case_2] = X_1\rho_5 + \rho_6$$
$$Pr(case_2) = p\delta$$

 Sender sends 1, bit is flipped (becomes 0). Penalty arises when detector declares it either 0 or ⊥.

$$\mathbb{E}[Pen|case_3] = X_1\rho_1 + \rho_3$$
$$Pr(case_3) = (1-p)\delta$$

• Sender sends 1 bit is not flipped (stays 1). Penalty arises when detector declares it either 0 or  $\perp$ .

$$\mathbb{E}[Pen|case_4] = X_1\rho_4 + \rho_6$$
$$Pr(case_4) = (1-p)(1-\delta)$$

Also

$$\mathbb{E}[Pen] = \sum_{i} \mathbb{E}[Pen|case_{i}]Pr(case_{i})$$

$$\mathbb{E}[Pen] = \rho_1(X_1\delta(1-p)) + \rho_2(X_1p(1-\delta)) + \rho_3(p(1-\delta)+\delta(1-p)) + \rho_4(X_1(1-p)(1-\delta)) + \rho_5(X_1p\delta) + \rho_6(p\delta+(1-p)(1-\delta))$$

$$\tag{3}$$

Now we want to minimize the objective function in 3. The parameters are  $\{\rho_1, \rho_2, \rho_3, \rho_4, \rho_5, \rho_6\}$ . Moreover the parameters are constrained in the following ways:

$$\rho_1 + \rho_2 + \rho_3 = 1 
\rho_4 + \rho_5 + \rho_6 = 1$$
(4)

$$\rho_1 \ge 0, \quad \rho_2 \ge 0, \quad \rho_3 \ge 0$$

$$\rho_4 \ge 0, \quad \rho_5 \ge 0, \quad \rho_6 \ge 0$$
(5)

We note that the inequality of  $\leq 1$  is implied when the two constraints 4 and 5 are met. It is quite easy to see that the above can be posed as a linear programming problem with 4 and 5 being the constraints Ax = b and  $x \geq 0$ . Therefore we can be guarenteed the solution to the above minimization problem lies in on the corners of the vector space  $\{\rho_i\}_{i=1}^6$ .

Also from the simplex algorithm it can be directly seen that the solutions will be of the form such that one of  $\rho_i|_{i=1}^3$  will be 1 and other two 0, and the same for the  $\rho_i|_{i=4}^6$ . Since our objective is to minimize we can simply choose the  $\rho_i$  whose coefficient is minimum. Therefore calculating

the coefficients at once gives us the decoding strategy at once. Also since the probabilities come out to be 0 or 1, the decoding strategy turns out to be deterministic.

Now we simply get the coefficient values for  $X_1 = 2$  and  $\delta = \frac{1}{8}$ .

Table 1:  $\rho_i, coeff_i$ 

	$\rho_1$	$\rho_2$	$\rho_3$	$ ho_4$	$\rho_5$	$\rho_6$
$coeff_i$	$\frac{1-p}{4}$	$\frac{7p}{4}$	$\frac{6p+1}{8}$	$\frac{7(1-p)}{4}$	$\frac{p}{4}$	$\frac{7-6p}{8}$

For  $\rho_1, \rho_2, \rho_3$  we note that when

- When  $p \in [0, \frac{1}{8}]$ ,  $coeff_2 \le coeff_1$  and  $coeff_2 \le coeff_3$ . Thus we choose  $\rho_2 = 1$  and  $\rho_1 = \rho_3 = 0$ .
- When  $p \in [\frac{1}{8}, 1]$ ,  $coef f_1 \leq coef f_2$  and  $coef f_1 \leq coef f_3$ . Thus we choose  $\rho_1 = 1$  and  $\rho_2 = \rho_3 = 0$ .

It is interesting to note that  $\rho_3$  is always 0.

For  $\rho_4$ ,  $\rho_5$ ,  $\rho_6$  we note that when

- When  $p \in [0, \frac{7}{8}]$ ,  $coef f_5 \le coef f_4$  and  $coef f_5 \le coef f_6$ . Thus we choose  $\rho_5 = 1$  and  $\rho_4 = \rho_6 = 0$ .
- When  $p \in [\frac{7}{8}, 1]$ ,  $coef f_4 \leq coef f_5$  and  $coef f_4 \leq coef f_6$ . Thus we choose  $\rho_4 = 1$  and  $\rho_5 = \rho_6 = 0$ .

Again  $\rho_6$  is always 0.

This means that the decoder never chooses the  $\perp$  symbol.

Further we can get the decoding strategy for each of  $p \in [0, 1]$ .

• For  $p \in [0, \frac{1}{8}]$ 

Table 2: 
$$p \in [0, \frac{1}{8}]$$

$\rho_1$	$\rho_2$	$\rho_3$	$\rho_4$	$\rho_5$	$\rho_6$
0	1	0	0	1	0

This means that when the decoder knows that  $p \in [0, \frac{1}{8}]$  then it chooses the symbol to be 1 irrespective of what it sees.

• For  $p \in [\frac{1}{8}, \frac{7}{8}]$ 

Table 3: 
$$p \in [\frac{1}{8}, \frac{7}{8}]$$

$\rho_1$	$\rho_2$	$\rho_3$	$\rho_4$	$ ho_5$	$\rho_6$
1	0	0	0	1	0

When decoder knows that  $p \in \left[\frac{1}{8}, \frac{7}{8}\right]$  then it chooses the same symbol as it is appearing.

• For  $p \in [\frac{7}{8}, 1]$ 

Table 4: 
$$p \in \left[\frac{7}{8}, 1\right]$$

$\rho_1$	$\rho_2$	$\rho_3$	$\rho_4$	$ ho_5$	$\rho_6$
1	0	0	1	0	0

When decoder knows that  $p \in \left[\frac{7}{8}, 1\right]$  then it chooses the symbol 0 irrespective of what it sees.