MA1201 - Assignment 2 Solutions

Debayan Sarkar

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1. Prove that the collection of all finite subsets of \mathbb{N} is countable.

Solution : Let N_n denote the set of all subsets of \mathbb{N} with n elements. Then consider the function $f: N_n \to \mathbb{N}$ defined as,

$$f(S) = \sum_{n \in S} 10^n \ \forall S \in N_n$$

f can be shown to be injective. Since f is an injection from N_k to \mathbb{N} which is a countable set, N_k is also countable. The set of all finite subsets of \mathbb{N} ,

$$X := \bigcup_{n \in \mathbb{N}} N_n$$

is the countable union of countable sets, and hence is countable.

Note: The set X must not be confused with $\mathcal{P}(\mathbb{N})$. Even though both of them may seem similar as n grows larger and larger, it's easy to see that $\mathbb{N} \in \mathcal{P}(\mathbb{N})$ but $\mathbb{N} \notin X$. Hence, $X \neq \mathcal{P}(\mathbb{N})$

2. Prove that $\mathbb{N} \times \mathbb{N}$ is countable.

Solution : Let us define a function $f: \mathbb{N} \times \mathbb{N} \to \mathbb{N}$ as

$$f((x,y)) = 2^x 3^y \ \forall (x,y) \in \mathbb{N} \times \mathbb{N}$$

Let $(x_1, y_1), (x_2, y_2) \in \mathbb{N} \times \mathbb{N}$ such that, $f((x_1, y_1)) = f((x_2, y_2))$. Then,

$$f((x_1, y_1)) = f((x_2, y_2)) \Rightarrow 2^{x_1} 3^{y_1} = 2^{x_2} 3^{y_2}$$

$$\Rightarrow 2^{x_1 - x_2} = 3^{y_2 - y_1}$$

$$\Rightarrow x_1 = x_2 & y_1 = y_2$$
(Since 2 and 3 are prime numbers)

Hence, f is injective. Since, f is an injection from $\mathbb{N} \times \mathbb{N}$ to \mathbb{N} , and \mathbb{N} is countable, $\mathbb{N} \times \mathbb{N}$ is also countable.

3. Show that the set $F = \{p + q\sqrt{2} : p, q \in \mathbb{Q}\}$ is countable.

Solution : Let us define $f: \mathbb{Q} \times \mathbb{Q} \to F$ as,

$$f((x,y)) := x + y\sqrt{2} \ \forall (x,y) \in \mathbb{Q} \times \mathbb{Q}$$

Now we claim that f is a bijection. Let $(a_1, b_1), (a_2, b_2) \in \mathbb{Q} \times \mathbb{Q}$ such that $f((a_1, b_1)) = f((a_2, b_2))$. Then,

$$f((a_1, b_1)) = f((a_2, b_2)) \Rightarrow a_1 + b_1 \sqrt{2} = a_2 + b_2 \sqrt{2}$$

$$\Rightarrow (a_1 - a_2) = (b_2 - b_1) \sqrt{2}$$

$$\Rightarrow a_1 = a_2 \& b_1 = b_2$$

$$\Rightarrow (a_1, b_1) = (a_2, b_2)$$
(Q is closed under addition)

Hence, f is injective. Now, let $z \in F$ be arbitrary. Then, $z = p + q\sqrt{2}$ for some $p, q \in \mathbb{Q}$. Then, $f((p,q)) = p + q\sqrt{2} = z$. Hence f is onto. Thus, f is bijective. Hence, $\mathbb{Q} \times \mathbb{Q} \sim F$. Since $\mathbb{Q} \times \mathbb{Q}$ is countable, F is also countable.

4. Prove that the set of all polynomials of degree ≤ 3 with integer coefficients is countable.

Solution : Let S be the set of all polynomials of degree ≤ 3 with integer coefficients. Let us define $f: \mathbb{Z} \times \mathbb{Z} \times \mathbb{Z} \times \mathbb{Z} \to S$ as,

$$f((a, b, c, d)) := P(x) \ \forall (a, b, c, d) \in \mathbb{Z} \times \mathbb{Z} \times \mathbb{Z} \times \mathbb{Z}$$

Where $P(x) = ax^3 + bx^2 + cx + d \ \forall x \in \mathbb{R}$. We claim that f is a bijection. Let $(a_1, b_1, c_1, d_1), (a_2, b_2, c_2, d_2) \in \mathbb{Z} \times \mathbb{Z} \times \mathbb{Z} \times \mathbb{Z}$ such that $f((a_1, b_1, c_1, d_1)) = f((a_2, b_2, c_2, d_2)) \Rightarrow a_1x^3 + b_1x^2 + c_1x + d_1 = a_2x^3 + b_2x^2 + c_2x + d_2 \ \forall x \in \mathbb{R}$. Since the polynomials are equal for all values of x, the coefficients must be equal i.e. $(a_1, b_1, c_1, d_1) = (a_2, b_2, c_2, d_2)$. Hence, $f((a_1, b_1, c_1, d_1)) = f((a_2, b_2, c_2, d_2)) \Rightarrow (a_1, b_1, c_1, d_1) = (a_2, b_2, c_2, d_2)$ thus, f is injective. Now, let $P(x) \in S$ defined as $P(x) = ax^3 + bx^2 + cx + d \forall x \in \mathbb{R}$ be arbitrary. Then let $z = (a, b, c, d) \in \mathbb{Z} \times \mathbb{Z} \times \mathbb{Z} \times \mathbb{Z}$. Then, clearly, f(z) = P(x). Thus, f is surjective. Hence, f is bijective. Thus, $\mathbb{Z} \times \mathbb{Z} \times \mathbb{Z} \times \mathbb{Z} \times \mathbb{Z} \times \mathbb{Z}$ is countable, S is also countable. \Box

5. Prove that the sets $(0, \infty)$ and \mathbb{R} are equipotent.

Solution : Let us define $f:(0,\infty)\to\mathbb{R}$ as,

$$f(x) := \ln(x) \ \forall x \in (0, \infty)$$

Now, we claim that f is a bijection.

Let $x_1, x_2 \in (0, \infty)$ such that $f(x_1) = f(x_2)$.

$$f(x_1) = f(x_2) \Rightarrow \ln(x_1) = \ln(x_2)$$
$$\Rightarrow e^{\ln(x_1)} = e^{\ln(x_2)}$$
$$\Rightarrow x_1 = x_2$$

Hence, f is injective. Let $y \in \mathbb{R}$ be arbitrary. Then let us define $x := e^y \in (0, \infty)$. Then, $\ln(x) = \ln(e^y) = y$. Hence, f is surjective. Thus, f is a bijection. This proves our claim. Since f is a bijection, the sets $(0, \infty)$ and \mathbb{R} are equipotent.

- 6. Prove that if A and B are countable then $A \times B$ is countable. In general for every $n \in \mathbb{N}$ if A_1, A_2, \ldots, A_n are countable then $A_1 \times A_2 \times \cdots \times A_n$ is countable.
- 7. Prove or disprove that $A_1 \times A_2 \times \ldots$ is countable, where A_i is a countable set.

Hint: This set will be uncountable (if each A_i has at least 2 elements). Use Cantor's diagonal argument. (See Problem 13)

- 8. Prove or disprove that countable union of countable sets in countable.
- 9. Prove that the set $A = \{a \in \mathbb{R} : \exists a^4 + pa^3 + qa^2 + ra + s = 0\}$ is countable.

Solution : We know that \mathbb{Z}^4 is countable. Let $\mathbb{Z}^4 = \{z_1, z_2, \dots\}$. Then, for some $n \in \mathbb{N}$ let us construct A_n as,

$$A_n = \{a \in \mathbb{R} : pa^3 + qa^2 + ra + s = 0, (p, q, r, s) = z_n \in \mathbb{Z}^4\}$$

Since, A_n can have at most 4 elements, A_n is finite and hence countable for all $n \in \mathbb{N}$. Also,

$$A = \bigcup_{n \in \mathbb{N}} A_n$$

Hence, A is also countable since the countable union of countable sets is countable.

10. Let A be a finite set. Prove that the set of all sequences of elements in A of finite length is countable.

Solution: Each finite sequence of elements in A of length $n \in \mathbb{N}$ can be written as an element of A^n . Since A is countable, A^n is also countable $\forall n \in \mathbb{N}$ Hence, the set of all sequences of elements in A of finite length,

$$X:=\bigcup_{n\in\mathbb{N}}A^n$$

is the countable union of countable sets and hence, is countable.

11. Prove that if X is countable and $f: X \to Y$ is a surjective function then Y is countable.

Solution: Look at the solution of 23(c).

12. Let A be an infinite set. If there is an infinite sequence in which each element of A appears at least once, then show that A is countable.

Solution : Since there is an infinite sequence in which each element of A appears at least once, $\exists f : \mathbb{N} \to A$ such that f is surjective. Since \mathbb{N} is countable, A must also be countable.

13. Prove that the set of all functions from \mathbb{N} to \mathbb{N} is uncountable.

Solution : Let S be the set of all functions from \mathbb{N} to \mathbb{N} . We claim that S is uncountable. Let us assume to the contrary that, S is countable. Then, the set S can be written as

$$S = \{f_1, f_2, \dots\}$$

Now, let us construct $f: \mathbb{N} \to \mathbb{N}$ as,

$$f(n) = \begin{cases} 1 & \text{if } f_n(n) \neq 1\\ 2 & \text{if } f_n(n) = 1 \end{cases}$$

Then, $f(n) \neq f_n(n) \ \forall n \in \mathbb{N}$, i.e. $f \neq f_n \ \forall n \in \mathbb{N}$ Hence, $f \notin S$. This is a contradiction since, S is the set of all functions from \mathbb{N} to \mathbb{N} . Hence, the set S is uncountable.

Note: This approach of constructing an element by making all the diagonal elements unequal to prove the uncountability of a set is known as Cantor's diagonal argument. This approach will be used multiple times throughout the solutions.

14. Prove that the set of all decreasing functions from \mathbb{N} to \mathbb{N} is countable.

Solution: Let the set of all decreasing functions from \mathbb{N} to \mathbb{N} be A and, let $f \in A$ be arbitrary. As a consequence of well-ordering principle, $\exists m, n \in \mathbb{N}$ such that $\forall k \in \mathbb{N}$ with $k \geq n$, f(k) = m i.e. the function becomes a constant function after n. We can uniquely represent this function as an ordered tuple $(f(1), f(2), f(3), \ldots f(n)) \in \mathbb{N}^n$. Let A_n be the collection of all decreasing functions that become constant after some $n \in \mathbb{N}$. Then, $A_n \subseteq \mathbb{N}^n \Rightarrow A_n$ is countable. $\forall n \in \mathbb{N}$

Then, the collection of all decreasing functions from \mathbb{N} to \mathbb{N}

$$A = \bigcup_{n \in \mathbb{N}} A_n$$

is the countable of union of countable sets and hence countable.

15. Let X and Y be two nonempty finite sets. Then, is the set of all functions from X to Y (i) finite (ii) countably infinite (iii) uncountable? Give justifications

Solution: Let's assume that the set X has m elements and the set Y has n elements. Then, For each element in X, there are n possible elements it can map to. Hence, the total number of functions will be n^m . Hence, the set of all functions from X to Y is finite.

16. Prove that a set is infinite iff it is bijective with a proper subset of itself.

Solution: Let's assume S is an infinite set. Let $A \subset S$ be countable. We know such a subset exists because, every infinite set has a countable subset. Then, the set A can be written as $A = \{a_1, a_2, \dots\}$. Let us split thus sets into two sets A_1 and A_2 , as $A_1 = \{a_1, a_3, \dots\}$ and $A_2 = \{a_2, a_4, \dots\}$. Now, let us consider the function $f: S \to S \setminus A_1$ defined as,

$$f(x) = \begin{cases} x & \text{if } x \in S \setminus A \\ a_{2n} & \text{if } x = a_n \in A \end{cases}$$

f can be shown to be a bijection and hence, $S \sim S \setminus A_1 \subset S$

Now, let us assume that S is equipotent to a proper subset of itself. We shall show that S is ininite. Let's assume to the contrary that, S is finite. Then, Let S have $n \in \mathbb{N}$ elements. Then any proper subset of S has $m \in \mathbb{N}$ elements with m < n. Then, it is impossible to find a bijection between them since, for a bijection to exist between two finite sets, the number of elements in the sets must be equal. But, this is a contradiction. Hence, S must be inifinte.

17. Prove that if $A \cap B = \phi$ then $\mathcal{P}(A \cup B) \sim \mathcal{P}(A) \times \mathcal{P}(B)$

Solution : Consider the function $f: \mathcal{P}(A \cup B) \to \mathcal{P}(A) \times \mathcal{P}(B)$ defined as,

$$f(S) = (\{x : x \in S \cap A\}, \{y : y \in S \cap B\}) \ \forall S \in \mathcal{P}(A \cup B)$$

Let $S_1, S_2 \in \mathcal{P}(A \cup B)$ such that $S_1 \neq S_2$. WLOG we can assume that, $\exists x \in S_1$ such that $x \notin S_2$

Case 1 $x \in A$

 $x \in A \Rightarrow x \in S_1 \cap A \text{ and } x \notin S_2 \cap A \text{ Hence}, \{a : a \in S_1 \cap A\} \neq \{b : b \in S_2 \cap A\} \Rightarrow f(S_1) \neq f(S_2)$

Case 2 $x \in B$

 $x \in B \Rightarrow x \in S_1 \cap B \text{ and } x \notin S_2 \cap B \text{ Hence}, \{a : a \in S_1 \cap B\} \neq \{b : b \in S_2 \cap B\} \Rightarrow f(S_1) \neq f(S_2)$

Hence, f is injective. Now, let $(S_A, S_B) \in \mathcal{P}(A) \times \mathcal{P}(B)$ be arbitrary. Then, $S_A \subseteq A$ and $S_B \subseteq B$. Let $S = S_A \cup S_B$. Then, $S \cap A = S_A \cup S_B \cap A = S_A \cup \phi = S_A$ (since $A \cap B = \phi$). Similarly $S \cap B = S_B$. Then,

$$f(S) = (\{x : x \in S \cap A\}, \{y : y \in S \cap B\})$$

= $(\{x : x \in S_A\}, \{y : y \in S_B\})$
= (S_A, S_B)

Hence, f is onto. Thus f is a bijection and thus, $\mathcal{P}(A \cup B) \sim \mathcal{P}(A) \times \mathcal{P}(B)$

18. Is the set of all infinite sequences of 0's and 1's finite, countably infinite or uncountable? Give justification.

Solution : Let S be the set of all infinite sequences of 0's and 1's. We claim that S is uncountable. Let us assume to the contrary that, S is countable. Then, the set S can be written as,

$$S = \{a_1, a_2, a_3, \dots\}$$

Also, i^{th} element in S can be indexed as well like this,

$$a_i = a_{i1}a_{i2}a_{i3}\dots \text{ where } a_{ij} \in \{0,1\} \ \forall i,j \in \mathbb{N}$$

Now, let us construct a_0 as .

$$a_{0n} = \begin{cases} 1 & \text{if } a_{nn} = 0 \\ 0 & \text{if } a_{nn} \neq 0 \end{cases} \forall n \in \mathbb{N}$$

Clearly $a_0 \neq a_n \ \forall n \in \mathbb{N}$. Hence, $a_0 \notin S$. But this is a contradiction since S is the set of all infinite sequences of 0's and 1's. Hence, our assumption is wrong and the set S is uncountable.

19. Give an example of two sets A and B such that $B \subset A$ and B is bijective with A but $B \neq A$.

Solution : Let $A := \mathbb{N}$ and $B := \{2n : n \in \mathbb{N}\}$. Then, clearly $B \subset A$ and $B \neq A$ but the function $f : A \to B$ defined as $f(a) = 2a \ \forall a \in A$ is bijective i.e. $A \sim B$.

20. Prove that if there exists an injective function from (0,1) to a set A then the set A is uncountable.

Solution: Let $f:(0,1)\to A$ be injective. Since, (0,1) is uncountable, A is uncountable.

Now we shall prove that (0,1) is uncountable. Let us assume to the contrary that (0,1) is countable. Let X = (0,1). Then, the set X can be written as, $X = \{x_1, x_2, \dots\}$. Also, since $x_i \in (0,1)$, each x_i can be written as,

$$x_i = 0.x_{i1}x_{i2}\dots$$

where x_{ij} refers to the j^{th} digit after the decimal point in the decimal expansion of x_i . Now, let us construct x_0 as,

$$x_{0n} = \begin{cases} 1 & \text{if } x_{nn} \neq 1 \\ 2 & \text{if } x_{nn} = 1 \end{cases} \forall n \in \mathbb{N}$$

Then, $\forall n \in \mathbb{N}$ we have, $x_{0n} \neq x_{nn} \Rightarrow x_0 \in x_n \Rightarrow x \notin B$. This is a contradiction. Hence, B cannot be countable. Hence the set (0,1) is uncountable.

- 21. Suppose that $A \subseteq B$ then prove that
 - (a) B is finite $\implies A$ is finite.

Solution: We shall prove this using induction on the number of elements in B. When the number of elements in B is 1, The two subsets are ϕ and B which are trivially finite. This is our base case. Now, let's assume any subset of a set containing n elements is finite. Let the set B have n+1 elements. Then, let $A \subseteq B$. If A = B, then A is trivially finite since B is finite. If $A \neq B$, then $\exists x \in B$ such that $x \notin A$. Then, $A \subseteq B \setminus \{x\}$. The set $B \setminus \{x\}$ has n elements and hence, A is again countable. Thus the statement is true for n+1 whenever it's true for n. By the principle of mathematical induction, the statement holds true $\forall n \in \mathbb{N}$.

- (b) A is infinite \implies B is infinite.
- (c) B is countable $\implies A$ is countable.

Hint: First show that any subset of \mathbb{N} is countable. Then, use that fact to prove the given problem by finding a bijection between a subset of \mathbb{N} and A.

- (d) A is uncountable $\implies B$ is uncountable.
- 22. Suppose $f: A \to B$ is injective then prove that
 - (a) B is finite $\implies A$ is finite.
 - (b) A is infinite $\implies B$ is infinite.
 - (c) B is countable $\implies A$ is countable.
 - (d) A is uncountable $\implies B$ is uncountable.

Hint: Since f is injective, observe the function $h:A\to f(A)$ defined as h(x)=f(x) $\forall x\in A$ is a bijection. Hence, $A\sim f(A)\subseteq B$. Now, use the results from Problem 21 on f(A) and B.

- 23. Suppose $f:A\to B$ is surjective then prove that
 - (a) A is finite $\implies B$ is finite.
 - (b) B is infinite $\implies A$ is infinite.
 - (c) A is countable $\implies B$ is countable.
 - (d) B is uncountable $\implies A$ is uncountable.

Hint: Consider the function $F: B \to A$ defined as,

$$F(y) = \min\{x : f(x) = y\}$$

F can be shown to be a injection, since f is a function. Then, use the results in Problem 22.

24. Show that the sets [0,1] and (0,1) are equipotent.

Solution: Let us define a function $f:[0,1] \to (0,1)$ as,

$$f(x) = \begin{cases} \frac{1}{2} & \text{if } x = 0\\ \frac{1}{n+2} & \text{if } \exists n \in \mathbb{N} \text{ such that } x = \frac{1}{n}\\ x & \text{otherwise} \end{cases}$$

f can be shown to a bijection (see the proof in the alternate solution for hints). Hence, $[0,1] \sim (0,1)$

Alternate Solution : Let us define $f:(0,1)\to(0,1],\ g:[0,1)\to[0,1]$ and $h:(0,1]\to[0,1)$ as,

$$f(x) = \begin{cases} \frac{1}{n-1} & \text{,if } \exists n \in \mathbb{N} \text{ such that } x = \frac{1}{n} \\ x & \text{,if } x \neq \frac{1}{n} \forall n \in \mathbb{N} \end{cases}$$

$$g(x) = \begin{cases} \frac{1}{n-1} & \text{,if } \exists n \in \mathbb{N} \text{ such that } x = \frac{1}{n} \\ x & \text{,if } x \neq \frac{1}{n} \forall n \in \mathbb{N} \end{cases}$$

$$h(x) = 1 - x \ \forall x \in (0, 1]$$

Let $x, y \in (0, 1)$ such that $x \neq y$.

Case 1 $x = \frac{1}{m}$ and $y = \frac{1}{n}$ for some $m, n \in \mathbb{N}$

$$x \neq y \Rightarrow \frac{1}{m} \neq \frac{1}{n}$$

$$\Rightarrow m \neq n$$

$$\Rightarrow m - 1 \neq n - 1$$

$$\Rightarrow \frac{1}{m - 1} \neq \frac{1}{n - 1}$$

$$\Rightarrow f(x) \neq f(y)$$

Case 2 $x \neq \frac{1}{m}, y \neq \frac{1}{n} \ \forall m, n \in \mathbb{N}$

$$f(x) = x \& f(y) = y$$
 and since, $x \neq y$ we have $f(x) \neq f(y)$

Case 3 $x = \frac{1}{m}$ for some $m \in \mathbb{N}$ and $y \neq \frac{1}{n} \ \forall n \in \mathbb{N}$

$$f(x) = \frac{1}{n-1} \& f(y) = y \neq \frac{1}{n-1} \Rightarrow f(x) \neq f(y)$$

Hence f is one-one. Now, let $z \in (0,1]$ be arbitrary

Case 1 $z = \frac{1}{n}$ for some $n \in \mathbb{N}$

Let us define $x := \frac{1}{n+1}$ then $f(x) = \frac{1}{n} = z$

Case 2 $z \neq \frac{1}{n} \ \forall n \in \mathbb{N}$

Let us set x = z. Then we have f(x) = x = z

Hence f is surjective. Thus, f is a bijection.

Similarly, g can be shown to be a bijection. Also, h is clearly a bijection And thus, the function F: $(0,1) \rightarrow [0,1]$ defined as,

$$F(x) = q \circ h \circ f(x) \ \forall x \in (0,1)$$

is a bijection. Hence, $(0,1) \sim [0,1]$

Alternate Solution : The set [0,1] can be written as $(0,1) \cup \{0,1\}$. Since (0,1) is infinite and $\{0,1\}$ is finite and countable, $(0,1) \sim (0,1) \cup \{0,1\} \Rightarrow (0,1) \sim [0,1]$.

25. Show that the sets [0,1] and $\mathcal{P}(\mathbb{N})$ are equipotent.

Solution : Consider the function $f: \mathcal{P}(\mathbb{N}) \to [0,1]$ deifned as,

$$f(S) = \sum_{n \in S} 10^{-n} \ \forall S \in \mathcal{P}(\mathbb{N})$$

It can be shown that f is injective. Consider the function $g:[0,1]\to\mathcal{P}(\mathbb{N})$ defined as,

$$g(x) = \{ \lfloor 10^n (x)_2 \rfloor : n \in \mathbb{N} \}$$

where $(x)_2$ denotes the binary expansion of the number x but with a leading 1 at the start. For example, the binary representation of 0.5 is 0.01111... but $(0.5)_2 = 1.011...$ This has been done to preserve the information about leading 0's right after the decimal. For numbers with non-unique decimal expansions (i.e. the numbers of the form $\frac{a}{2^k}$ where $a \in \mathbb{Z}$ and $k \in \mathbb{N}$ for example, 0.5 has two binary expansions 0.10000... and 0.0111...), we choose the representation that ends in infinte 1's. Since the binary expansion of the numbers is unique, g is also an injection.

Since both $f: \mathcal{P}(\mathbb{N}) \to [0,1]$ and $g: [0,1] \to \mathcal{P}(\mathbb{N})$ are injective, by Schröder-Bernstein theorem, it is possible to find a bijection from $[0,1] \to \mathcal{P}(\mathbb{N})$. Hence, $[0,1] \sim \mathcal{P}(\mathbb{N})$

26. Are the sets $\mathcal{P}(\mathbb{R})$ and $\mathcal{P}(\mathbb{N})$ equipotent? Give justification.

Solution : We know from questions 24 and 25, $\mathcal{P}(\mathbb{N}) \sim [0,1] \sim (0,1)$. Also consider the function $f:(0,1)\to\mathbb{R}$ defined as $f(x)=\tan(\sin^{-1}(2x-1))$. It can be shown to be a bijection. Hence, $(0,1)\sim\mathbb{R}$. Hence, $\mathcal{P}(\mathbb{N})\sim\mathbb{R}$. Now, by Cantor's theorem we know that $P(\mathbb{R})\not\sim\mathbb{R}$. Hence, $\mathcal{P}(\mathbb{N})\not\sim\mathcal{P}(\mathbb{R})$