# MATH 4108: Abstract Algebra II

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# Jan. 8 — Rings and Fields

#### 1.1 Lots of Definitions

Recall the definitions of a ring and a field:

**Definition 1.1** (Ring). A ring  $R = (R, +, \cdot)$  is a non-empty set R together with two binary operations + and  $\cdot$ , called addition and multiplication respectively, which satisfy:

- (R1) Associative law for addition: (a+b)+c=a+(b+c) for all  $a,b,c\in R$ .
- (R2) Commutative law for addition: a + b = b + a for all  $a, b \in R$ .
- (R3) Existence of zero: There exists  $0 \in R$  such that a + 0 = a for all  $a \in R$ .
- (R4) Existence of additive inverses: For all  $a \in R$ , there exists  $-a \in R$  such that a + (-a) = 0.1
- (R5) Associative law for multiplication: (ab)c = a(bc) for all  $a, b, c \in R$ .
- (R6) Distributive laws: a(b+c) = ab + ac and (a+b)c = ac + bc for all  $a, b, c \in R$ .

**Definition 1.2** (Commutative ring). In this class, we will mostly be interested in *commutative rings*, which satisfy the following additional property for multiplication:

(R7) Commutative law for multiplication: ab = ba for all  $a, b \in R$ .

**Definition 1.3** (Ring with unity). A ring with unity satisfies the additional property that

(R8) Existence of unity: There exists  $1 \neq 0 \in R$  such that and a1 = 1a = a for  $a \in R$ .

Note that a ring need not be commutative to have a unity.

**Definition 1.4** (Domain). A commutative ring with unity is called a *(integral) domain* if it has the following cancellation property:

- (R9) Cancellation: For all  $a, b \in R$  and  $c \neq 0$ , ca = cb implies a = b.
- (R9') No zero divisors: For all  $a, b \in R$ , ab = 0 implies a = 0 or b = 0.

The conditions (R9) and (R9') are equivalent.

**Definition 1.5** (Field). A commutative ring with unity is called a *field* if it has the following additional property for multiplicative inverses:

(R10) Existence of multiplicative inverses: For all  $a \neq 0 \in R$ , there exists  $a^{-1} \in R$  such that  $aa^{-1} = 1$ .

Note that we'll usually write a - b in place of a + (-b).

**Example 1.5.1.** Some examples of rings are  $\mathbb{Z}/2\mathbb{Z}$ , which also happens to be a field. The ring  $\mathbb{Z}$  is a domain. The set  $M_{2\times 2}(\mathbb{R})$  is a non-commutative ring with unity, and has zero divisors. The ring  $\mathbb{Q}$  is a field. The real polynomials in a single variable  $\mathbb{R}[x]$  form a ring, which is a domain but not a field. The complex numbers  $\mathbb{C}$  and the real numbers  $\mathbb{R}$  both form a field. The even integers  $2\mathbb{Z}$  form a commutative ring without unity. In general,  $\mathbb{Z}/n\mathbb{Z}$  is a commutative ring with unity, and is a field if and only if n is prime (and has zero divisors otherwise, if n is composite).

**Remark.** If  $(R, +, \cdot)$  is a ring, then (R, +) is an abelian group. If  $(K, +, \cdot)$  is a field, then  $(K^*, \cdot)$  is an abelian group, where  $K^* = K \setminus \{0\}$ .

**Definition 1.6** (Group of units). Let R be a commutative ring with unity. The group of units of R is

$$U = \{u \in R \mid \text{there exists } v \in R \text{ such that } uv = 1\}.$$

**Exercise 1.1.** Show that U is in fact a group under multiplication.

**Definition 1.7** (Associate). If  $a, b \in R$  such that a = ub for some  $u \in U$ , then a and b are called associates, denoted by  $a \sim b$ .

**Exercise 1.2.** Show that  $\sim$  is in fact an equivalence relation.

**Example 1.7.1.** The group of units of  $\mathbb{Z}$  is  $\{1, -1\}$ . The group of units of a field K is  $K^* = K \setminus \{0\}$ .

**Exercise 1.3.** Let  $R = \{a + b\sqrt{2} \mid a, b \in \mathbb{Z}\}$ . Check the following:

- 1. R is a commutative ring with unity.
- 2. The group of units of R is  $\{a+b\sqrt{2} \mid a,b\in\mathbb{Z}, |a^2-2b^2|=1\}$ .

**Definition 1.8** (Divisor). Let D be an integral domain,  $a \in D \setminus \{0\}$ ,  $b \in D$ . Then a divides b, or a is a divisor or factor of b, denoted by a|b, if there exists  $z \in D$  such that az = b. We write  $a \nmid b$  if a does not divide b. We say that a is a proper divisor or that a properly divides b if z is not a unit.

**Remark.** Equivalent, a is a proper divisor of b if and only if a|b and  $b\nmid a$ .

**Definition 1.9** (Subring). A subring U of a ring R is a non-empty subset of R with the property that for all  $a, b \in R$ ,  $a, b \in U$  implies  $a + b \in U$  and  $ab \in U$ , and  $a \in U$  implies  $-a \in U$ .

**Remark.** Equivalently, U is a subring of R if and only if  $a, b \in U$  implies  $a - b \in U$  and  $ab \in U$ .

**Remark.** We automatically have  $0 \in U$  since we can pick any  $a \in U$ , and then  $0 = a - a \in U$ .

**Definition 1.10** (Subfield). A *subfield* of a field K is a subset E containing at least two elements such that  $a, b \in E$  implies  $a - b \in E$  and  $a \in E, b \in E \setminus \{0\}$  implies  $ab^{-1} \in E$ . If E is a subfield and  $E \neq K$ , then we say E is a *proper* subfield.

**Remark.** As before, we can replace the last condition with the equivalent statement that  $a, b \in E$  implies  $ab \in E$  and  $a \in E \setminus \{0\}$  implies  $a^{-1} \in E$ .

**Definition 1.11** (Ideal). An *ideal* of R is a non-empty subset I of R with the properties that  $a, b \in I$  implies  $a - b \in I$  and  $a \in I, r \in R$  implies  $ra \in I$ .

**Remark.** All ideals are subrings, but the converse is not true in general.

**Example 1.11.1.** The integers  $\mathbb{Z}$  form a subring of  $\mathbb{R}$  but not an ideal.

<sup>&</sup>lt;sup>2</sup>In fact,  $\mathbb{Q}$  is somehow the smallest field containing  $\mathbb{Z}$ .

**Remark.** We trivially have that  $\{0\}$  and R are both ideals of R. An ideal I is called *proper* if  $\{0\} \subseteq I \subseteq R$ .

**Theorem 1.1.** Let  $A = \{a_1, \ldots, a_n\}$  be a finite subset of a commutative ring R. Then the set

$$Ra_1 + \dots + Ra_n = \{x_1a_1 + \dots + x_na_n \mid x_i \in R\}$$

is the smallest ideal of R containing A.

*Proof.* See Howie. Check this is indeed an ideal and is contained in any other ideal containing A.  $\square$ 

**Definition 1.12** (Ideals generated by elements of a ring). The set  $Ra_1 + \cdots + Ra_n$  is the *ideal generated* by  $a_1, \ldots, a_n$ , denoted by  $\langle a_1, \ldots, a_n \rangle$ . If the ideal is generated by a single element  $a \in R$ , then we say that  $Ra = \langle a \rangle$  is a *principal ideal*.

**Example 1.12.1.** In  $\mathbb{Z}$ , the ideal  $\langle 2 \rangle = 2\mathbb{Z}$  are the even numbers. We have  $\langle 2, 3 \rangle = \mathbb{Z}$ , but  $\langle 6, 8 \rangle = \langle 2 \rangle$ .

**Theorem 1.2.** Let D be an integral domain with group of units U and let  $a, b \in D \setminus \{0\}$ . Then

- 1.  $\langle a \rangle \subseteq \langle b \rangle$  if and only if b|a,
- 2.  $\langle a \rangle = \langle b \rangle$  if and only if  $a \sim b$ ,
- 3.  $\langle a \rangle = D$  if and only if  $a \in U$ .

*Proof.* See Howie.  $\Box$ 

**Definition 1.13** (Homomorphism of rings). A homomorphism from a ring R to a ring S is a mapping  $\varphi: R \to S$  such that  $\varphi(a +_R b) = \varphi(a) +_S \varphi(b)$  and  $\varphi(ab) = \varphi(a)\varphi(b)$  for all  $a, b \in R$ .

**Example 1.13.1.** The zero mapping  $\varphi(a) = 0$  is always a homomorphism. The inclusion map  $\iota : 2\mathbb{Z} \to \mathbb{Z}$  or  $\iota : \mathbb{Z} \to \mathbb{Q}$  is a homomorphism.

**Theorem 1.3.** Let R, S be rings and  $\varphi: R \to S$  a homomorphism. Then

- 1.  $\varphi(0_R) = 0_S$ ,
- 2.  $\varphi(-r) = -\varphi(r)$  for all  $r \in R$ ,
- 3. the image  $\varphi(R)$  is a subring of S.

*Proof.* See Howie.  $\Box$ 

**Definition 1.14** (Monomorphism). Let  $\varphi : R \to S$  be a homomorphism. If  $\varphi$  is injective, we say that  $\varphi$  is a *monomorphism* or an *embedding*.

**Example 1.14.1.** The inclusion map  $\varphi : \mathbb{Z} \to \mathbb{R}$  given by  $\varphi(n) = n$  is an embedding.

# Jan. 10 — Field of Fractions, Polynomials

## 2.1 Isomorphisms

**Definition 2.1** (Isomorphism). If a homomorphism  $\varphi : R \to S$  is both one-to-one and onto, then  $\varphi$  is an *isomorphism* and we say R and S are *isomorphic*, denoted  $R \cong S$ .

**Definition 2.2** (Automorphism). An isomorphism  $\varphi: R \to R$  is called an *automorphism*.

**Example 2.2.1.** For any ring R, the identity map  $\varphi: R \to R$  with  $\varphi = \mathrm{id}$  is an automorphism.

**Exercise 2.1.** The complex conjugation  $\varphi : \mathbb{C} \to \mathbb{C}$  with  $\varphi(z) = \overline{z}$  is an automorphism.

**Definition 2.3** (Kernel). Let  $\varphi: R \to S$  be a homomorphism. The kernel of  $\varphi$  is

$$\ker \varphi = \phi^{-1}(0_S) = \{ a \in R : \varphi(a) = 0_S \}.$$

**Exercise 2.2.** For any homomorphism  $\varphi$ , ker  $\varphi$  is an ideal.

**Definition 2.4** (Residue class). Let I be an ideal of a ring R and  $a \in R$ . The set

$$a+I=\{a+x\mid x\in I\}$$

is the  $residue\ class$  of a modulo I.

**Exercise 2.3.** The set R/I of residue classes modulo I forms a ring with respect to the operations

$$(a+I) + (b+I) = (a+b) + I$$
 and  $(a+I)(b+I) = ab + I$ .

**Exercise 2.4.** The map  $\theta_I : R \to R/I$  with  $\theta_I(a) = a + I$  is a surjective homomorphism onto R/I with kernel I. This map  $\theta_I$  is called the *natural homomorphism* from R to R/I.

**Example 2.4.1.** Consider  $\mathbb{Z}$  and  $I = \langle n \rangle = n\mathbb{Z}$ . Then  $\theta_I : \mathbb{Z} \to \mathbb{Z}/n\mathbb{Z}$  with  $\theta_I(a) = a + \langle n \rangle$  is the natural homomorphism. There are n residue classes, which are

$$\langle n \rangle$$
,  $1 + \langle n \rangle$ , ...,  $(n-1) + \langle n \rangle$ .

**Theorem 2.1.** Let  $n \in \mathbb{Z}_{>0}$ . Then  $\mathbb{Z}/n\mathbb{Z}$  is a field if and only if n is prime.

*Proof.* See Howie. 
$$\Box$$

**Remark.** If n = 0, then  $\mathbb{Z}/0\mathbb{Z} \cong \mathbb{Z}$ .

**Theorem 2.2.** Let  $\varphi: R \to S$  be a surjective homomorphism with kernel K. Then there is an isomorphism  $\alpha: R/K \to S$  such that the following diagram commutes (i.e.  $\varphi = \alpha \circ \theta_K$ ):

$$R \xrightarrow{\varphi} S$$

$$\theta_K \downarrow \qquad \alpha \qquad \qquad S$$

$$R/K$$

*Proof.* See Howie. But the general idea is to define  $\alpha : R/K \to S$  by  $\alpha(a+K) = \varphi(a)$ . Then need to check that  $\alpha$  is well-defined and an isomorphism.

#### 2.2 Field of Fractions

The motivating question is: How do we get from  $\mathbb{Z}$  to  $\mathbb{Q}$ ? Recall that

$$\mathbb{Q} = \{ a/b \mid a, b \in \mathbb{Z}, b \neq 0 \},\$$

where a/c = b/d if ad = bc. We add and multiply fractions by

$$\frac{a}{b} + \frac{c}{d} = \frac{ad + bc}{bd}$$
 and  $\frac{a}{b} \cdot \frac{c}{d} = \frac{ac}{bd}$ .

How do we do this more generally (construct a field out of an arbitrary integral domain)?

**Definition 2.5** (Field of fractions of a domain). Let D be an integral domain and

$$P = D \times (D \setminus \{0\}) = \{(a, b) \mid a, b \in D, b \neq 0.\}$$

Define an equivalence relation  $\equiv$  on P by  $(a,b) \equiv (a',b')$  if ab'=a'b. Then the field of fractions of D is

$$Q(D) = P/\equiv.$$

We denote the equivalence class [a, b] by a/b, i.e. a/b = c/d if ad = bc. We define addition and multiplication on Q(D) by

$$\frac{a}{b} + \frac{c}{d} = \frac{ad + bc}{bd}$$
 and  $\frac{a}{b} \cdot \frac{c}{d} = \frac{ac}{bd}$ .

Exercise 2.5. Do the following:

- 1. Check that  $\equiv$  is an equivalence relation.
- 2. Check that these operations are well-defined.
- 3. Check that Q(D) is a commutative ring with unity.
  - The zero element is 0/b for  $b \neq 0$ .
  - The unity element is a/a for  $a \neq 0$ .
  - The negative of a/b is (-a)/b or equivalently a/(-b).
  - The multiplicative inverse of a/b is b/a for  $a, b \neq 0$ .
- 4. Complete the previous exercise and check that Q(D) is a field.

**Exercise 2.6.** The map  $\varphi: D \to Q(D)$  defined by  $\varphi(a) = a/1$  is a monomorphism. In particular, the field of fractions Q(D) contains D as a subring and Q(D) is the smallest field containing D, in the sense that if K is a field with the property that there exists a monomorphism  $\theta: D \to K$ , then there exists a monomorphism  $\psi: Q(D) \to K$  such that the following diagram commutes:

$$D \xrightarrow{\theta} K$$

$$\varphi \downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$Q(D)$$

#### 2.3 The Characteristic of a Field

Note that for  $a \in R$ , we might write a + a as 2a and  $a + a + \cdots + a$  (n times) as na. Furthermore,  $0a = 0_R$  and (-n)a = n(-a) for  $n \in \mathbb{Z}_{>0}$ . Thus na has meaning for all  $n \in \mathbb{Z}$ .

**Exercise 2.7.** For  $a, b \in R$  and  $m, n \in \mathbb{Z}$ , we have (ma)(nb) = (mn)(ab).

**Definition 2.6** (Characteristic of a ring). For an arbitrary ring R, there are two possibilities:

- 1.  $m1_R$  for  $m \in \mathbb{Z}$  are all distinct. In this case, we say that R has characteristic 0.
- 2. There exists  $m, n \in \mathbb{N}$  such that  $m1_R = (m+n)1_R$ . In this case, we say that R has *characteristic* n, where n is the least positive n for which this property holds.

We denote the characteristic of R by char R. If char R = n, then  $na = 0_R$  for all  $a \in R$  since

$$na = (n1_R)a = 0a = 0.$$

**Example 2.6.1.** We have char  $\mathbb{Z}/n\mathbb{Z} = n$ .

**Theorem 2.3.** The characteristic of a field is either 0 or a prime.

*Proof.* Let K be a field and suppose char  $K = n \neq 0$  and n is not prime. Then we can write n = rs where 1 < r, s < n. The minimal property of n implies that  $r1_K \neq 0$  and  $s1_K \neq 0$ . But then

$$r1_K \cdot s1_K = rs1_K = n1_K = 0,$$

which is impossible since K is a field and thus has no zero divisors.

**Remark.** Note the following:

1. If K is a field with char K = 0, then K has a subring isomorphic to  $\mathbb{Z}$ , i.e. elements of the form  $n1_K$  for  $n \in \mathbb{Z}$ , and K has a subfield isomorphic to  $\mathbb{Q}$ , i.e.

$$P(K) = \{ m1_K / n1_K \mid m, n \in \mathbb{Z}, n \neq 0 \}.$$

This is the prime subfield of K, and any subfield of K must contain P(K).

2. If K is a field with char K = p, then the prime subfield of K is

$$P(K) = \{1_K, 2 \cdot 1_K, \dots, (p-1) \cdot 1_K\},\$$

which is isomorphic to  $\mathbb{Z}/p\mathbb{Z}$ .

<sup>&</sup>lt;sup>1</sup>This is saying that any abelian group is naturally a module over the integers  $\mathbb{Z}$ .

**Remark.** In other words, every field of characteristic 0 is an *extension* of  $\mathbb{Q}$  (contains  $\mathbb{Q}$  as a subfield), and every field of characteristic p is an *extension* of  $\mathbb{Z}/p\mathbb{Z}$  (contains  $\mathbb{Z}/p\mathbb{Z}$  as a subfield).

**Remark.** If char K = 0, then writing  $a/n1_K$  as a/n is fine. But if char K = p, then a/n does not make sense when p|n (since  $p \cdot 1_K = 0$ ).

**Theorem 2.4.** If K is a field with char K = p, then for all  $x, y \in K$ ,  $(x + y)^p = x^p + y^p$ .

*Proof.* See Howie. Uses the binomial theorem.

## 2.4 Polynomials

Let R be a ring, then we have the polynomial ring over R

$$R[X] = \{a_0 + a_1X + \dots + a_nX^n \mid a_i \in R, n \in \mathbb{N}\}.$$

If  $f \in R[X]$ , then it has degree n if the last nonzero element in the sequence  $\{a_0, a_1, \dots\}$  is  $a_n$ , denoted  $\partial f = n$ . By convention, the zero polynomial has degree  $-\infty$ . The coefficient  $a_n$  is called the *leading coefficient*, and if  $a_n = 1$ , then f is *monic*. Addition and multiplication work as expected:

$$(a_0 + a_1X + \dots + a_mX^m) + (b_0 + b_1X + \dots + b_nX^n) = (a_0 + b_0) + (a_1 + b_1)X + \dots$$

and

$$(a_0 + a_1X + \dots + a_mX^m)(b_0 + b_1X + \dots + b_nX^n) = c_0 + c_1X + \dots$$

where

$$c_k = \sum_{i+j=k}^k a_i b_j.$$

The ground ring R sits inside of the polynomial ring R[X]. Take the monomorphism  $\theta: R \to R[X]$  by  $\theta(a) = a$ , i.e. an element a maps to the constant polynomial a.

**Theorem 2.5.** Let D be an integral domain. Then

- 1. D[X] is an integral domain.
- 2. If  $p, q \in D[X]$ , then  $\partial(p+q) \leq \max(\partial p, \partial q)$ .
- 3. If  $p, q \in D[X]$ , then  $\partial(pq) = \partial p + \partial q$ .
- 4. The group of units of D[X] coincides with the group of units of D.

*Proof.* Statements (2) and (3) are left as exercises.

- (1) We need to show that D[X] has no zero divisors. For this, suppose that p, q are nonzero polynomials with leading coefficients  $a_m$  and  $b_n$  respectively. Then the leading coefficient of pq is  $a_m b_n$ , which is nonzero since D is an integral domain and thus has no zero divisors. So pq is nonzero.
- (4) Let  $p, q \in D[X]$  and suppose pq = 1. Since  $\partial(pq) = \partial(1) = 0$ , we must have  $\partial p = \partial q = 0$ . Thus  $p, q \in D$  and pq = 1 if and only if p and q are in the group of units of D.

Since D[X] is a domain, we can consider polynomials in the variable Y with coefficients in D[X]:

$$D[X,Y] = (D[X])[Y].$$

We can repeat this to get polynomials in n variables:  $D[X_1, X_2, \dots, X_n]$ , which is an integral domain.

# Jan. 17 — Irreducible Polynomials

## 3.1 Principal Ideal Domains and Irreducibile Polynomials

**Definition 3.1.** The field of fractions of D[X] consists of rational forms

$$\frac{a_0 + a_1 X + \dots + a_m X^m}{b_0 + b_1 X + \dots + b_n X^n}$$

where  $b_0 + b_1 X + \cdots + b_n X^n \neq 0$ , denoted by D(X).

**Definition 3.2.** A domain D is a principal ideal domain (PID) if all of its ideals are principal.<sup>1</sup>

**Example 3.2.1.** The integers  $\mathbb{Z}$  is a PID, since every ideal is of the form  $\langle n \rangle$ .

**Definition 3.3.** A non-zero, non-unit element p in a domain D is *irreducible* if it has no proper factors.

**Definition 3.4.** A domain D is a unique factorization domain (UFD) if every non-unit  $a \neq 0$  in D has an essentially unique<sup>2</sup> factorization into irreducible elements.

**Example 3.4.1.** Again  $\mathbb{Z}$  is a UFD, e.g.  $12 = 2 \cdot 2 \cdot 3 = (-2) \cdot 2 \cdot (-3)$ .

**Theorem 3.1.** Every PID is a UFD.

*Proof.* See Howie. 
$$\Box$$

**Theorem 3.2.** If K is a field, then K[X] is a PID.

*Proof.* See Howie. 
$$\Box$$

**Theorem 3.3.** Let p be an element in a PID D. Then the following are equivalent:

- 1. p is irreducible.
- 2.  $\langle p \rangle$  is maximal.
- 3.  $D/\langle p \rangle$  is a field.

In particular if  $f \in K[X]$ , then  $K[X]/\langle f \rangle$  is a field if and only if f is irreducible.

*Proof.* See Howie. 
$$\Box$$

<sup>&</sup>lt;sup>1</sup>Recall that a principal ideal is one generated by a single element.

<sup>&</sup>lt;sup>2</sup>As in, unique up to use of associates or adding in units.

**Definition 3.5.** Let D be a domain and  $\alpha \in D$ . Let  $\sigma_{\alpha} : D[X] \to D$  defined by

$$\sigma_{\alpha}(a_0 + a_1X + \dots + a_nX^n) = a_0 + a_1\alpha + \dots + a_n\alpha^n.$$

Note that we often write  $\sigma_{\alpha}(f)$  as  $f(\alpha)$ . If  $f(\alpha) = 0$ , we say  $\alpha$  is a root of f, or a zero.

**Exercise 3.1.** Check that  $\sigma_{\alpha}$  is a homomorphism.

**Theorem 3.4.** Let K be a field,  $\beta \in K$  and f a non-zero polynomial in K[X]. Then  $\beta$  is a root of f if and only if  $X - \beta | f$ .

*Proof.* See Howie.  $\Box$ 

**Example 3.5.1.** We have  $X^2 + 1$  in  $\mathbb{R}[X]$  is irreducible, so  $\mathbb{R}[X]/\langle X^2 + 1 \rangle$  is a field. In fact this field is isomorphic to the complex numbers  $\mathbb{C}$ .

Exercise 3.2. Do the following:

1. Show that  $\varphi : \mathbb{R}[X] \to \mathbb{C}$  given by

$$\varphi(a_0 + a_1X + \dots + a_nX^n) = a_0 + a_1i + \dots + a_ni^n$$

is a surjective homomorphism.<sup>3</sup>

2. Show that  $\ker \varphi = \langle X^2 + 1 \rangle$ .

So by the first isomorphism theorem we can conclude that  $\mathbb{R}[X]/\langle X^2+1\rangle=\mathbb{R}/\ker\varphi\cong\varphi(\mathbb{R}[X])=\mathbb{C}.$ 

**Theorem 3.5.** Let K be a field and  $g \in K[X]$  an irreducible polynomial. Then  $K[X]/\langle g \rangle$  is a field containing K up to isomorphism.

*Proof.* Since g is irreducible,  $K[X]/\langle g \rangle$  is a field. Now define  $\varphi: K \to K[X]/\langle g \rangle$  by

$$\varphi(a) = a + \langle g \rangle.$$

(Left as an exercise to check that  $\varphi$  is a homomorphism.) We need to show that  $\varphi$  is injective. For this, take  $a, b \in K$ . If  $a + \langle g \rangle = b + \langle g \rangle$ , then  $a - b \in \langle g \rangle$ . But K is a field, so this happens precisely when a = b. Thus  $\varphi$  embeds K into  $K[X]/\langle g \rangle$ , as desired.

## 3.2 Irreducible Polynomials over $\mathbb{C}$ , $\mathbb{R}$ , $\mathbb{Q}$ , and $\mathbb{Z}$

Our goal now is to study irreducible polynomials. Note that linear polynomials are irreducible, and recall that every polynomial in  $\mathbb{C}$  factorizes, essentially uniquely, into linear factors. Furthermore, complex roots of real polynomials come in conjugate pairs, hence

$$g = a_0 + a_1 X + \dots + a_n X^n \in \mathbb{R}[X]$$

factors as

$$g = a_n(X - \beta_1) \dots (X - \beta_r)(X - \gamma_1)(X - \overline{\gamma}_1) \dots (X - \gamma_3)(X - \overline{\gamma}_s)$$

<sup>&</sup>lt;sup>3</sup>Note that there's some technicality about this  $\varphi$  not being a  $\sigma_{\alpha}$  since we defined  $\sigma_{\alpha}$  for  $\alpha$  in the base domain, and i is kind of somewhere else.

in  $\mathbb{C}[X]$ , where  $\beta_1, \ldots, \beta_r \in \mathbb{R}$  and  $\gamma_1, \ldots, \gamma_s \in \mathbb{C} \setminus \mathbb{R}$  and r+2s=n. Thus over  $\mathbb{R}[X]$ , g factors as

$$g = a_n(X - \beta_1) \dots (X - \beta_r)(X^2 - (\gamma_1 + \overline{\gamma}_1)X + \gamma_1\overline{\gamma}_1) \dots (X^2 - (\gamma_s + \overline{\gamma}_s)X + \gamma_s\overline{\gamma}_s)$$

in  $\mathbb{R}[X]$ , where the quadratic factors are irreducible in  $\mathbb{R}[X]$ .

**Exercise 3.3.** A quadratic  $aX^2 + bX + c \in \mathbb{R}[X]$  is irreducible if and only if its discriminant  $b^2 - 4ac < 0$ .

Now we have pretty much characterized irreducible polynomials in  $\mathbb{R}[X]$ . But what about  $\mathbb{Q}[X]$ ?

**Theorem 3.6.** Let  $g = a_0 + a_1 X + a_2 X^2 \in \mathbb{Q}[X]$ . Then

- 1. If g is irreducible over  $\mathbb{R}$ , then it is irreducible over  $\mathbb{Q}$ .
- 2. If  $g = a_2(X \beta_1)(X \beta)$  with  $\beta_1, \beta_2 \in \mathbb{R}$ , then g is irreducible in  $\mathbb{Q}[X]$  if and only if  $\beta_1$  and  $\beta_2$  are irrational.

*Proof.* (1) We show the contrapositive. If g factors as

$$g = a_2(X - q_1)(X - q_2) \in \mathbb{Q}[X],$$

then g also factors in  $\mathbb{R}[X]$ .

(2) If  $\beta_1$  and  $\beta_2$  are rational, then g factors in  $\mathbb{Q}[X]$  and is thus not irreducible. For the other direction, if  $\beta_1$  and  $\beta_2$  are irrational, then  $g = a_2(X - \beta_1)(X - \beta_2)$  is the only factorization in  $\mathbb{R}[X]$  since  $\mathbb{R}[X]$  is a UFD, so there is no factorization in  $\mathbb{Q}[X]$  into linear factors.

**Example 3.5.2.** Are the following polynomials irreducible in  $\mathbb{R}[X]$ ? In  $\mathbb{Q}[X]$ ?

- 1.  $X^2 + X + 1$  is irreducible over  $\mathbb{R}$  and  $\mathbb{O}$  since  $b^2 4ac = -3$ .
- 2.  $X^2 X 1$  has roots  $(-1 \pm \sqrt{5})/2$ , so it factors over  $\mathbb R$  but is irreducible over  $\mathbb Q$ .
- 3.  $X^2 + X 2$  factors as (X + 2)(X 1) over  $\mathbb{R}$  and  $\mathbb{Q}$ .

Now that we have studied irreducible polynomials in  $\mathbb{R}[X]$  and  $\mathbb{Q}[X]$ , can a polynomial in  $\mathbb{Z}[X]$  be irreducible over  $\mathbb{Z}$  but not  $\mathbb{Q}$ ? The answer is no!

**Theorem 3.7** (Gauss's lemma). Let f be a polynomial in  $\mathbb{Z}[X]$ , irreducible over  $\mathbb{Z}$ . Then f is irreducible over  $\mathbb{Q}$ .

*Proof.* For sake of contradiction, suppose f = gh with  $g, h \in \mathbb{Q}[X]$  and  $\partial g, \partial h < \partial f$ . Then there exists  $n \in \mathbb{Z}_{>0}$  such that nf = g'h' where  $g', h' \in \mathbb{Z}[X]$ . Let n be the smallest positive integer with this property. Let

$$g' = a_0 + a_1 X + \dots + a_k X^k$$
  
 $h' = b_0 + b_1 X + \dots + b_l X^l$ .

If n = 1, then g' = g and h' = h, a contradiction. Now  $n \ge 1$ , so let p be a prime factor of n.<sup>4</sup> Without loss of generality, assume p divides g', i.e. g' = pg'' where  $g'' \in \mathbb{Z}[X]$ . Then

$$\frac{n}{p}f = g''h',$$

contradicting the minimality of n. Hence f cannot be factored over  $\mathbb{Q}$ .

<sup>&</sup>lt;sup>4</sup>Lemma: Either p divides all the coefficients of g' or p divides all the coefficients of h'. Proof left as an exercise.

**Example 3.5.3.** Show that  $g = X^3 + 2X^2 + 4X - 6$  is irreducible over  $\mathbb{Q}$ .

*Proof.* If g factors over  $\mathbb{Q}$ , it factors over  $\mathbb{Z}$  and at least one factor must be linear, i.e.

$$g = X^3 = 2X^2 + 4X - 6 = (X - a)(X^2 + bX + c)$$

where  $a, b, c \in \mathbb{Z}$ . We must have ac = 6, so  $a \in \{\pm 1, \pm 2, \pm 3, \pm 6\}$  and g(a) = 0. We can check this:

Hence g is irreducible over  $\mathbb{Z}$  and thus also irreducible over  $\mathbb{Q}$ .

We could do this trick since the degree was 3, forcing a linear factor. What about degrees higher than 3?

**Theorem 3.8** (Eisenstein's criterion). Let  $f = a_0 + a_1X + \cdots + a_nX^n \in \mathbb{Z}[X]$ . Suppose there exists a prime p such that

- 1.  $p \nmid a_n$ ,
- 2.  $p|a_i \text{ for } i = 0, \ldots, n-1,$
- 3.  $p^2 \nmid a_0$ .

Then f is irreducible over  $\mathbb{Q}$ .

*Proof.* By Gauss's lemma, it suffices to show that f is irreducible over  $\mathbb{Z}$ . Suppose for sake of contradiction that f = gh for

$$g = b_0 + b_1 X + \dots + b_r X^r$$
 and  $h = c_0 + c_1 X + \dots + c_s X^s$ ,

r, s < n, and r + s = n. Note that  $a_0 = b_0 c_0$ , so  $p|a_0$  from (2) implies that  $p|b_0$  or  $p|c_0$ . Since  $p^2 \nmid a_0$ , it cannot be both. Without loss of generality, assume  $p|b_0$  and  $p\nmid c_0$ . Now suppose inductively that p divides  $b_0, \ldots, b_{k-1}$  where  $1 \le k \le r$ . Then

$$a_k = b_0 c_k + b_1 c_{k-1} + \dots + b_{k-1} c_1 + b_k c_0$$

and since p divides  $a_k$ ,  $b_0c_k$ ,  $b_1c_{k-1}$ , ...,  $b_{k-1}c_1$ , it follows that  $p|b_kc_0$ . Since  $p\nmid c_0$  by assumption, we must have  $p|b_k$ . Thus  $p|b_r$  and since  $a_n = b_rc_s$ , we have  $p|a_n$ , contradicting (1). Hence is f is irreducible.  $\square$ 

Example 3.5.4. The polynomial

$$X^5 + 2X^3 + \frac{8}{7}X^2 - \frac{4}{7}X + \frac{2}{7}$$

is irreducible over  $\mathbb{Q}$ .

*Proof.* Multiply by 7 and take the integer polynomial  $7X^5 + 14X^3 + 8X^2 - 4X + 2$ . Taking p = 2 satisfies Eisenstein's criterion, so this polynomial is irreducible over  $\mathbb{Z}$  and thus also irreducible over  $\mathbb{Q}$ .

**Example 3.5.5.** If p > 2 is prime, then show that

$$f = 1 + X + X^2 + \dots + X^{p-1}$$

is irreducible over  $\mathbb{Q}$ .

*Proof.* First observe that

$$f = \frac{X^p - 1}{X - 1}.$$

Let g(X) = f(X+1). Then

$$g(X) = \frac{(X+1)^p - 1}{(X+1) - 1} = \frac{1}{X}((X+1)^p - 1) = \frac{1}{X}\sum_{i=0}^p \binom{p}{i}X^{p-i} - 1$$
$$= \frac{1}{X}\sum_{i=0}^{p-1} \binom{p}{i}X^{p-i} = \sum_{i=0}^{p-1} \binom{p}{i}X^{p-i-1}.$$

Note that  $\binom{p}{1}, \binom{p}{2}, \ldots \binom{p}{p-1}$  are all divisible by p, so g is irreducible by Eisenstein's criterion. Now if f factors as f = uv, then g(X) = u(X+1)v(X+1), which is a contradiction since g is irreducible.  $\square$ 

# Jan. 22 — Field Extensions

## 4.1 More on Irreducibility

The following excerpt is from Howie:

Another device for determining irreducibility over  $\mathbb{Z}$  (and consequently over  $\mathbb{Q}$ ) is to map the polynomial onto  $\mathbb{Z}_p[X]$  for some suitably chosen prime p. Let  $g = a_0 + a_1 X + \cdots + a_n X^n \in \mathbb{Z}[X]$ , and let p be a prime not dividing  $a_n$ . For each i in  $\{0, 1, \ldots, n\}$ , let  $\overline{a}_i$  denote the residue class  $a_i + \langle p \rangle$  in the field  $\mathbb{Z}_p = \mathbb{Z}/\langle p \rangle$ , and write the polynomial  $\overline{a}_0 + \overline{a}_1 X + \cdots + \overline{a}_n X^n$  as  $\overline{g}$ . Our choice of p ensures that  $\partial \overline{g} = n$ . Suppose that g = uv, with  $\partial u, \partial v < \partial f$  and  $\partial u + \partial v = \partial g$ . Then  $\overline{g} = \overline{u} \overline{v}$ . If we can show that  $\overline{g}$  is irreducible in  $\mathbb{Z}_p[X]$ , then we have a contradiction, and we deduce that g is irreducible. The advantage of transferring the problem from  $\mathbb{Z}[X]$  to  $\mathbb{Z}_p[X]$  is that  $\mathbb{Z}_p$  is finite, and the verification of irreducibility is a matter of checking a finite number of cases.

#### Example 4.0.1. Show that

$$q = 7X^4 + 10X^3 - 2X^2 + 4X - 5$$

is irreducible over  $\mathbb{Q}$ .

*Proof.* Let p = 3 and

$$\overline{g} = X^4 + X^3 + X^2 + 1$$

This has no linear factors since

$$\bar{g}(0) = 1, \quad \bar{g}(1) = 2, \quad \bar{g}(-1) = 1.$$

So suppose

$$\overline{g} = X^4 + X^3 + X^2 + X + 1 = (X^2 + aX + b)(X^2 + cX + d)$$

in  $\mathbb{Z}_3[x]$ . Then for some  $a, b, c, d \in \mathbb{Z}_3 = \{-1, 0, 1\}$ , we have

$$\begin{cases} X^3 & a+c=1\\ X^2 & b+ac+d=1\\ X & ad+bc=1\\ 1 & bd=1 \end{cases}$$

The first case is if b = d = 1, but this implies ac = -1, so  $a = \pm 1$  and  $c = \mp 1$ . But a + c = 1, so this cannot happen. The second case is if b = d = -1. This implies that ac = 0 and a + c = 1. So if a = 0, then c = 1, so 1 = ad + bc = b, which is a contradiction with b = -1. If c = 0, then 1 = ad + bc = d,

which is a contradiction with d = -1. Thus  $\overline{g}$  is irreducible in  $\mathbb{Z}_3[x]$ , so g is irreducible in  $\mathbb{Z}[x]$ , and by Gauss's lemma, g is irreducible in  $\mathbb{Q}[x]$ .

**Remark.** If we had tried p=2, then we have  $\overline{g}=x^4+1\in\mathbb{Z}_2[x]$ , which is not in fact irreducible since

$$\overline{g} = x^4 + 1 = (x+1)^4 \in \mathbb{Z}_2[x].$$

#### 4.2 Field Extensions

**Definition 4.1.** Let K, L be fields and  $\varphi : K \to L$  an injective homomorphism. Then L is a *field extension* of K, denoted L : K.

**Example 4.1.1.** We have  $\mathbb{C} : \mathbb{R}$  is a field extension.

**Definition 4.2.** Recall that V is a K-vector space if

- 1. V is an abelian group under +,
- 2. For  $a, b \in K$  and  $x, y \in V$ , we have

(i). 
$$a(x+y) = ax + ay$$
, (ii).  $(a+b)x = ax + bx$ , (iii).  $(ab)x = a(bx)$ , (iv).  $1x = 1$ .

**Remark.** If L: K is a field extension, then L is a a vector space over K.

**Definition 4.3.** A basis for a vector space is a linearly independent spanning set.

**Example 4.3.1.** The complex numbers  $\mathbb{C}$  is a  $\mathbb{R}$ -vector space with basis  $\{1, i\}$ . Bases are not unique, since  $\{1 + i, 1 - i\}$  is another basis for  $\mathbb{C}$ .

**Example 4.3.2.** If there is a vector space that we know to be a field, then it is automatically a field extension of its ground field.

**Definition 4.4.** The dimension of L is the cardinality of a basis for L: K.<sup>1</sup> The dimension is also called the degree of L: K, denoted [L: K]. We say that L is a finite extension if [L: K] is finite, and an infinite extension otherwise.

**Example 4.4.1.** We have  $[\mathbb{C}:\mathbb{R}]=2$ , which is finite. On the other hand,  $\mathbb{R}:\mathbb{Q}$  is an infinite extension.

**Theorem 4.1.** Let L: K be a field extension. Then L = K if and only if [L: K] = 1.

*Proof.* ( $\Rightarrow$ ) If L = K, then  $\{1\}$  is a basis for L : K, and thus [L : K] = 1.

( $\Leftarrow$ ) If [L:K]=1, then  $\{x\}$  is a basis for L:K for some  $x\in L$ . Then there exists some  $a\in K$  such that 1=ax, so  $x=a^{-1}\in K$ . For every  $y\in L$ , there exists  $b\in K$  such that y=bx. But then

$$y = bx = b(a^{-1}) \in K,$$

so  $y \in K$  as well by closure. Thus L = K as desired.

**Remark.** Let L: K and M: L be field extensions with

$$K \xrightarrow{\alpha} L \xrightarrow{\beta} M$$

<sup>&</sup>lt;sup>1</sup>Note that this is well-defined since any two bases of L have the same length.

Then M: K is also a field extension.

**Theorem 4.2.** For field extensions L: K and M: L, we have [M:L][L:K] = [M:K].

*Proof.* Suppose  $\{a_1, a_2, \dots a_r\}$  is a linearly independent subset of M over L and  $\{b_1, b_2, \dots, b_s\}$  is a linearly independent subset of L over K. Now we claim that

$${a_ib_i \mid 1 \le i \le r, 1 \le j \le s}$$

is a linearly independent subset of M over K. To see this, suppose

$$\sum_{i=1}^{r} \sum_{j=1}^{s} \lambda_{ij} a_i b_i = 0$$

for some  $\lambda_{ij} \in K$ . We can rewrite this as

$$\sum_{i=1}^{r} \left( \sum_{j=1}^{s} \lambda_{ij} b_j \right) a_i = 0.$$

Since the  $a_i$  are linearly independent over L, it follows that

$$\sum_{j=1}^{s} \lambda_{ij} b_j = 0$$

for each i = 1, ..., r. Since the  $b_j$  are linearly independent over K, it follows that  $\lambda_{ij} = 0$  for each i, j, which proves the claim. Returning to the main proof, if [M:L] or [L:K] is infinite, then r or s can be made arbitrarily large, so

$$\{a_ib_j \mid 1 \le i \le r, 1 \le j \le s\}$$

can also be made arbitrarily large, and hence [M:K] is infinite. Now suppose  $[M:L] = r < \infty$  and  $[L:K] = s < \infty$ . Let  $\{a_1, a_2, \ldots, a_r\}$  be a basis for M:L and  $\{b_1, b_2, \ldots, b_s\}$  be a basis for L:K. We will show that

$$\{a_ib_j \mid 1 \le i \le r, 1 \le j \le s\}$$

is a basis for M:K. Since we already showed that  $\{a_ib_j\}$  is linearly independent, it only remains to show that they span M over K. For each  $z \in M$ , there exist  $\lambda_1, \ldots, \lambda_r \in L$  such that

$$z = \sum_{i=1}^{r} \lambda_i a_i.$$

Then for each  $\lambda_i \in L$ , there exist  $\mu_{i1}, \ldots, \mu_{is} \in K$  such that

$$\lambda_i = \sum_{j=1}^s \mu_{ij} b_j.$$

Combining this yields

$$z = \sum_{i=1}^{r} \sum_{j=1}^{s} \mu_{ij} a_i b_j$$

as desired, which finishes the proof.

**Example 4.4.2.** Consider  $\mathbb{Q}(\sqrt{2}) = \mathbb{Q}[\sqrt{2}] = \{a + b\sqrt{2} \mid a, b \in \mathbb{Q}\}.$ 

**Exercise 4.1.** Show that  $\mathbb{Q}[\sqrt{2}]$  is a field. (Hint:  $1/(a+b\sqrt{2})=(a-b\sqrt{2})/(a^2-2b^2)$ .)

**Definition 4.5.** Let K be a subfield of L and S a subset of L. The *subfield of* L *generated over* K *by* S, denoted K(S), is the intersection of all subfields of L containing  $K \cup S$ . If  $S = \{\alpha_1, \ldots, \alpha_n\}$  is finite, we write  $K(\alpha_1, \ldots, \alpha_n)$ .

**Theorem 4.3.** Let E be the elements in L that can be expressed as quotients of finite K-linear combinations of finite products of elements in S. Then K(S) = E.

*Proof.* To see that  $K(S) \subseteq E$ , simply check that E is a subfield of L containing  $K \cup S$ .

For  $E \subseteq K(S)$ , note that any subfield of L containing K and S must contain all finite products of elements in S, all linear combinations of such products, and all quotients of such linear combinations. This is precisely what is means to have  $E \subseteq K(S)$ .

**Definition 4.6.** A simple extension of K is  $K(\alpha)$ , i.e. S has a single element  $\alpha \notin K$ .

**Example 4.6.1.** The previous example  $\mathbb{Q}(\sqrt{2})$  is a simple extension.

**Theorem 4.4.** Let L be a field, K a subfield, and  $\alpha \in L$ . Then either

- 1.  $K(\alpha)$  is isomorphic to K(X), the field of rational forms with coefficients in K,
- 2. or there exists a unique monic polynomial  $m \in K[X]$  with the property that for all  $f \in K[X]$ ,
  - (a)  $f(\alpha) = 0$  if and only if m|f,
  - (b) the field  $K(\alpha)$  coincides with  $K[\alpha]$ , the ring of all polynomials in  $\alpha$  with coefficients in K,
  - (c) and  $[K[\alpha]:K] = \partial m$ .

*Proof.* Suppose there does not exist nonzero  $f \in K[X]$  such that  $f(\alpha) = 0$ . Then there exists a map  $\varphi : K(X) \to K(\alpha)$  with  $f/g \mapsto f(\alpha)/g(\alpha)$ , which is defined since  $g(\alpha) = 0$  only if g is the zero polynomial. Note that  $\varphi$  is a surjective homomorphism, which one can check as an exercise. Now we show that  $\varphi$  is also injective. To see this, suppose

$$\varphi(f/g) = \varphi(p/q),$$

which happens if and only if

$$f(\alpha)q(\alpha) - p(\alpha)g(\alpha) = 0.$$

in L. This happens if and only if fq - pg = 0 in K[X], which happens if and only if f/g = p/q in K(X). This completes the first case of the theorem.

Now suppose there exists nonzero  $g \in K[X]$  such that  $g(\alpha) = 0$ . Furthermore, suppose g is a polynomial of least degree with this property. Let a be the leading coefficient of g, and let m = g/a, so that m is monic and  $m(\alpha) = 0$  still. The reverse implication in (2a) is clear. For the forwards implication in (2a), note that by division with remainder for polynomials over a field, we can write

$$f = qm + r,$$

where  $\partial r < \partial m$ . By the minimality of  $\partial m$ , we must have r = 0, so m|f. For the uniqueness of m, suppose there exists m' with the same properties. Then  $m(\alpha) = m'(\alpha) = 0$ , so m|m' and m'|m, which

<sup>&</sup>lt;sup>2</sup>Also check that  $\varphi$  is well-defined.

implies that m=m' since m and m' are monic. For the irreducibility of m, suppose for the sake of contradiction that m=pq with  $\partial p, \partial q < \partial m$ . Then  $m(\alpha)=p(\alpha)q(\alpha)=0$ , so either  $p(\alpha)=0$  or  $q(\alpha)=0$ , which contradicts the minimality of  $\partial m$ .

Now we show (2b), which says that  $K(\alpha) = K[\alpha]$ . For this, consider  $p(\alpha)/q(\alpha) \in K(\alpha)$  for  $q(\alpha) \neq 0$ . Then  $m \nmid q$ , and since m is irreducible we have  $\gcd(m,q) = 1$ . Now by Theorem 2.15 of Howie (about gcd's in the Euclidean domain K[X]), there exist polynomials a, b such that aq + bm = 1. Setting  $X = \alpha$  yields  $a(\alpha)q(\alpha) = 1$ , so

$$\frac{p(\alpha)}{q(\alpha)} = p(\alpha)a(\alpha) \in K[\alpha].$$

Thus  $K(\alpha) \subseteq K[\alpha]$ . Since we already know that  $K[\alpha] \subseteq K(\alpha)$ , we conclude that  $K(\alpha) = K[\alpha]$ .

Finally we show (2c), which claims that  $[K[\alpha]:K]=\partial m$ . For this, suppose  $\partial m=n$  and let

$$p(\alpha) \in K[\alpha] = K(\alpha).$$

Then p = qm + r where  $\partial r < \partial m = n$ . We have  $p(\alpha) = r(\alpha)$ , so if

$$r = c_0 + c_1 X + \dots + c_{n-1} X^{n-1}$$

for  $c_i \in K$ , then

$$p(\alpha) = c_0 + c_1 \alpha + \dots + c_{n-1} \alpha^{n-1}$$
.

So  $\{1, \alpha, \dots, \alpha^{n-1}\}$  is a spanning set for  $K[\alpha]$ . To see that  $\{1, \alpha, \dots, \alpha^{n-1}\}$  is also linearly independent, suppose there exists  $a_i \in K$  such that

$$a_0 + a_1 \alpha + \dots + a_{n-1} \alpha^{n-1} = 0.$$

Then  $a_0 = \cdots = a_{n-1} = 0$  since otherwise we would have a polynomial

$$p = a_0 + a_1 X + \dots + a_{n-1} X^{n-1}$$

with  $\partial p \leq n-1$  and  $p(\alpha)=0$ , which is a contradiction with the minimality of  $\partial m=n$ . Thus  $\{1,\alpha,\ldots,\alpha^{n-1}\}$  is a basis, and so  $[K[\alpha]:K]=n=\partial m$ .

Example 4.6.2. Continuing the same example, note that

$$\mathbb{Q}[\sqrt{2}] = \{a + b\sqrt{2} \mid a, b \in \mathbb{Q}\} = \{a_0 + a_1\sqrt{2} + a_2\sqrt{2}^2 + a_3\sqrt{2}^3 + \dots + a_n\sqrt{2}^n \mid a_i \in \mathbb{Q}\},\$$

which falls in the second case of the previous theorem.

**Remark.** We also have  $\mathbb{Q}[\sqrt{2}] = \mathbb{Q}[X]/\langle X^2 - 2 \rangle$ .

# Jan. 24 — Algebraic Extensions

## 5.1 Minimal Polynomials

**Remark.** The m in the previous theorem from last class is called the minimal polynomial of  $\alpha$ .

Example 5.0.1. Let

$$\mathbb{Q}[i\sqrt{3}] = \{a + bi\sqrt{3} \mid a, b \in \mathbb{Q}\} \subseteq \mathbb{C}.$$

Here  $m = X^2 + 3$ , so this is a degree 2 extension.

**Exercise 5.1.** Write  $1/(a + bi\sqrt{3})$  in the form  $c + di\sqrt{3}$ .

**Example 5.0.2.** Is  $\mathbb{Q}(\sqrt{2}, \sqrt{3})$  a simple extension? In fact it is! Note that certainly

$$\mathbb{Q}(\sqrt{2} + \sqrt{3}) \subseteq \mathbb{Q}(\sqrt{2}, \sqrt{3}).$$

For the reverse inclusion, observe that  $(\sqrt{3} + \sqrt{2})(\sqrt{3} - \sqrt{2}) = 1$ , so

$$1/(\sqrt{3} + \sqrt{2}) = \sqrt{3} - \sqrt{2} \in \mathbb{Q}(\sqrt{2} + \sqrt{3}).$$

From this we have

$$(\sqrt{3} + \sqrt{2}) + (\sqrt{3} - \sqrt{2}) = 2\sqrt{3},$$

which implies that  $\sqrt{3} \in \mathbb{Q}(\sqrt{2} + \sqrt{3})$ . Similarly  $\sqrt{2} \in \mathbb{Q}(\sqrt{2} + \sqrt{3})$ , so that  $\mathbb{Q}(\sqrt{2}, \sqrt{3}) \subseteq \mathbb{Q}(\sqrt{2} + \sqrt{3})$ . Now we can consider

$$\mathbb{Q}(\sqrt{2}, \sqrt{3}) = \mathbb{Q}[\sqrt{2}, \sqrt{3}] = (\mathbb{Q}[\sqrt{2}])[\sqrt{3}].$$

First we have  $[Q[\sqrt{2}]:\mathbb{Q}]=2$ . Note that  $X^2-3$  is the minimal polynomial of  $\sqrt{3}$  over  $\mathbb{Q}[\sqrt{2}]$ , so  $[\mathbb{Q}[\sqrt{2},\sqrt{3}]:\mathbb{Q}[\sqrt{2}]]=2$ . Hence  $[\mathbb{Q}[\sqrt{2},\sqrt{3}]:\mathbb{Q}]=4$  with basis  $\{1,\sqrt{2},\sqrt{3},\sqrt{6}\}$ . To find the minimal polynomial of  $\sqrt{2}+\sqrt{3}$  over  $\mathbb{Q}$ , we can compute

$$(\sqrt{2} + \sqrt{3})^2 = 2 + 2\sqrt{6} + 3 = 5 + 2\sqrt{6}$$
$$(\sqrt{2} + \sqrt{3})^4 = 25 + 20\sqrt{6} + 24 = 49 + 20\sqrt{6}.$$

Thus  $X^4 - 10X^2 + 1$  is the minimal polynomial, since  $\alpha^4 - 10\alpha^2 + 1 = 0$  for  $\alpha = \sqrt{2} + \sqrt{3}$ .

<sup>&</sup>lt;sup>1</sup>Since  $\mathbb{Q}[\sqrt{2}, \sqrt{3}] = \mathbb{Q}[\alpha]$  where  $\alpha = \sqrt{2} + \sqrt{3}$ , we have  $\{1, \alpha, \alpha^2, \alpha^3\}$  as another basis.

### 5.2 Algebraic Extensions

**Definition 5.1.** If  $\alpha$  has a minimal polynomial over K, we say  $\alpha$  is algebraic over K, and  $K[\alpha] = K(\alpha)$  is an algebraic extension of K. A complex number that is algebraic over  $\mathbb Q$  is called an algebraic number. Otherwise, if  $K(\alpha) \cong K(X)$ , then we say  $\alpha$  is transcendental over K. A transcendental number  $\alpha$  is a complex number that is transcendental over  $\mathbb Q$ .

**Example 5.1.1.** We have that  $\mathbb{Q}(i\sqrt{3})$ ,  $\mathbb{Q}(\sqrt{2})$ ,  $\mathbb{Q}(\sqrt{3})$ , and  $\mathbb{Q}(\sqrt{2},\sqrt{3})$  are all simple algebraic extensions of  $\mathbb{Q}$ , whereas  $\mathbb{Q}(X)$  is a simple transcendental extension of  $\mathbb{Q}$ .

**Theorem 5.1.** Let  $K(\alpha)$  be a simple transcendental extension of K. Then  $[K(\alpha):K]=\infty$ .

*Proof.* Observe that  $1, \alpha, \alpha^2, \ldots$  are linearly independent over K, since no minimal polynomial exists.  $\square$ 

**Definition 5.2.** An extension L over K is an algebraic extension if any element of L is algebraic over K. Otherwise, L is a transcendental extension.

**Theorem 5.2.** Every finite extension is algebraic.

*Proof.* Let L: K be a finite extension and suppose for sake of contradiction that  $\alpha \in L$  is transcendental over K. Then  $1, \alpha, \alpha^2, \ldots$  are linearly independent, contradicting the fact that L: K is finite.  $\square$ 

**Theorem 5.3.** Let L: K be a field extension and let A(L) be the set of elements in L that are algebraic over K. Then A(L) is a subfield of L.

*Proof.* See Howie. Just need to show the closure of algebraic elements under usual field operations.  $\Box$ 

**Example 5.2.1.** For  $L = \mathbb{C}$  and  $K = \mathbb{Q}$ , we have that  $\mathcal{A}(\mathbb{C})$  is the field  $\mathbb{A}$  of algebraic numbers.

**Theorem 5.4.** The set of algebraic numbers  $\mathbb{A}$  is countable.

*Proof sketch.* Note that the set of monic polynomials of degree n with coefficients in  $\mathbb{Q}$  is countable, and each such polynomial has at most n distinct roots in  $\mathbb{C}$ . Hence the number of roots of such polynomials is countable. Then  $\mathbb{A}$  is the countable union of countable sets, so  $\mathbb{A}$  is countable.

Theorem 5.5. Transcendental numbers exist.

*Proof.* Since  $|\mathbb{R}| = |\mathbb{C}| = 2^{\aleph_0} > \aleph_0$ , we must have that  $\mathbb{C} \setminus \mathbb{A}$  is nonempty.

**Remark.** The above proof is very nonconstructive, what about actual examples of transcendental numbers? In 1844, Liouville constructed the following example:

$$\sum_{n=1}^{\infty} 10^{-n!},$$

which was shown to be transcendental. In 1873, Hermite showed that e is transcendental, and in 1882, Lindemann showed that  $\pi$  is transcendental.

**Theorem 5.6.** Let L: K be a field extension and  $\alpha_1, \ldots, \alpha_n \in L$  have minimal polynomials  $m_1, \ldots, m_n$ , respectively. Then  $[K(\alpha_1, \ldots, \alpha_n): K] \leq \partial m_1 \partial m_2 \ldots \partial m_n$ .

*Proof.* See Howie. Uses induction and the fact that [M:L][L:K] = [M:K].

Example 5.2.2. Consider

$$[\mathbb{Q}[\sqrt{2}]:\mathbb{Q}] = [\mathbb{Q}[\sqrt{3}]:\mathbb{Q}] = [\mathbb{Q}[\sqrt{6}]:\mathbb{Q}] = 2,$$

but  $[\mathbb{Q}[\sqrt{2},\sqrt{3},\sqrt{6}]:\mathbb{Q}]=4$ . So the bound in the previous theorem cannot be made into an equality.

**Proposition 5.1.** A field extension L: K is finite if and only if for some n, there exist  $\alpha_1, \ldots, \alpha_n$  algebraic over K such that  $L = K(\alpha_1, \ldots, \alpha_n)$ .

*Proof.*  $(\Leftarrow)$  This is precisely the previous theorem.

 $(\Rightarrow)$  Suppose L: K is finite and  $\{\alpha_1, \ldots, \alpha_n\}$  is a basis for L over K. Since finite extensions are algebraic, the  $\alpha_i$  must be algebraic.

**Exercise 5.2.** Show that  $\varphi: \mathbb{Q}[\sqrt{2}] \to \mathbb{Q}[X]/\langle X^2 - 2 \rangle$  defined by

$$a + b\sqrt{2} \mapsto a + bX + \langle X^2 - 2 \rangle$$

is an isomorphism.

**Theorem 5.7.** Let K be a field and m a monic irreducible polynomial in K[X]. Then  $L = K[X]/\langle m \rangle$  is a simple algebraic extension  $K[\alpha]$  of K, and  $\alpha = X + \langle m \rangle$  has minimal polynomial m over K.

*Proof.* First note that L is indeed a field since m is irreducible. Also L:K is indeed a field extension since  $\varphi:K\to L$  defined by  $a\mapsto a+\langle m\rangle$  is an injective homomorphism. Now let  $\alpha=X+\langle m\rangle$ . For

$$f = a_0 + a_1 X + \dots + a_n X^n \in K[X],$$

we have

$$f(\alpha) = a_0 + a_1 \alpha + \dots + a_n \alpha^n = a_0 + a_1 (X + \langle m \rangle) + \dots + a_n (X + \langle m \rangle)^n$$
  
=  $a_0 + a_1 X + \dots + a_n X^n + \langle m \rangle = f + \langle m \rangle.$ 

So  $f(\alpha) = 0$  if and only if  $f \in \langle m \rangle$ , i.e. m|f. Hence m is the minimal polynomial of  $\alpha$ .

# Jan. 29 — Geometric Constructions

## 6.1 K-Isomorphisms

Recall from last class that  $L = K[X]/\langle m \rangle$  is a simple algebraic extension of K. In fact, we can show that the field L is essentially unique, i.e. unique up to isomorphism.

**Theorem 6.1.** Let K be a field and and f and an irreducible polynomial in K[X]. If L and L' are two extensions of K containing roots  $\alpha$  and  $\alpha'$  respectively of f, then there exists an isomorphism  $K[\alpha] \to K[\alpha']$  which fixes every element of K.

Proof sketch. Suppose

$$f = a_0 + a_1 X + \dots + a_n X^n.$$

Then  $K[\alpha]$  consists of polynomials of the form

$$b_0 + b_1 \alpha + \dots + b_{n-1} \alpha^{n-1}.$$

This is because multiplication in  $K[\alpha]$  relies on the observation that

$$\alpha^n = -\frac{1}{\alpha_n}(a_0 + a_1\alpha + \dots + a_{n-1}\alpha^{n-1})$$

since  $\alpha$  is a root of f. Define  $\psi: K[\alpha] \to K[\alpha']$  by  $\psi(g(a)) = g(\alpha')$  and show that  $\psi$  is an isomorphism.  $\square$ 

Exercise 6.1. Check the following from the previous proof:

- 1.  $\psi$  is one-to-one and onto,
- 2.  $\psi$  fixes K,
- 3. and  $\psi$  is a homomorphism.

For the last point, the addition is mostly straightforward but the multiplication is more involved since we need to reduce when we get  $\alpha^n$  terms in the product.

**Definition 6.1.** A K-isomorphism is an isomorphism  $\varphi: L \to L'$  such that  $\varphi(x) = x$  for all  $x \in K$ .

**Example 6.1.1.** For  $\mathbb{C} : \mathbb{R}$ , the complex conjugation map  $\varphi : \mathbb{C} \to \mathbb{C}$  given by  $\varphi(a + bi) = a - bi$  is a  $\mathbb{R}$ -isomorphism.

**Example 6.1.2.** For  $\mathbb{Q}[X]/\langle X^2+3\rangle:\mathbb{Q}^1$ , the map  $\psi:\mathbb{Q}[X]/\langle X^2+3\rangle\to\mathbb{Q}[X]/\langle X^2+3\rangle$  given by

$$\psi(a+bX+\langle X^2+3\rangle) = a-bX+\langle X^2+3\rangle$$

is a  $\mathbb{Q}$ -isomorphism. The analogous map  $\psi : \mathbb{Q}[i\sqrt{3}] \to \mathbb{Q}[i\sqrt{3}]$  given by  $\psi(a + bi\sqrt{3}) = a - bi\sqrt{3}$  also works, which we can view as a restriction of the complex conjugation map to  $\mathbb{Q}[i\sqrt{3}]$ .

## 6.2 Applications to Geometric Constructions

Consider the straightedge and compass Constructions from geometry. Let  $B_0$  be a set of points. Then we have the following operations:

- 1. (straightedge) Draw a straight line through any two points in  $B_0$ .
- 2. (compass) Draw a circle whose center is a point in  $B_0$  passing through another point in  $B_0$ .

Let  $C(B_0)$  be the set of points which are intersections of lines or circles obtained form  $B_0$  by (1) and (2). Let  $B_1 = B_0 \cup C(B_0)$ , and proceed inductively to get  $B_n = B_{n-1} \cup C(B_{n-1})$ .

**Definition 6.2.** A point is *constructible from*  $B_0$  if it belongs to  $B_n$  for some n. A point is *constructible* if it is constructible from  $\{O, I\}$  where O = (0, 0) and I = (1, 0).

**Example 6.2.1.** To find the midpoint of the line segment OI from  $B_0 = \{O, I\}$ , we can do the following:

- 1. Draw a circle with center O passing through I.
- 2. Draw a circle with center I passing through O.
- 3. Mark points P and Q where these circles intersect. So  $B_1 \supseteq \{O, I, P, Q\}$ .
- 4. Draw a line connecting P and Q.
- 5. Draw a line connecting O and I.
- 6. Mark the point M where PQ and OI meet. So  $B_2 \supseteq \{O, I, P, Q, M\}$ .

Thus M is constructible from  $\{O,I\}$ .

The algebraic perspective is the following: Associate to  $B_i$  the subfield of  $\mathbb{R}$  generated by coordinates of points in  $B_i$ , i.e. view each coordinate of each point as an element and take the subfield generated.

**Example 6.2.2.** For  $B_0 = \{(0,0), (1,0)\}$ , we have  $\{0,0,1,0\} \subseteq K_0 = \mathbb{Q}$  is the subfield of  $\mathbb{R}$  generated by the coordinates of  $B_0$ . Next take<sup>2</sup>

$$B_1 = \{O, I, P, Q\} = \{(0, 0), (1, 0), (1/2, \pm \sqrt{3}/2)\},\$$

so that  $K_1 = \mathbb{Q}[\sqrt{3}]$  is the field generated by  $B_1$ . Then

$$B_2 = \{O, I, P, Q, M\} = \{(0, 0), (1, 0), (1/2, \pm \sqrt{3}/2), (1/2, 0)\},\$$

and the field generated by  $B_2$  is still  $K_2 = \mathbb{Q}[\sqrt{3}]$ .

Note that  $\mathbb{Q}[X]/\langle X^2+3\rangle\cong\mathbb{Q}[i\sqrt{3}]$ . The isomorphism is given by  $a+bX+\langle X^3+3\rangle\mapsto a+bi\sqrt{3}$ .

<sup>&</sup>lt;sup>2</sup>There is some abuse of notation here since we take  $B_i$  to be only some subset of all the actual possible points.

**Theorem 6.2.** Let P be a constructible point belonging to  $B_n$ , where  $B_0 = \{(0,0), (1,0)\}$ , and let  $K_n$  be the field generated over  $\mathbb{Q}$  by  $B_n$ . Then  $[K_n : \mathbb{Q}]$  is a power of 2.

Proof sketch. We proceed by induction. The base case is  $K_0 = \mathbb{Q}$ , so  $[K_0 : \mathbb{Q}] = 1 = 2^0$ . Now suppose  $[K_{n-1} : \mathbb{Q}] = 2^k$  for some  $k \geq 0$ , and we want to show that  $[K_n : K_{n-1}]$  is a power of 2. Observe that new points in  $B_n$  can be obtained by

- 1. intersection of two lines,
- 2. intersection of a line and a circle,
- 3. or intersection of two circles.

In case (1), the intersection of two lines is given by solving a system of two linear equations, which only involves rational operations<sup>3</sup>. In other words, this case takes place entirely in  $K_{n-1}$ .

In case (2), the intersection of a line and a circle is given by solving of a system of one linear equation and one quadratic equation. Solving the linear equation for one of the variables and substituting into the quadratic equation reduces the system down to a single quadratic equation in a single variable. The solution involves  $\sqrt{\Delta}$ , where  $\Delta$  is the discriminant. Then the new points are in  $K_{n-1}[\sqrt{\Delta}]$ .

In case (3), the intersection of two circles is given by solving a system of two quadratic equations. Subtracting the two quadratic equations yields a linear equation, which reduces back to case (2).

Thus the elements in  $K_n$  are either in  $K_{n-1}$  or  $K_{n-1}[\sqrt{\Delta}]$  for some  $\Delta \in K_{n-1}$ .<sup>4</sup> Hence  $[K_n : K_{n-1}]$  is either 1 or 2, so by induction  $[K_n : \mathbb{Q}]$  is a power of 2.

### 6.3 Classic Problems

### 6.3.1 Duplicating the Cube

Consider the problem of taking a cube of volume 1, and constructing a cube of volume 2. We need  $\alpha$  such that  $\alpha^3 = 2$ . But  $X^3 - 2$  is irreducible over  $\mathbb{Q}$  by Eisenstein's criterion, so  $[\mathbb{Q}[\alpha] : \mathbb{Q}] = 3$ . This is not a power of 2, so  $\alpha$  is not constructible and thus we cannot duplicate the cube.

### 6.3.2 Trisecting the Angle

Recall the triple angle formula:

$$\cos 3\theta = 4\cos^3 \theta - 3\cos \theta.$$

Suppose  $\cos 3\theta = c$ . So to find  $\cos \theta$ , we want a root of  $4X^3 - 3X - c = 0$ . This depends on c.

**Example 6.2.3.** If  $3\theta = \pi/2$ , then c = 0 and the polynomial factors into

$$4X^3 - 3X = 4X(4X^2 - 3),$$

so  $[\mathbb{Q}[\alpha]:\mathbb{Q}]=[\mathbb{Q}[\sqrt{3}]:\mathbb{Q}]=2$ . So in fact we can trisect  $\pi/2=90^\circ$ .

<sup>&</sup>lt;sup>3</sup>By rational operations we mean addition, subtraction, multiplication, division.

<sup>&</sup>lt;sup>4</sup>We can set it up so that we only gain one extra intersection, i.e. only one  $\Delta$ , at each step.

**Example 6.2.4.** If  $3\theta = \pi/3$ , then c = 1/2 and we have  $4X^3 - 3X - 1/2$ . Let

$$f(X) = 8X^3 - 6X - 1,$$

so that  $g(X) = g(X/2) = X^3 - 3X - 1$ . Note that g does not factor over  $\mathbb{Z}$  since that requires a linear factor of  $X \pm 1$  but  $g(\pm 1) \neq 0$ . So g is irreducible over  $\mathbb{Z}$  and by Gauss's lemma, g is irreducible over  $\mathbb{Q}$ . Thus f is irreducible. Hence  $[\mathbb{Q}[\alpha]:\mathbb{Q}] = 3$ , so we cannot trisect  $\pi/3$  with a straightedge and compass.

# Jan. 31 — Splitting Fields

#### 7.1 Review of Notation

Recall that

$$\mathbb{Q}[X] = \{a_0 + a_1 X + \dots + a_n X^n : a_i \in \mathbb{Q}\}$$
  
$$\mathbb{Q}(X) = \{f/q : f, q \in \mathbb{Q}[X], q \neq 0\} / \sim,$$

where  $\sim$  is the usual relation on fractions, e.g. 2f/2g = f/g. Next, recall that

$$\mathbb{Q}[\sqrt{2}] = \{a_0 + a_1\sqrt{2} + \dots + a_n\sqrt{2}^n : a_i \in \mathbb{Q}\} = \{a + b\sqrt{2} : a, b \in \mathbb{Q}\}\$$

since  $\sqrt{2}^2 = 2$ . Also  $\mathbb{Q}(\sqrt{2})$  is the smallest subfield of  $\mathbb{R}$  containing  $\mathbb{Q} \cup \{\sqrt{2}\}$ . In this case,  $\mathbb{Q}(\sqrt{2}) = \mathbb{Q}[\sqrt{2}]$  since

$$\frac{1}{a + b\sqrt{2}} = \frac{a - b\sqrt{2}}{a^2 - 2b^2}.$$

Next, we have

$$\mathbb{Q}[X]/\langle X^2 - 2 \rangle = \{ a_0 + a_1 X + \dots + a_n X^n + \langle X^2 - 2 \rangle : a_i \in \mathbb{Q} \}$$
  
=  $\{ a + bX + \langle X^2 - 2 \rangle : a, b \in \mathbb{Q} \}$ 

since  $X^2 + \langle X^2 - 2 \rangle = 2 + \langle X^2 - 2 \rangle$ . In fact,  $\mathbb{Q}[X]/\langle X^2 - 2 \rangle \cong \mathbb{Q}[\sqrt{2}]$ .

## 7.2 Splitting Fields

The motivating question here is: When can we factor a polynomial into linear factors?

**Definition 7.1.** A polynomial splits completely over K if it can be factored into linear factors over K.

**Example 7.1.1.** The polynomial  $X^2+2$  splits completely over  $\mathbb{Q}[i\sqrt{2}]$  since  $X^2+2=(X-i\sqrt{2})(X+i\sqrt{2})$ .

**Example 7.1.2.** The polynomial  $X^3 - 2$  is irreducible over  $\mathbb{Q}$  by Eisenstein's criterion. However, it factors as

$$X^{3} - 2 = (X - \alpha)(X^{2} + \alpha X + \alpha^{2})$$

in  $\mathbb{Q}[\alpha]$ , where  $\alpha = \sqrt[3]{2}$ . Also  $X^2 + \alpha X + \alpha^2$  is irreducible over  $\mathbb{Q}[\alpha]$ , since its discriminant shows that it is irreducible even over  $\mathbb{R}$ . But in  $\mathbb{C}$ , we can factor it as

$$X^{3} - 2 = (X - \alpha)(X - \alpha e^{2\pi i/3})(X - \alpha e^{4\pi i/3}).$$

A smaller field that  $X^3 - 2$  splits completely over is  $\mathbb{Q}[\sqrt[3]{2}, i\sqrt{3}]$ .

<sup>&</sup>lt;sup>1</sup>Here the isomorphism  $\mathbb{Q}[X]/\langle X^2-2\rangle \to \mathbb{Q}[\sqrt{2}]$  is given by  $a+bX+\langle X^2-2\rangle \mapsto a+b\sqrt{2}$ .

**Definition 7.2.** Let K be a field and  $f \in K[X]$ . An extension L of K is a splitting field for f over K if

- 1. f splits completely over L,
- 2. and f does not split completely over any subfield E with K < E < L.

**Example 7.2.1.** From the last two examples,  $\mathbb{Q}[i\sqrt{2}]$  is a splitting field over  $\mathbb{Q}$  for  $X^2+2$ , and  $\mathbb{Q}[\sqrt[3]{2},i\sqrt{3}]$  is a splitting field for  $X^3-2$  over  $\mathbb{Q}$ .

**Theorem 7.1.** Let K be a field and  $f \in K[X]$  with  $\partial f = n$ . Then there exists a splitting field L for f over K and  $[L:K] \leq n!$ .

*Proof.* The proof is essentially the process we perform in the following example. At each step, construct an extension in which we can split off a linear factor from f. For more details, see Howie.

**Example 7.2.2.** Let us find a splitting field for

$$f = X^5 + X^4 - X^3 - 3X^2 - 3X + 3$$

over  $\mathbb{Q}$ . Note that  $\partial f = n$ . Stare hard enough and we can see that

$$f = (X^3 - 3)(X^2 + X - 1),$$

where the first factor is irreducible by Eisenstein's criterion and the second factor is irreducible by checking the discriminant. Now add a root, say  $\alpha = \sqrt[3]{3}$ , and let  $E_1 = \mathbb{Q}(\alpha)$ . Then

$$f = (X - \alpha)(X^{2} + \alpha X + \alpha^{2})(X^{2} + X - 1).$$

Note that  $[E_1:K] \leq n = \partial f$ . Now let  $E_2 = E_1(\alpha e^{2\pi i/3})$ , so that

$$f = (X - \alpha)(X - \alpha e^{2\pi i/3})(X - \alpha e^{-2\pi i/3})(X^2 + X - 1).$$

Note that  $[E_2:\mathbb{Q}] \leq n(n-1)$ . Next  $E_3 = E_2(\alpha e^{-2\pi i/3})$  with

$$f = (X - \alpha)(X - \alpha e^{2\pi i/3})(X - \alpha e^{-2\pi i/3})(X^2 + X - 1).$$

Note that  $[E_3:K] \leq n(n-1)(n-2)$ . Now let

$$\gamma = \frac{-1 + \sqrt{5}}{2}, \quad \delta = \frac{-1 - \sqrt{5}}{2}.$$

Let  $E_4 = E_3(\gamma)$ ,

$$f = (X - \alpha)(X - \alpha e^{2\pi i/3})(X - \alpha e^{-2\pi i/3})(X - \gamma)(X - \delta).$$

Finally  $E_5 = E_4(\delta)$  is the splitting field for f over  $\mathbb{Q}$ . Note that we did much better than n! here, since

$$[E_1:\mathbb{Q}]=3, \quad [E_2:E_1]=2, \quad [E_3:E_2]=1, \quad [E_4:E_3]=2, \quad [E_5:E_4]=1,$$

so  $[E_5:\mathbb{Q}] = 12 \le 120$ .

Remark. Splitting fields are unique (up to isomorphism).

**Theorem 7.2.** Let L and L' be splitting fields of f over K. Then there exists an isomorphism  $\varphi : L \to L'$  fixing K.

*Proof sketch.* Induct on the number of roots of f that are not in K. The induction step uses Theorem 6.1 from last class giving an isomorphism  $K[\alpha] \to K[\alpha']$  for  $\alpha, \alpha'$  roots of an irreducible polynomial.  $\square$ 

**Example 7.2.3.** Let us find the splitting field of  $f = X^4 - 2$  over  $\mathbb{Q}$  and its degree. Note that  $X^4 - 2$  is irreducible over  $\mathbb{Q}$  by Eisenstein's criterion. Note that

$$X^4 - 2 = (X - \alpha)(X + \alpha)(X - i\alpha)(X + i\alpha)$$

where  $\alpha = \sqrt[4]{2}$ . So the splitting field is  $\mathbb{Q}(\sqrt[4]{2},i)$ . For the degree, note that  $[\mathbb{Q}(\sqrt[4]{2}):\mathbb{Q}] = 4$  since the minimal polynomial of  $\sqrt[4]{2}$  is  $X^4 - 2$ . A basis for this extension is  $\{1, \sqrt[4]{2}, \sqrt[4]{2}^2, \sqrt[4]{2}^3\}$ . Since  $i \notin \mathbb{Q}(\sqrt[4]{2})$ , we have  $[\mathbb{Q}(\sqrt[4]{2},i):\mathbb{Q}(\sqrt[4]{2})] = 2$  since the minimal polynomial of i over  $\mathbb{Q}(\sqrt[4]{2})$  is  $X^2 + 1$ . Thus we see that the degree of the splitting field is  $[\mathbb{Q}(\sqrt[4]{2},i):\mathbb{Q}] = 8$ .

**Example 7.2.4.** Let us look at monic quadratic polynomials over  $\mathbb{Z}_3 = \{-1, 0, 1\}$ . These are

$$X^2$$
  $X^2 + 1$   $X^2 - 1$   
 $X^2 + X$   $X^2 + X + 1$   $X^2 + X - 1$   
 $X^2 - X$   $X^2 - X + 1$   $X^2 - X - 1$ .

We have 0 is a root of the polynomials in the first column, 1 is a root of  $X^2 - 1$  and  $X^2 + X + 1$ , and -1 is a root of  $X^2 - X + 1$ . So the irreducible polynomials over  $\mathbb{Z}_3$  are

$$X^2 + 1$$
,  $X^2 + X - 1$ ,  $X^2 - X - 1$ .

Let  $L = \mathbb{Z}_3[X]/\langle X^2 + 1 \rangle$ . Observe that  $\alpha = X + \langle X^2 + 1 \rangle$  satisfies

$$\alpha^2 = X^2 + \langle X^2 + 1 \rangle = -1 + \langle X^2 + 1 \rangle.$$

Hence L is a splitting field for  $X^2 + 1$  since  $(X - \alpha)(X + \alpha) = X^2 + 1$ . Similarly,  $\mathbb{Z}_3[X]/\langle X^2 + X - 1 \rangle$  is a splitting field for  $X^2 + X - 1$  and  $\mathbb{Z}_3[X]/\langle X^2 - X - 1 \rangle$  is a splitting field for  $X^2 - X - 1$ . Note that each of these fields have  $9 = 3^2$  elements since they are degree 2 extensions of  $\mathbb{Z}_3$ .

**Remark.** In L, we had  $\alpha \in L$  such that  $\alpha^2 = -1$  and addition is performed modulo 3. Now observe

$$(\alpha + 1)^2 + (\alpha + 1) - 1 = (\alpha^2 - \alpha + 1) + (\alpha + 1) - 1 = \alpha^2 - \alpha + \alpha + 1 + 1 - 1 = 0$$

since  $\alpha^2 = -1$ . So  $\alpha + 1$  is a root of  $X^2 + X - 1$  in L. By a similar computation, we see that  $-\alpha + 1$  is a root of  $X^2 + X - 1$ , so L is also a splitting field for  $X^2 + X - 1$ . Additionally,  $\alpha - 1$  and  $-\alpha - 1$  are roots of  $X^2 - X - 1$ , so L is also a splitting field for  $X^2 - X - 1$ . So by uniqueness of splitting fields,

$$\mathbb{Z}_3[X]/\langle X^2+1\rangle \cong \mathbb{Z}_3[X]/\langle X^2+X-1\rangle \cong \mathbb{Z}_3[X]/\langle X^2-X-1\rangle.$$

Exercise 7.1. Find explicit isomorphisms between these fields.

### 7.3 Finite Fields

**Definition 7.3.** Let  $f = a_0 + a_1X + \cdots + a_nX^n \in K[X]$ . Then the formal derivative of f is

$$Df = a_1 + 2a_2X + \dots + na_nX^{n-1}.$$

Exercise 7.2. The usual formulas for derivatives

$$D(kf) = kDf, \quad D(f+g) = Df + Dg, \quad D(fg) = (Df)g + f(Dg)$$

all still hold for  $f, g \in K[X]$  and  $k \in K$ .

<sup>&</sup>lt;sup>2</sup>Note that as opposite to  $\mathbb{Q}$ , this field has finite characteristic.

## Feb. 5 — Finite Fields

### 8.1 Last Time

**Example 8.0.1.** The splitting field of  $X^4 - 2$  over  $\mathbb{Q}$  is  $\mathbb{Q}(i, \sqrt[4]{2})$  since

$$X^{4} - 2 = (X - \sqrt[4]{2})(X + \sqrt[4]{2})(X - i\sqrt[4]{2})(X + i\sqrt[4]{2}).$$

**Example 8.0.2.** The splitting field of  $Y^2 + 1$  over  $\mathbb{Z}_3$  is  $\mathbb{Z}_3[X]/\langle X^2 + 1 \rangle$ . If  $\alpha = X + \langle X^2 + 1 \rangle$ , then

$$Y^2 + 1 = (Y - \alpha)(Y + \alpha).$$

Also the degree of this extension is  $[Z_3[X]/\langle X^2+1\rangle:\mathbb{Z}_3]=2$ , and a basis for the extension is  $\{1,X\}$ .

### 8.2 Finite Fields

**Lemma 8.1.** Let  $f \in K[X]$ , K a field, and L be a splitting field for f over K. Then the roots of f are distinct if and only if f and Df have no nonconstant common factor.

*Proof.* ( $\Leftarrow$ ) We show the contrapositive. Suppose f has a repeated root  $\alpha$  in L. Then

$$f = (X - \alpha)^r g$$

for some  $r \geq 2$ . Then

$$Df = (X - \alpha)^r Dg + r(X - \alpha)^{r-1}g,$$

so Df and f both have  $X - \alpha$  as a factor.

 $(\Rightarrow)$  Suppose the roots of f are all distinct. Then for each root  $\alpha$  of f in L, we have

$$f = (X - \alpha)g,$$

where  $g(\alpha) \neq 0$ . Then

$$Df = (X - \alpha)Dg + g,$$

so that

$$(Df)(\alpha) = g(\alpha) \neq 0,$$

i.e.  $X - \alpha \nmid Df$ . This holds for factor of f in L[X], so f and Df have no common proper factors.  $\square$ 

**Theorem 8.1.** Finite fields exist and are unique up to isomorphism. In particular,

- 1. Let K be a finite field. Then  $|K| = p^n$  for some prime p and integer  $n \ge 1$ . Every element of K is a root of  $X^{p^n} X$  and K is a splitting field of  $X^{p^n} X$  over  $\mathbb{Z}_p$ .
- 2. Let p be a prime and  $n \in \mathbb{Z}$ ,  $n \geq 1$ . Then there exists a unique field of order  $p^n$  up to isomorphism.

*Proof.* (1) Let char K = p. Then K is a finite extension of  $\mathbb{Z}_p$ . Let  $n = [K : \mathbb{Z}_p]$ . If  $\{\delta_1, \ldots, \delta_n\}$  is a basis for K over  $\mathbb{Z}_p$ , then every element in K can be uniquely written as

$$a_1\delta_1 + \cdots + a_n\delta_n$$

for some  $a_i \in \mathbb{Z}_p$ . There are  $p^n$  such elements, so  $|K| = p^n$ . Then  $|K^*| = p^n - 1$ . For any  $\alpha \in K^*$ , the order of  $\alpha$  divides  $p^n - 1$ . So  $\alpha^{p^n - 1} = 1$ , and hence  $\alpha^{p^n} - \alpha = 0$ . We also have  $0^{p^n} - 0 = 0$  so every element in K is a root of  $X^{p^n} - X$ . Hence  $X^{p^n} - X$  splits completely over K. Since  $X - \alpha$  is a factor of  $X^{p^n} - X$  for each of the  $p^n$  elements of K,  $X^{p^n} - X$  does not split over any proper subfield of K. Thus we conclude that K is a splitting field of  $X^{p^n} - X$  over  $\mathbb{Z}_p$ .

(2) Given a prime p and an integer  $n \geq 1$ , let L be the splitting field of  $X^{p^n} - X$  over  $\mathbb{Z}_p$ . Note that

$$Df = p^n X^{p^n - 1} - 1 = -1$$

since char  $\mathbb{Z}_p = p$ . Then Df and f have no nonconstant common factors, so by Lemma 8.1, we see that  $X^{p^n} - X$  has  $p^n$  distinct roots in L. Let K be the set of  $p^n$  distinct roots, and we claim that K is a subfield of L. To check this, let  $a, b \in K$ . Then by an extension of Theorem 2.4,

$$(a-b)^{p^n} = a^{p^n} - b^{p^n} = a - b$$

in  $\mathbb{Z}_p$ ,  $a - b \in K$ . Also

$$(ab^{-1})^{p^n} = a^{p^n}(b^{p^n})^{-1} = ab^{-1},$$

so  $ab^{-1} \in K$ . Hence K is a field of order  $p^n$ . In fact, K = L since K contains all the roots of  $X^{p^n} - X$  and no proper subfield does. By uniqueness of splitting fields, K is unique up to isomorphism.

**Definition 8.1.** We call the field of order  $p^n$  the Galois field of order  $p^n$ , denoted  $GF(p^n)$ .

**Example 8.1.1.** We have  $GF(3^2) = \mathbb{Z}_3[X]/\langle X^2 + 1 \rangle \cong \mathbb{Z}_3[X]/\langle X^2 + X - 1 \rangle \cong \mathbb{Z}_3[X]/\langle X^2 - X - 1 \rangle$ .

**Remark.** Recall that for a finite group G and  $a \in G$ , the *order* of a is

$$\operatorname{ord}(a) = \min\{k \in \mathbb{N} : a^k = 1\}.$$

The exponent of G is

$$\exp(G) = \min\{k \in \mathbb{N} : a^k = 1 \text{ for all } a \in G\}.$$

Also recall that ord(a) divides |G| for all  $a \in G$ , and thus exp(G) divides |G|.

**Exercise 8.1.** Show that  $\exp(G) = \operatorname{lcm} \{\operatorname{ord}(a) : a \in G\}.$ 

**Example 8.1.2.** For  $S_3 = \{ id, (12), (23), (13), (123), (132) \}$ , the order of the transpositions is 2 and the order of 3-cycles is 3. So we see that  $\exp(S_3) = 6$ .

**Proposition 8.1.** If G is a finite abelian group, then there exists  $a \in G$  such that  $\operatorname{ord}(a) = \exp(G)$ .

<sup>&</sup>lt;sup>1</sup>Recall that  $K^*$  is the set of nonzero elements of K, which forms a group under multiplication. We also call  $K^*$  the group of units of K.

*Proof.* Suppose that

$$\exp(G) = p_1^{\alpha_1} p_2^{\alpha_2} \dots p_k^{\alpha_k},$$

where the  $p_i$  are distinct primes and  $\alpha_i \geq 1$  for all i. Since

$$\exp(G) = \operatorname{lcm}\{\operatorname{ord}(a) : a \in G\},\$$

there exists  $h_1 \in G$  such that  $p_1^{\alpha_1} | \operatorname{ord}(h_1)$ . So  $\operatorname{ord}(h_1) = p_1^{\alpha_1} q_1$  where  $q_1 | p_2^{\alpha_2} \dots p_k^{\alpha_k}$ . Let  $g_1 = h_1^{q_1}$ . For each  $m \geq 1$ , we have  $g_1^m = h_1^{mq_1}$ , and

$$h_1^{mq_1} = 1 \iff p_1^{\alpha_1} q_1 | mq_1 \iff p_1^{\alpha_1} | m.$$

Hence  $\operatorname{ord}(g_1) = p_1^{\alpha_1}$ . Similarly for  $i = 2, \ldots, k$ , we can find elements  $g_i$  of order  $p_i^{\alpha_i}$ . Let

$$a = g_1 g_2 \dots g_k$$

and  $n = \operatorname{ord}(a)$ . Now check as an exercise that  $\operatorname{ord}(a) = \exp(G)$ . This relies on

$$a^n = g_1^n g_2^n \dots g_k^n = 1,$$

which uses the assumption that G is abelian.

**Remark.** The previous example shows that the abelian condition in this theorem is necessary.

Corollary 8.1.1. If G is a finite abelian group with  $\exp(G) = |G|$ , then G is cyclic.

**Theorem 8.2.** The group of units  $GF(p^n)^*$  of a Galois field is cyclic.

Proof. Let  $e = \exp(\operatorname{GF}(p^n)^*)$ . Then  $a^e = 1$  for all  $a \in \operatorname{GF}(p^n)^*$ , so every element  $a \in \operatorname{GF}(p^n)^*$  is a root of  $X^e - 1$ . Since  $X^e - 1$  has at most e roots, we see that  $|\operatorname{GF}(p^n)^*| \le e$ . But  $e \le |\operatorname{GF}(p^n)^*|$  since  $\exp(\operatorname{GF}(p^n)^*)$  divides  $|\operatorname{GF}(p^n)^*|$ . Hence  $|\operatorname{GF}(p^n)^*| = e$ , so by Corollary 8.1.1,  $\operatorname{GF}(p^n)^*$  is cyclic.  $\square$ 

## 8.3 Automorphisms of Fields

**Example 8.1.3.** The complex conjugation  $f: \mathbb{C} \to \mathbb{C}$  given by f(a+bi) = a-bi is an automorphism of  $\mathbb{C}$ . Observe that f(c) = c if and only if  $c \in \mathbb{R}$ .

**Theorem 8.3.** Let K be a field. The set  $\operatorname{Aut} K$  of automorphisms of K forms a group under composition.

*Proof.* First observe that composition is associative. The identity element in Aut K is the identity map  $\mathrm{id}_K$ . For inverses, let  $\alpha \in \mathrm{Aut}\,K$ . Since  $\alpha$  is a bijection, there exists an inverse map  $\alpha^{-1}:K\to K$ , where  $\alpha^{-1}(x)$  is the unique element s such that  $\alpha(s)=x$ . Now we check that  $\alpha^{-1}$  is also a homomorphism. For this, let  $x,y\in K$  and suppose that  $\alpha^{-1}(x)=s$  and  $\alpha^{-1}(y)=t$ . Then  $\alpha(s)=x$  and  $\alpha(t)=y$ , so

$$\alpha(s+t) = \alpha(s) + \alpha(t) = x+y$$

since  $\alpha$  is a homomorphism. Then we see that

$$\alpha^{-1}(x+y) = s + t = \alpha^{-1}(x) + \alpha^{-1}(y).$$

Similarly,  $\alpha(st) = xy$ , so

$$\alpha^{-1}(xy) = st = \alpha^{-1}(x)\alpha^{-1}(y).$$

Hence  $\alpha^{-1} \in \operatorname{Aut} K$  and  $\alpha \circ \alpha^{-1} = \alpha^{-1} \circ \alpha = \operatorname{id}_K$ , so  $\operatorname{Aut} K$  is indeed a group.

**Definition 8.2.** We call Aut K the group of automorphisms of K.

**Definition 8.3.** Let L be a field extension of K. A K-automorphism is an automorphism  $\alpha: L \to L$  such that  $\alpha(x) = x$  for all  $x \in K$ . The Galois group of L over K, denoted  $\operatorname{Gal}(L:K)$ , is the set of K-automorphisms of L. The Galois group  $\operatorname{Gal}(f)$  of a polynomial  $f \in K[X]$  is  $\operatorname{Gal}(L:K)$  where L is a splitting field of f over K.

**Theorem 8.4.** The Galois group Gal(L:K) is a subgroup of Aut L.

*Proof.* Clearly  $\mathrm{id}_L \in \mathrm{Gal}(L:K)$  since it fixes all elements of L. Now let  $\alpha, \beta \in \mathrm{Gal}(L:K)$ . Then we have  $\alpha(x) = x$  and  $\beta(x) = x$  for all  $x \in K$ . Then  $\beta^{-1}(x) = x$ , which gives

$$\alpha \beta^{-1}(x) = \alpha(x) = x,$$

so  $\alpha \beta^{-1} \in \operatorname{Gal}(L:K)$ . Thus  $\operatorname{Gal}(L:K)$  is a subgroup of Aut L.

**Remark.** The big idea here is that there is a correspondence between subfields E with  $K \subseteq E \subseteq L$  and subgroups H of Gal(L:K).

**Exercise 8.2.** From a past homework, we identified the subfields of  $\mathbb{Q}(\sqrt{3}, \sqrt{5})$  as:



Compare the subgroups of  $\operatorname{Gal}(\mathbb{Q}(\sqrt{3},\sqrt{5}):\mathbb{Q})$  to the subfields of  $\mathbb{Q}(\sqrt{3},\sqrt{5})$  containing  $\mathbb{Q}$ .

# Feb. 7 — The Galois Correspondence

## 9.1 Automorphisms of Fields

**Example 9.0.1.** The complex conjugation  $\beta: \mathbb{C} \to \mathbb{C}$  given by  $\beta(a+bi) = a-bi$  is a nontrivial element of the Galois group of  $\mathbb{C}: \mathbb{R}$ . In fact,  $Gal(\mathbb{C}: \mathbb{R}) = \{id, \beta\}$ . Note that  $\beta$  fixes  $\mathbb{R}$ , id fixes  $\mathbb{C}$ , and



## 9.2 The Galois Correspondence

**Definition 9.1.** Define

$$\Gamma(E) = \{ \alpha \in \text{Aut } L : \alpha(z) = z \text{ for all } z \in E \},$$
  
$$\Phi(H) = \{ x \in L : \alpha(x) = x \text{ for all } \alpha \in H \},$$

where E is a subfield of L and H is a subgroup of Gal(L:K). This is called the Galois correspondence.

**Example 9.1.1.** In the previous example of  $\mathbb{C} : \mathbb{R}$ , we have  $\Gamma(\mathbb{C}) = \{id\}$  and  $\Gamma(\mathbb{R}) = \{id, \beta\}$ . We also have  $\Phi(\{id, \beta\}) = \mathbb{R}$  and  $\Phi(\{id\}) = \mathbb{C}$ .

**Remark.** The goal is to determine: When are  $\Gamma$  and  $\Phi$  inverses of one another?

**Theorem 9.1.** We have the following:

- 1. For every subfield E of L containing K,  $\Gamma(E)$  is a subgroup of  $\operatorname{Gal}(L:K)$ .
- 2. Conversely, for every subgroup H of  $\operatorname{Gal}(L:K)$ ,  $\Phi(H)$  is a subfield of L containing K.

*Proof.* See Howie.

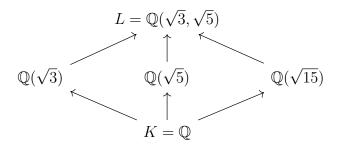
**Theorem 9.2.** Let  $z \in L \setminus K$ . If z is a root of  $f \in K[X]$  and  $\alpha \in Gal(L : K)$ , then  $\alpha(z)$  is also a root of f.

*Proof.* Let  $f = a_0 + a_1 X + \cdots + a_n X^n$ , where  $a_i \in K$ . Then since  $\alpha$  fixes each  $a_i \in K$ , we have

$$f(\alpha(z)) = a_0 + a_1 \alpha(z) + \dots + a_n (\alpha(z))^n = \alpha(a_0) + \alpha(a_1)\alpha(z) + \dots + \alpha(a_n)(\alpha(z))^n$$
  
=  $\alpha(a_0 + a_1 z + \dots + a_n z^n) = \alpha(0) = 0,$ 

which completes the proof.

#### **Example 9.1.2.** Recall this example from homework:



A basis for L over K is  $\{1, \sqrt{3}, \sqrt{5}, \sqrt{15}\}$ . Since  $\sqrt{3}$  is a root of  $X^2 - 3$ , by the previous theorem, any element in Gal(L:K) must send  $\sqrt{3} \mapsto \pm \sqrt{3}$ . Similarly, any element must send  $\sqrt{5} \mapsto \pm \sqrt{5}$ . So the  $\mathbb{Q}$ -isomorphisms of  $\mathbb{Q}(\sqrt{3}, \sqrt{5})$  are

$$\alpha(a+b\sqrt{3}+c\sqrt{5}+d\sqrt{15}) = a-b\sqrt{3}+c\sqrt{5}-d\sqrt{15},$$

$$\beta(a+b\sqrt{3}+c\sqrt{5}+d\sqrt{15}) = a+b\sqrt{3}-c\sqrt{5}-d\sqrt{15},$$

$$\gamma(a+b\sqrt{3}+c\sqrt{5}+d\sqrt{15}) = a-b\sqrt{3}-c\sqrt{5}+d\sqrt{15},$$

$$\mathrm{id}(a+b\sqrt{3}+c\sqrt{5}+d\sqrt{15}) = a+b\sqrt{3}+c\sqrt{5}+d\sqrt{15}.$$

We can write the multiplication table for this group as:

The proper subgroups are  $H_1 = \{id, \alpha\}$ ,  $H_2 = \{id, \beta\}$ , and  $H_3 = \{id, \gamma\}$ . Also  $\{id\}$  and  $G = \{id, \alpha, \beta, \gamma\}$  are subgroups. Then

$$\Phi(H_1) = \mathbb{Q}(\sqrt{5}), \quad \Phi(H_2) = \mathbb{Q}(\sqrt{3}), \quad \Phi(H_3) = \mathbb{Q}(\sqrt{15}),$$
  
$$\Phi(\{\text{id}\}) = \mathbb{Q}(\sqrt{3}, \sqrt{5}), \quad \Phi(G) = \mathbb{Q}.$$

Under  $\Phi$ , this gives the diagram:



Also note that  $\Gamma(\mathbb{Q}(\sqrt{3})) = \{id, \alpha\}$  since

$$\alpha(a+b\sqrt{3}+c\sqrt{5}+d\sqrt{15}) = a-b\sqrt{3}+c\sqrt{5}-d\sqrt{15}.$$

**Exercise 9.1.** Show that  $\Gamma$  is the inverse of  $\Phi$  in the previous example.

**Theorem 9.3.** Let L: K be a field extension. Then

- 1. If  $E_1, E_2$  are two subfields of L containing K, then  $E_1 \subseteq E_2$  implies  $\Gamma(E_1) \supseteq \Gamma(E_2)$ .
- 2. If  $H_1, H_2$  are subgroups of Gal(L:K), then  $H_1 \subseteq H_2$  implies  $\Phi(H_1) \supseteq \Phi(H_2)$ .

*Proof.* (1) Suppose  $E_1 \subseteq E_2$  and  $\alpha \in \Gamma(E_2)$ . Then  $\alpha$  fixes every element in  $E_2$ , so since  $E_1 \subseteq E_2$ ,  $\alpha$  also fixes every element in  $E_1$ . Hence  $\alpha \in \Gamma(E_1)$  by definition.

(2) Suppose  $H_1 \subseteq H_2$  and let  $z \in \Phi(H_2)$ . Then  $\alpha(z) = z$  for every  $\alpha \in H_2$ , and since  $H_1 \subseteq H_2$ ,  $\alpha(z) = z$  for every  $\alpha \in H_1$  as well. Hence  $z \in \Phi(H_1)$  by definition.

**Remark.** Note that  $\Gamma$  and  $\Phi$  are not always inverses of one another.

**Example 9.1.3.** Consider the extension  $\mathbb{Q}(\sqrt[3]{2}):\mathbb{Q}$ . If  $\alpha \in \text{Gal}(\mathbb{Q}(\sqrt[3]{2}):\mathbb{Q})$ , then

$$\alpha(\sqrt[3]{2})^3 = \alpha(2) = 2.$$

Since there is only one cube root of 2 in this field, we must have  $\alpha(\sqrt[3]{2}) = \sqrt[3]{2}$ . So  $Gal(\mathbb{Q}(\sqrt[3]{2}) : \mathbb{Q}) = \{id\}$ . So  $\Gamma$  cannot be the inverse of  $\Phi$  here since there are two subfields, namely  $\mathbb{Q}(\sqrt[3]{2})$  and  $\mathbb{Q}$ . In particular,

$$\Gamma(\mathbb{Q}(\sqrt[3]{2})) = \Gamma(\mathbb{Q}) = \{id\} \text{ and } \Phi(\{id\}) = \mathbb{Q}(\sqrt[3]{2}).$$

**Theorem 9.4.** For any subfield E of L and subgroup H of Gal(L:K), we have

- 1.  $E \subseteq \Phi(\Gamma(E))$
- 2. and  $H \subseteq \Gamma(\Phi(H))$ .

*Proof.* (1) Let  $z \in E$ . Then  $\Gamma(E)$  is the set of all automorphisms fixing every element of E, and so z is fixed by every element of  $\Gamma(E)$ . Hence  $z \in \Phi(\Gamma(E))$ .

(2) Let  $\alpha \in H$ . Then  $\Phi(H)$  is the set of elements of L fixed by every element of H, and so  $\alpha$  fixes every element of  $\Phi(H)$ . Hence  $\alpha \in \Gamma(\Phi(H))$ .

**Remark.** Now the goal will be to find sufficient conditions for  $\Gamma$  and  $\Phi$  to be inverses of one another.

### 9.3 Normal Extensions

**Definition 9.2.** A field extension L: K is *normal* if every irreducible polynomial in K[X] having at least one root in L splits completely over L.

**Example 9.2.1.** An nonexample is  $\mathbb{Q}(\sqrt[3]{2})$ :  $\mathbb{Q}$ . This is not a normal extension since  $X^3-2$  is irreducible and has a root in  $\mathbb{Q}(\sqrt[3]{2})$ , but does not split completely over  $\mathbb{Q}(\sqrt[3]{2})$ .

**Remark.** Is  $\mathbb{Q}(\sqrt{2}) : \mathbb{Q}$  normal?

**Theorem 9.5.** A finite extension L: K is normal if and only if it is a splitting field for some polynomial in K[X].

*Proof.* ( $\Rightarrow$ ) Let L be a finite normal extension and  $\{z_1, \ldots, z_n\}$  be a basis for L: K. let  $m_i$  be the minimal polynomial for  $z_i$ , and let

$$m=m_1m_2\ldots m_n$$
.

Each  $m_i$  has at least one root  $z_i$  in L, hence m splits completely over L since L is normal. Since L is generated by  $z_1, \ldots, z_n$ , it is not possible for m to split over a proper subfield of L, hence L is a splitting field for m over K.

( $\Leftarrow$ ) See Howie. Relies on the isomorphism  $K(\alpha) \to K(\beta)$  for  $\alpha, \beta$  roots of an irreducible polynomial f. We also need properties of degrees of field extensions.

Corollary 9.5.1. Let L be a normal extension of K and E a subfield of L containing K. Then every injective K-homomorphism  $\varphi : E \to L$  can be extended to a K-automorphism  $\varphi^*$  of L.

*Proof.* By the theorem, there exists  $f \in K[X]$  such that L is a splitting field for f over K. But L is also a splitting field for f over E and  $\varphi(E)$ . From here, a slight generalization of the proof of uniqueness of splitting fields gives the desired K-automorphism of L extending  $\varphi$ .

**Example 9.2.2.** Let 
$$L = \mathbb{Q}(\sqrt{3}, \sqrt{5})$$
,  $K = \mathbb{Q}$ , and  $E = \mathbb{Q}(\sqrt{3})$ . Define  $\varphi : E \to L$  by  $\varphi(a + b\sqrt{3}) = a - b\sqrt{3}$ ,

which is an injective K-homomorphism. We have the following diagram:

$$\mathbb{Q}(\sqrt{3}) \xrightarrow{\varphi} \mathbb{Q}(\sqrt{3}, \sqrt{5})$$

$$\downarrow i \qquad \qquad \qquad \downarrow q$$

$$\mathbb{Q}(\sqrt{3}, \sqrt{5})$$

Then we can define

$$\varphi^*(a + b\sqrt{3} + c\sqrt{5} + d\sqrt{15}) = a - b\sqrt{3} + c\sqrt{5} - d\sqrt{15}$$

as an extension of  $\varphi$ . Note that we could have also defined

$$\varphi^*(a+b\sqrt{3}+c\sqrt{5}+d\sqrt{15}) = a-b\sqrt{3}-c\sqrt{5}+d\sqrt{15}.$$

**Remark.** From the previous example we see that  $\varphi^*$  is not unique.

## Feb. 12 — Normal Closures

### 10.1 Normal Closures

Recall this theorem from last time:

**Theorem 9.5.** A finite extension L: K is normal if and only if it is a splitting field for some polynomial in K[X].

A natural question to ask is: Can we always extend a finite extension to make it normal?

**Definition 10.1.** Let L: K be a finite extension. A field N containing L is a normal closure of L: K if

- 1. N is a normal extension of K,
- 2. and if E is a proper subfield of N containing L, then E is not a normal extension of K.

**Theorem 10.1.** Let L: K be a finite extension. Then

- 1. there exists a normal closure N of L over K,
- 2. and N is unique up to isomorphism.

*Proof.* Let  $\{z_1, \ldots, z_n\}$  be a basis for L: K. Since L: K is finite, each  $z_i$  is algebraic over K, with say minimal polynomial  $m_i \in K[X]$ . Let

$$m=m_1\ldots m_n$$

and let N be the splitting field of m over L. Then N is also a splitting field of m over K, since L is generated over K by some of the roots of m in N. Hence N is a normal extension of K containing L.

To see that N is the smallest such field, suppose E is a subfield of N containing L, and suppose E is normal. For each  $m_i$ , E contains a root  $z_i$ , so the normality of E implies that E contains all the roots of m, so E = N. For uniqueness, see Howie. The proof relies on the uniqueness of splitting fields.

**Definition 10.2.** Let  $K_1, \ldots, K_n$  be subfields of L. The *join* of  $K_1, \ldots, K_n$ , denoted

$$K_1 \vee K_2 \vee \cdots \vee K_n$$

is the smallest subfield of L containing  $K_1 \cup K_2 \cup \cdots \cup K_n$ .

**Remark.** The smallest subfield of L containing  $K_1 \cup K_2$  is  $K_1 \vee K_2 = K_1(K_2) = K_2(K_1)$ , similar to how the smallest subfield of  $\mathbb{R}$  containing  $\mathbb{Q} \cup \{\sqrt{3}\}$  is  $\mathbb{Q}(\sqrt{3})$ .

**Example 10.2.1.** Let  $\mathbb{Q}(\sqrt[3]{2})$ ,  $\mathbb{Q}(e^{2\pi i/3} \cdot \sqrt[3]{2}) \subseteq \mathbb{C}$ . Then  $\mathbb{Q}(\sqrt[3]{2}) \vee \mathbb{Q}(e^{2\pi i/3} \cdot \sqrt[3]{2}) = \mathbb{Q}(\sqrt[3]{2}, i\sqrt{3})$ , since

$$e^{2\pi i/3} \cdot \sqrt[3]{2} = -\frac{\sqrt[3]{2}}{2} + \frac{i\sqrt{3}}{2}\sqrt[3]{2}.$$

**Remark.** In the above example, we have  $\mathbb{Q}(\sqrt[3]{2}) \cong \mathbb{Q}(e^{2\pi i/3} \cdot \sqrt[3]{2}) \cong \mathbb{Q}[X]/\langle X^3 - 2 \rangle$ .

Corollary 10.1.1. Let L: K be a finite extension, and N the normal closure of L: K. Then

$$N = L_1 \vee L_2 \vee \cdots \vee L_k$$

where  $L_1, L_2, \ldots, L_k$  are subfields of N containing K isomorphic to L.

*Proof.* As in the previous proof, suppose  $\{z_1, \ldots, z_n\}$  is a basis for L: K, so  $L = K(z_1, \ldots, z_n)$ , and  $m_i$  is a minimal polynomial for  $z_i$ , and N a splitting field for  $m = m_1 \ldots m_n$  over K. Let  $z_i'$  be an arbitrary root of  $m_i$ . Since  $z_i$  and  $z_i'$  are both roots of  $m_i$ , there exists a K-isomorphism  $\varphi: K(z_i) \to K(z_i')$ , which by Corollary 9.5.1 implies there exists a K-automorphism  $\varphi^*: N \to N$ . We have that

$$z_i' \in \varphi^*(L) \cong L$$
,

so every root of  $m_i$  is contained in a subfield  $L' = \varphi^*(L)$  of N that contains K and is isomorphic to L, since  $\varphi^*$  is a K-automorphism. Since N is generated over K by the roots of m, it is generated by finitely many subfields containing K and isomorphic to L.

**Example 10.2.2.** Find the normal closure of  $\mathbb{Q}(\sqrt[3]{2})$  over  $\mathbb{Q}$ . Following the proof of the theorem,

$$\{1, \sqrt[3]{2}, \sqrt[3]{2}^2\}$$

is a basis of  $\mathbb{Q}(\sqrt[3]{2}):\mathbb{Q}$ . The minimal polynomials of  $1, \sqrt[3]{2}, \sqrt[3]{2}$  are  $X-1, X^3-2, X^3-4$ , respectively. The splitting field of

$$(X-1)(X^3-2)(X^3-4)$$

over  $\mathbb{Q}$  is  $\mathbb{Q}(\sqrt[3]{2}, i\sqrt{3})$ , since

$$X^{3} - 2 = (X - \sqrt[3]{2})(X - e^{2\pi i/3}\sqrt[3]{2})(X - e^{-2\pi i/3}\sqrt[3]{2})$$

and

$$X^3 - 4 = (X - \sqrt[3]{2})(X - e^{2\pi i/3}\sqrt[3]{2})(X - e^{-2\pi i/3}\sqrt[3]{2}).$$

So  $\mathbb{Q}(\sqrt[3]{2}, i\sqrt{3}) = L_1 \vee L_2 \vee L_3$ , where  $L_1 = \mathbb{Q}(\sqrt[3]{2})$ ,  $L_2 = \mathbb{Q}(e^{2\pi i/3}\sqrt[3]{2})$ , and  $L_3 = \mathbb{Q}(e^{-2\pi i/3}\sqrt[3]{2})$ , and

$$L_1 \cong L_2 \cong L_3 \cong \mathbb{Q}[X]/\langle X^3 - 2 \rangle.$$

**Theorem 10.2.** Let L: K be a finite normal extension and E a subfield of L containing K. Then E is a normal extension of K if and only if every K-monomorphism of E into L is a K-automorphism of E.

*Proof.* See Howie.  $\Box$ 

**Example 10.2.3.** Consider  $\mathbb{Q}(\sqrt[3]{2}):\mathbb{Q}$ , which is not normal. The  $\mathbb{Q}$ -monomorphism  $\varphi:\mathbb{Q}(\sqrt[3]{2})\to\mathbb{C}$  given by

$$\varphi(a+b\sqrt[3]{2}+c\sqrt[3]{2}^2) = a+be^{2\pi i/3}\sqrt[3]{2}+ce^{-2\pi i/3}\sqrt[3]{2}^2$$

is not an automorphism of  $\mathbb{Q}(\sqrt[3]{2})$ .

**Example 10.2.4.** Consider  $\mathbb{Q}(\sqrt{2}):\mathbb{Q}$ , which is normal. The  $\mathbb{Q}$ -monomorphisms are id and

$$\varphi(a+b\sqrt{2}) = a - b\sqrt{2},$$

which are both  $\mathbb{Q}$ -automorphisms of  $\mathbb{Q}(\sqrt{2})$ .

## 10.2 Separable Extensions

**Definition 10.3.** An irreducible polynomial  $f \in K[X]$  is *separable* over K if it has no repeated roots over a splitting field. A polynomial  $g \in K[X]$  is *separable* over K if its irreducible factors are separable over K. An algebraic element in L: K is *separable* over K if its minimal polynomial is separable over K. An algebraic extension L: K is *separable* if every  $\alpha \in L$  is separable over K.

**Remark.** A polynomial like  $(X-2)^2$  actually is separable over  $\mathbb{Q}$  since its irreducible factors are X-2 and X-2, which are each separable.

**Definition 10.4.** A field K is perfect if every polynomial in K[X] is separable over K.

**Theorem 10.3.** We have the following:

- 1. Every field of characteristic 0 is perfect.
- 2. Every finite field is perfect.

*Proof.* (1) It suffices to show that if char K=0, then any irreducible polynomial f is separable. Let

$$f = a_0 + a_1 X + \dots + a_n X^n$$

for  $n \ge 1$  and suppose f is not separable. Then f and Df have a non-constant common factor d. Since f is irreducible, d must be a constant multiple of f, and thus d cannot divide Df unless

$$Df = a_1 + 2a_2X + \dots + na_nX^{n-1}$$

is the zero polynomial, by comparing degrees. Then

$$a_1 = 2a_2 = \dots = na_n = 0.$$

Since char K = 0, this implies

$$a_1 = a_2 = \dots = a_n = 0,$$

and so  $f = a_0$ , a constant polynomial. Contradiction. Hence f is separable.

(2) The same argument as above implies the only possible inseparable irreducible polynomials are of the form<sup>2</sup>

$$f(X) = b_0 + b_1 X^p + b_2 X^{2p} \cdots + b_m X^{mp}.$$

Now Theorem 7.24 of Howie implies that if K is finite, such a polynomial is reducible. Hence every irreducible polynomial is separable, so K is perfect. See Howie for details.

**Remark.** Recall that  $\mathbb{Z}_p(X)$  is an example of an infinite field with characteristic p.

<sup>&</sup>lt;sup>1</sup>Recall that an irreducible polynomial is by definition a non-unit.

<sup>&</sup>lt;sup>2</sup>We can still conclude  $ka_k = 0$  implies  $a_k = 0$  when k is not a multiple of p.

# Feb. 21 — Galois Extensions

## 11.1 Example of an Inseparable Extension

**Example 11.0.1.** The field  $K = \mathbb{Z}_p(X)$  is not perfect. Consider the polynomial

$$f = Y^p - X \in \mathbb{Z}_p(X)[Y],$$

which is irreducible. Now let L be a splitting field of f over K and  $\alpha$  a root of f, i.e.  $\alpha^p - X = 0$ . Then

$$(Y - \alpha)^p = Y^p - \alpha^p = Y^p - X$$

by freshman exponentiation. In particular,  $\alpha$  is a repeated root of f in L.

#### 11.2 Galois Extensions

**Definition 11.1.** A Galois extension of K is a finite extension that is both normal and separable.

**Remark.** The main goal here is: For a Galois extension,  $\Gamma$  and  $\Phi$  are inverses of one another.

**Theorem 11.1.** Let L: K be a separable extension of degree n. Then there are exactly n distinct K-monomorphisms of L into a normal closure N of L over K.

*Proof.* Use strong induction on the degree of L:K. See Howie for details.

Corollary 11.1.1. If L: K is Galois, then |Gal(L:K)| = [L:K].

*Proof.* If L: K is Galois, then L: K is normal and separable. So the previous theorem applies, where L is its own normal closure. So we get exactly [L: K] distinct K-monomorphisms of L into L, which are precisely the K-automorphisms of L and thus the elements of the Galois group.

**Example 11.1.1.** The extension  $\mathbb{Q}(\sqrt[3]{2}, i\sqrt{3}) : \mathbb{Q}$  is Galois with  $[\mathbb{Q}(\sqrt[3]{2}, i\sqrt{3}) : \mathbb{Q}] = 6$ . We could have

$$\sqrt[3]{2} \mapsto \sqrt[3]{2} \text{ or } e^{2\pi i/3} \sqrt[3]{2} \text{ or } e^{-2\pi i/3} \sqrt[3]{2} \text{ and } i\sqrt{3} \mapsto i\sqrt{3} \text{ or } -i\sqrt{3}.$$

Combinining these options gives us 6 distinct maps, so these must in fact all be  $\mathbb{Q}$ -automorphisms of  $\mathbb{Q}(\sqrt[3]{2}, i\sqrt{3})$ , since we know the Galois group has size 6. In fact,  $Gal(\mathbb{Q}(\sqrt[3]{2}, i\sqrt{3}) : \mathbb{Q}) \cong S_3 \cong D_3$ .

**Remark.** The proper nontrivial subfields of  $\mathbb{Q}(\sqrt[3]{2}, i\sqrt{3})$  are  $\mathbb{Q}(\sqrt[3]{2})$ ,  $\mathbb{Q}(e^{2\pi i/3}\sqrt[3]{2})$ ,  $\mathbb{Q}(e^{-2\pi i/3}\sqrt[3]{2})$ , and  $\mathbb{Q}(i\sqrt{3})$ . Maybe draw a pretty diagram with this showing the Galois correspondence.

**Exercise 11.1.** Show that  $\mathbb{Z}/6\mathbb{Z} \cong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/3\mathbb{Z}$ .

Exercise 11.2. Show that  $\mathbb{Z}/4\mathbb{Z} \ncong \mathbb{Z}/2\mathbb{Z} \times \mathbb{Z}/2\mathbb{Z}$ .

**Theorem 11.2.** Let L: K be a finite extension. Then  $\Phi(Gal(L:K)) = K$  if and only if L: K is normal and separable.

*Proof.* ( $\Leftarrow$ ) Let [L:K]=n. By Corollary 11.1.1, we have  $|\operatorname{Gal}(L:K)|=n$ . Let  $K'=\Phi(\operatorname{Gal}(L:K))$ . By definition,  $K\subseteq K'$ . By Theorem 7.12 of Howie, we find that

$$[L:K'] = |Gal(L:K)|.$$

Hence [L:K'] = [L:K] and thus we conclude that K = K'.

$$(\Rightarrow)$$
 See Howie.

**Exercise 11.3.** Show that if  $K \subseteq K'$  and [L:K'] = [L:K], then K = K'.

**Theorem 11.3.** Let L: K be Galois and E a subfield of L containing K. If  $\delta \in Gal(L:K)$ , then

$$\Gamma(\delta(E)) = \delta\Gamma(E)\delta^{-1}.$$

*Proof.* We begin by showing  $\delta\Gamma(E)\delta^{-1} \subseteq \Gamma(\delta(E))$ . For this, let  $\theta \in \Gamma(E)$  and  $z' \in \delta(E)$ . Then there exists a unique  $z \in E$  such that  $\delta(z) = z'$ , since  $\delta$  is an automorphism. Then

$$\delta\theta\delta^{-1}(z') = \delta\theta(z) = \delta(z) = z'$$

since  $\delta(z) = z'$  and  $\theta \in \Gamma(E)$ . So we see that  $\delta\theta\delta^{-1} \in \Gamma(\delta(E))$ .

Now for  $\Gamma(\delta(E)) \subseteq \delta\Gamma(E)\delta^{-1}$ , we will show that  $\delta^{-1}\Gamma(\delta(E))\delta \subseteq \Gamma(E)$ . Let  $\theta' \in \Gamma(\delta(E))$  and  $z \in E$ . Then  $\delta(z) \in \delta(E)$  and so  $\theta'(\delta(z)) = \delta(z)$ . Thus

$$(\delta^{-1}\theta'\delta)(z) = (\delta^{-1} \circ \delta)(z) = z,$$

so we get  $\delta^{-1}\theta'\delta \in \Gamma(E)$ , as desired.

**Example 11.1.2.** Consider  $\mathbb{Q}(\sqrt[3]{2}, i\sqrt{3}) : \mathbb{Q}$ . Define the elements of  $Gal(\mathbb{Q}(\sqrt[3]{2}, i\sqrt{3}) : \mathbb{Q})$  by

$$\mu_{1}: \sqrt[3]{2} \mapsto \sqrt[3]{2}, i\sqrt{3} \mapsto -i\sqrt{3}, \quad \mu_{2}: \sqrt[3]{2} \mapsto e^{2\pi i/3} \sqrt[3]{2}, i\sqrt{3} \mapsto -i\sqrt{3},$$

$$\mu_{3}: \sqrt[3]{2} \mapsto e^{-2\pi i/3} \sqrt[3]{2}, i\sqrt{3} \mapsto -i\sqrt{3},$$

$$\rho_{1}: \sqrt[3]{2} \mapsto e^{2\pi i/3} \sqrt[3]{2}, i\sqrt{3} \mapsto i\sqrt{3}, \quad \rho_{2}: \sqrt[3]{2} \mapsto e^{-2\pi i/3} \sqrt[3]{2}, i\sqrt{3} \mapsto i\sqrt{3}.$$

Let  $\delta = \mu_3$  and  $E = \mathbb{Q}(\sqrt[3]{2})$ . Then  $\delta(E) = \mathbb{Q}(e^{-2\pi i/3}\sqrt[3]{2})$  since  $\mu_3(\sqrt[3]{2}) = e^{-2\pi i/3}\sqrt[3]{2}$ . Now

$$\mu_2(e^{-2\pi i/3}\sqrt[3]{2}) = \mu_2(e^{-2\pi i/3})\mu_2(\sqrt[3]{2}) = \mu_2(-\frac{1}{2} - i\frac{\sqrt{3}}{2})\mu_2(\sqrt[3]{2})$$
$$= (-\frac{1}{2} + i\frac{\sqrt{3}}{2})(e^{2\pi i/3}\sqrt[3]{2}) = e^{2\pi i/3}e^{2\pi i/3}\sqrt[3]{2} = e^{-2\pi i/3}\sqrt[3]{2},$$

so  $\Gamma(\delta(E)) = \{id, \mu_2\}$ . Also  $\Gamma(E) = \{id, \mu_1\}$ , and we find that

$$\delta\Gamma(E)\delta^{-1} = {\{\delta id\delta^{-1}, \delta\mu_1\delta^{-1}\}} = {\{id, \mu_3\mu_1\mu_3^{-1}\}} = {\{id, \mu_2\}},$$

so indeed we have  $\Gamma(\delta(E)) = \delta\Gamma(E)\delta^{-1}$  in this case.

## Feb. 26 — The Fundamental Theorem

## 12.1 Normal Subgroups

Recall the following:

**Definition 12.1.** A subgroup H of G is normal if

$$gHg^{-1} = H$$

for all  $g \in G$  (equivalently, gH = Hg for all  $g \in G$ ).

**Remark.** If G is abelian, then every subgroup of G is normal.

**Exercise 12.1.** If [G:H]=2, then H is normal.

**Remark.** Normality is a necessary and sufficient condition for G/H to be a well-defined group (with operation induced by the operation on G).

**Theorem 12.1.** Let  $\varphi: G \to G'$  be a surjective homomorphism with kernel H. Then there exists a unique isomorphism  $\alpha: G/H \to G'$  such that the following diagram commutes:

$$G \xrightarrow{\varphi} G'$$

$$\pi \downarrow \qquad \qquad \alpha \qquad \qquad G/H$$

Here  $\pi: G \to G/H$  is the canonical projection  $g \mapsto gH$ .

## 12.2 The Fundamental Theorem of Galois Theory

**Theorem 12.2** (Fundamental theorem of Galois theory). Let L: K be a separable, normal extension of finite degree n. Then

- 1. For all subfields E of L containing K and for all subgroups H of  $\mathrm{Gal}(L:K)$ ,
  - (a)  $\Phi(\Gamma(E)) = E$  and  $|\Gamma(E)| = [L:E]$ ,
  - $(b) \ \Gamma(\Phi(H)) = H \ and \ |\mathrm{Gal}(L:K)|/|\Gamma(E)| = [E:K].$
- 2. A subfield E is a normal extension of K if and only if  $\Gamma(E)$  is a normal subgroup of  $\operatorname{Gal}(L:K)$ . If E:K is normal, then

$$Gal(E:K) \cong Gal(L:K)/\Gamma(E).$$

*Proof.* (1) By a homework exercise, L:K being normal implies that L:E is normal. Also, by Howie's Theorem 7.26, L:K being finite and separable implies that L:E is separable. Hence L:E is Galois, so  $|\Gamma(E)| = [L:E]$ . Then

$$[E:K] = \frac{[L:K]}{[L:E]} = \frac{|Gal(L:K)|}{|\Gamma(E)|}.$$

Now  $\Gamma(E) = \operatorname{Gal}(L:E)$ , so L:E being Galois and Howie's Theorem 7.30 imply that  $\Phi(\Gamma(E)) = E$ . Now let H be a subgroup of  $\operatorname{Gal}(L:K)$ . We showed that  $H \subseteq \Gamma(\Phi(H))$ . Also  $\Phi\Gamma\Phi = \Phi$ , so

$$|H| = [L : \Phi(H)] = [L : \Phi\Gamma\Phi(H)] = |\Gamma\Phi(H)|$$

by Howie's Theorem 7.12. Now finiteness and  $H \subseteq \Gamma(\Phi(H))$  imply that  $H = \Gamma(\Phi(H))$ .

(2) ( $\Rightarrow$ ) Suppose E: K is normal and let  $\delta \in \operatorname{Gal}(L:K)$ . Let  $\delta' = \delta|_E$ , the restriction of  $\delta$  to E. Hence  $\delta'$  is a monomorphism  $E \to L$  and thus a K-automorphism of E, by Howie's Theorem 7.21. Hence

$$\delta(E) = \delta'(E) = E$$
,

and so by Theorem 11.3,

$$\Gamma(E) = \Gamma(\delta(E)) = \delta\Gamma(E)\delta^{-1}$$

i.e.  $\Gamma(E)$  is a normal subgroup of Gal(L:K).

( $\Leftarrow$ ) Suppose  $\Gamma(E)$  is a normal subgroup of  $\operatorname{Gal}(L:K)$ . Let  $\delta_1$  be a K-monomorphism from E to L. This extends (by Howie's Corollary 7.14) to a K-automorphism  $\delta$  of L. Since  $\Gamma(E)$  is normal,  $\delta\Gamma(E)\delta^{-1}=\Gamma(E)$ . Hence by Theorem 11.3, we get  $\Gamma(\delta(E))=\Gamma(E)$ . Since  $\Gamma$  is injective,

$$\delta_1(E) = \delta(E) = E,$$

so  $\delta$  is a K-automorphism of E. By Howie's Theorem 7.21, this implies E:K is normal.

Now suppose E: K is normal, and we want to show that

$$Gal(E:K) \cong Gal(L:K)/\Gamma(E).$$

Let  $\delta \in \operatorname{Gal}(L:K)$  and  $\delta' = \delta|_E$ . By Howie's Theorem 7.21, having E:K be normal implies that  $\delta'(E) = E$ . Thus we can define  $\theta : \operatorname{Gal}(L:K) \to \operatorname{Gal}(E:K)$  by  $\delta \mapsto \delta'$ , i.e. restricting  $\delta$  to E. Clearly  $\theta$  is surjective onto  $\operatorname{Gal}(E:K)$ . Also, we see that

$$\ker \theta = \{ \delta \in \operatorname{Gal}(L : K) \mid \delta|_E = \operatorname{id}_E \} = \Gamma(E).$$

Hence by the first isomorphism theorem,  $Gal(E:K) \cong Gal(L:K)/\ker \theta = Gal(L:K)/\Gamma(E)$ .

Exercise 12.2. Show that  $\Phi\Gamma\Phi = \Phi$ .

**Exercise 12.3.** Check that  $\theta$  is a homomorphism.

**Example 12.1.1.** Let  $L = \mathbb{Q}(\sqrt[4]{2}, i)$  with  $[L : \mathbb{Q}] = 8$ . Any  $\mathbb{Q}$ -automorphism in  $Gal(L : \mathbb{Q})$  must map  $i \mapsto \pm i, \quad \sqrt[4]{2} \mapsto \pm \sqrt[4]{2}, \pm i\sqrt[4]{2}.$ 

So there are only 8 possible automorphisms, and thus each of these must in fact be automorphisms since  $|Gal(L:\mathbb{Q})| = [L:\mathbb{Q}] = 8$ . We can enumerate these automorphisms via

id, 
$$\alpha: \sqrt[4]{2} \mapsto i\sqrt[4]{2}, i \mapsto i$$
,  $\beta: \sqrt[4]{2} \mapsto -\sqrt[4]{2}, i \mapsto i$ ,  $\gamma: \sqrt[4]{2} \mapsto -i\sqrt[4]{2}, i \mapsto i$ ,  $\lambda: \sqrt[4]{2} \mapsto \sqrt[4]{2}, i \mapsto -i$ ,  $\mu: \sqrt[4]{2} \mapsto i\sqrt[4]{2}, i \mapsto -i$ ,  $\nu: \sqrt[4]{2} \mapsto -i\sqrt[4]{2}, i \mapsto -i$ ,  $\rho: \sqrt[4]{2} \mapsto -i\sqrt[4]{2}, i \mapsto -i$ .

Note that  $Gal(L : \mathbb{Q})$  is not abelian, as

$$\lambda \alpha(\sqrt[4]{2}) = \lambda(i\sqrt[4]{2}) = -i\sqrt[4]{2}, \quad \lambda \alpha(i) = \lambda(i) = i,$$

so  $\lambda \alpha = \rho$ . We can show as an exercise that  $\alpha \lambda = \mu \neq \rho$ , so  $\lambda \alpha \neq \alpha \lambda$ . The subgroups of  $Gal(L : \mathbb{Q})$  are

$$\begin{split} G &= \operatorname{Gal}(L:\mathbb{Q}), \quad \{\operatorname{id}\}, \quad \{\operatorname{id},\beta\}, \quad \{\operatorname{id},\mu\}, \quad \{\operatorname{id},\nu\}, \quad \{\operatorname{id},\rho\}, \\ &\{\operatorname{id},\alpha,\beta,\gamma\}, \quad \quad \{\operatorname{id},\beta,\lambda,\nu\}, \quad \{\operatorname{id},\beta,\mu,\rho\}. \end{split}$$

Now we could draw a nice subgroup lattice for this (identical to  $D_4$ , the dihedral group of order 8). The normal subgroups of  $Gal(L:\mathbb{Q})$  are

$$G$$
,  $\{id, \beta, \lambda, \nu\}$ ,  $\{id, \alpha, \beta, \gamma\}$ ,  $\{id, \beta, \mu, \rho\}$ ,  $\{id, \beta\}$ ,  $\{id\}$ .

Let  $H_1 = \{ \mathrm{id}, \alpha, \beta, \gamma \}$ . Then  $\Phi(H_1) = \mathbb{Q}(i)$ . Also  $\Phi(\{ \mathrm{id}, \lambda \}) = \mathbb{Q}(\sqrt[4]{2})$  and  $\Phi(\{ \mathrm{id}, \nu \}) = \mathbb{Q}(i\sqrt[4]{2})$ . We can also see that  $\Phi(\{ \mathrm{id}, \mu \}) = \mathbb{Q}((1+i)\sqrt[4]{2})$  and  $\Phi(\{ \mathrm{id}, \rho \}) = \mathbb{Q}((1-i)\sqrt[4]{2})$ .

**Exercise 12.4.** Write out the multiplication table for  $Gal(L : \mathbb{Q})$ .