MATH 4108: Abstract Algebra II

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Contents

1		8 - Rings and Fields	3
	1.1	Lots of Definitions	3
2	Jan.	. 10 — Field of Fractions, Polynomials	6
	2.1	Isomorphisms	6
	2.2	Field of Fractions	7
	2.3	The Characteristic of a Field	8
	2.4	Polynomials	9
3	Jan.	. 17 — Irreducible Polynomials	10
	3.1	Principal Ideal Domains and Irreducibile Polynomials	10
	3.2	Irreducible Polynomials over \mathbb{C} , \mathbb{R} , \mathbb{Q} , and \mathbb{Z}	11
4	Jan.	. 22 — Field Extensions	15
•	4.1	More on Irreducibility	15
	4.2	Field Extensions	16
5	Jan.	. 24 — Algebraic Extensions	2 0
	5.1	Minimal Polynomials	20
	5.2	Algebraic Extensions	21
6	Jan.	. 29 — Geometric Constructions	2 3
	6.1	K-Isomorphisms	23
	6.2	Applications to Geometric Constructions	24
	6.3	Classic Problems	25
		6.3.1 Duplicating the Cube	25
		6.3.2 Trisecting the Angle	25
7	Ian	. 31 — Splitting Fields	27
'	7.1	Review of Notation	27
	7.2	Splitting Fields	$\frac{27}{27}$
	7.3	Finite Fields	29
	1.0		20
8	Feb.	. 5 — Finite Fields	30
	8.1	Last Time	30
	8.2	Finite Fields	30
	8.3	Automorphisms of Fields	32
9	Feb.	. 7 — The Galois Correspondence	34
	9.1	Automorphisms of Fields	34
	9.2	The Galois Correspondence	34

9.3	Normal Extensions																					30	6

CONTENTS

Jan. 8 — Rings and Fields

1.1 Lots of Definitions

Recall the definitions of a ring and a field:

Definition 1.1 (Ring). A ring $R = (R, +, \cdot)$ is a non-empty set R together with two binary operations + and \cdot , called addition and multiplication respectively, which satisfy:

- (R1) Associative law for addition: (a+b)+c=a+(b+c) for all $a,b,c\in R$.
- (R2) Commutative law for addition: a + b = b + a for all $a, b \in R$.
- (R3) Existence of zero: There exists $0 \in R$ such that a + 0 = a for all $a \in R$.
- (R4) Existence of additive inverses: For all $a \in R$, there exists $-a \in R$ such that a + (-a) = 0.1
- (R5) Associative law for multiplication: (ab)c = a(bc) for all $a, b, c \in R$.
- (R6) Distributive laws: a(b+c) = ab + ac and (a+b)c = ac + bc for all $a, b, c \in R$.

Definition 1.2 (Commutative ring). In this class, we will mostly be interested in *commutative rings*, which satisfy the following additional property for multiplication:

(R7) Commutative law for multiplication: ab = ba for all $a, b \in R$.

Definition 1.3 (Ring with unity). A ring with unity satisfies the additional property that

(R8) Existence of unity: There exists $1 \neq 0 \in R$ such that and a1 = 1a = a for $a \in R$.

Note that a ring need not be commutative to have a unity.

Definition 1.4 (Domain). A commutative ring with unity is called a *(integral) domain* if it has the following cancellation property:

- (R9) Cancellation: For all $a, b \in R$ and $c \neq 0$, ca = cb implies a = b.
- (R9') No zero divisors: For all $a, b \in R$, ab = 0 implies a = 0 or b = 0.

The conditions (R9) and (R9') are equivalent.

Definition 1.5 (Field). A commutative ring with unity is called a *field* if it has the following additional property for multiplicative inverses:

(R10) Existence of multiplicative inverses: For all $a \neq 0 \in R$, there exists $a^{-1} \in R$ such that $aa^{-1} = 1$.

Note that we'll usually write a - b in place of a + (-b).

Example 1.5.1. Some examples of rings are $\mathbb{Z}/2\mathbb{Z}$, which also happens to be a field. The ring \mathbb{Z} is a domain. The set $M_{2\times 2}(\mathbb{R})$ is a non-commutative ring with unity, and has zero divisors. The ring \mathbb{Q} is a field. The real polynomials in a single variable $\mathbb{R}[x]$ form a ring, which is a domain but not a field. The complex numbers \mathbb{C} and the real numbers \mathbb{R} both form a field. The even integers $2\mathbb{Z}$ form a commutative ring without unity. In general, $\mathbb{Z}/n\mathbb{Z}$ is a commutative ring with unity, and is a field if and only if n is prime (and has zero divisors otherwise, if n is composite).

Remark. If $(R, +, \cdot)$ is a ring, then (R, +) is an abelian group. If $(K, +, \cdot)$ is a field, then (K^*, \cdot) is an abelian group, where $K^* = K \setminus \{0\}$.

Definition 1.6 (Group of units). Let R be a commutative ring with unity. The group of units of R is

$$U = \{u \in R \mid \text{there exists } v \in R \text{ such that } uv = 1\}.$$

Exercise 1.1. Show that U is in fact a group under multiplication.

Definition 1.7 (Associate). If $a, b \in R$ such that a = ub for some $u \in U$, then a and b are called associates, denoted by $a \sim b$.

Exercise 1.2. Show that \sim is in fact an equivalence relation.

Example 1.7.1. The group of units of \mathbb{Z} is $\{1, -1\}$. The group of units of a field K is $K^* = K \setminus \{0\}$.

Exercise 1.3. Let $R = \{a + b\sqrt{2} \mid a, b \in \mathbb{Z}\}$. Check the following:

- 1. R is a commutative ring with unity.
- 2. The group of units of R is $\{a+b\sqrt{2} \mid a,b\in\mathbb{Z}, |a^2-2b^2|=1\}$.

Definition 1.8 (Divisor). Let D be an integral domain, $a \in D \setminus \{0\}$, $b \in D$. Then a divides b, or a is a divisor or factor of b, denoted by a|b, if there exists $z \in D$ such that az = b. We write $a \nmid b$ if a does not divide b. We say that a is a proper divisor or that a properly divides b if z is not a unit.

Remark. Equivalent, a is a proper divisor of b if and only if a|b and $b\nmid a$.

Definition 1.9 (Subring). A subring U of a ring R is a non-empty subset of R with the property that for all $a, b \in R$, $a, b \in U$ implies $a + b \in U$ and $ab \in U$, and $a \in U$ implies $-a \in U$.

Remark. Equivalently, U is a subring of R if and only if $a, b \in U$ implies $a - b \in U$ and $ab \in U$.

Remark. We automatically have $0 \in U$ since we can pick any $a \in U$, and then $0 = a - a \in U$.

Definition 1.10 (Subfield). A *subfield* of a field K is a subset E containing at least two elements such that $a, b \in E$ implies $a - b \in E$ and $a \in E, b \in E \setminus \{0\}$ implies $ab^{-1} \in E$. If E is a subfield and $E \neq K$, then we say E is a *proper* subfield.

Remark. As before, we can replace the last condition with the equivalent statement that $a, b \in E$ implies $ab \in E$ and $a \in E \setminus \{0\}$ implies $a^{-1} \in E$.

Definition 1.11 (Ideal). An *ideal* of R is a non-empty subset I of R with the properties that $a, b \in I$ implies $a - b \in I$ and $a \in I, r \in R$ implies $ra \in I$.

Remark. All ideals are subrings, but the converse is not true in general.

Example 1.11.1. The integers \mathbb{Z} form a subring of \mathbb{R} but not an ideal.

²In fact, \mathbb{Q} is somehow the smallest field containing \mathbb{Z} .

Remark. We trivially have that $\{0\}$ and R are both ideals of R. An ideal I is called *proper* if $\{0\} \subseteq I \subseteq R$.

Theorem 1.1. Let $A = \{a_1, \ldots, a_n\}$ be a finite subset of a commutative ring R. Then the set

$$Ra_1 + \dots + Ra_n = \{x_1a_1 + \dots + x_na_n \mid x_i \in R\}$$

is the smallest ideal of R containing A.

Proof. See Howie. Check this is indeed an ideal and is contained in any other ideal containing A. \square

Definition 1.12 (Ideals generated by elements of a ring). The set $Ra_1 + \cdots + Ra_n$ is the *ideal generated* by a_1, \ldots, a_n , denoted by $\langle a_1, \ldots, a_n \rangle$. If the ideal is generated by a single element $a \in R$, then we say that $Ra = \langle a \rangle$ is a *principal ideal*.

Example 1.12.1. In \mathbb{Z} , the ideal $\langle 2 \rangle = 2\mathbb{Z}$ are the even numbers. We have $\langle 2, 3 \rangle = \mathbb{Z}$, but $\langle 6, 8 \rangle = \langle 2 \rangle$.

Theorem 1.2. Let D be an integral domain with group of units U and let $a, b \in D \setminus \{0\}$. Then

- 1. $\langle a \rangle \subseteq \langle b \rangle$ if and only if b|a,
- 2. $\langle a \rangle = \langle b \rangle$ if and only if $a \sim b$,
- 3. $\langle a \rangle = D$ if and only if $a \in U$.

Proof. See Howie. \Box

Definition 1.13 (Homomorphism of rings). A homomorphism from a ring R to a ring S is a mapping $\varphi: R \to S$ such that $\varphi(a +_R b) = \varphi(a) +_S \varphi(b)$ and $\varphi(ab) = \varphi(a)\varphi(b)$ for all $a, b \in R$.

Example 1.13.1. The zero mapping $\varphi(a) = 0$ is always a homomorphism. The inclusion map $\iota : 2\mathbb{Z} \to \mathbb{Z}$ or $\iota : \mathbb{Z} \to \mathbb{Q}$ is a homomorphism.

Theorem 1.3. Let R, S be rings and $\varphi: R \to S$ a homomorphism. Then

- 1. $\varphi(0_R) = 0_S$,
- 2. $\varphi(-r) = -\varphi(r)$ for all $r \in R$,
- 3. the image $\varphi(R)$ is a subring of S.

Proof. See Howie. \Box

Definition 1.14 (Monomorphism). Let $\varphi : R \to S$ be a homomorphism. If φ is injective, we say that φ is a *monomorphism* or an *embedding*.

Example 1.14.1. The inclusion map $\varphi : \mathbb{Z} \to \mathbb{R}$ given by $\varphi(n) = n$ is an embedding.

Jan. 10 — Field of Fractions, Polynomials

2.1 Isomorphisms

Definition 2.1 (Isomorphism). If a homomorphism $\varphi : R \to S$ is both one-to-one and onto, then φ is an *isomorphism* and we say R and S are *isomorphic*, denoted $R \cong S$.

Definition 2.2 (Automorphism). An isomorphism $\varphi: R \to R$ is called an *automorphism*.

Example 2.2.1. For any ring R, the identity map $\varphi: R \to R$ with $\varphi = \mathrm{id}$ is an automorphism.

Exercise 2.1. The complex conjugation $\varphi : \mathbb{C} \to \mathbb{C}$ with $\varphi(z) = \overline{z}$ is an automorphism.

Definition 2.3 (Kernel). Let $\varphi: R \to S$ be a homomorphism. The kernel of φ is

$$\ker \varphi = \phi^{-1}(0_S) = \{ a \in R : \varphi(a) = 0_S \}.$$

Exercise 2.2. For any homomorphism φ , ker φ is an ideal.

Definition 2.4 (Residue class). Let I be an ideal of a ring R and $a \in R$. The set

$$a+I=\{a+x\mid x\in I\}$$

is the residue class of a modulo I.

Exercise 2.3. The set R/I of residue classes modulo I forms a ring with respect to the operations

$$(a+I) + (b+I) = (a+b) + I$$
 and $(a+I)(b+I) = ab + I$.

Exercise 2.4. The map $\theta_I: R \to R/I$ with $\theta_I(a) = a + I$ is a surjective homomorphism onto R/I with kernel I. This map θ_I is called the *natural homomorphism* from R to R/I.

Example 2.4.1. Consider \mathbb{Z} and $I = \langle n \rangle = n\mathbb{Z}$. Then $\theta_I : \mathbb{Z} \to \mathbb{Z}/n\mathbb{Z}$ with $\theta_I(a) = a + \langle n \rangle$ is the natural homomorphism. There are n residue classes, which are

$$\langle n \rangle$$
, $1 + \langle n \rangle$, ..., $(n-1) + \langle n \rangle$.

Theorem 2.1. Let $n \in \mathbb{Z}_{>0}$. Then $\mathbb{Z}/n\mathbb{Z}$ is a field if and only if n is prime.

Proof. See Howie.
$$\Box$$

Remark. If n = 0, then $\mathbb{Z}/0\mathbb{Z} \cong \mathbb{Z}$.

Theorem 2.2. Let $\varphi: R \to S$ be a surjective homomorphism with kernel K. Then there is an isomorphism $\alpha: R/K \to S$ such that the following diagram commutes (i.e. $\varphi = \alpha \circ \theta_K$):

$$R \xrightarrow{\varphi} S$$

$$\theta_K \downarrow \qquad \alpha \qquad \qquad S$$

$$R/K$$

Proof. See Howie. But the general idea is to define $\alpha : R/K \to S$ by $\alpha(a+K) = \varphi(a)$. Then need to check that α is well-defined and an isomorphism.

2.2 Field of Fractions

The motivating question is: How do we get from \mathbb{Z} to \mathbb{Q} ? Recall that

$$\mathbb{Q} = \{ a/b \mid a, b \in \mathbb{Z}, b \neq 0 \},\$$

where a/c = b/d if ad = bc. We add and multiply fractions by

$$\frac{a}{b} + \frac{c}{d} = \frac{ad + bc}{bd}$$
 and $\frac{a}{b} \cdot \frac{c}{d} = \frac{ac}{bd}$.

How do we do this more generally (construct a field out of an arbitrary integral domain)?

Definition 2.5 (Field of fractions of a domain). Let D be an integral domain and

$$P = D \times (D \setminus \{0\}) = \{(a, b) \mid a, b \in D, b \neq 0.\}$$

Define an equivalence relation \equiv on P by $(a,b) \equiv (a',b')$ if ab'=a'b. Then the field of fractions of D is

$$Q(D) = P/\equiv$$
.

We denote the equivalence class [a, b] by a/b, i.e. a/b = c/d if ad = bc. We define addition and multiplication on Q(D) by

$$\frac{a}{b} + \frac{c}{d} = \frac{ad + bc}{bd}$$
 and $\frac{a}{b} \cdot \frac{c}{d} = \frac{ac}{bd}$.

Exercise 2.5. Do the following:

- 1. Check that \equiv is an equivalence relation.
- 2. Check that these operations are well-defined.
- 3. Check that Q(D) is a commutative ring with unity.
 - The zero element is 0/b for $b \neq 0$.
 - The unity element is a/a for $a \neq 0$.
 - The negative of a/b is (-a)/b or equivalently a/(-b).
 - The multiplicative inverse of a/b is b/a for $a, b \neq 0$.
- 4. Complete the previous exercise and check that Q(D) is a field.

Exercise 2.6. The map $\varphi: D \to Q(D)$ defined by $\varphi(a) = a/1$ is a monomorphism. In particular, the field of fractions Q(D) contains D as a subring and Q(D) is the smallest field containing D, in the sense that if K is a field with the property that there exists a monomorphism $\theta: D \to K$, then there exists a monomorphism $\psi: Q(D) \to K$ such that the following diagram commutes:

$$D \xrightarrow{\theta} K$$

$$\varphi \downarrow \qquad \qquad \downarrow \qquad \qquad \downarrow$$

$$Q(D)$$

2.3 The Characteristic of a Field

Note that for $a \in R$, we might write a + a as 2a and $a + a + \cdots + a$ (n times) as na. Furthermore, $0a = 0_R$ and (-n)a = n(-a) for $n \in \mathbb{Z}_{>0}$. Thus na has meaning for all $n \in \mathbb{Z}$.

Exercise 2.7. For $a, b \in R$ and $m, n \in \mathbb{Z}$, we have (ma)(nb) = (mn)(ab).

Definition 2.6 (Characteristic of a ring). For an arbitrary ring R, there are two possibilities:

- 1. $m1_R$ for $m \in \mathbb{Z}$ are all distinct. In this case, we say that R has characteristic 0.
- 2. There exists $m, n \in \mathbb{N}$ such that $m1_R = (m+n)1_R$. In this case, we say that R has *characteristic* n, where n is the least positive n for which this property holds.

We denote the characteristic of R by char R. If char R = n, then $na = 0_R$ for all $a \in R$ since

$$na = (n1_R)a = 0a = 0.$$

Example 2.6.1. We have char $\mathbb{Z}/n\mathbb{Z} = n$.

Theorem 2.3. The characteristic of a field is either 0 or a prime.

Proof. Let K be a field and suppose char $K = n \neq 0$ and n is not prime. Then we can write n = rs where 1 < r, s < n. The minimal property of n implies that $r1_K \neq 0$ and $s1_K \neq 0$. But then

$$r1_K \cdot s1_K = rs1_K = n1_K = 0,$$

which is impossible since K is a field and thus has no zero divisors.

Remark. Note the following:

1. If K is a field with char K = 0, then K has a subring isomorphic to \mathbb{Z} , i.e. elements of the form $n1_K$ for $n \in \mathbb{Z}$, and K has a subfield isomorphic to \mathbb{Q} , i.e.

$$P(K) = \{ m1_K / n1_K \mid m, n \in \mathbb{Z}, n \neq 0 \}.$$

This is the prime subfield of K, and any subfield of K must contain P(K).

2. If K is a field with char K = p, then the prime subfield of K is

$$P(K) = \{1_K, 2 \cdot 1_K, \dots, (p-1) \cdot 1_K\},\$$

which is isomorphic to $\mathbb{Z}/p\mathbb{Z}$.

¹This is saying that any abelian group is naturally a module over the integers \mathbb{Z} .

Remark. In other words, every field of characteristic 0 is an *extension* of \mathbb{Q} (contains \mathbb{Q} as a subfield), and every field of characteristic p is an *extension* of $\mathbb{Z}/p\mathbb{Z}$ (contains $\mathbb{Z}/p\mathbb{Z}$ as a subfield).

Remark. If char K = 0, then writing $a/n1_K$ as a/n is fine. But if char K = p, then a/n does not make sense when p|n (since $p \cdot 1_K = 0$).

Theorem 2.4. If K is a field with char K = p, then for all $x, y \in K$, $(x + y)^p = x^p + y^p$.

Proof. See Howie. Uses the binomial theorem.

2.4 Polynomials

Let R be a ring, then we have the polynomial ring over R

$$R[X] = \{a_0 + a_1X + \dots + a_nX^n \mid a_i \in R, n \in \mathbb{N}\}.$$

If $f \in R[X]$, then it has degree n if the last nonzero element in the sequence $\{a_0, a_1, \dots\}$ is a_n , denoted $\partial f = n$. By convention, the zero polynomial has degree $-\infty$. The coefficient a_n is called the *leading coefficient*, and if $a_n = 1$, then f is *monic*. Addition and multiplication work as expected:

$$(a_0 + a_1X + \dots + a_mX^m) + (b_0 + b_1X + \dots + b_nX^n) = (a_0 + b_0) + (a_1 + b_1)X + \dots$$

and

$$(a_0 + a_1X + \dots + a_mX^m)(b_0 + b_1X + \dots + b_nX^n) = c_0 + c_1X + \dots$$

where

$$c_k = \sum_{i+j=k}^k a_i b_j.$$

The ground ring R sits inside of the polynomial ring R[X]. Take the monomorphism $\theta: R \to R[X]$ by $\theta(a) = a$, i.e. an element a maps to the constant polynomial a.

Theorem 2.5. Let D be an integral domain. Then

- 1. D[X] is an integral domain.
- 2. If $p, q \in D[X]$, then $\partial(p+q) \leq \max(\partial p, \partial q)$.
- 3. If $p, q \in D[X]$, then $\partial(pq) = \partial p + \partial q$.
- 4. The group of units of D[X] coincides with the group of units of D.

Proof. Statements (2) and (3) are left as exercises.

- (1) We need to show that D[X] has no zero divisors. For this, suppose that p, q are nonzero polynomials with leading coefficients a_m and b_n respectively. Then the leading coefficient of pq is $a_m b_n$, which is nonzero since D is an integral domain and thus has no zero divisors. So pq is nonzero.
- (4) Let $p, q \in D[X]$ and suppose pq = 1. Since $\partial(pq) = \partial(1) = 0$, we must have $\partial p = \partial q = 0$. Thus $p, q \in D$ and pq = 1 if and only if p and q are in the group of units of D.

Since D[X] is a domain, we can consider polynomials in the variable Y with coefficients in D[X]:

$$D[X,Y] = (D[X])[Y].$$

We can repeat this to get polynomials in n variables: $D[X_1, X_2, \dots, X_n]$, which is an integral domain.

Jan. 17 — Irreducible Polynomials

3.1 Principal Ideal Domains and Irreducibile Polynomials

Definition 3.1. The field of fractions of D[X] consists of rational forms

$$\frac{a_0 + a_1 X + \dots + a_m X^m}{b_0 + b_1 X + \dots + b_n X^n}$$

where $b_0 + b_1 X + \cdots + b_n X^n \neq 0$, denoted by D(X).

Definition 3.2. A domain D is a principal ideal domain (PID) if all of its ideals are principal.¹

Example 3.2.1. The integers \mathbb{Z} is a PID, since every ideal is of the form $\langle n \rangle$.

Definition 3.3. A non-zero, non-unit element p in a domain D is *irreducible* if it has no proper factors.

Definition 3.4. A domain D is a unique factorization domain (UFD) if every non-unit $a \neq 0$ in D has an essentially unique² factorization into irreducible elements.

Example 3.4.1. Again \mathbb{Z} is a UFD, e.g. $12 = 2 \cdot 2 \cdot 3 = (-2) \cdot 2 \cdot (-3)$.

Theorem 3.1. Every PID is a UFD.

Proof. See Howie.
$$\Box$$

Theorem 3.2. If K is a field, then K[X] is a PID.

Proof. See Howie.
$$\Box$$

Theorem 3.3. Let p be an element in a PID D. Then the following are equivalent:

- 1. p is irreducible.
- 2. $\langle p \rangle$ is maximal.
- 3. $D/\langle p \rangle$ is a field.

In particular if $f \in K[X]$, then $K[X]/\langle f \rangle$ is a field if and only if f is irreducible.

Proof. See Howie.
$$\Box$$

¹Recall that a principal ideal is one generated by a single element.

²As in, unique up to use of associates or adding in units.

Definition 3.5. Let D be a domain and $\alpha \in D$. Let $\sigma_{\alpha} : D[X] \to D$ defined by

$$\sigma_{\alpha}(a_0 + a_1X + \dots + a_nX^n) = a_0 + a_1\alpha + \dots + a_n\alpha^n.$$

Note that we often write $\sigma_{\alpha}(f)$ as $f(\alpha)$. If $f(\alpha) = 0$, we say α is a root of f, or a zero.

Exercise 3.1. Check that σ_{α} is a homomorphism.

Theorem 3.4. Let K be a field, $\beta \in K$ and f a non-zero polynomial in K[X]. Then β is a root of f if and only if $X - \beta | f$.

Proof. See Howie. \Box

Example 3.5.1. We have $X^2 + 1$ in $\mathbb{R}[X]$ is irreducible, so $\mathbb{R}[X]/\langle X^2 + 1 \rangle$ is a field. In fact this field is isomorphic to the complex numbers \mathbb{C} .

Exercise 3.2. Do the following:

1. Show that $\varphi : \mathbb{R}[X] \to \mathbb{C}$ given by

$$\varphi(a_0 + a_1X + \dots + a_nX^n) = a_0 + a_1i + \dots + a_ni^n$$

is a surjective homomorphism.³

2. Show that $\ker \varphi = \langle X^2 + 1 \rangle$.

So by the first isomorphism theorem we can conclude that $\mathbb{R}[X]/\langle X^2+1\rangle=\mathbb{R}/\ker\varphi\cong\varphi(\mathbb{R}[X])=\mathbb{C}.$

Theorem 3.5. Let K be a field and $g \in K[X]$ an irreducible polynomial. Then $K[X]/\langle g \rangle$ is a field containing K up to isomorphism.

Proof. Since g is irreducible, $K[X]/\langle g \rangle$ is a field. Now define $\varphi: K \to K[X]/\langle g \rangle$ by

$$\varphi(a) = a + \langle g \rangle.$$

(Left as an exercise to check that φ is a homomorphism.) We need to show that φ is injective. For this, take $a, b \in K$. If $a + \langle g \rangle = b + \langle g \rangle$, then $a - b \in \langle g \rangle$. But K is a field, so this happens precisely when a = b. Thus φ embeds K into $K[X]/\langle g \rangle$, as desired.

3.2 Irreducible Polynomials over \mathbb{C} , \mathbb{R} , \mathbb{Q} , and \mathbb{Z}

Our goal now is to study irreducible polynomials. Note that linear polynomials are irreducible, and recall that every polynomial in \mathbb{C} factorizes, essentially uniquely, into linear factors. Furthermore, complex roots of real polynomials come in conjugate pairs, hence

$$g = a_0 + a_1 X + \dots + a_n X^n \in \mathbb{R}[X]$$

factors as

$$g = a_n(X - \beta_1) \dots (X - \beta_r)(X - \gamma_1)(X - \overline{\gamma}_1) \dots (X - \gamma_3)(X - \overline{\gamma}_s)$$

³Note that there's some technicality about this φ not being a σ_{α} since we defined σ_{α} for α in the base domain, and i is kind of somewhere else.

in $\mathbb{C}[X]$, where $\beta_1, \ldots, \beta_r \in \mathbb{R}$ and $\gamma_1, \ldots, \gamma_s \in \mathbb{C} \setminus \mathbb{R}$ and r+2s=n. Thus over $\mathbb{R}[X]$, g factors as

$$g = a_n(X - \beta_1) \dots (X - \beta_r)(X^2 - (\gamma_1 + \overline{\gamma}_1)X + \gamma_1\overline{\gamma}_1) \dots (X^2 - (\gamma_s + \overline{\gamma}_s)X + \gamma_s\overline{\gamma}_s)$$

in $\mathbb{R}[X]$, where the quadratic factors are irreducible in $\mathbb{R}[X]$.

Exercise 3.3. A quadratic $aX^2 + bX + c \in \mathbb{R}[X]$ is irreducible if and only if its discriminant $b^2 - 4ac < 0$.

Now we have pretty much characterized irreducible polynomials in $\mathbb{R}[X]$. But what about $\mathbb{Q}[X]$?

Theorem 3.6. Let $g = a_0 + a_1 X + a_2 X^2 \in \mathbb{Q}[X]$. Then

- 1. If g is irreducible over \mathbb{R} , then it is irreducible over \mathbb{Q} .
- 2. If $g = a_2(X \beta_1)(X \beta)$ with $\beta_1, \beta_2 \in \mathbb{R}$, then g is irreducible in $\mathbb{Q}[X]$ if and only if β_1 and β_2 are irrational.

Proof. (1) We show the contrapositive. If g factors as

$$g = a_2(X - q_1)(X - q_2) \in \mathbb{Q}[X],$$

then g also factors in $\mathbb{R}[X]$.

(2) If β_1 and β_2 are rational, then g factors in $\mathbb{Q}[X]$ and is thus not irreducible. For the other direction, if β_1 and β_2 are irrational, then $g = a_2(X - \beta_1)(X - \beta_2)$ is the only factorization in $\mathbb{R}[X]$ since $\mathbb{R}[X]$ is a UFD, so there is no factorization in $\mathbb{Q}[X]$ into linear factors.

Example 3.5.2. Are the following polynomials irreducible in $\mathbb{R}[X]$? In $\mathbb{Q}[X]$?

- 1. $X^2 + X + 1$ is irreducible over \mathbb{R} and \mathbb{O} since $b^2 4ac = -3$.
- 2. $X^2 X 1$ has roots $(-1 \pm \sqrt{5})/2$, so it factors over $\mathbb R$ but is irreducible over $\mathbb Q$.
- 3. $X^2 + X 2$ factors as (X + 2)(X 1) over \mathbb{R} and \mathbb{Q} .

Now that we have studied irreducible polynomials in $\mathbb{R}[X]$ and $\mathbb{Q}[X]$, can a polynomial in $\mathbb{Z}[X]$ be irreducible over \mathbb{Z} but not \mathbb{Q} ? The answer is no!

Theorem 3.7 (Gauss's lemma). Let f be a polynomial in $\mathbb{Z}[X]$, irreducible over \mathbb{Z} . Then f is irreducible over \mathbb{Q} .

Proof. For sake of contradiction, suppose f = gh with $g, h \in \mathbb{Q}[X]$ and $\partial g, \partial h < \partial f$. Then there exists $n \in \mathbb{Z}_{>0}$ such that nf = g'h' where $g', h' \in \mathbb{Z}[X]$. Let n be the smallest positive integer with this property. Let

$$g' = a_0 + a_1 X + \dots + a_k X^k$$

 $h' = b_0 + b_1 X + \dots + b_l X^l$.

If n = 1, then g' = g and h' = h, a contradiction. Now $n \ge 1$, so let p be a prime factor of n.⁴ Without loss of generality, assume p divides g', i.e. g' = pg'' where $g'' \in \mathbb{Z}[X]$. Then

$$\frac{n}{p}f = g''h',$$

contradicting the minimality of n. Hence f cannot be factored over \mathbb{Q} .

⁴Lemma: Either p divides all the coefficients of g' or p divides all the coefficients of h'. Proof left as an exercise.

Example 3.5.3. Show that $g = X^3 + 2X^2 + 4X - 6$ is irreducible over \mathbb{Q} .

Proof. If g factors over \mathbb{Q} , it factors over \mathbb{Z} and at least one factor must be linear, i.e.

$$g = X^3 = 2X^2 + 4X - 6 = (X - a)(X^2 + bX + c)$$

where $a, b, c \in \mathbb{Z}$. We must have ac = 6, so $a \in \{\pm 1, \pm 2, \pm 3, \pm 6\}$ and g(a) = 0. We can check this:

Hence g is irreducible over \mathbb{Z} and thus also irreducible over \mathbb{Q} .

We could do this trick since the degree was 3, forcing a linear factor. What about degrees higher than 3?

Theorem 3.8 (Eisenstein's criterion). Let $f = a_0 + a_1X + \cdots + a_nX^n \in \mathbb{Z}[X]$. Suppose there exists a prime p such that

- 1. $p \nmid a_n$,
- 2. $p|a_i \text{ for } i = 0, \ldots, n-1,$
- 3. $p^2 \nmid a_0$.

Then f is irreducible over \mathbb{Q} .

Proof. By Gauss's lemma, it suffices to show that f is irreducible over \mathbb{Z} . Suppose for sake of contradiction that f = gh for

$$g = b_0 + b_1 X + \dots + b_r X^r$$
 and $h = c_0 + c_1 X + \dots + c_s X^s$,

r, s < n, and r + s = n. Note that $a_0 = b_0 c_0$, so $p|a_0$ from (2) implies that $p|b_0$ or $p|c_0$. Since $p^2 \nmid a_0$, it cannot be both. Without loss of generality, assume $p|b_0$ and $p\nmid c_0$. Now suppose inductively that p divides b_0, \ldots, b_{k-1} where $1 \le k \le r$. Then

$$a_k = b_0 c_k + b_1 c_{k-1} + \dots + b_{k-1} c_1 + b_k c_0$$

and since p divides a_k , b_0c_k , b_1c_{k-1} , ..., $b_{k-1}c_1$, it follows that $p|b_kc_0$. Since $p\nmid c_0$ by assumption, we must have $p|b_k$. Thus $p|b_r$ and since $a_n = b_rc_s$, we have $p|a_n$, contradicting (1). Hence is f is irreducible. \square

Example 3.5.4. The polynomial

$$X^5 + 2X^3 + \frac{8}{7}X^2 - \frac{4}{7}X + \frac{2}{7}$$

is irreducible over \mathbb{Q} .

Proof. Multiply by 7 and take the integer polynomial $7X^5 + 14X^3 + 8X^2 - 4X + 2$. Taking p = 2 satisfies Eisenstein's criterion, so this polynomial is irreducible over \mathbb{Z} and thus also irreducible over \mathbb{Q} .

Example 3.5.5. If p > 2 is prime, then show that

$$f = 1 + X + X^2 + \dots + X^{p-1}$$

is irreducible over \mathbb{Q} .

Proof. First observe that

$$f = \frac{X^p - 1}{X - 1}.$$

Let g(X) = f(X+1). Then

$$g(X) = \frac{(X+1)^p - 1}{(X+1) - 1} = \frac{1}{X}((X+1)^p - 1) = \frac{1}{X}\sum_{i=0}^p \binom{p}{i}X^{p-i} - 1$$
$$= \frac{1}{X}\sum_{i=0}^{p-1} \binom{p}{i}X^{p-i} = \sum_{i=0}^{p-1} \binom{p}{i}X^{p-i-1}.$$

Note that $\binom{p}{1}, \binom{p}{2}, \ldots \binom{p}{p-1}$ are all divisible by p, so g is irreducible by Eisenstein's criterion. Now if f factors as f = uv, then g(X) = u(X+1)v(X+1), which is a contradiction since g is irreducible. \square

Jan. 22 — Field Extensions

4.1 More on Irreducibility

The following excerpt is from Howie:

Another device for determining irreducibility over \mathbb{Z} (and consequently over \mathbb{Q}) is to map the polynomial onto $\mathbb{Z}_p[X]$ for some suitably chosen prime p. Let $g = a_0 + a_1 X + \cdots + a_n X^n \in \mathbb{Z}[X]$, and let p be a prime not dividing a_n . For each i in $\{0, 1, \ldots, n\}$, let \overline{a}_i denote the residue class $a_i + \langle p \rangle$ in the field $\mathbb{Z}_p = \mathbb{Z}/\langle p \rangle$, and write the polynomial $\overline{a}_0 + \overline{a}_1 X + \cdots + \overline{a}_n X^n$ as \overline{g} . Our choice of p ensures that $\partial \overline{g} = n$. Suppose that g = uv, with $\partial u, \partial v < \partial f$ and $\partial u + \partial v = \partial g$. Then $\overline{g} = \overline{u} \overline{v}$. If we can show that \overline{g} is irreducible in $\mathbb{Z}_p[X]$, then we have a contradiction, and we deduce that g is irreducible. The advantage of transferring the problem from $\mathbb{Z}[X]$ to $\mathbb{Z}_p[X]$ is that \mathbb{Z}_p is finite, and the verification of irreducibility is a matter of checking a finite number of cases.

Example 4.0.1. Show that

$$q = 7X^4 + 10X^3 - 2X^2 + 4X - 5$$

is irreducible over \mathbb{Q} .

Proof. Let p = 3 and

$$\overline{g} = X^4 + X^3 + X^2 + 1$$

This has no linear factors since

$$\bar{g}(0) = 1, \quad \bar{g}(1) = 2, \quad \bar{g}(-1) = 1.$$

So suppose

$$\overline{g} = X^4 + X^3 + X^2 + X + 1 = (X^2 + aX + b)(X^2 + cX + d)$$

in $\mathbb{Z}_3[x]$. Then for some $a, b, c, d \in \mathbb{Z}_3 = \{-1, 0, 1\}$, we have

$$\begin{cases} X^3 & a+c=1\\ X^2 & b+ac+d=1\\ X & ad+bc=1\\ 1 & bd=1 \end{cases}$$

The first case is if b = d = 1, but this implies ac = -1, so $a = \pm 1$ and $c = \mp 1$. But a + c = 1, so this cannot happen. The second case is if b = d = -1. This implies that ac = 0 and a + c = 1. So if a = 0, then c = 1, so 1 = ad + bc = b, which is a contradiction with b = -1. If c = 0, then 1 = ad + bc = d,

which is a contradiction with d = -1. Thus \overline{g} is irreducible in $\mathbb{Z}_3[x]$, so g is irreducible in $\mathbb{Z}[x]$, and by Gauss's lemma, g is irreducible in $\mathbb{Q}[x]$.

Remark. If we had tried p=2, then we have $\overline{g}=x^4+1\in\mathbb{Z}_2[x]$, which is not in fact irreducible since

$$\overline{g} = x^4 + 1 = (x+1)^4 \in \mathbb{Z}_2[x].$$

4.2 Field Extensions

Definition 4.1. Let K, L be fields and $\varphi : K \to L$ an injective homomorphism. Then L is a *field extension* of K, denoted L : K.

Example 4.1.1. We have $\mathbb{C} : \mathbb{R}$ is a field extension.

Definition 4.2. Recall that V is a K-vector space if

- 1. V is an abelian group under +,
- 2. For $a, b \in K$ and $x, y \in V$, we have

(i).
$$a(x+y) = ax + ay$$
, (ii). $(a+b)x = ax + bx$, (iii). $(ab)x = a(bx)$, (iv). $1x = 1$.

Remark. If L: K is a field extension, then L is a a vector space over K.

Definition 4.3. A basis for a vector space is a linearly independent spanning set.

Example 4.3.1. The complex numbers \mathbb{C} is a \mathbb{R} -vector space with basis $\{1, i\}$. Bases are not unique, since $\{1 + i, 1 - i\}$ is another basis for \mathbb{C} .

Example 4.3.2. If there is a vector space that we know to be a field, then it is automatically a field extension of its ground field.

Definition 4.4. The dimension of L is the cardinality of a basis for L: K.¹ The dimension is also called the degree of L: K, denoted [L: K]. We say that L is a finite extension if [L: K] is finite, and an infinite extension otherwise.

Example 4.4.1. We have $[\mathbb{C}:\mathbb{R}]=2$, which is finite. On the other hand, $\mathbb{R}:\mathbb{Q}$ is an infinite extension.

Theorem 4.1. Let L: K be a field extension. Then L = K if and only if [L: K] = 1.

Proof. (\Rightarrow) If L = K, then $\{1\}$ is a basis for L : K, and thus [L : K] = 1.

(\Leftarrow) If [L:K]=1, then $\{x\}$ is a basis for L:K for some $x\in L$. Then there exists some $a\in K$ such that 1=ax, so $x=a^{-1}\in K$. For every $y\in L$, there exists $b\in K$ such that y=bx. But then

$$y = bx = b(a^{-1}) \in K,$$

so $y \in K$ as well by closure. Thus L = K as desired.

Remark. Let L: K and M: L be field extensions with

$$K \xrightarrow{\alpha} L \xrightarrow{\beta} M$$

¹Note that this is well-defined since any two bases of L have the same length.

Then M: K is also a field extension.

Theorem 4.2. For field extensions L: K and M: L, we have [M:L][L:K] = [M:K].

Proof. Suppose $\{a_1, a_2, \dots a_r\}$ is a linearly independent subset of M over L and $\{b_1, b_2, \dots, b_s\}$ is a linearly independent subset of L over K. Now we claim that

$${a_ib_i \mid 1 \le i \le r, 1 \le j \le s}$$

is a linearly independent subset of M over K. To see this, suppose

$$\sum_{i=1}^{r} \sum_{j=1}^{s} \lambda_{ij} a_i b_i = 0$$

for some $\lambda_{ij} \in K$. We can rewrite this as

$$\sum_{i=1}^{r} \left(\sum_{j=1}^{s} \lambda_{ij} b_j \right) a_i = 0.$$

Since the a_i are linearly independent over L, it follows that

$$\sum_{j=1}^{s} \lambda_{ij} b_j = 0$$

for each i = 1, ..., r. Since the b_j are linearly independent over K, it follows that $\lambda_{ij} = 0$ for each i, j, which proves the claim. Returning to the main proof, if [M:L] or [L:K] is infinite, then r or s can be made arbitrarily large, so

$$\{a_ib_j \mid 1 \le i \le r, 1 \le j \le s\}$$

can also be made arbitrarily large, and hence [M:K] is infinite. Now suppose $[M:L] = r < \infty$ and $[L:K] = s < \infty$. Let $\{a_1, a_2, \ldots, a_r\}$ be a basis for M:L and $\{b_1, b_2, \ldots, b_s\}$ be a basis for L:K. We will show that

$$\{a_ib_j \mid 1 \le i \le r, 1 \le j \le s\}$$

is a basis for M:K. Since we already showed that $\{a_ib_j\}$ is linearly independent, it only remains to show that they span M over K. For each $z \in M$, there exist $\lambda_1, \ldots, \lambda_r \in L$ such that

$$z = \sum_{i=1}^{r} \lambda_i a_i.$$

Then for each $\lambda_i \in L$, there exist $\mu_{i1}, \ldots, \mu_{is} \in K$ such that

$$\lambda_i = \sum_{j=1}^s \mu_{ij} b_j.$$

Combining this yields

$$z = \sum_{i=1}^{r} \sum_{j=1}^{s} \mu_{ij} a_i b_j$$

as desired, which finishes the proof.

Example 4.4.2. Consider $\mathbb{Q}(\sqrt{2}) = \mathbb{Q}[\sqrt{2}] = \{a + b\sqrt{2} \mid a, b \in \mathbb{Q}\}.$

Exercise 4.1. Show that $\mathbb{Q}[\sqrt{2}]$ is a field. (Hint: $1/(a+b\sqrt{2})=(a-b\sqrt{2})/(a^2-2b^2)$.)

Definition 4.5. Let K be a subfield of L and S a subset of L. The *subfield of* L *generated over* K *by* S, denoted K(S), is the intersection of all subfields of L containing $K \cup S$. If $S = \{\alpha_1, \ldots, \alpha_n\}$ is finite, we write $K(\alpha_1, \ldots, \alpha_n)$.

Theorem 4.3. Let E be the elements in L that can be expressed as quotients of finite K-linear combinations of finite products of elements in S. Then K(S) = E.

Proof. To see that $K(S) \subseteq E$, simply check that E is a subfield of L containing $K \cup S$.

For $E \subseteq K(S)$, note that any subfield of L containing K and S must contain all finite products of elements in S, all linear combinations of such products, and all quotients of such linear combinations. This is precisely what is means to have $E \subseteq K(S)$.

Definition 4.6. A simple extension of K is $K(\alpha)$, i.e. S has a single element $\alpha \notin K$.

Example 4.6.1. The previous example $\mathbb{Q}(\sqrt{2})$ is a simple extension.

Theorem 4.4. Let L be a field, K a subfield, and $\alpha \in L$. Then either

- 1. $K(\alpha)$ is isomorphic to K(X), the field of rational forms with coefficients in K,
- 2. or there exists a unique monic polynomial $m \in K[X]$ with the property that for all $f \in K[X]$,
 - (a) $f(\alpha) = 0$ if and only if m|f,
 - (b) the field $K(\alpha)$ coincides with $K[\alpha]$, the ring of all polynomials in α with coefficients in K,
 - (c) and $[K[\alpha]:K] = \partial m$.

Proof. Suppose there does not exist nonzero $f \in K[X]$ such that $f(\alpha) = 0$. Then there exists a map $\varphi : K(X) \to K(\alpha)$ with $f/g \mapsto f(\alpha)/g(\alpha)$, which is defined since $g(\alpha) = 0$ only if g is the zero polynomial. Note that φ is a surjective homomorphism, which one can check as an exercise. Now we show that φ is also injective. To see this, suppose

$$\varphi(f/g) = \varphi(p/q),$$

which happens if and only if

$$f(\alpha)q(\alpha) - p(\alpha)g(\alpha) = 0.$$

in L. This happens if and only if fq - pg = 0 in K[X], which happens if and only if f/g = p/q in K(X). This completes the first case of the theorem.

Now suppose there exists nonzero $g \in K[X]$ such that $g(\alpha) = 0$. Furthermore, suppose g is a polynomial of least degree with this property. Let a be the leading coefficient of g, and let m = g/a, so that m is monic and $m(\alpha) = 0$ still. The reverse implication in (2a) is clear. For the forwards implication in (2a), note that by division with remainder for polynomials over a field, we can write

$$f = qm + r,$$

where $\partial r < \partial m$. By the minimality of ∂m , we must have r = 0, so m|f. For the uniqueness of m, suppose there exists m' with the same properties. Then $m(\alpha) = m'(\alpha) = 0$, so m|m' and m'|m, which

²Also check that φ is well-defined.

implies that m=m' since m and m' are monic. For the irreducibility of m, suppose for the sake of contradiction that m=pq with $\partial p, \partial q < \partial m$. Then $m(\alpha)=p(\alpha)q(\alpha)=0$, so either $p(\alpha)=0$ or $q(\alpha)=0$, which contradicts the minimality of ∂m .

Now we show (2b), which says that $K(\alpha) = K[\alpha]$. For this, consider $p(\alpha)/q(\alpha) \in K(\alpha)$ for $q(\alpha) \neq 0$. Then $m \nmid q$, and since m is irreducible we have $\gcd(m,q) = 1$. Now by Theorem 2.15 of Howie (about gcd's in the Euclidean domain K[X]), there exist polynomials a, b such that aq + bm = 1. Setting $X = \alpha$ yields $a(\alpha)q(\alpha) = 1$, so

$$\frac{p(\alpha)}{q(\alpha)} = p(\alpha)a(\alpha) \in K[\alpha].$$

Thus $K(\alpha) \subseteq K[\alpha]$. Since we already know that $K[\alpha] \subseteq K(\alpha)$, we conclude that $K(\alpha) = K[\alpha]$.

Finally we show (2c), which claims that $[K[\alpha]:K]=\partial m$. For this, suppose $\partial m=n$ and let

$$p(\alpha) \in K[\alpha] = K(\alpha).$$

Then p = qm + r where $\partial r < \partial m = n$. We have $p(\alpha) = r(\alpha)$, so if

$$r = c_0 + c_1 X + \dots + c_{n-1} X^{n-1}$$

for $c_i \in K$, then

$$p(\alpha) = c_0 + c_1 \alpha + \dots + c_{n-1} \alpha^{n-1}$$
.

So $\{1, \alpha, \dots, \alpha^{n-1}\}$ is a spanning set for $K[\alpha]$. To see that $\{1, \alpha, \dots, \alpha^{n-1}\}$ is also linearly independent, suppose there exists $a_i \in K$ such that

$$a_0 + a_1 \alpha + \dots + a_{n-1} \alpha^{n-1} = 0.$$

Then $a_0 = \cdots = a_{n-1} = 0$ since otherwise we would have a polynomial

$$p = a_0 + a_1 X + \dots + a_{n-1} X^{n-1}$$

with $\partial p \leq n-1$ and $p(\alpha)=0$, which is a contradiction with the minimality of $\partial m=n$. Thus $\{1,\alpha,\ldots,\alpha^{n-1}\}$ is a basis, and so $[K[\alpha]:K]=n=\partial m$.

Example 4.6.2. Continuing the same example, note that

$$\mathbb{Q}[\sqrt{2}] = \{a + b\sqrt{2} \mid a, b \in \mathbb{Q}\} = \{a_0 + a_1\sqrt{2} + a_2\sqrt{2}^2 + a_3\sqrt{2}^3 + \dots + a_n\sqrt{2}^n \mid a_i \in \mathbb{Q}\},\$$

which falls in the second case of the previous theorem.

Remark. We also have $\mathbb{Q}[\sqrt{2}] = \mathbb{Q}[X]/\langle X^2 - 2 \rangle$.

Jan. 24 — Algebraic Extensions

5.1 Minimal Polynomials

Remark. The m in the previous theorem from last class is called the minimal polynomial of α .

Example 5.0.1. Let

$$\mathbb{Q}[i\sqrt{3}] = \{a + bi\sqrt{3} \mid a, b \in \mathbb{Q}\} \subseteq \mathbb{C}.$$

Here $m = X^2 + 3$, so this is a degree 2 extension.

Exercise 5.1. Write $1/(a + bi\sqrt{3})$ in the form $c + di\sqrt{3}$.

Example 5.0.2. Is $\mathbb{Q}(\sqrt{2}, \sqrt{3})$ a simple extension? In fact it is! Note that certainly

$$\mathbb{Q}(\sqrt{2} + \sqrt{3}) \subseteq \mathbb{Q}(\sqrt{2}, \sqrt{3}).$$

For the reverse inclusion, observe that $(\sqrt{3} + \sqrt{2})(\sqrt{3} - \sqrt{2}) = 1$, so

$$1/(\sqrt{3} + \sqrt{2}) = \sqrt{3} - \sqrt{2} \in \mathbb{Q}(\sqrt{2} + \sqrt{3}).$$

From this we have

$$(\sqrt{3} + \sqrt{2}) + (\sqrt{3} - \sqrt{2}) = 2\sqrt{3},$$

which implies that $\sqrt{3} \in \mathbb{Q}(\sqrt{2} + \sqrt{3})$. Similarly $\sqrt{2} \in \mathbb{Q}(\sqrt{2} + \sqrt{3})$, so that $\mathbb{Q}(\sqrt{2}, \sqrt{3}) \subseteq \mathbb{Q}(\sqrt{2} + \sqrt{3})$. Now we can consider

$$\mathbb{Q}(\sqrt{2}, \sqrt{3}) = \mathbb{Q}[\sqrt{2}, \sqrt{3}] = (\mathbb{Q}[\sqrt{2}])[\sqrt{3}].$$

First we have $[Q[\sqrt{2}]:\mathbb{Q}]=2$. Note that X^2-3 is the minimal polynomial of $\sqrt{3}$ over $\mathbb{Q}[\sqrt{2}]$, so $[\mathbb{Q}[\sqrt{2},\sqrt{3}]:\mathbb{Q}[\sqrt{2}]]=2$. Hence $[\mathbb{Q}[\sqrt{2},\sqrt{3}]:\mathbb{Q}]=4$ with basis $\{1,\sqrt{2},\sqrt{3},\sqrt{6}\}$. To find the minimal polynomial of $\sqrt{2}+\sqrt{3}$ over \mathbb{Q} , we can compute

$$(\sqrt{2} + \sqrt{3})^2 = 2 + 2\sqrt{6} + 3 = 5 + 2\sqrt{6}$$
$$(\sqrt{2} + \sqrt{3})^4 = 25 + 20\sqrt{6} + 24 = 49 + 20\sqrt{6}.$$

Thus $X^4 - 10X^2 + 1$ is the minimal polynomial, since $\alpha^4 - 10\alpha^2 + 1 = 0$ for $\alpha = \sqrt{2} + \sqrt{3}$.

¹Since $\mathbb{Q}[\sqrt{2}, \sqrt{3}] = \mathbb{Q}[\alpha]$ where $\alpha = \sqrt{2} + \sqrt{3}$, we have $\{1, \alpha, \alpha^2, \alpha^3\}$ as another basis.

5.2 Algebraic Extensions

Definition 5.1. If α has a minimal polynomial over K, we say α is algebraic over K, and $K[\alpha] = K(\alpha)$ is an algebraic extension of K. A complex number that is algebraic over $\mathbb Q$ is called an algebraic number. Otherwise, if $K(\alpha) \cong K(X)$, then we say α is transcendental over K. A transcendental number α is a complex number that is transcendental over $\mathbb Q$.

Example 5.1.1. We have that $\mathbb{Q}(i\sqrt{3})$, $\mathbb{Q}(\sqrt{2})$, $\mathbb{Q}(\sqrt{3})$, and $\mathbb{Q}(\sqrt{2},\sqrt{3})$ are all simple algebraic extensions of \mathbb{Q} , whereas $\mathbb{Q}(X)$ is a simple transcendental extension of \mathbb{Q} .

Theorem 5.1. Let $K(\alpha)$ be a simple transcendental extension of K. Then $[K(\alpha):K]=\infty$.

Proof. Observe that $1, \alpha, \alpha^2, \ldots$ are linearly independent over K, since no minimal polynomial exists. \square

Definition 5.2. An extension L over K is an algebraic extension if any element of L is algebraic over K. Otherwise, L is a transcendental extension.

Theorem 5.2. Every finite extension is algebraic.

Proof. Let L: K be a finite extension and suppose for sake of contradiction that $\alpha \in L$ is transcendental over K. Then $1, \alpha, \alpha^2, \ldots$ are linearly independent, contradicting the fact that L: K is finite. \square

Theorem 5.3. Let L: K be a field extension and let A(L) be the set of elements in L that are algebraic over K. Then A(L) is a subfield of L.

Proof. See Howie. Just need to show the closure of algebraic elements under usual field operations. \Box

Example 5.2.1. For $L = \mathbb{C}$ and $K = \mathbb{Q}$, we have that $\mathcal{A}(\mathbb{C})$ is the field \mathbb{A} of algebraic numbers.

Theorem 5.4. The set of algebraic numbers \mathbb{A} is countable.

Proof sketch. Note that the set of monic polynomials of degree n with coefficients in \mathbb{Q} is countable, and each such polynomial has at most n distinct roots in \mathbb{C} . Hence the number of roots of such polynomials is countable. Then \mathbb{A} is the countable union of countable sets, so \mathbb{A} is countable.

Theorem 5.5. Transcendental numbers exist.

Proof. Since $|\mathbb{R}| = |\mathbb{C}| = 2^{\aleph_0} > \aleph_0$, we must have that $\mathbb{C} \setminus \mathbb{A}$ is nonempty.

Remark. The above proof is very nonconstructive, what about actual examples of transcendental numbers? In 1844, Liouville constructed the following example:

$$\sum_{n=1}^{\infty} 10^{-n!},$$

which was shown to be transcendental. In 1873, Hermite showed that e is transcendental, and in 1882, Lindemann showed that π is transcendental.

Theorem 5.6. Let L: K be a field extension and $\alpha_1, \ldots, \alpha_n \in L$ have minimal polynomials m_1, \ldots, m_n , respectively. Then $[K(\alpha_1, \ldots, \alpha_n): K] \leq \partial m_1 \partial m_2 \ldots \partial m_n$.

Proof. See Howie. Uses induction and the fact that [M:L][L:K] = [M:K].

Example 5.2.2. Consider

$$[\mathbb{Q}[\sqrt{2}]:\mathbb{Q}] = [\mathbb{Q}[\sqrt{3}]:\mathbb{Q}] = [\mathbb{Q}[\sqrt{6}]:\mathbb{Q}] = 2,$$

but $[\mathbb{Q}[\sqrt{2},\sqrt{3},\sqrt{6}]:\mathbb{Q}]=4$. So the bound in the previous theorem cannot be made into an equality.

Proposition 5.1. A field extension L: K is finite if and only if for some n, there exist $\alpha_1, \ldots, \alpha_n$ algebraic over K such that $L = K(\alpha_1, \ldots, \alpha_n)$.

Proof. (\Leftarrow) This is precisely the previous theorem.

 (\Rightarrow) Suppose L: K is finite and $\{\alpha_1, \ldots, \alpha_n\}$ is a basis for L over K. Since finite extensions are algebraic, the α_i must be algebraic.

Exercise 5.2. Show that $\varphi: \mathbb{Q}[\sqrt{2}] \to \mathbb{Q}[X]/\langle X^2 - 2 \rangle$ defined by

$$a + b\sqrt{2} \mapsto a + bX + \langle X^2 - 2 \rangle$$

is an isomorphism.

Theorem 5.7. Let K be a field and m a monic irreducible polynomial in K[X]. Then $L = K[X]/\langle m \rangle$ is a simple algebraic extension $K[\alpha]$ of K, and $\alpha = X + \langle m \rangle$ has minimal polynomial m over K.

Proof. First note that L is indeed a field since m is irreducible. Also L:K is indeed a field extension since $\varphi:K\to L$ defined by $a\mapsto a+\langle m\rangle$ is an injective homomorphism. Now let $\alpha=X+\langle m\rangle$. For

$$f = a_0 + a_1 X + \dots + a_n X^n \in K[X],$$

we have

$$f(\alpha) = a_0 + a_1 \alpha + \dots + a_n \alpha^n = a_0 + a_1 (X + \langle m \rangle) + \dots + a_n (X + \langle m \rangle)^n$$

= $a_0 + a_1 X + \dots + a_n X^n + \langle m \rangle = f + \langle m \rangle.$

So $f(\alpha) = 0$ if and only if $f \in \langle m \rangle$, i.e. m|f. Hence m is the minimal polynomial of α .

Jan. 29 — Geometric Constructions

6.1 K-Isomorphisms

Recall from last class that $L = K[X]/\langle m \rangle$ is a simple algebraic extension of K. In fact, we can show that the field L is essentially unique, i.e. unique up to isomorphism.

Theorem 6.1. Let K be a field and and f and an irreducible polynomial in K[X]. If L and L' are two extensions of K containing roots α and α' respectively of f, then there exists an isomorphism $K[\alpha] \to K[\alpha']$ which fixes every element of K.

Proof sketch. Suppose

$$f = a_0 + a_1 X + \dots + a_n X^n.$$

Then $K[\alpha]$ consists of polynomials of the form

$$b_0 + b_1 \alpha + \dots + b_{n-1} \alpha^{n-1}.$$

This is because multiplication in $K[\alpha]$ relies on the observation that

$$\alpha^n = -\frac{1}{\alpha_n}(a_0 + a_1\alpha + \dots + a_{n-1}\alpha^{n-1})$$

since α is a root of f. Define $\psi: K[\alpha] \to K[\alpha']$ by $\psi(g(a)) = g(\alpha')$ and show that ψ is an isomorphism. \square

Exercise 6.1. Check the following from the previous proof:

- 1. ψ is one-to-one and onto,
- 2. ψ fixes K,
- 3. and ψ is a homomorphism.

For the last point, the addition is mostly straightforward but the multiplication is more involved since we need to reduce when we get α^n terms in the product.

Definition 6.1. A K-isomorphism is an isomorphism $\varphi: L \to L'$ such that $\varphi(x) = x$ for all $x \in K$.

Example 6.1.1. For $\mathbb{C} : \mathbb{R}$, the complex conjugation map $\varphi : \mathbb{C} \to \mathbb{C}$ given by $\varphi(a + bi) = a - bi$ is a \mathbb{R} -isomorphism.

Example 6.1.2. For $\mathbb{Q}[X]/\langle X^2+3\rangle:\mathbb{Q}^1$, the map $\psi:\mathbb{Q}[X]/\langle X^2+3\rangle\to\mathbb{Q}[X]/\langle X^2+3\rangle$ given by

$$\psi(a+bX+\langle X^2+3\rangle) = a-bX+\langle X^2+3\rangle$$

is a \mathbb{Q} -isomorphism. The analogous map $\psi : \mathbb{Q}[i\sqrt{3}] \to \mathbb{Q}[i\sqrt{3}]$ given by $\psi(a + bi\sqrt{3}) = a - bi\sqrt{3}$ also works, which we can view as a restriction of the complex conjugation map to $\mathbb{Q}[i\sqrt{3}]$.

6.2 Applications to Geometric Constructions

Consider the straightedge and compass Constructions from geometry. Let B_0 be a set of points. Then we have the following operations:

- 1. (straightedge) Draw a straight line through any two points in B_0 .
- 2. (compass) Draw a circle whose center is a point in B_0 passing through another point in B_0 .

Let $C(B_0)$ be the set of points which are intersections of lines or circles obtained form B_0 by (1) and (2). Let $B_1 = B_0 \cup C(B_0)$, and proceed inductively to get $B_n = B_{n-1} \cup C(B_{n-1})$.

Definition 6.2. A point is *constructible from* B_0 if it belongs to B_n for some n. A point is *constructible* if it is constructible from $\{O, I\}$ where O = (0, 0) and I = (1, 0).

Example 6.2.1. To find the midpoint of the line segment OI from $B_0 = \{O, I\}$, we can do the following:

- 1. Draw a circle with center O passing through I.
- 2. Draw a circle with center I passing through O.
- 3. Mark points P and Q where these circles intersect. So $B_1 \supseteq \{O, I, P, Q\}$.
- 4. Draw a line connecting P and Q.
- 5. Draw a line connecting O and I.
- 6. Mark the point M where PQ and OI meet. So $B_2 \supseteq \{O, I, P, Q, M\}$.

Thus M is constructible from $\{O,I\}$.

The algebraic perspective is the following: Associate to B_i the subfield of \mathbb{R} generated by coordinates of points in B_i , i.e. view each coordinate of each point as an element and take the subfield generated.

Example 6.2.2. For $B_0 = \{(0,0), (1,0)\}$, we have $\{0,0,1,0\} \subseteq K_0 = \mathbb{Q}$ is the subfield of \mathbb{R} generated by the coordinates of B_0 . Next take²

$$B_1 = \{O, I, P, Q\} = \{(0, 0), (1, 0), (1/2, \pm \sqrt{3}/2)\},\$$

so that $K_1 = \mathbb{Q}[\sqrt{3}]$ is the field generated by B_1 . Then

$$B_2 = \{O, I, P, Q, M\} = \{(0, 0), (1, 0), (1/2, \pm \sqrt{3}/2), (1/2, 0)\},\$$

and the field generated by B_2 is still $K_2 = \mathbb{Q}[\sqrt{3}]$.

Note that $\mathbb{Q}[X]/\langle X^2+3\rangle\cong\mathbb{Q}[i\sqrt{3}]$. The isomorphism is given by $a+bX+\langle X^3+3\rangle\mapsto a+bi\sqrt{3}$.

²There is some abuse of notation here since we take B_i to be only some subset of all the actual possible points.

Theorem 6.2. Let P be a constructible point belonging to B_n , where $B_0 = \{(0,0), (1,0)\}$, and let K_n be the field generated over \mathbb{Q} by B_n . Then $[K_n : \mathbb{Q}]$ is a power of 2.

Proof sketch. We proceed by induction. The base case is $K_0 = \mathbb{Q}$, so $[K_0 : \mathbb{Q}] = 1 = 2^0$. Now suppose $[K_{n-1} : \mathbb{Q}] = 2^k$ for some $k \geq 0$, and we want to show that $[K_n : K_{n-1}]$ is a power of 2. Observe that new points in B_n can be obtained by

- 1. intersection of two lines,
- 2. intersection of a line and a circle,
- 3. or intersection of two circles.

In case (1), the intersection of two lines is given by solving a system of two linear equations, which only involves rational operations³. In other words, this case takes place entirely in K_{n-1} .

In case (2), the intersection of a line and a circle is given by solving of a system of one linear equation and one quadratic equation. Solving the linear equation for one of the variables and substituting into the quadratic equation reduces the system down to a single quadratic equation in a single variable. The solution involves $\sqrt{\Delta}$, where Δ is the discriminant. Then the new points are in $K_{n-1}[\sqrt{\Delta}]$.

In case (3), the intersection of two circles is given by solving a system of two quadratic equations. Subtracting the two quadratic equations yields a linear equation, which reduces back to case (2).

Thus the elements in K_n are either in K_{n-1} or $K_{n-1}[\sqrt{\Delta}]$ for some $\Delta \in K_{n-1}$.⁴ Hence $[K_n : K_{n-1}]$ is either 1 or 2, so by induction $[K_n : \mathbb{Q}]$ is a power of 2.

6.3 Classic Problems

6.3.1 Duplicating the Cube

Consider the problem of taking a cube of volume 1, and constructing a cube of volume 2. We need α such that $\alpha^3 = 2$. But $X^3 - 2$ is irreducible over \mathbb{Q} by Eisenstein's criterion, so $[\mathbb{Q}[\alpha] : \mathbb{Q}] = 3$. This is not a power of 2, so α is not constructible and thus we cannot duplicate the cube.

6.3.2 Trisecting the Angle

Recall the triple angle formula:

$$\cos 3\theta = 4\cos^3 \theta - 3\cos \theta.$$

Suppose $\cos 3\theta = c$. So to find $\cos \theta$, we want a root of $4X^3 - 3X - c = 0$. This depends on c.

Example 6.2.3. If $3\theta = \pi/2$, then c = 0 and the polynomial factors into

$$4X^3 - 3X = 4X(4X^2 - 3),$$

so $[\mathbb{Q}[\alpha]:\mathbb{Q}]=[\mathbb{Q}[\sqrt{3}]:\mathbb{Q}]=2$. So in fact we can trisect $\pi/2=90^\circ$.

³By rational operations we mean addition, subtraction, multiplication, division.

⁴We can set it up so that we only gain one extra intersection, i.e. only one Δ , at each step.

Example 6.2.4. If $3\theta = \pi/3$, then c = 1/2 and we have $4X^3 - 3X - 1/2$. Let

$$f(X) = 8X^3 - 6X - 1,$$

so that $g(X) = g(X/2) = X^3 - 3X - 1$. Note that g does not factor over \mathbb{Z} since that requires a linear factor of $X \pm 1$ but $g(\pm 1) \neq 0$. So g is irreducible over \mathbb{Z} and by Gauss's lemma, g is irreducible over \mathbb{Q} . Thus f is irreducible. Hence $[\mathbb{Q}[\alpha]:\mathbb{Q}] = 3$, so we cannot trisect $\pi/3$ with a straightedge and compass.

Jan. 31 — Splitting Fields

7.1 Review of Notation

Recall that

$$\mathbb{Q}[X] = \{a_0 + a_1 X + \dots + a_n X^n : a_i \in \mathbb{Q}\}$$

$$\mathbb{Q}(X) = \{f/q : f, q \in \mathbb{Q}[X], q \neq 0\} / \sim,$$

where \sim is the usual relation on fractions, e.g. 2f/2g = f/g. Next, recall that

$$\mathbb{Q}[\sqrt{2}] = \{a_0 + a_1\sqrt{2} + \dots + a_n\sqrt{2}^n : a_i \in \mathbb{Q}\} = \{a + b\sqrt{2} : a, b \in \mathbb{Q}\}\$$

since $\sqrt{2}^2 = 2$. Also $\mathbb{Q}(\sqrt{2})$ is the smallest subfield of \mathbb{R} containing $\mathbb{Q} \cup \{\sqrt{2}\}$. In this case, $\mathbb{Q}(\sqrt{2}) = \mathbb{Q}[\sqrt{2}]$ since

$$\frac{1}{a + b\sqrt{2}} = \frac{a - b\sqrt{2}}{a^2 - 2b^2}.$$

Next, we have

$$\mathbb{Q}[X]/\langle X^2 - 2 \rangle = \{ a_0 + a_1 X + \dots + a_n X^n + \langle X^2 - 2 \rangle : a_i \in \mathbb{Q} \}$$

= $\{ a + bX + \langle X^2 - 2 \rangle : a, b \in \mathbb{Q} \}$

since $X^2 + \langle X^2 - 2 \rangle = 2 + \langle X^2 - 2 \rangle$. In fact, $\mathbb{Q}[X]/\langle X^2 - 2 \rangle \cong \mathbb{Q}[\sqrt{2}]$.

7.2 Splitting Fields

The motivating question here is: When can we factor a polynomial into linear factors?

Definition 7.1. A polynomial splits completely over K if it can be factored into linear factors over K.

Example 7.1.1. The polynomial X^2+2 splits completely over $\mathbb{Q}[i\sqrt{2}]$ since $X^2+2=(X-i\sqrt{2})(X+i\sqrt{2})$.

Example 7.1.2. The polynomial $X^3 - 2$ is irreducible over \mathbb{Q} by Eisenstein's criterion. However, it factors as

$$X^{3} - 2 = (X - \alpha)(X^{2} + \alpha X + \alpha^{2})$$

in $\mathbb{Q}[\alpha]$, where $\alpha = \sqrt[3]{2}$. Also $X^2 + \alpha X + \alpha^2$ is irreducible over $\mathbb{Q}[\alpha]$, since its discriminant shows that it is irreducible even over \mathbb{R} . But in \mathbb{C} , we can factor it as

$$X^{3} - 2 = (X - \alpha)(X - \alpha e^{2\pi i/3})(X - \alpha e^{4\pi i/3}).$$

A smaller field that $X^3 - 2$ splits completely over is $\mathbb{Q}[\sqrt[3]{2}, i\sqrt{3}]$.

¹Here the isomorphism $\mathbb{Q}[X]/\langle X^2-2\rangle \to \mathbb{Q}[\sqrt{2}]$ is given by $a+bX+\langle X^2-2\rangle \mapsto a+b\sqrt{2}$.

Definition 7.2. Let K be a field and $f \in K[X]$. An extension L of K is a splitting field for f over K if

- 1. f splits completely over L,
- 2. and f does not split completely over any subfield E with K < E < L.

Example 7.2.1. From the last two examples, $\mathbb{Q}[i\sqrt{2}]$ is a splitting field over \mathbb{Q} for X^2+2 , and $\mathbb{Q}[\sqrt[3]{2},i\sqrt{3}]$ is a splitting field for X^3-2 over \mathbb{Q} .

Theorem 7.1. Let K be a field and $f \in K[X]$ with $\partial f = n$. Then there exists a splitting field L for f over K and $[L:K] \leq n!$.

Proof. The proof is essentially the process we perform in the following example. At each step, construct an extension in which we can split off a linear factor from f. For more details, see Howie.

Example 7.2.2. Let us find a splitting field for

$$f = X^5 + X^4 - X^3 - 3X^2 - 3X + 3$$

over \mathbb{Q} . Note that $\partial f = n$. Stare hard enough and we can see that

$$f = (X^3 - 3)(X^2 + X - 1),$$

where the first factor is irreducible by Eisenstein's criterion and the second factor is irreducible by checking the discriminant. Now add a root, say $\alpha = \sqrt[3]{3}$, and let $E_1 = \mathbb{Q}(\alpha)$. Then

$$f = (X - \alpha)(X^{2} + \alpha X + \alpha^{2})(X^{2} + X - 1).$$

Note that $[E_1:K] \leq n = \partial f$. Now let $E_2 = E_1(\alpha e^{2\pi i/3})$, so that

$$f = (X - \alpha)(X - \alpha e^{2\pi i/3})(X - \alpha e^{-2\pi i/3})(X^2 + X - 1).$$

Note that $[E_2:\mathbb{Q}] \leq n(n-1)$. Next $E_3 = E_2(\alpha e^{-2\pi i/3})$ with

$$f = (X - \alpha)(X - \alpha e^{2\pi i/3})(X - \alpha e^{-2\pi i/3})(X^2 + X - 1).$$

Note that $[E_3:K] \leq n(n-1)(n-2)$. Now let

$$\gamma = \frac{-1 + \sqrt{5}}{2}, \quad \delta = \frac{-1 - \sqrt{5}}{2}.$$

Let $E_4 = E_3(\gamma)$,

$$f = (X - \alpha)(X - \alpha e^{2\pi i/3})(X - \alpha e^{-2\pi i/3})(X - \gamma)(X - \delta).$$

Finally $E_5 = E_4(\delta)$ is the splitting field for f over \mathbb{Q} . Note that we did much better than n! here, since

$$[E_1:\mathbb{Q}]=3, \quad [E_2:E_1]=2, \quad [E_3:E_2]=1, \quad [E_4:E_3]=2, \quad [E_5:E_4]=1,$$

so $[E_5:\mathbb{Q}] = 12 \le 120$.

Remark. Splitting fields are unique (up to isomorphism).

Theorem 7.2. Let L and L' be splitting fields of f over K. Then there exists an isomorphism $\varphi : L \to L'$ fixing K.

Proof sketch. Induct on the number of roots of f that are not in K. The induction step uses Theorem 6.1 from last class giving an isomorphism $K[\alpha] \to K[\alpha']$ for α, α' roots of an irreducible polynomial. \square

Example 7.2.3. Let us find the splitting field of $f = X^4 - 2$ over \mathbb{Q} and its degree. Note that $X^4 - 2$ is irreducible over \mathbb{Q} by Eisenstein's criterion. Note that

$$X^4 - 2 = (X - \alpha)(X + \alpha)(X - i\alpha)(X + i\alpha)$$

where $\alpha = \sqrt[4]{2}$. So the splitting field is $\mathbb{Q}(\sqrt[4]{2},i)$. For the degree, note that $[\mathbb{Q}(\sqrt[4]{2}):\mathbb{Q}] = 4$ since the minimal polynomial of $\sqrt[4]{2}$ is $X^4 - 2$. A basis for this extension is $\{1, \sqrt[4]{2}, \sqrt[4]{2}^2, \sqrt[4]{2}^3\}$. Since $i \notin \mathbb{Q}(\sqrt[4]{2})$, we have $[\mathbb{Q}(\sqrt[4]{2},i):\mathbb{Q}(\sqrt[4]{2})] = 2$ since the minimal polynomial of i over $\mathbb{Q}(\sqrt[4]{2})$ is $X^2 + 1$. Thus we see that the degree of the splitting field is $[\mathbb{Q}(\sqrt[4]{2},i):\mathbb{Q}] = 8$.

Example 7.2.4. Let us look at monic quadratic polynomials over $\mathbb{Z}_3 = \{-1, 0, 1\}$. These are

$$X^2$$
 $X^2 + 1$ $X^2 - 1$
 $X^2 + X$ $X^2 + X + 1$ $X^2 + X - 1$
 $X^2 - X$ $X^2 - X + 1$ $X^2 - X - 1$.

We have 0 is a root of the polynomials in the first column, 1 is a root of $X^2 - 1$ and $X^2 + X + 1$, and -1 is a root of $X^2 - X + 1$. So the irreducible polynomials over \mathbb{Z}_3 are

$$X^2 + 1$$
, $X^2 + X - 1$, $X^2 - X - 1$.

Let $L = \mathbb{Z}_3[X]/\langle X^2 + 1 \rangle$. Observe that $\alpha = X + \langle X^2 + 1 \rangle$ satisfies

$$\alpha^2 = X^2 + \langle X^2 + 1 \rangle = -1 + \langle X^2 + 1 \rangle.$$

Hence L is a splitting field for $X^2 + 1$ since $(X - \alpha)(X + \alpha) = X^2 + 1$. Similarly, $\mathbb{Z}_3[X]/\langle X^2 + X - 1 \rangle$ is a splitting field for $X^2 + X - 1$ and $\mathbb{Z}_3[X]/\langle X^2 - X - 1 \rangle$ is a splitting field for $X^2 - X - 1$. Note that each of these fields have $9 = 3^2$ elements since they are degree 2 extensions of \mathbb{Z}_3 .

Remark. In L, we had $\alpha \in L$ such that $\alpha^2 = -1$ and addition is performed modulo 3. Now observe

$$(\alpha + 1)^2 + (\alpha + 1) - 1 = (\alpha^2 - \alpha + 1) + (\alpha + 1) - 1 = \alpha^2 - \alpha + \alpha + 1 + 1 - 1 = 0$$

since $\alpha^2 = -1$. So $\alpha + 1$ is a root of $X^2 + X - 1$ in L. By a similar computation, we see that $-\alpha + 1$ is a root of $X^2 + X - 1$, so L is also a splitting field for $X^2 + X - 1$. Additionally, $\alpha - 1$ and $-\alpha - 1$ are roots of $X^2 - X - 1$, so L is also a splitting field for $X^2 - X - 1$. So by uniqueness of splitting fields,

$$\mathbb{Z}_3[X]/\langle X^2+1\rangle \cong \mathbb{Z}_3[X]/\langle X^2+X-1\rangle \cong \mathbb{Z}_3[X]/\langle X^2-X-1\rangle.$$

Exercise 7.1. Find explicit isomorphisms between these fields.

7.3 Finite Fields

Definition 7.3. Let $f = a_0 + a_1 X + \cdots + a_n X^n \in K[X]$. Then the formal derivative of f is

$$Df = a_1 + 2a_2X + \dots + na_nX^{n-1}.$$

Exercise 7.2. The usual formulas for derivatives

$$D(kf) = kDf, \quad D(f+g) = Df + Dg, \quad D(fg) = (Df)g + f(Dg)$$

all still hold for $f, g \in K[X]$ and $k \in K$.

²Note that as opposite to \mathbb{Q} , this field has finite characteristic.

Feb. 5 — Finite Fields

8.1 Last Time

Example 8.0.1. The splitting field of $X^4 - 2$ over \mathbb{Q} is $\mathbb{Q}(i, \sqrt[4]{2})$ since

$$X^{4} - 2 = (X - \sqrt[4]{2})(X + \sqrt[4]{2})(X - i\sqrt[4]{2})(X + i\sqrt[4]{2}).$$

Example 8.0.2. The splitting field of $Y^2 + 1$ over \mathbb{Z}_3 is $\mathbb{Z}_3[X]/\langle X^2 + 1 \rangle$. If $\alpha = X + \langle X^2 + 1 \rangle$, then

$$Y^2 + 1 = (Y - \alpha)(Y + \alpha).$$

Also the degree of this extension is $[Z_3[X]/\langle X^2+1\rangle:\mathbb{Z}_3]=2$, and a basis for the extension is $\{1,X\}$.

8.2 Finite Fields

Lemma 8.1. Let $f \in K[X]$, K a field, and L be a splitting field for f over K. Then the roots of f are distinct if and only if f and Df have no nonconstant common factor.

Proof. (\Leftarrow) We show the contrapositive. Suppose f has a repeated root α in L. Then

$$f = (X - \alpha)^r g$$

for some $r \geq 2$. Then

$$Df = (X - \alpha)^r Dg + r(X - \alpha)^{r-1}g,$$

so Df and f both have $X - \alpha$ as a factor.

 (\Rightarrow) Suppose the roots of f are all distinct. Then for each root α of f in L, we have

$$f = (X - \alpha)g,$$

where $g(\alpha) \neq 0$. Then

$$Df = (X - \alpha)Dg + g,$$

so that

$$(Df)(\alpha) = g(\alpha) \neq 0,$$

i.e. $X - \alpha \nmid Df$. This holds for factor of f in L[X], so f and Df have no common proper factors. \square

Theorem 8.1. Finite fields exist and are unique up to isomorphism. In particular,

- 1. Let K be a finite field. Then $|K| = p^n$ for some prime p and integer $n \ge 1$. Every element of K is a root of $X^{p^n} X$ and K is a splitting field of $X^{p^n} X$ over \mathbb{Z}_p .
- 2. Let p be a prime and $n \in \mathbb{Z}$, $n \geq 1$. Then there exists a unique field of order p^n up to isomorphism.

Proof. (1) Let char K = p. Then K is a finite extension of \mathbb{Z}_p . Let $n = [K : \mathbb{Z}_p]$. If $\{\delta_1, \ldots, \delta_n\}$ is a basis for K over \mathbb{Z}_p , then every element in K can be uniquely written as

$$a_1\delta_1 + \cdots + a_n\delta_n$$

for some $a_i \in \mathbb{Z}_p$. There are p^n such elements, so $|K| = p^n$. Then $|K^*| = p^n - 1$. For any $\alpha \in K^*$, the order of α divides $p^n - 1$. So $\alpha^{p^n - 1} = 1$, and hence $\alpha^{p^n} - \alpha = 0$. We also have $0^{p^n} - 0 = 0$ so every element in K is a root of $X^{p^n} - X$. Hence $X^{p^n} - X$ splits completely over K. Since $X - \alpha$ is a factor of $X^{p^n} - X$ for each of the p^n elements of K, $X^{p^n} - X$ does not split over any proper subfield of K. Thus we conclude that K is a splitting field of $X^{p^n} - X$ over \mathbb{Z}_p .

(2) Given a prime p and an integer $n \geq 1$, let L be the splitting field of $X^{p^n} - X$ over \mathbb{Z}_p . Note that

$$Df = p^n X^{p^n - 1} - 1 = -1$$

since char $\mathbb{Z}_p = p$. Then Df and f have no nonconstant common factors, so by Lemma 8.1, we see that $X^{p^n} - X$ has p^n distinct roots in L. Let K be the set of p^n distinct roots, and we claim that K is a subfield of L. To check this, let $a, b \in K$. Then by an extension of Theorem 2.4,

$$(a-b)^{p^n} = a^{p^n} - b^{p^n} = a - b$$

in \mathbb{Z}_p , $a - b \in K$. Also

$$(ab^{-1})^{p^n} = a^{p^n}(b^{p^n})^{-1} = ab^{-1},$$

so $ab^{-1} \in K$. Hence K is a field of order p^n . In fact, K = L since K contains all the roots of $X^{p^n} - X$ and no proper subfield does. By uniqueness of splitting fields, K is unique up to isomorphism.

Definition 8.1. We call the field of order p^n the Galois field of order p^n , denoted $GF(p^n)$.

Example 8.1.1. We have $GF(3^2) = \mathbb{Z}_3[X]/\langle X^2 + 1 \rangle \cong \mathbb{Z}_3[X]/\langle X^2 + X - 1 \rangle \cong \mathbb{Z}_3[X]/\langle X^2 - X - 1 \rangle$.

Remark. Recall that for a finite group G and $a \in G$, the *order* of a is

$$\operatorname{ord}(a) = \min\{k \in \mathbb{N} : a^k = 1\}.$$

The exponent of G is

$$\exp(G) = \min\{k \in \mathbb{N} : a^k = 1 \text{ for all } a \in G\}.$$

Also recall that ord(a) divides |G| for all $a \in G$, and thus exp(G) divides |G|.

Exercise 8.1. Show that $\exp(G) = \operatorname{lcm} \{\operatorname{ord}(a) : a \in G\}.$

Example 8.1.2. For $S_3 = \{ id, (12), (23), (13), (123), (132) \}$, the order of the transpositions is 2 and the order of 3-cycles is 3. So we see that $\exp(S_3) = 6$.

Proposition 8.1. If G is a finite abelian group, then there exists $a \in G$ such that $\operatorname{ord}(a) = \exp(G)$.

¹Recall that K^* is the set of nonzero elements of K, which forms a group under multiplication. We also call K^* the group of units of K.

Proof. Suppose that

$$\exp(G) = p_1^{\alpha_1} p_2^{\alpha_2} \dots p_k^{\alpha_k},$$

where the p_i are distinct primes and $\alpha_i \geq 1$ for all i. Since

$$\exp(G) = \operatorname{lcm}\{\operatorname{ord}(a) : a \in G\},\$$

there exists $h_1 \in G$ such that $p_1^{\alpha_1} | \operatorname{ord}(h_1)$. So $\operatorname{ord}(h_1) = p_1^{\alpha_1} q_1$ where $q_1 | p_2^{\alpha_2} \dots p_k^{\alpha_k}$. Let $g_1 = h_1^{q_1}$. For each $m \geq 1$, we have $g_1^m = h_1^{mq_1}$, and

$$h_1^{mq_1} = 1 \iff p_1^{\alpha_1} q_1 | mq_1 \iff p_1^{\alpha_1} | m.$$

Hence $\operatorname{ord}(g_1) = p_1^{\alpha_1}$. Similarly for $i = 2, \ldots, k$, we can find elements g_i of order $p_i^{\alpha_i}$. Let

$$a = g_1 g_2 \dots g_k$$

and $n = \operatorname{ord}(a)$. Now check as an exercise that $\operatorname{ord}(a) = \exp(G)$. This relies on

$$a^n = g_1^n g_2^n \dots g_k^n = 1,$$

which uses the assumption that G is abelian.

Remark. The previous example shows that the abelian condition in this theorem is necessary.

Corollary 8.1.1. If G is a finite abelian group with $\exp(G) = |G|$, then G is cyclic.

Theorem 8.2. The group of units $GF(p^n)^*$ of a Galois field is cyclic.

Proof. Let $e = \exp(\operatorname{GF}(p^n)^*)$. Then $a^e = 1$ for all $a \in \operatorname{GF}(p^n)^*$, so every element $a \in \operatorname{GF}(p^n)^*$ is a root of $X^e - 1$. Since $X^e - 1$ has at most e roots, we see that $|\operatorname{GF}(p^n)^*| \le e$. But $e \le |\operatorname{GF}(p^n)^*|$ since $\exp(\operatorname{GF}(p^n)^*)$ divides $|\operatorname{GF}(p^n)^*|$. Hence $|\operatorname{GF}(p^n)^*| = e$, so by Corollary 8.1.1, $\operatorname{GF}(p^n)^*$ is cyclic. \square

8.3 Automorphisms of Fields

Example 8.1.3. The complex conjugation $f: \mathbb{C} \to \mathbb{C}$ given by f(a+bi) = a-bi is an automorphism of \mathbb{C} . Observe that f(c) = c if and only if $c \in \mathbb{R}$.

Theorem 8.3. Let K be a field. The set $\operatorname{Aut} K$ of automorphisms of K forms a group under composition.

Proof. First observe that composition is associative. The identity element in Aut K is the identity map id_K . For inverses, let $\alpha \in \mathrm{Aut}\,K$. Since α is a bijection, there exists an inverse map $\alpha^{-1}:K\to K$, where $\alpha^{-1}(x)$ is the unique element s such that $\alpha(s)=x$. Now we check that α^{-1} is also a homomorphism. For this, let $x,y\in K$ and suppose that $\alpha^{-1}(x)=s$ and $\alpha^{-1}(y)=t$. Then $\alpha(s)=x$ and $\alpha(t)=y$, so

$$\alpha(s+t) = \alpha(s) + \alpha(t) = x+y$$

since α is a homomorphism. Then we see that

$$\alpha^{-1}(x+y) = s + t = \alpha^{-1}(x) + \alpha^{-1}(y).$$

Similarly, $\alpha(st) = xy$, so

$$\alpha^{-1}(xy) = st = \alpha^{-1}(x)\alpha^{-1}(y).$$

Hence $\alpha^{-1} \in \operatorname{Aut} K$ and $\alpha \circ \alpha^{-1} = \alpha^{-1} \circ \alpha = \operatorname{id}_K$, so $\operatorname{Aut} K$ is indeed a group.

Definition 8.2. We call Aut K the group of automorphisms of K.

Definition 8.3. Let L be a field extension of K. A K-automorphism is an automorphism $\alpha: L \to L$ such that $\alpha(x) = x$ for all $x \in K$. The Galois group of L over K, denoted $\operatorname{Gal}(L:K)$, is the set of K-automorphisms of L. The Galois group $\operatorname{Gal}(f)$ of a polynomial $f \in K[X]$ is $\operatorname{Gal}(L:K)$ where L is a splitting field of f over K.

Theorem 8.4. The Galois group Gal(L:K) is a subgroup of Aut L.

Proof. Clearly $\mathrm{id}_L \in \mathrm{Gal}(L:K)$ since it fixes all elements of L. Now let $\alpha, \beta \in \mathrm{Gal}(L:K)$. Then we have $\alpha(x) = x$ and $\beta(x) = x$ for all $x \in K$. Then $\beta^{-1}(x) = x$, which gives

$$\alpha \beta^{-1}(x) = \alpha(x) = x,$$

so $\alpha \beta^{-1} \in \operatorname{Gal}(L:K)$. Thus $\operatorname{Gal}(L:K)$ is a subgroup of Aut L.

Remark. The big idea here is that there is a correspondence between subfields E with $K \subseteq E \subseteq L$ and subgroups H of Gal(L:K).

Exercise 8.2. From a past homework, we identified the subfields of $\mathbb{Q}(\sqrt{3}, \sqrt{5})$ as:



Compare the subgroups of $\operatorname{Gal}(\mathbb{Q}(\sqrt{3},\sqrt{5}):\mathbb{Q})$ to the subfields of $\mathbb{Q}(\sqrt{3},\sqrt{5})$ containing \mathbb{Q} .

Feb. 7 — The Galois Correspondence

9.1 Automorphisms of Fields

Example 9.0.1. The complex conjugation $\beta : \mathbb{C} \to \mathbb{C}$ given by $\beta(a+bi) = a-bi$ is a nontrivial element of the Galois group of $\mathbb{C} : \mathbb{R}$. In fact, $Gal(\mathbb{C} : \mathbb{R}) = \{id, \beta\}$. Note that β fixes \mathbb{R} , id fixes \mathbb{C} , and



9.2 The Galois Correspondence

Definition 9.1. Define

$$\Gamma(E) = \{ \alpha \in \text{Aut } L : \alpha(z) = z \text{ for all } z \in E \},$$

$$\Phi(H) = \{ x \in L : \alpha(x) = x \text{ for all } \alpha \in H \},$$

where E is a subfield of L and H is a subgroup of Gal(L:K). This is called the Galois correspondence.

Example 9.1.1. In the previous example of $\mathbb{C} : \mathbb{R}$, we have $\Gamma(\mathbb{C}) = \{id\}$ and $\Gamma(\mathbb{R}) = \{id, \beta\}$. We also have $\Phi(\{id, \beta\}) = \mathbb{R}$ and $\Phi(\{id\}) = \mathbb{C}$.

Remark. The goal is to determine: When are Γ and Φ inverses of one another?

Theorem 9.1. We have the following:

- 1. For every subfield E of L containing K, $\Gamma(E)$ is a subgroup of $\operatorname{Gal}(L:K)$.
- 2. Conversely, for every subgroup H of $\operatorname{Gal}(L:K)$, $\Phi(H)$ is a subfield of L containing K.

Proof. See Howie.

Theorem 9.2. Let $z \in L \setminus K$. If z is a root of $f \in K[X]$ and $\alpha \in Gal(L : K)$, then $\alpha(z)$ is also a root of f.

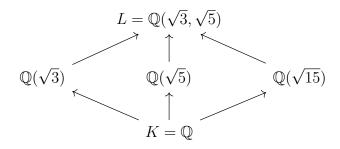
Proof. Let $f = a_0 + a_1 X + \cdots + a_n X^n$, where $a_i \in K$. Then since α fixes each $a_i \in K$, we have

$$f(\alpha(z)) = a_0 + a_1 \alpha(z) + \dots + a_n (\alpha(z))^n = \alpha(a_0) + \alpha(a_1)\alpha(z) + \dots + \alpha(a_n)(\alpha(z))^n$$

= $\alpha(a_0 + a_1 z + \dots + a_n z^n) = \alpha(0) = 0,$

which completes the proof.

Example 9.1.2. Recall this example from homework:



A basis for L over K is $\{1, \sqrt{3}, \sqrt{5}, \sqrt{15}\}$. Since $\sqrt{3}$ is a root of $X^2 - 3$, by the previous theorem, any element in Gal(L:K) must send $\sqrt{3} \mapsto \pm \sqrt{3}$. Similarly, any element must send $\sqrt{5} \mapsto \pm \sqrt{5}$. So the \mathbb{Q} -isomorphisms of $\mathbb{Q}(\sqrt{3}, \sqrt{5})$ are

$$\alpha(a+b\sqrt{3}+c\sqrt{5}+d\sqrt{15}) = a-b\sqrt{3}+c\sqrt{5}-d\sqrt{15},$$

$$\beta(a+b\sqrt{3}+c\sqrt{5}+d\sqrt{15}) = a+b\sqrt{3}-c\sqrt{5}-d\sqrt{15},$$

$$\gamma(a+b\sqrt{3}+c\sqrt{5}+d\sqrt{15}) = a-b\sqrt{3}-c\sqrt{5}+d\sqrt{15},$$

$$\mathrm{id}(a+b\sqrt{3}+c\sqrt{5}+d\sqrt{15}) = a+b\sqrt{3}+c\sqrt{5}+d\sqrt{15}.$$

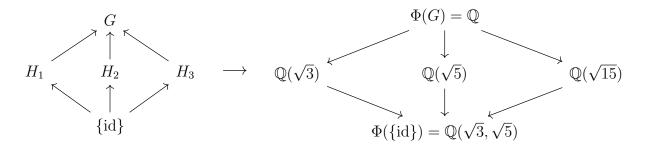
We can write the multiplication table for this group as:

The proper subgroups are $H_1 = \{id, \alpha\}$, $H_2 = \{id, \beta\}$, and $H_3 = \{id, \gamma\}$. Also $\{id\}$ and $G = \{id, \alpha, \beta, \gamma\}$ are subgroups. Then

$$\Phi(H_1) = \mathbb{Q}(\sqrt{5}), \quad \Phi(H_2) = \mathbb{Q}(\sqrt{3}), \quad \Phi(H_3) = \mathbb{Q}(\sqrt{15}),$$

$$\Phi(\{\text{id}\}) = \mathbb{Q}(\sqrt{3}, \sqrt{5}), \quad \Phi(G) = \mathbb{Q}.$$

Under Φ , this gives the diagram:



Also note that $\Gamma(\mathbb{Q}(\sqrt{3})) = \{id, \alpha\}$ since

$$\alpha(a+b\sqrt{3}+c\sqrt{5}+d\sqrt{15}) = a-b\sqrt{3}+c\sqrt{5}-d\sqrt{15}.$$

Exercise 9.1. Show that Γ is the inverse of Φ in the previous example.

Theorem 9.3. Let L: K be a field extension. Then

- 1. If E_1, E_2 are two subfields of L containing K, then $E_1 \subseteq E_2$ implies $\Gamma(E_1) \supseteq \Gamma(E_2)$.
- 2. If H_1, H_2 are subgroups of Gal(L:K), then $H_1 \subseteq H_2$ implies $\Phi(H_1) \supseteq \Phi(H_2)$.

Proof. (1) Suppose $E_1 \subseteq E_2$ and $\alpha \in \Gamma(E_2)$. Then α fixes every element in E_2 , so since $E_1 \subseteq E_2$, α also fixes every element in E_1 . Hence $\alpha \in \Gamma(E_1)$ by definition.

(2) Suppose $H_1 \subseteq H_2$ and let $z \in \Phi(H_2)$. Then $\alpha(z) = z$ for every $\alpha \in H_2$, and since $H_1 \subseteq H_2$, $\alpha(z) = z$ for every $\alpha \in H_1$ as well. Hence $z \in \Phi(H_1)$ by definition.

Remark. Note that Γ and Φ are not always inverses of one another.

Example 9.1.3. Consider the extension $\mathbb{Q}(\sqrt[3]{2}):\mathbb{Q}$. If $\alpha \in \text{Gal}(\mathbb{Q}(\sqrt[3]{2}):\mathbb{Q})$, then

$$\alpha(\sqrt[3]{2})^3 = \alpha(2) = 2.$$

Since there is only one cube root of 2 in this field, we must have $\alpha(\sqrt[3]{2}) = \sqrt[3]{2}$. So $Gal(\mathbb{Q}(\sqrt[3]{2}) : \mathbb{Q}) = \{id\}$. So Γ cannot be the inverse of Φ here since there are two subfields, namely $\mathbb{Q}(\sqrt[3]{2})$ and \mathbb{Q} . In particular,

$$\Gamma(\mathbb{Q}(\sqrt[3]{2})) = \Gamma(\mathbb{Q}) = \{id\} \text{ and } \Phi(\{id\}) = \mathbb{Q}(\sqrt[3]{2}).$$

Theorem 9.4. For any subfield E of L and subgroup H of Gal(L:K), we have

- 1. $E \subseteq \Phi(\Gamma(E))$
- 2. and $H \subseteq \Gamma(\Phi(H))$.

Proof. (1) Let $z \in E$. Then $\Gamma(E)$ is the set of all automorphisms fixing every element of E, and so z is fixed by every element of $\Gamma(E)$. Hence $z \in \Phi(\Gamma(E))$.

(2) Let $\alpha \in H$. Then $\Phi(H)$ is the set of elements of L fixed by every element of H, and so α fixes every element of $\Phi(H)$. Hence $\alpha \in \Gamma(\Phi(H))$.

Remark. Now the goal will be to find sufficient conditions for Γ and Φ to be inverses of one another.

9.3 Normal Extensions

Definition 9.2. A field extension L: K is *normal* if every irreducible polynomial in K[X] having at least one root in L splits completely over L.

Example 9.2.1. An nonexample is $\mathbb{Q}(\sqrt[3]{2})$: \mathbb{Q} . This is not a normal extension since X^3-2 is irreducible and has a root in $\mathbb{Q}(\sqrt[3]{2})$, but does not split completely over $\mathbb{Q}(\sqrt[3]{2})$.

Remark. Is $\mathbb{Q}(\sqrt{2}) : \mathbb{Q}$ normal?

Theorem 9.5. A finite extension L: K is normal if and only if it is a splitting field for some polynomial in K[X].

Proof. (\Rightarrow) Let L be a finite normal extension and $\{z_1, \ldots, z_n\}$ be a basis for L: K. let m_i be the minimum polynomial for z_i , and let

$$m=m_1m_2\ldots m_n$$
.

Each m_i has at least one root z_i in L, hence m splits completely over L since L is normal. Since L is generated by z_1, \ldots, z_n , it is not possible for m to split over a proper subfield of L, hence L is a splitting field for m over K.

(\Leftarrow) See Howie. Relies on the isomorphism $K(\alpha) \to K(\beta)$ for α, β roots of an irreducible polynomial f. We also need properties of degrees of field extensions.

Corollary 9.5.1. Let L be a normal extension of K and E a subfield of L containing K. Then every injective K-homomorphism $\varphi : E \to L$ can be extended to a K-automorphism φ^* of L.

$$E \xrightarrow{\varphi} L$$

$$\downarrow \downarrow \qquad \qquad \downarrow \uparrow \qquad \qquad \downarrow \uparrow \qquad \qquad \downarrow \downarrow \downarrow \qquad \qquad \downarrow \uparrow \qquad \qquad \downarrow \downarrow \downarrow \qquad \qquad \downarrow \downarrow \downarrow \qquad \qquad \downarrow \uparrow \qquad \qquad \downarrow \downarrow \downarrow \qquad \downarrow \downarrow \downarrow \qquad \downarrow \downarrow \qquad \qquad \downarrow \downarrow \downarrow \qquad \downarrow \downarrow \qquad \qquad \downarrow \downarrow \downarrow \qquad \downarrow \downarrow \downarrow \qquad \downarrow \downarrow \downarrow \qquad \downarrow \downarrow \downarrow \qquad \downarrow \downarrow \downarrow \qquad \downarrow \downarrow \downarrow \qquad \downarrow \downarrow \downarrow \qquad \downarrow \downarrow \downarrow \qquad \downarrow \downarrow \downarrow \qquad \qquad \downarrow \downarrow \downarrow \qquad \qquad \downarrow \downarrow \downarrow \downarrow \qquad \qquad \downarrow \downarrow \downarrow \downarrow \qquad \qquad \downarrow \downarrow \downarrow$$

Proof. By the theorem, there exists $f \in K[X]$ such that L is a splitting field for f over K. But L is also a splitting field for f over E and $\varphi(E)$. From here, a slight generalization of the proof of uniqueness of splitting fields gives the desired K-automorphism of L extending φ .

Example 9.2.2. Let
$$L = \mathbb{Q}(\sqrt{3}, \sqrt{5})$$
, $K = \mathbb{Q}$, and $E = \mathbb{Q}(\sqrt{3})$. Define $\varphi : E \to L$ by $\varphi(a + b\sqrt{3}) = a - b\sqrt{3}$,

which is an injective K-homomorphism. We have the following diagram:

$$\mathbb{Q}(\sqrt{3}) \xrightarrow{\varphi} \mathbb{Q}(\sqrt{3}, \sqrt{5})$$

$$\downarrow i \qquad \qquad \qquad \downarrow q$$

$$\mathbb{Q}(\sqrt{3}, \sqrt{5})$$

Then we can define

$$\varphi^*(a + b\sqrt{3} + c\sqrt{5} + d\sqrt{15}) = a - b\sqrt{3} + c\sqrt{5} - d\sqrt{15}$$

as an extension of φ . Note that we could have also defined

$$\varphi^*(a+b\sqrt{3}+c\sqrt{5}+d\sqrt{15}) = a-b\sqrt{3}-c\sqrt{5}+d\sqrt{15}.$$

Remark. From the previous example we see that φ^* is not unique.