

# MATH 4431: Introduction to Topology

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# Lecture 1

## Aug. 20 — Review of Metric Spaces

### 1.1 Metric Spaces

Recall the definition of a *metric space*:

**Definition 1.1.** Given a set  $X$ , a function  $d : X \times X \rightarrow \mathbb{R}$  is called a *metric* if

- (i) (strong positivity)  $d(x, y) \geq 0$  for all  $x, y \in X$ , and  $d(x, y) = 0$  if and only if  $x = y$ ,
- (ii) (symmetry)  $d(x, y) = d(y, x)$ ,
- (iii) and (triangle inequality)  $d(x, z) \leq d(x, y) + d(y, z)$  for all  $x, y, z \in X$ .

**Example 1.1.1.** For any set  $X$ , we can define the *discrete metric* by

$$d(x, y) = \begin{cases} 1 & \text{if } x \neq y, \\ 0 & \text{otherwise.} \end{cases}$$

Verify as an exercise that this satisfies the triangle inequality.

**Example 1.1.2.** The Euclidean metric in  $\mathbb{R}^n$  is

$$d(\bar{x}, \bar{y}) = \sqrt{\sum_{i=1}^n (x_i - y_i)^2}$$

where  $\bar{x} = (x_1, \dots, x_n)$  and  $\bar{y} = (y_1, \dots, y_n)$ .

### 1.2 Open Sets

**Definition 1.2.** The *open ball* of radius  $R > 0$  around  $x_0 \in X$  is

$$B_R(x_0) = \{y \in X \mid d(x_0, y) < R\}.$$

Given a set  $S \subseteq X$ , a point  $x_0$  is called an interior point of  $S$  if there exists  $r > 0$  such that  $B_r(x_0) \subseteq S$ . The set  $S$  is called *open* if all of its points are interior points.

**Proposition 1.1.** *The open ball  $B_R(x)$  is open.*

*Proof.* Fix an arbitrary  $y \in B_R(x)$ , and observe that it suffices to show that  $y$  is an interior point. Take  $r = R - d(x, y)$ , and first note that  $r > 0$  since  $d(x, y) < R$ . Now note that for all  $z \in B_r(y)$ , we have

$$d(x, z) \leq d(x, y) + d(y, z) < d(x, y) + (R - d(x, y)) = R,$$

so that  $z \in B_R(x)$ . Thus  $B_r(y) \subseteq B_R(x)$ , and so  $y$  is an interior point.  $\square$

**Corollary 1.0.1.**  $B_R(x) = \bigcup_{y \in B_R(x)} B_{r_y}(y)$ , where  $r_y = R - d(x, y)$ .

*Proof.* We have  $B_{r_y}(y) \subseteq B_R(x)$  for each  $y \in B_R(x)$ ,<sup>1</sup> and so  $\bigcup_{y \in B_R(x)} B_{r_y}(y) \subseteq B_R(x)$ . For the reverse inclusion simply observe that  $y \in B_{r_y}(y) \subseteq \bigcup_{y \in B_R(x)} B_{r_y}(y)$  for each  $y \in B_R(x)$ .  $\square$

**Proposition 1.2.** *In a metric space  $(X, d)$ , the following are true:*

- (i)  $\emptyset, X$  are open,
- (ii) if  $\{S_i\}_{i \in I}$  are open, then  $\bigcup_{i \in I} S_i$  is open,
- (iii) and if  $\{S_i\}_{i=1}^n$  are open, then  $\bigcap_{i=1}^n S_i$  is open.

*Proof.* (i) The empty set is open vacuously. To see that  $X$  is open, simply take  $R = 1$  for any  $x \in X$ .

(ii) Fix  $x \in \bigcup_{i \in I} S_i$  arbitrary, so there exists  $i_0 \in I$  with  $x \in S_{i_0}$ . Since  $S_{i_0}$  is open,  $x$  is an interior point and thus there exists  $r > 0$  such that  $B_r(x) \subseteq S_{i_0}$ . But then  $B_r(x) \subseteq S_{i_0} \subseteq \bigcup_{i \in I} S_i$ , so  $x$  is an interior point of  $\bigcup_{i \in I} S_i$  also and thus  $\bigcup_{i \in I} S_i$  is open.

(iii) Now assume  $x \in \bigcap_{i=1}^n S_i$ . Then for each  $1 \leq i \leq n$ , there exists  $r_i > 0$  such that  $B_{r_i}(x) \subseteq S_i$ . Then we can choose

$$r = \min\{r_1, \dots, r_n\} > 0,$$

so that  $B_r(x) \subseteq B_{r_i}(x) \subseteq S_i$  for each  $1 \leq i \leq n$ . Thus  $B_r(x) \subseteq \bigcap_{i=1}^n S_i$  and  $\bigcap_{i=1}^n S_i$  is open.  $\square$

**Remark.** The above argument for the finite intersection property requires that there are only finitely many  $r_i$ . Otherwise it may very well be that  $r = \inf\{r_i\} = 0$  and the argument fails.

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<sup>1</sup>Using the argument from the previous proposition.

# Lecture 2

## Aug. 22 — Topology, Basis, Continuity

### 2.1 Topological Spaces

**Definition 2.1.** A *topology*  $\mathcal{T} \subseteq \mathcal{P}(X)$  is a collection of sets such that

- (i)  $\emptyset, X \in \mathcal{T}$ ,
- (ii) for any index set  $I$ , if  $\{s_i\}_{i \in I} \subseteq \mathcal{T}$ , then  $\bigcup_{i \in I} s_i \in \mathcal{T}$  (closure under arbitrary union),
- (iii) and if  $\{s_i\}_{i=1}^n \subseteq \mathcal{T}$ , then  $\bigcap_{i=1}^n s_i \in \mathcal{T}$  (closure under finite intersection).

A set with a topology, i.e. a pair  $(X, \mathcal{T})$ , is called a *topological space*. Elements of  $\mathcal{T}$  are called *open sets*.

**Example 2.1.1.** The following are examples of topologies on a set  $X$ :

- The trivial topology:  $\mathcal{T} = \{\emptyset, X\}$ .
- The discrete topology:  $\mathcal{T} = \mathcal{P}(X)$ .<sup>1</sup>
- If  $(X, d)$  is a metric space, then  $\mathcal{T} = \{\text{collection of metrically open sets}\}$  is a topology on  $X$ .

**Remark.** Not every topology is induced by a metric. For instance consider the trivial topology on  $\mathbb{R}$ .

### 2.2 Basis for a Topology

**Definition 2.2.** A collection  $\mathcal{B} \subseteq \mathcal{P}(X)$  is called a *basis* if

- (i)  $\bigcup_{b \in \mathcal{B}} b = X$ , i.e.  $\mathcal{B}$  is a covering of  $X$ ,
- (ii) and if  $x \in b_1 \cap b_2$  for any  $b_1, b_2 \in \mathcal{B}$ , then there exists  $b_3 \in \mathcal{B}$  such that  $x \in b_3$  and  $b_3 \subseteq b_1 \cap b_2$ .

**Theorem 2.1.** Given a set  $X$  and a basis  $\mathcal{B}$ , define

$$\mathcal{T}_{\mathcal{B}} = \left\{ \bigcup_{i \in I} s_i \mid I \text{ is any index set and } \{s_i\}_{i \in I} \subseteq \mathcal{B} \right\}.$$

Then  $\mathcal{T}_{\mathcal{B}}$  is a topology on  $X$ .

*Proof.* First observe that  $\emptyset, X \in \mathcal{T}_{\mathcal{B}}$ : Picking  $I = \emptyset$  gives  $\bigcup_{i \in I} s_i = \emptyset \in \mathcal{T}_{\mathcal{B}}$  and picking  $I = \mathcal{B}$  gives  $\bigcup_{b \in \mathcal{B}} b = X \in \mathcal{T}_{\mathcal{B}}$  by the covering property of a basis.

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<sup>1</sup>Note that the discrete topology is induced by the discrete metric.

Now assume  $\{s_i\}_{i \in I} \subseteq \mathcal{T}_{\mathcal{B}}$ . For each  $i \in I$ , we have  $s_i \in \mathcal{T}_{\mathcal{B}}$  and so there exists an index set  $J_i$  such that  $s_i = \bigcup_{j \in J_i} b_j$ , where the  $b_j \in \mathcal{B}$ . Then

$$\bigcup_{i \in I} s_i = \bigcup_{i \in I} \bigcup_{j \in J_i} b_j,$$

which is a union of elements of  $\mathcal{B}$  and hence is in  $\mathcal{T}_{\mathcal{B}}$ .

Finally assume  $\{s_i\}_{i=1}^n \subseteq \mathcal{T}_{\mathcal{B}}$ . Now as each  $s_i \in \mathcal{T}_{\mathcal{B}}$ , there exists  $J_i$  such that  $s_i = \bigcup_{j \in J_i} b_j$ . Then

$$\bigcap_{i=1}^n s_i = \bigcap_{i=1}^n \bigcup_{j \in J_i} b_j.$$

Now assume  $x \in \bigcap_{i=1}^n s_i = \bigcap_{i=1}^n \bigcup_{j \in J_i} b_j$ . For each  $1 \leq i \leq n$ , there exists  $j_i \in J_i$  such that  $x \in b_{j_i}$ . Hence  $x \in \bigcap_{i=1}^n b_{j_i}$ . Now by induction on the intersection property of a basis, we can find  $b_x \in \mathcal{B}$  with

$$x \in b_x \subseteq \bigcap_{i=1}^n b_{j_i}$$

Also observe that

$$\bigcap_{i=1}^n b_{j_i} \subseteq \bigcap_{i=1}^n \bigcup_{j \in J_i} b_j = \bigcap_{i=1}^n s_i$$

by construction, so we may write

$$\bigcap_{i=1}^n s_i = \bigcup_{x \in \bigcap_{i=1}^n s_i} b_x \in \mathcal{T}_{\mathcal{B}}$$

as a union of elements of  $\mathcal{B}$ . □

**Definition 2.3.** A *subbasis*  $\mathcal{B} \subseteq \mathcal{P}(X)$  is a collection of sets such that  $\bigcup_{b \in \mathcal{B}} b = X$ .

**Remark.** One may define a basis  $\tilde{\mathcal{B}}$  from a subbasis  $\mathcal{B}$  by adding all finite intersections of elements of  $\mathcal{B}$ . We get the covering property for free and adding the finite intersections gives us the intersection property of a basis.

**Example 2.3.1.** For  $\mathbb{R}$  with the Euclidean metric, the following are bases for the standard topology:

- $\{B_R(x) \mid x \in \mathbb{R}, R > 0\}$ .
- $\{B_R(x) \mid x \in \mathbb{R}, R > 0, R \in \mathbb{Q}\}$ . For this use the fact that  $\mathbb{Q}$  is dense in  $\mathbb{R}$ .

In particular this shows that a basis for a topology is not unique in general.

## 2.3 Continuous Functions

**Definition 2.4.** Let  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  be two topological spaces. A function  $f : X \rightarrow Y$  is called *continuous* if for any  $O \in \mathcal{T}_Y$ , we have  $f^{-1}(O) \in \mathcal{T}_X$ , i.e. the preimage of an open set is open.<sup>2</sup>

**Example 2.4.1.** Let  $X$  be equipped with the trivial topology  $\{\emptyset, X\}$  and let  $\mathbb{R}$  be equipped with the standard topology. Then the only continuous functions  $f : X \rightarrow \mathbb{R}$  are the constant functions  $f : x \mapsto c$  for fixed  $c \in \mathbb{R}$ . To see this, observe that

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<sup>2</sup>Recall that  $f^{-1}(O) = \{x \in X \mid f(x) \in O\}$ .

- $x \mapsto c$  is continuous since any open set in  $\mathbb{R}$  either contains  $c$  or does not, and so the preimage is either  $X$  or  $\emptyset$ .
- Suppose  $f(x_1) = y_1$  and  $f(x_2) = y_2$ . Let  $\epsilon = |y_1 - y_2|$  and observe that  $x_1 \in f^{-1}(B_\epsilon(y_1))$  while  $x_2 \notin f^{-1}(B_\epsilon(y_1))$ , so  $f^{-1}(B_\epsilon(y_1))$  is not open in  $X$  despite  $B_\epsilon(y_1)$  being open in  $\mathbb{R}$ .

**Example 2.4.2.** Let  $X$  have the discrete topology  $\mathcal{T} = \mathcal{P}(X)$  and let  $\mathbb{R}$  have the standard topology. Then all functions  $X \rightarrow \mathbb{R}$  are continuous since any preimage is a subset of  $X$  and thus in  $\mathcal{P}(X)$ .

**Remark.** In a way, the trivial topology has too few open sets while the discrete topology has too many.

**Definition 2.5.** Two topological spaces  $(X, \mathcal{T}_X)$  and  $(Y, \mathcal{T}_Y)$  are *topologically equivalent* or *homeomorphic* if there exists a bijection  $f : X \rightarrow Y$  such that  $f$  and  $f^{-1}$  are continuous.

**Remark.** A bijective function  $f$  being continuous does not necessarily imply that its inverse  $f^{-1}$  is.

**Example 2.5.1.** Consider  $(-\pi/2, \pi/2)$  equipped with the Euclidean metric. This is homeomorphic to  $\mathbb{R}$  equipped with the Euclidean metric.<sup>3</sup> One homeomorphism is given by  $\tan : (-\pi/2, \pi/2) \rightarrow \mathbb{R}$ .

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<sup>3</sup>Note that  $(-\pi/2, \pi/2)$  is bounded while  $\mathbb{R}$  is not.

# Lecture 3

## Aug. 27 — Closed Sets, Continuity, the Subspace Topology

### 3.1 Closed Sets

**Definition 3.1.** A set  $S \subseteq X$  is called a *closed set* if  $S^c = X \setminus S$  is open.

**Example 3.1.1.** In  $\mathbb{R}$ , observe that  $[a, b]^c = (-\infty, a) \cup (b, \infty)$ , which is a union of open sets and thus open. Thus the closed intervals  $[a, b] \subseteq \mathbb{R}$  are closed.

**Remark.** This is not a dichotomy. Sets can be both open and closed (*clopen*), or even neither. Trivially, if  $X$  is any topological space, then  $\emptyset$  and  $X$  are both open and closed.

**Example 3.1.2.** Let  $X = \{0, 1\}$  and  $\mathcal{T} = \mathcal{P}(X)$ . Then  $\{0\}$  is both open and closed.

**Example 3.1.3.** Let  $X = \{1, 2, 3\}$  and  $\mathcal{T} = \{\emptyset, X, \{1\}, \{1, 2\}\}$ . Then  $\{2\}$  is neither open nor closed.

Recall the following De Morgan's laws from set theory:

**Proposition 3.1** (De Morgan's laws). *Let  $I$  be an index set and  $\{A_i\}_{i \in I}$  be sets. Then*

$$\left( \bigcup_{i \in I} A_i \right)^c = \bigcap_{i \in I} A_i^c \quad \text{and} \quad \left( \bigcap_{i \in I} A_i \right)^c = \bigcup_{i \in I} A_i^c.$$

**Corollary 3.0.1.** *In a topological space  $(X, \mathcal{T})$ , we have:*

- (i)  $\emptyset, X$  are closed.
- (ii) if  $\{A_i\}_{i \in I}$  are closed, then  $\bigcap_{i \in I} A_i$  is closed,
- (iii) and if  $\{A_i\}_{i=1}^n$  are closed, then so is  $\bigcup_{i=1}^n A_i$ .

*This gives a dual characterization of a topology.*

*Proof.* (i) We have  $\emptyset^c = X \in \mathcal{T}$  and  $X^c = \emptyset \in \mathcal{T}$ .

(ii) Note that

$$\left( \bigcap_{i \in I} A_i \right)^c = \bigcup_{i \in I} A_i^c.$$

As each  $A_i$  is closed, we have  $A_i^c \in \mathcal{T}$  is open, and hence  $\bigcup_{i \in I} A_i^c \in \mathcal{T}$  is open. So  $\bigcap_{i \in I} A_i$  is closed.



(iii) Observe that

$$\left( \bigcup_{i=1}^n A_i \right)^c = \bigcap_{i=1}^n A_i^c.$$

Each  $A_i$  is closed, so  $A_i^c$  is open. Thus  $\bigcap_{i=1}^n A_i^c$  is open, and so  $\bigcup_{i=1}^n A_i$  is closed.  $\square$

## 3.2 Properties of Continuity

Recall that a function  $f : (X, \mathcal{T}_X) \rightarrow (Y, \mathcal{T}_Y)$  is continuous if for every  $O \in \mathcal{T}_Y$ , we have  $f^{-1}(O) \in \mathcal{T}_X$ .

**Theorem 3.1.** *A function  $f : X \rightarrow Y$  is continuous if and only if for every  $C$  closed in  $Y$ ,  $f^{-1}(C)$  is closed in  $X$ .*

*Proof.* ( $\Rightarrow$ ) Let  $C \subseteq Y$  be closed. Note that

$$f^{-1}(C) = \{x \in X \mid f(x) \in C\},$$

so we have

$$(f^{-1}(C))^c = \{x \in X \mid f(x) \notin C\} = \{x \in X \mid f(x) \in C^c\} = f^{-1}(C^c).$$

Since  $C$  is closed,  $C^c$  is open and so  $f^{-1}(C^c) = (f^{-1}(C))^c$  is open. Thus  $f^{-1}(C)$  is closed.

( $\Leftarrow$ ) Assume  $S \subseteq Y$  is open. Note that

$$(f^{-1}(S))^c = \{x \in X \mid f(x) \in S\}^c = \{x \in X \mid f(x) \notin S\} = \{x \in X \mid f(x) \in S^c\} = f^{-1}(S^c).$$

Since  $S$  is open,  $S^c$  is closed and so  $f^{-1}(S^c) = (f^{-1}(S))^c$  is closed by assumption. Thus  $f^{-1}(S)$  is open, and so we see that  $f$  is continuous.  $\square$

**Theorem 3.2** (Composition theorem). *Let  $(X, \mathcal{T}_X)$ ,  $(Y, \mathcal{T}_Y)$ , and  $(Z, \mathcal{T}_Z)$  be topological spaces. Let*

$$f : X \rightarrow Y \quad \text{and} \quad g : Y \rightarrow Z$$

*be continuous functions. Then  $g \circ f : X \rightarrow Z$  is continuous.*

*Proof.* Let  $S \subseteq Z$  be open. It suffices to show that  $(g \circ f)^{-1}(S) \subseteq X$  is open. Note that

$$\begin{aligned} (g \circ f)^{-1}(S) &= \{x \in X \mid (g \circ f)(x) \in S\} = \{x \in X \mid f(x) \in g^{-1}(S)\} \\ &= \{x \in X \mid x \in f^{-1}(g^{-1}(S))\} = f^{-1}(g^{-1}(S)). \end{aligned}$$

Now as  $g$  is continuous,  $g^{-1}(S)$  is open in  $Y$ . Finally as  $f$  is continuous,  $f^{-1}(g^{-1}(S))$  is open in  $X$ .  $\square$

**Theorem 3.3.** *Assume  $X = \bigcup_{\alpha \in I} U_\alpha$  for open sets  $U_\alpha$  and let  $f : X \rightarrow Y$ . Assume that  $f|_{U_\alpha} : U_\alpha \rightarrow Y$  is continuous for each  $\alpha \in I$ . Then  $f$  is continuous.*

*Proof.* Let  $S \subseteq Y$  be open, and it suffices to show that  $f^{-1}(S)$  is open. Observe that

$$f^{-1}(S) = f^{-1}(S) \cap X = f^{-1}(S) \cap \left( \bigcup_{\alpha \in I} U_\alpha \right) = \bigcup_{\alpha \in I} (f^{-1}(S) \cap U_\alpha) = \bigcup_{\alpha \in I} f|_{U_\alpha}^{-1}(S).$$

The  $f|_{U_\alpha}$  are continuous, so each  $f|_{U_\alpha}^{-1}(S)$  is open. Thus  $f^{-1}(S)$  is open as a union of open sets.  $\square$

**Theorem 3.4** (Pasting lemma). *Assume  $X, Y$  are topological spaces and  $A, B \subseteq X$  are open. Suppose  $f_1 : A \rightarrow Y$  and  $f_2 : B \rightarrow Y$  are continuous, and that  $f_1 \equiv f_2$  on  $A \cap B$ . Then  $f : A \cup B \rightarrow Y$  defined by*

$$f(x) = \begin{cases} f_1(x) & \text{if } x \in A, \\ f_2(x) & \text{if } x \in B \end{cases}$$

*is continuous.*

*Proof.* Let  $S \subseteq Y$  be open, it suffices to show that  $f^{-1}(S)$  is open. Observe that

$$f^{-1}(S) = f_1^{-1}(S) \cup f_2^{-1}(S).$$

Both  $f_1^{-1}(S)$  and  $f_2^{-1}(S)$  are open since  $f_1$  and  $f_2$  are continuous, so  $f^{-1}(S)$  is open as their union.  $\square$

### 3.3 Subspace Topology

**Definition 3.2.** Let  $(X, \mathcal{T}_X)$  be a topological space and  $S \subseteq X$  a set. The *subspace topology* on  $S$  is defined as follows:  $O \subseteq S$  is open if there exists  $U \subseteq X$  open in  $X$  such that  $U = O \cap S$ .

**Example 3.2.1.** Let  $\mathbb{R}$  be given the metric topology and  $S = [0, 1]$ .

- The set  $[0, 1]$  is not open in  $\mathbb{R}$ , but it is open in the subspace topology on  $S$  since  $[0, 1] = S \cap (-1, 2)$ .
- The set  $[0, 1/2)$  is neither open nor closed in  $\mathbb{R}$ , but  $[0, 1/2) = S \cap (-1/2, 1/2)$ , so it is open in  $S$ .

**Theorem 3.5.** *The subspace topology is indeed a topology.*

*Proof.* Let  $(X, \mathcal{T}_X)$  be a topological space and  $S \subseteq X$  be given the subspace topology.

(i) We have  $S = S \cap X$  and  $\emptyset = \emptyset \cap X$ , so  $S, \emptyset$  are open in  $S$ .

(ii) Let  $\{U_\alpha\}_{\alpha \in I}$  be open in the subspace topology. Then for every  $\alpha \in I$ , there exists  $O_\alpha \in \mathcal{T}$  such that  $U_\alpha = S \cap O_\alpha$ . Then

$$\bigcup_{\alpha \in I} U_\alpha = \bigcup_{\alpha \in I} (S \cap O_\alpha) = S \cap \left( \bigcup_{\alpha \in I} O_\alpha \right).$$

The  $\{O_\alpha\}_{\alpha \in I}$  are open in  $X$ , so their union is open in  $X$ . Thus  $\bigcup_{\alpha \in I} U_\alpha$  is open in the subspace topology.

(iii) Let  $\{U_i\}_{i=1}^n$  be open in the subspace topology. Then there are  $O_i$  for  $1 \leq i \leq n$  with  $U_i = S \cap O_i$ . Then we have

$$\bigcap_{i=1}^n U_i = \bigcap_{i=1}^n (S \cap O_i) = S \cap \left( \bigcap_{i=1}^n O_i \right).$$

As the  $O_i \in \mathcal{T}$  are open,  $\bigcap_{i=1}^n O_i$  is open in  $X$ . Thus  $\bigcap_{i=1}^n U_i$  is open in the subspace topology.  $\square$

**Theorem 3.6.** *Assume  $f : X \rightarrow Y$  is a continuous function and  $S \subseteq X$  a subspace. Then  $f|_S : S \rightarrow Y$  is continuous, where  $S$  is equipped with the subspace topology.*

*Proof.* Let  $O \subseteq Y$  be an open set, it suffices to show that  $f|_S^{-1}(O)$  is open in the subspace topology. But observing that  $f|_S^{-1}(O) = f^{-1}(O) \cap S$  immediately shows that  $f|_S^{-1}(O)$  is open in  $S$  since  $f^{-1}(O)$  is open in  $X$  due to the continuity of  $f$ .  $\square$

**Remark.** The subspace topology is the smallest topology on  $S$  such that the inclusion map  $i : S \rightarrow X$  given by  $i(s) = s$  is a continuous function.

**Remark.** Let  $X$  be a topological space with subspaces  $Y \subseteq X$  and  $Z \subseteq Y$ . Then the subspace topology on  $Z$  induced by the subspace  $Y$  is the same as the subspace topology on  $Z$  induced directly by  $X$ .

**Remark.** A topological space can have a subspace homeomorphic to itself. For instance, consider  $\mathbb{R}$  and  $(-\pi/2, \pi/2)$  with a homeomorphism given by the tangent function.

# Lecture 4

## Aug. 29 — Connectedness

### 4.1 Connected Spaces

**Definition 4.1.** A *separation* of a topological space  $X$  is two open, nonempty sets  $U, V \subseteq X$  such that  $X = U \cup V$  and  $U \cap V = \emptyset$ . A space is called *connected* if there is no separation of the space.

**Proposition 4.1.** If  $X$  is separated, i.e.  $X = U \cup V$  with  $U, V$  open and disjoint, then  $U$  and  $V$  are both open and closed.

*Proof.* Observe that  $U$  is open by assumption, and we have

$$U^c = X \setminus U = V,$$

which is also open by assumption. Hence  $U$  is closed. The case for  $V$  is identical.  $\square$

**Example 4.1.1.** Consider the following:

- The singleton space  $\{x\}$  is connected. There are no two nonempty, disjoint open sets.
- Consider the space  $X = \{0, 1\}$ . This case depends on the choice of topology:
  1. With the trivial topology  $\mathcal{T} = \{\emptyset, X\}$ , the space is connected.
  2. With the discrete topology  $\mathcal{T} = \{\emptyset, X, \{1\}, \{0\}\}$ ,  $X$  is disconnected since  $X = \{0\} \cup \{1\}$ .
  3. With the topology  $\mathcal{T} = \{\emptyset, X, \{1\}\}$ , the space is connected. The only nonempty sets  $\{1\}, X$  are not disjoint and thus there can be no separation.

**Theorem 4.1.** A space  $X$  is disconnected if and only if there exists a surjective map  $f : X \rightarrow \{0, 1\}$  with the discrete topology.

*Proof.* ( $\Rightarrow$ ) If  $X$  is disconnected, then we may write  $X = U \cup V$  with  $U, V$  open, disjoint, and nonempty. Then define

$$f(x) = \begin{cases} 0 & x \in U, \\ 1 & x \in V, \end{cases}$$

which is surjective as  $U, V$  are nonempty. To see that  $f$  is continuous, observe that

$$f^{-1}(\emptyset) = \emptyset, \quad f^{-1}(\{0, 1\}) = X, \quad f^{-1}(\{0\}) = U, \quad f^{-1}(\{1\}) = V,$$

each of which are open. These are all of the open sets in the discrete topology, so  $f$  is continuous.

( $\Leftarrow$ ) Assume there exists a surjective and continuous map  $f : X \rightarrow \{0, 1\}$ . Define

$$U = f^{-1}(\{0\}) \quad \text{and} \quad V = f^{-1}(\{1\}),$$

which are open since  $f$  is continuous. Observe that  $U, V \neq \emptyset$  since  $f$  is surjective. Also  $U \cap V = \emptyset$  since if there is any  $x \in U \cap V$ , then  $f(x) = 0$  as  $x \in U$  and  $f(x) = 1$  as  $x \in V$ , a contradiction. Finally,  $X = U \cup V$  since  $f(x) = 0$  or  $f(x) = 1$  for every  $x \in X$ , i.e.  $x \in U$  or  $x \in V$ . So  $X$  is disconnected.  $\square$

## 4.2 Connected Sets

**Definition 4.2.** Let  $X$  be a topological space and  $S \subseteq X$ . Then  $S$  is called *connected* if it is connected in the subspace topology.

**Theorem 4.2.** If  $A, B$  are connected sets and  $A \cap B \neq \emptyset$ , then  $A \cup B$  is connected.

*Proof.* Assume not. Then there exists a continuous, surjective map  $f : A \cup B \rightarrow \{0, 1\}$  with the discrete topology. Consider  $f|_A : A \rightarrow \{0, 1\}$ , which is continuous in the subspace topology. Notice that  $f(A)$  cannot be  $\{0, 1\}$  since otherwise  $A$  is disconnected. Without loss of generality, assume  $f(A) = \{0\}$  since  $A$  is nonempty. Now consider  $f|_B : B \rightarrow \{0, 1\}$ , which is also continuous. Similarly, notice that  $f(B)$  cannot be  $\{0, 1\}$ . But there exists  $p \in A \cap B$ , and  $f(p) = 0$  as  $p \in A$ . Then since  $p \in B$ , we must have  $f(B) = \{0\}$ . But then we get that  $f(A \cup B) = \{0\} \neq \{0, 1\}$ , a contradiction to surjectivity.  $\square$

**Corollary 4.2.1.** A union of connected sets with “common points” is connected.

*Proof.* Run induction (transfinite if the union is infinite) using the previous theorem.  $\square$

**Theorem 4.3.** Closed intervals in  $[a, b] \subseteq \mathbb{R}$  with the metric topology are connected.

*Proof.* Assume otherwise that  $[a, b] = U \cup V$  with  $U, V$  disjoint, open, and nonempty. Assume without loss of generality that  $a \in U$ . Since  $V$  is nonempty, there exists  $c > a$  such that  $c \in V$ . Now consider  $[a, c] \subseteq [a, b]$  with  $U_1 = U \cap [a, c]$  and  $V_1 = V \cap [a, c]$ . By the least upper bound property of  $\mathbb{R}$ , since  $U_1$  is nonempty and bounded from above, there exists  $s = \sup U_1$  with  $s \leq c$ . Now either  $s \in U_1$  or  $s \notin U_1$ .

If  $s \in U_1$  (note this implies  $s \neq c$ ), then  $s$  is an interior point of  $U_1$  since  $U_1$  is open. So one may find a point  $t$  such that  $t > s$  and  $t \in U_1$ . But then  $s$  is no longer an upper bound of  $U_1$ , a contradiction.

Otherwise  $s \notin U_1$ . Since  $U_1, V_1$  cover  $[a, c]$ , we must then have  $s \in V_1$  (note this implies  $s \neq a$ ). Since  $V_1$  is open,  $s$  is an interior point of  $V_1$ , and thus there exists  $t < s$  such that  $t \in V_1$  and  $t$  is an upper bound for  $U_1$ . This contradicts  $s$  being the least upper bound of  $U_1$ .

Since both cases lead to contradictions, we conclude that  $[a, b]$  must be connected.  $\square$

**Corollary 4.3.1.** Open intervals in  $\mathbb{R}$  are connected, and  $\mathbb{R}$  itself is connected.

*Proof.* For some  $N_0 \geq 1$  (for instance choose  $N_0 \geq 2/(b-a)$ ) we can write

$$(a, b) = \bigcup_{n=N_0}^{\infty} \left[ a + \frac{1}{n}, b - \frac{1}{n} \right],$$

Each of these closed intervals is connected by the previous theorem, and thus the union is connected by Corollary 4.2.1 since they overlap. Similarly writing  $\mathbb{R} = \bigcup_{n=1}^{\infty} [-n, n]$  shows that  $\mathbb{R}$  is connected.  $\square$

**Corollary 4.3.2** (Intermediate value theorem). *Let  $f : [a, b] \rightarrow \mathbb{R}$  be a continuous function. Then for any  $f(a) < t < f(b)$ , there exists  $c \in [a, b]$  such that  $f(c) = t$ .*

*Proof.* Assume not. We can consider the open sets  $(-\infty, t)$  and  $(t, \infty)$  in  $\mathbb{R}$ . Then  $f^{-1}((-\infty, t))$  and  $f^{-1}((t, \infty))$  are open sets since  $f$  is continuous. They are clearly disjoint (since  $f$  must be well-defined), and also nonempty since  $a \in f^{-1}((-\infty, t))$  and  $b \in f^{-1}((t, \infty))$ . Also since  $f^{-1}(\{t\}) = \emptyset$  by assumption,

$$[a, b] = f^{-1}((-\infty, t)) \cup f^{-1}((t, \infty)).$$

But this is a separation of  $[a, b]$ , a contradiction since  $[a, b]$  is connected.  $\square$

**Proposition 4.2.** *The open interval  $(0, 1)$  is not homeomorphic to the closed interval  $[0, 1]$ .*

*Proof.* Removing any point from  $(0, 1)$  disconnects it, but  $[0, 1] = [0, 1] \setminus \{1\}$  remains connected.<sup>1</sup>  $\square$

**Proposition 4.3.** *The real line  $\mathbb{R}$  is not homeomorphic to the plane  $\mathbb{R}^n$  for any  $n \geq 2$ .*

*Proof.* Removing a point from  $\mathbb{R}$  disconnects it but the same is not true for  $\mathbb{R}^n$  when  $n \geq 2$ .  $\square$

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<sup>1</sup>To see that  $[0, 1]$  is connected, we can write  $[0, 1] = \bigcup_{n=2}^{\infty} [0, 1 - 1/n]$ .