# Chapter 4

# Linear Independence and Basis

## 4.1 Finitely Generated Spaces

We shall now begin investigating the question of obtaining a spanning set of optimal size. We shall first introduce the notion of finitely generated spaces. We have,

**Definition 4.1.1** Let  $\mathcal{V}$  be a vector space over a field  $\mathcal{F}$ . A subspace  $\mathcal{W}$  of  $\mathcal{V}$  is said to be finitely generated if there exists a finite spanning set for  $\mathcal{W}$ , that is, if there exists  $S \subset \mathcal{W}$  such that S is finite and  $\mathcal{L}[S] = \mathcal{W}$ 

We illustrate this by some examples.

**Example 4.1.1** Consider the vector space  $\mathbb{R}^3$ . Let  $\mathcal{W}$  be the subspace defined as

$$W = \left\{ x = \begin{pmatrix} \alpha \\ \beta \\ \alpha + \beta \end{pmatrix} : \alpha, \ \beta \in \mathbb{R} \right\}$$

Clearly the set of vectors

$$u_1 = \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix}, \ u_2 = \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}$$

form a finite spanning set for  $\mathcal{W}$ . Hence  $\mathcal{W}$  is a finitely generated subspace.

Clearly the set of vectors

$$e_1 = \begin{pmatrix} 1 \\ 0 \\ 0 \end{pmatrix}, e_2 = \begin{pmatrix} 0 \\ 1 \\ 0 \end{pmatrix}, e_3 = \begin{pmatrix} 0 \\ 0 \\ 1 \end{pmatrix}$$

form a finite spanning set for  $\mathbb{R}^3$  and hence the vector space  $\mathbb{R}^3$  is itself finitely generated.

**Example 4.1.2** Let  $\mathcal{V}$  be the vector space,  $\mathcal{F}_{\mathbb{R}}[\mathbb{R}]$  of all functions from  $\mathbb{R}$  to  $\mathbb{R}$ . We have

$$\mathcal{F}_{\mathbb{R}}[\mathbb{R}] = \{f : \mathbb{R} \longrightarrow \mathbb{R}\}$$

Consider the subspace  $W = \mathbb{R}[x]$  of all polynomials in x with real coefficients. Then W is not finitely generated. For, suppose it is finitely generated. This would then mean that there exists a finite spanning set

$$S = p_1, p_2, \cdots, p_k$$

for  $\mathcal{W}$ . Let

$$d = Max. \{ degree \ p_j : 1 \le j \le k \}$$

Since S is a spanning set for W we have

$$p \in \mathcal{W} \implies p = \alpha_1 p_1 + \alpha_2 p_2 + \dots + \alpha_k p_k, \ (\alpha_j \in \mathbb{R}, \ 1 \le j \le k)$$
  
 $\implies degree \ p \le d$ 

This means that no polynomial in  $\mathcal{W}$  can have degree greater than d. Thus is a contradiction, since for example,  $x^{d=1}$  is a polynomial of degree greater than d and is in  $\mathcal{W}$ . Thus  $\mathcal{W}$  is not finitely generated. On the other hand, consider the subspace,  $\mathcal{W} = \mathbb{R}_N[x]$ , of all polynomials in  $\mathcal{V}$  of degree less than or equal to N. Then clearly

$$S = \{p_n = x^n\}_{n=0}^N$$

is a finite spanning set for  $\mathcal{W}$  and hence this subspace is finitely generated.

## 4.2 Linear Independence

We shall next introduce the notion of a linearly independent set. Consider a finite set of vectors

$$u_1, u_2, \cdots, u_r$$

in a vector space  $\mathcal{V}$ . Any linear combination of these vectors is of the form

$$\alpha_1 u_1 + \alpha_2 u_2 + \cdots + \alpha_r u_r$$

where  $\alpha_j \in \mathcal{F}$ , for  $1 \leq j \leq r$ . In particular,

$$0u_1 + 0u_2 + \cdots + 0u_r$$

is a linear combination of these vectors and is equal to  $\theta_{\nu}$ . This linear combination is called the trivial linear combination of these vectors. Thus we find that given any finite set of vectors, we can obtain the zero vector  $\theta_{\nu}$ , as a linear combination of these vectors.

**Example 4.2.1** Consider the vector space  $\mathcal{V} = \mathbb{R}^3$  and the set of vectors,

$$S = u_1 = \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix}, \ u_2 = \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}$$

Then clearly we can write the zero vector  $\theta_{\nu}$  as a the trivial linear combination of these vectors as

$$\theta_{y} = 0u_1 + 0u_2$$

Further this is the only way we can express  $\theta_{\nu}$  as a linear combination of  $u_1, u_2$ . For if a linear combination gives  $\theta_{\nu}$ , then we must have,

$$\alpha_1 u_1 + \alpha_2 u_2 = \theta_{\nu}$$

$$\Longrightarrow$$

$$\begin{pmatrix} \alpha_1 \\ \beta_1 \\ \alpha_1 + \beta_1 \end{pmatrix} = 0$$

$$\Longrightarrow$$

$$\alpha_1, \text{ and } \alpha_2 = 0$$

On the other hand consider theset of vectors,

$$S = u_1 = \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix}, \ u_2 = \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}, \ u_3 = \begin{pmatrix} 1 \\ 1 \\ 2 \end{pmatrix}$$

Then we have the trivial linear combination

$$\theta_{v} = 0u_1 + 0u_2 + 0u_3$$

We also have

$$1u_1 + 1u_2 + (-1)u_3 = \theta_{v}$$

In fact, for any  $\alpha \in \mathbb{R}$  we have

$$\alpha u_1 + \alpha u_2 + (-\alpha)u_3 = \theta_{v}$$

Thus nontrivial linear combinations of  $u_1, u_2, u_3$  also give rise to the zero vector.

From the above example it follows that given any finite subset S of a vector space  $\mathcal{V}$ , the following two possibilities arise:

- 1. EITHER  $\theta_{\nu}$  can be expressed ONLY as the trivial linear combination of the vectors in S,
- 2. OR  $\theta_{\nu}$  can also be expressed as a nontrivial linear combination of the vectors in S

We distinguish these two possibilities with the following definition:

**Definition 4.2.1** Let V be a vector space over a field  $\mathcal{F}$ . A nonempty finite subset

$$S = u_1, u_2, \cdots, u_r$$

is said to be **linearly independent** if

$$\alpha_1 u_1 + \alpha_2 u_2 + \dots + \alpha_r u_r = \theta_y \implies \alpha_i = 0, \ 1 \le j \le r$$
 (4.2.1)

(that is, the only way to express the zero vector as a linear combination of the vectors in S is to express it as the trivial linear combination).

If S is not linearly independent it is said to be linearly dependent.

#### Remark 4.2.1 The set

$$S = u_1, u_2, \cdots, u_r$$

is linearly dependent means that there exist  $a_1, \alpha_2, \dots, \alpha_r \in \mathcal{F}$ , at least one of which is not zero, such that

$$\alpha_1 u_1 + \alpha_2 u_2 + \cdots + \alpha_r u_r = \theta_{\nu}$$

Example 4.2.2 In Example 4.2.1 above, the set

$$S = u_1, u_2$$

is linearly independent, whereas te set

$$S = u_1, u_2, u_3$$

is linearly dependent.

**Example 4.2.3** Consider the vector space  $\mathcal{V} = \mathbb{R}[x]$  of all polynomials over  $\mathbb{R}$ .

1. Consider the set

$$S_1 = p_1, p_2, p_3$$

where

$$p_1 = 1, p_2 = x, p_3 = x^2$$

We have

$$\alpha_{1}p_{1} + \alpha_{2}p_{2} + \alpha_{3}p_{3} = \theta_{v}$$

$$\Longrightarrow$$

$$\alpha_{1} + \alpha_{2}x + \alpha_{3}x^{2} = \theta_{v}$$

$$\Longrightarrow$$

$$\alpha_{1}, \alpha_{2} \text{ and } \alpha_{3} = 0$$

Hence the set  $S_1$  is linearly independent.

2. Next we consider the set

$$S_2 = f_1, f_2, f_3$$

where

$$f_1 = 1 + x$$
,  $f_2 = 1 + x^2$ ,  $f_3 = 1 + x + x^2$ 

We have

Hence the set  $S_2$  is linearly independent.

#### 3. Consider the set

$$S_3 = f_1, f_2, f_3$$

where

$$f_1 = 1 + x$$
,  $f_2 = x + x^2$ ,  $f_3 = 1 + x^2$ 

We have

$$\alpha_{1}f_{1} + \alpha_{2}f_{2} + \alpha_{3}f_{3} = \theta_{v}$$

$$\Longrightarrow$$

$$\alpha_{1}(1+x) + \alpha_{2}(x+x^{2}) + \alpha_{3}(1+x^{2}) = \theta_{v}$$

$$\Longrightarrow$$

$$(\alpha_{1} + \alpha_{3}) + (\alpha_{1} + \alpha_{2})x + (\alpha_{2} + \alpha_{3})x^{2} = \theta_{v}$$

$$\Longrightarrow$$

$$\alpha_{1} + \alpha_{3} = 0$$

$$\alpha_{1} + \alpha_{2} = 0$$

$$\alpha_{2} + \alpha_{3} = 0$$

$$\Longrightarrow$$

$$\alpha_{1}, \alpha_{2} \text{ and } \alpha_{3} = 0$$

Hence the set  $S_3$  is linearly independent

#### 4. Consider the set

$$S_4 = f_1, f_2, f_3$$

where

$$f_1 = 1 - x$$
,  $f_2 = 1 + x$ ,  $f_3 = 1$ 

This set is linearly dependent since we have

$$1f_1 + 1f_2 + (-2)f_3 = \theta_{y}$$

a nontrivial linear combination giving rise to  $\theta_{y}$ .

## 4.3 Properties of Linearly Dependent Sets

We shall now look at an useful property of a linearly dependent set. Consider a linearly dependent set

$$S = u_1, u_2, \cdots, u_r$$

(We arrange these vectors in S in some order as above). By Remark 4.2.1, there exist  $\alpha_1, \alpha_2, \dots, \alpha_r \in \mathcal{F}$ , at least one of which is not zero, such that

$$\alpha_1 u_1 + \alpha_2 u_2 + \dots + \alpha_r u_r = \theta_{\mathcal{V}}$$

Let k be the largest index such that  $\alpha_k \neq 0$ , that is,  $\alpha_k \neq 0$  and  $\alpha_j = 0$  if j > k. Then we have

$$\alpha_1 u_1 + \alpha_2 u_2 + \cdots + \alpha_k u_k = \theta_{\lambda}$$

Since  $\alpha_k \neq 0$  we get

$$u_k = \beta_1 u_1 + \beta_2 u_2 + \dots + \beta_{(k-1)} u_{(k-1)}$$

where

$$\beta_j = \alpha^{-1} \alpha_j \text{ for } 1 \le j \le (k-1)$$

Thus we see that  $u_k$  is a linear combination of the preceding vectors  $u_1, u_2, \dots, u_{(k-1)}$ . Thus we have the following property of a linearly dependent set:

#### Property 1:

If S is a finite linearly dependent set, (in a vector space  $\mathcal{V}$ ), whose vectors are arranged in some order

$$S=u_1,u_2,\cdots,u_r$$

then there exists a vector  $u_k$  such that it is a linear combination of the preceding vectors  $u_1, u_2, \dots, u_{(k-1)}$ 

**Example 4.3.1** Consider the following set of vectors in the vector space  $\mathbb{R}^4$ :

$$S = u_1, u_2, u_3, u_4, u_5$$

where

$$u_1 = \begin{pmatrix} 1 \\ 0 \\ 1 \\ 0 \end{pmatrix}, \ u_2 = \begin{pmatrix} 0 \\ 1 \\ 1 \\ 1 \end{pmatrix}, \ u_3 = \begin{pmatrix} 2 \\ 3 \\ 5 \\ 3 \end{pmatrix}, \ u_4 = \begin{pmatrix} 1 \\ 0 \\ 0 \\ 0 \end{pmatrix}, \ u_5 = \begin{pmatrix} 3 \\ 2 \\ 2 \\ 2 \end{pmatrix}$$

This is a linearly dependent set since we can have nontrivial linear combination giving rise to  $\theta_4$ . For example,

$$2u_1 + 3u_2 + (-1)u_3 + 0u_4 + 0u_5 = \theta_4$$

We see that the vector  $u_3$  can be expressed as linear combination of the preceding vectors  $u_1, u_2$  as

$$u_3 = 2u_1 + 3u_2$$

We shall now use this property to remove the redundancies from a linearly dependent spanning set for a subspace.

Consider a finite set of vectors

$$S = u_1, u_2, \cdots, u_r$$

Without loss of generality let us assume that these vectors are all nonzero.

Case 1: S is linearly independent

In this case S is a linearly independent spanning set for  $\mathcal{L}[S]$ .

Case 2; S is linearly dependent

In this case, by the above property of linearly dependent sets, we must have a  $u_k$  such that it is a linear combination of the preceding vectors  $u_1, u_2, \dots, u_{(k-1)}$ . Let  $k_1$  be the smallest index such that  $u_{k_1}$  is a linear combination of the preceding vectors. (Since the vectors are all nonzero vectors we have  $k_1 > 1$ ). The means that,

- 1.  $u_{k_1}$  is a linear combination of  $u_1, u_2, \dots, u_{(k_1-1)}$ , and
- 2.  $u_j$  is NOT a linear combination of  $u_1, u_2, \dots, u_{(j-1)}$  for any  $j < k_1 1$

Now any vector that can be written as a linear combination of  $u_1, u_2, \dots, u_r$  can also be written as a linear combination of the set of vectors,

$$S_1 = u_1, u_2, \dots, u_{(k_1-1)}, u_{(k_1+1)}, \dots, u_r$$

obtained from S by removing the vector  $u_k$ . Thus we have

$$\mathcal{L}[S] = \mathcal{S}_1$$

If  $S_1$  is linearly independent then it is a linearly independent spanning set for  $\mathcal{L}[S]$  and  $S_1 \subset S$ .

If  $S_1$  is linearly dependent, we repeat the above process with  $S_1$  and remove one more vector to get a subset  $S_2 \subset S_1 \subset S$  such that

$$\mathcal{L}[S_2] = \mathcal{L}[S_1] = \mathcal{L}[S]$$

If  $S_2$  is linearly independent then it is a linearly independent spanning set for  $\mathcal{L}[S]$ . If not, we continue this process and in each step we remove one vector, and since S is a finite set, we get, after a finite number of steps, a subset  $\tilde{S} \subset S$  such that  $\tilde{S}$  is a linearly independent spanning set for  $\mathcal{L}[S]$ . Thus we have the following property of a linearly dependent set:

#### Property 2:

If S is a finite linearly dependent set in a vector space  $\mathcal{V}$ , there exists a subset  $\tilde{S} \subset S$  such that,  $\tilde{S}$  is a linearly independent spanning set for  $\mathcal{L}[S]$ .

**Example 4.3.2** Consider the set of vectors in the Example 4.3.1. We had seen that this is a linearly dependent set. We shall now find a subset  $\tilde{S}$  of S which is a linearly independent spanning set for  $\mathcal{L}[S]$ . We proceed as follows: We observe that all the vectors are nonzero vectors. (If the zero vector is in the set we remove it first).

Then we consider  $u_1, u_2$  and write these as row vectors of a matrix and find the RRE form.

$$\left(\begin{array}{ccccc}
1 & 0 & 1 & 0 \\
0 & 1 & 1 & 1
\end{array}\right)$$

This is already in RRE form and since there are no zero rows it follows that  $u_1, u_2$  are linearly independent. We then append  $u_3$ . We have

$$\begin{pmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 \\ 2 & 3 & 5 & 3 \end{pmatrix} \xrightarrow{R_3 - 2R_1} \begin{pmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 \\ 0 & 3 & 3 & 3 \end{pmatrix} \xrightarrow{R_3 - 3R_2} \begin{pmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 \\ 0 & 0 & 0 & 0 \end{pmatrix}$$

The zero row gives us that  $u_3$  is a linear combination of  $u_1$  and  $u_2$ . In fact the above EROs give us

$$u_3 - 2u_1 - 3u_3 = \theta_4$$

Hence

$$u_3 = 2u_1 + 3u_2$$

as observed in Example . We now this redundant  $u_3$ . Next we append  $u_4$  to  $u_1, u_2$ .

$$\begin{pmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 \\ 1 & 0 & 0 & 0 \end{pmatrix} \xrightarrow{R_3 - R_1} \begin{pmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 \\ 0 & 0 & -1 & 0 \end{pmatrix} \xrightarrow{-R_3} \begin{pmatrix} 1 & 0 & 1 & 0 \\ 0 & 1 & 1 & 1 \\ 0 & 0 & 1 & 0 \end{pmatrix}$$

$$\xrightarrow{R_1 - R_3, R_2 - R_3} \left( \begin{array}{cccc} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 \end{array} \right)$$

The absence of zero rows in the RRE form gives us that  $u_1, u_2, u_3$  are linearly independent. Next we append  $u_5$  to these three vectors.

$$\begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 3 & 2 & 2 & 2 \end{pmatrix} \xrightarrow{R_4 - 3R_1} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 2 & 2 & 2 \end{pmatrix} \xrightarrow{R_4 - 2R_2} \begin{pmatrix} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 2 & 0 \end{pmatrix}$$

$$R_{4} \xrightarrow{-2R_{3}} \left( \begin{array}{cccc} 1 & 0 & 0 & 0 \\ 0 & 1 & 0 & 1 \\ 0 & 0 & 1 & 0 \\ 0 & 0 & 0 & 0 \end{array} \right)$$

The zero row gives us the fact that  $u_5$  is a linear combination of  $u_1, u_2, u_4$ . Hence we remove this redundant  $u_5$ . Thus we finally get the linearly independent subset

$$\tilde{S} = u_1, u_2, u_4$$

of S such that  $\mathcal{L}[S] = \mathcal{L}[\tilde{S}]$ 

These ideas lead us to the notion of a basis which we introduce i the next section.

#### 4.4 Basis

Consider a finitely generated subspace W of a vector space V. Since W is finitely generated there must be a finite spanning set, say

$$S = u_1, u_2, \cdots, u_r$$

Since S is a spanning set for  $\mathcal{W}$ , we have  $\mathcal{L}[S] = \mathcal{W}$ . If S is linearly independent then we have a linearly independent spanning set for  $\mathcal{W}$ . If S is linearly dependent, then by Property 2 of the previous section we can get a linearly independent subset  $\tilde{S} \subset S$  such that  $\mathcal{L}[\tilde{S}] = \mathcal{L}[S] = \mathcal{W}$ . Hence  $\tilde{S}$  is a linearly independent spanning set. Thus, in any case, we see that a finitely generated subspace must possess a linearly independent, finite, spanning set. This leads us to the following definition:

**Definition 4.4.1** A finite linearly independent spanning set for a finitely generated subspace is called a **BASIS** for the subspace.

**Remark 4.4.1** If the vector space  $\mathcal{V}$  is itself finitely generated then it will have finite, linearly independent, spanning set and such a spanning set is called a basis for  $\mathcal{V}$ 

We shall now study some properties of linearly independent sets and basis. Suppose now V is a finitely generated space and so has a basis, say,

$$\mathcal{B} = u_1, u_2, \cdots, u_d$$

Let

$$S = v_1, v_2, \cdots, v_r$$

be any linearly independent set in  $\mathcal{V}$ . Consider the set

$$S_1 = v_1, u_1, u_2, \cdots, u_d$$

Since  $v_1 \in \mathcal{V}$  and  $\mathcal{B}$  is a basis we must have  $v_1$  as a linear combination of the vectors in  $\mathcal{B}$ . Hence  $S_1$  must be linearly dependent. Hence by Property 2 of linearly dependent spanning sets obtained in the previous section, we must have a subset  $\tilde{S}_1 \subset S_1$  such that  $S_1$  is a linearly independent spanning set for  $\mathcal{V}$ , that is,  $\tilde{S}_1$  is a basis for  $\mathcal{V}$ . This is got by the process of removing the redundancy in the linearly dependent spanning set,  $S_1$ , using the procedure described in the previous section. Clearly the process does not remove  $v_1$ 

from the set  $S_1$ . Hence there must be a proper subset  $\mathcal{B}'$  of  $\mathcal{B}$ , (obtained by removing at least one vector from  $\mathcal{B}$ ), such that

$$\mathcal{B}_1 = v_1, \mathcal{B}'$$

is a basis for  $\mathcal{V}$ . Now we let

$$S_2 = v_2, v_1, \mathcal{B}'$$

Since this is a linearly dependent set we can repeat the above argument to  $S_2$  to obtain a proper subset  $\mathcal{B}'_1$  of  $\mathcal{B}_1$ , (and hence a proper subset of  $\mathcal{B}$ ), such that

$$\mathcal{B}_2 = v_2, v_1, \mathcal{B}_1'$$

is a basis for  $\mathcal{V}$ . We continue this process. There arise two possibilities: Possibility 1: The process continues for r steps In this case we get a basis

$$\mathcal{B}_r = v_r, v_{(r-1)}, \cdots, v_1, \mathcal{B}'_{(r-1)}$$

where  $\mathcal{B}'_{(r-1)}$  is a proper subset of  $\mathcal{B}$ . Since in each step we remove at least one of the vectors in  $\mathcal{B}$  we must have at least r vectors in  $\mathcal{B}$ , that is,

$$r \leq d \tag{4.4.1}$$

Possibility 2: The process terminates at the kth step where k < rIn this case we have a basis

$$\mathcal{B}_k = v_k, v_{(k-1)}, \cdots, v_1$$

for  $\mathcal{V}$ , and k < r. hence we have

$$\mathcal{V} = \mathcal{L}[\mathcal{B}_k]$$
 and  $v_{(k+1)} \in \mathcal{V}$ 

Hence  $v_{(k+1)}$  must be a linear combination of  $v_1, v_2, \dots, v_k$ , which is a contradiction, since S is linearly independent. Thus this possibility cannot arise. Hence we have (4.4.1). Thus we have

#### Property 1:

If a vector space  $\mathcal{V}$  has a basis consisting of d vectors then any linearly independent set in  $\mathcal{V}$  can have at most d vectors

We shall now apply this to get another important property of a basis. Suppose  $\mathcal{V}$  is finitely generated vector space. Then it has a finite basis. Let

$$\mathcal{B} = u_1, u_2, \cdots, u_d$$

be a basis for  $\mathcal{V}$ . If  $\mathcal{B}'$  is any oter basis for  $\mathcal{V}$  then since  $\mathcal{B}'$  must be linearly independent it can have at most d vectors in it and hence it must be finite. Thus every basis for  $\mathcal{V}$  will be finite. Further, let

$$\mathcal{B} = u_1, u_2, \cdots, u_m$$

$$\mathcal{B}' = v_1, v_2, \cdots, v_n$$

be any two bases for  $\mathcal{V}$ . Since  $\mathcal{B}$  is a basis for  $\mathcal{V}$  and  $\mathcal{B}'$  is linearly independent we get by Property 1 above,

$$n \leq m \tag{4.4.2}$$

Similarly, since  $\mathcal{B}'$  is a basis for  $\mathcal{V}$  and  $\mathcal{B}$  is linearly independent we get by Property 1 above,

$$m \leq n \tag{4.4.3}$$

From (4.4.2) and (4.4.3) we get

$$m = n \tag{4.4.4}$$

Summarising we get

#### Property 2:

In a finitely generated vector space all bases are finite and all bases have the same number of vectors

This leads us to the following definition:

**Definition 4.4.2** For a finitely generated vector space, the number of vectors in any basis is called the **DIMENSION** of the space

Remark 4.4.2 From now on we shall, therefore, refer to a finitely generated vector space as a Finite Dimensional Vector Space.

**Definition 4.4.3** For a matrix  $A \in \mathcal{F}^{m \times n}$ , the dimension of  $\mathcal{R}_A$ , the Range of A, is defined as the "Rank of A" and is denoted by  $\rho_A$ , and the dimension of  $\mathcal{N}_A$ , the Null Space of A, is defined as the "Nullity of A" and is denoted by  $\nu_A$ .

**Remark 4.4.3** Similarly the rank of  $A^T$  is the dimension of  $\mathcal{R}_{A^T}$ , the Range of  $A^T$ , and is denoted by  $\rho_{A^T}$ , and the dimension of  $\mathcal{N}_{A^T}$ , the Null space of  $A^T$ , is denoted by  $\nu_{A^T}$ 

Remark 4.4.4 It is easy to see that for any matrix, the Nullity of a matrix as defined above is the same as the nullity as defined in

Now consider a n dimensional vector space. Hence bases have exactly n vectors. By Property 1 above, any linearly independent set can have at most n vectors. Hence any set having more than n vectors must be linearly dependent. Thus we have

#### Property 3:

# In an n dimensional vector space any subset having more than n vectors must be linearly dependent

An immediate consequence is the following:

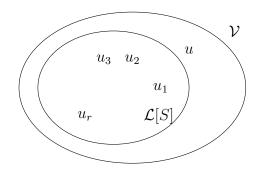
Let  $\mathcal{B} = u_1, u_2, \dots, u_n$  be a basis for an n dimensional vector space. Then any set S in  $\mathcal{V}$  such that  $\mathcal{B}$  is a proper subset of S must contain at least one vector more that that in  $\mathcal{B}$ . Hence S must have more than n vectors and by the above property it follows that S must be linearly dependent. Thus any set S of which  $\mathcal{B}$  is a proper subset must be linearly dependent. This means that  $\mathcal{B}$  cannot be a proper subset of any linearly independent set. In other words, we say that  $\mathcal{B}$  is a maximal linearly independent set in  $\mathcal{V}$ . Thus we have,

#### Property 4:

A basis for V is a maximal linearly independent set in V Next, consider a linearly independent set,

$$S=u_1,u_2,\cdots,u_r$$

Suppose  $u \in \mathcal{V}$  is such that  $u \notin \mathcal{L}[S]$ 



Now consider the set

$$S_1 = u_1, u_2, \cdots, u_r, u$$

We shall se that this is linearly independent. For,

$$\alpha_1 u_1 + \alpha_2 u_2 + \dots + \alpha_r u_r + \alpha u = \theta_{\mathcal{V}}$$

$$\Longrightarrow$$

$$\alpha = 0$$

For, if not, then  $\alpha \neq 0$  and hence  $\alpha^{-1}$  exists and we get

$$u = \alpha^{-1}\alpha_1 u_1 + \alpha^{-1}\alpha_2 u_2 + \dots + \alpha^{-1}\alpha_r u_r$$

and hence  $u \in \mathcal{L}[S]$  - a contradiction. Hence  $\alpha = 0$ . But then

$$\begin{array}{rcl} \alpha_1 u_1 + \alpha_2 u_2 + \cdots + \alpha_r u_r + \alpha u & = & \theta_{\mathcal{V}} \\ & \Longrightarrow & \\ \alpha_1 u_1 + \alpha_2 u_2 + \cdots + \alpha_r u_r & = & \theta_{\mathcal{V}} \\ & \Longrightarrow & \\ \alpha_j & = & 0 \text{ for } 1 \leq j \leq r \\ & \text{ (since $S$ is linearly independent)} \end{array}$$

Thus we have

$$\alpha_1 u_1 + \alpha_2 u_2 + \dots + \alpha_r u_r + \alpha u = \theta_{\mathcal{V}} \implies \alpha = \alpha_1 = \alpha_2 = \dots = \alpha_r = 0$$
 $\Longrightarrow$ 

 $S_1$  is linearly independent. Thus we have

### Property 5:

If  $S = u_1, u_2, \dots, u_r$  is linearly independent and  $u \in \mathcal{V}$  is such that  $u \notin \mathcal{L}[S]$  then  $S_1 = u_1, u_2, \dots, u_r, u$  is also linearly independent

An immediate consequence of this property is the following: Suppose W is a subspace and  $S = u_1, u_2, \dots, u_r$  linearly independent in W and  $u \in V$  such that  $u \notin W$ . Then we have

$$\mathcal{L}[S] \subset \mathcal{W} \implies u \not\in \mathcal{L}[S]$$
 
$$\implies$$
 
$$S_1 = u_1, u_2, \cdots, u_r, u \quad \text{is} \quad \text{linearly independent}$$

Thus we have

#### Property 6:

If  $S = u_1, u_2, \dots, u_r$  is a linearly independent subset of a subspace  $\mathcal{W}$  and  $u \in \mathcal{V}$  is such that  $u \notin \mathcal{W}$  then  $S_1 = u_1, u_2, \dots, u_r, u$  is a linearly independent subset of  $\mathcal{V}$ 

We shall now look at a consequence of this property.

Consider an n dimensional vector space V and let

$$S = u_1, u_2, \cdots, u_n$$

be a linearly independent set in  $\mathcal{V}$ . Since this is a linearly independent set, the only way this can fail to be a basis is that it is not a spanning set for  $\mathcal{V}$ . Now,

S is not a spanning set for  $\mathcal{V} \Longrightarrow \mathcal{W} = \mathcal{L}[S] \neq \mathcal{V}$ 

 $\Longrightarrow$ 

There exists a vector  $u \in \mathcal{V}$  such that  $u \notin \mathcal{W}$ 

 $\Longrightarrow$ 

(By Property 5 above)  $u_1, u_2, \dots, u_n, u$  is a linearly independent set

- a contradiction, since by Property 1, no linearly independent set in an n dimensional space can have more than n vectors. Thus we must have  $\mathcal{L}[S] = \mathcal{V}$  and hence S will be a linearly independent spanning set for  $\mathcal{V}$  and therefore a basis for  $\mathcal{V}$ . Thus we have

#### Property 7:

In an n dimensional vector space  $\mathcal{V}$  any set of n linearly independent vectors must form a basis for  $\mathcal{V}$ .

Now consider an n dmensional vector space  $\mathcal{V}$ , and let

$$S=u_1,u_2,\cdots,u_r$$

be any linearly independent set in  $\mathcal{V}$  and r < n. Then S is not a basis for  $\mathcal{V}$  since any basis must have n vectors. Hence we have  $\mathcal{W} = \mathcal{L}[S]$  is a proper subspace of  $\mathcal{V}$ . Therfore, there exists a vector  $u_{(r+1)} \in \mathcal{V}$  such that  $u_{(r+1)} \notin \mathcal{W}$ . By Property 5 we have, the set

$$S_1 = u_1, u_2, \cdots, u_r, u_{(r+1)}$$

is linearly independent in  $\mathcal{V}$ . If r+1=n this will be a basis for  $\mathcal{V}$ . If (r+1) < n then we have  $\mathcal{L}[S_1]$  is a proper subspace of  $\mathcal{V}$ . We can get a vector  $u_{(r+2)} \in \mathcal{V}$  such that  $u_{(r+2)} \notin \mathcal{L}[S_1]$ . Continuiung this process n-r

times we get vectors  $u_{(r+1)}, u_{(r+2)}, \cdots, u_n$  such that

$$\mathcal{B} = u_1, u_2, \cdots, u_r, u_{(r+1)}, u_{(r+2)}, \cdots, u_n$$

is a basis for  $\mathcal{V}$ . Thus we are able to get a basis of which the given linearly independent set is a part. We state this property as follows:

#### Property 8:

In an n dimensional vector space any linearly independent set having less than n vectors can be "extended" to be a basis for  $\mathcal{V}$ .

We shall now look at an application of the above result.

Let  $A \in \mathcal{F}^{m \times n}$  be a nonzero matrix. Then  $\mathcal{N}_A$  is a proper subspace of  $\mathcal{F}^n$  and its dimension is denoted by  $\nu_A$ . Let

$$\mathcal{B}_{\mathcal{N}_A} = \varphi_1, \varphi_2, \cdots, \varphi_{\nu_A}$$

be a basis for  $\mathcal{N}_A$ , (where  $\nu_A < n$ ). By the Property 8 above, we can extend this to a basis

$$\mathcal{B} = \varphi_1, \varphi_2, \cdots, \varphi_{\nu_A}, v_1, v_2, \cdots, v_{(n-\nu_A)}$$

for  $\mathcal{F}^n$ , by appending suitable vectors  $v_1, v_2, \dots, v_{(n-\nu_A)}$ . Now any vector  $b \in \mathcal{R}_A$  is of the form Ax for some  $x \in \mathcal{F}^n$ , and any  $x \in \mathcal{F}^n$  is a linear combination of the vectors in the basis  $\mathcal{B}$ . Therefore we have,

$$b \in \mathcal{R}_{A} \implies \exists x \in \mathcal{F}^{n} \ni b = Ax$$

$$\implies b = A\left(\sum_{j=1}^{\nu} {}_{A}\alpha_{j}\varphi_{j} + \sum_{k=1}^{(n-\nu_{A})} \beta_{k}v_{k}\right)$$

$$(\text{where } \alpha_{j}, \beta_{k} \in \mathcal{F}, \ 1 \leq j \leq \nu_{A}, \ 1 \leq k \leq n - \nu_{A})$$

$$b = \sum_{j=1}^{\nu} {}_{A}\alpha_{j}(A\varphi_{j}) + \sum_{k=1}^{(n-\nu_{A})} \beta_{k}(Av_{k}) \ (\text{since } A\varphi_{j} = \theta_{n} \text{ as } \varphi_{j} \in \mathcal{N}_{A}$$

$$b = \sum_{k=1}^{(n-\nu_{A})} \beta_{k}u_{k} \text{ where } u_{k} = Av_{k} \in \mathcal{R}_{A}$$

Thus we see that the set of vectors,

$$S = u_1, u_2, \cdots, u_k$$

is in  $\mathcal{R}_A$  and every vector in  $\mathcal{R}_A$  is a linear combination of these vectors. Hence S is a spanning set for  $\mathcal{R}_A$ . If we show that S is also linearly independent then it will become a linearly independent spanning set and hence

a basis for  $\mathcal{R}_A$ . We now proceed to prove that S is linearly independent. We have,

$$\sum_{k=1}^{(n-\nu_A)} \beta_k u = \theta_n \implies \sum_{k=1}^{(n-\nu_A)} \beta_k (Av_k) = \theta_n \text{ (since } u_k = Av_k$$

$$\implies A \left( \sum_{k=1}^{(n-\nu_A)} \beta_k v_k \right) = \theta_n$$

$$\implies \sum_{k=1}^{(n-\nu_A)} \beta_k v_k \in \mathcal{N}_A$$

$$\implies \sum_{k=1}^{(n-\nu_A)} \beta_k v_k = \sum_{j=1}^{\nu_A} \gamma_j \varphi_j, \text{ since } \mathcal{B}_{\mathcal{N}_A} \text{ is a basis for } \mathcal{N}_A$$

$$\implies \sum_{j=1}^{\nu_A} \gamma_j \varphi_j + \sum_{k=1}^{(n-\nu_A)} (-\beta_k) v_k = \theta_n$$

$$\implies \gamma_j = 0, \ \beta_k = 0, \ 1 \le j \le \nu_A, \ 1 \le k \le \nu_A$$

$$\implies S \text{ is linearly independent}$$

Thus S is a linearly independent spanning set for  $\mathcal{R}_A$  and hence basis for  $\mathcal{R}_A$ . Since there are  $n - \nu_A$  vectos in S we get

Dimension 
$$\mathcal{R}_{A} = n - \nu_{A}$$

But the dimension of  $\mathcal{R}_A$  is  $\rho_A$ , the rank of A. Thus we get

$$\rho_{\scriptscriptstyle A} + \nu_{\scriptscriptstyle A} \quad = \quad \text{number of columns of} \ A \tag{4.4.5}$$

Similarly we get

$$\rho_{{}_{A^T}} + \nu_{{}_{A^T}} = \text{number of columns of } A^T$$
 (4.4.6)

Thus we have,

Theorem 4.4.1 Rank Nullity Theorem: For any matrix  $\overline{A \in \mathcal{F}^{m \times n}}$ , we have

 $Rank\ of\ A + Nullity\ of\ A = Number\ of\ Columns\ in\ A$ 

#### Remark 4.4.5 We had observed in that

Row Rank of A + Nullity of A = Number of Columns in A

Comparing this with the above theorem we get

$$Row \ Rank \ of \ A = Rank \ of \ A \tag{4.4.7}$$

Since  $col(A) = \mathcal{R}_A$  we have the column rank of A to be the same as the rank of A. Hence we get that all the three ranks of A are the same. that is, For any matrix A,

Row Rank of 
$$A = Column \ Rank \ of \ A = Rank \ of \ A$$
 (4.4.8)

From the above equations we also get,

$$Rank A = Row Rank A$$

$$= Column Rank A^{T}$$

$$= Rank A^{T}$$

Thus we get

For any matrix  $A \in \mathcal{F}^{m \times n}$ 

$$\rho_{\scriptscriptstyle A}, {\rm the\ Rank\ of}\ A = \rho_{\scriptscriptstyle A^T}, {\rm the\ Rank\ of}\ A^T \qquad (4.4.9)$$

**Example 4.4.1** Clearly, the set of vectors

 $\mathcal{B} = \{e_j\}_{j=1}^n$  where  $v_j$  has jth component as 1 and all other components as zero

is a linearly independent spanning set for  $\mathcal{F}^n$  and hence form a basis for  $\mathcal{F}^n$ . Since  $\mathcal{F}^2$  has a basis with n vectors, the dimension of  $\mathcal{F}^n$  is n. Any linearly independent set in  $\mathcal{F}^n$  which has n vectors must be a basis for  $\mathcal{F}^n$ . For example, the dimension of  $\mathcal{F}^2$  is 2 and the set,

$$S : v_1 = \begin{pmatrix} 1 \\ 1 \end{pmatrix}, v_2 = \begin{pmatrix} 1 \\ -1 \end{pmatrix}$$

is a linearly independent set in  $\mathcal{F}^2$  and hence is a basis for  $\mathcal{F}^2$ 

**Example 4.4.2** Consider the subspace,

$$\mathcal{W} = \left\{ \left\{ x = \begin{pmatrix} \alpha \\ \beta \\ 2\alpha + \beta \end{pmatrix} : \alpha, \ \beta \in \mathbb{R} \right\} \right\}$$

We have,

$$x \in \mathcal{W} \iff x = \begin{pmatrix} \alpha \\ \beta \\ 2\alpha + \beta \end{pmatrix} \text{ for some } \alpha, \beta \in \mathcal{F}$$

$$\iff x = \alpha \begin{pmatrix} 1 \\ 0 \\ 2 \end{pmatrix} + \beta \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}, \alpha, \beta \in \mathbb{R}$$

$$\iff x \in \mathcal{L}[v_1, v_2] \text{ where}$$

$$v_1 = \begin{pmatrix} 1 \\ 0 \\ 2 \end{pmatrix}, \text{ and}$$

$$v_2 = \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}$$

Now it is easy to see that  $\mathcal{B}: v_1, v_2$  is a linearly independent in  $\mathcal{W}$ . Hence  $\mathcal{B}$  is a linearly independent spanning set for  $\mathcal{W}$  and hence a basis for  $\mathcal{W}$ . Since  $\mathcal{W}$  has a basis with two vectors we have,

$$Dimension \mathcal{W} = 2$$

Further any two linearly independent vectors in  $\mathcal{W}$  will form a basis for  $\mathcal{W}$ . For example the set,

$$\mathcal{B}' : v_1' = \begin{pmatrix} 1 \\ 2 \\ 4 \end{pmatrix}, v_2' = \begin{pmatrix} 1 \\ -2 \\ 0 \end{pmatrix}$$

is a linearly independent set in  $\mathcal{W}$  and this is a set with two linearly independent vectors, and the dimension of  $\mathcal{W}$  is two, this set will also form a basis for  $\mathcal{W}$ .

**Example 4.4.3** Consider the vector space  $\mathbb{C}^2$  over the field  $\mathbb{C}$ . Clearly, the set

$$\mathcal{B}$$
:  $e_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}$ ,  $e_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$ 

is a linearly independent spanning set for  $\mathbb{C}^2$  and hence a basis for  $\mathbb{C}^2$ . Thus dimension of this vector space is 2. The set

$$\mathcal{B}'$$
 :  $v_1 = \begin{pmatrix} i \\ 0 \end{pmatrix}$ ,  $v_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$ 

is a linearly independent set in  $\mathbb{C}^2$  and hence is also a basis for this vector space.

**Example 4.4.4** Now consider the vector space  $\mathcal{V} = \mathbb{C}^2$  over the field  $\mathcal{F} = \mathbb{R}$ . Clearly, the set

$$S$$
:  $e_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, e_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$ 

is a linearly independent set in this vector space. However this does not span this vector space. For, we cannot express the vectors  $x=\begin{pmatrix}i\\0\end{pmatrix}$  in this vector space, as a linear combination

$$\alpha e_1 + \beta e_2$$
 where  $\alpha, \beta \in \mathbb{R}$ 

Hence the set S is only a linearly independent set in this vector space but not a basis for this vector space. We can extend S to a basis for this vector space by appending the vectors

$$e_3 = \begin{pmatrix} i \\ 0 \end{pmatrix}$$
 and  $e_4 = \begin{pmatrix} 0 \\ i \end{pmatrix}$ 

The set

 $\mathcal{B}$ :  $e_1, e_2, e_3, e_4$  (as defined above)

is clearly a linearly independent set in this vector space. Moreover,

$$x \in \mathcal{V} \implies x = \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}$$
, where  $x_1, x_2 \in \mathbb{C}$   
 $\implies x = \begin{pmatrix} a+ib \\ c+id \end{pmatrix}$  where  $a, b, c, d \in \mathbb{R}$   
 $\implies x = ae_1 + ce_2 + be_3 + de_4$  where  $a, b, c, d \in \mathbb{R}$   
 $\implies x$  is a linear combination of the vectors in  $\mathcal{B}$ 

Thus  $\mathcal{B}$  is a linearly independent spanning set for this vector space, and hence a basis for this vector space. Hence the dimension of this vector space is 4. In general,  $\mathbb{C}^n$  as a vector space over  $\mathbb{R}$  has dimension 2n.

**Example 4.4.5** In the vector space  $\mathcal{F}^{m \times n}$ , of all  $m \times n$  matrices over  $\mathcal{F}$ , let  $\mathcal{B}$  be the set of matrices,

$$\mathcal{B} = \{A_{ij}\}_{1 \le i \le m, \ 1 \le j \le n}$$

where  $A_{ij}$  is the  $m \times n$  matrix whose (p,q)th entry is 0 if  $(p,q) \neq (i,j)$  and (i,j)th entry is 1. Then the set S is clearly linearly independent. It is also a spanning set for this vector space since any  $A = (a_{ij}) \in \mathcal{F}^{m \times n}$  can be expressed as a linear combination of the vectors in  $\mathcal{B}$  as follows:

$$A = \sum_{i=1}^{m} \sum_{j=1}^{n} a_{ij} A_{ij}$$

Hence S is a basis for  $\mathcal{F}^{m \times n}$ , and therefore the dimension of this space is  $m \times n$ . For instance, the set of matrices

$$\mathcal{B}: A_{11} = \begin{pmatrix} 1 & 0 \\ 0 & 0 \end{pmatrix}, \ A_{12} = \begin{pmatrix} 0 & 1 \\ 0 & 0 \end{pmatrix}, \ A_{21} = \begin{pmatrix} 0 & 0 \\ 1 & 0 \end{pmatrix}, \ A_{22} = \begin{pmatrix} 0 & 0 \\ 0 & 1 \end{pmatrix}$$

is a basis for  $\mathbb{R}^{2\times 2}$ , and the dimension of this vector space is

$$2 \times 2 = 4$$

.

**Example 4.4.6** For the vector space of all complex  $m \times n$  matrices over the field of complex numbers, the set of matrices,  $\{A_{ij}\}$  as defined above is a basis, and hence the dimension of this vector space is  $m \times n$ . However, analogous to our observations in Example 4.4.4, this set is only a linearly independent set in the vector space of all complex  $m \times n$  matrices over the field of real numbers. For this subspace, this set appended with the set,

$$S_1 = \{B_{ij}\}_{1 \le i \le m, \ 1 \le j \le n}$$

where,  $B_{ij}$  is the  $m \times n$  matrix whose (p,q)th entry is 0 if  $(p,q) \neq (i,j)$  and (i,j)th entry is i, is a basis, that is  $S \cup S_1$  is a basis for this vector space. Hence the dimension of this vector space is  $2(m \times n)$ . For instance,

dimension of 
$$\mathbb{C}^{3\times 4}$$
 ober  $\mathbb{C}=3\times 4=12$   
dimension of  $\mathbb{C}^{3\times 4}$  over  $\mathbb{R}=2(3\times 4)=24$ 

**Example 4.4.7** Let  $\mathcal{V}$  be the vector space over  $\mathbb{R}$  of all positive real numbers endowed with the operations,

$$x \oplus y = xy$$
 for all  $x, y \in \mathbb{R}^+$   
 $\alpha \odot x = x^{\alpha}$  for all  $\alpha \in \mathbb{R}$  and for all  $x \in \mathbb{R}^+$ 

The zero vector of this vector space is  $\theta_{\nu} = 1$ . Consider any  $b \in \mathbb{R}^+$  such that  $b \neq \theta_{\nu}$ , that is  $b \neq 1$ . Then we have that the set consisting of the single vector b is linearly independent, since b is a nonzero vector. Now let  $x \in \mathbb{R}^+$ . Can we express x as a linear combination of b? We have,

$$x = \alpha \odot b$$
 where  $\alpha \in \mathbb{R}$   $x = b^{\alpha}$   
 $\alpha = log_b(x)$ 

For any  $x \in \mathbb{R}^+$  we have  $log_b(x)$  is well defined and hence we can write

$$\begin{array}{rcl}
x & = & b^{\log_b(x)} \\
& = & \log_b(x) \odot b
\end{array}$$

Thus every x in  $\mathcal{V}$  is a linear combination of b and hence b is a spanning set for  $\mathcal{V}$ . Since it is also linearly independent, as observed above, we see that the set consisting of the single vector b is a basis for  $\mathcal{V}$ . Hence dimension of this space is 1, and any positive real number b, other than 1, is a basis for this space.

**Example 4.4.8** Consider the vector space  $\mathbb{R}_2[x]$ , of all polynomials over  $\mathbb{R}$  whose degree is  $\leq 2$ . Consider the following set of vectors in  $\mathbb{R}_2[x]$ :

$$\mathcal{B}: p_1, p_2, p_3 \text{ where } p_1(x) = 1, \ p_2(x) = x, \ p_3(x) = x^2$$

We have

$$\alpha_1 p_1 + \alpha_2 p_2 + \alpha_3 p_3 = \theta_{\nu} \iff \alpha_1 + \alpha_2 x + \alpha_3 x^2 = \theta_{\nu}$$
 $\iff \alpha_1 = \alpha_2 = \alpha_3 = 0$ 
 $\implies \mathcal{B} \text{ is linearly independent}$ 

Moreover, any  $p(x) = a_0 + a_1x + a_2x^2$  in  $\mathbb{R}_2[x]$ , can be expressed as a linear combination of the vectors in  $\mathcal{B}$  as,

$$p = a_0p_1 + a_1p_1 + a_2p_2$$

Hence  $\mathcal{B}$  is a spanning set for  $\mathbb{R}_2[x]$ . Since  $\mathcal{B}$  is both linearly independent set and spanning set, it follows that  $\mathcal{B}$  is a basis for  $\mathbb{R}_2[x]$ . Consequently the dimension of this space is 3. Hence any linearly independent set in  $\mathbb{R}_2[x]$  having three vectors will be a basis for  $\mathbb{R}_2[x]$ . For example, consider the set,

$$\mathcal{B}'$$
:  $p'_1, p'_2, p'_3$  where  $p_1(x) = 1 + x$ ,  $p_2(x) = x + x^2$ ,  $p_3(x) = 1 + x^2$ 

We have

$$\alpha_1 p_1' + \alpha_2 p_2' + \alpha_3 p_3' = \theta_{\nu} \iff \alpha_1 (1+x) + \alpha_2 (x+x^2) + \alpha_3 (1+x^2) = \theta_{\nu}$$

$$\iff (\alpha_1 + \alpha_3) + (\alpha_1 + \alpha_2) x + (\alpha_2 + \alpha_3) x^2 = \theta_{\nu}$$

$$\iff \alpha_1 + \alpha_3 = 0, \ \alpha_1 + \alpha_2 = 0, \ \alpha_2 + \alpha_3 = 0$$

$$\iff \alpha_1 = \alpha_2 = \alpha_3 = 0$$

$$\iff \mathcal{B}' \text{ is linearly independent}$$

Since  $\mathcal{B}'$  is linearly independent, has three vectors, and the dimension of  $\mathbb{R}_2[x]$  is 3, it follows that  $\mathcal{B}'$  is also a basis for  $\mathbb{R}_2[x]$ .

# 4.5 Ordered Basis and Coordinates

Consider a finite dimensional vector space  $\mathcal{V}$ . A basis for  $\mathcal{V}$  is a linearly independent set. When we list the elements of a set, the order in which we

list these elements, is not relevant. However when we prescibe a particular order in which these elements are to be listed then we get the notion of an ordered set. Thus a basis for  $\mathcal{V}$  in which the vectors are arranged in a prescribed order is called an "**ordered basis**" for  $\mathcal{V}$ . Thus for example the

sets 
$$\mathcal{B} = \left\{ e_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, e_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix} \right\}$$
 and  $\mathcal{B}' = \left\{ e_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}, e_2 = \begin{pmatrix} 1 \\ 0 \end{pmatrix} \right\}$ 

represent the same basis, but as ordered basis they are different.

Now consider an n dimensional vector space  $\mathcal{V}$  and an ordered basis for  $\mathcal{V}$ ,

$$\mathcal{B} = v_1, v_2, \cdots, v_n$$

Since  $\mathcal{B}$  is a basis, it is a spanning set for  $\mathcal{V}$ , and hence every vector  $x \in \mathcal{V}$  is a linear combination of the vectors in  $\mathcal{B}$ . Let

$$x = \sum_{j=1}^{n} x_j v_j \text{ where } x_j \in \mathcal{F}, \ 1 \le j \le n$$
 (4.5.1)

Using the fact that  $\mathcal{B}$  is linearly independent, we can easily see that the above representation of any  $x \in \mathcal{V}$  is unique. Thus, corresponding to every  $x \in \mathcal{V}$  we get a unique sequence of n scalars  $x_1, x_2, \dots, x_n \in \mathcal{F}$  such that (4.5.1) holds. Now consider the column vector  $[x]_{\mathcal{B}} \in \mathcal{F}^n$  defined as,

$$[x]_{\mathcal{B}} = \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{pmatrix} \tag{4.5.2}$$

This is constructed using the vector x and its representation as a linear combination of the vectors in the ordered basis  $\mathcal{B}$ . Thus, corresponding to every  $x \in \mathcal{V}$  we have a unique  $[x]_{\mathcal{B}} \in \mathcal{F}^n$ . This gives us a transformation,

$$T: \mathcal{V} \longrightarrow \mathcal{F}^n$$

defined as

$$T_{\scriptscriptstyle \mathcal{B}}(x) = [x]_{\scriptscriptstyle \mathcal{B}} \tag{4.5.3}$$

WE now observe some simple properties of this transformation which converts every  $x \in \mathcal{V}$  to the language of column vectors in  $\mathcal{F}^n$ . We have

$$x, y \in \mathcal{V} \implies x = \sum_{j=1}^{n} x_j v_j \text{ and } y = \sum_{j=1}^{n} y_j v_j$$

$$\Rightarrow x + y = \sum_{j=1}^{n} (x_j + y_j) v_j$$

$$\Rightarrow$$

$$T_{\mathcal{B}}(x) = [x]_{\mathcal{B}} = \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{pmatrix}$$

$$T_{\mathcal{B}}(y) = [y]_{\mathcal{B}} = \begin{pmatrix} y_1 \\ y_2 \\ \vdots \\ y_n \end{pmatrix}$$

$$T_{\mathcal{B}}(x + y) = [x + y]_{\mathcal{B}} = \begin{pmatrix} x_1 + y_1 \\ x_2 + y_2 \\ \vdots \\ x_n + y_n \end{pmatrix}$$

$$= \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{pmatrix} + \begin{pmatrix} y_1 \\ y_2 \\ \vdots \\ y_n \end{pmatrix}$$

$$= T_{\mathcal{B}}(x) + T_{\mathcal{B}}(y)$$

Thus we see that

$$T_{\scriptscriptstyle \mathcal{B}}(x+y) = T_{\scriptscriptstyle \mathcal{B}}(x) + T_{\scriptscriptstyle \mathcal{B}}(y) \text{ for all } x,y \in \mathcal{V}$$
 (4.5.4)

Thus, the transformation T "preserves" the addition operation. Similarly we have

$$= \alpha \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{pmatrix}$$
$$= \alpha T_{\mathcal{B}}(x)$$

Thus we have

$$T_{\mathcal{B}}(\alpha x) = \alpha T_{\mathcal{B}}(x) \text{ for all } a \in \mathcal{F} \text{ and for all } x \in \mathcal{V}$$
 (4.5.5)

Thus, the transformation  $T_{B}$  preserves scalar multiplication.

Thus, the transformation T preserves the two basic operations of the vector space, and hence preserves superpositions. We generalize this to get the notion of a linear transformation.

**Definition 4.5.1** Let  $\mathcal V$  and  $\mathcal W$  be vector spaces over a field  $\mathcal F$ . A transformation

$$T:V\longrightarrow W$$

is said to be a "linear transformation" from  $\mathcal{V}$  to  $\mathcal{W}$  if,

$$T(x+y) = T(x) + T(y) \text{ for all } x, y \in \mathcal{V} \text{ and,}$$
 (4.5.6)

$$T(\alpha x) = \alpha T(x)$$
 for all  $a \in \mathcal{F}$  and for all  $x \in \mathcal{V}$  (4.5.7)

Hence from the above discussion, it follows that, every ordered basis  $\mathcal{B}$  to  $\mathcal{V}$  gives rise to a linear transformation  $T_{\mathcal{B}}$  from  $\mathcal{V}$  to  $\mathcal{F}^n$  which maps  $x \in \mathcal{V}$  to  $T_{\mathcal{B}}(x) = [x]_{\mathcal{B}}$  in  $\mathcal{F}^n$ . This transformation  $T_{\mathcal{B}}$  can be interpreted as a coding of a vectors x in the abstarct vector space  $\mathcal{V}$ , to a column vectors  $T_{\mathcal{B}}(x) = [x]_{\mathcal{B}}$  in  $\mathcal{F}^n$ . We shall now look at some more properties of this code. We have,

$$x, y \in \mathcal{V}, x \neq y \iff [x]_{\mathcal{B}} \neq [y]_{\mathcal{B}}(Why?)$$
  
 $\iff T_{\mathcal{B}}(x) \neq T_{\mathcal{B}}(y)$ 

We acn also state the above property as

$$T_{\mathcal{B}}(x) = T_{\mathcal{B}}(y) \iff x = y$$

Thus this coding assigns different column vectors codes to different vectors. We say that the transformation  $T_{\mathcal{B}}$  is "one-one". We generalize this to abstract linear transformations as follows:

**Definition 4.5.2** Let V and W be vector spaces over a field F. A linear transformation

$$T: \mathcal{V} \longrightarrow \mathcal{W}$$

is said to be "one-one" if

$$T(x) = T(y) \iff x = y$$
 (4.5.8)

Further we have,

$$u \in \mathcal{F} \implies u = \begin{pmatrix} u_1 \\ u_2 \\ \vdots \\ u_n \end{pmatrix}$$
 where  $u_j \in \mathcal{F}, \ 1 \le j \le n$   
 $\implies x = u_1 v_1 + u_2 v_2 + \dots + u_n v_n \in \mathcal{V}$   
 $\implies \exists x \in \mathcal{V} \text{as defined above and } [x]_{\mathcal{B}} = u$   
 $\implies \exists x \in \mathcal{V} \text{ such that } T_{\mathcal{B}}(x) = u$ 

Thus, we have the following property:

For every 
$$u \in \mathcal{F}^n \quad \exists \ \mathrm{a} \ x \in \mathcal{V} \ \mathrm{such \ that} \quad T_{\scriptscriptstyle \mathcal{B}}(x) = u$$

We say that  $T_{\mathcal{B}}$  is "onto". What this says is that the coding of  $x \in \mathcal{V}$  to  $T_{\mathcal{B}}(x) \in \mathcal{F}^n$  uses every code available in  $\mathcal{F}^n$ .

We generalize this as follows:

**Definition 4.5.3** Let V and W be vector spaces over a field F. A linear transformation

$$T: \mathcal{V} \longrightarrow \mathcal{W}$$

is said to be "onto" if

For every 
$$u \in \mathcal{F}^n \quad \exists \ a \ x \in \mathcal{V} \text{ such that } \quad T(x) = u$$
 (4.5.9)

The transformation  $T_{\mathcal{B}}$  is both one-one and onto, that is, every vectors  $x \in \mathcal{V}$  has a unique column vector code  $T_{\mathcal{B}}(x) = [x]_{\mathcal{B}}$ , and every column vector  $u \in \mathcal{F}^n$  is the code of a vector  $x \in \mathcal{V}$ . We call such transformations as isomorphisms. We have

**Definition 4.5.4** Let V and W be vector spaces over a field F. A linear transformation

$$T: \mathcal{V} \longrightarrow \mathcal{W}$$

is said to be an "**isomorphism**" of  $\mathcal V$  onto  $\mathcal W$  if it is both one-one and onto.

Thus  $T_{\mathcal{B}}$  is an isomrphism of  $\mathcal{V}$  ono  $\mathcal{F}^n$ .

**Example 4.5.1** Consider the vector space  $\mathcal{V} = \mathbb{R}^2$ . Consider the ordered basis

$$\mathcal{B} = v_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, \ v_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$

Then  $x = \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \in \mathbb{R}^2$  can bewritten as

$$x = x_1e_1 + x_2e_2$$

Hence we have

$$[x]_{\mathcal{B}} = \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}$$

Now consider the ordered basis

$$\mathcal{B}' = v_1' = \begin{pmatrix} 1 \\ 1 \end{pmatrix}, \ v_2' = \begin{pmatrix} 1 \\ -1 \end{pmatrix}$$

Then we have

$$x = \frac{x_1 + x_2}{2}v_1' + \frac{x_1 - x_2}{2}v_2'$$

Hence we have

$$[x]_{\mathcal{B}'} = \begin{pmatrix} \frac{x_1 + x_2}{2} \\ \frac{x_1 - x_2}{2} \end{pmatrix}$$

Thus we have

$$T_{\mathcal{B}}(x) = \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}$$

$$T_{\mathcal{B}'}(x) = \begin{pmatrix} \frac{x_1 + x_2}{2} \\ \frac{x_1 - x_2}{2} \end{pmatrix}$$

We can easily verify that both  $T_{\mathcal{B}}$  and  $T_{\mathcal{B}'}$  are isomorphisms of  $\mathbb{R}^2$  onto  $\mathbb{R}^2$ 

**Example 4.5.2** Let  $\mathcal{W}$  be he subspace of  $\mathbb{R}^3$  defined as follows:

$$\mathcal{W} = \left\{ x = \begin{pmatrix} \alpha \\ \beta \\ 2\alpha + \beta \end{pmatrix} : \alpha, \ \beta \in \mathbb{R} \right\}$$

Consider the following ordered basis for  $\mathcal{W}$ :

$$\mathcal{B}: v_1 = \begin{pmatrix} 1 \\ 0 \\ 2 \end{pmatrix}, \ v_2 = \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}$$

Then any  $x = \begin{pmatrix} \alpha \\ \beta \\ 2\alpha + \beta \end{pmatrix} \in \mathcal{W}$  can be written as

$$x = \alpha v_1 + \beta v_2$$

Hence we have

$$[x]_{\mathcal{B}} = \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$$

Note that, since the subspace W is two dimensional, its coding is done as a  $(2 \times 1)$  column vectors. We therefore have

$$T_{\mathcal{B}}(x) = [x]_{\mathcal{B}} = \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$$

is an isomorphism of  $\mathcal{W}$  onto  $\mathbb{R}^2$ . Consider now the following ordered basis foe  $\mathcal{W}$ :

$$\mathcal{B}': \ v_1' = \begin{pmatrix} 1\\2\\4 \end{pmatrix}, v_2' = \begin{pmatrix} 1\\-2\\0 \end{pmatrix},$$

Then any  $x = \begin{pmatrix} \alpha \\ \beta \\ 2\alpha + \beta \end{pmatrix} \in \mathcal{W}$  can be written as

$$x = \frac{2\alpha + \beta}{4}v_1' + \frac{2\alpha - \beta}{4}v_2'$$

Hence we have

$$[x]_{\mathcal{B}'} = \begin{pmatrix} \frac{2\alpha + \beta}{4} \\ \frac{2\alpha - \beta}{4} \end{pmatrix}$$

Thus we have

$$T_{\mathcal{B}}(x) = [x]_{\mathcal{B}'} = \begin{pmatrix} \frac{2\alpha + \beta}{4} \\ \frac{2\alpha - \beta}{4} \end{pmatrix}$$

For example, if  $x \in \mathcal{W}$  is the vector

$$x = \begin{pmatrix} 2\\3\\7 \end{pmatrix}$$

then we have

$$\alpha = 2$$
 and  $\beta = 3$ 

Hence we have

$$T_{\mathcal{B}}(x) = [x]_{\mathcal{B}} = \begin{pmatrix} 2\\3\\7 \end{pmatrix}$$

$$T_{\mathcal{B}'} = [x]_{\mathcal{B}'} = \begin{pmatrix} \frac{7}{4}\\\frac{1}{4} \end{pmatrix}$$

The transformations  $T_{\mathcal{B}}$  and  $T_{\mathcal{B}'}$  are both isomorphisms of  $\mathcal{W}$  onto  $\mathbb{R}^2$ .

**Example 4.5.3** Consider the vector space  $\mathcal{V} = \mathbb{R}_2[x]$ , of all polynomials in x, of degree less than or equal to two, with real coefficients. Consider the following ordered basis for  $\mathbb{R}_2[x]$ :

$$\mathcal{B}: p_1, p_2, p_3 \text{ where } p_1(x) = 1, \ p_2(x) = x, \ p_3(x) = x^2$$

Then any polynomial  $p(x) = a_0 + a_1x + a_2x^2$  can be written as

$$p = a_0 p_1 + a_1 p_2 + a_2 p_3$$

Hence we get

$$[p]_{\mathcal{B}} = \begin{pmatrix} a_0 \\ a_1 \\ a_2 \end{pmatrix}$$

Now consider the ob,

$$\mathcal{B}': p'_1, p'_2, p'_3$$
 where  $p_1(x) = 1 + x$ ,  $p_2(x) = x + x^2$ ,  $p_3(x) = 1 + x^2$ 

Then p can be written as

$$p = \frac{a_0 + a_1 - a_2}{2} p_1' + \frac{-a_0 + a_1 + a_2}{2} p_2' + \frac{a_0 - a_1 + a_2}{2} p_3'$$

Hence we have

$$[p]_{\mathcal{B}'} = \begin{pmatrix} \frac{a_0 + a_1 - a_2}{2} \\ \frac{-a_0 + a_1 + a_2}{2} \\ \frac{a_0 - a_1 + a_2}{2} \end{pmatrix}$$

For example, if  $p = 4x^2 - 3x + 2$  then  $a_0 = 2$ ,  $a_1 = -3$ ,  $a_2 = 4$  and hence we get

$$[p]_{\mathcal{B}} = \begin{pmatrix} 2 \\ -3 \\ 4 \end{pmatrix}$$
$$[p]_{\mathcal{B}'} = \begin{pmatrix} -\frac{5}{2} \\ -\frac{1}{2} \\ \frac{9}{2} \end{pmatrix}$$

The linear transformations  $T_{\mathcal{B}}$  and  $T_{\mathcal{B}'}$  defined respectively as  $T_{\mathcal{B}}(p) = [p]_{\mathcal{B}}$  and  $T_{\mathcal{B}'}(p) = [p]_{\mathcal{B}'}$  are isomorphisms of  $\mathbb{R}_2[x]$  onto  $\mathbb{R}^3$ .

# 4.6 Relation Between Different Bases Representations

Let  $\mathcal{V}$  be an n dimensional vector space over a field  $\mathcal{F}$  and let

$$\mathcal{B} = u_1, u_2, \cdots, u_n$$
  
$$\mathcal{B}' = u'_1, u'_2, \cdots, u'_n$$

be any two ordered bases for  $\mathcal{V}$ . Any vector  $x \in \mathcal{V}$  can be represented as column vectors

$$[x]_{\mathcal{B}} = \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{pmatrix}, \text{ and } [x]_{\mathcal{B}'} = \begin{pmatrix} x'_1 \\ x'_2 \\ \vdots \\ x'_n \end{pmatrix}$$

respectively w.r.t the ordered bases  $\mathcal{B}$  and  $\mathcal{B}'$ . Since both these column vectors represent the same vector there must be some relationship between these two column vectors. What is this connection? We shall first look at a simple example.

**Example 4.6.1** Consider the vector space  $\mathbb{R}^2$  and consider the two ordered bases

$$\mathcal{B} = e_1, e_2 \text{ where } e_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, e_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$
$$\mathcal{B}' = u_1, u_2 \text{ where } u_1 = \begin{pmatrix} 1 \\ 1 \end{pmatrix}, u_2 = \begin{pmatrix} 1 \\ -1 \end{pmatrix}$$

Any vector  $x = \begin{pmatrix} x_1 \\ x_2 \end{pmatrix} \in \mathbb{R}^2$  has the representations

$$[x]_{\mathcal{B}} = \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}$$

$$[x]_{\mathcal{B}'} = \begin{pmatrix} \frac{x_1 + x_2}{2} \\ \frac{x_1 - x_2}{2} \end{pmatrix}$$

Now consider the matrix

$$\mathcal{M}_{\scriptscriptstyle\mathcal{BB'}} = \left(egin{array}{ccc} rac{1}{2} & rac{1}{2} \ rac{1}{2} & -rac{1}{2} \end{array}
ight)$$

Then it is easy to verify that

$$\mathcal{M}_{\mathcal{B}\mathcal{B}'}[x]_{\mathcal{B}} = [x]_{\mathcal{B}'}$$

Thus we find that by premultiplying  $[x]_{\mathcal{B}}$ , the representation of x w.r.t. the ordered basis  $\mathcal{B}$ , by the matrix  $\mathcal{M}_{\mathcal{BB}'}$ , we can get  $[x]_{\mathcal{B}'}$ , the representation of x w.r.t. the ordered basis  $\mathcal{B}'$ . Similarly if we define  $\mathcal{M}_{\mathcal{B}'\mathcal{B}}$  to be the matrix,

$$\mathcal{M}_{\mathcal{B}'\mathcal{B}} = \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$$

it is easy to verify that

$$\mathcal{M}_{\mathcal{B}'\mathcal{B}}[x]_{\mathcal{B}'} = [x]_{\mathcal{B}}$$

Hence premultiplying  $[x]_{\mathcal{B}'}$ , the representation of x w.r.t. the ordered basis  $\mathcal{B}'$ , by the matrix  $\mathcal{M}_{\mathcal{B}'\mathcal{B}}$ , we can get  $[x]_{\mathcal{B}}$ , the representation of x w.r.t. the ordered basis  $\mathcal{B}$ . Further, it is easy to check that

$$\mathcal{M}_{_{\mathcal{B}\mathcal{B}'}}\mathcal{M}_{_{\mathcal{B}'\mathcal{B}}} = \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix} = I_2$$

Thus there are two matrices one converting  $\mathcal{B}$  representations to  $\mathcal{B}'$  representations, and the other converting  $\mathcal{B}'$  representations to  $\mathcal{B}$  representations, and these two matrices are inverses of each other.

We now look at how to find such matrices in the general situation. Let  $\mathcal{V}$  be an n dimensional vector space over a field  $\mathcal{F}$  and

$$\mathcal{B} = v_1, v_2, \cdots, v_n$$
  
$$\mathcal{B}' = v'_1, v'_2, \cdots, v'_n$$

be any two ordered bases for  $\mathcal{V}$ . Any vector  $x \in \mathcal{V}$  can be represented as column vectors

$$[x]_{\mathcal{B}} = \begin{pmatrix} x_1 \\ x_2 \\ \vdots \\ x_n \end{pmatrix}, \text{ and } [x]_{\mathcal{B}'} = \begin{pmatrix} x'_1 \\ x'_2 \\ \vdots \\ x'_n \end{pmatrix}$$

In particular, let us consider the vector  $v_j$  in  $\mathcal{V}$  w.r.t. the ordered basis  $\mathcal{B}'$  to get the representation

$$\begin{bmatrix} v_j \end{bmatrix}_{\mathcal{B}'} = \begin{pmatrix} v_{1j} \\ v_{2j} \\ \vdots \\ v_{nj} \end{pmatrix}$$

This means

$$v_j = \sum_{i=1}^n v_{ij} v_i' \tag{4.6.1}$$

We can do this for  $j = 1, 2, \dots, n$  and get n column vectors

$$\left\{ \left[ v_j \right]_{\mathcal{B}'} \right\}_{j=1}^n$$

Now consider  $x \in \mathcal{V}$  We have

$$x = \sum_{j=1}^{n} x_j v_j$$

Substituting for  $v_j$  from (4.6.1) we get

$$x = \sum_{j=1}^{n} x_j \left\{ \sum_{i=1}^{n} v_{ij} v_i' \right\}$$
$$= \sum_{i=1}^{n} \left\{ \sum_{j=1}^{n} v_{ij} x_j \right\} v_i'$$

From the above we get the *i*th coordinate of x w.r.t. the ordered basis  $\mathcal{B}'$  is given by

$$\sum_{i=1}^{n} v_{ij} x_j$$

Hence we get

$$[x]_{\mathcal{B}'} = \begin{pmatrix} \sum_{j=1}^{n} v_{1j} x_{j} \\ \sum_{j=1}^{n} v_{2j} x_{j} \\ \vdots \\ \sum_{j=1}^{n} v_{ij} x_{j} \\ \vdots \\ \sum_{j=1}^{n} v_{nj} x_{j} \end{pmatrix}$$

$$= \mathcal{M}_{\mathcal{B}\mathcal{B}'}[x]_{\mathcal{B}}$$

where  $\mathcal{M}_{\mathcal{BB'}}$  is the matrix whose (i, j)-th entry is  $v_{ij}$ . Thus the columns of  $\mathcal{M}_{\mathcal{BB'}}$  are respectively  $[v_1]_{\mathcal{B'}}, [v_2]_{\mathcal{B'}}, \cdots, [v_j]_{\mathcal{B'}}, \cdots, [v_n]_{\mathcal{B'}}$ . Thus we have a matrix which converts  $\mathcal{B}$  representations to  $\mathcal{B'}$  representations. This matrix arises from the representation of the vectors in the ordered basis  $\mathcal{B}$  w.r.t. the ordered basis  $\mathcal{B'}$ . Analogously, by representing the vectors in the ordered basis  $\mathcal{B'}$  w.r.t. the ordered basis  $\mathcal{B}$  we get a matrix  $\mathcal{M}_{\mathcal{B'B}}$  whose jth column

is the column vector  $[v'_j]_{\mathcal{B}}$ . This matrix converts  $\mathcal{B}'$  representations to  $\mathcal{B}$  representations as

$$[x]_{\mathcal{B}} = \mathcal{M}_{\mathcal{B}'\mathcal{B}}[x]_{\mathcal{B}'}$$

We shall now illustrate this with some examples.

**Example 4.6.2** Consider  $\mathcal{V} = \mathbb{R}^2$  and the two ordered bases

$$\mathcal{B} = v_1, v_2 \text{ where } v_1 = \begin{pmatrix} 1 \\ 0 \end{pmatrix}, v_2 = \begin{pmatrix} 0 \\ 1 \end{pmatrix}$$
$$\mathcal{B}' = v'_1, v'_2 \text{ where } v'_1 = \begin{pmatrix} 1 \\ 1 \end{pmatrix}, v'_2 = \begin{pmatrix} 1 \\ -1 \end{pmatrix}$$

We have

$$v_1 = \frac{1}{2}v_1' + \frac{1}{2}v_2'$$

$$v_2 = \frac{1}{2}v_1' - \frac{1}{2}v_2'$$

Hence we have

$$\left[v_1\right]_{\mathcal{B}'} = \left(\begin{array}{c} \frac{1}{2} \\ \frac{1}{2} \end{array}\right)$$

$$\begin{bmatrix} v_2 \end{bmatrix}_{\mathcal{B}'} = \begin{pmatrix} \frac{1}{2} \\ -\frac{1}{2} \end{pmatrix}$$

Taking these as the two columns of  $\mathcal{M}_{\scriptscriptstyle\mathcal{BB'}}$  we get

$$\mathcal{M}_{\mathcal{BB'}} = \begin{pmatrix} rac{1}{2} & rac{1}{2} \ rac{1}{2} & -rac{1}{2} \end{pmatrix}$$

For any  $x \in \mathbb{R}^2$  we have

$$[x]_{\mathcal{B}} = \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}$$

$$[x]_{\mathcal{B}'} = \begin{pmatrix} \frac{x_1 + x_2}{2} \\ \frac{x_1 - x_2}{2} \end{pmatrix}$$

It is easy to see that

$$\mathcal{M}_{\mathcal{B}\mathcal{B}'}[x]_{\mathcal{B}} = \begin{pmatrix} \frac{1}{2} & \frac{1}{2} \\ \frac{1}{2} & -\frac{1}{2} \end{pmatrix} \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}$$
$$= \begin{pmatrix} \frac{x_1 + x_2}{2} \\ \frac{x_1 - x_2}{2} \end{pmatrix}$$
$$= [x]_{\mathcal{B}'}$$

Similarly, we have

$$v_1' = 1v_1 + 1v_2 v_2' = 1v_1 - 1v_2$$

Hence we get

$$[v_1']_{\mathcal{B}} = \begin{pmatrix} 1 \\ 1 \end{pmatrix}$$

$$[v_1']_{\mathcal{B}} = \begin{pmatrix} 1 \\ -1 \end{pmatrix}$$

Hence we have

$$\mathcal{M}_{\mathcal{B}'\mathcal{B}} = \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$$

It is easy to see that

$$\mathcal{M}_{\mathcal{B}'\mathcal{B}}[x]_{\mathcal{B}'} = \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix} \begin{pmatrix} \frac{x_1 + x_2}{2} \\ \frac{x_1 - x_2}{2} \end{pmatrix}$$
$$= \begin{pmatrix} x_1 \\ x_2 \end{pmatrix}$$
$$= [x]_{\mathcal{B}}$$

Further, we have,

$$\mathcal{M}_{\mathcal{B}\mathcal{B}'}\mathcal{M}_{\mathcal{B}'\mathcal{B}} = \begin{pmatrix} \frac{1}{2} & \frac{1}{2} \\ \frac{1}{2} & -\frac{1}{2} \end{pmatrix} \begin{pmatrix} 1 & 1 \\ 1 & -1 \end{pmatrix}$$
$$= \begin{pmatrix} 1 & 0 \\ 0 & 1 \end{pmatrix}$$

#### Example 4.6.3 Consider the subspace,

$$\mathcal{W} = \left\{ \begin{pmatrix} \alpha \\ \beta \\ 2\alpha + \beta \end{pmatrix} : \alpha, \ \beta \in \mathbb{R} \right\}$$

of  $\mathbb{R}^3$ . We have seen in Example that w.r.t. the two ordered basis

$$\mathcal{B} : v_1 = \begin{pmatrix} 1 \\ 0 \\ 2 \end{pmatrix}, v_2 = \begin{pmatrix} 0 \\ 1 \\ 1 \end{pmatrix}, \text{ and}$$

$$\mathcal{B}' : v_1' = \begin{pmatrix} 1 \\ 2 \\ 4 \end{pmatrix}, v_2' = \begin{pmatrix} 1 \\ -2 \\ 0 \end{pmatrix}, \text{ and}$$

we have for any  $x = \begin{pmatrix} \alpha \\ \beta \\ 2\alpha + \beta \end{pmatrix} \in \mathcal{W}$ ,

$$[x]_{\mathcal{B}} = \begin{pmatrix} \alpha \\ \beta \end{pmatrix}$$
$$[x]_{\mathcal{B}'} = \begin{pmatrix} \frac{2\alpha + \beta}{4} \\ \frac{2\alpha - \beta}{4} \end{pmatrix}$$

We have, therefore,

$$[v_1]_{\mathcal{B}'} = \begin{pmatrix} \frac{1}{2} \\ \frac{1}{2} \end{pmatrix}$$

$$[v_2]_{\mathcal{B}'} = \begin{pmatrix} \frac{1}{4} \\ -\frac{1}{4} \end{pmatrix}$$

Hence we get,

$$\mathcal{M}_{\mathcal{B}\mathcal{B}'} = \left( \begin{bmatrix} v_1 \end{bmatrix}_{\mathcal{B}'} \begin{bmatrix} v_2 \end{bmatrix}_{\mathcal{B}'} \right)$$
$$= \left( \begin{bmatrix} \frac{1}{2} & \frac{1}{4} \\ \frac{1}{2} & -\frac{1}{4} \end{bmatrix} \right)$$

It is easy to check that,

$$\mathcal{M}_{\mathcal{B}\mathcal{B}'}[x]_{\mathcal{B}} = [x]_{\mathcal{B}'} \text{ for any } x \in \mathcal{W}$$

Similarly we have,

$$[v_1']_{\mathcal{B}} = \begin{pmatrix} 1\\2 \end{pmatrix}$$

$$[v_2']_{\mathcal{B}} = \begin{pmatrix} 1 \\ -2 \end{pmatrix}$$

Hence we get,

$$\mathcal{M}_{\mathcal{B}'\mathcal{B}} = \left( \begin{bmatrix} v_1' \end{bmatrix}_{\mathcal{B}} \begin{bmatrix} v_2' \end{bmatrix}_{\mathcal{B}} \right)$$
$$= \left( \begin{bmatrix} 1 & 1 \\ 2 & -2 \end{bmatrix} \right)$$

It is easy to check that,

$$\mathcal{M}_{\mathcal{B}'\mathcal{B}}[x]_{\mathcal{B}'} = [x]_{\mathcal{B}} \text{ for all } x \in \mathcal{W}$$

**Example 4.6.4** Consider the vector space  $\mathbb{R}_2[x]$ , and the two ordered basis,

$$\mathcal{B}$$
:  $p_1, p_2, p_3$  where  $p_1(x) = 1, p_2(x) = x, p_3(x) = x^2$   
 $\mathcal{B}'$ :  $p'_1, p'_2, p'_3$  where  $p'_1(x) = 1 + x, p'_2(x) = x + x^2, p'_3(x) = 1 + x^2$ 

We have seen in Example 4.5.3 that any  $p(x) = a_0 + a_1x + a_2x^2 \in \mathbb{R}_2[x]$  can be represented w.r.t. these two ordered basis as,

$$[p]_{\mathcal{B}} = \begin{pmatrix} a_0 \\ a_1 \\ a_2 \end{pmatrix}$$

$$[p]_{\mathcal{B}'} = \begin{pmatrix} \frac{a_0 + a_1 - a_2}{2} \\ \frac{-a_0 + a_1 + a_2}{2} \\ \frac{a_0 - a_1 + a_2}{2} \end{pmatrix}$$

Hence we get

$$[p_1]_{\mathcal{B}'} = \begin{pmatrix} -\frac{1}{2} \\ -\frac{1}{2} \\ \frac{1}{2} \end{pmatrix}$$

$$[p_2]_{\mathcal{B}'} = \begin{pmatrix} \frac{1}{2} \\ \frac{1}{2} \\ -\frac{1}{2} \end{pmatrix}$$

$$[p_3]_{\mathcal{B}'} = \begin{pmatrix} -\frac{1}{2} \\ \frac{1}{2} \\ \frac{1}{2} \end{pmatrix}$$

Hence we get,

$$\mathcal{M}_{\mathcal{B}\mathcal{B}'} = \left( [p_1]_{\mathcal{B}'} [p_2]_{\mathcal{B}'} [p_3]_{\mathcal{B}'} \right)$$
$$= \left( \begin{array}{ccc} \frac{1}{2} & \frac{1}{2} & -\frac{1}{2} \\ -\frac{1}{2} & \frac{1}{2} & \frac{1}{2} \\ \frac{1}{2} & -\frac{1}{2} & \frac{1}{2} \end{array} \right)$$

It is easy to check that

$$\mathcal{M}_{\scriptscriptstyle \mathcal{BB}'}[x]_{\scriptscriptstyle \mathcal{B}} \ = \ [x]_{\scriptscriptstyle \mathcal{B}'} \text{ for all } x \in \mathbb{R}_2[x]$$

Similarly, we have,

$$[p_1']_{\mathcal{B}} = \begin{pmatrix} 1\\1\\0 \end{pmatrix}$$

$$[p_2']_{\mathcal{B}} = \begin{pmatrix} 0\\1\\1 \end{pmatrix}$$

$$[p_3']_{\mathcal{B}} = \begin{pmatrix} 1 \\ 0 \\ 1 \end{pmatrix}$$

Hence we get,

$$\mathcal{M}_{\mathcal{B}'\mathcal{B}} = \left( \begin{array}{ccc} [p'_1]_{\mathcal{B}} & [p'_2]_{\mathcal{B}} & [p'_3]_{\mathcal{B}} \end{array} \right)$$
$$= \left( \begin{array}{ccc} 1 & 0 & 1 \\ 1 & 1 & 0 \\ 0 & 1 & 1 \end{array} \right)$$

It is easy to check that

$$\mathcal{M}_{\scriptscriptstyle\mathcal{B}'\mathcal{B}}[x]_{\scriptscriptstyle\mathcal{B}'} \ = \ [x]_{\scriptscriptstyle\mathcal{B}} \text{ for all } x \in \mathbb{R}_2[x]$$