

# Heap Data Structure

A heap is a specialized tree-based data structure that satisfies the heap property. Heaps are commonly implemented as binary trees, but the tree structure is often implicit in an array representation.

## Types of Heaps

- **Max Heap:** In a max heap, for any given node  $i$ , the value of  $i$  is greater than or equal to the values of its children.
- **Min Heap:** In a min heap, for any given node  $i$ , the value of  $i$  is less than or equal to the values of its children.

## Operations

Operation	Description	Time Complexity (Worst)	Time Complexity (Average)	Time Complexity (Best)
Insertion	Add a new element to the heap.	$O(\log n)$	$O(\log n)$	$O(1)$
Deletion	Remove the root element from the heap.	$O(\log n)$	$O(\log n)$	$O(1)$
Peek	Return the root element of the heap.	$O(1)$	$O(1)$	$O(1)$
Build Heap	Build a heap from an array of elements.	$O(n)$	$O(n)$	$O(n)$
Heapify	Ensure the heap property is maintained after insertion or deletion.	$O(\log n)$	$O(\log n)$	$O(1)$
Search	Search for an element in the heap.	$O(n)$	$O(n)$	$O(1)$
Remove	Remove an arbitrary element from the heap.	$O(\log n)$	$O(\log n)$	$O(1)$

## Applications

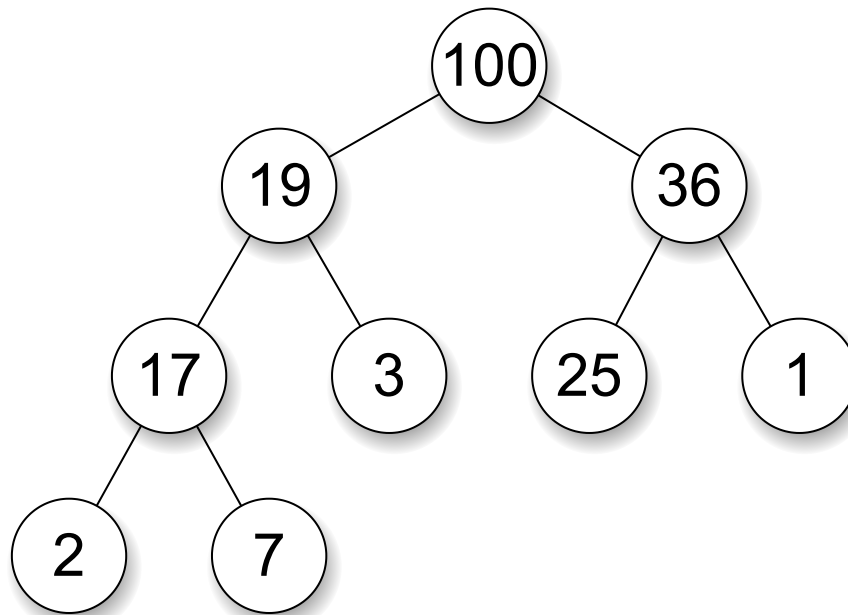
- **Priority Queues:** Heaps are commonly used to implement priority queues where elements with higher priority are served before elements with lower priority.
- **Heap Sort Algorithm:** Heapsort is an efficient sorting algorithm that uses a heap data structure.
- **Graph Algorithms:** Heaps are used in algorithms like Dijkstra's shortest path and Prim's minimum spanning tree.
- **Memory Allocation:** Memory allocation algorithms like malloc in C can use heaps to manage memory.

## Implementation

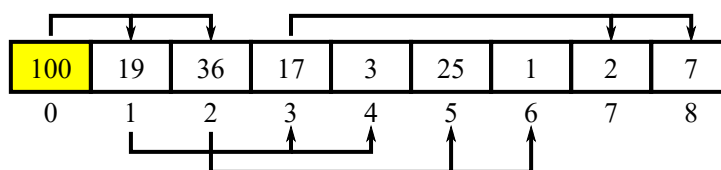
Heaps can be implemented using arrays, where the parent of node  $i$  is at index  $(i-1)/2$  and its children are at indexes  $2*i+1$  and  $2*i+2$ .

## Visualization

### Tree representation



### Array representation



In a binary heap represented as an array, the internal nodes and leaves can be identified by their indexes:

1. Internal Nodes: Internal nodes are the nodes that have at least one child. In a binary heap, the internal nodes are all the nodes from index  $0$  up to  $(n/2) - 1$ , where  $n$  is the total number of elements in the heap.
2. Leaves: Leaves are the nodes that have no children. In a binary heap, the leaves are all the nodes from index  $(n/2)$  to  $(n-1)$ .

For above example

$n=9$

$n/2=4.5 = 4$

therefore internalNodes indexes =  $[0 \text{ to } 3]$

therefore LeafNodes indexes =  $[4 \text{ to } 8]$

# Example Code

```
#include <iostream>
#include <vector>
using namespace std;

void max_Heapify(vector<int>& vec , int i ,int size){
    //todo-had to add size as a parameter to implement heapsort

    int leftChild  = 2*i+1;
    int rightChild = 2*i+2;
    int largest = i;
    if(leftChild < size && vec[i] < vec[leftChild]){
        largest = leftChild;
    }
    if(rightChild < size && vec[largest] < vec[rightChild]){
        largest = rightChild;
    }
    swap(vec[largest],vec[i]);
    if(largest != i){
        max_Heapify(vec, largest, size);
    }
}

void buildHeap(vector<int>& vec){
    int lastInternalNode = (vec.size()/2)-1;
    for(int i=lastInternalNode;i>=0;i--){
        max_Heapify(vec,i,vec.size());
    }
}

void remove(vector<int>& heap){
    //todo-This method removes the root value from the heap and keep the heap
    property
    swap(heap[0],heap[heap.size()-1]);
    heap.pop_back();
    max_Heapify(heap,0,heap.size());
}

int peek(vector<int> heap){
    //todo-This returns the value of root
    return heap[0];
}

void heapIncreaseKey(vector<int>& heap , int key ,int pos){
    //todo-This increase a value of a given index and then maintains heap properties
    if(key<heap[pos])
        cout<<"key is smaller than current value";
    else{
        heap[pos]=key;
        int parent = (pos-1)/2;
    }
}
```

```

        while(pos>=1 && heap[pos]> heap[parent] ){
            swap(heap[parent],heap[pos]);
            pos = parent;
            parent = (pos-1)/2;
        }
    }
}

void addElement(vector<int>& vec,int value){
    //todo-we use this heapify method when we adding an elemnt to the heap
    vec.push_back(value);
    int index = vec.size()-1;
    int parent = (index-1)/2;
    while(index>=1 && vec[parent]<vec[index]){
        swap(vec[parent],vec[index]);
        index = parent;
        parent = (index-1)/2;
    }
}

void heapSort(vector<int>& vec){
    int size = vec.size();

    // Build max heap
    buildHeap(vec);

    // Extract elements from the heap one by one
    for(int i = size - 1; i > 0; i--){
        swap(vec[0], vec[i]); // Move current root to end
        max_Heapify(vec, 0, i); // Call max heapify on the reduced heap
    }
}

int main() {
    vector<int> heap = {4, 17, 3, 12, 9, 6};

    // Build Heap
    buildHeap(heap); // Time Complexity: O(n), where n is the number of elements
in the heap

    // Output initial heap
    cout << "Initial heap: ";
    for (int i : heap) {
        cout << i << " ";
    }
    cout << endl;

    // Remove root
    remove(heap); // Time Complexity: O(log n), where n is the number of elements
in the heap
    cout << "Heap after removing root: ";
    for (int i : heap) {
        cout << i << " ";
    }
}

```

```

    }
    cout << endl;

    // Peek at root
    cout << "Peek: " << peek(heap) << endl; // Time Complexity: O(1)

    // Increase key at index 1
    heapIncreaseKey(heap, 22, 1); // Time Complexity: O(log n), where n is the
number of elements in the heap
    cout << "Heap after increasing key at index 1 to 22: ";
    for (int i : heap) {
        cout << i << " ";
    }
    cout << endl;

    // Add element 30
    addElement(heap, 30); // Time Complexity: O(log n), where n is the number of
elements in the heap
    cout << "Heap after adding element 30: ";
    for (int i : heap) {
        cout << i << " ";
    }
    cout << endl;

    // Sort the heap
    heapSort(heap); // Time Complexity: O(n log n), where n is the number of
elements in the heap
    cout << "Sorted heap: ";
    for (int i : heap) {
        cout << i << " ";
    }
    cout << endl;

    return 0;
}

```

# Questions

Which of the following is the recurrence relation for Heapify Operation?

- ☐ a.  $T(n) \leq T(2n/3) + \Theta(n)$
- ☐ b.  $T(n) \leq T(n/2) + \Theta(1)$
- ☒ c.  $T(n) \leq T(2n/3) + \Theta(1)$  ✓
- ☐ d.  $T(n) \leq T(3n/2) + \Theta(1)$



The correct answer is c.  $T(n) \leq T(2n/3) + \Theta(1)$ .

This recurrence relation describes the time complexity of the heapify operation in a binary heap. The heapify operation ensures that a binary tree maintains the heap property (i.e., each parent node is greater than or equal to its child nodes in a max-heap or less than or equal to its child nodes in a min-heap). The process involves potentially moving a node down the tree to restore the heap property, and in the worst case, this movement involves traversing down the longest path, which is approximately  $2n/3$  nodes in a complete binary tree. The additional  $\Theta(1)$  term represents the constant time spent on comparison and potential swapping of nodes at each level.