



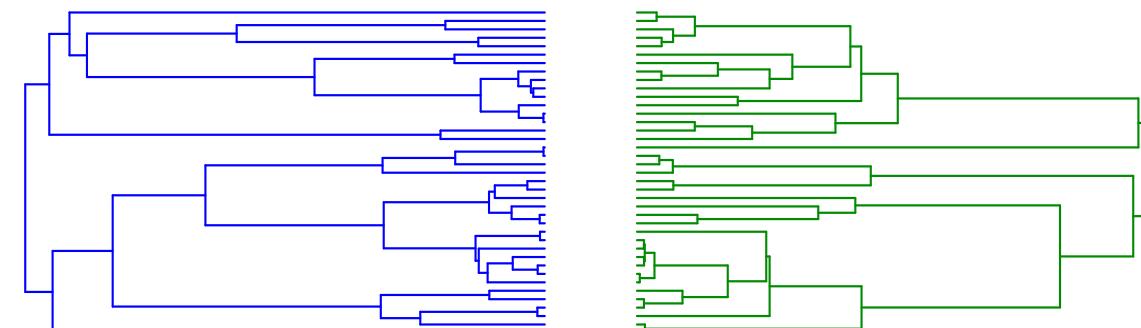
# Time-dependent / Diversity-dependent diversification: What's the difference?

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Supervisors

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# Macroevolution

## STOCHASTIC MODELS OF PHYLOGENY AND THE EVOLUTION OF DIVERSITY<sup>1</sup>

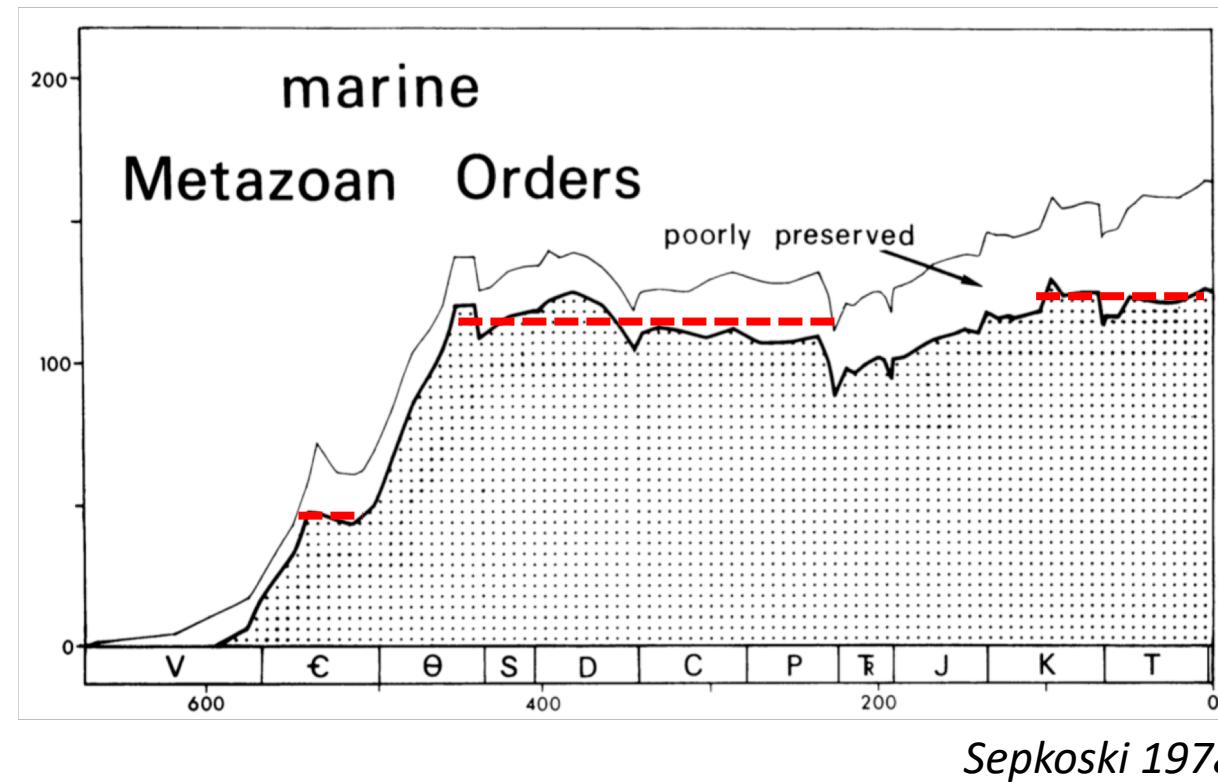
DAVID M. RAUP, STEPHEN JAY GOULD, THOMAS J. M. SCHOPF, AND DANIEL S. SIMBERLOFF

This is the first in a projected series of papers that might bear the general title “nomothetic paleontology.” “Nomothetic” is a term used by psychologists, historians, and philosophers to designate an approach to historical science favoring the study of “cases and events as universals, with a view to formulating general laws.” The conventional approach, on the other hand, is termed “idiographic”: “the study of cases or events as individual units, with a view to understanding each one separately” (Random House Dictionary).

**Macroevolution:** formulating general laws regarding evolution on large time-scales

# Fossil patterns

'Nomothetic' paleontology: what does the fossil record tell us about rules of evolution ?



'Plateauing' diversity and rebounds after mass extinctions

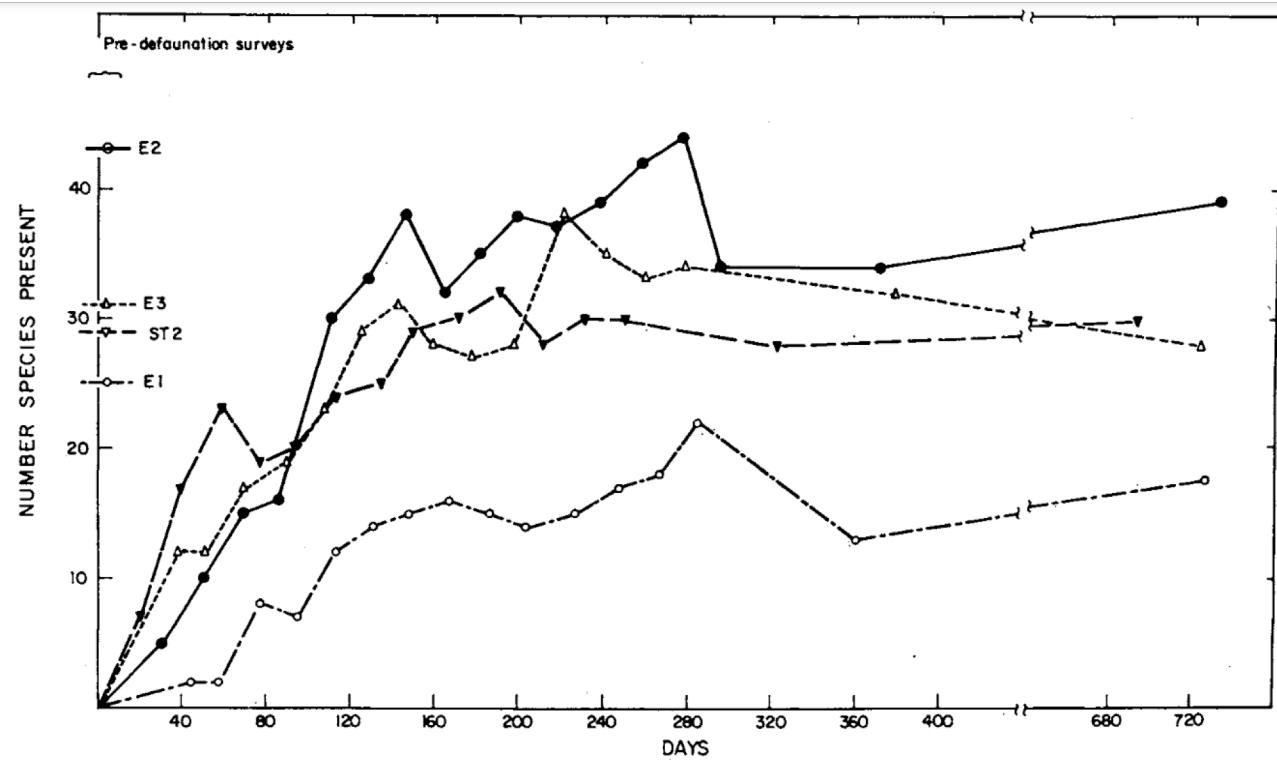
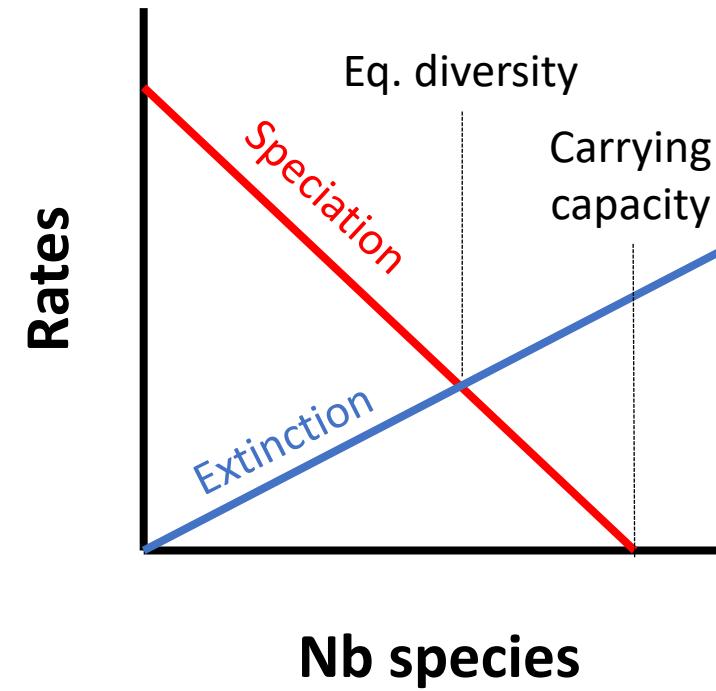
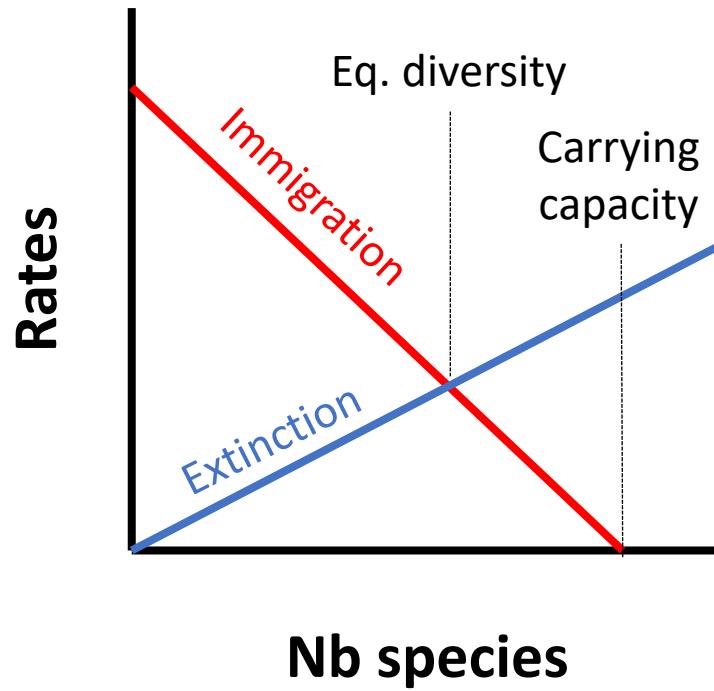
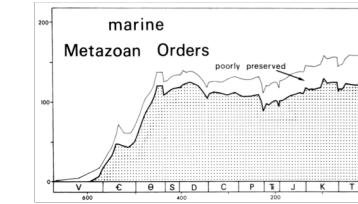
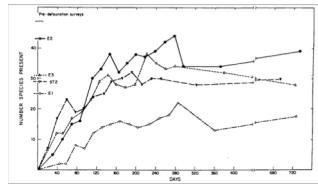


FIG. 1. The colonization curves of four small mangrove islands in the lower Florida Keys whose entire faunas, consisting almost solely of arthropods, were exterminated by methyl bromide fumigation. The figures shown are the estimated numbers of species present, which are the actual numbers seen plus a small fraction not seen but inferred to be present by the criteria utilized by Simberloff and Wilson (1969) and Simberloff (1969). The number of species in an inverse function of the distance of the island to the nearest source of immigrants. This effect was evident in the predefaunaion censuses and was preserved when the faunas regained equilibrium after defaunaion. Thus, the near island E2 has the most species, the distant island E1 the fewest, and the intermediate islands E3 and ST2 intermediate numbers of species.

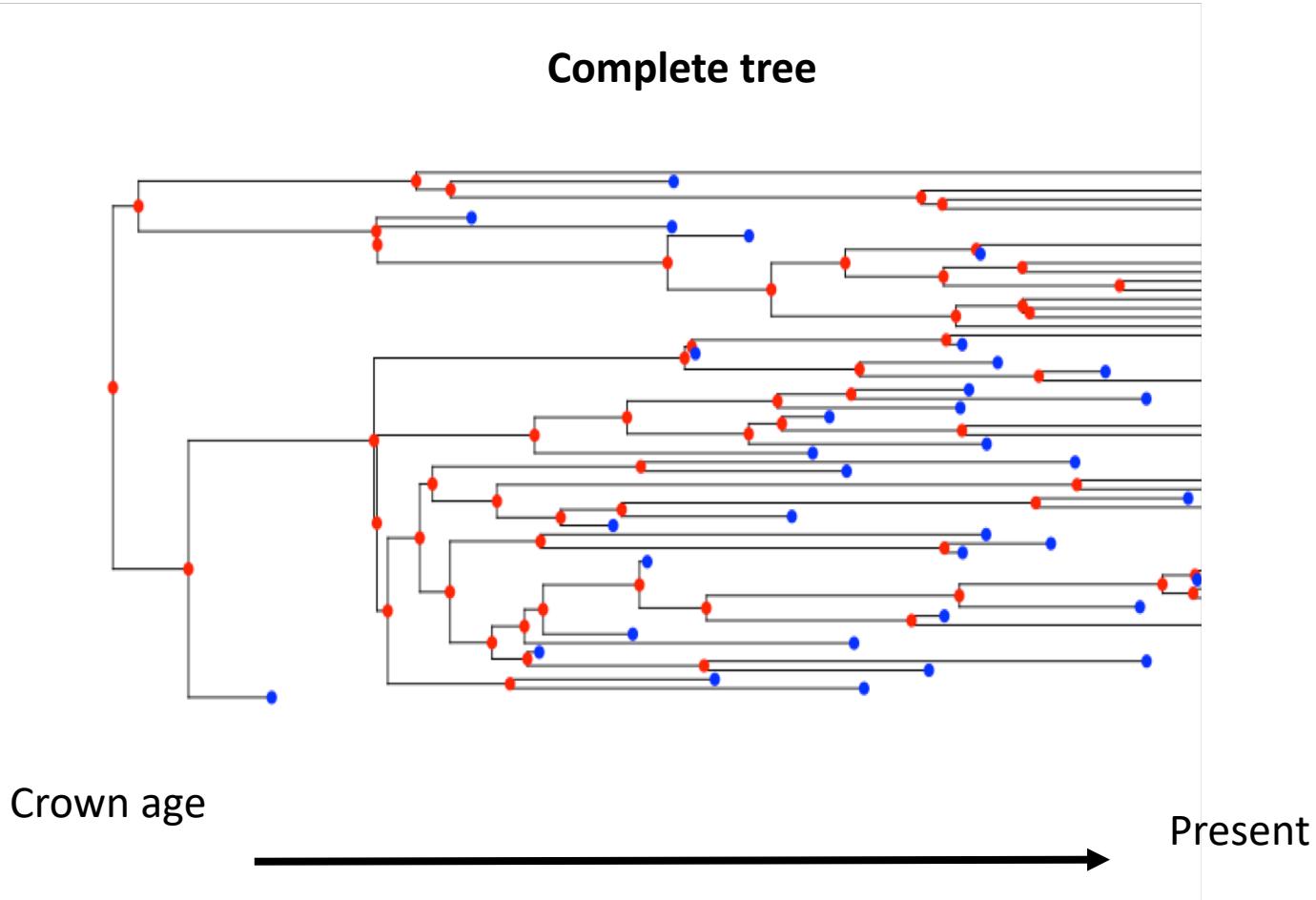


FIG. 2. *Upper*: Island E1, the second smallest island in the experimental series. *Lower*: Island E9, the largest island in the experimental series; note also the presence of supratidal mud.

# From island biogeography to diversity-dependent diversification



# Reconstructed molecular phylogenies

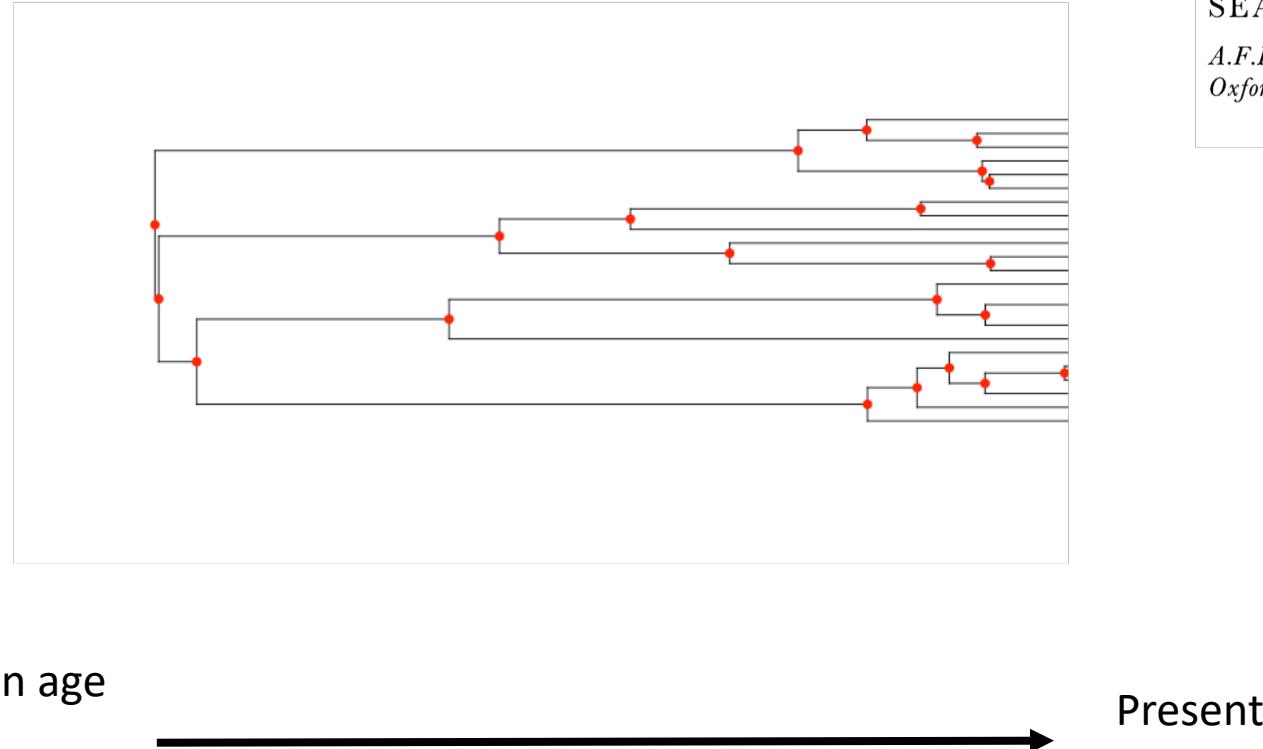


Birth rate ( $b$ ) = Speciation rate ( $\lambda_t$ )

Death rate ( $d$ ) = Extinction rate ( $\mu_t$ )

# Reconstructed molecular phylogenies

Reconstructed tree



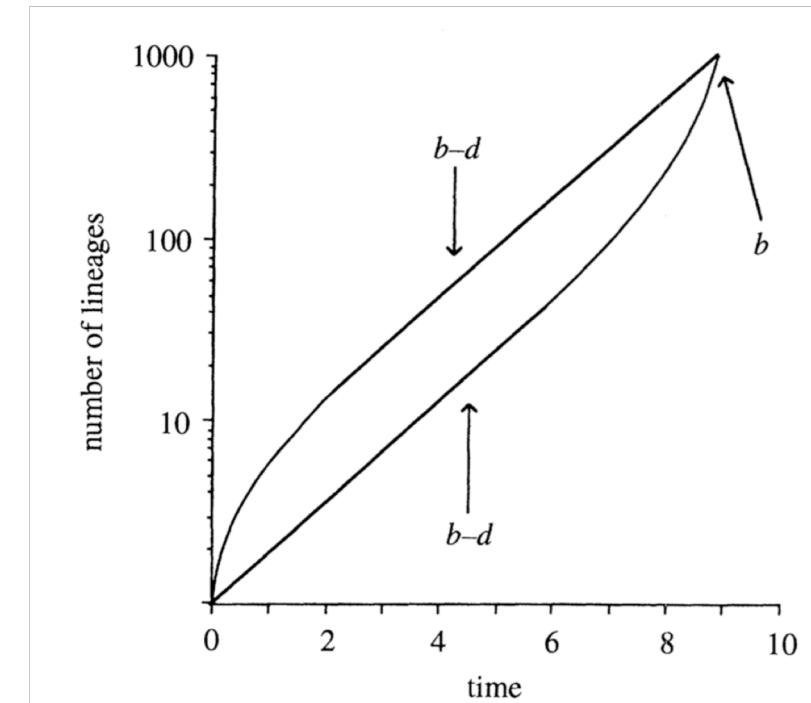
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## The reconstructed evolutionary process

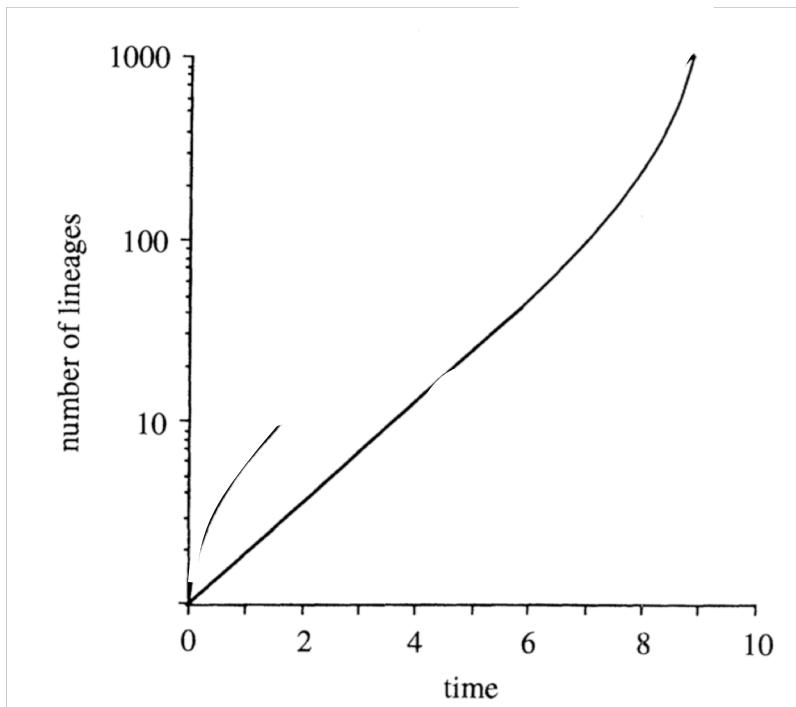
SEAN NEE, ROBERT M. MAY AND PAUL H. HARVEY

A.F.R.C. Unit of Ecology and Behaviour, Department of Zoology, University of Oxford, South Parks Road, Oxford OX1 3PS, U.K.



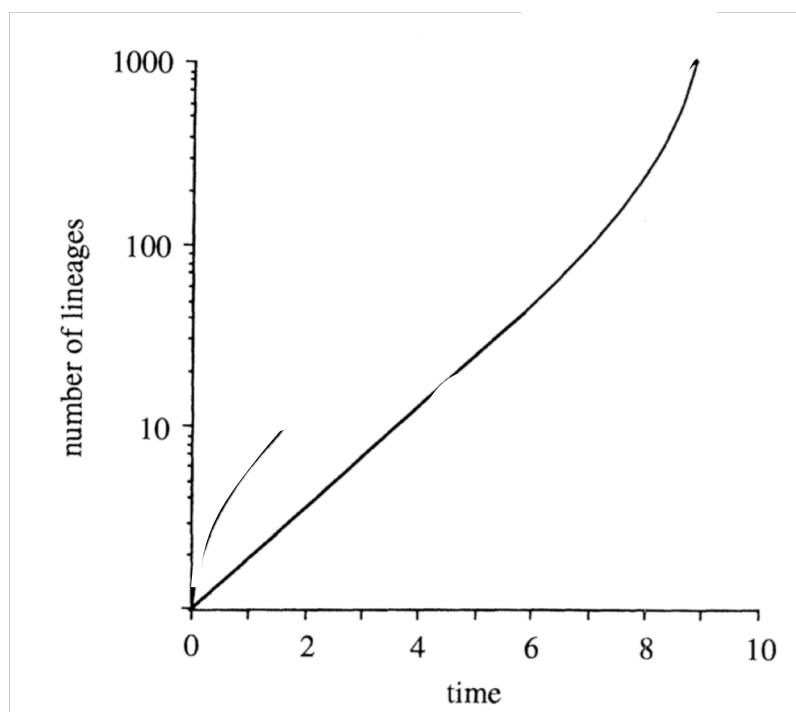
# Empirical patterns: a diversification slowdown ?

Constant-rate diversification  
Expectation

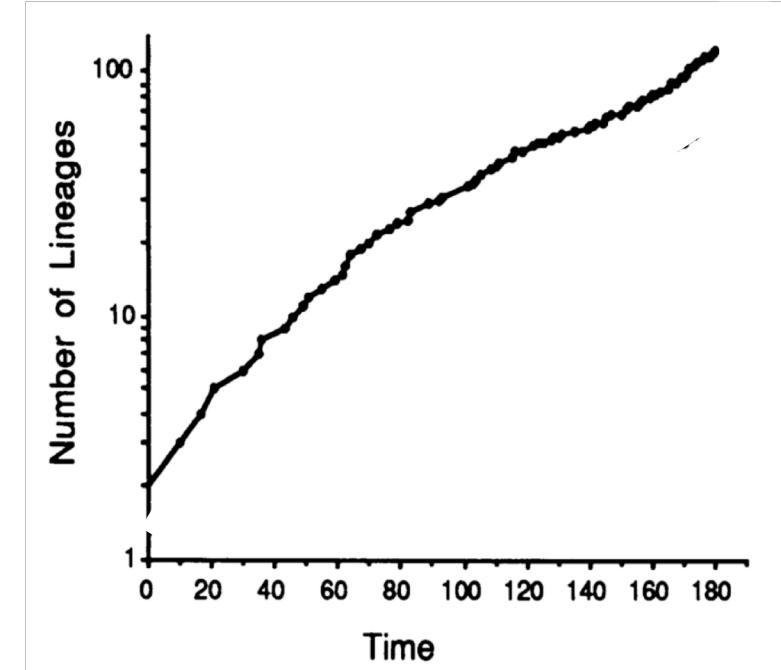


# Empirical patterns: a diversification slowdown ?

Constant-rate diversification  
Expectation



[Empirical]  
Reality



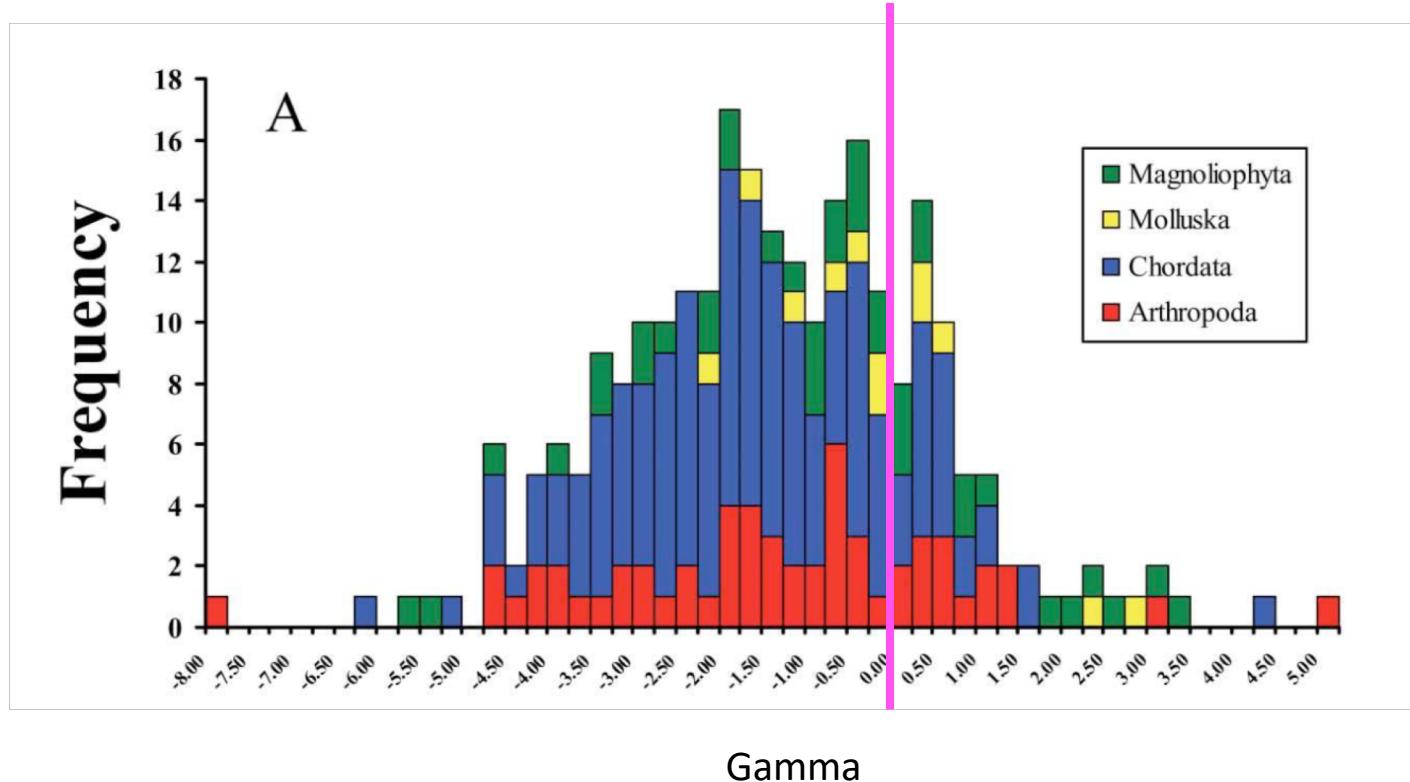
*from Nee et al. 1992*

# Empirical patterns: a diversification slowdown ?

A widespread pattern:

Phillimore & Price (2008) – 13/23 bird genera

McPeek (2008)  $\approx$  2/3 of phylogenies tested



# Empirical patterns: a diversification slowdown ?

## Biological interpretations

**Diversity-dependence** - diversification slowing down as niche space available to the clade fills up

**Age-dependence** - clades become competitively 'obsolescent'

**Environment-dependence** - Fast diversification driven by environmental factors in the past

## Methodological interpretations

**Incomplete sampling** – missing taxa in the phylogeny

**Protracted speciation** – Failure to recognize recent speciation events

→ How to distinguish diversity-dependence from other hypotheses?

## Question

Can diversity-dependence be distinguished  
from similar diversity-**independent** models?

Diversity-dependent speciation

$$\lambda = \lambda_0 \left(1 - \frac{n}{K'}\right)$$

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Diversity-dependent speciation

$$\lambda = \lambda_0 \left(1 - \frac{n}{K}\right)$$

Time-dependent decline

$$\lambda = \lambda_0 \left(1 - \frac{t}{K}\right)$$

e.g. Rabosky & Lovette (2008)

# Formulation of the time-dependent model

Looking for a **time-dependent (TD)** model as close as possible to **diversity-dependence (DD)**

$$E(N_{TD})(t) = E(N_{DD})(t)$$



$$\lambda(t) = \mu_0 + \frac{(E(N_{DD}))'(t)}{E(N_{DD})(t)}$$



**César Martinez**

*Now at Center for Environmental  
Economics - Montpellier*

# Simulations

*DD*  $\{\lambda_0, \mu_0, K\}$

$$\lambda = \lambda_0 \left(1 - \frac{n}{K}\right)$$

Without / with extinction

$$\mu_0 = \{0 ; 0.4\}$$

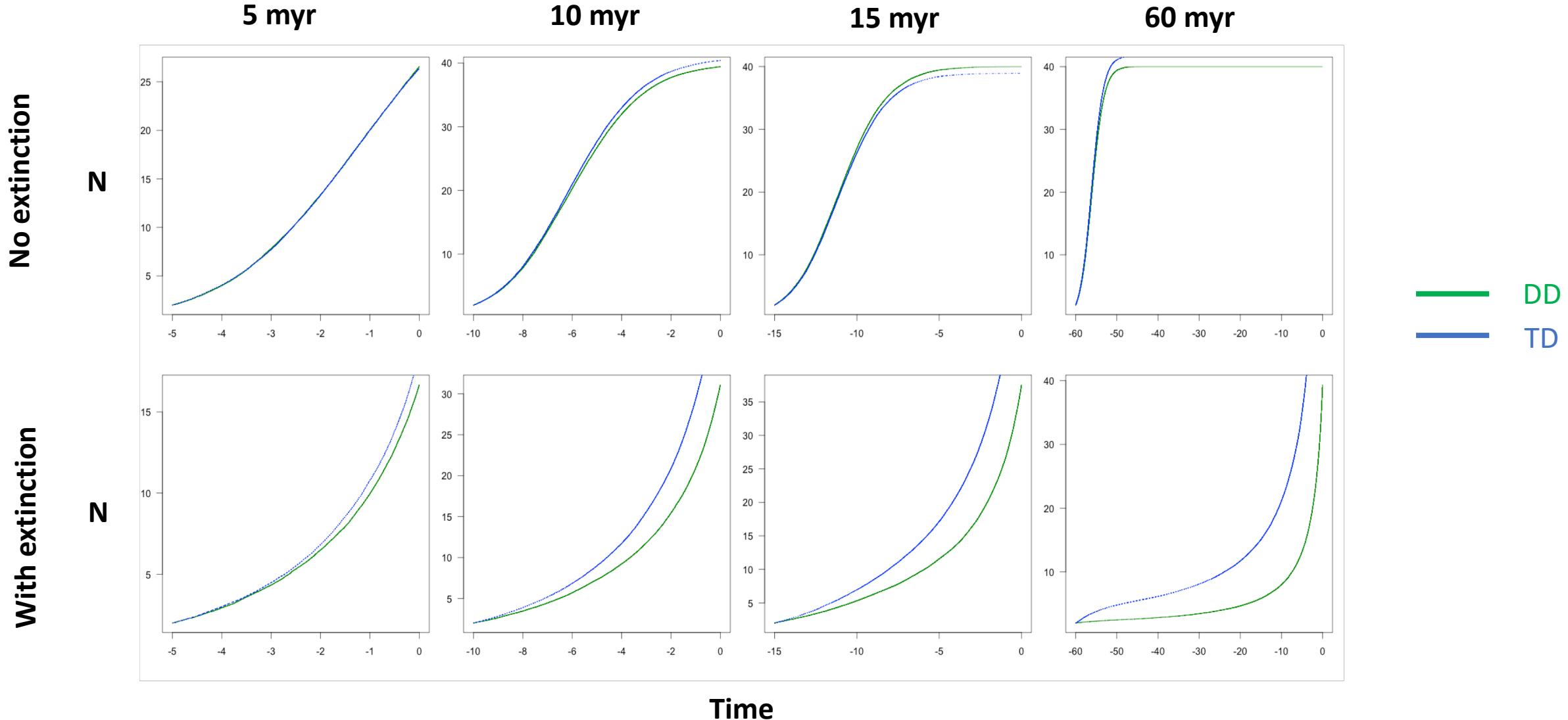
Simulation times

$$Age = \{5 ; 10 ; 15 ; 60\}$$

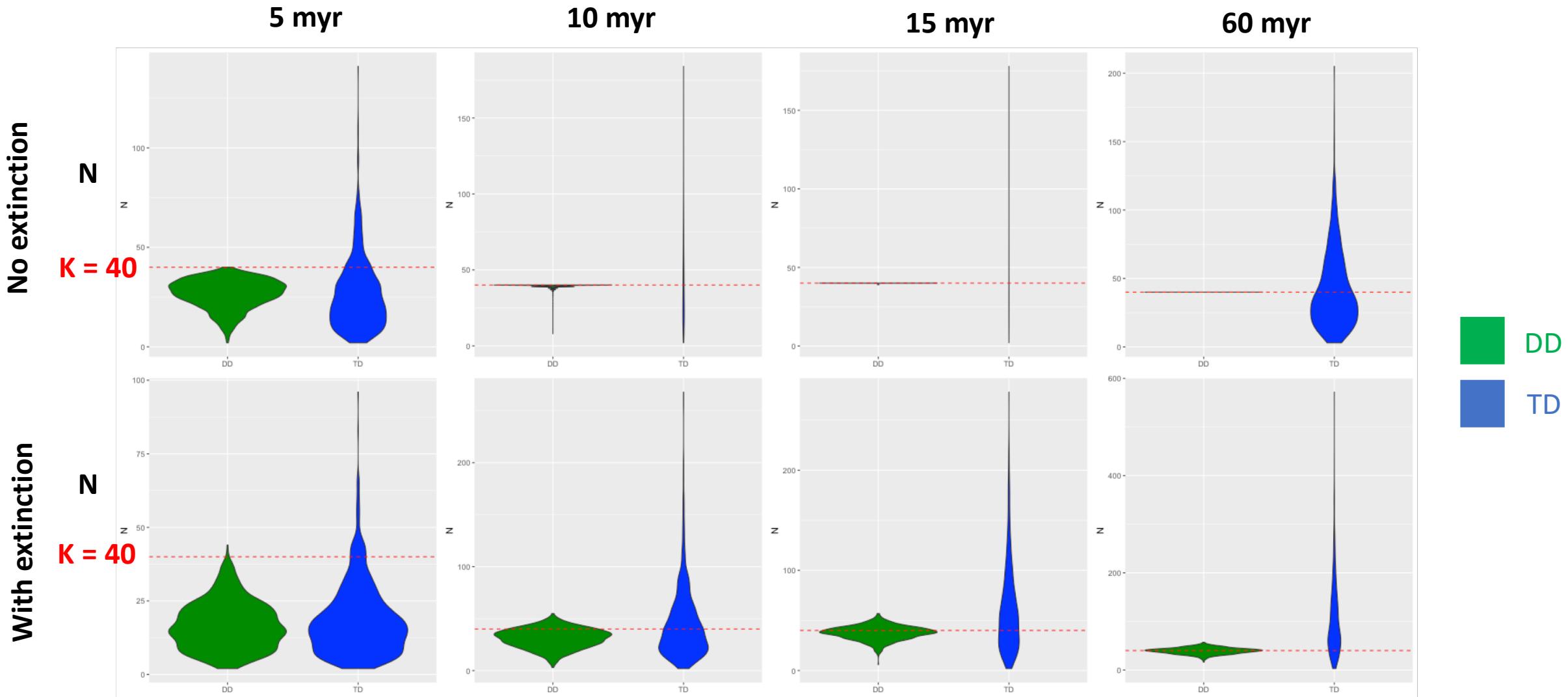
*TD*  $\{\lambda_0, \mu_0, K\}$

$$\lambda = \mu_0 + \frac{(E(N_{DD}))'(t)}{E(N_{DD})(t)}$$

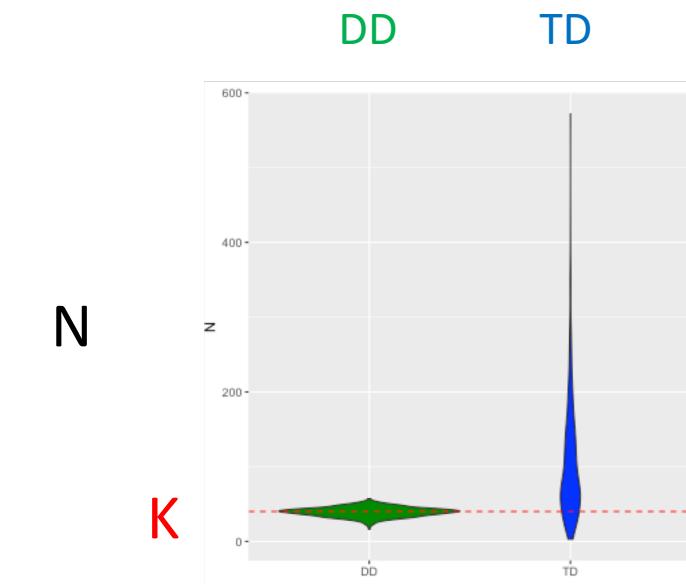
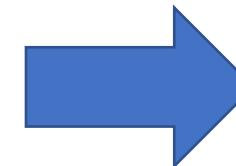
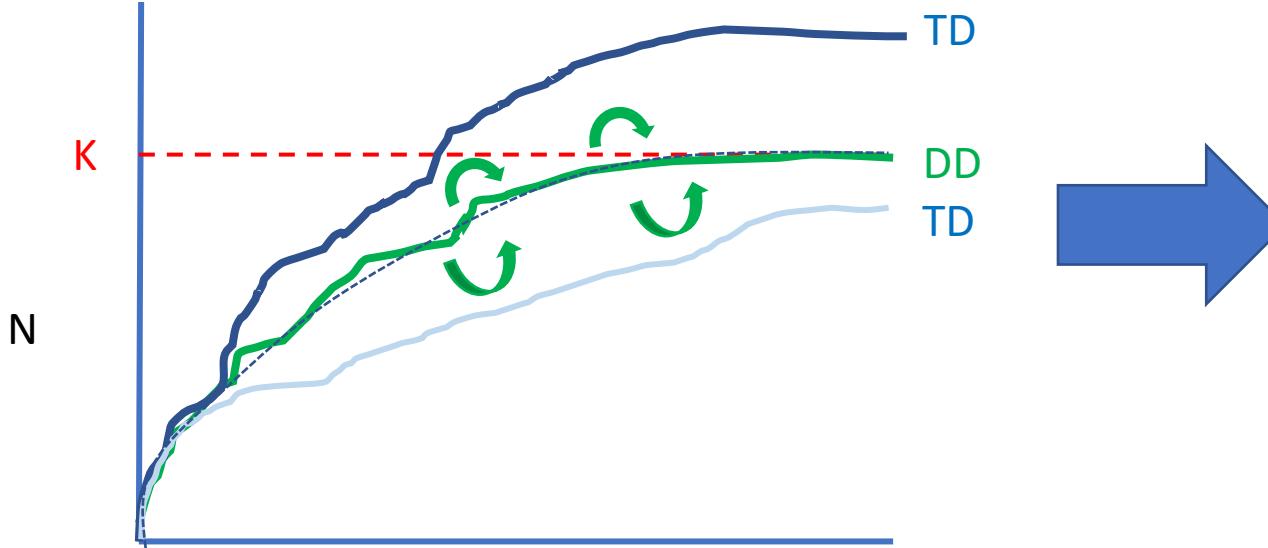
# Simulated trees: lineages-through-time plots



# Simulated trees: tree size distribution



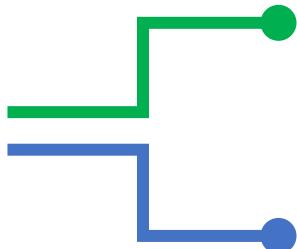
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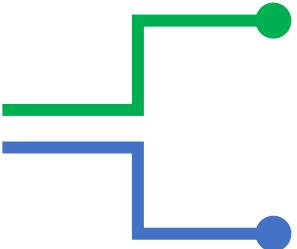
# Model comparison

## Simulations

$DD \{ \lambda_0, \mu_0, K \}$



$TD \{ \lambda_0, \mu_0, K \}$



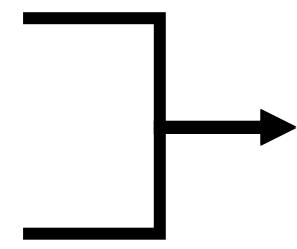
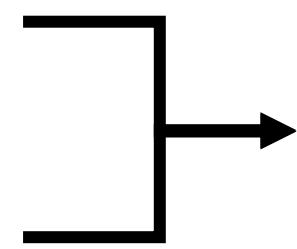
## ML optimization

$\mathcal{L}(DD)$

$\mathcal{L}(TD)$

$\mathcal{L}(DD)$

$\mathcal{L}(TD)$



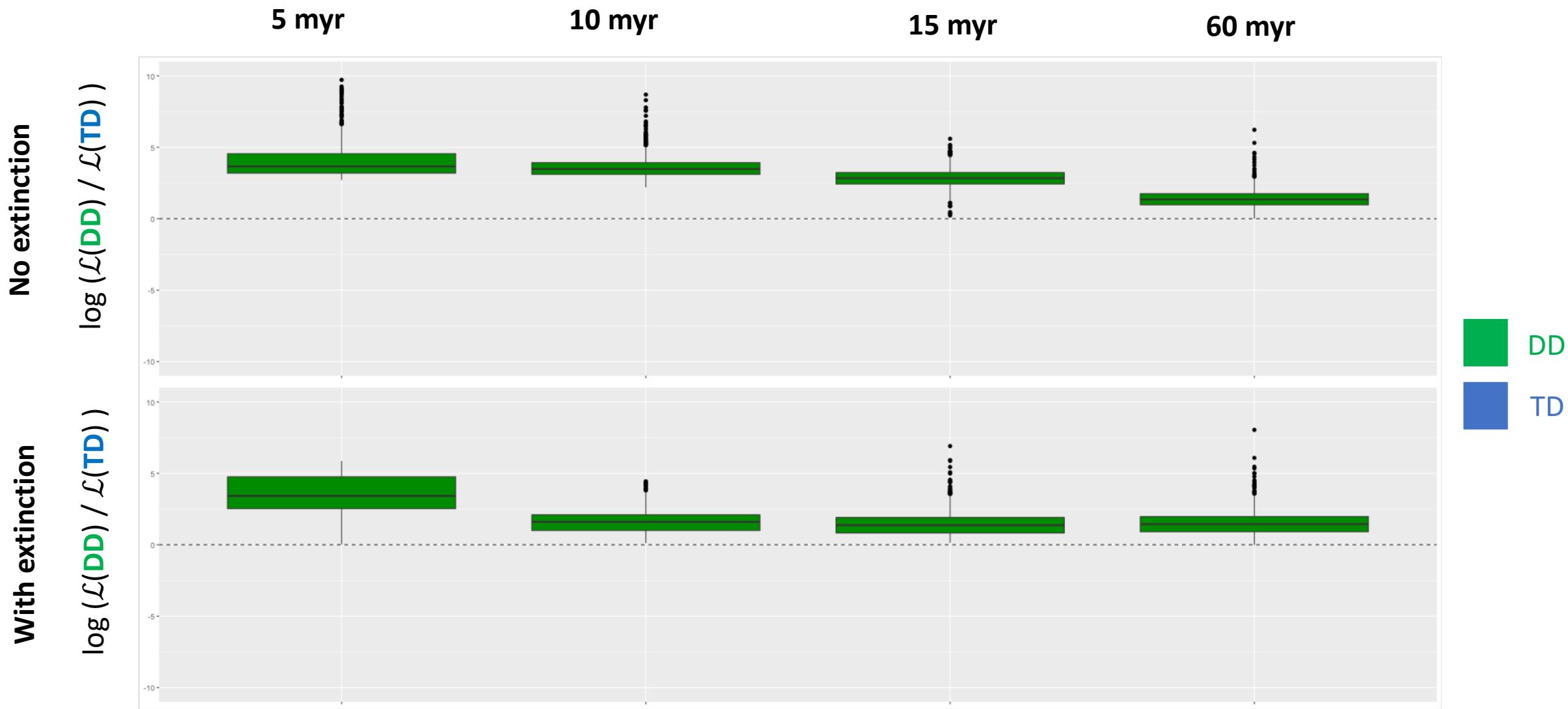
## Model selection

$$\log \frac{\mathcal{L}(DD)}{\mathcal{L}(TD)}$$

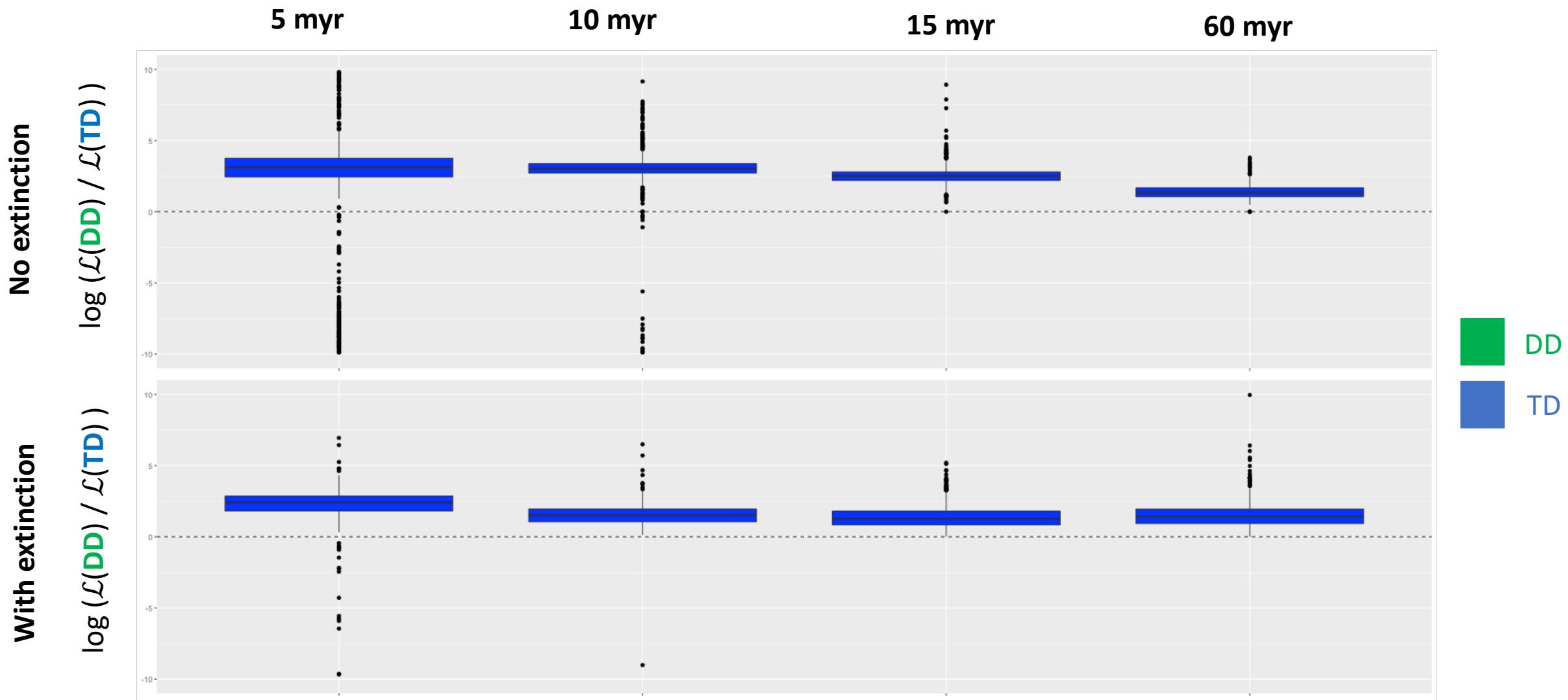
## Model selection

$$\log \frac{\mathcal{L}(DD)}{\mathcal{L}(TD)}$$

## Model selection: log-likelihood ratio distribution – DD trees



## Model selection: log-likelihood ratio distribution – TD trees



# Summary

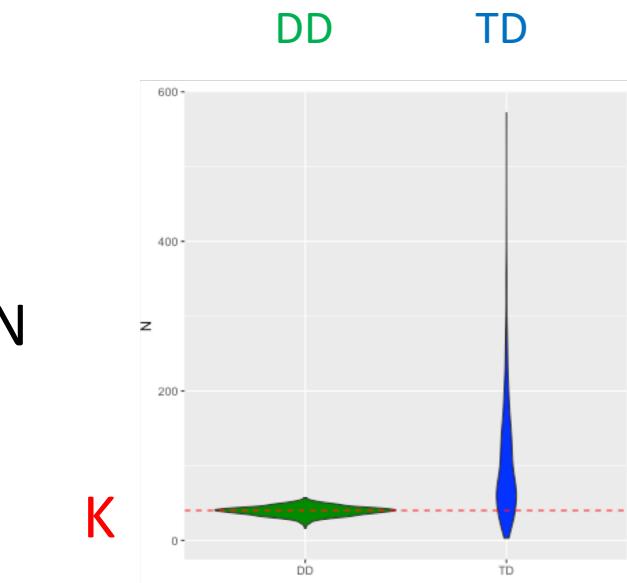
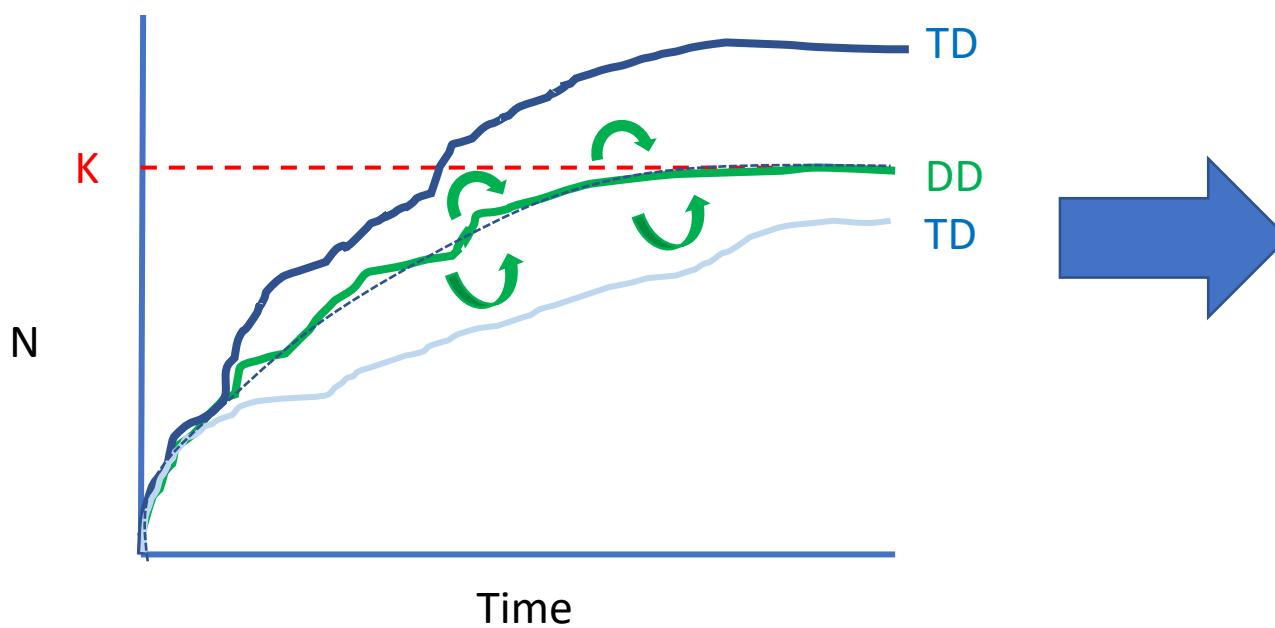
Can diversity-dependent diversification be distinguished from diversity-independent diversification?

- AIC/Likelihood ratios always select DD over TD regardless of which is the true model.  
→ DD overfits the data!

# Summary

Can diversity-dependent diversification be distinguished from diversity-independent diversification?

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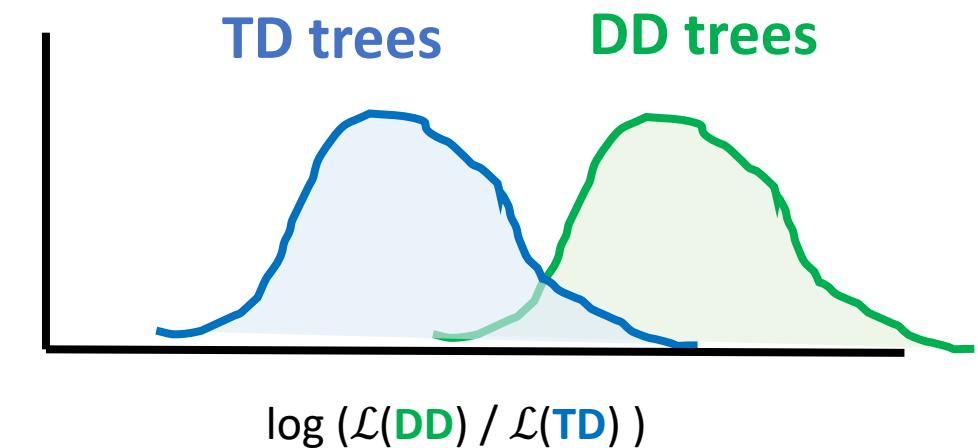


# Summary

Can diversity-dependent diversification be distinguished from diversity-independent diversification?

Solutions to the overfitting problem ?

- Use the distribution of  $\mathcal{L}(\text{DD}) / \mathcal{L}(\text{TD})$  as a statistic

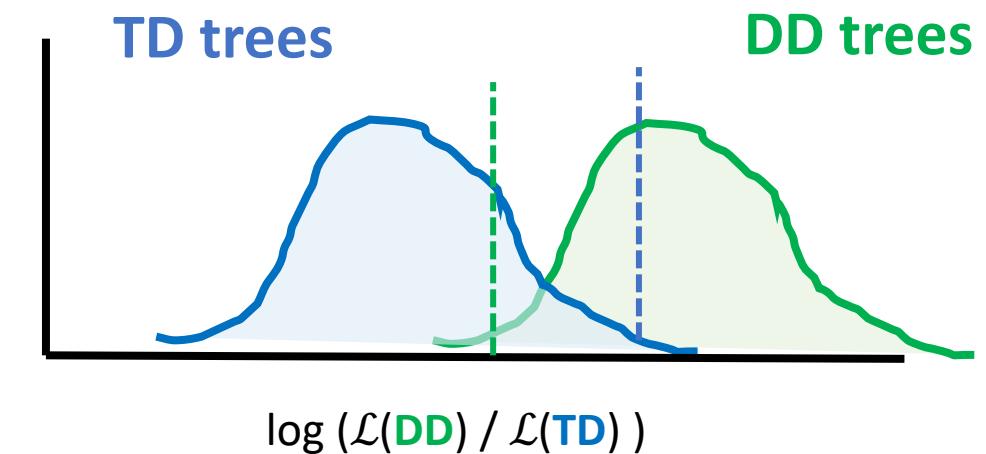


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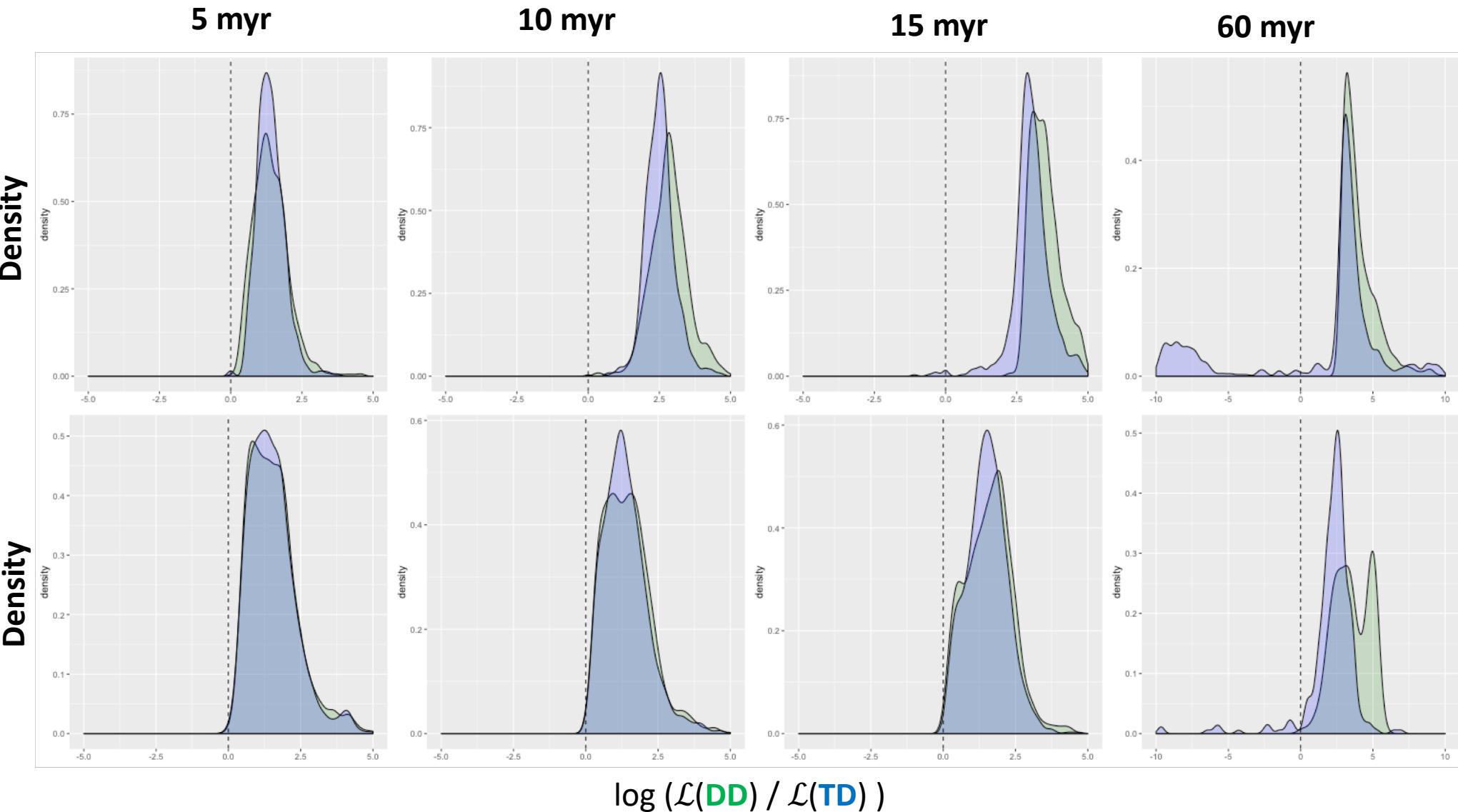
Solutions to the overfitting problem ?

- Use the distribution of  $\log(\mathcal{L}(\text{DD}) / \mathcal{L}(\text{TD}))$  as a statistic



# Model selection: log-likelihood ratio distribution

No extinction



DD  
TD

$$\log (\mathcal{L}(\text{DD}) / \mathcal{L}(\text{TD}))$$

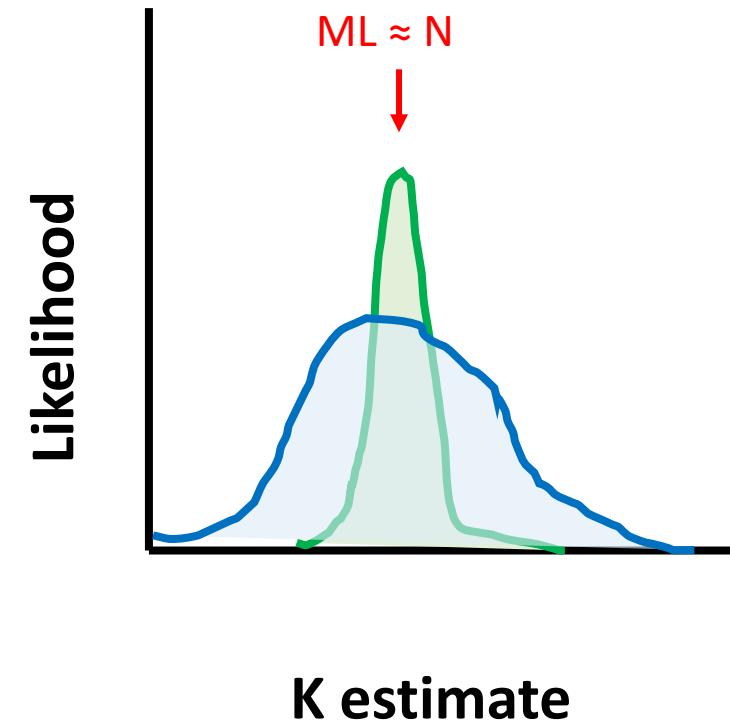
# Summary

Can diversity-dependent diversification be distinguished from diversity-independent diversification?

## Solutions to the overfitting problem ?

- Use the distribution of  $\mathcal{L}(\text{DD}) / \mathcal{L}(\text{TD})$  as a statistic
- Use marginalized likelihoods ?

For a TD tree



# Summary

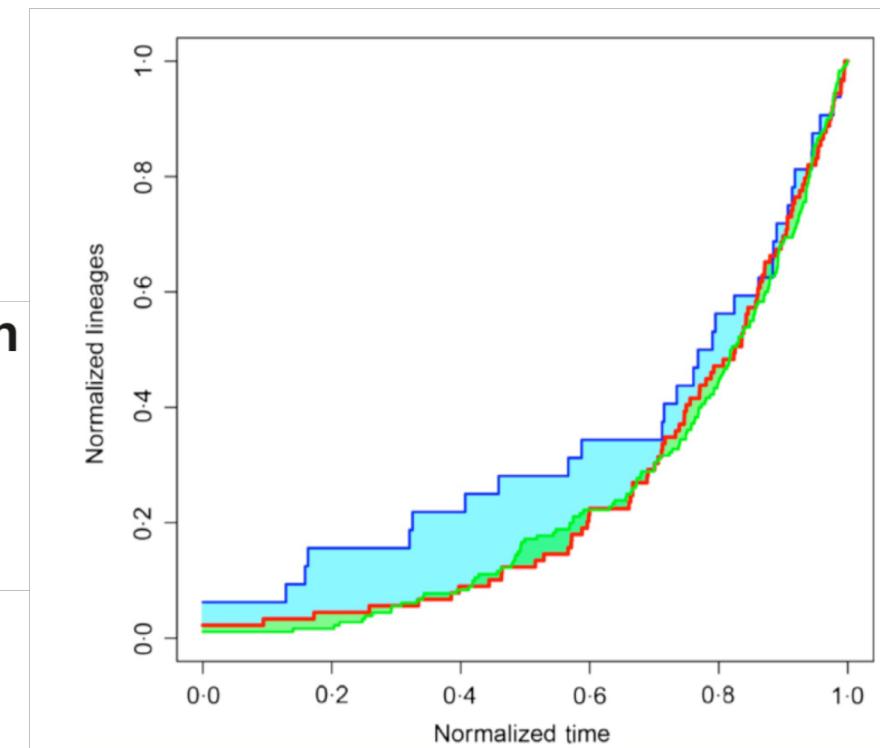
Can diversity-dependent diversification be distinguished from diversity-independent diversification?

## Solutions to the overfitting problem ?

- Use the distribution of  $\mathcal{L}(\text{DD}) / \mathcal{L}(\text{TD})$  as a statistic
- Use marginalized likelihoods ?
- Likelihood-free methods e.g. ABC

**Approximate Bayesian Computation of diversification rates from molecular phylogenies: introducing a new efficient summary statistic, the nLTT**

Thijs Janzen<sup>1\*</sup>, Sebastian Höhna<sup>2</sup> and Rampal S. Etienne<sup>1</sup>

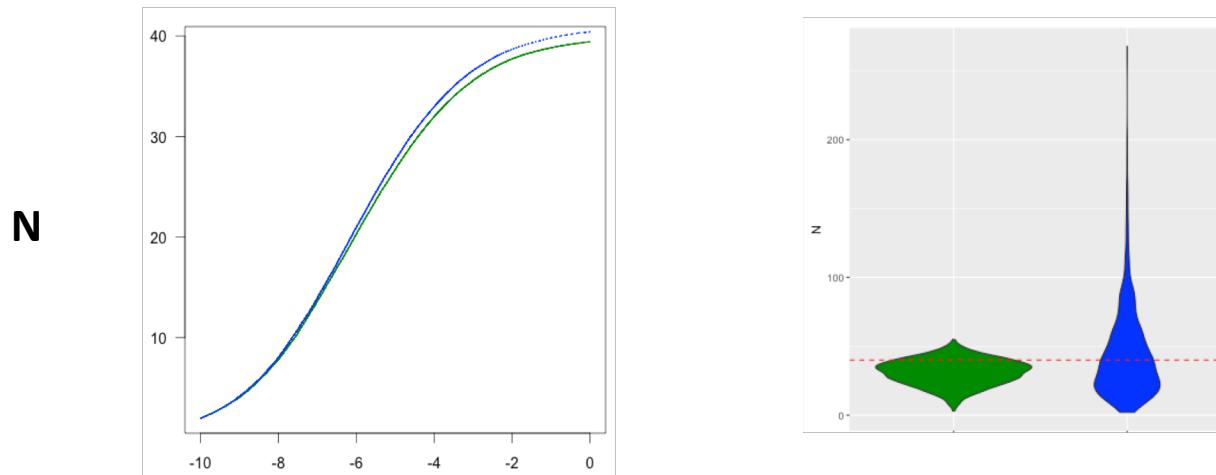


# Summary

Can diversity-dependent diversification be distinguished from diversity-independent diversification?

Beyond the overfitting problem:

There does not seem to be an imprint of the feedback mechanism of DD on branching patterns



→ Diversity-dependence cannot be reliably inferred from branching patterns alone

Corroborate branching patterns with predictions for other data, e.g. morphology, range evolution?