

## Pipelining

Politecnico di Milano

v0

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# Outline

- Processors and Instruction Sets
- Review of pipelining
- MIPS
  - Reduced Instruction Set of MIPS™ Processor
  - Implementation of MIPS Processor Pipeline
  - The Problem of Pipeline Hazards
  - Performance Issues in Pipelining

# Main Characteristics of MIPS™ Architecture

- RISC (Reduced Instruction Set Computer) Architecture  
Based on the concept of executing only simple instructions in a reduced basic cycle to optimize the performance of CISC CPUs.
- LOAD/STORE Architecture  
ALU operands come from the CPU general purpose registers and they cannot directly come from the memory.  
Dedicated instructions are necessary to:
  - load data from memory to registers
  - store data from registers to memory
- Pipeline Architecture:  
Performance optimization technique based on the overlapping of the execution of multiple instructions derived from a sequential execution flow.

# MIPS ISA Example

- Instruction Set Architecture
  - Defines set of operations, instruction format, hardware supported data types, named storage, addressing modes, sequencing

## Register-Register

31	26 25	21 20	16 15	11 10	6 5	0
Op	Rs1	Rs2	Rd		Opx	

## Register-Immediate

31	26 25	21 20	16 15	0
Op	Rs1	Rd	immediate	

## Branch

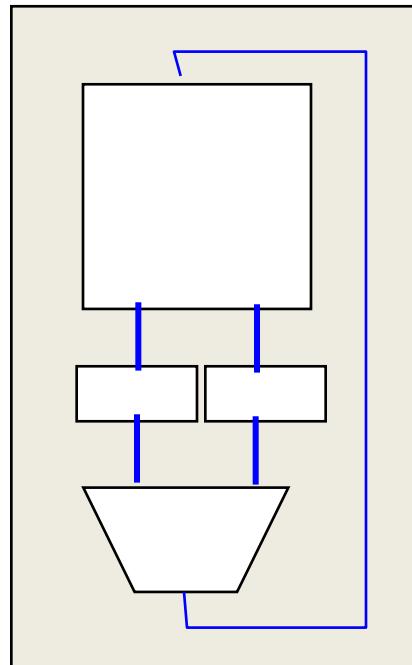
31	26 25	21 20	16 15	0
Op	Rs1	Rs2/Opx	immediate	

## Jump / Call

31	26 25	0
Op	target	

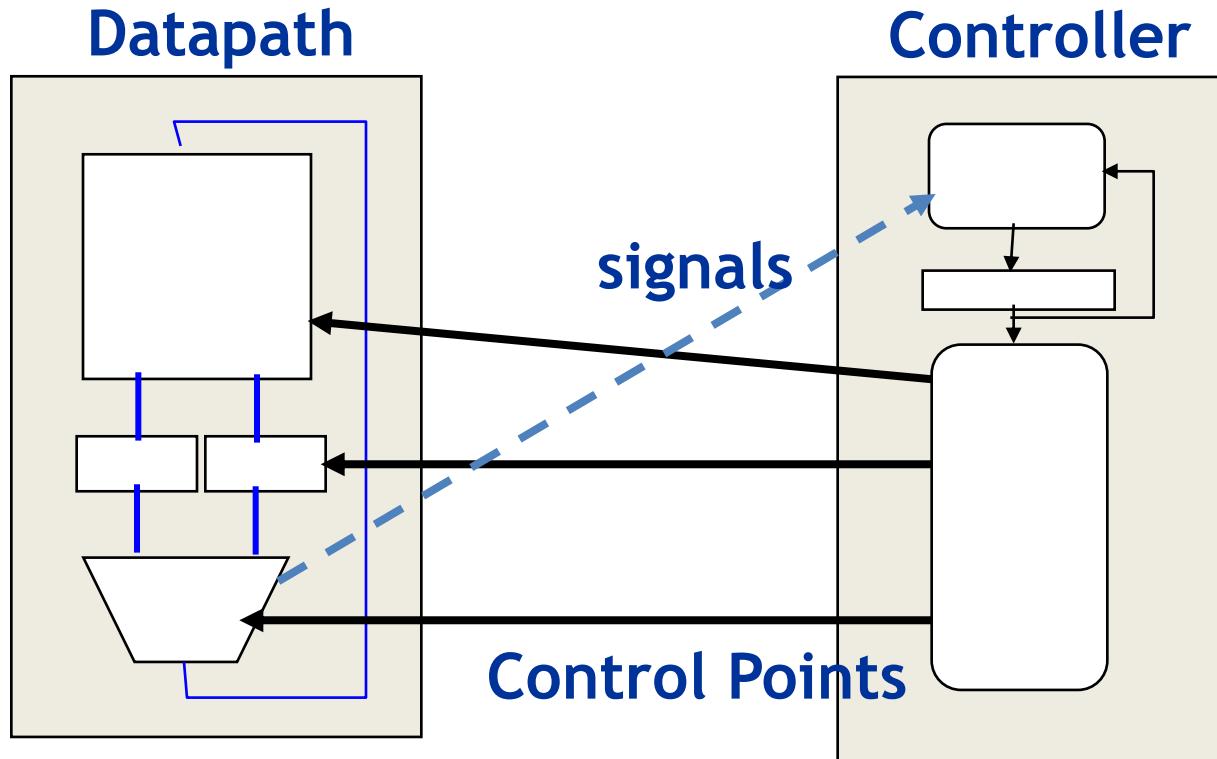
# Data

## Datapath



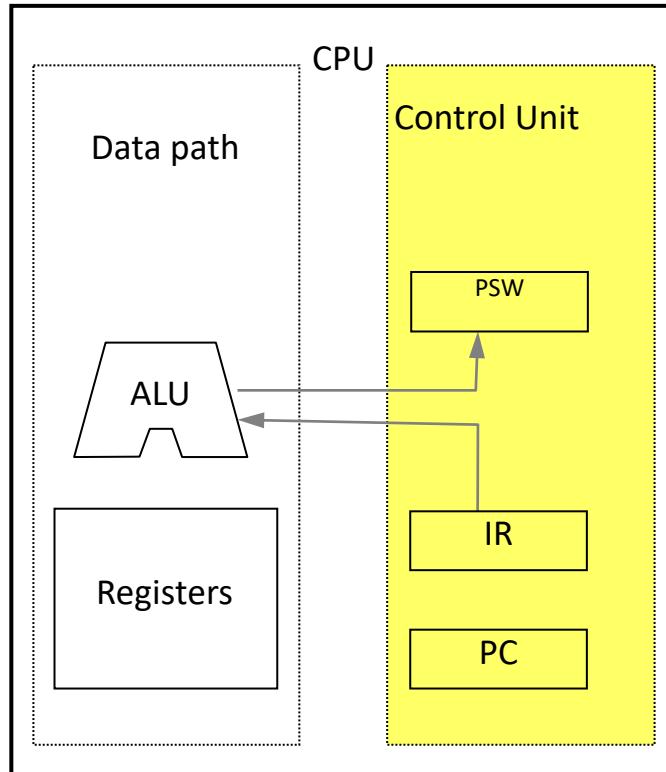
- Datapath: Storage, FU, interconnect sufficient to perform the desired functions
  - Inputs are Control Points
  - Outputs are signals

# Data vs Control

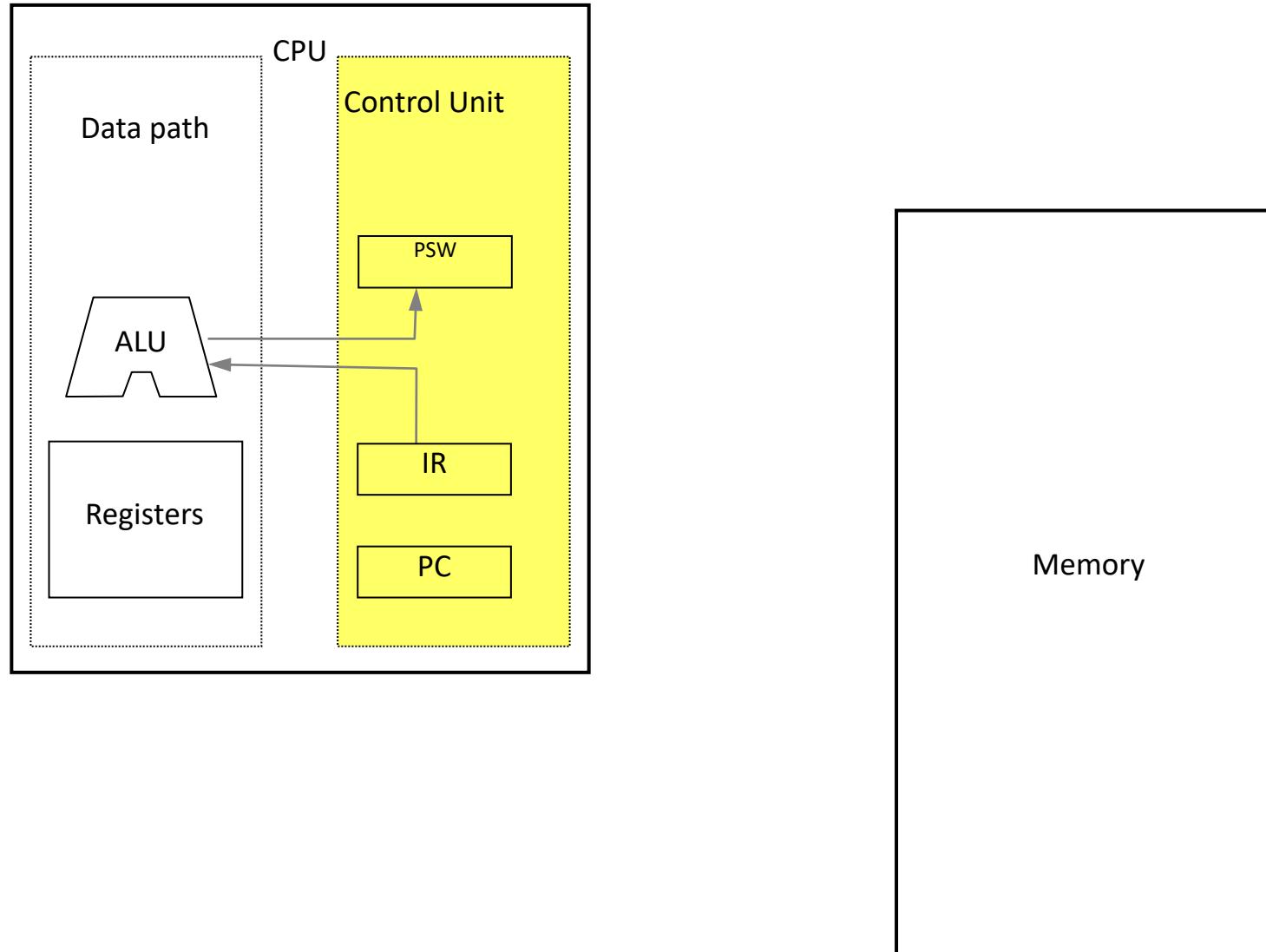


- **Datapath:** Storage, FU, interconnect sufficient to perform the desired functions
  - Inputs are Control Points
  - Outputs are signals
- **Controller:** State machine to orchestrate operation on the data path
  - Based on desired function and signals

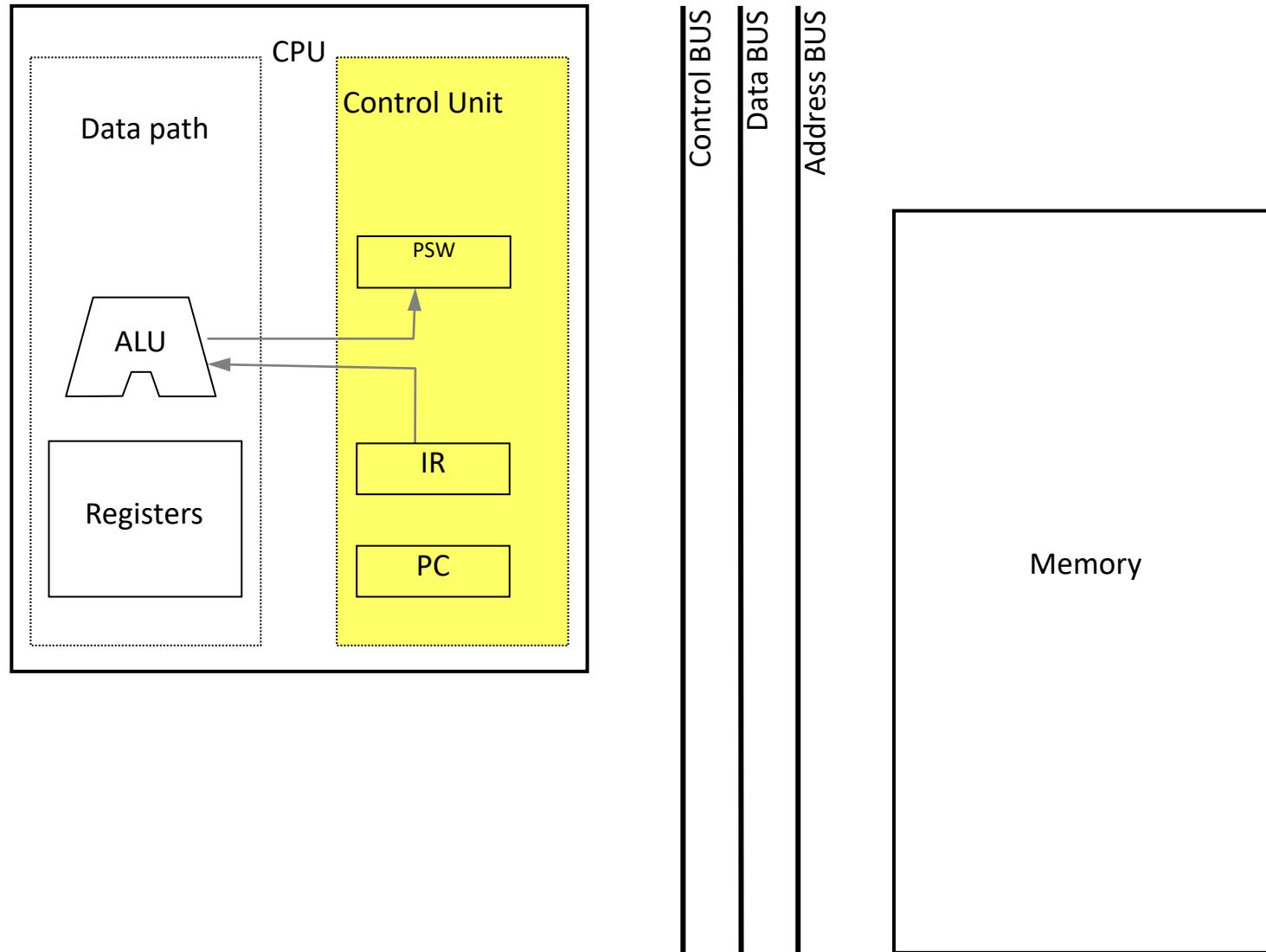
# Computing Infrastructure



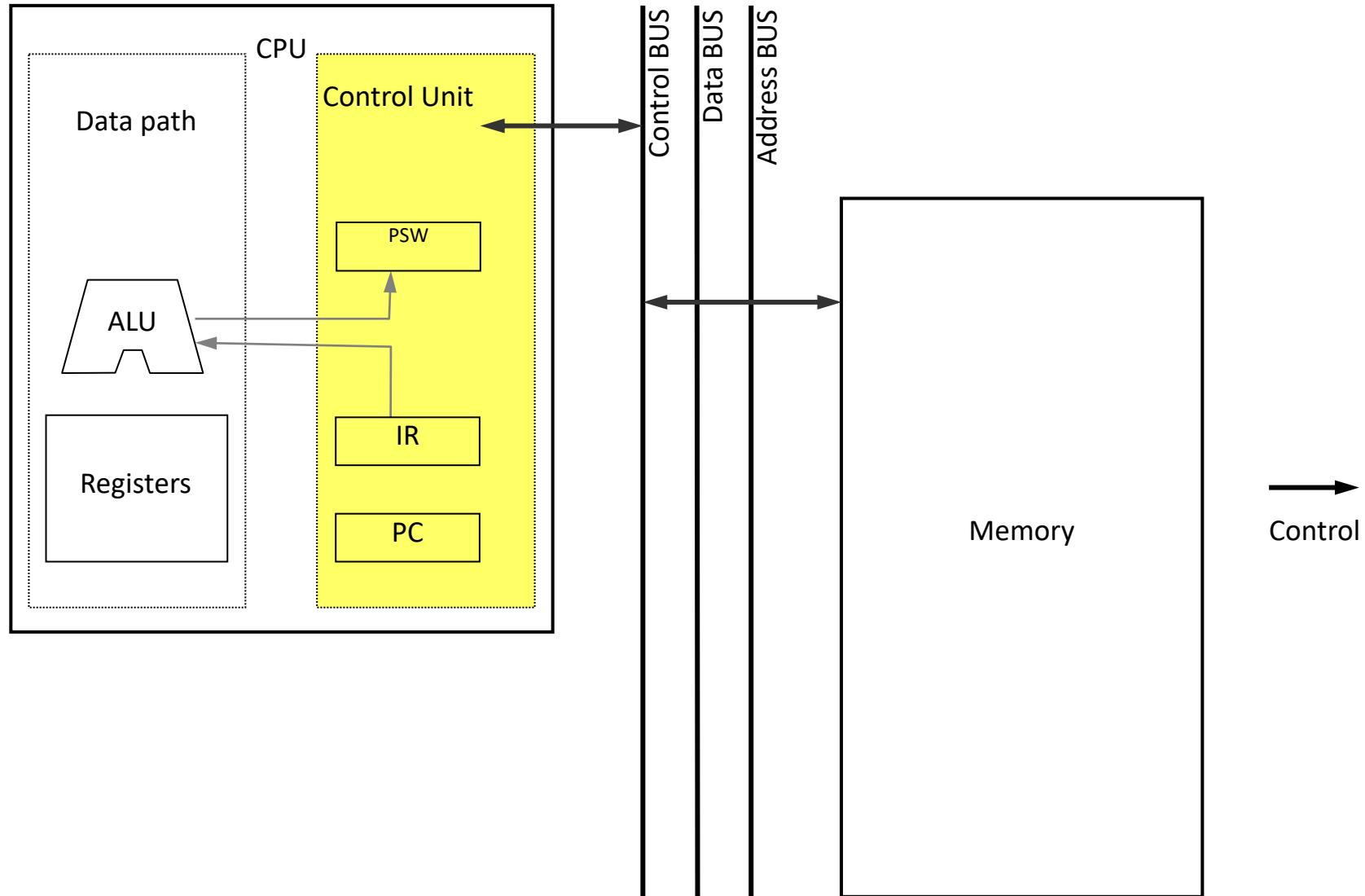
# Computing Infrastructure



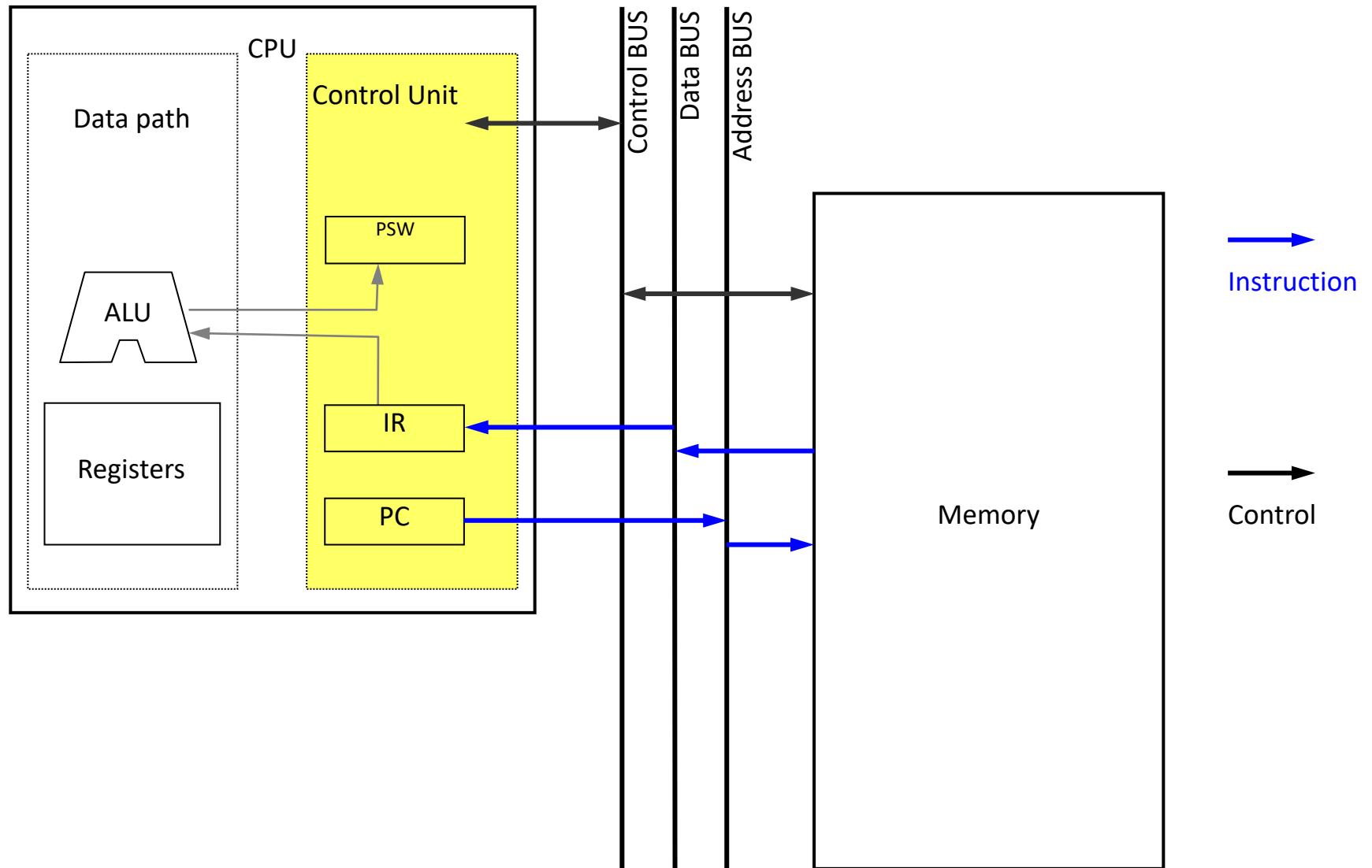
# Computing Infrastructure



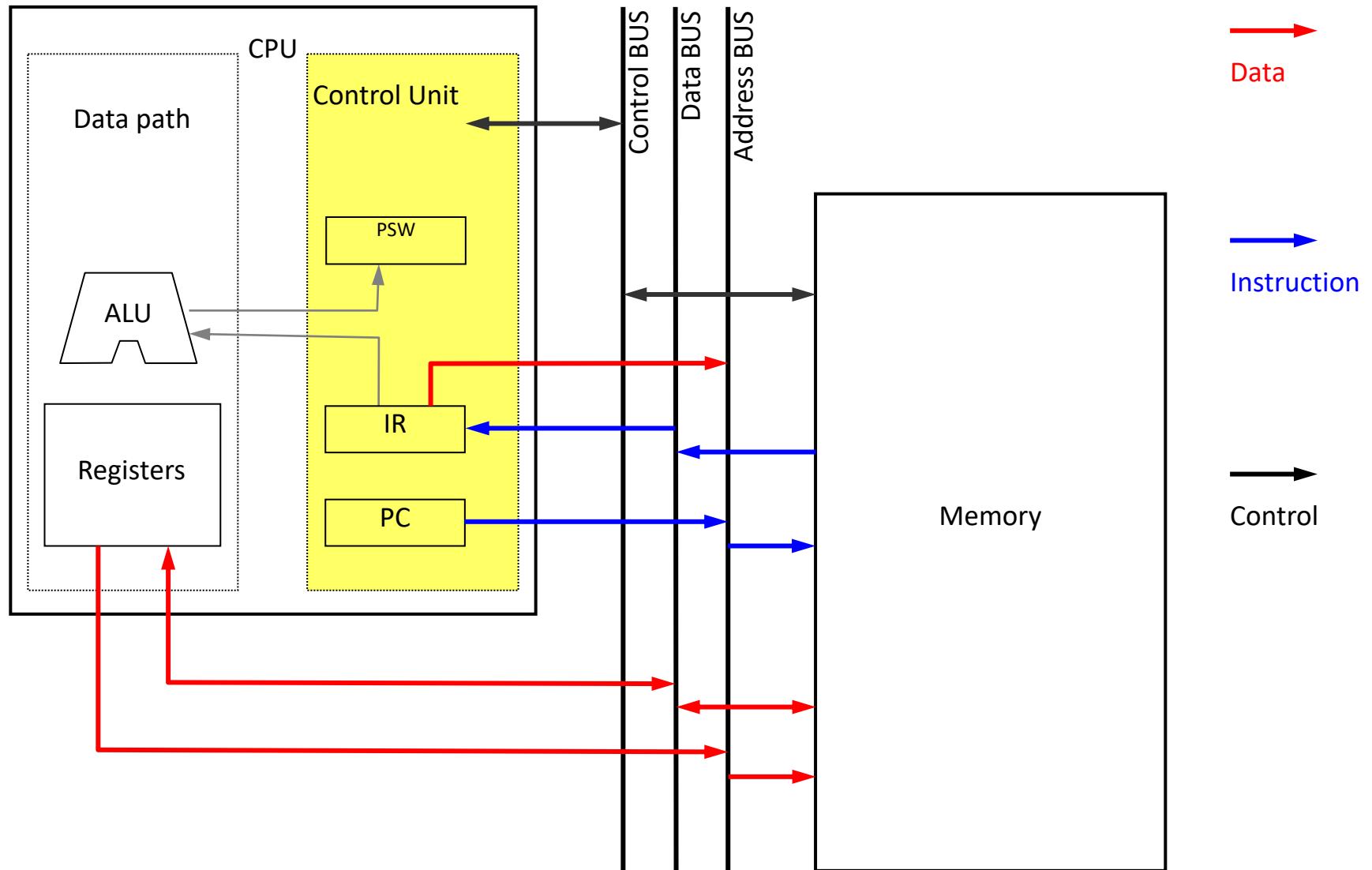
# Computing Infrastructure



# Computing Infrastructure



# Computing Infrastructure



# The Program...

...

$k=b+c+d;$

...



# The Program...

...

$k=b+c+d;$

...



# Breaking down performance

- A program is broken into instructions
  - Hardware is aware of instructions not programs
- At lower level hardware breaks instructions into clock cycles
  - Lower level state machines change state every cycle

For example

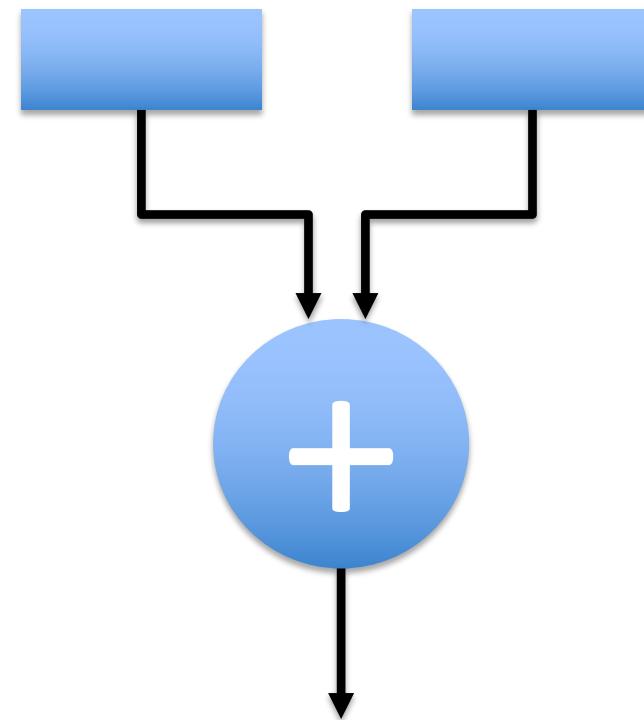
500 MHz P-III runs 500M cycles/sec, 1 cycle = 2 ns  
2 GHz P-IV runs 2G cycles/sec, 1 cycle = 0,5 ns

# The Program and the ISA

...

$k=b+c+d;$

...



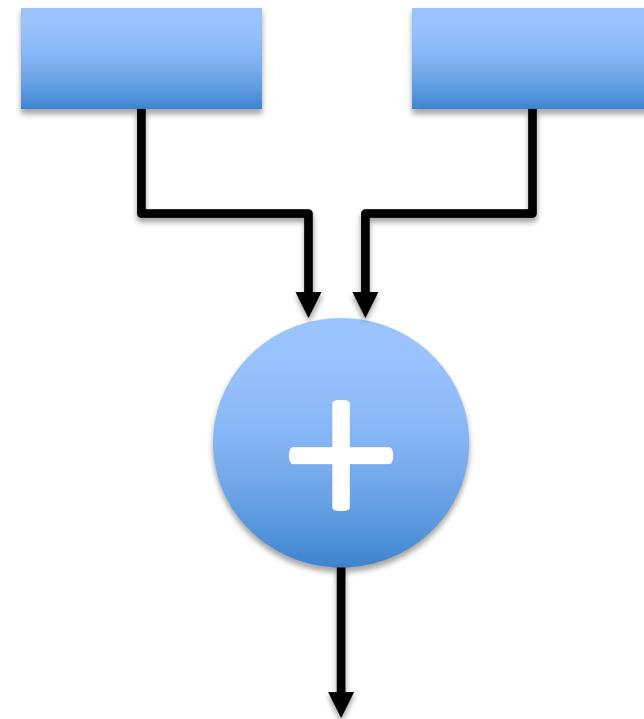
# The Program and the ISA

...

$k = b + c;$

$k = k + d;$

...



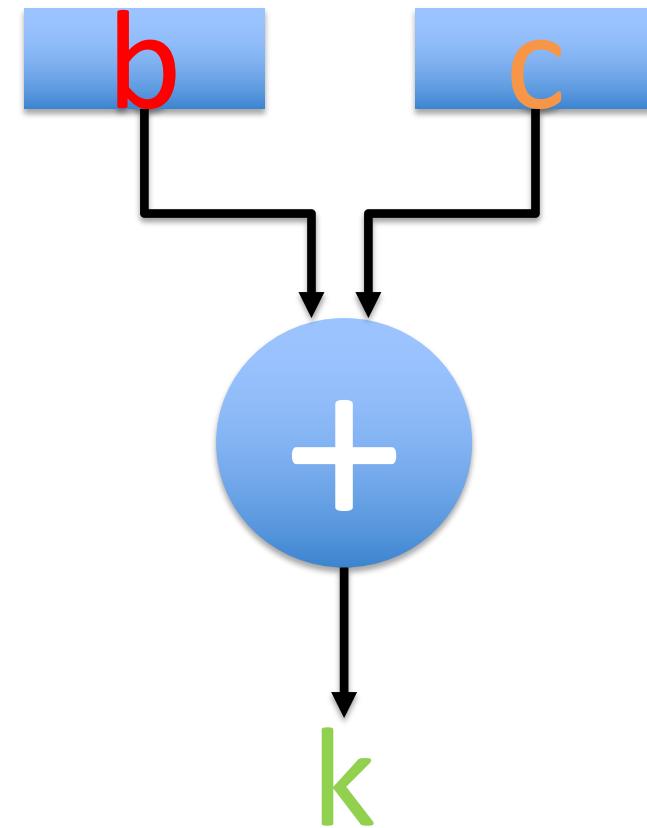
# The Program and the ISA

...

$k = b + c;$

$k = k + d;$

...



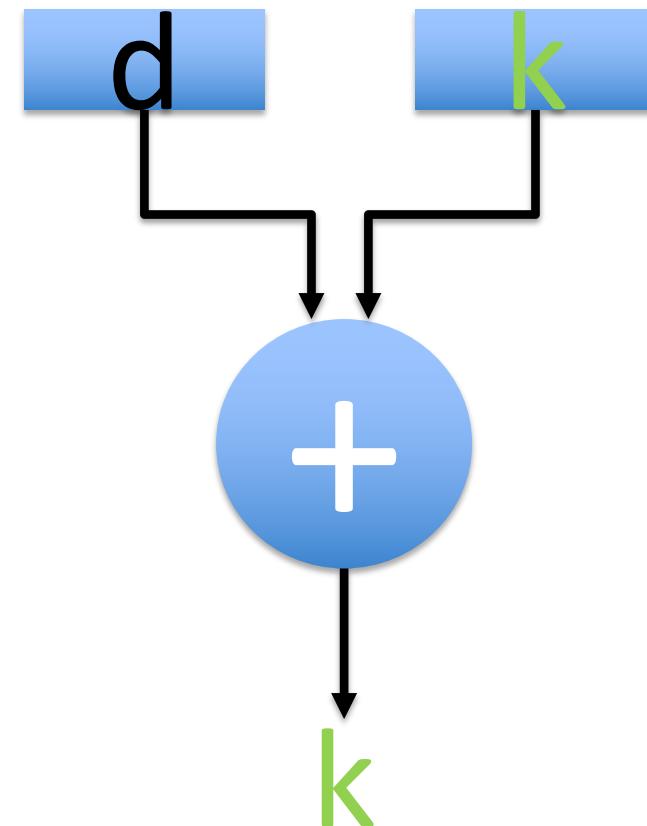
# The Program and the ISA

...

$k = b + c;$

$k = k + d;$

...



# The Instructions and the ISA

# Reduced Instruction Set of MIPS Processor

- ALU instructions:

add \$s1, \$s2, \$s3	# $\$s1 \leftarrow \$s2 + \$s3$
addi \$s1, \$s1, 4	# $\$s1 \leftarrow \$s1 + 4$

- Load/store instructions:

lw \$s1, offset (\$s2)	# $\$s1 \leftarrow M[\$s2+{\text{offset}}]$
sw \$s1, offset (\$s2)	$M[\$s2+{\text{offset}}] \leftarrow \$s1$

- Branch instructions to control the control flow of the program:

- Conditional branches: the branch is taken only if the condition is satisfied. Examples: beq (branch on equal) and bne (branch on not equal)

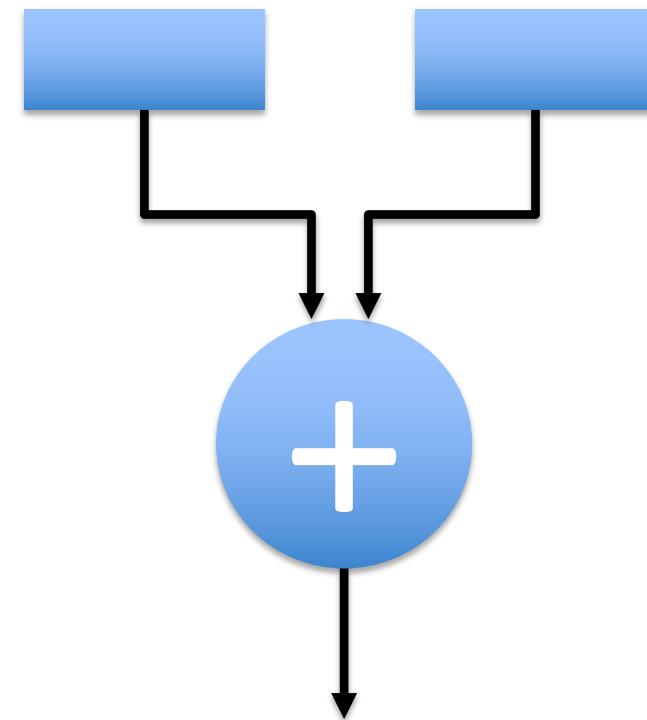
- beq \$s1, \$s2, L1 # go to L1 if ( $\$s1 == \$s2$ )
    - bne \$s1, \$s2, L1 # go to L1 if ( $\$s1 != \$s2$ )

- Unconditional jumps: the branch is always taken. Examples: j (jump) and jr (jump register)

- j L1 # go to L1
    - jr \$s1 # go to add. contained in \$s1

# The Instructions and the ISA

...	...	...	...	...
0789	load	R02,4000		
0790	load	R03,4004		
0791	add	R01,R02,R03		
0792	load	R02,4008		
0793	add	R01,R01,R02		
0794	store	R01,4000		
...	...	...	...	...



# The Instructions and the ISA

... ... ... ... ...

0789 load R02,4000

0790 load R03,4004

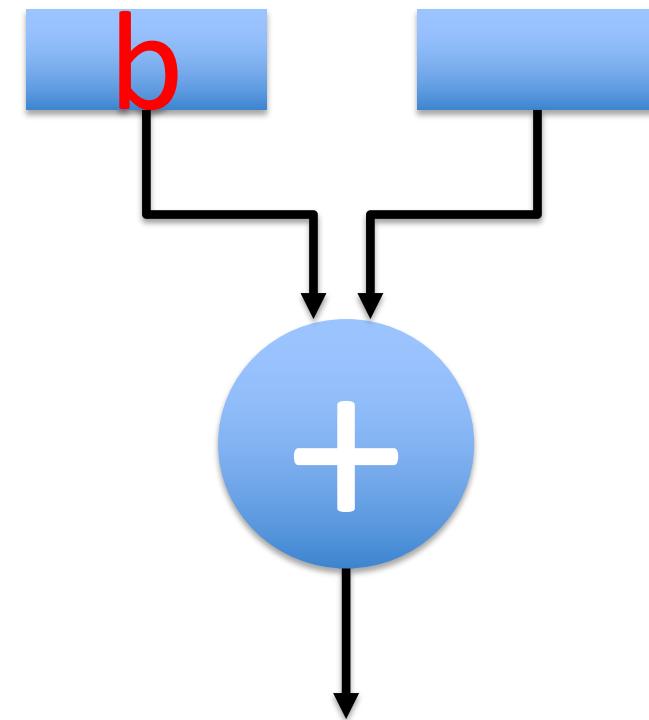
0791 add R01,R02,R03

0792 load R02,4008

0793 add R01,R01,R02

0794 store R01,4000

... ... ... ... ...



# The Instructions and the ISA

... ... ... ... ...

0789 load R02,4000

0790 load R03,4004

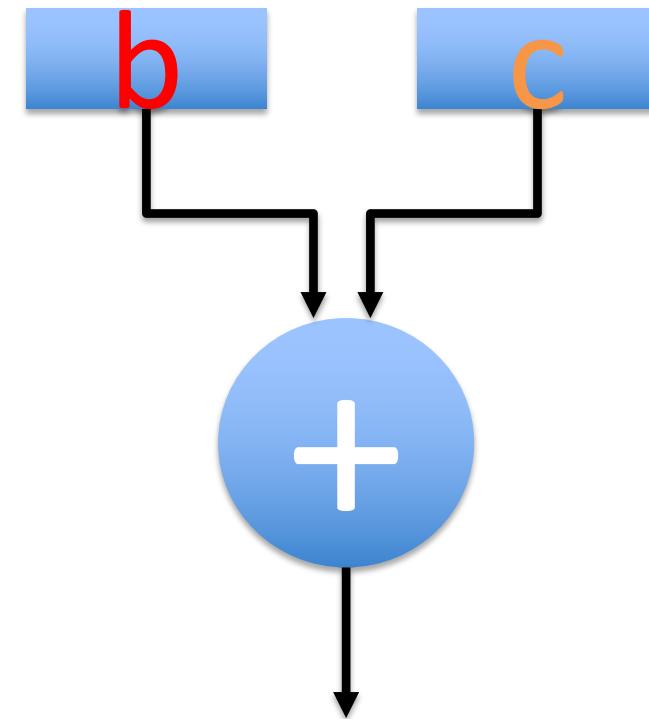
0791 add R01,R02,R03

0792 load R02,4008

0793 add R01,R01,R02

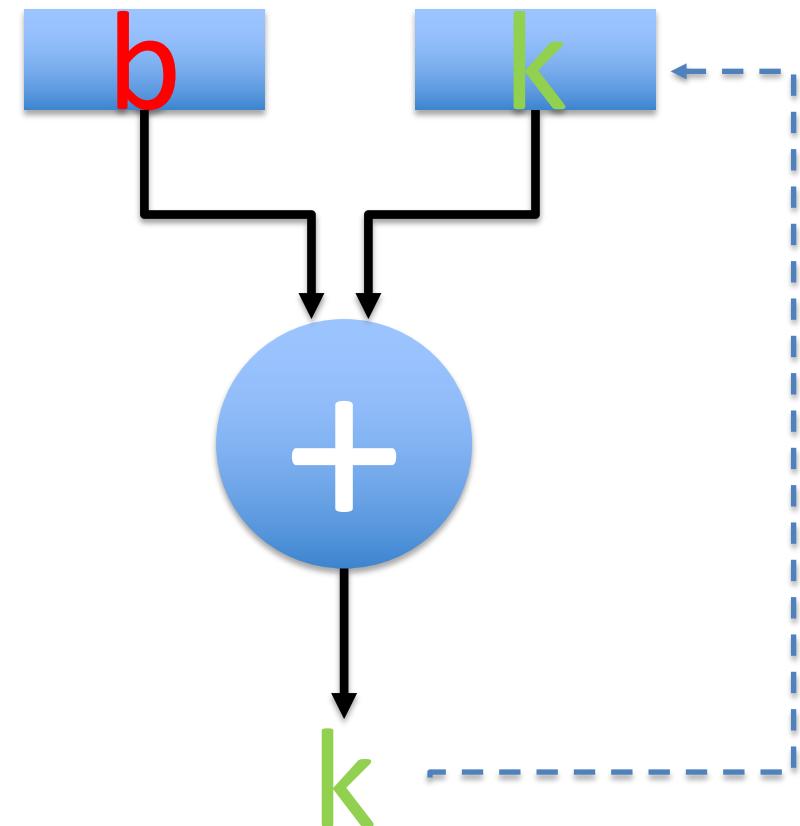
0794 store R01,4000

... ... ... ... ...



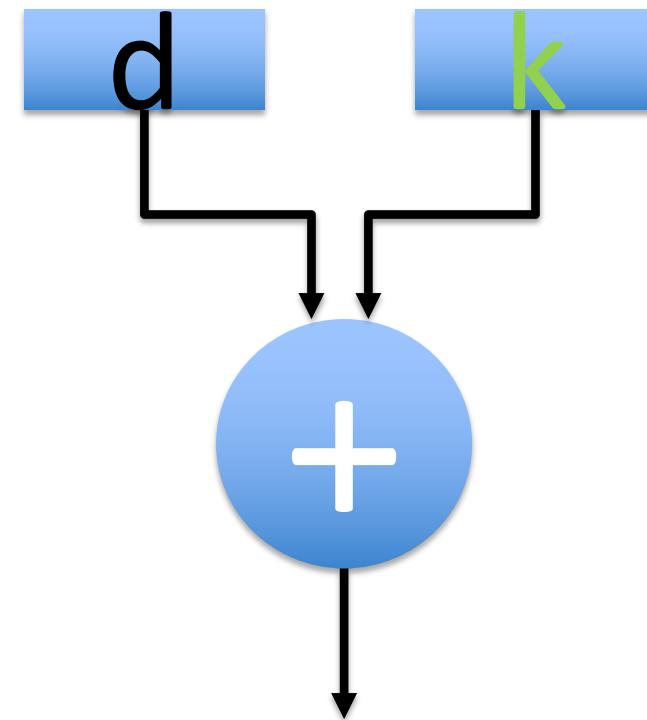
# The Instructions and the ISA

```
... ... ... ... ...  
0789 load R02,4000  
0790 load R03,4004  
0791 add R01,R02,R03  
0792 load R02,4008  
0793 add R01,R01,R02  
0794 store R01,4000  
... ... ... ... ...
```



# The Instructions and the ISA

```
... ... ... ... ...  
0789 load R02,4000  
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0791 add R01,R02,R03  
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... ... ... ... ...
```



# The Instructions and the ISA

... ... ... ... ...

0789 load R02,4000

0790 load R03,4004

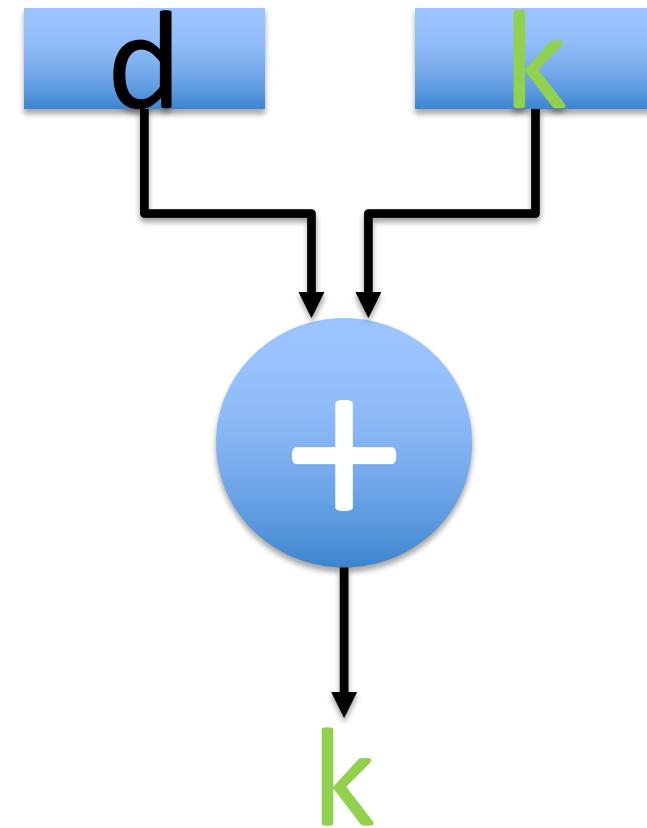
0791 add R01,R02,R03

0792 load R02,4008

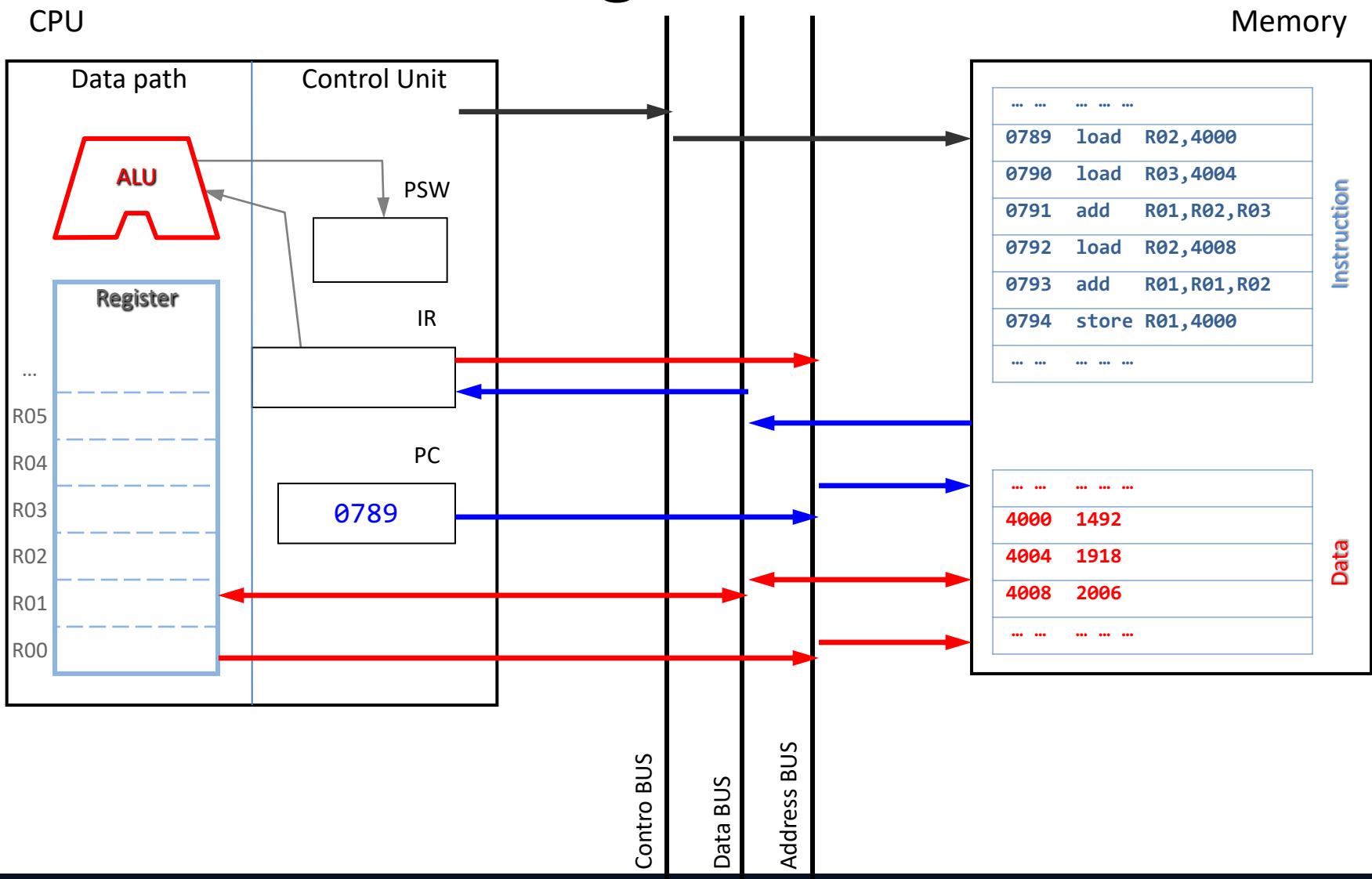
0793 add R01,R01,R02

0794 store R01,4000

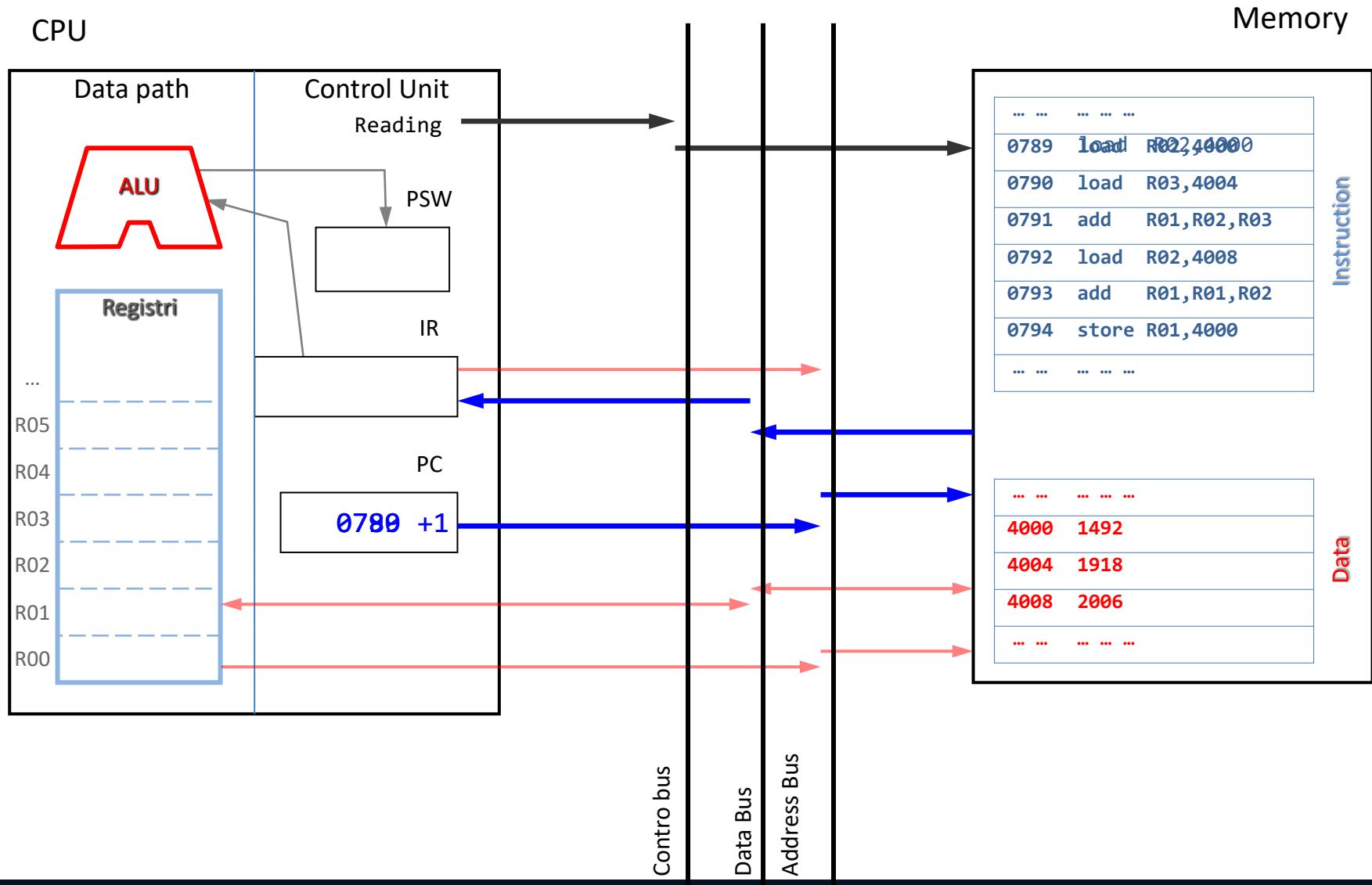
... ... ... ... ...



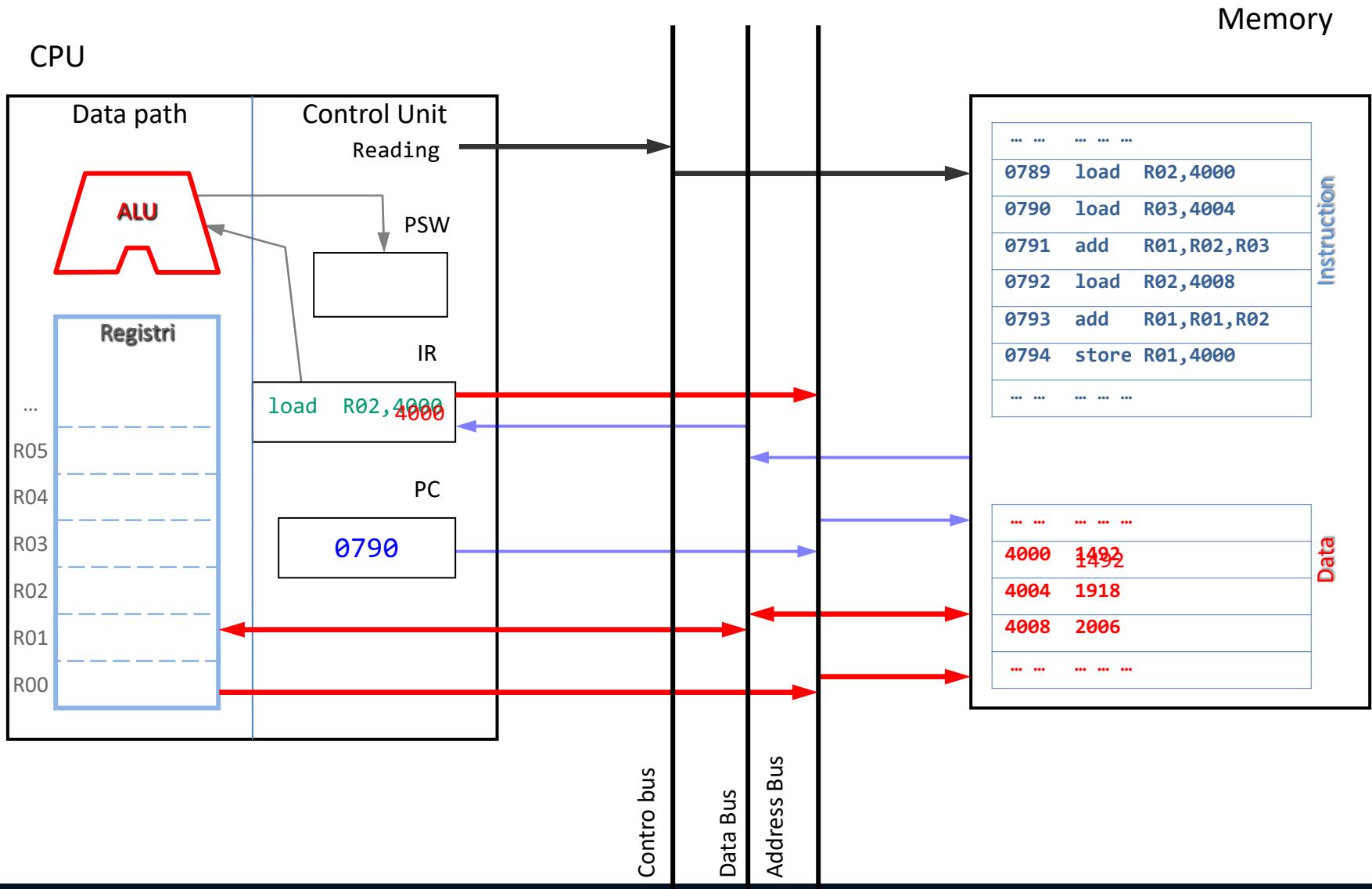
# Starting scenario



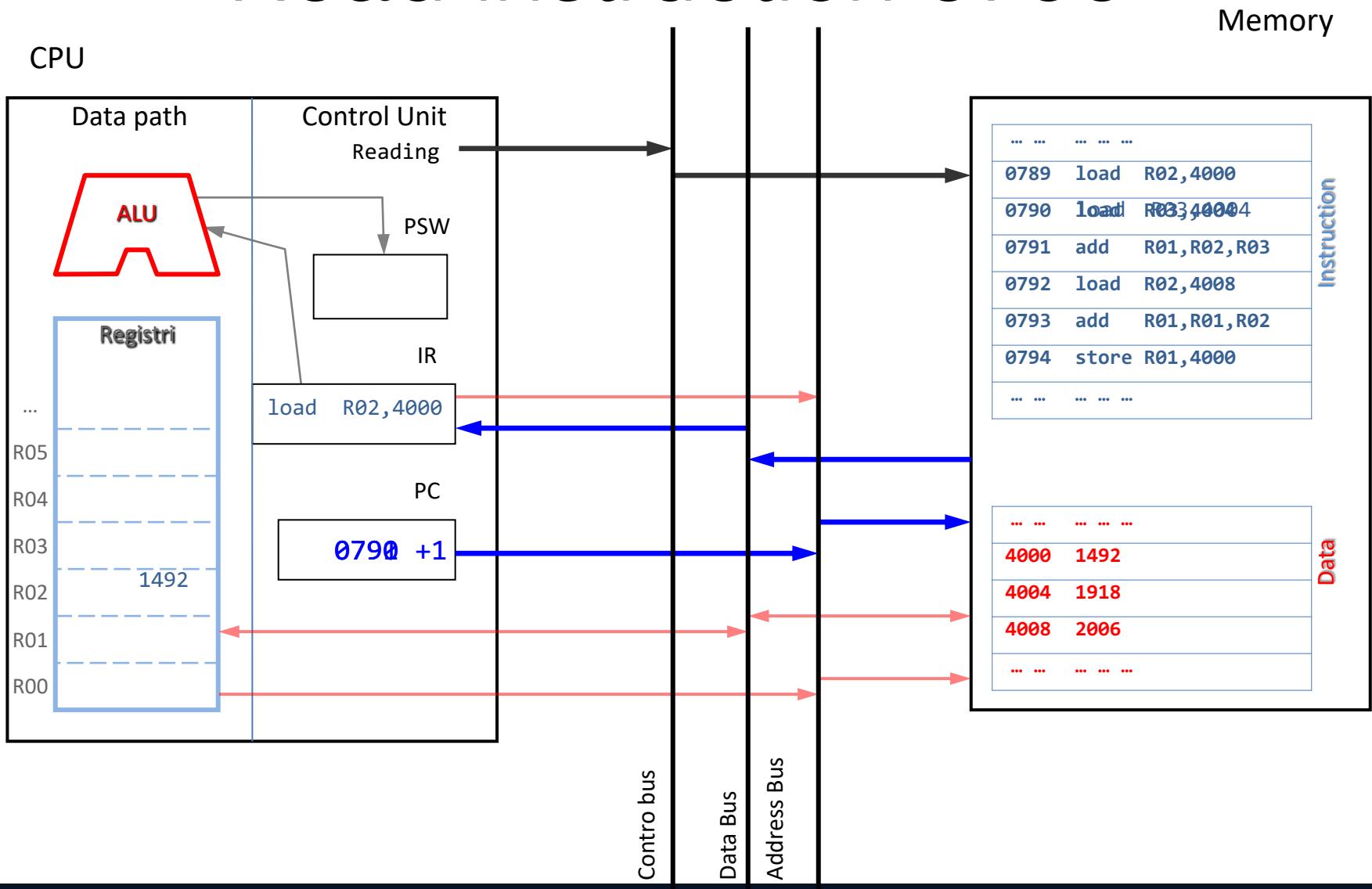
# Read Instruction 0789



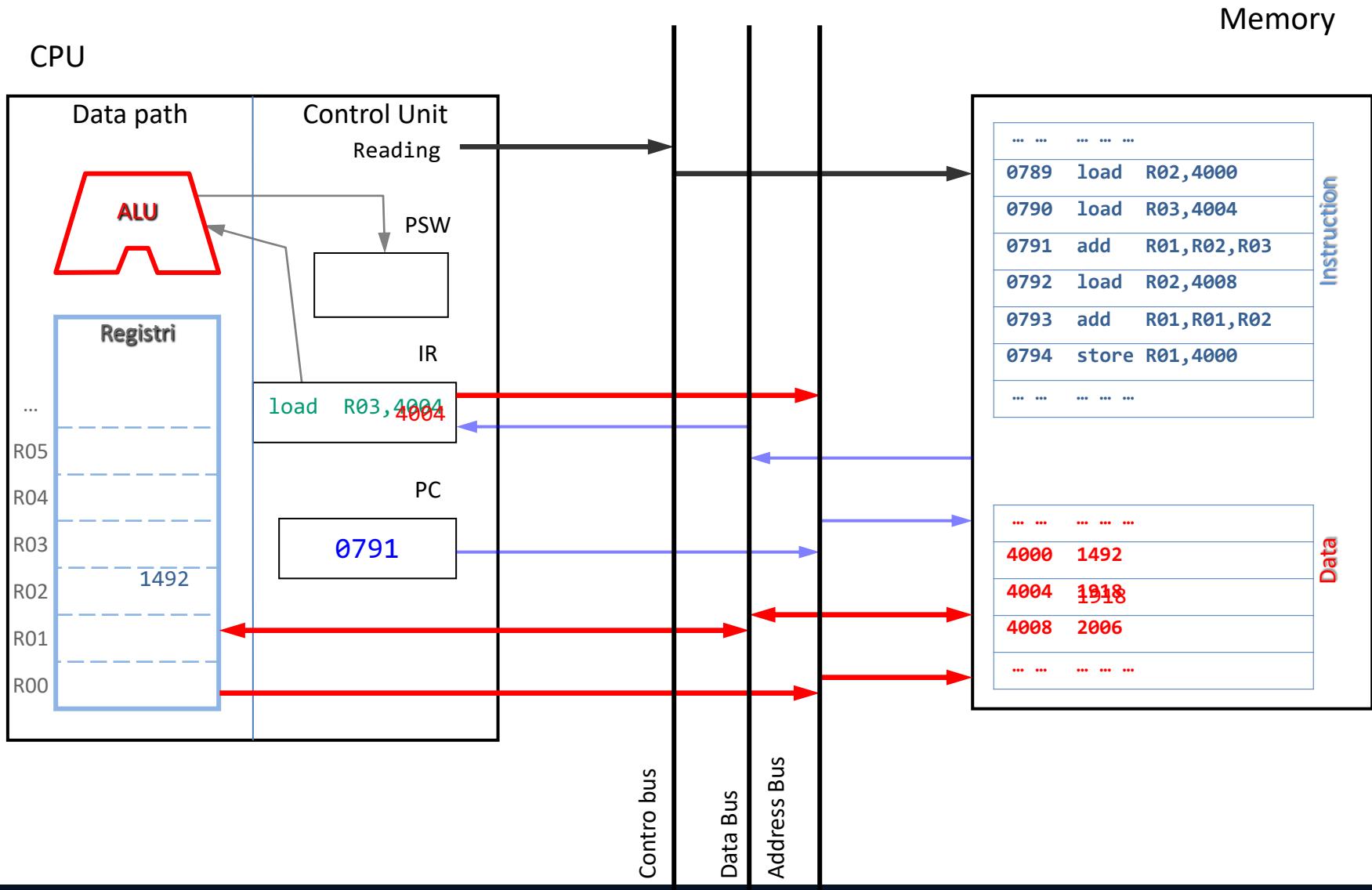
# Exe Instruction 0789



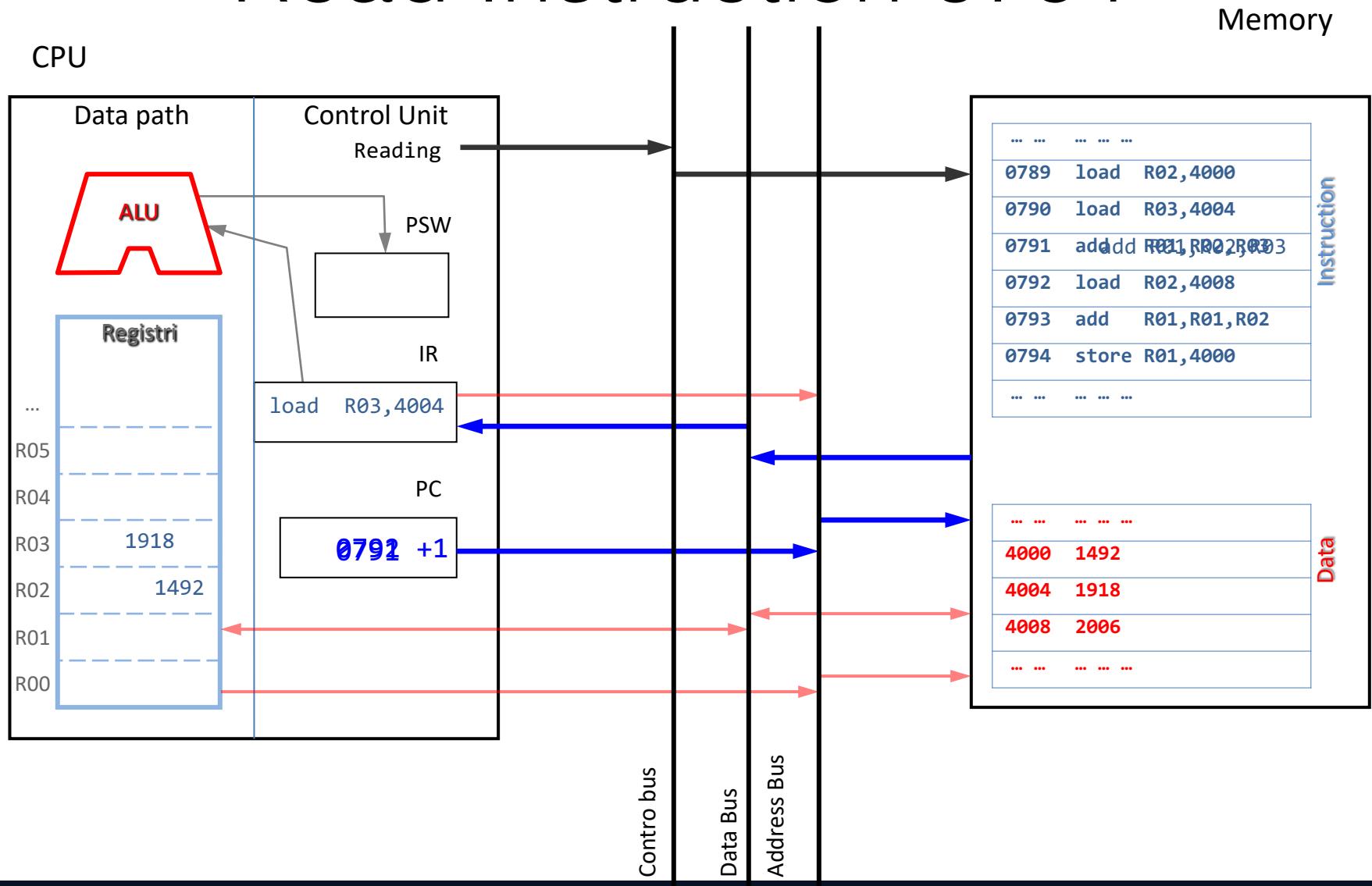
# Read instruction 0790



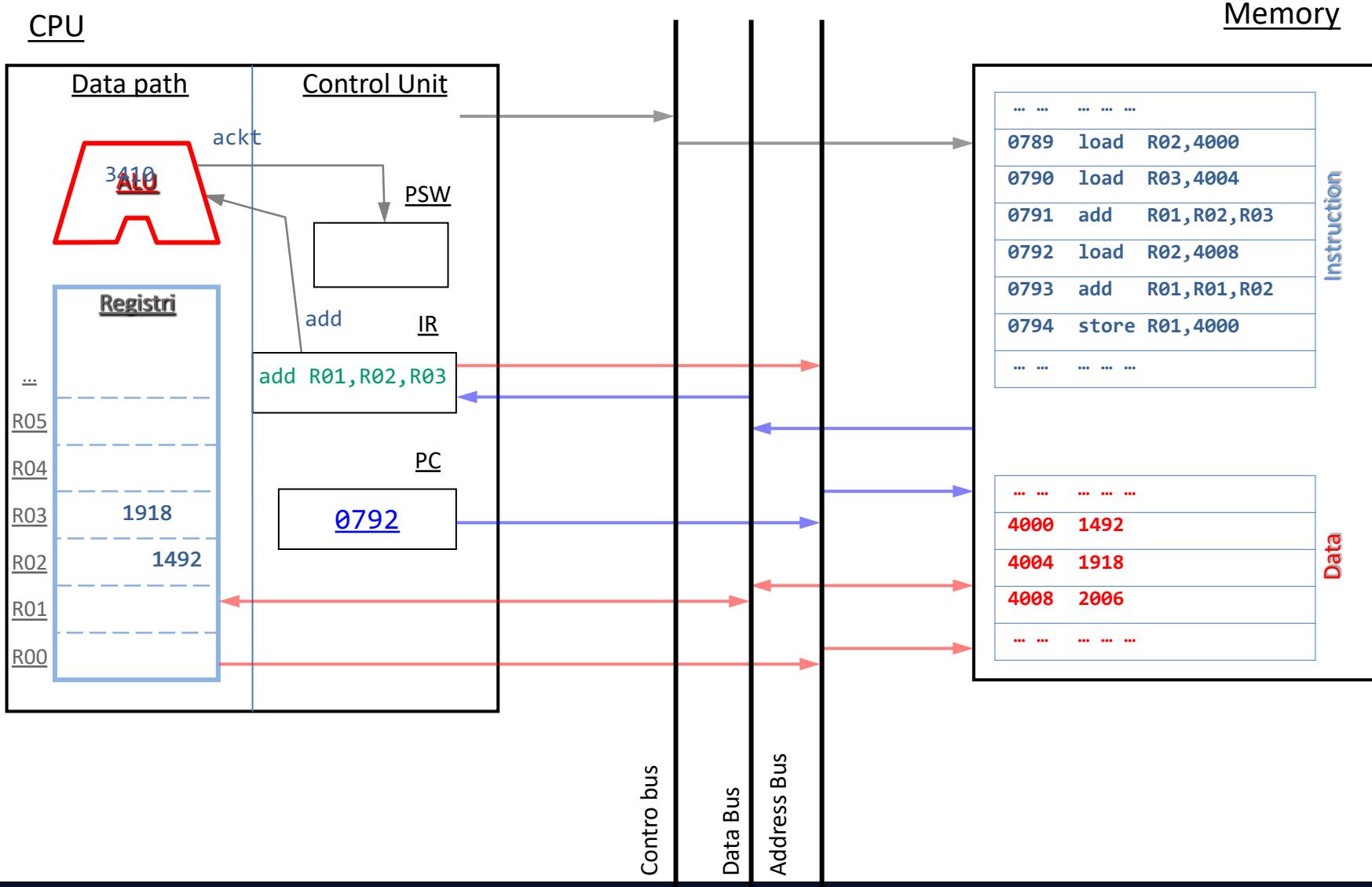
# Exe Instruction 0790



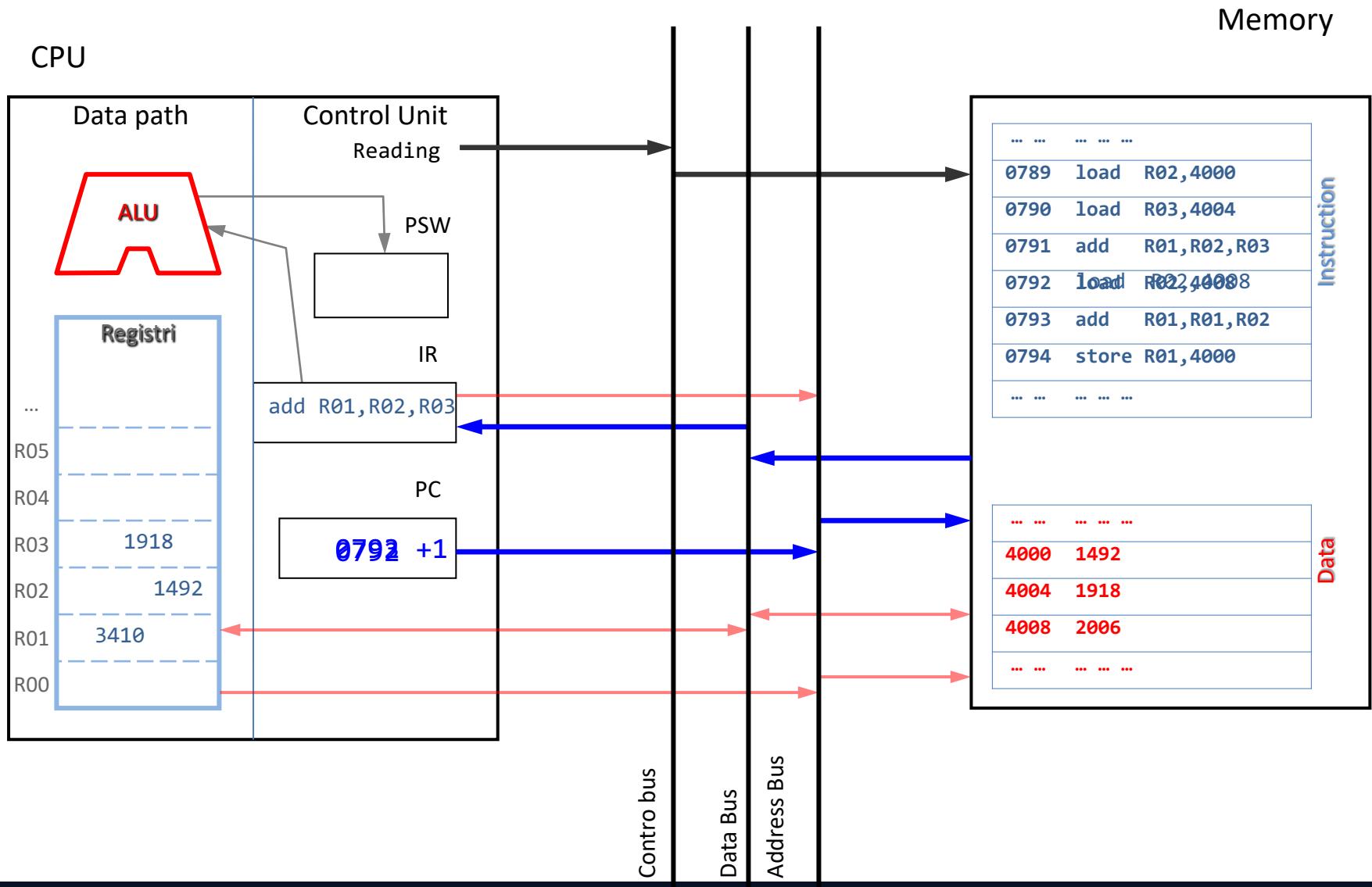
# Read Instruction 0791



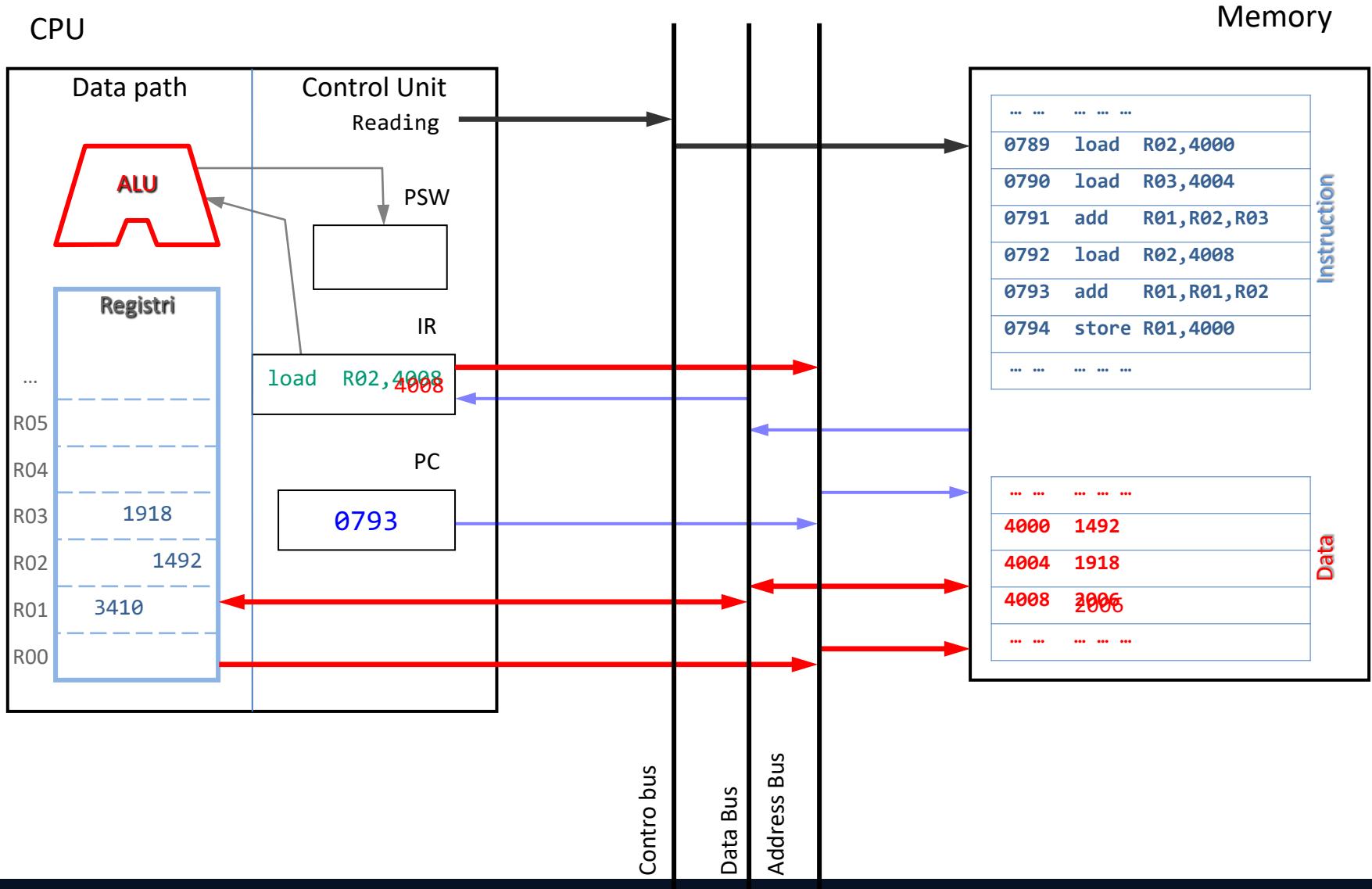
# Exe Instruction 0791



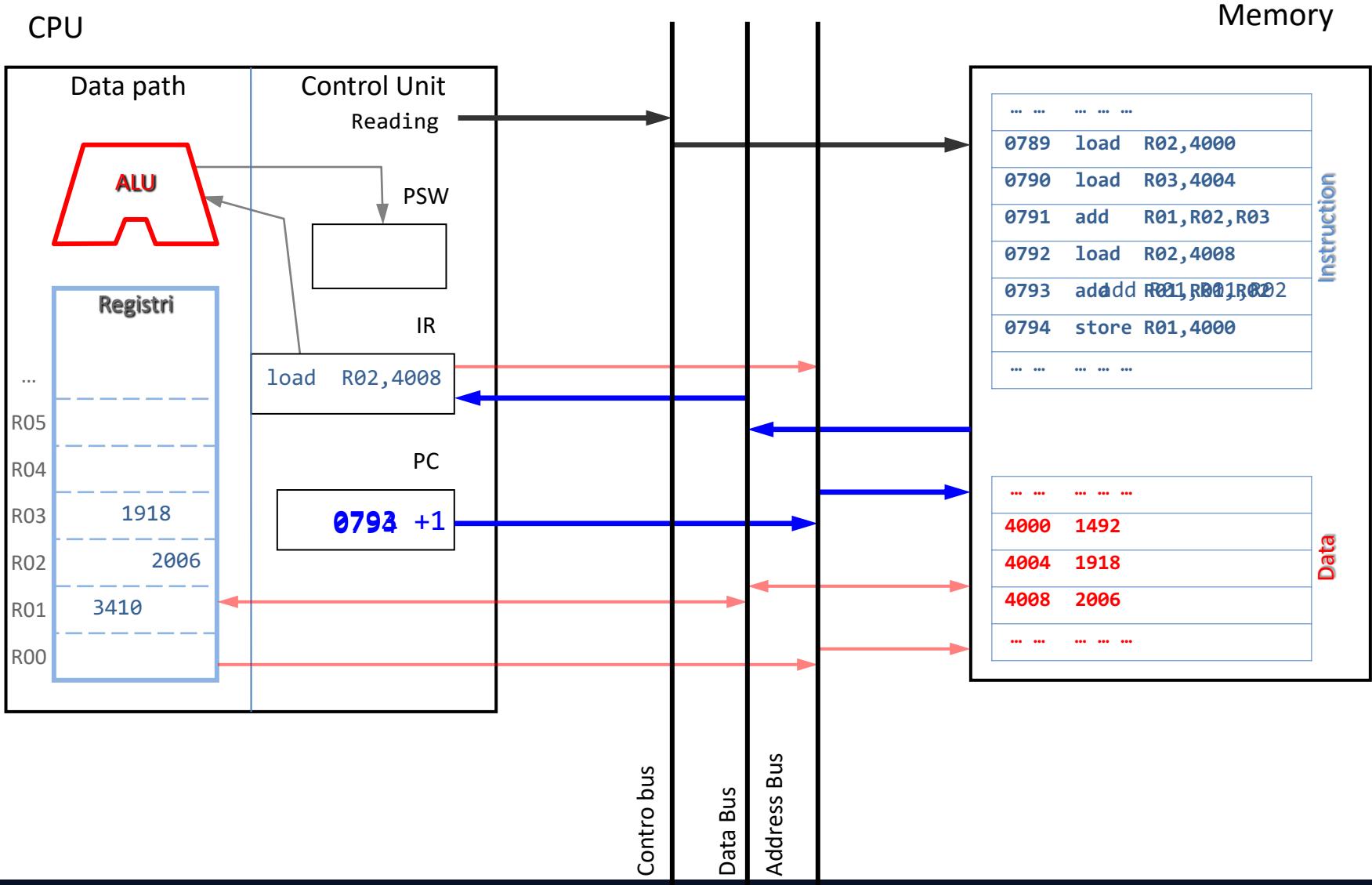
# Read Instruction 0792



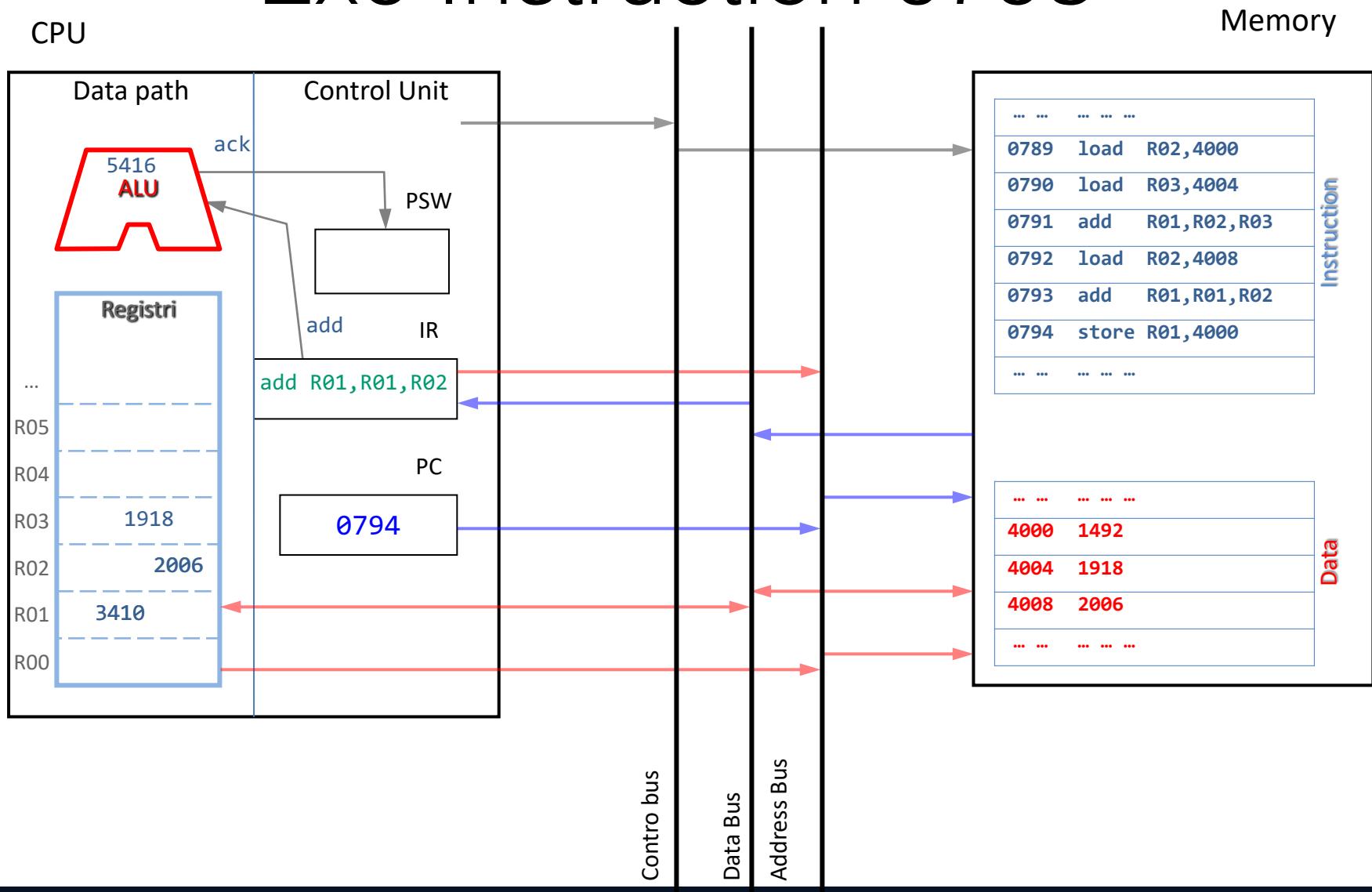
# Exe Instruction 0792



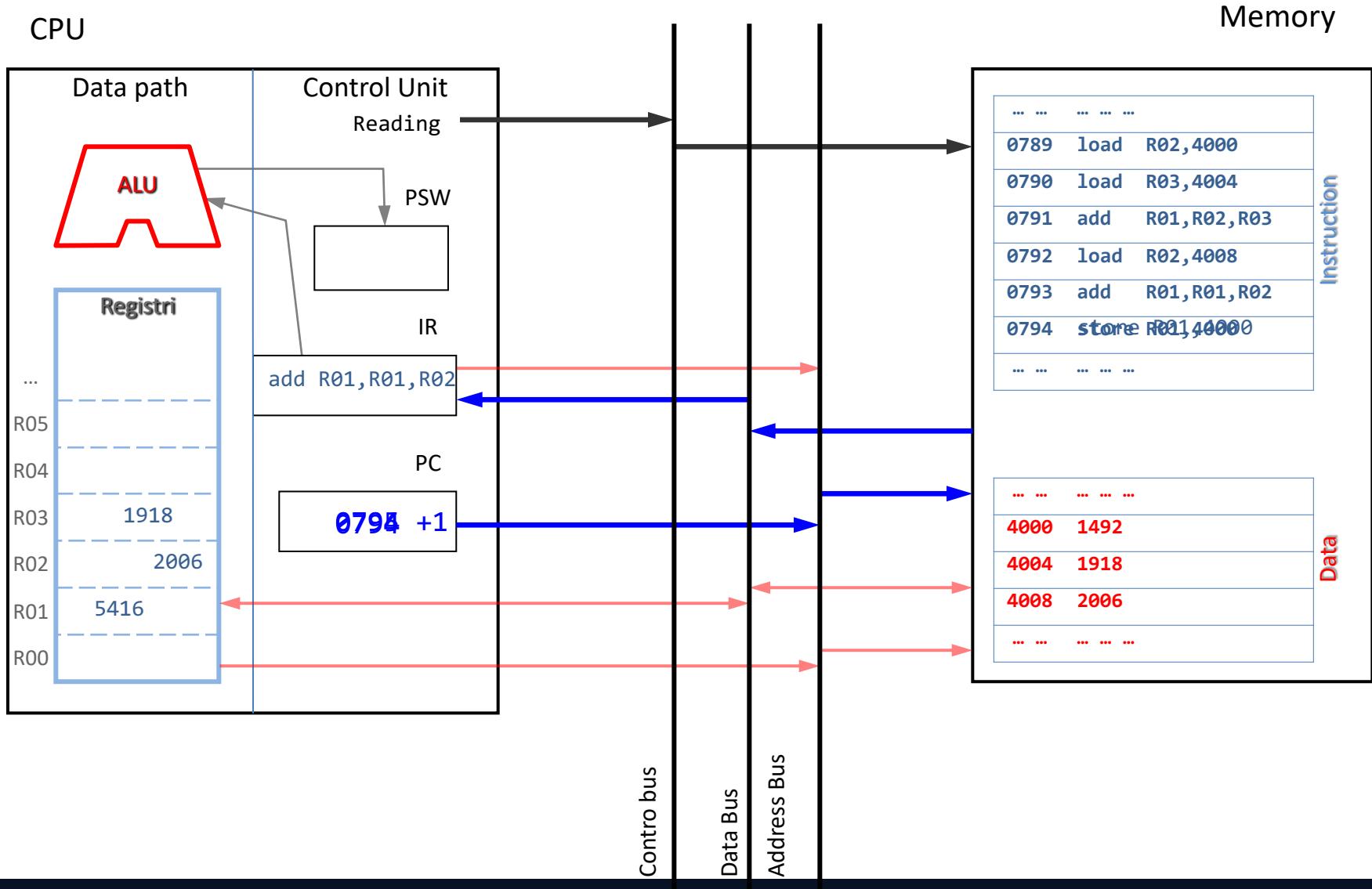
# Read Instruction 0793



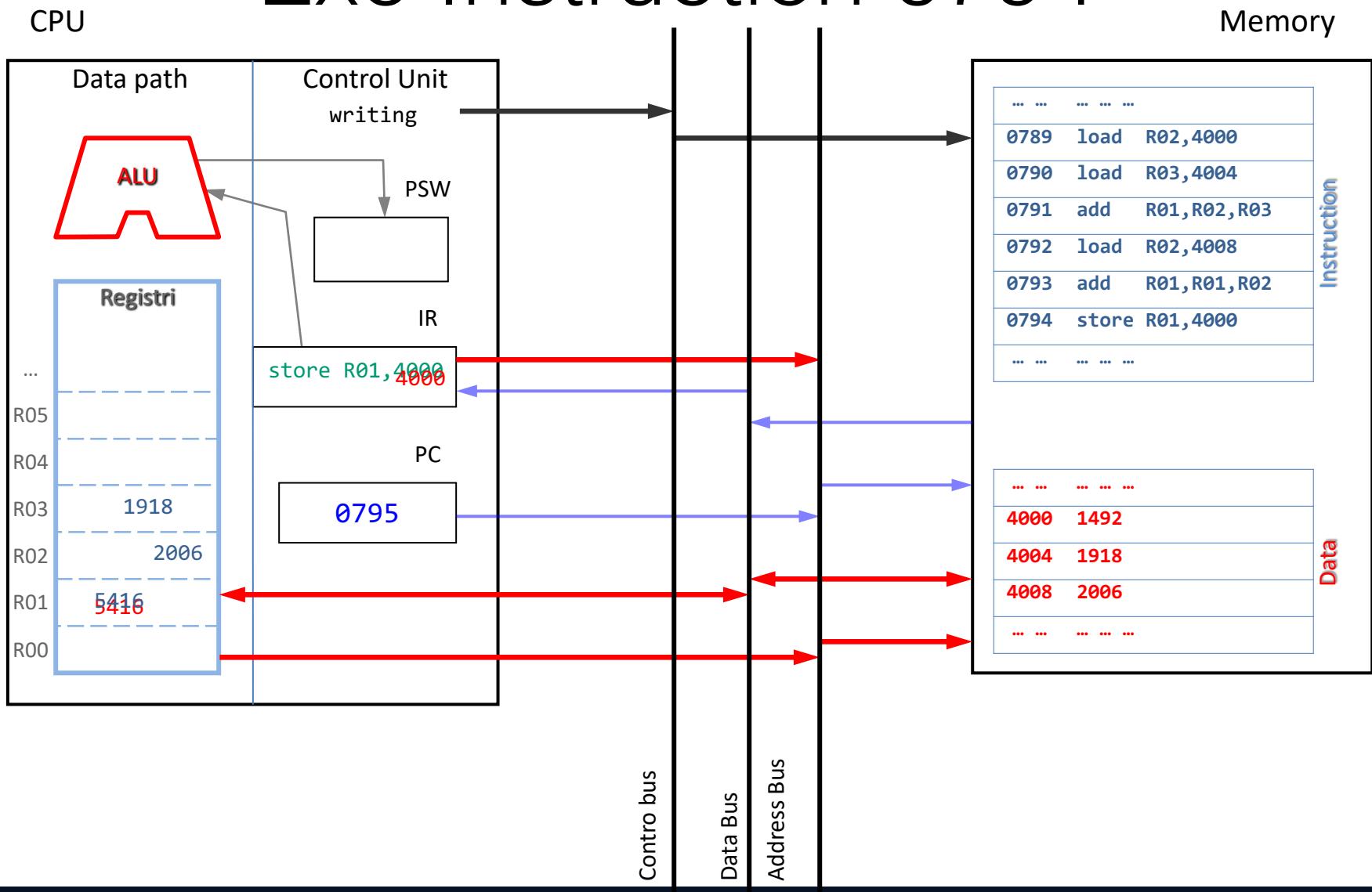
# Exe Instruction 0793



# Read Instruction 0794



# Exe Instruction 0794



# Reduced Instruction Set of MIPS Processor

- ALU instructions:

add \$s1, \$s2, \$s3	# \$s1 $\leftarrow$ \$s2 + \$s3
addi \$s1, \$s1, 4	# \$s1 $\leftarrow$ \$s1 + 4

- Load/store instructions:

lw \$s1, offset (\$s2)	# \$s1 $\leftarrow$ M[\$s2+offset]
sw \$s1, offset (\$s2)	M[\$s2+offset] $\leftarrow$ \$s1

- Branch instructions to control the control flow of the program:

- Conditional branches: the branch is taken only if the condition is satisfied. Examples: beq (branch on equal) and bne (branch on not equal)

- beq \$s1, \$s2, L1 # go to L1 if (\$s1 == \$s2)
    - bne \$s1, \$s2, L1 # go to L1 if (\$s1 != \$s2)

- Unconditional jumps: the branch is always taken. Examples: j (jump) and jr (jump register)

- j L1 # go to L1
    - jr \$s1 # go to add. contained in \$s1

# Execution of MIPS Instructions

**ALU Instructions:  $op \ \$x, \$y, \$z$**

Instr. Fetch & PC Increm.	Read of Source Regs. \$y and \$z	ALU OP (\$y op \$z)	Write Back of Destinat. Reg. \$x
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**Load Instructions:  $lw \ \$x, offset(\$y)$**

Instr. Fetch & PC Increm.	Read of Base Reg. \$y	ALU Op. (\$y+offset)	Read Mem. $M(\$y+offset)$	Write Back of Destinat. Reg. \$x
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**Store Instructions:  $sw \ \$x, offset(\$y)$**

Instr. Fetch & PC Increm.	Read of Base Reg. \$y & Source \$x	ALU Op. (\$y+offset)	Write Mem. $M(\$y+offset)$
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**Conditional Branch:  $beq \ \$x, \$y, offset$**

Instr. Fetch & PC Increm.	Read of Source Regs. \$x and \$y	ALU Op. (\$x-\$y) & (PC+4+offset)	Write PC
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# Execution of MIPS Instructions

Every instruction in the MIPS subset can be implemented in at most 5 clock cycles as follows:

- Instruction Fetch Cycle:
  - Send the content of Program Counter register to Instruction Memory and fetch the current instruction from Instruction Memory.  
Update the PC to the next sequential address by adding 4 to the PC (since each instruction is 4 bytes).
- Instruction Decode and Register Read Cycle
  - Decode the current instruction (fixed-field decoding) and read from the Register File of one or two registers corresponding to the registers specified in the instruction fields.
  - Sign-extension of the offset field of the instruction in case it is needed.

# Execution of MIPS instructions

- Execution Cycle

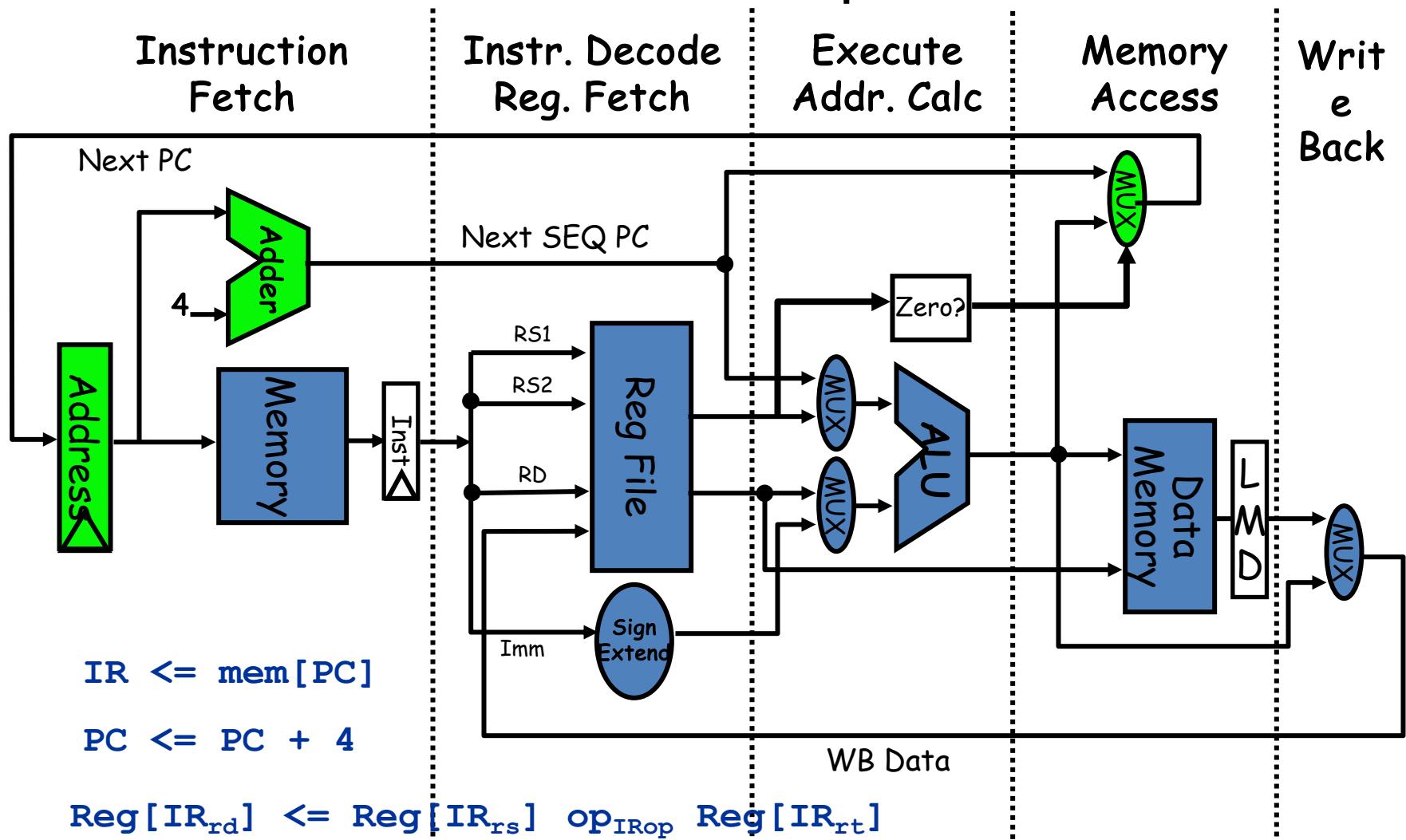
The ALU operates on the operands prepared in the previous cycle depending on the instruction type:

- Register-Register ALU Instructions:
  - ALU executes the specified operation on the operands read from the RF
- Register-Immediate ALU Instructions:
  - ALU executes the specified operation on the first operand read from the RF and the sign-extended immediate operand
- Memory Reference:
  - ALU adds the base register and the offset to calculate the effective address.
- Conditional branches:
  - Compare the two registers read from RF and compute the possible branch target address by adding the sign-extended offset to the incremented PC.

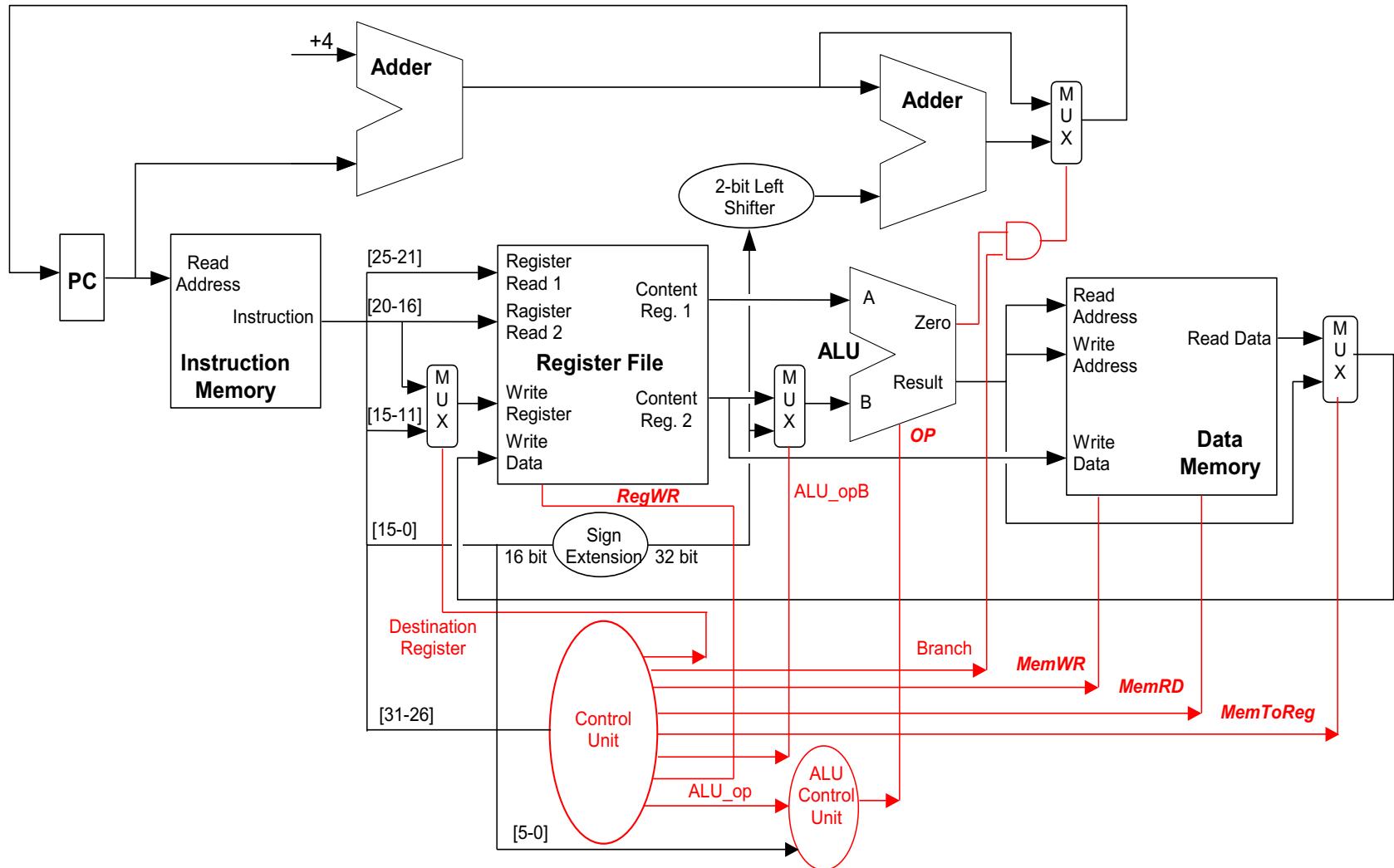
# Execution of MIPS instructions

- Memory Access (ME)
  - Load instructions require a read access to the Data Memory using the effective address
  - Store instructions require a write access to the Data Memory using the effective address to write the data from the source register read from the RF
  - Conditional branches can update the content of the PC with the branch target address, if the conditional test yielded true.
- Write-Back Cycle (WB)
  - Load instructions write the data read from memory in the destination register of the RF
  - ALU instructions write the ALU results into the destination register of the RF.

# MIPS Data path



# Implementation of MIPS data path with Control Unit



# Instructions Latency

Instruction Type	Instruct. Mem.	Register Read	ALU Op.	Data Memory	Write Back	Total Latency
ALU Instr.	2	1	2	0	1	6 ns
Load	2	1	2	2	1	8 ns
Store	2	1	2	2	0	7 ns
Cond. Branch	2	1	2	0	0	5 ns
Jump	2	0	0	0	0	2 ns

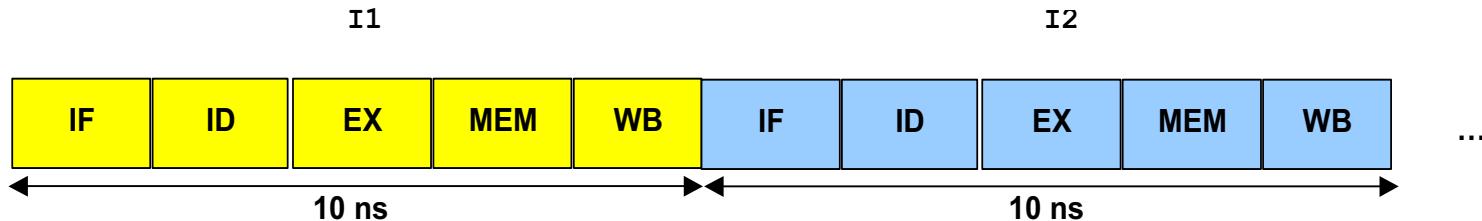
# Single-cycle Implementation of MIPS

- The length of the clock cycle is defined by the critical path given by the load instruction:  $T = 8 \text{ ns}$  ( $f = 125 \text{ MHz}$ ).
- We assume each instruction is executed in a single clock cycle
  - Each module must be used once in a clock cycle
  - The modules used more than once in a cycle must be duplicated.
- We need an Instruction Memory separated from the Data Memory.
- Some modules must be duplicated, while other modules must be shared from different instruction flows
- To share a module between two different instructions, we need a multiplexer to enable multiple inputs to a module and select one of different inputs based on the configuration of control lines.

# Multi-cycle Implementation

- The instruction execution is distributed on multiple cycles (5 cycles for MIPS)
- The basic cycle is smaller ( $2 \text{ ns} \Rightarrow \text{instruction latency} = 10 \text{ ns}$ )
- Implementation of multi-cycle CPU:
  - Each phase of the instruction execution requires a clock cycle
  - Each module can be used more than once per instruction in different clock cycles: possible sharing of modules
  - We need internal registers to store the values to be used in the next clock cycles.

# Sequential Execution



# Once more...



# Response time vs throughput



Time: 0

Station 1

Station 2

Station 3

Station 4

Station 5

2'

2'

2'

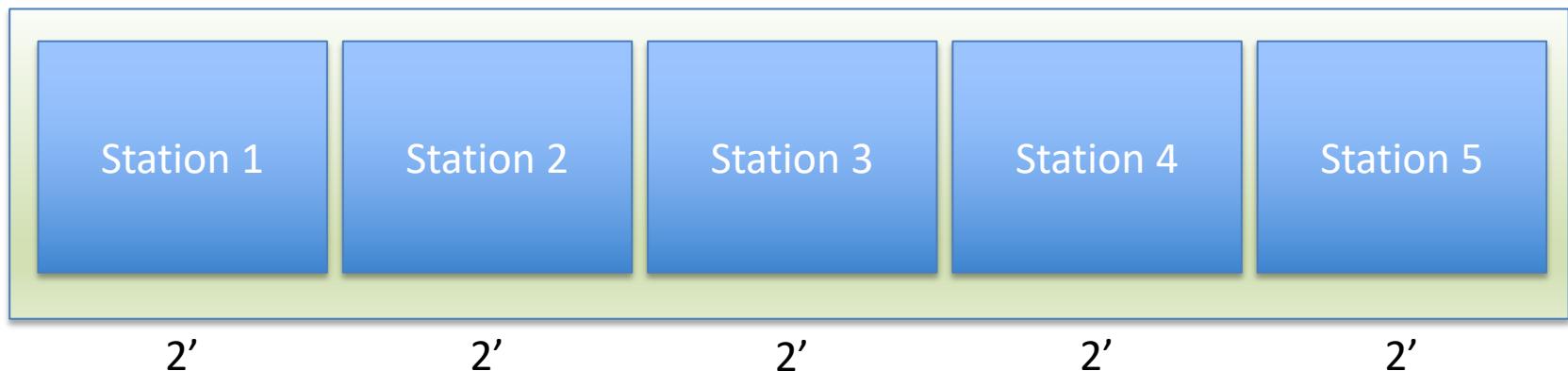
2'

2'

# Sequential Execution



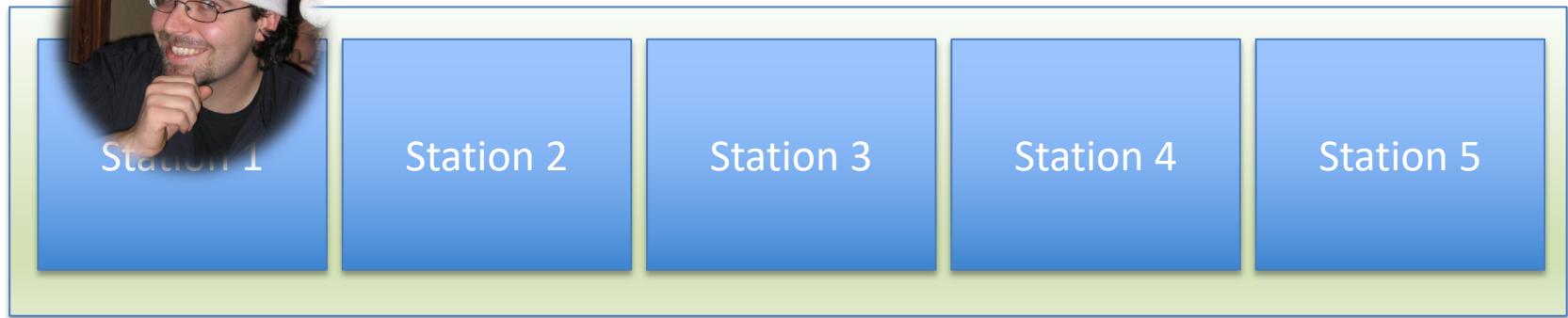
Time: 0



# Sequential Execution



Time: 0



2'

2'

2'

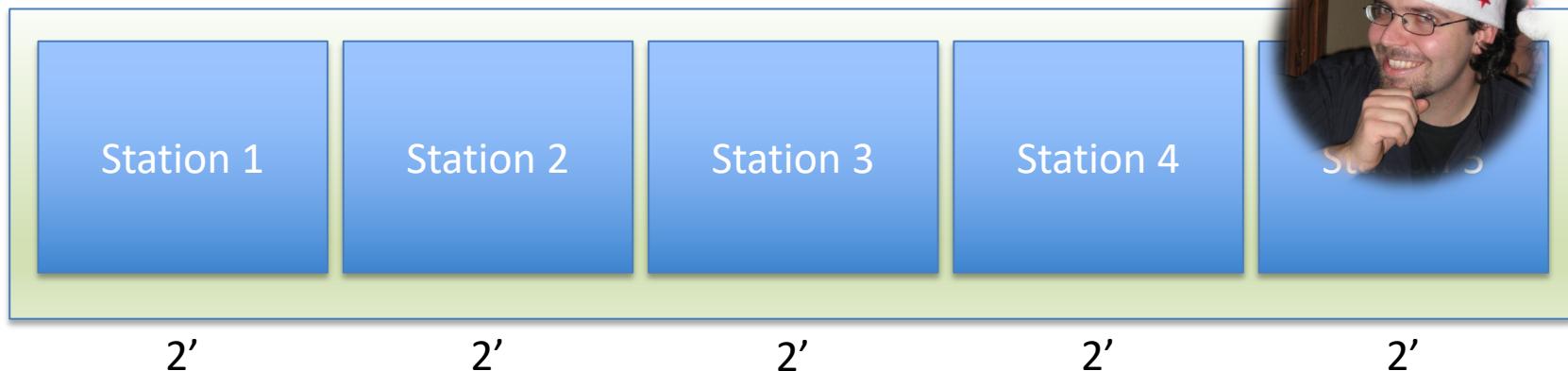
2'

2'

# Sequential Execution



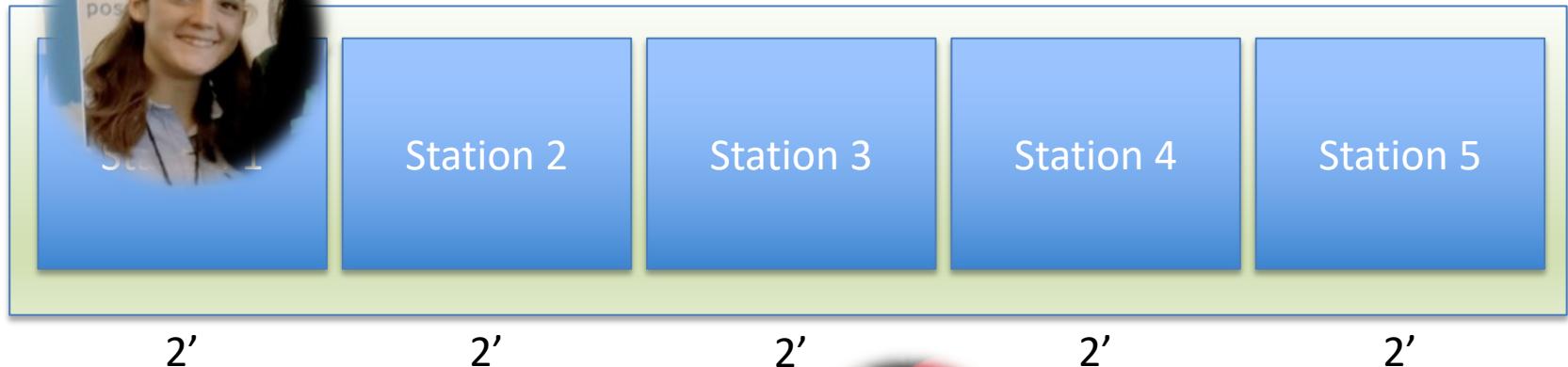
Time: 10



# Sequential Execution



Time: 12



Served:



# Sequential Execution



Time: 20



2'

2'

2'

2'

2'

Served:



# Sequential Execution



Time: 22



2'

2'

2'

2'

2'

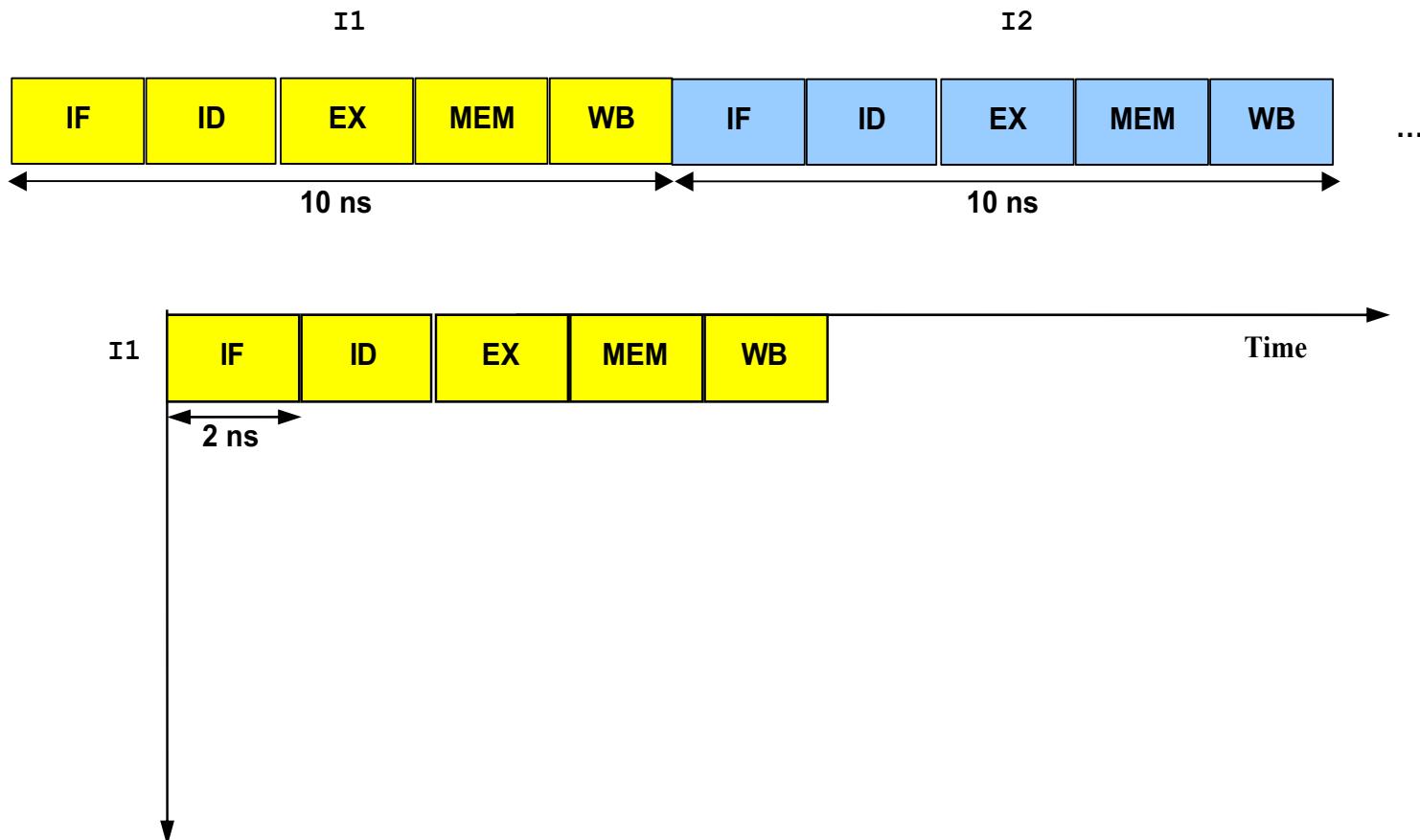
Served:



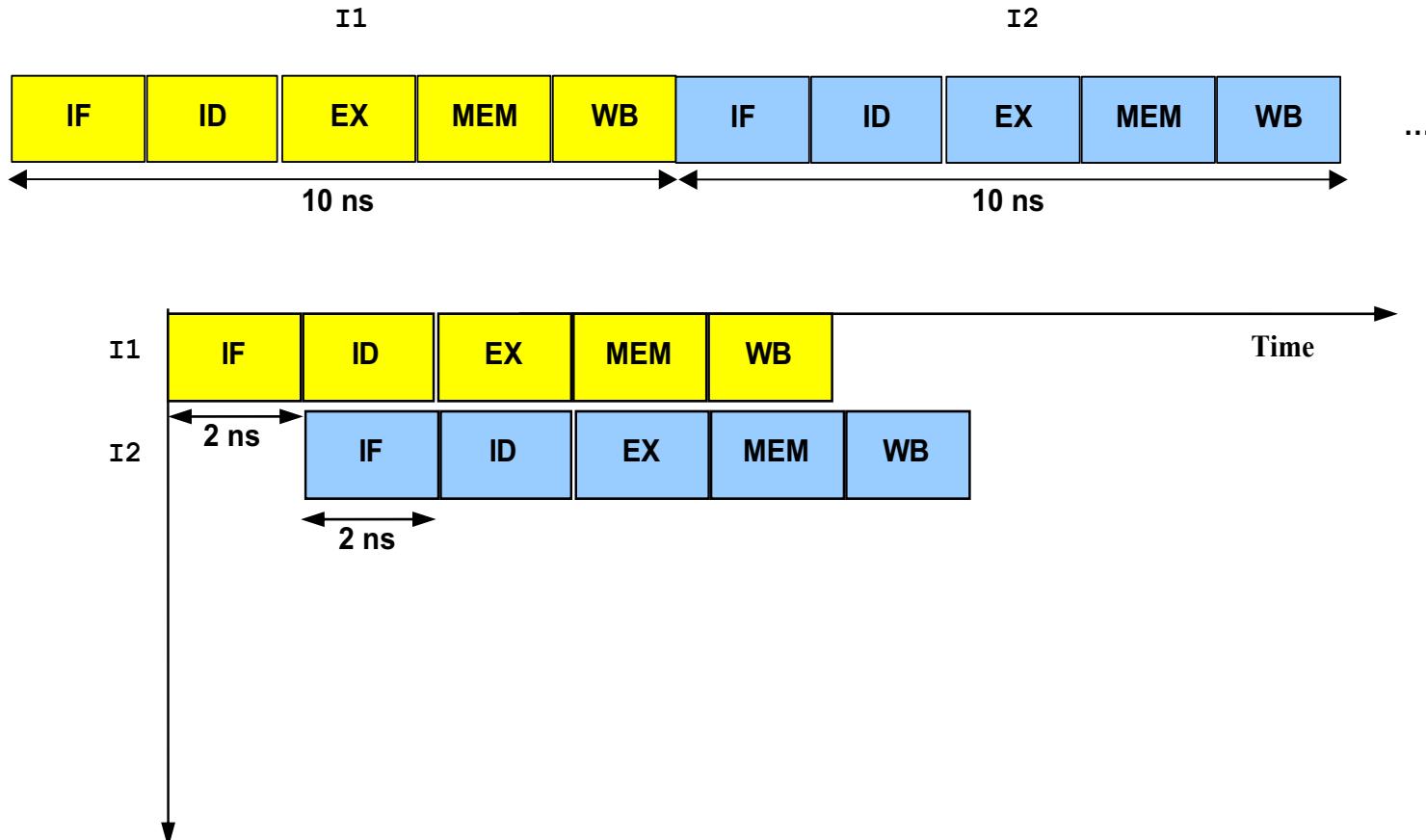
# Pipelining

- Performance optimization technique based on the overlap of the execution of multiple instructions deriving from a sequential execution flow.
- Pipelining exploits the parallelism among instructions in a sequential instruction stream.
- Basic idea:  
The execution of an instruction is divided into different phases (pipelines stages), requiring a fraction of the time necessary to complete the instruction.
- The stages are connected one to the next to form the pipeline: instructions enter in the pipeline at one end, progress through the stages, and exit from the other end, as in an assembly line.

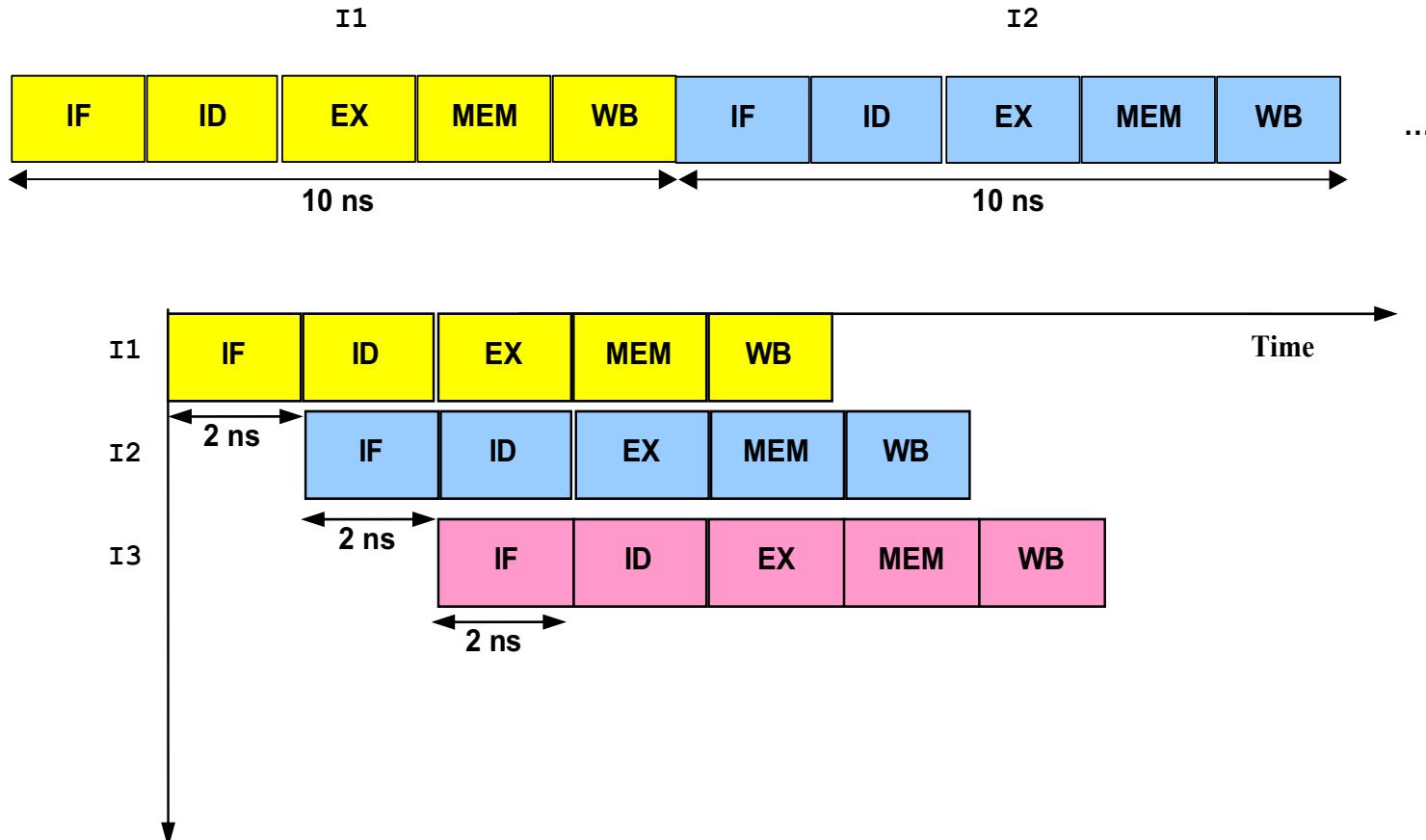
# Sequential vs. Pipelining Execution



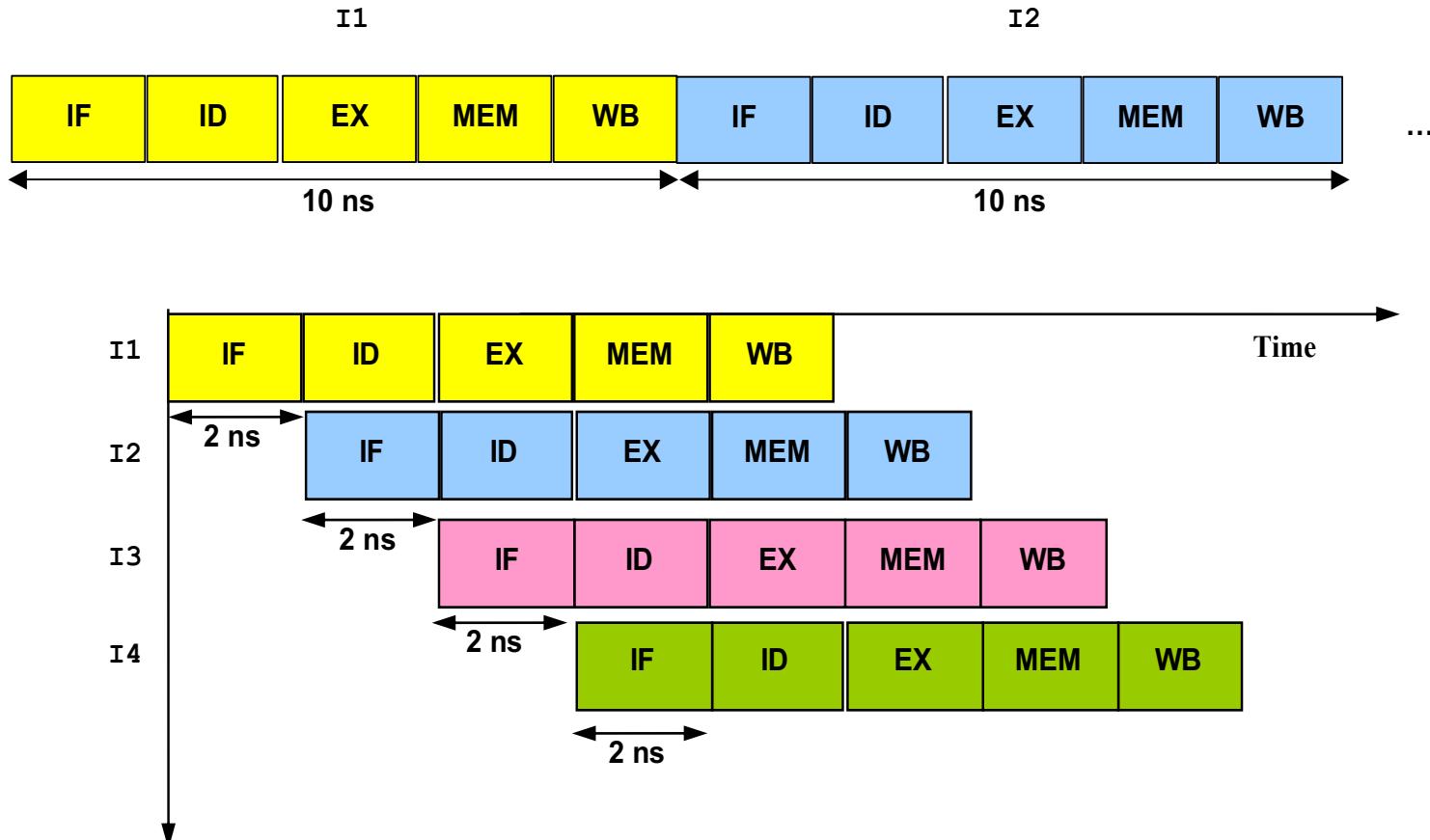
# Sequential vs. Pipelining Execution



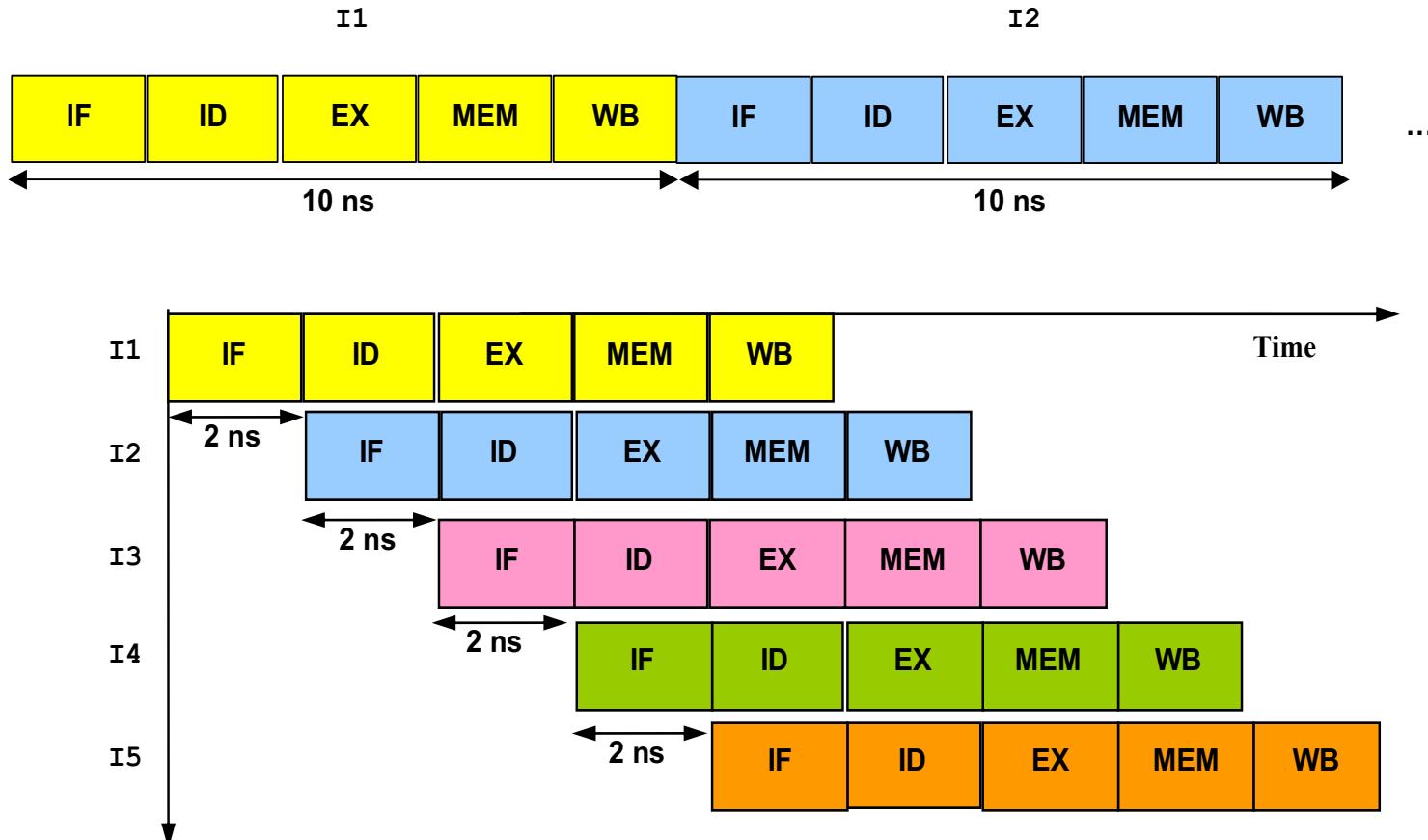
# Sequential vs. Pipelining Execution



# Sequential vs. Pipelining Execution



# Sequential vs. Pipelining Execution



# Pipelining

- The time to advance the instruction of one stage in the pipeline corresponds to a clock cycle.
- The pipeline stages must be synchronized: the duration of a clock cycle is defined by the time requested by the slower stage of the pipeline (i.e. 2 ns).
- The goal is to balance the length of each pipeline stage
- If the stages are perfectly balanced, the ideal speedup due to pipelining is equal to the number of pipeline stages.

# Performance Improvement

- Ideal case (asymptotically):
  - If we consider the single-cycle unpipelined CPU1 with clock cycle of 8ns and the pipelined CPU2 with 5 stages of 2ns:
    - The latency (total execution time) of each instruction is worsened: from 8ns to 10ns
    - The throughput (number of instructions completed in the time unit) is improved of 4 times:  
1 instruction completed each 8ns vs.  
1 instruction completed each 2ns

# Performance Improvement

- Ideal case (asymptotically):
  - If we consider the multi-cycle unpipelined CPU3 composed of 5 cycles of 2ns and the pipelined CPU2 with 5 stages of 2ns:
    - The latency (total execution time) of each instruction is not varied (10ns)
    - The throughput (number of instructions completed in the time unit) is improved of 5 times:  
1 instruction completed every 10ns vs.  
1 instruction completed every 2ns

# Pipeline Execution of MIPS Instructions

IF Instruction Fetch	ID Instruction Decode	EX Execution	ME Memory Access	WB Write Back
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**ALU Instructions:  $op \ $x, \$y, \$z$**

Instr. Fetch & PC Increm.	Read of Source Regs. $\$y$ and $\$z$	ALU Op. ( $\$y \ op \ \$z$ )		Write Back Destinat. Reg. $\$x$
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**Load Instructions:  $lw \ \$x, offset(\$y)$**

Instr. Fetch & PC Increm.	Read of Base Reg. $\$y$	ALU Op. ( $\$y+offset$ )	Read Mem. $M(\$y+offset)$	Write Back Destinat. Reg. $\$x$
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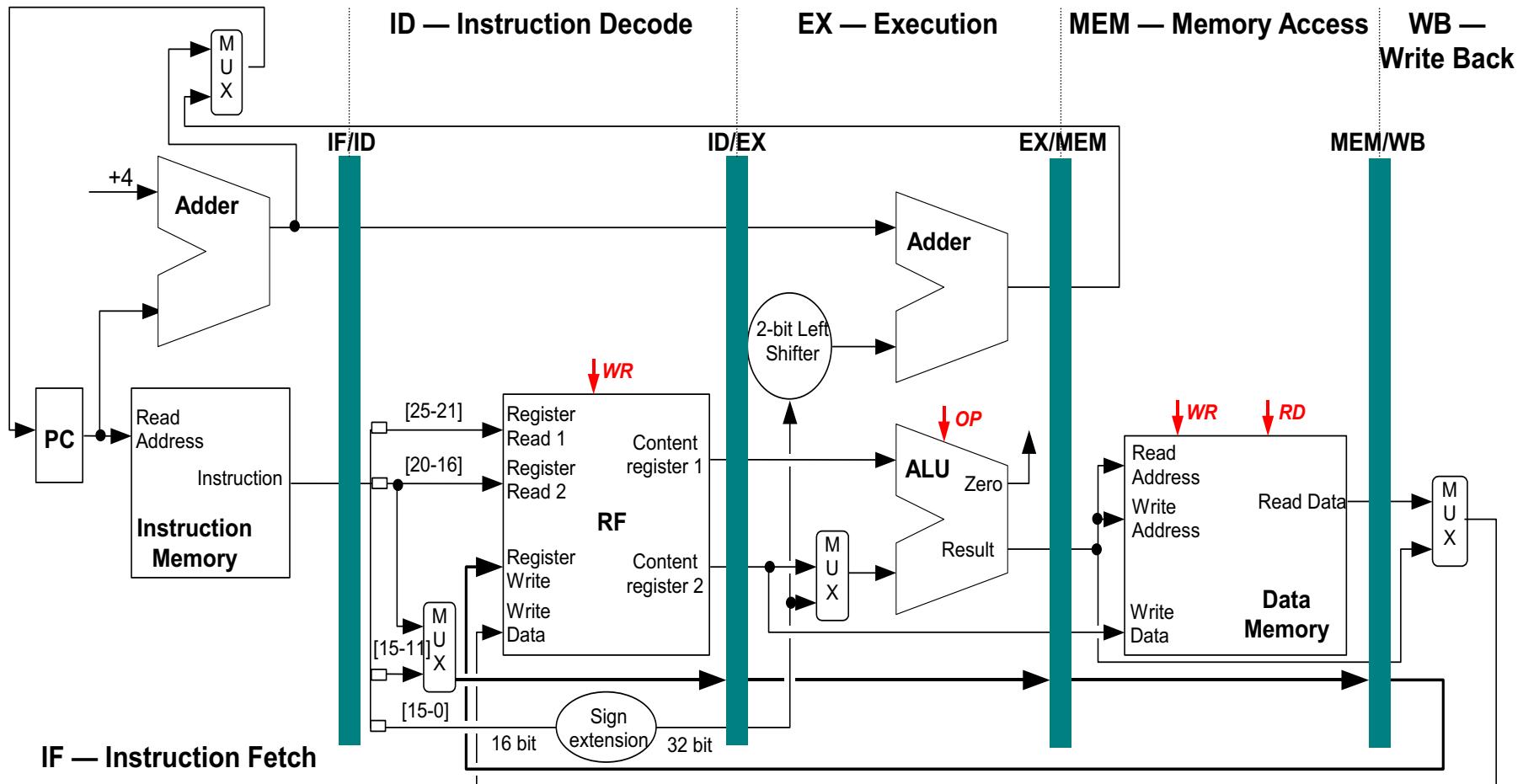
**Store Instructions:  $sw \ \$x, offset(\$y)$**

Instr. Fetch & PC Increm.	Read of Base Reg. $\$y$ & Source $\$x$	ALU Op. ( $\$y+offset$ )	Write Mem. $M(\$y+offset)$	
------------------------------	---	-----------------------------	-------------------------------	--

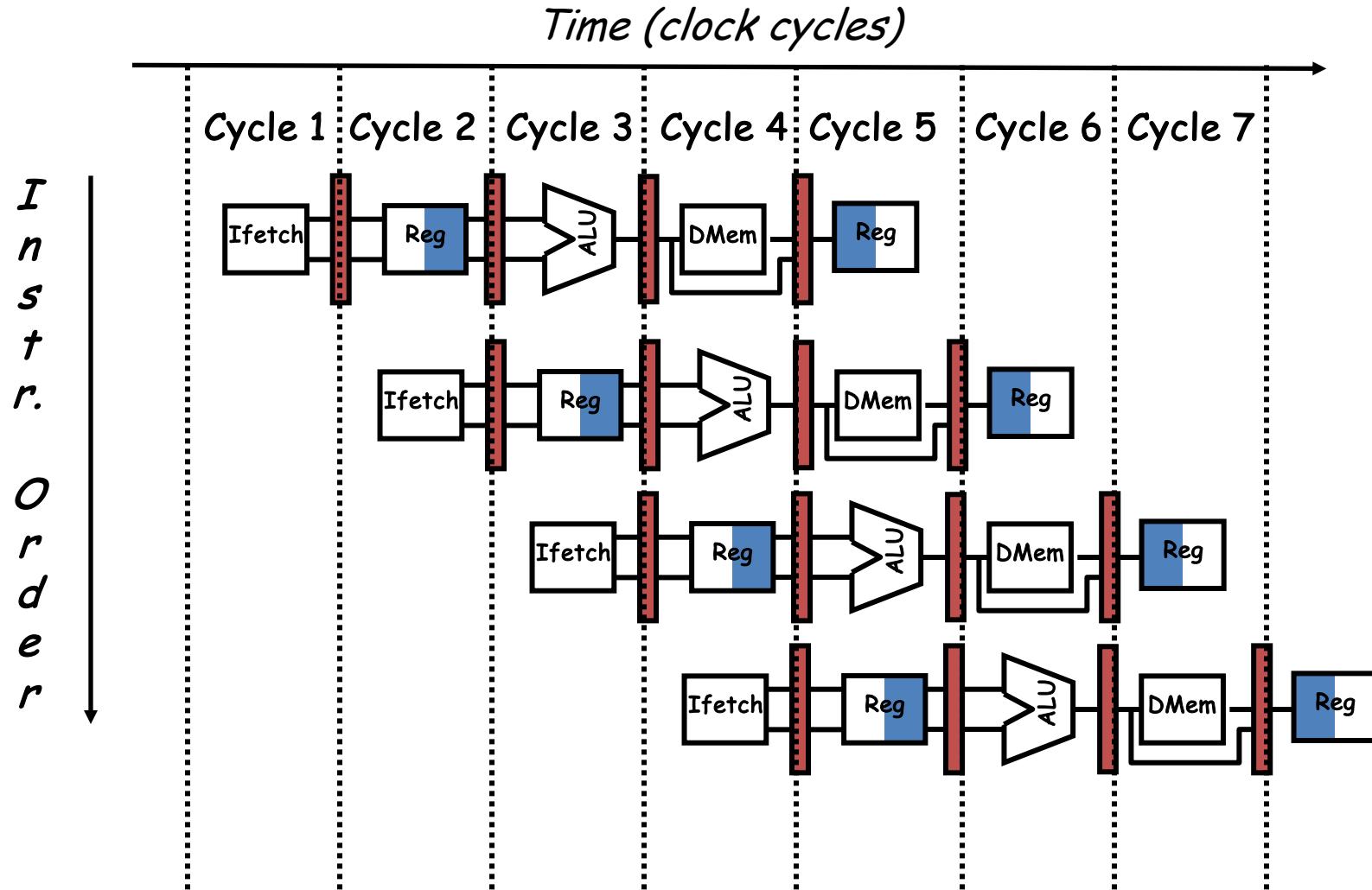
**Conditional Branches:  $beq \ \$x, \$y, offset$**

Instr. Fetch & PC Increm.	Read of Source Regs. $\$x$ and $\$y$	ALU Op. ( $\$x-\$y$ ) & ( $PC+4+offset$ )	Write PC	
------------------------------	---	--	-------------	--

# Implementation of MIPS pipeline



# Visualizing Pipelining



# Note: a possible issue

- Register File used in 2 stages: Read access during ID and write access during WB
  - What happens if read and write refer to the same register in the same clock cycle?
    - It is necessary to insert one stall

# Issue as an Opportunity

- Register File used in 2 stages: Read access during ID and write access during WB
  - What happens if read and write refer to the same register in the same clock cycle?
    - It is necessary to insert one stall
- **Optimized Pipeline:** the RF read occurs in the second half of clock cycle and the RF write in the first half of clock cycle
  - What happens if read and write refer to the same register in the same clock cycle?
    - It is not necessary to insert one stall

# Issue as an Opportunity

- Register File used in C  
ID and write
  - (this is the Pipeline  
we are going to use
    - does it read and write refer to the same register in the same clock cycle?
      - It is not necessary to insert one stall
- From now on,  
this is the Pipeline  
we are going to use**

# The Problem of Hazards



# The Problem of Hazards

- A hazard is created whenever there is a dependence between instructions, and instructions are close enough that the overlap caused by pipelining would change the order of access to the operands involved in the dependence.
- Hazards prevent the next instruction in the pipeline from executing during its designated clock cycle.
- Hazards reduce the performance from the ideal speedup gained by pipelining.

# Keep Calm, See an Example



# Keep Calm, See an Example

I: add r1, r2, r3

J: sub r4, r1, r3

# Keep Calm, See an Example

I: add **r1**, r2, r3

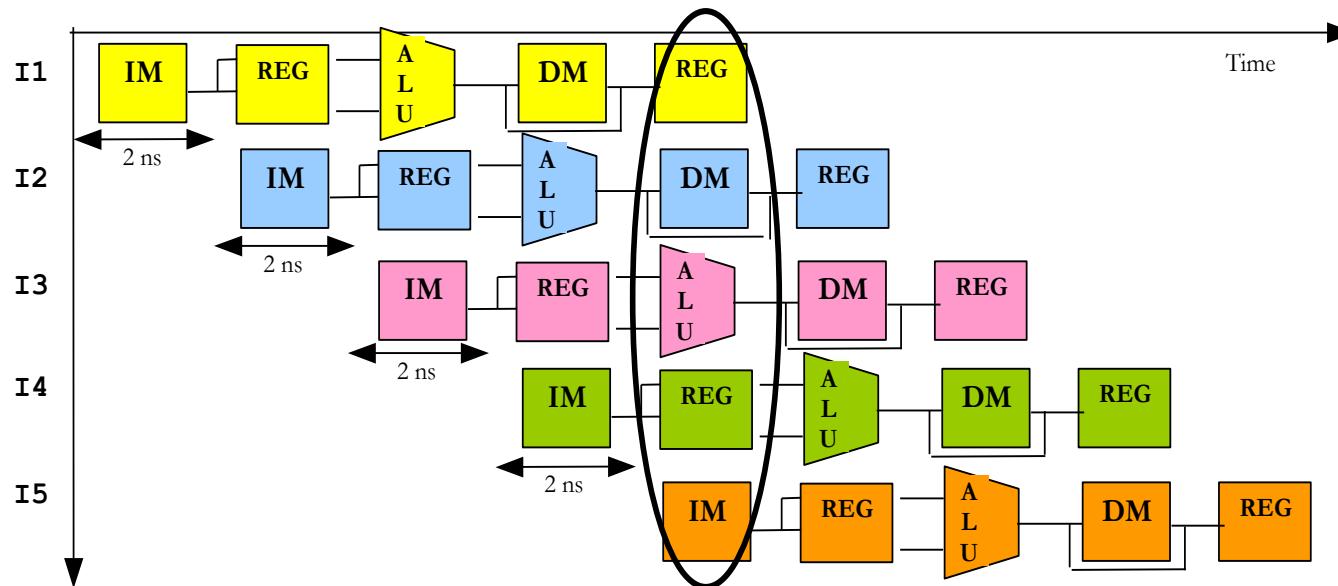
J: sub r4, **r1**, r3

# Three Classes of Hazards

- **Structural Hazards:** Attempt to use the same resource from different instructions simultaneously
  - Example: Single memory for instructions and data
- **Data Hazards:** Attempt to use a result before it is ready
  - Example: Instruction depending on a result of a previous instruction still in the pipeline
- **Control Hazards:** Attempt to make a decision on the next instruction to execute before the condition is evaluated
  - Example: Conditional branch execution

# Structural Hazards

- No structural hazards in MIPS architecture:
  - Instruction Memory separated from Data Memory
  - Register File used in the same clock cycle: Read access by an instruction and write access by another instruction

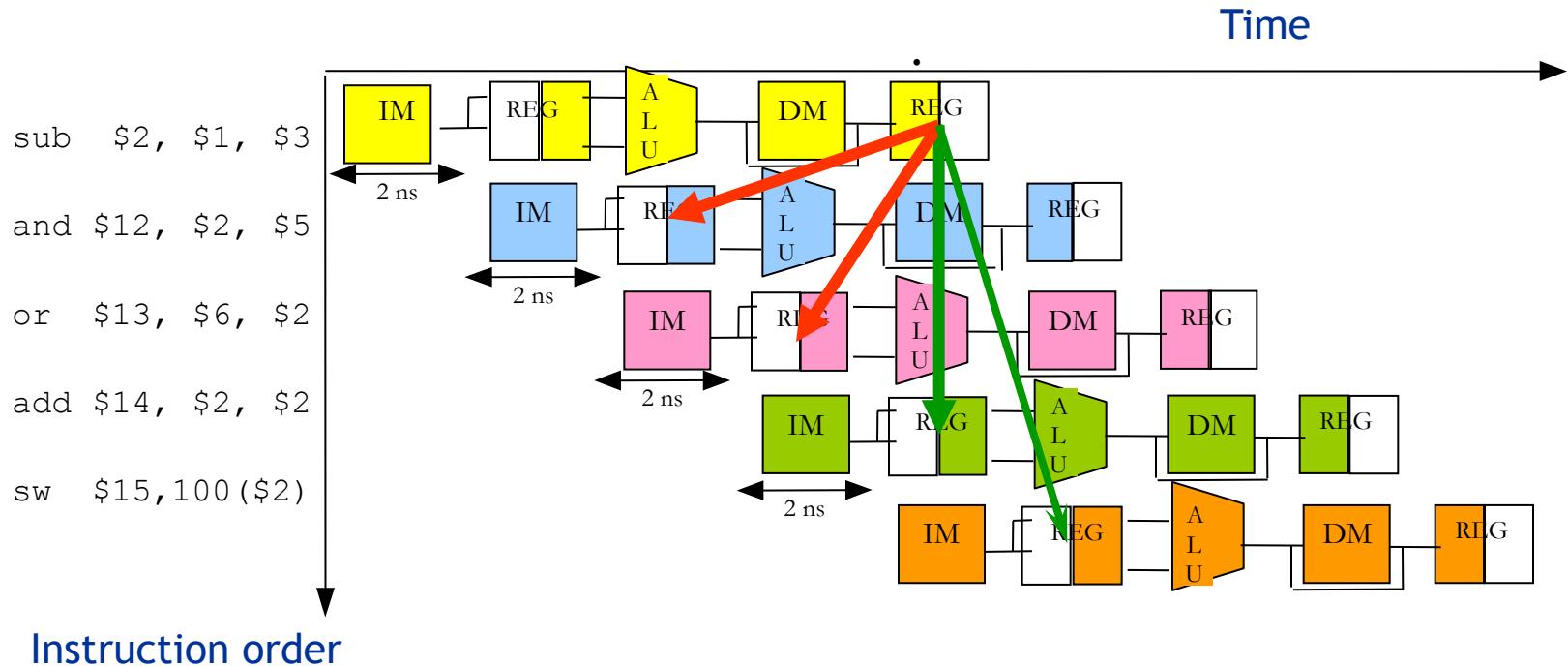


# Data Hazards

- If the instructions executed in the pipeline are dependent, data hazards can arise when instructions are too close
- Example:

```
sub $2, $1, $3 # Reg. $2 written by sub
and $12, $2, $5 # 1° operand ($2) depends on sub
or $13, $6, $2 # 2° operand ($2) depend on sub
add $14, $2, $2 # 1° ($2) & 2° ($2) depend on sub
sw $15,100($2) # Base reg. ($2) depends on sub
```

# Data Hazards in the Optimized Pipeline: Example

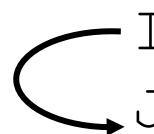


It is necessary to insert two stalls

# Type of Data Hazard

- Read After Write (RAW)

Instr<sub>J</sub> tries to read operand before Instr<sub>I</sub> writes it



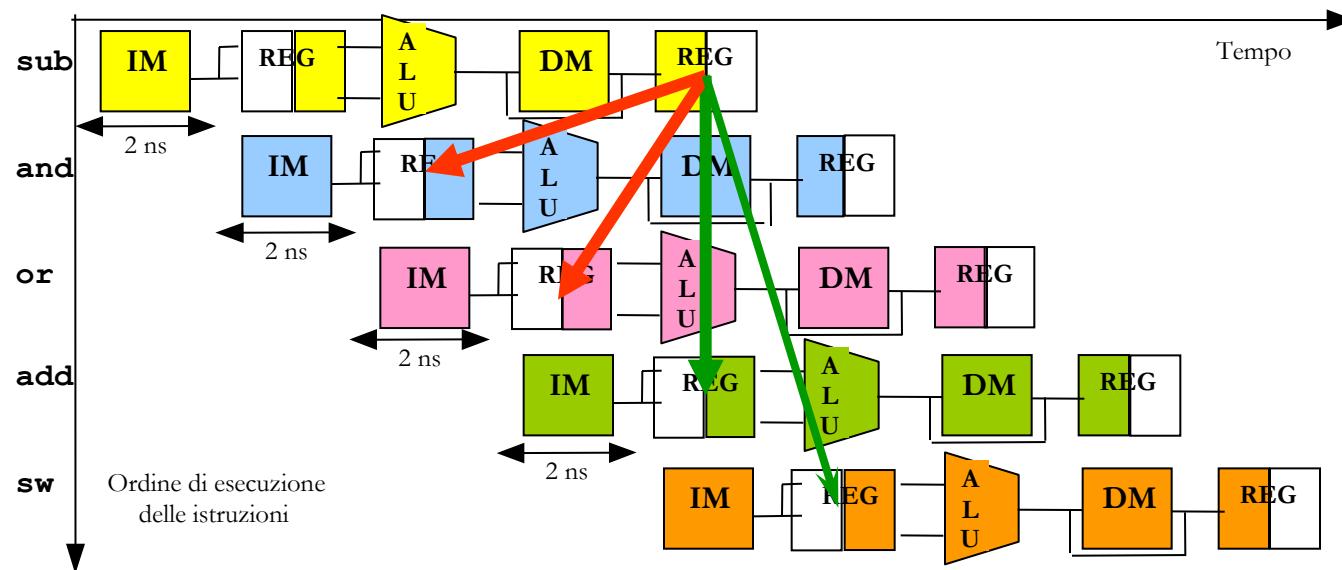
I: add **r1**, r2, r3  
J: sub r4, **r1**, r3

- Caused by a “Dependence” (in compiler nomenclature). This hazard results from an actual need for communication.

# Data Hazards: Possible Solutions

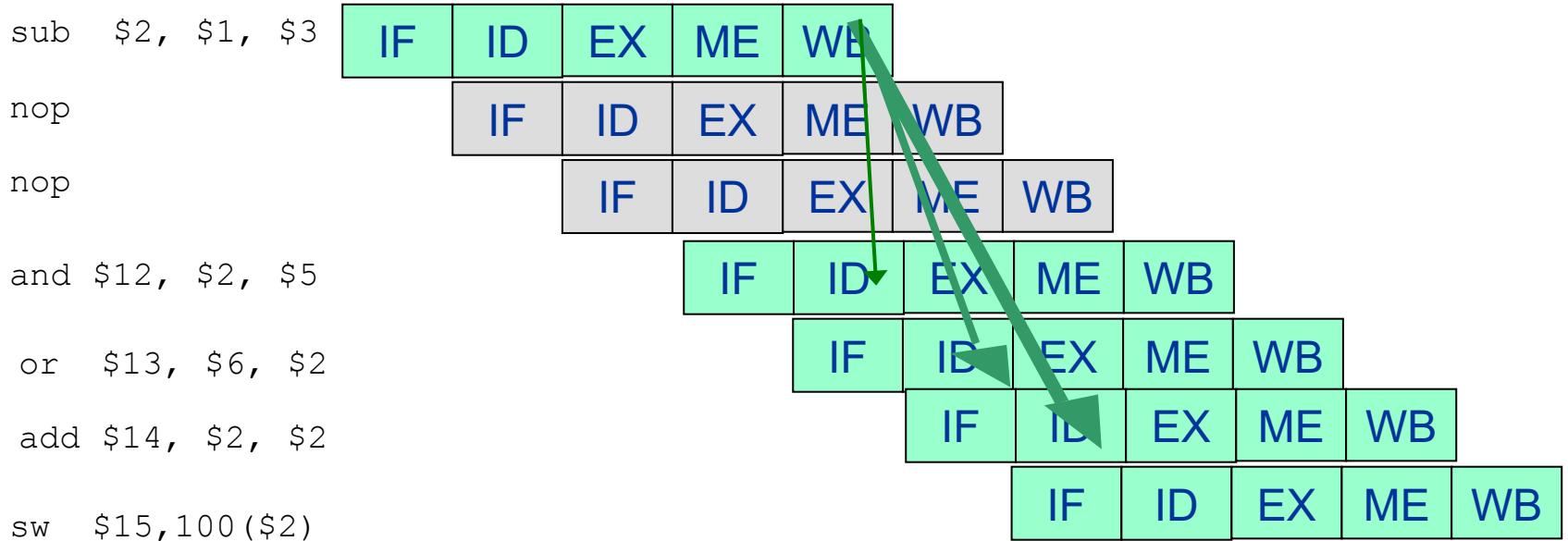
- Compilation Techniques:
  - Insertion of nop (no operation) instructions
  - Instructions Scheduling to avoid that correlating instructions are too close
    - The compiler tries to insert independent instructions among correlating instructions
    - When the compiler does not find independent instructions, it insert nops.
- Hardware Techniques:
  - Insertion of “bubbles” or stalls in the pipeline
  - Data Forwarding or Bypassing

# Just an example...

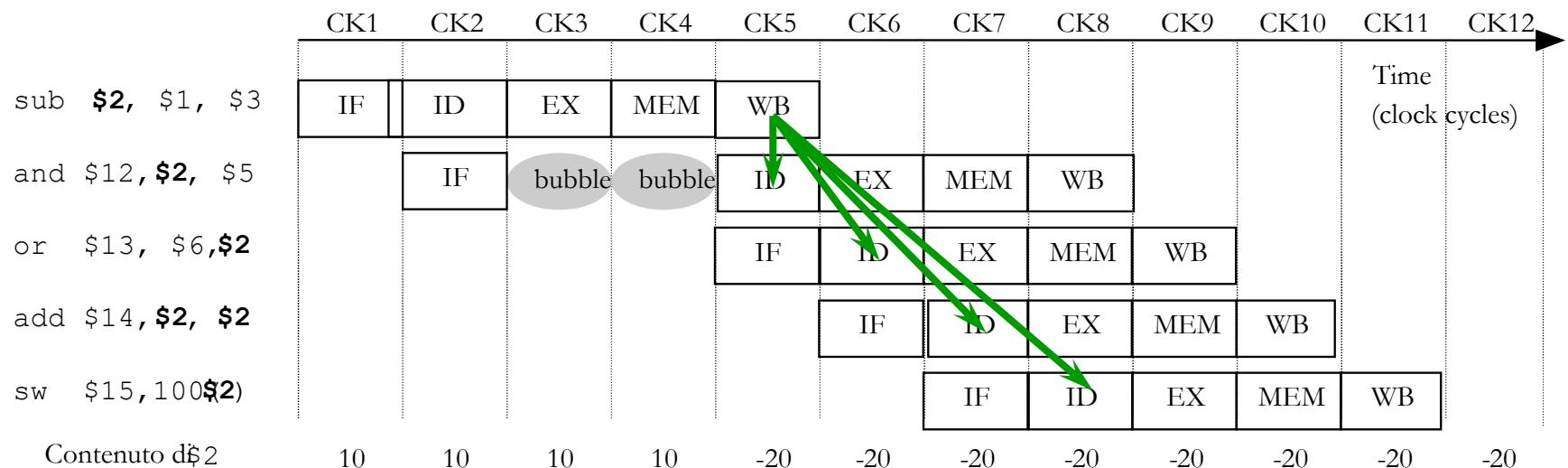


I need to insert 2 bubbles or 2 stalls

# Insertion of nops

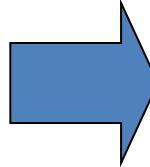


# Insertion of bubbles and stalls



# Scheduling: Example

sub \$2, \$1, \$3  
and \$12, \$2, \$5  
or \$13, \$6, \$2  
add \$14, \$2, \$2  
sw \$15,100(\$2)  
  
add \$4, \$10, \$11  
and \$7, \$8, \$9  
lw \$16, 100(\$18)  
lw \$17, 200(\$19)

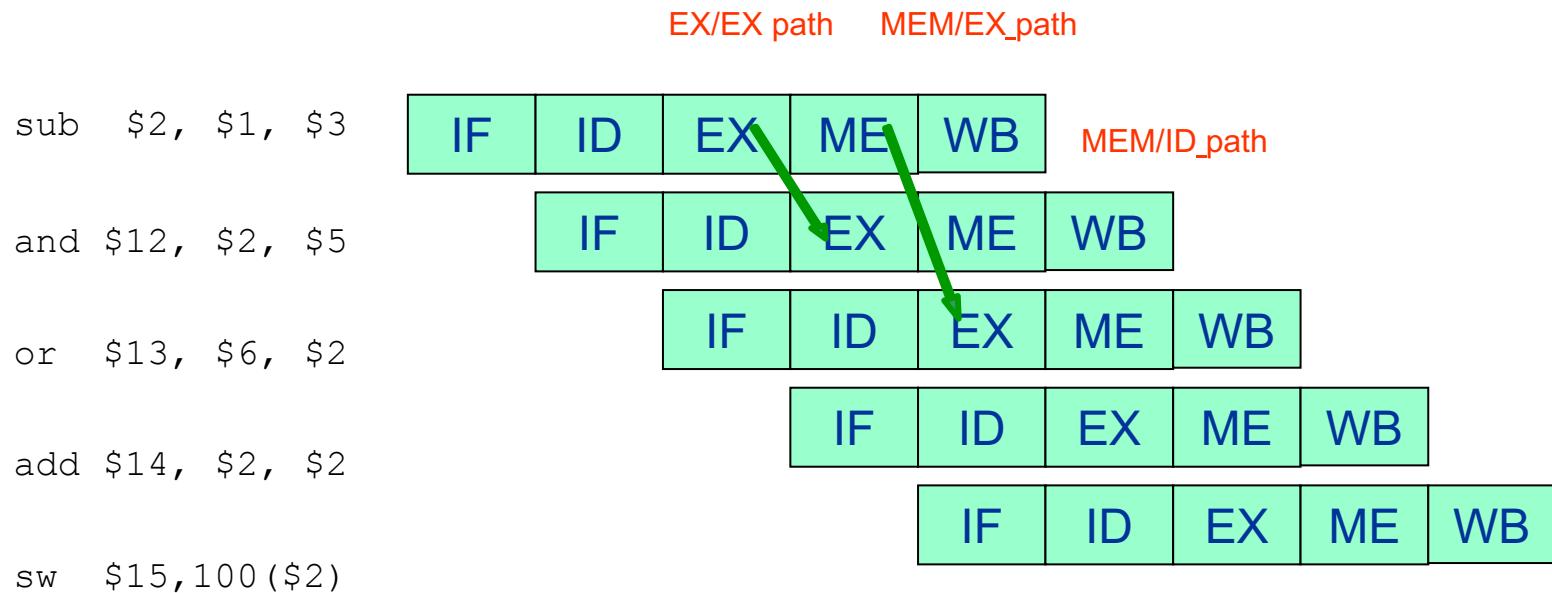


sub \$2, \$1, \$3  
add \$4, \$10, \$11  
and \$7, \$8, \$9  
lw \$16, 100(\$18)  
lw \$17, 200(\$19)  
  
and \$12, \$2, \$5  
or \$13, \$6, \$2  
add \$14, \$2, \$2  
sw \$15,100(\$2)

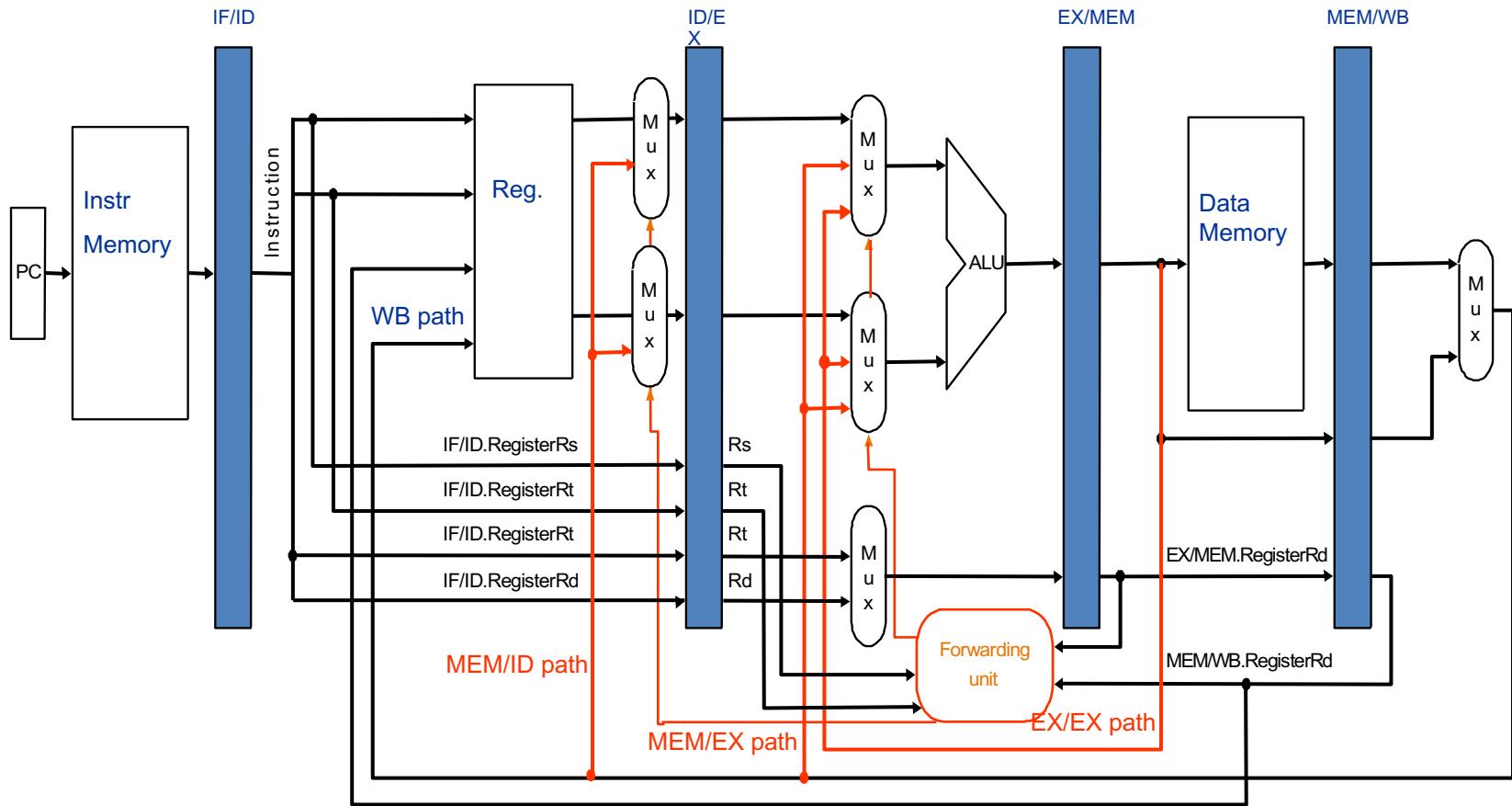
# Forwarding

- Data forwarding uses temporary results stored in the pipeline registers instead of waiting for the write back of results in the RF.
- We need to add multiplexers at the inputs of ALU to fetch inputs from pipeline registers to avoid the insertion of stalls in the pipeline.

# Forwarding: Example



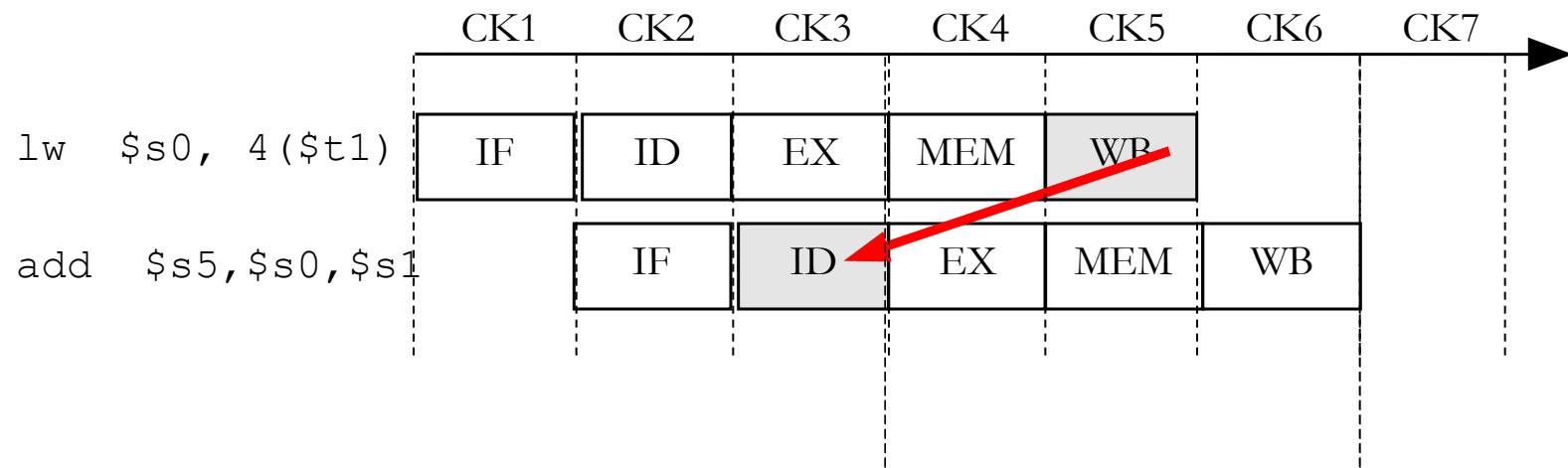
# Implementation of MIPS with Forwarding Unit



# Data Hazards: Load/Use Hazard

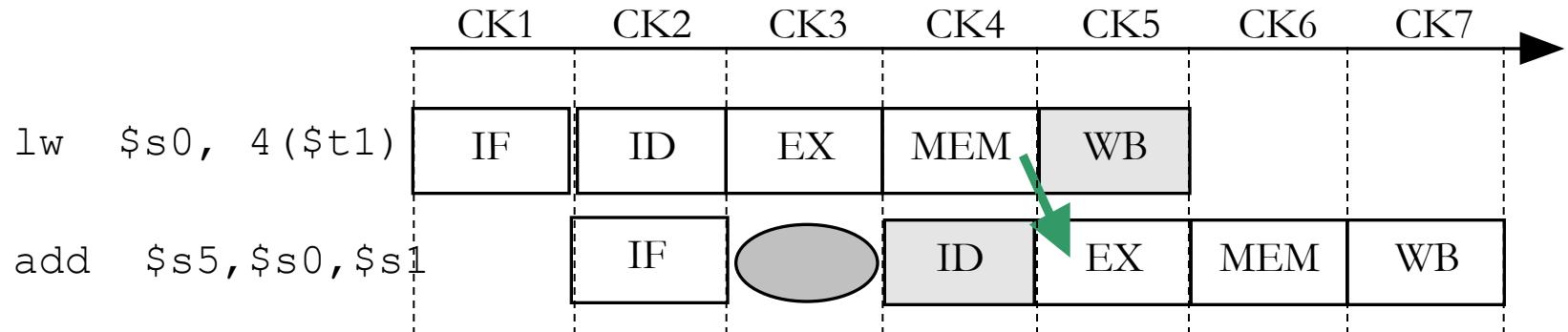
L1: lw \$s0, 4(\$t1) # \$s0 <- M [4 + \$t1]

L2: add \$s5, \$s0, \$s1 # 1° operand depends from L1



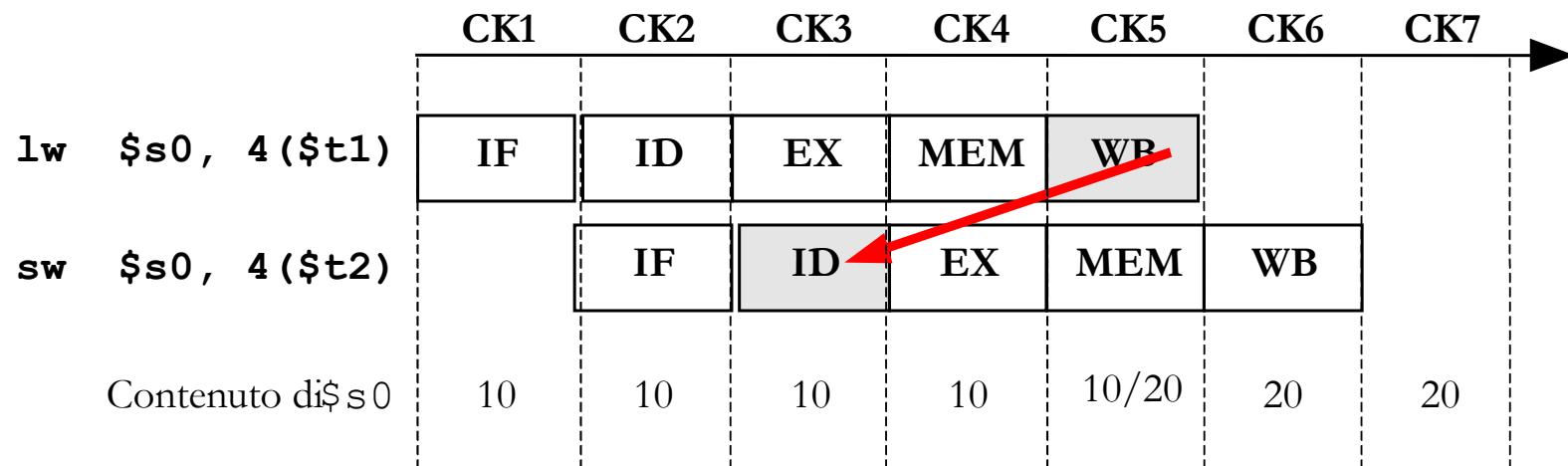
# Data Hazards: Load/Use Hazard

- With forwarding using the MEM/EX path: 1 stall



# Data Hazards: Load/Store

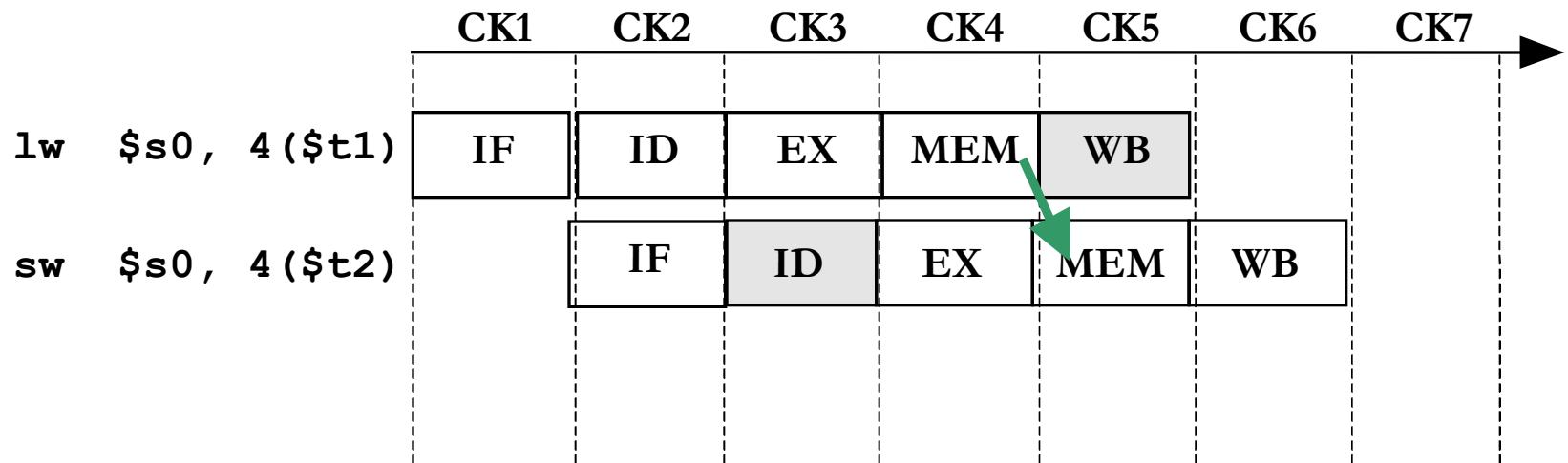
```
L1: lw $s0, 4($t1) # $s0 <- M[4 + $t1]
L2: sw $s0, 4($t2) # M[4 + $t2] <- $s0
```



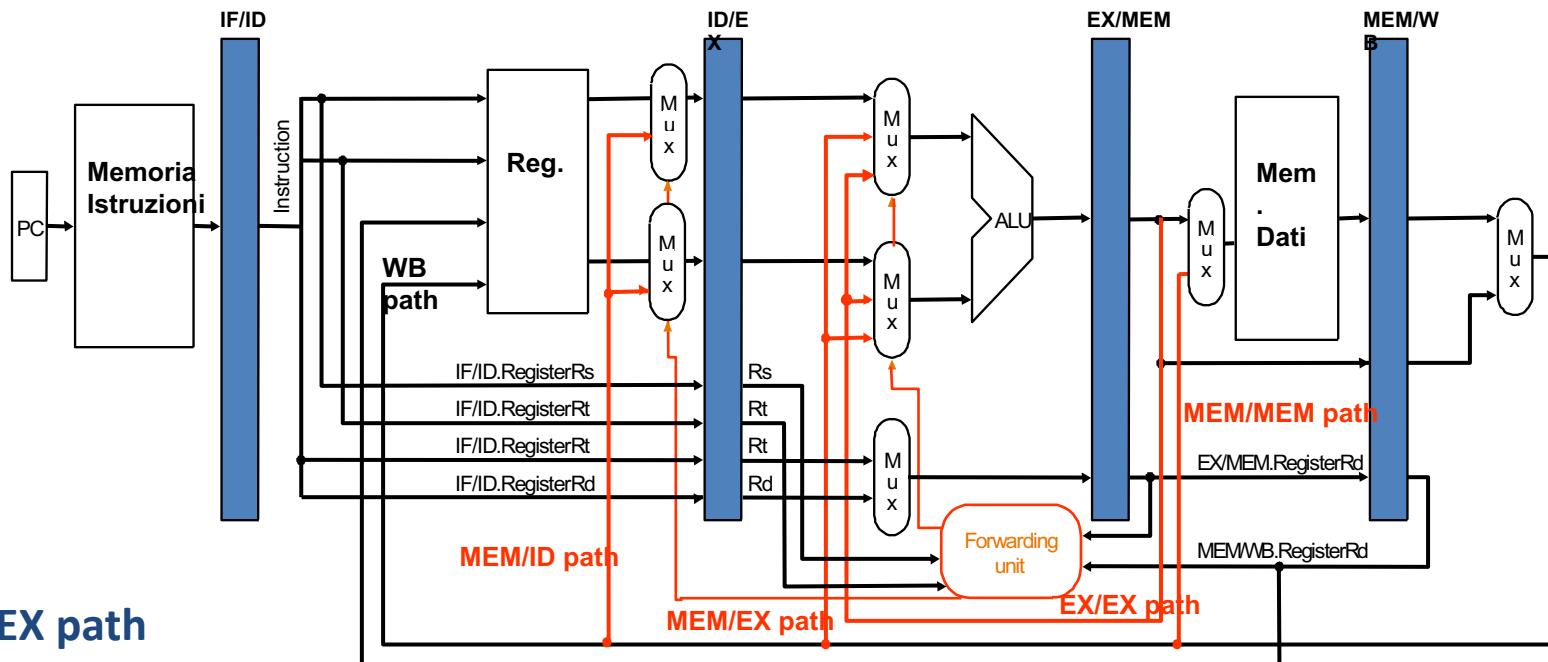
Without forwarding : 3 stalls

# Data Hazards: Load/Store

- Forwarding: Stall = 0
- We need a forwarding path to bring the *load* result from the memory (in MEM/WB) to the memory's input for the *store*.



# Implementation of MIPS with Forwarding Unit



- **EX/EX path**
- **MEM/EX path**
- **MEM/ID path**
- **MEM/MEM path**

# Data Hazards

- Data hazards analyzed up to now are:
  - RAW (READ AFTER WRITE) hazards: instruction n+1 tries to read a source register before the previous instruction n has written it in the RF.
  - Example:

```
add $r1, $r2, $r3
sub $r4, $r1, $r5
```
- By using forwarding, it is always possible to solve this conflict without introducing stalls, except for the load/use hazards where it is necessary to add one stall

# Data Hazards

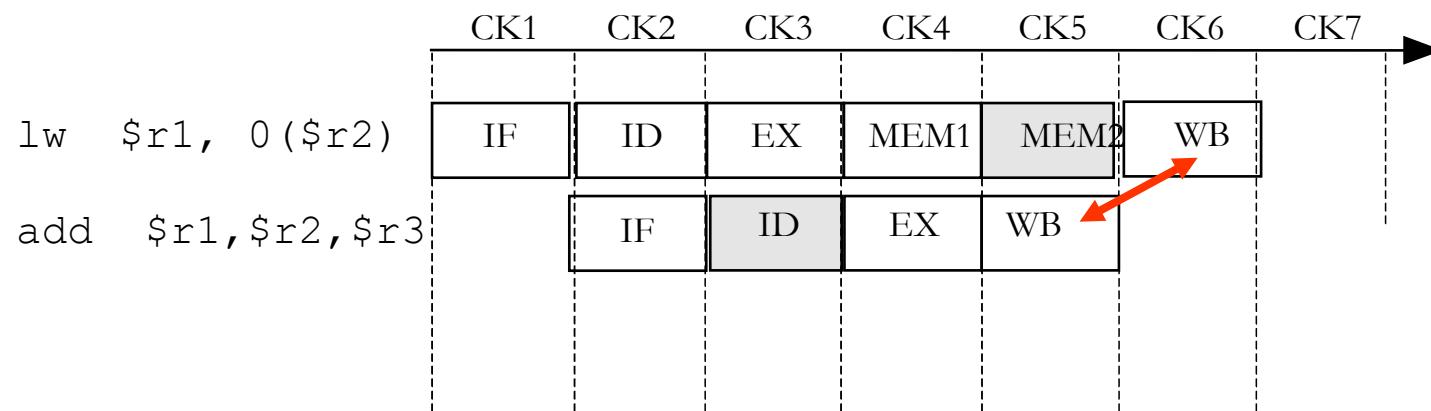
- Other types of data hazards in the pipeline:
  - **WAW (WRITE AFTER WRITE)**
  - **WAR (WRITE AFTER READ)**

# Data Hazards: WAW

- Instruction  $n+1$  tries to write a destination operand before it has been written by the previous instruction  $n$   
⇒ write operations executed in the wrong order
- This type of hazards could not occur in the MIPS pipeline because all the register write operations occur in the WB stage

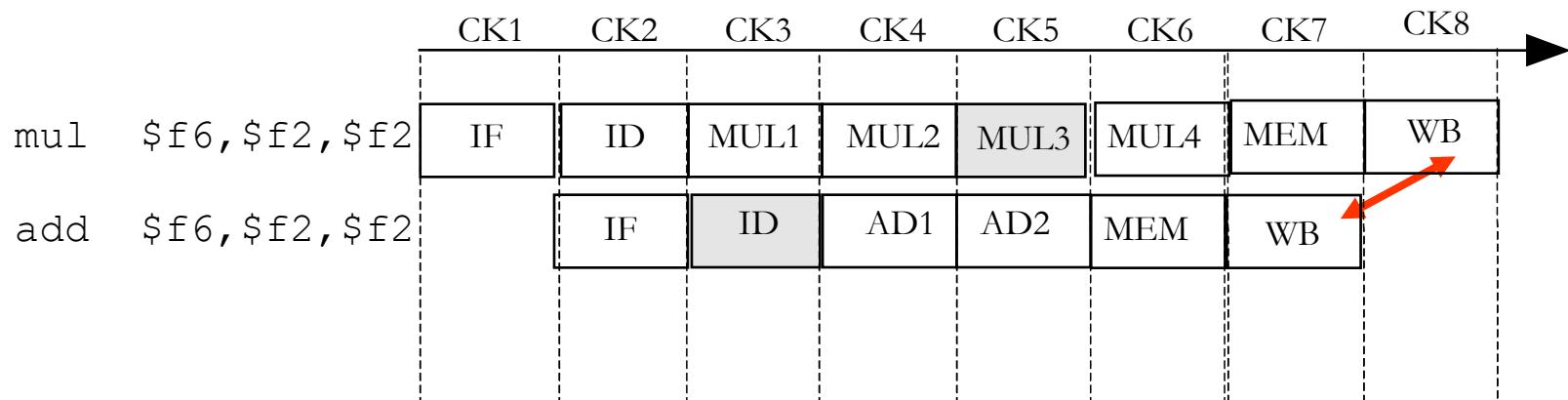
# Data Hazards: WAW

- Example: If we assume the register write in the ALU instructions occurs in the fourth stage and that load instructions require two stages (MEM1 and MEM2) to access the data memory, we can have:



# Data Hazards: WAW

- Example: If we assume the floating point ALU operations require a multi-cycle execution, we can have:



# WAW Data Hazards

- Write After Write (WAW)

Instr<sub>J</sub> writes operand **before** Instr<sub>I</sub> writes it.



```
I: sub r1,r4,r3  
J: add r1,r2,r3  
K: mul r6,r1,r7
```

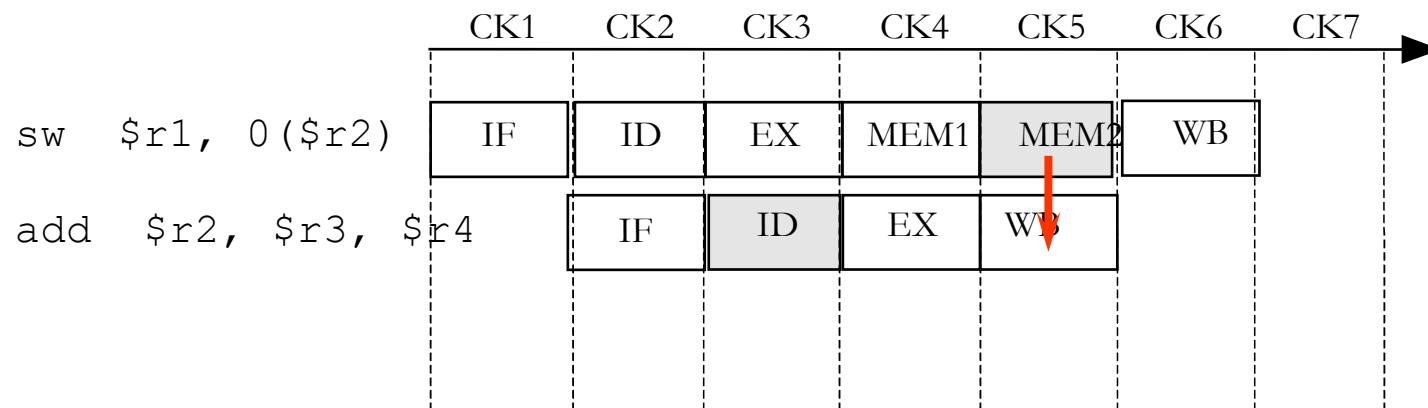
- Called an “**output dependence**” by compiler writers  
This also results from the reuse of name “**r1**”.
- Can’t happen in MIPS 5 stage pipeline because:
  - All instructions take 5 stages, and
  - Writes are always in stage 5
- Will see WAR and WAW in more complicated pipes

# Data Hazards: WAR

- Instruction  $n+1$  tries to write a destination operand before it has been read from the previous instruction  $n$   
⇒ instruction  $n$  reads the wrong value.
- This type of hazards could not occur in the MIPS pipeline because the operand read operations occur in the ID stage and the write operations in the WB stage.
- As before, if we assume the register write in the ALU instructions occurs in the fourth stage and that we need two stages to access the data memory, some instructions could read operands too late in the pipeline

# Data Hazards: WAR

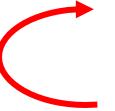
- Example: Instruction sw reads \$r2 in the second half of MEM2 stage and instruction add writes \$r2 in the first half of WB stage  $\Rightarrow$  sw reads the new value of \$r2



# WAR Data Hazards

- **Write After Read (WAR)**

Instr<sub>J</sub> writes operand **before** Instr<sub>I</sub> reads it



```
I: sub r4,r1,r3  
J: add r1,r2,r3  
K: mul r6,r1,r7
```

- Called an “**anti-dependence**” by compiler writers. This results from reuse of the name “**r1**”.
- Can’t happen in MIPS 5 stage pipeline because:
  - All instructions take 5 stages, and
  - Reads are always in stage 2, and
  - Writes are always in stage 5

# THANK YOU FOR YOUR ATTENTION



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