

Galaxy Formation: theory

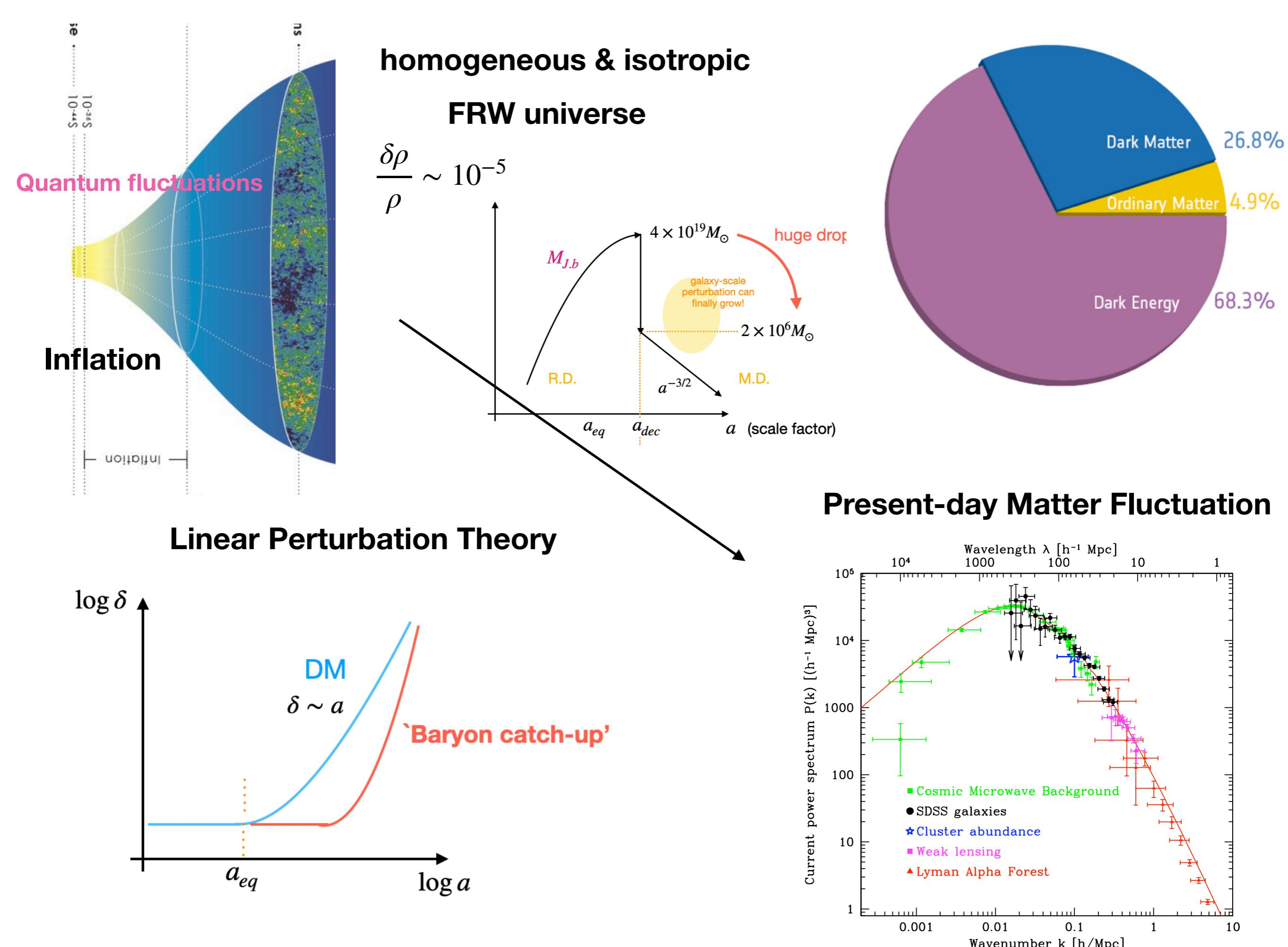
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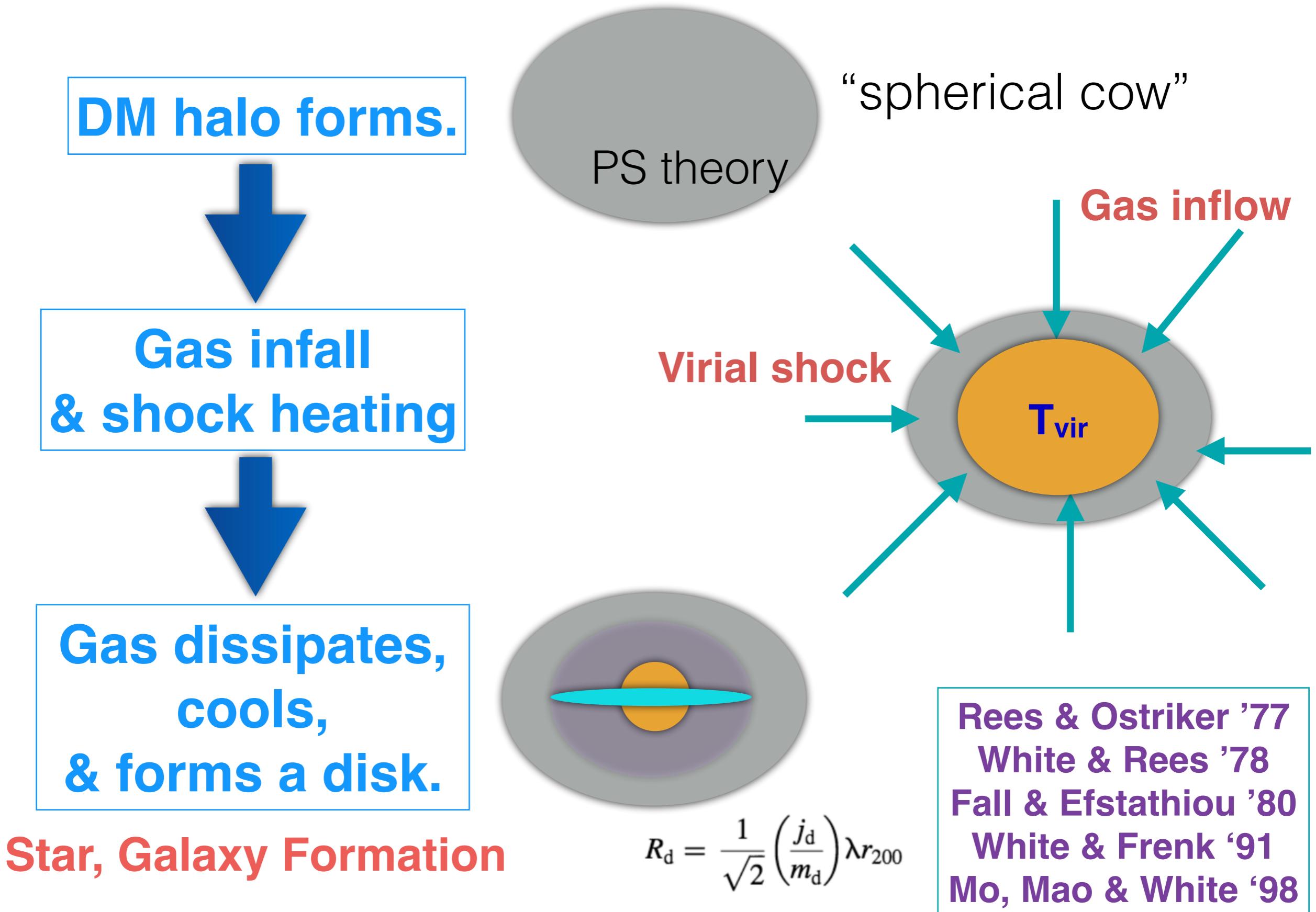
Contents

How to make a galaxy?

- **1st order:** background cosmology, perturbation, dark matter, hierarchical formation, radiative cooling
- **2nd: the Frontier & central issues**
 - anisotropic cold/hot in/outflow, angular momentum
 - star formation & feedback, quenching, downsizing → Morishita review
 - anisotropic, inhomogeneous radiation
- **3rd: Good Tests**
 - high-z galaxy formation, CGM/IGM cf. Kakiichi, Momose review
 - environment: proto-clusters vs. field



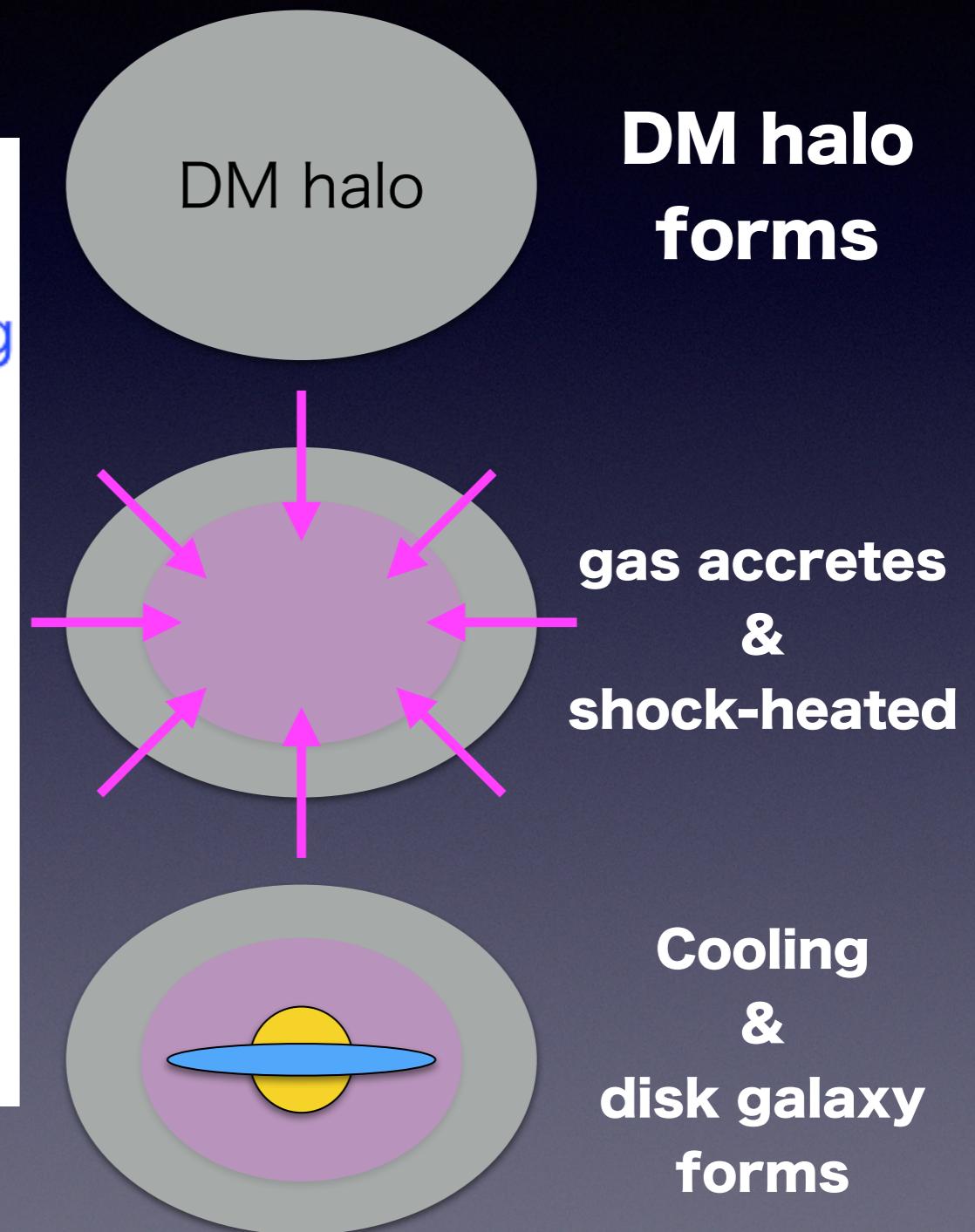
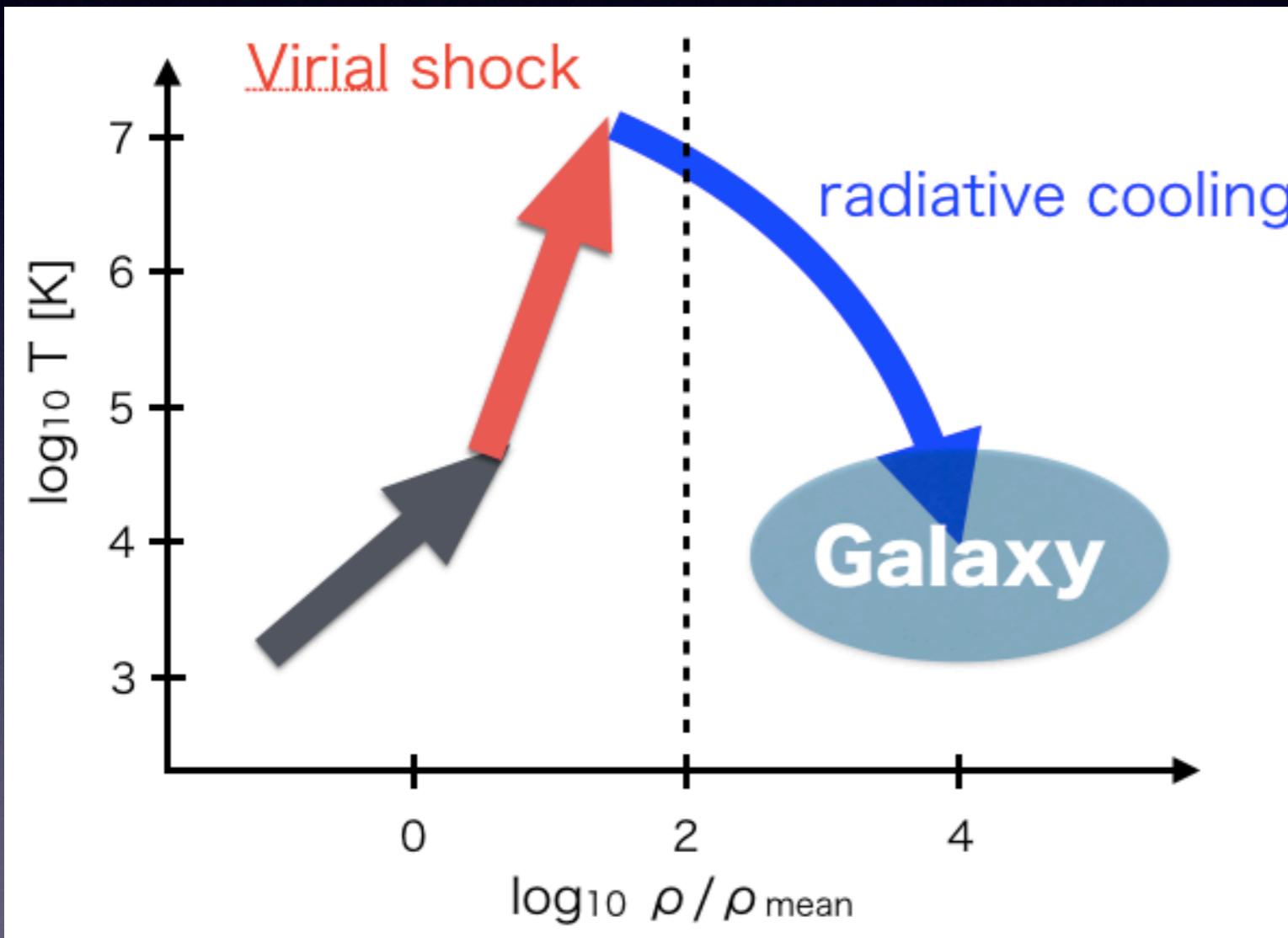
“1st-order” Galaxy Formation



1st-order Galaxy formation

Rees & Ostriker '77, White & Rees '78, Fall & Efstathiou '80, White & Frenk '91, Mo, Mao & White '98

Phase Diagram



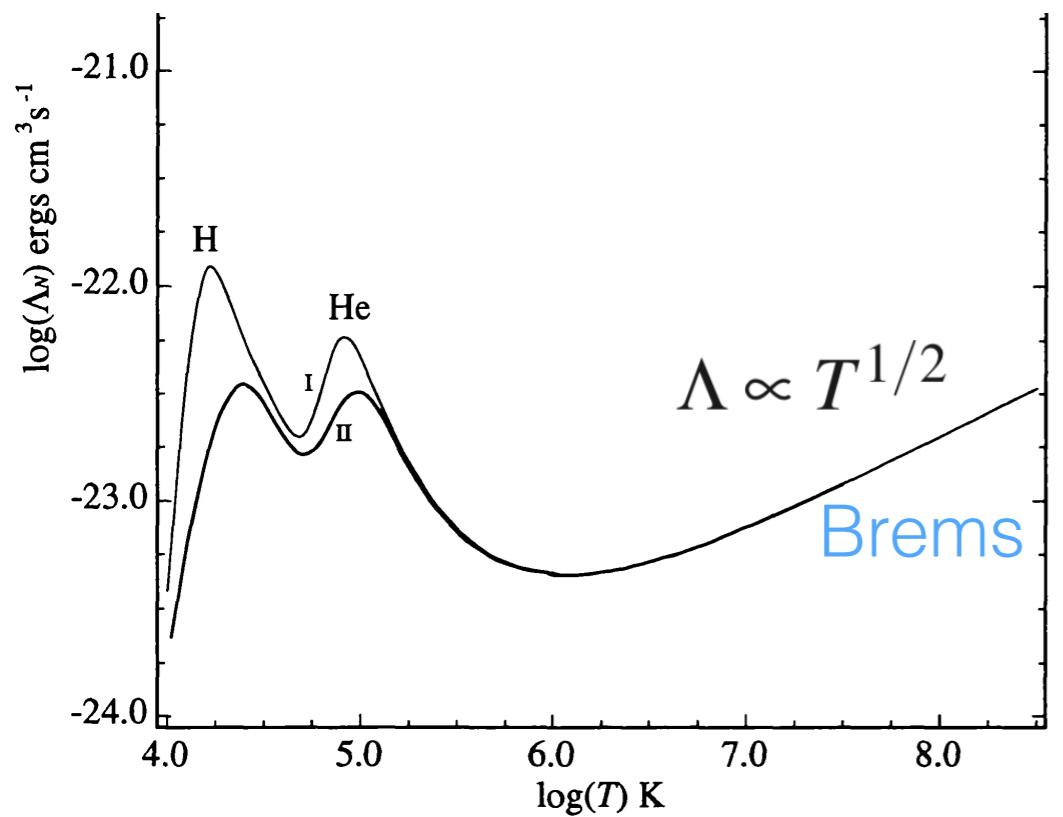
Cooling Curve

(Radiative Cooling Rate/Function)

Primordial Gas

— optically thin gas

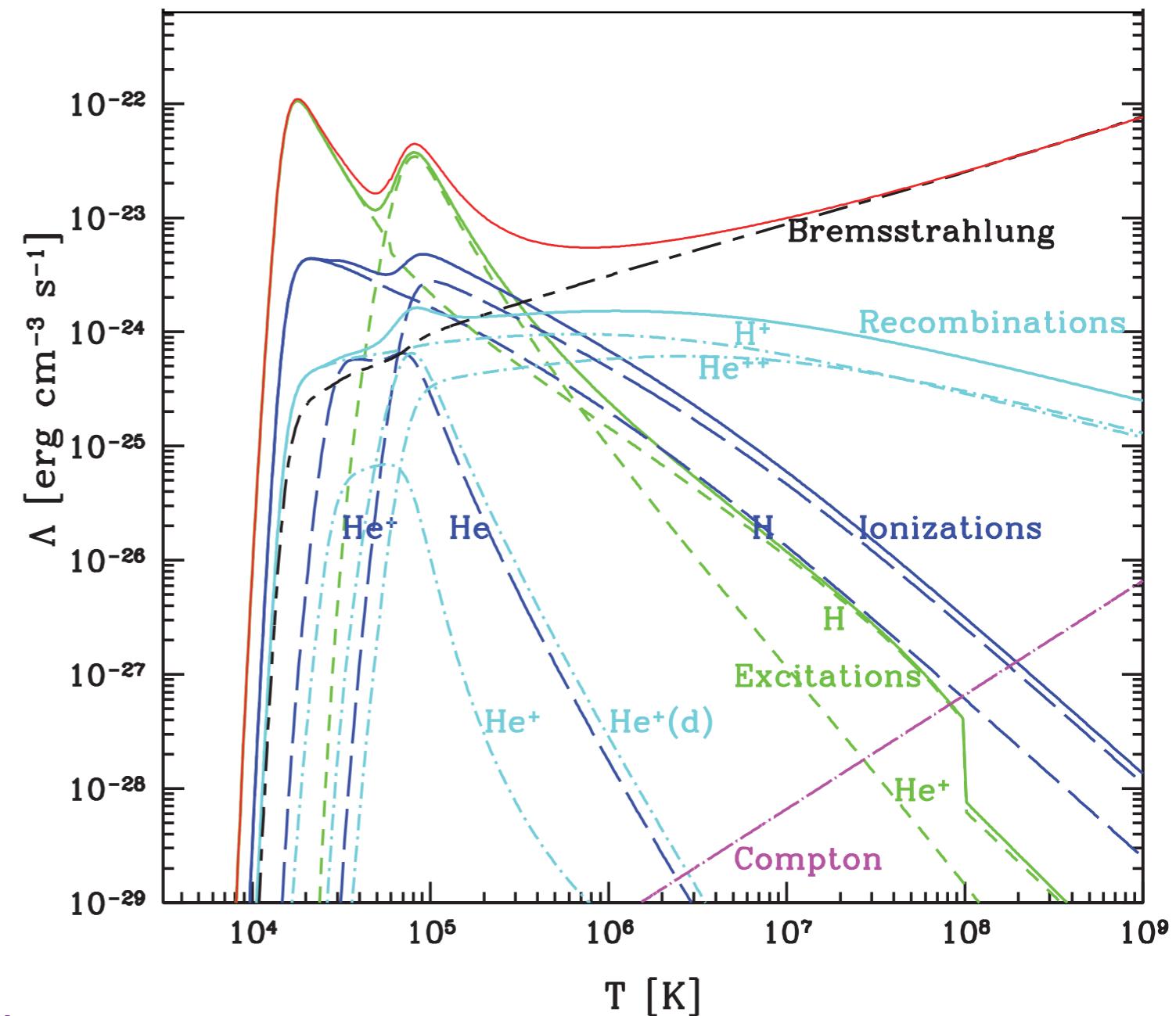
Sutherland & Dopita '93



$$\Lambda(T) \equiv \frac{\mathcal{C}}{n_H^2}, \quad [\text{erg cm}^3 \text{s}^{-1}]$$

\mathcal{C} : cooling rate per unit vol.

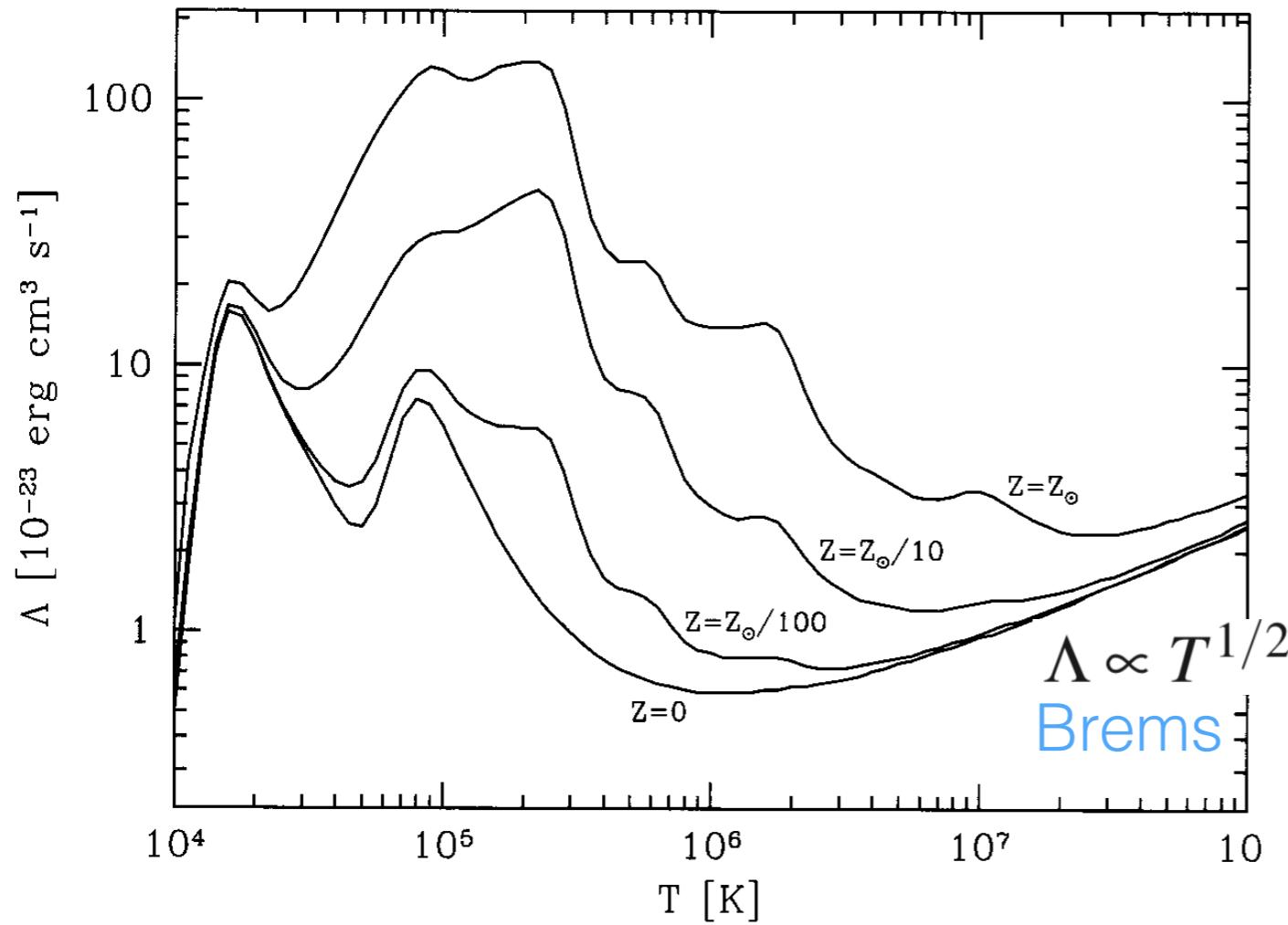
[$\text{erg cm}^3 \text{s}^{-1}$]



Cen '92; Katz+'96

With Metal-line Cooling

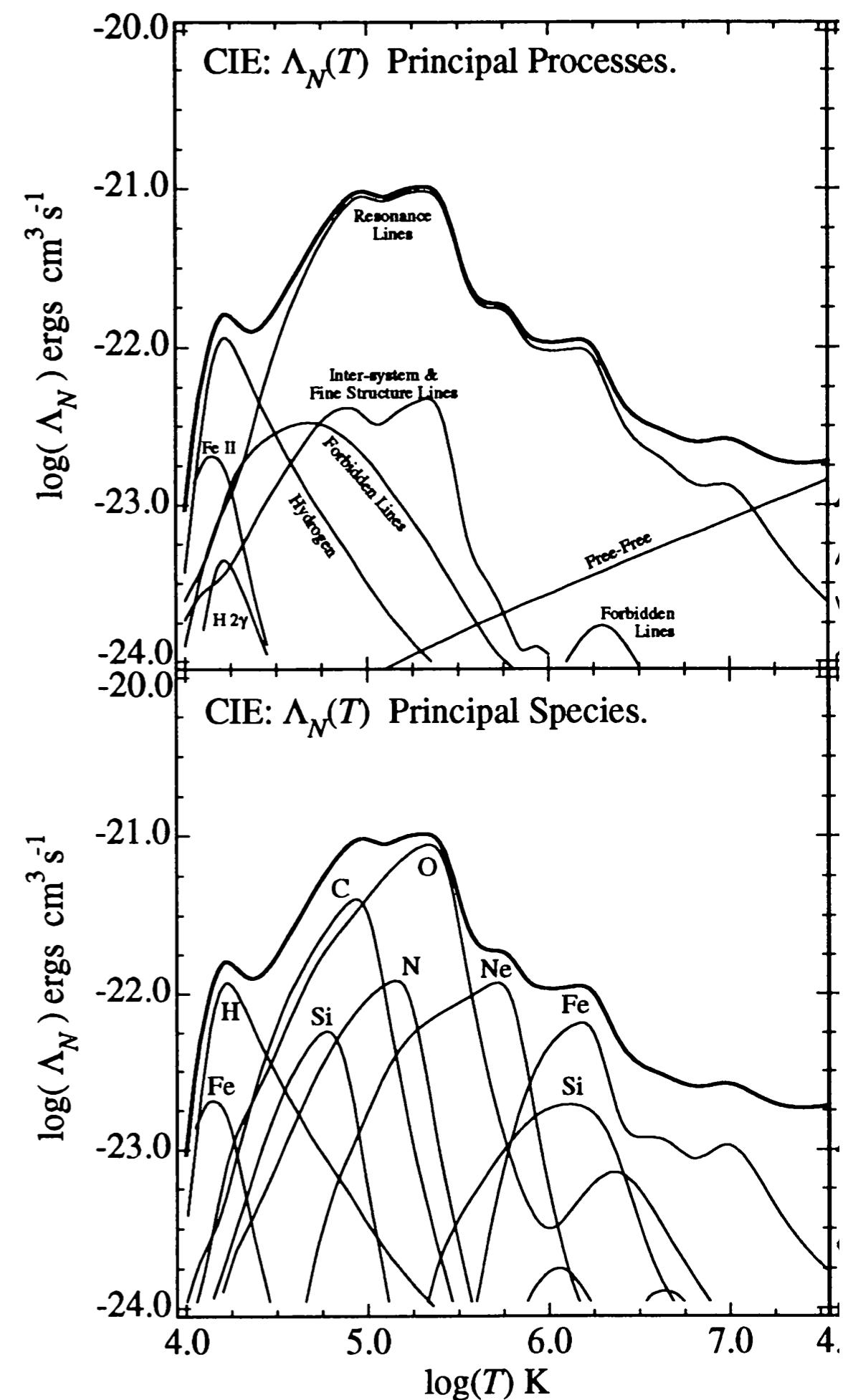
Sutherland & Dopita 1993, ApJS, 88, 253



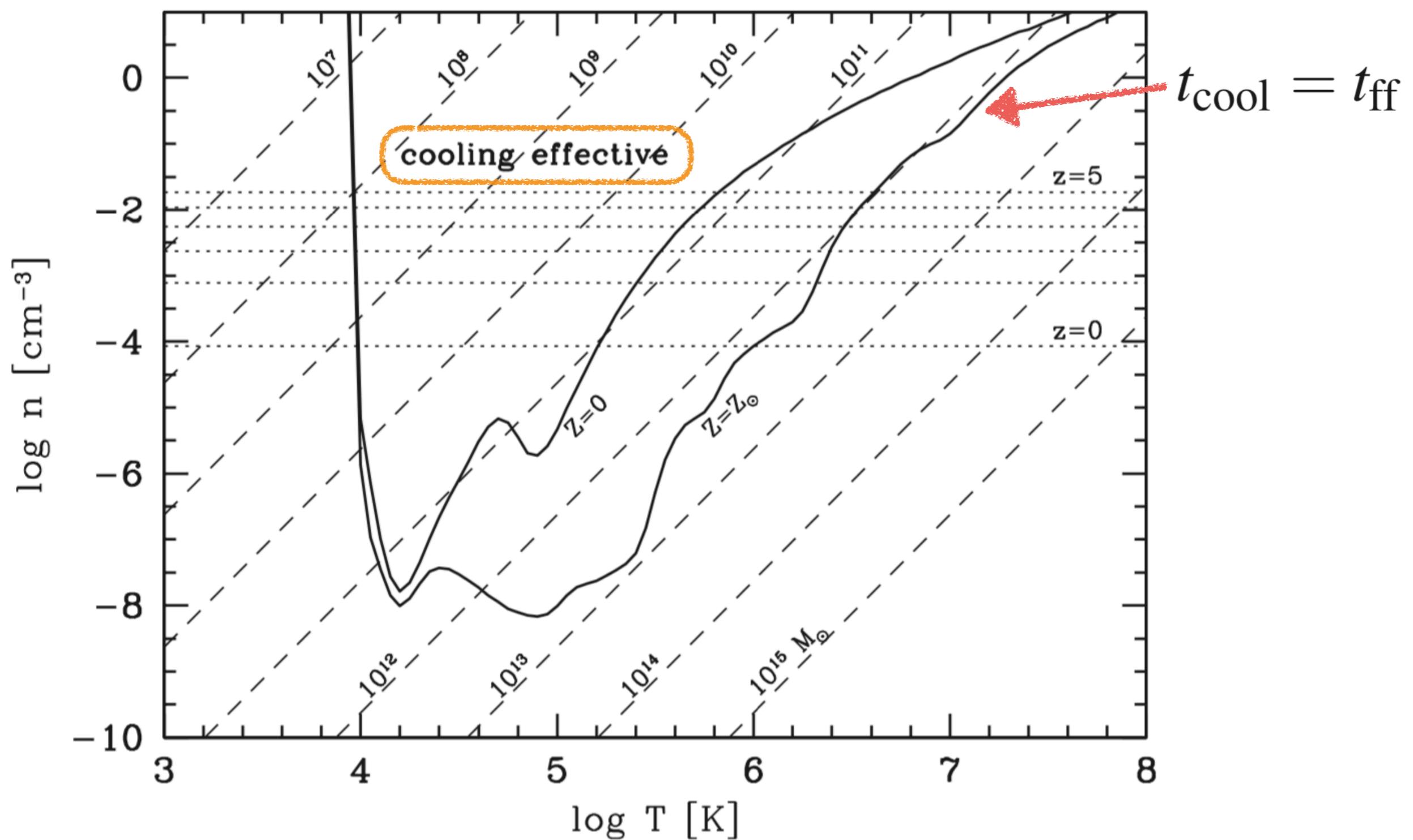
$$\Lambda(T) \equiv \frac{\mathcal{C}}{n_H^2}, \quad [\text{erg cm}^3 \text{ s}^{-1}]$$

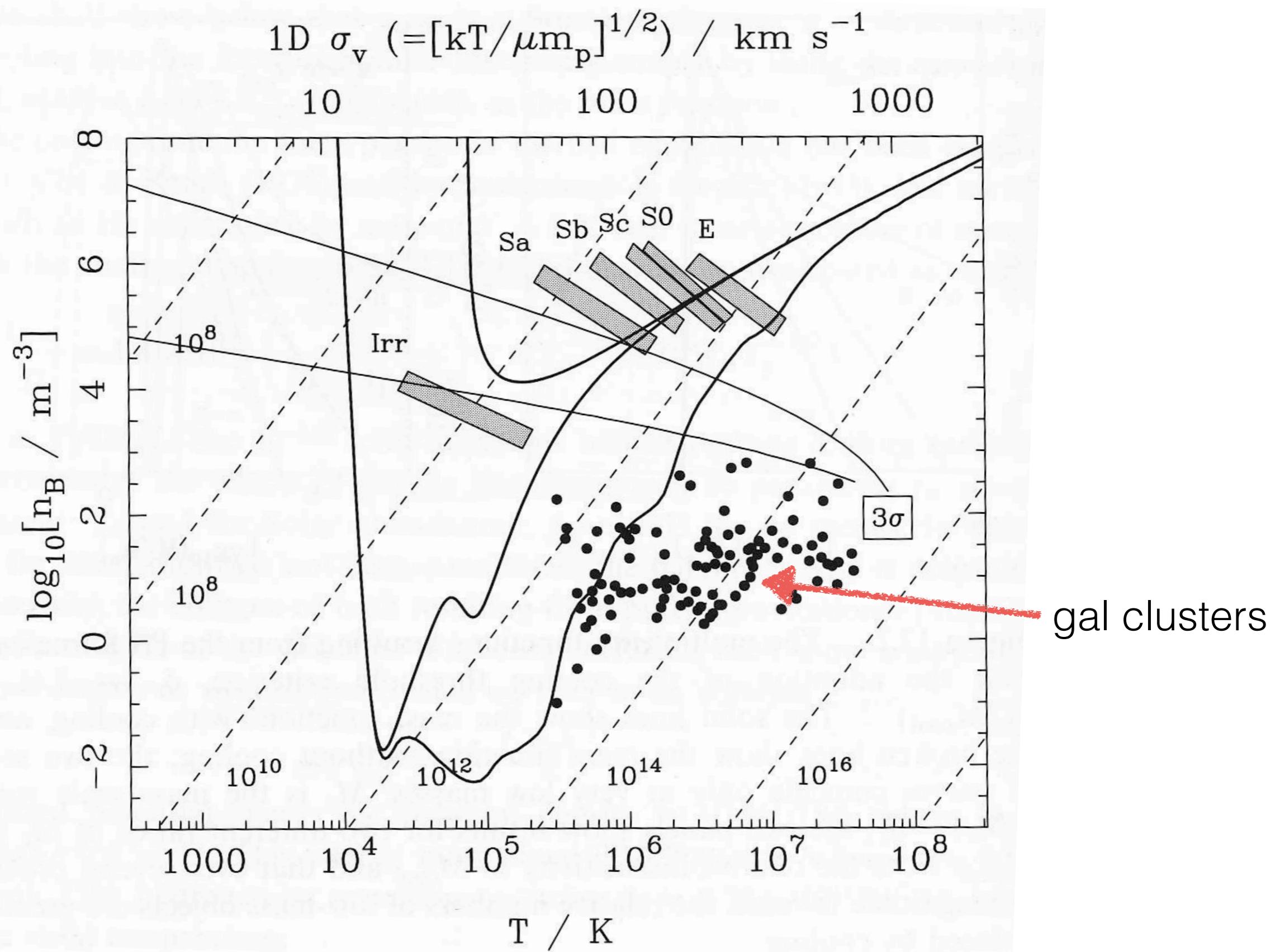
\mathcal{C} : cooling rate per unit vol.

[erg cm $^{-3}$ s $^{-1}$]



equilibrium curve

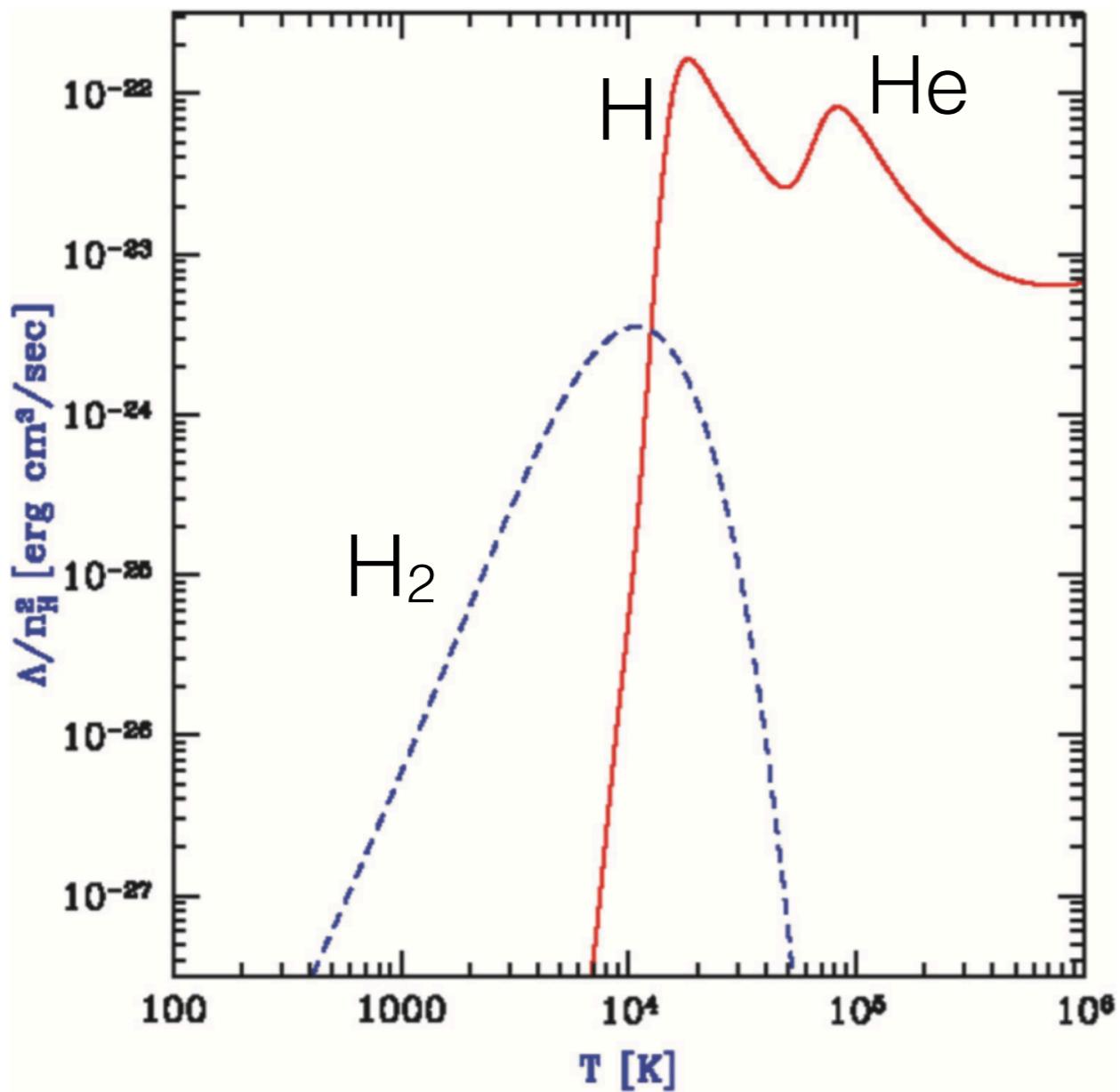




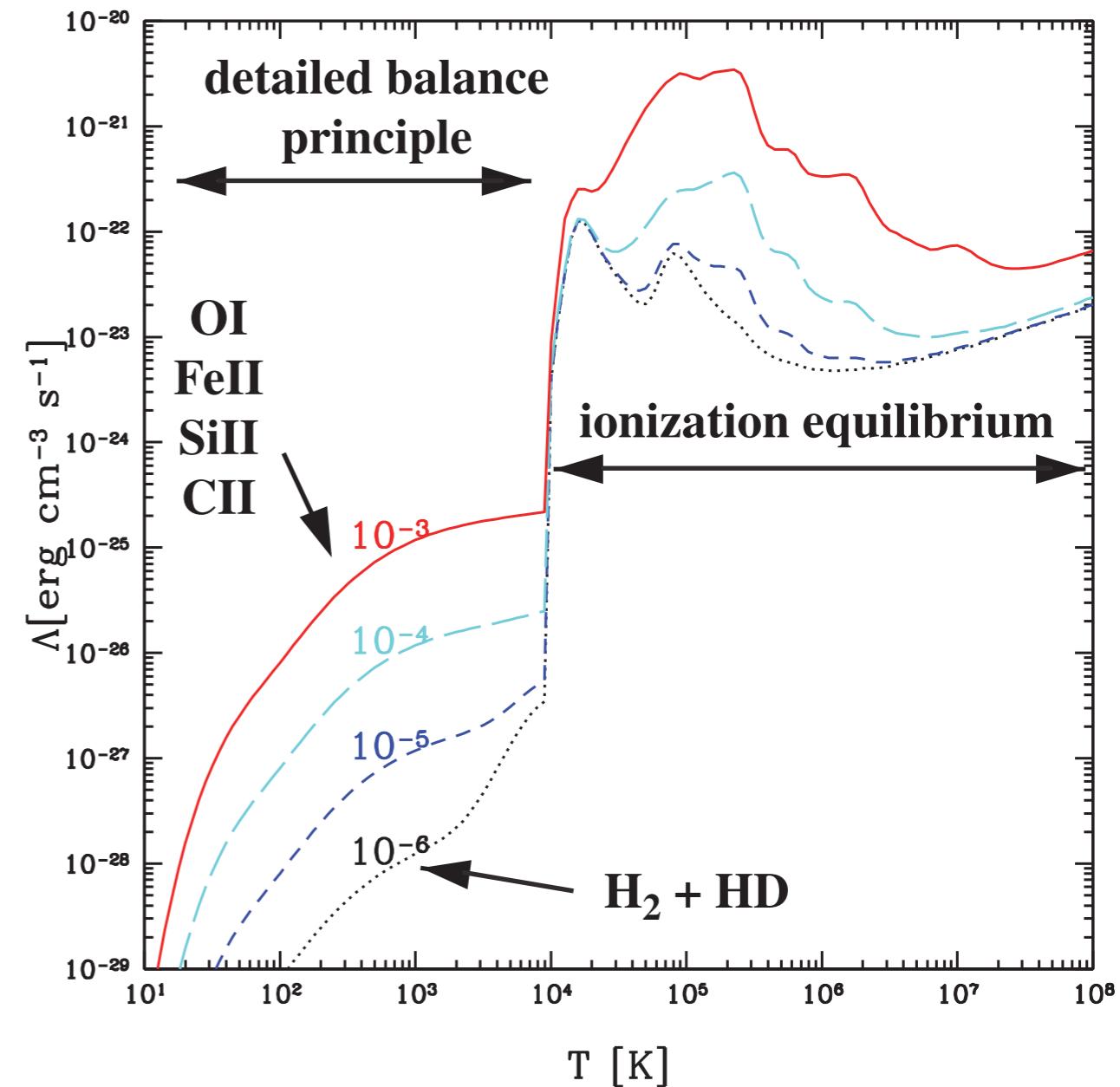
Blumenthal+'84; Peacock textbook, p.572

Cooling Curve @ $T < 10^4$ K

(for higher redshift, lower mass halos, or for star formation)



Barkana & Loeb '01



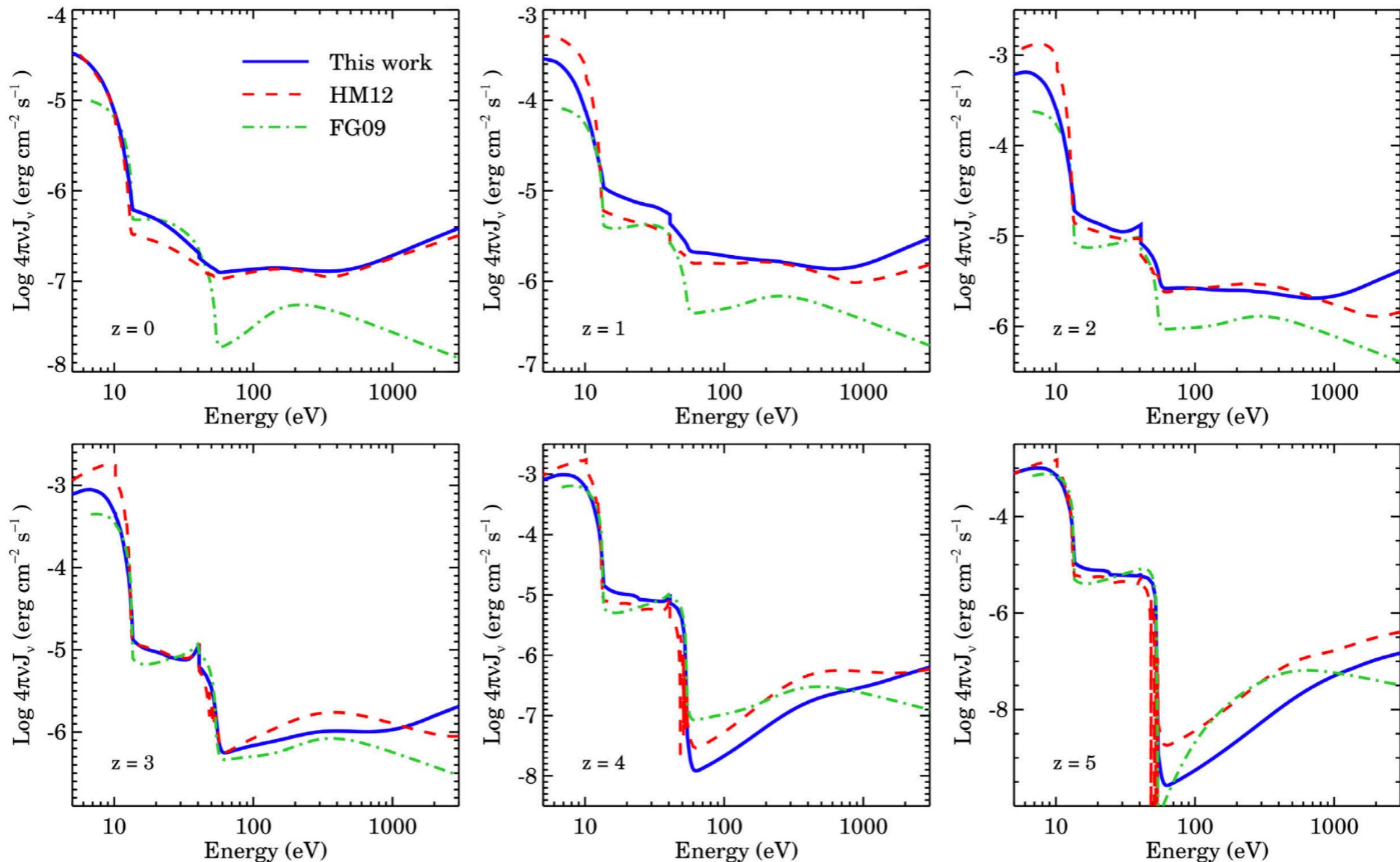
Maio+ '07

cf: $T_{vir} \sim 10^4$ K for atomic cooling halo of $M_h \sim 10^8 M_\odot$

UV background (UVB) radiation

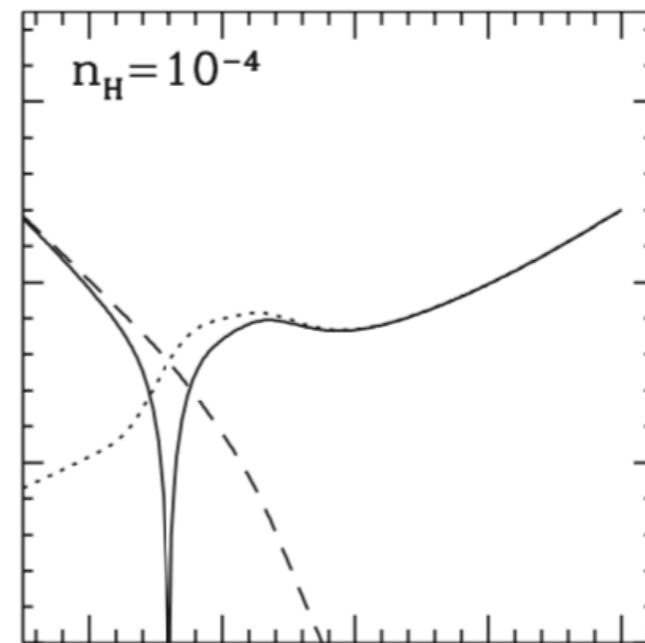
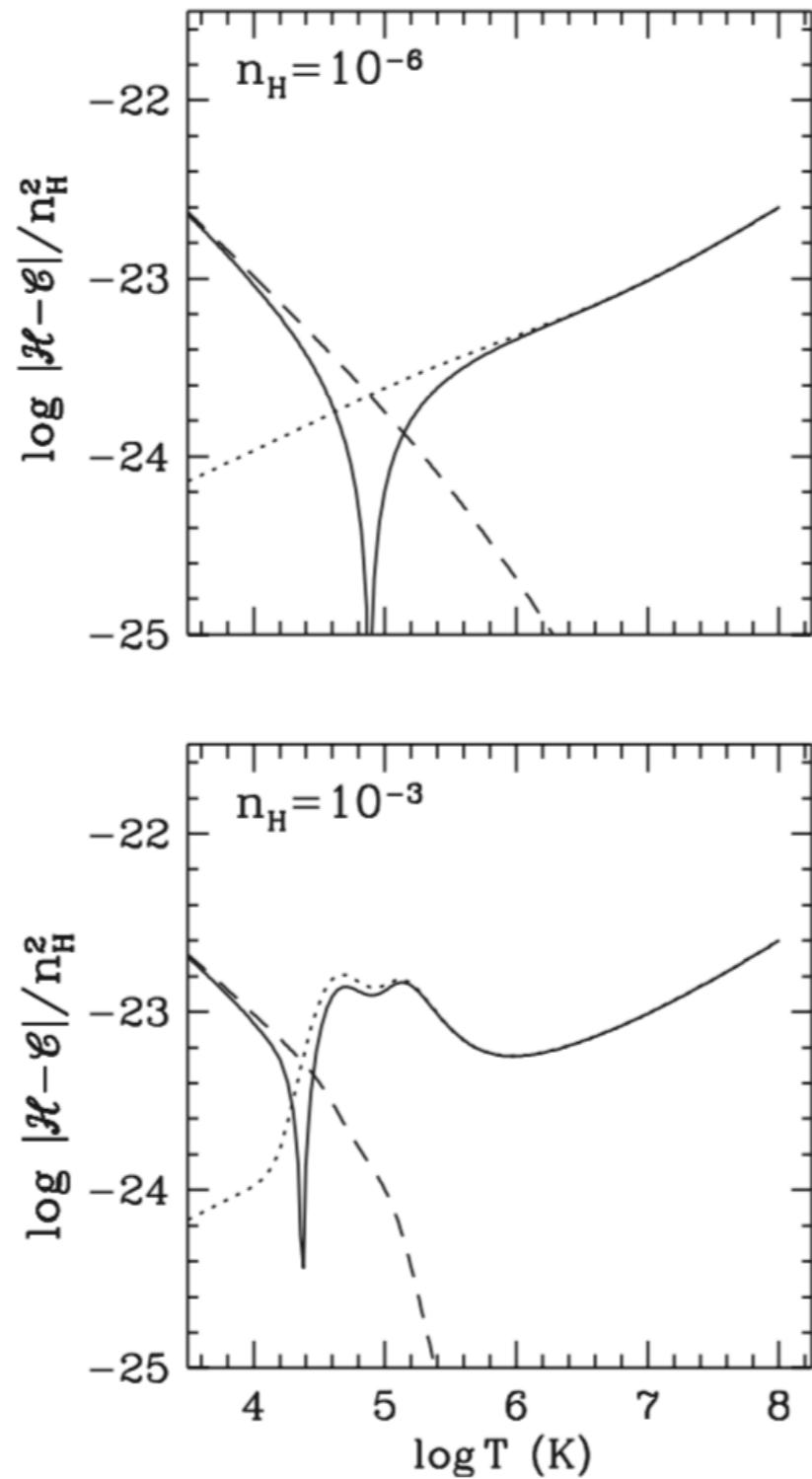
specific intensity:

$$J_{\nu_0}(z_0) = \frac{c}{4\pi} \int_{z_0}^{\infty} dz \frac{(1+z_0)^3 \epsilon_{\nu}(z)}{(1+z) H(z)} e^{-\tau_{\text{eff}}(\nu_0, z_0, z)}.$$

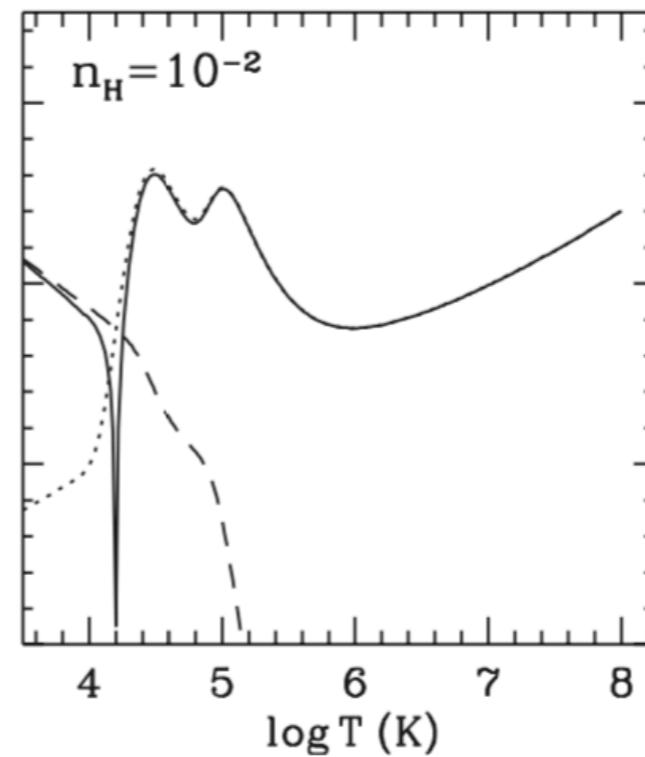
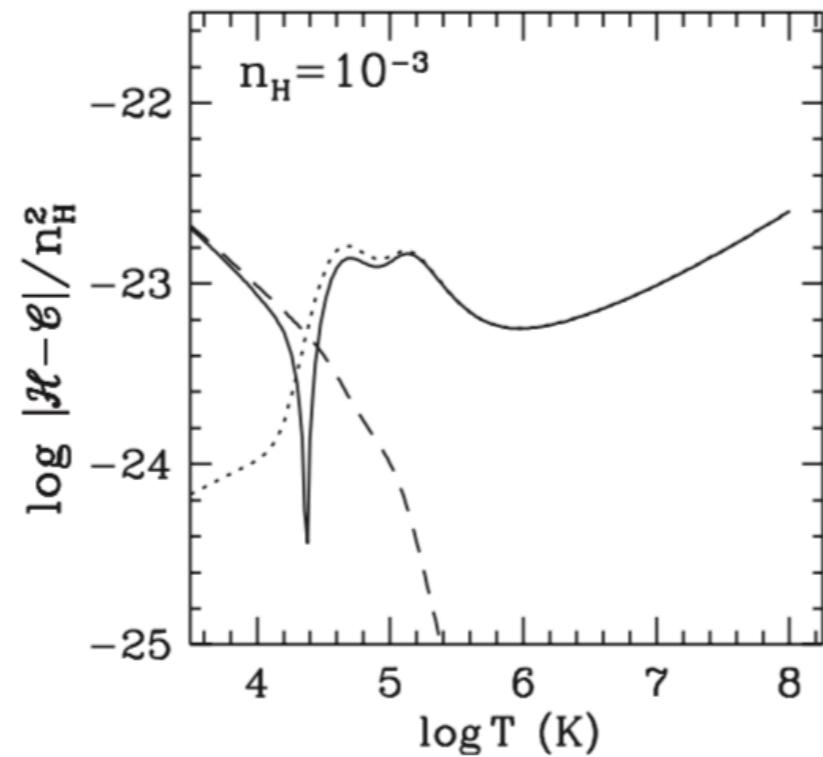


Net cooling rate with heating

solid line:
net rate
[erg cm³ s⁻¹]



dotted:
cooling rate



dashed:
photo-ioniz.
heating rate



Weinberg+’97

With UVB: $J(\nu) = 10^{-22}(\nu_{\text{H}}/\nu) \text{ erg s}^{-1} \text{ cm}^{-2} \text{ sr}^{-1} \text{ Hz}^{-1}$

Useful code packages for cooling

Grackle : <https://grackle.readthedocs.io/en/grackle-3.1.1/>

(taken out of Enzo AMR simulation)

by B. Smith

KROME: <http://www.kromepackage.org/>

by Grassi, Bovino+

Cloudy: <https://www.nublado.org/>

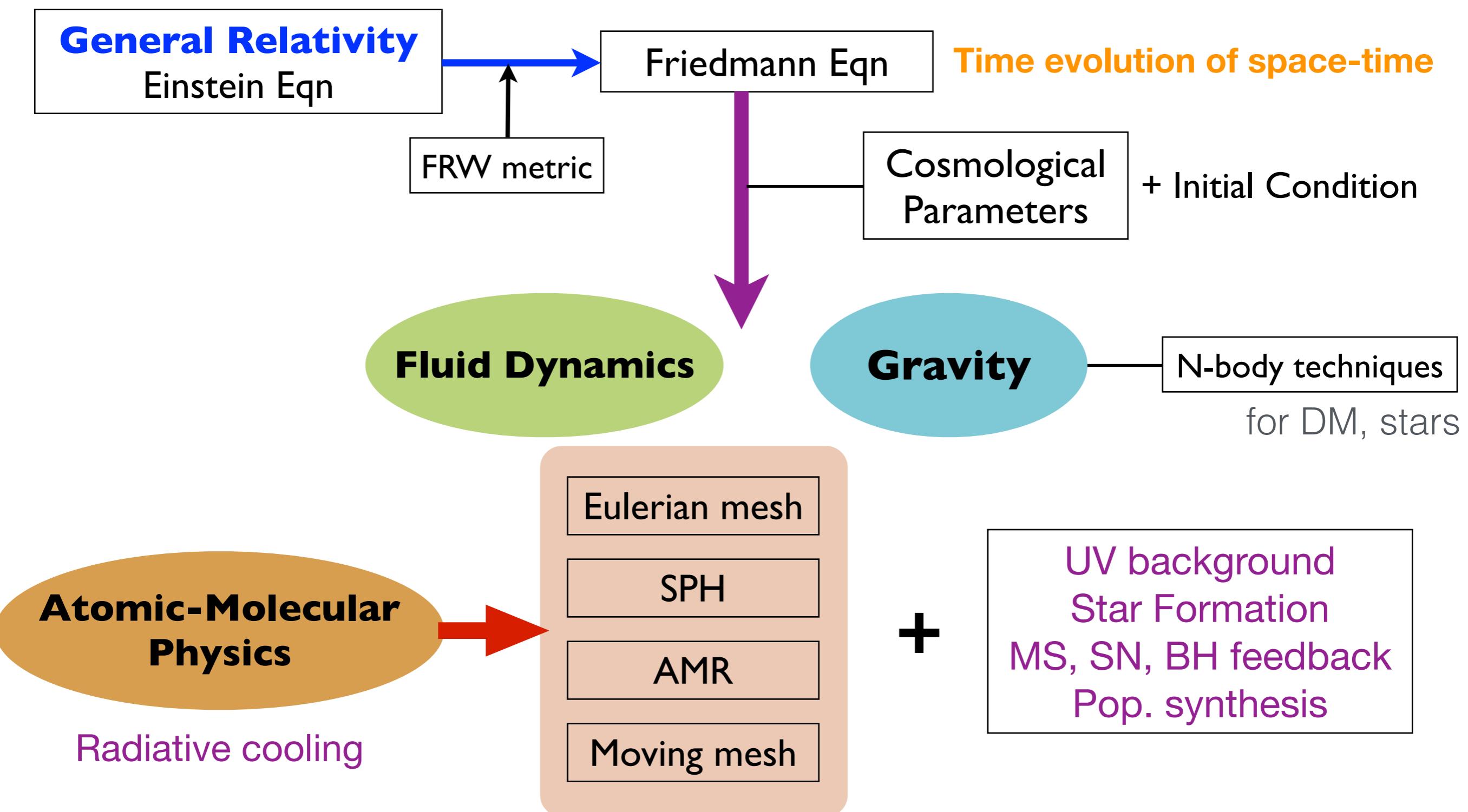
by G. Ferland+

MAPPINGS III: <http://cdsweb.u-strasbg.fr/~allen/shock.html>

shock & photo-ionization model

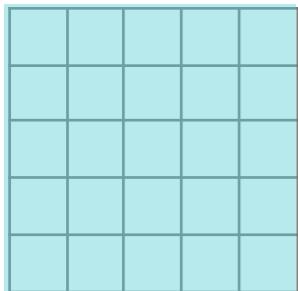
by Allen+

Framework of Computational Cosmology



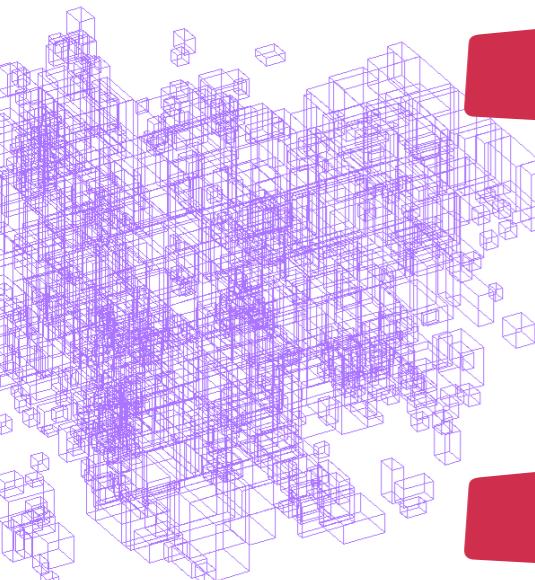
(Ch.2 of Encyclopedia of C.)

Cosmological Hydro Codes



Eulerian mesh (e.g. [Cen & Ostriker '92; KN+'01](#))

- Eulerian mesh, PM gravity solver, shock capturing hydro
- fast; good baryonic mass resolution at early times
- low final spatial resolution in high- ρ regions, but good at low- ρ regions



AMR (adaptive mesh refinement: e.g. [Enzo, RAMSES, etc.](#))

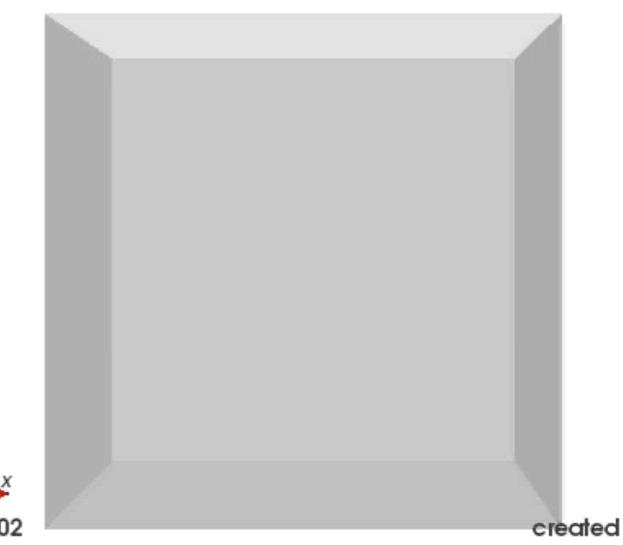
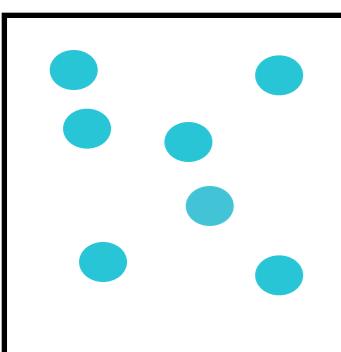
- Eulerian root grid, refine as necessary
- multi-grid PM gravity solver, ZEUS hydro, PPM hydro
- high dynamic range, but slower

**AMR-SPH
comparison:**
[O'Shea, KN+ '05](#)



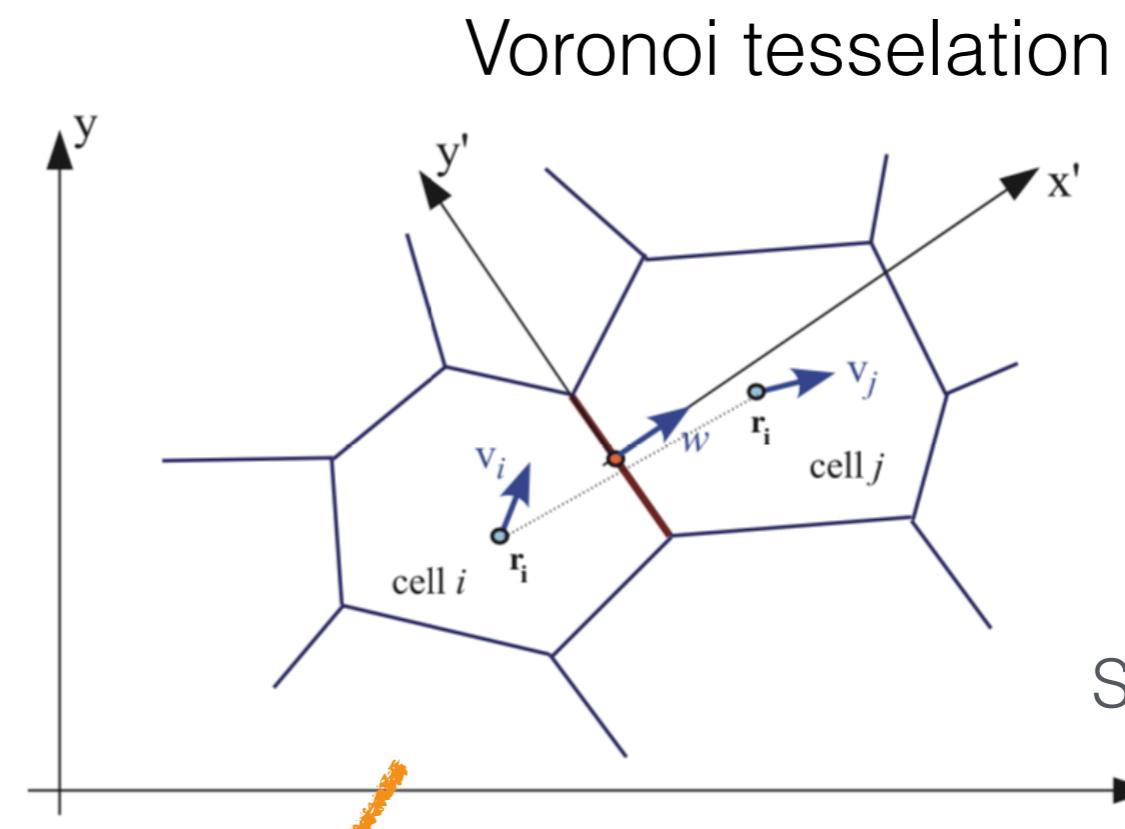
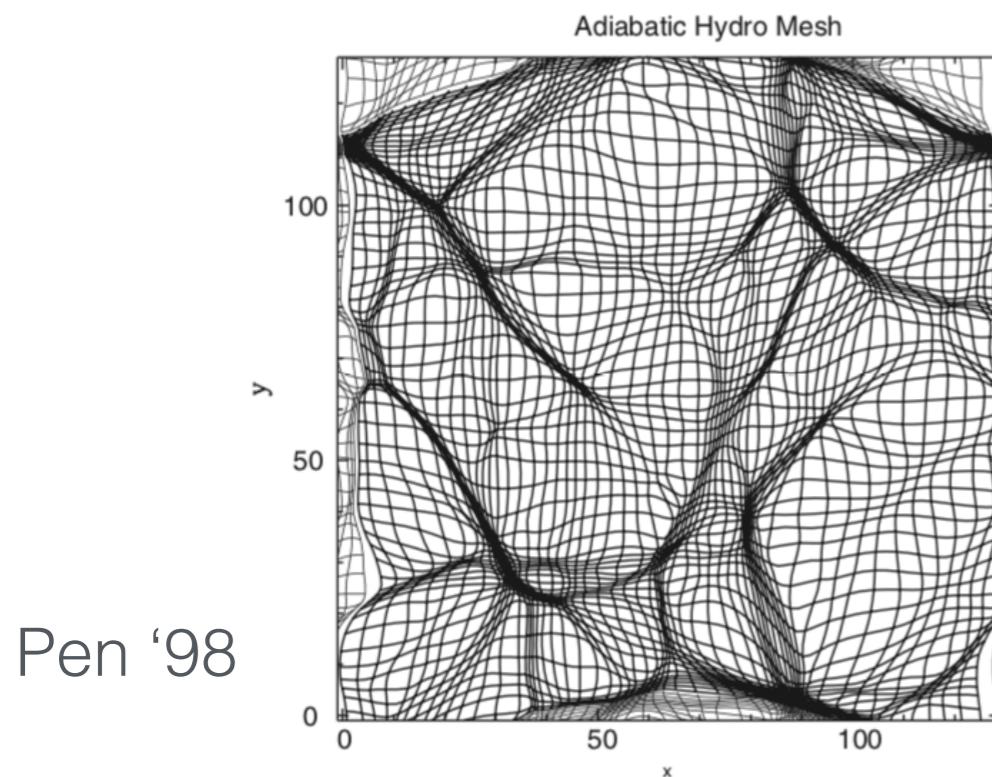
SPH (Smoothed Particle Hydrodynamics: e.g. [GADGET, GASOLINE, etc.](#))

- Lagrangian, particle-based (both gas & dark matter)
- Tree-PM for gravity
- SPH for hydro
- fast; good spatial resolution in high- ρ region, but not so good in low- ρ region



Furthermore,

Moving mesh method:

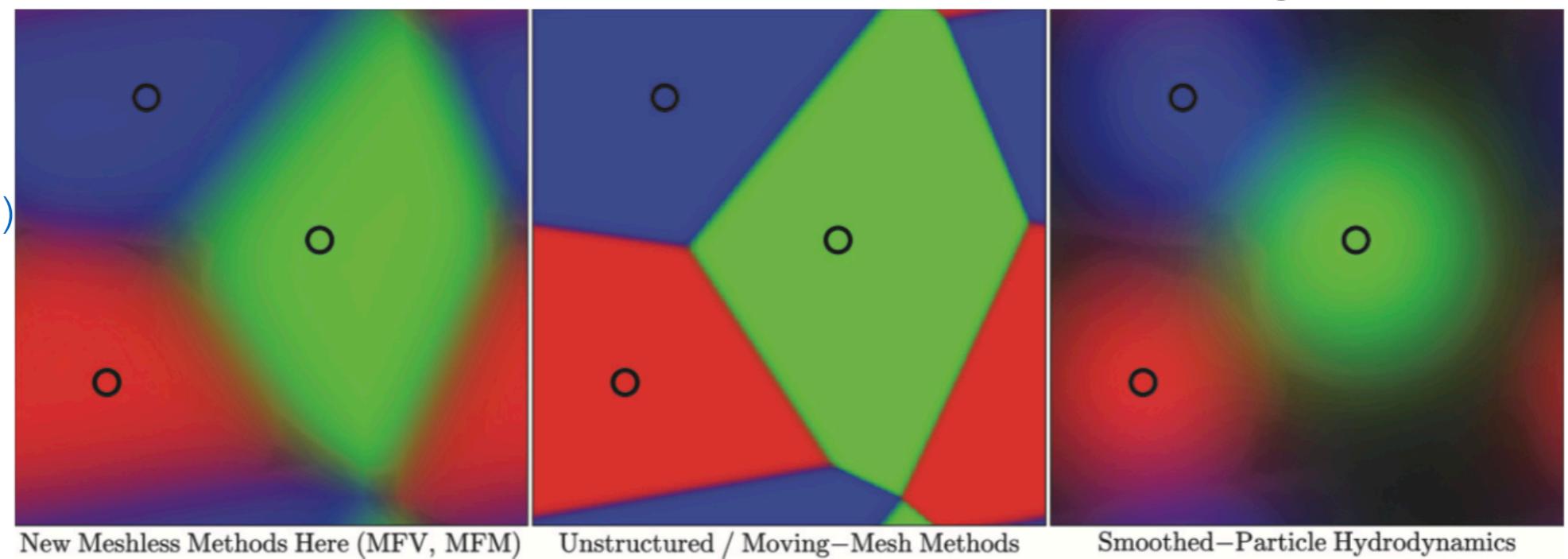


Mesh-less method:

Gizmo

(based on GADGET-3)

Hopkins '12



Cosmological Hydrodynamics

Mass consv.

$$\frac{\partial \rho}{\partial t} + \frac{1}{a} \frac{\partial}{\partial x_k} (\rho u_k) = 0 ,$$

ρ : comoving density

Momentum consv.

$$\frac{\partial \rho u_i}{\partial t} + \frac{1}{a} \frac{\partial}{\partial x_k} (\rho u_i u_k + P \delta_{ik}) = - \frac{\dot{a}}{a} \rho u_i ,$$

u : proper peculiar vel.

Energy consv.

$$\frac{\partial E}{\partial t} + \frac{1}{a} \frac{\partial}{\partial x_k} [(E + P) u_k] = - \frac{2\dot{a}}{a} E .$$

where $E \equiv P/(\gamma - 1) + \frac{1}{2} \rho u_k^2$

total specific energy
per comoving vol.

Plus

1. The gravitational source term in the comoving coordinates.
2. The Compton cooling (or heating) term due to interactions of free electrons with the diffuse microwave background radiation field and the diffuse X-ray background radiation field.
3. The integrated radiative cooling-heating term due to hydrogen and helium, which includes electron bremsstrahlung cooling, hydrogen and helium recombination cooling, helium dielectronic recombination cooling, hydrogen and helium collisional ionization cooling, hydrogen and helium collisional excitation cooling, and photoionization heating.
4. Numerical diffusion terms and terms induced from them.

H, He, H_{II}, He_{II}, ...

$$\frac{\partial \rho}{\partial t} + \frac{1}{a} \frac{\partial}{\partial x_k} (\rho u_k - D_{\rho k}) = 0 , \quad (4a)$$

$$\frac{\partial \rho_{\text{H I}}}{\partial t} + \frac{1}{a} \frac{\partial}{\partial x_k} (\rho_{\text{H I}} u_k - D_{\rho_{\text{H I}},k}) = n(e) (f_{\text{H}} \rho - \rho_{\text{H I}}) \alpha_{\text{H II}}(T) - n(e) \rho_{\text{H I}} \beta_{\text{H}}(T) - \rho_{\text{H I}} \int_{\nu_0(\text{H})}^{\infty} 4\pi \sigma_{\nu}(\text{H}) \frac{i(\nu)}{h\nu} d\nu , \quad (4b)$$

$$\begin{aligned} \frac{\partial \rho_{\text{He I}}}{\partial t} + \frac{1}{a} \frac{\partial}{\partial x_k} (\rho_{\text{He I}} u_k - D_{\rho_{\text{He I}},k}) &= n(e) \rho_{\text{He II}} [\alpha_{\text{He II}}(T) + \xi_{\text{He II}}(T)] - n(e) \rho_{\text{He I}} \beta_{\text{He I}}(T) \\ &\quad - \rho_{\text{He I}} \int_{\nu_0(\text{He I})}^{\infty} 4\pi \sigma_{\nu}(\text{He I}) \frac{i(\nu)}{h\nu} d\nu , \end{aligned} \quad (4c)$$

$$\begin{aligned} \frac{\partial \rho_{\text{He II}}}{\partial t} + \frac{1}{a} \frac{\partial}{\partial x_k} [\rho_{\text{He II}} u_k - D_{\rho_{\text{He II}},k}] &= n(e) [(1 - f_{\text{H}}) \rho - \rho_{\text{He I}} - \rho_{\text{He II}}] \alpha_{\text{He III}}(T) - n(e) \rho_{\text{He II}} \beta_{\text{He II}}(T) \\ &\quad - \rho_{\text{He II}} \int_{\nu_0(\text{He II})}^{\infty} 4\pi \sigma_{\nu}(\text{He II}) \frac{i(\nu)}{h\nu} d\nu - n(e) \rho_{\text{He II}} [\alpha_{\text{He II}}(T) + \xi_{\text{He II}}(T)] \\ &\quad + n(e) \rho_{\text{He I}} \beta_{\text{He I}}(T) + \rho_{\text{He I}} \int_{\nu_0(\text{He I})}^{\infty} 4\pi \sigma_{\nu}(\text{He I}) \frac{i(\nu)}{h\nu} d\nu , \end{aligned} \quad (4d)$$

$$\frac{\partial \rho u_i}{\partial t} + \frac{1}{a} \frac{\partial}{\partial x_k} (\rho u_i u_k + P \delta_{ik} - D_{ik}) = - \frac{\dot{a}}{a} \rho u_i - \frac{1}{a} \rho \frac{\partial \phi}{\partial x_i} + \frac{1}{a} \frac{u_i}{u^2} D_{\rho k} \frac{\partial \phi}{\partial x_k} , \quad (5)$$

$$\frac{\partial E}{\partial t} + \frac{1}{a} \frac{\partial}{\partial x_k} [(E + P) u_k - D_{Ek}] = - \frac{2\dot{a}}{a} E - \frac{1}{a} \rho u_k \frac{\partial \phi}{\partial x_k} + \frac{1}{a} D_{\rho k} \frac{\partial \phi}{\partial x_k} - \Lambda_{\text{net}} , \quad (6)$$

radiation

$$\frac{\partial i(\nu)}{\partial t} = \frac{\dot{a}}{a} \left[\nu \frac{\partial i(\nu)}{\partial \nu} - 3i(\nu) \right] + c [j_{\text{ff}}(\nu) + j_{\text{fb},\text{H II}} + j_{\text{fb},\text{He II}} + j_{\text{fb},\text{He III}} - \kappa(\nu) i(\nu)] , \quad (7)$$

EOS

$$P = \frac{1}{m_P} \left(\rho_{\text{H I}} + \rho_{\text{H II}} + \frac{\rho_{\text{He I}} + \rho_{\text{He II}} + \rho_{\text{He III}}}{4} + \rho_{\text{H II}} + \frac{\rho_{\text{He II}}}{4} + \frac{\rho_{\text{He III}}}{2} \right) kT , \quad (8)$$

“Recipe” for Galaxy Formation

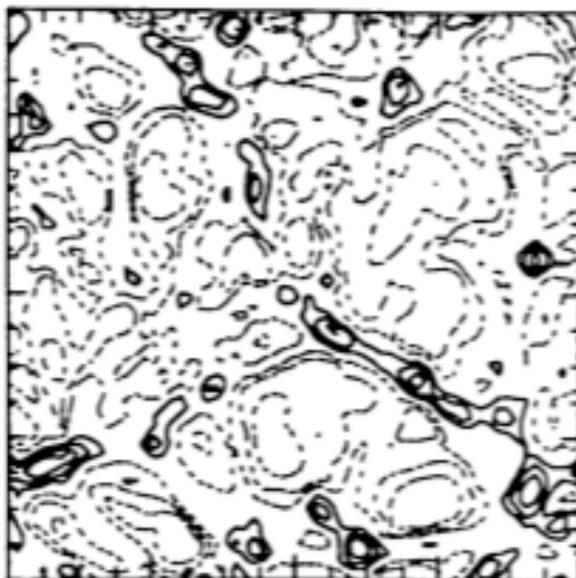
- Background Cosmology
- Gravitational Instability + spherical collapse model
- N-body dynamics (Dark Matter) — Ch.2 of EoC
- Hydrodynamics — Ch.3 of EoC
- Radiative Cooling of Gas, UVB
- Star Formation, Chemical Enrichment
- Feedback (SNe, AGNs)

Three Revolutions in Cosmological Hydro Simulations

1990': 1st Revolution

2001-2011
2nd Rev.

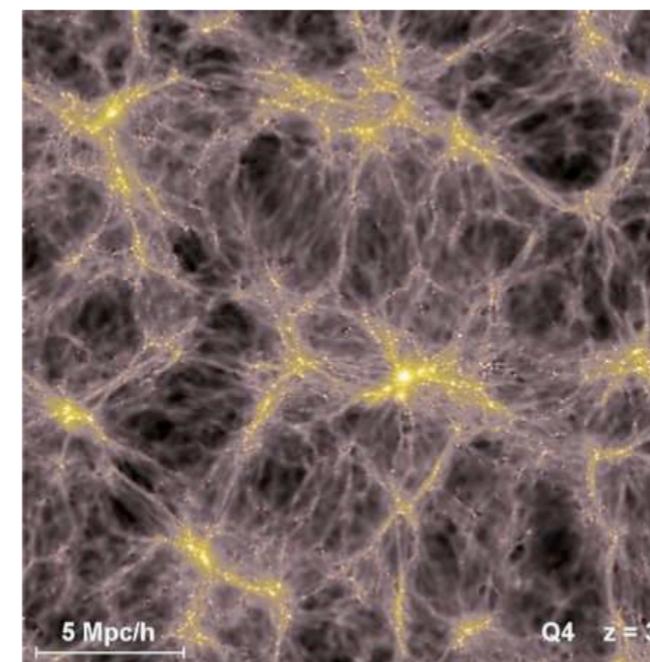
2012~
3rd Rev.



First cosmological, but coarse calculation

Resolution~100 kpc

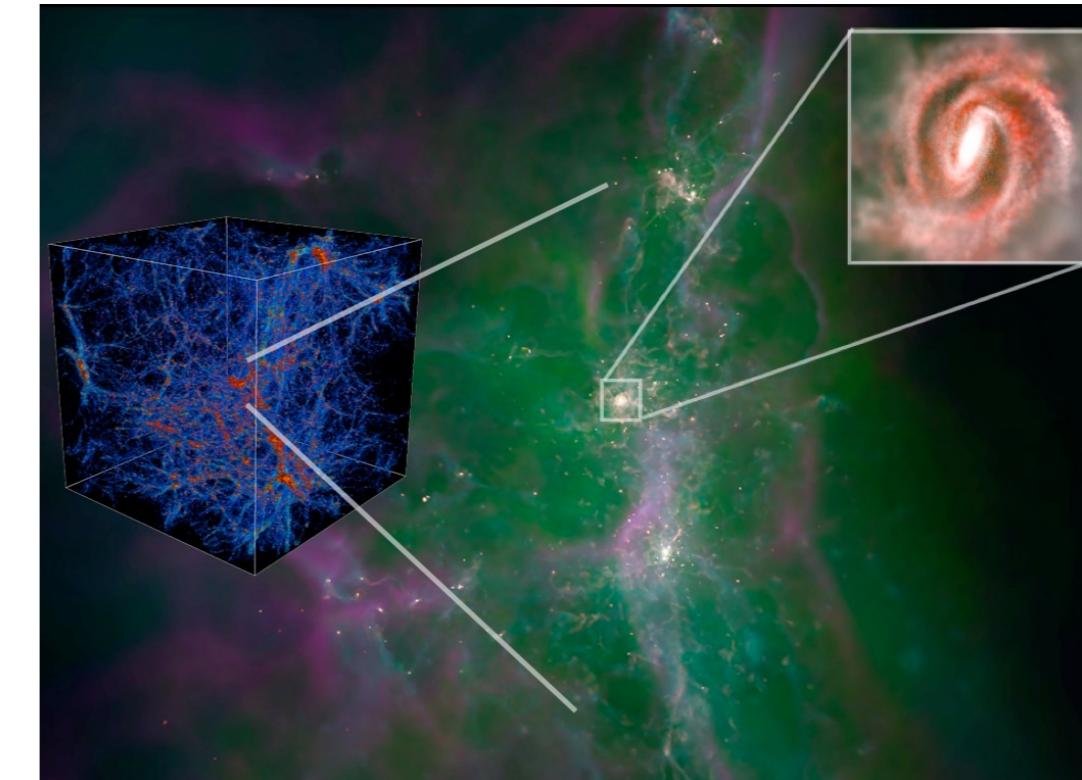
e.g. Cen, Ostriker '92-'93
Katz+ '96



Larger scale, medium resolution **w. subgrid models**

Resolution ~ kpc

e.g. KN+ '01, 04, 06
Springel & Hernquist '03



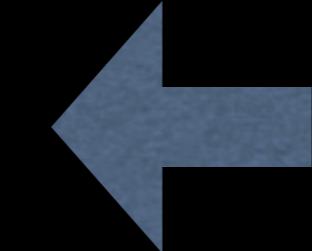
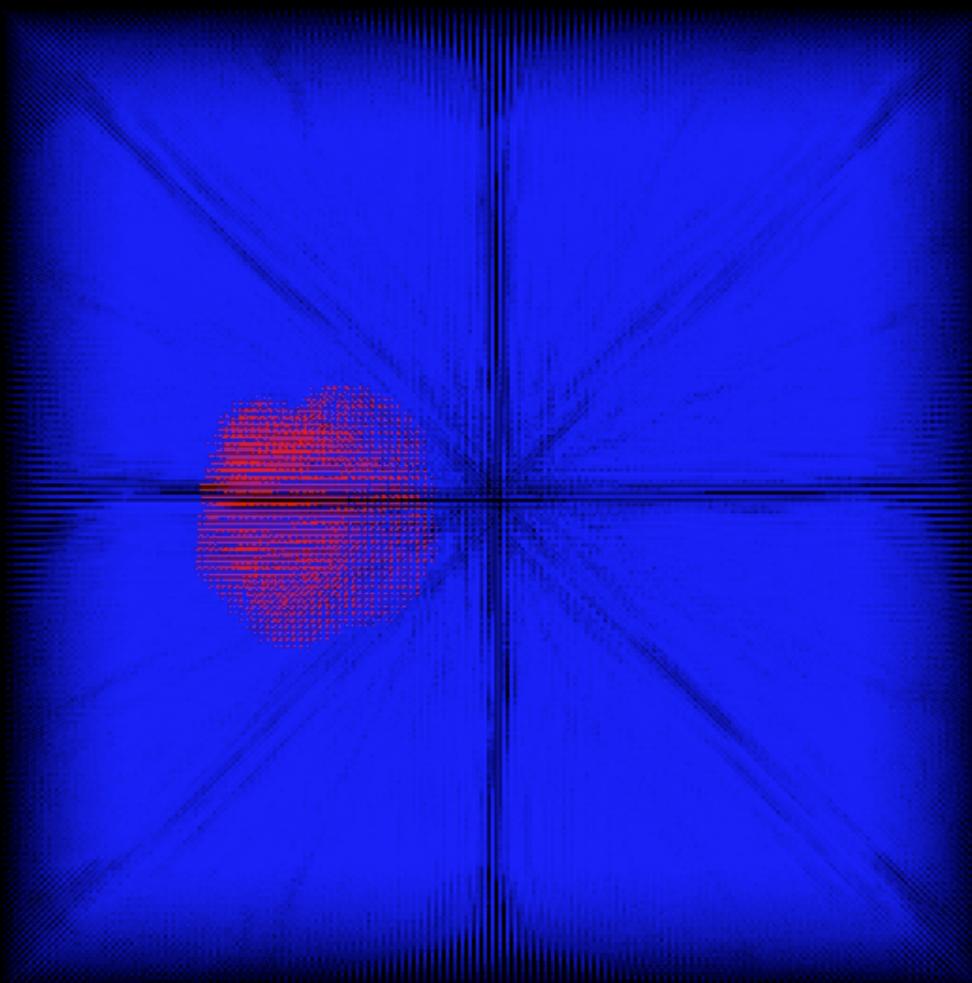
Zoom-in method allows much higher res.

Resolution~ 10-100pc

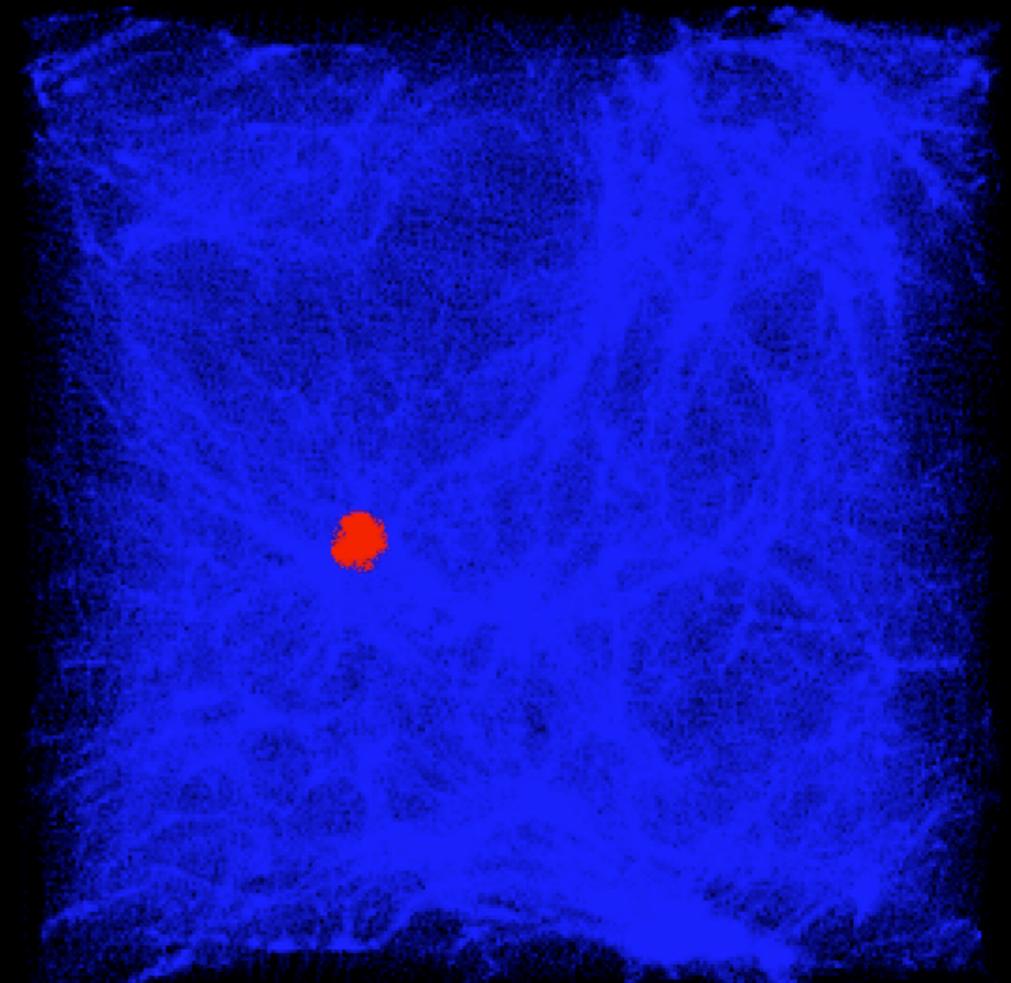
IC code: GRAFIC (Bertschinger)
MUSIC (Hahn & Abel '11)

Setting Up a Zoom-in Simulation

Identify Target Region



GO BACK



MUSIC (Hahn & Abel '11) + Thompson's
SPHGR (python analyses code suite)

Cosmological box

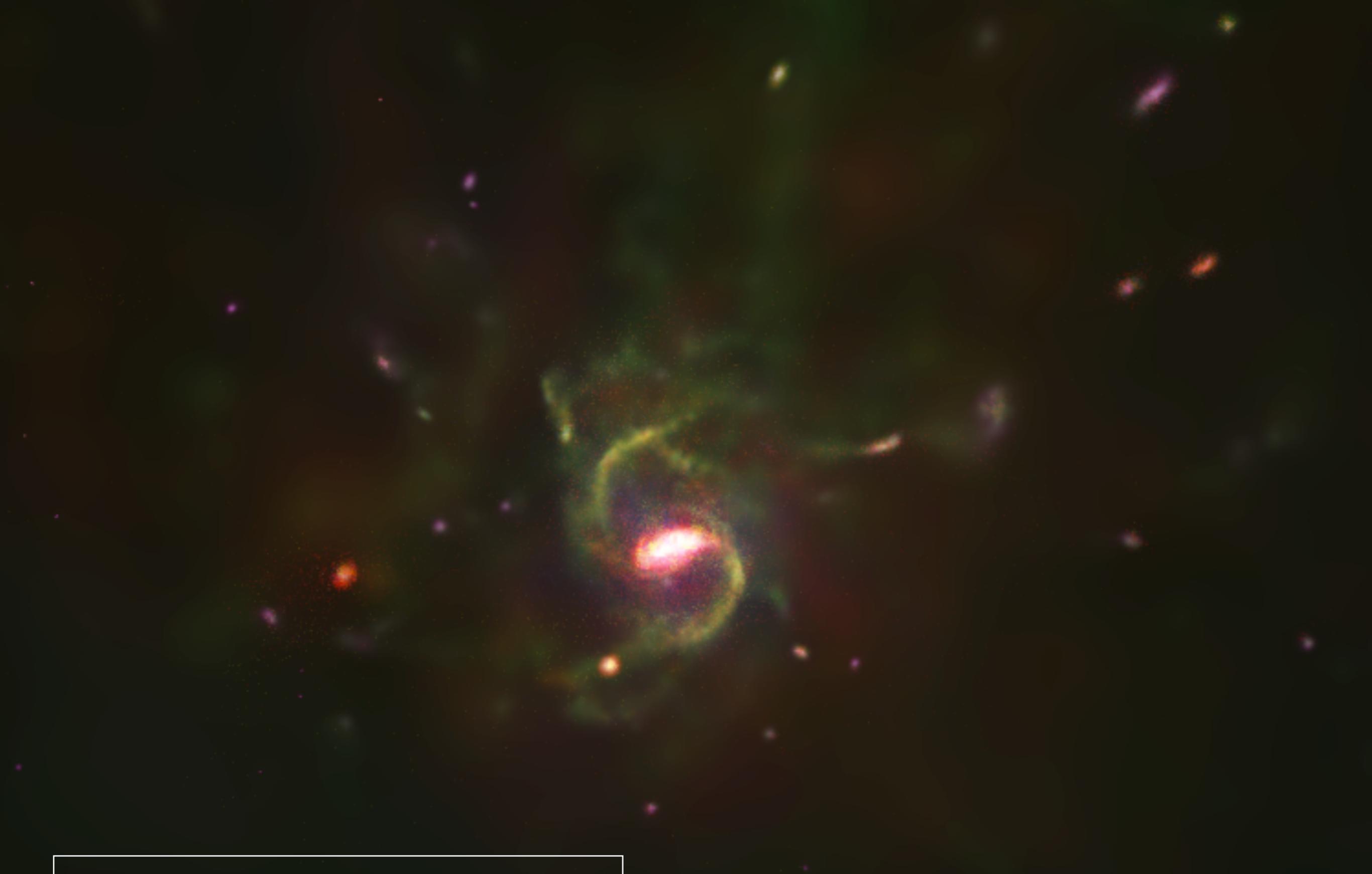
$z=2.01$



Zoom-in region

color=temperature, intensity=gas density
yellow dots = stars

Thompson & KN '13

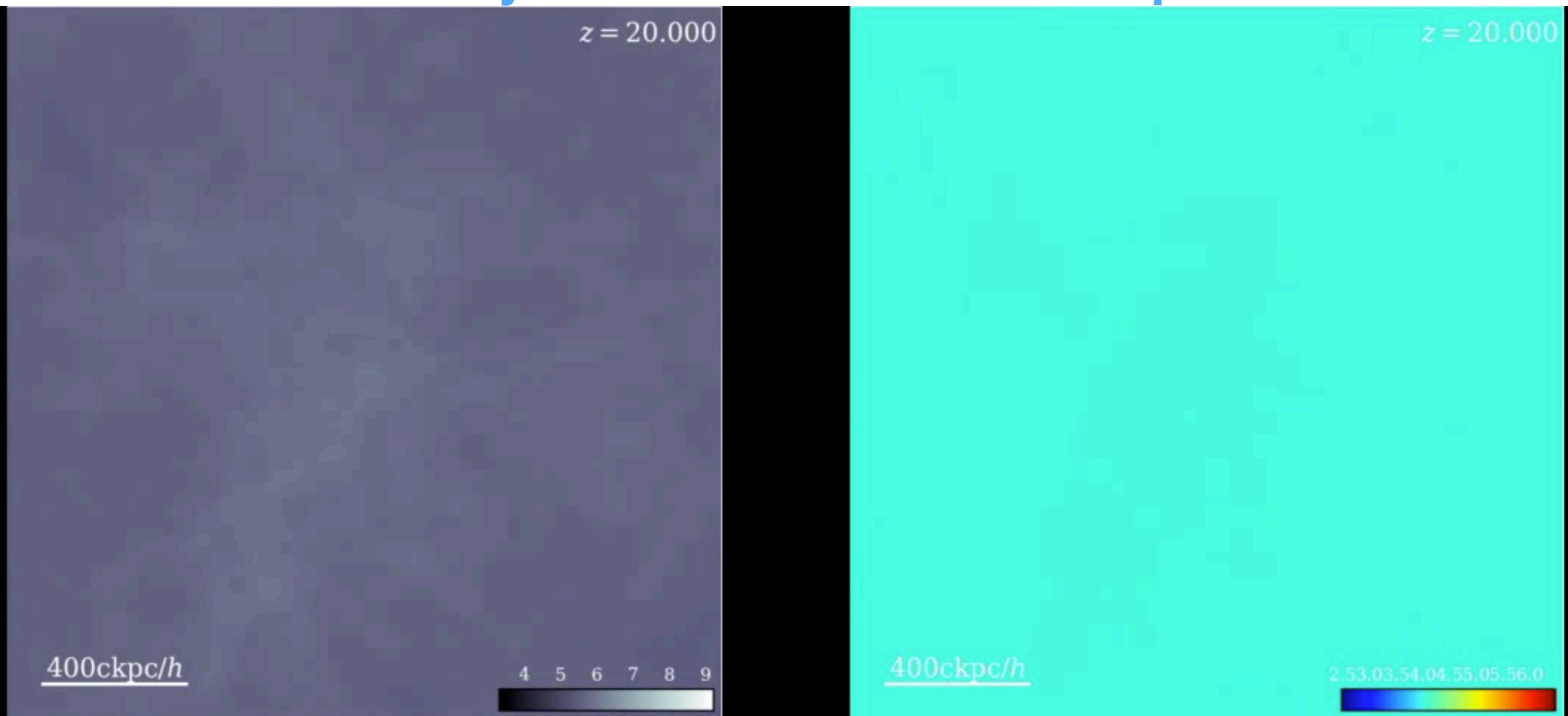


moderate res. zoom sim:
 $\sim 1.25 \text{ ckpc/h}$

$z \sim 2$

Gas Density

Temperature



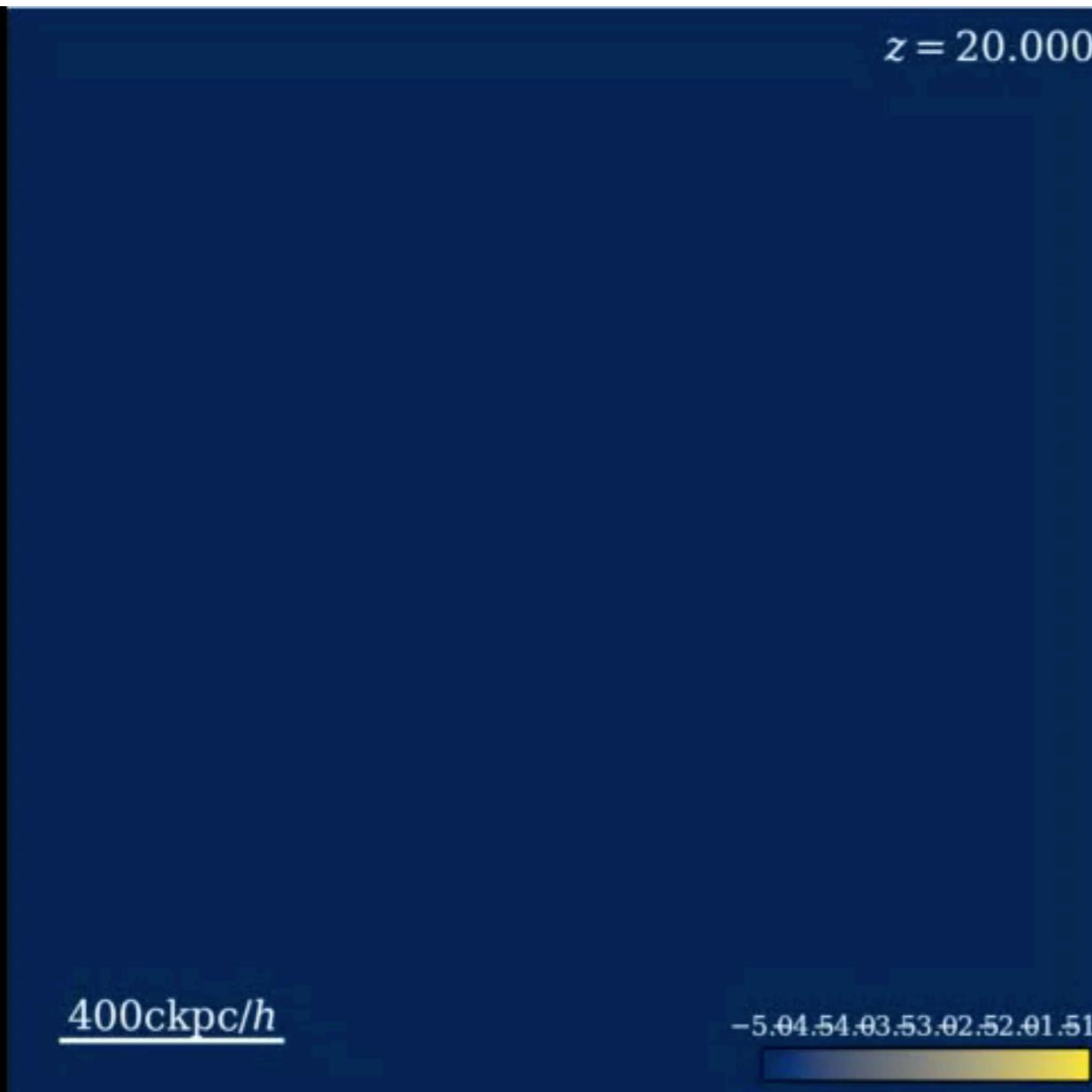
AGORA L12 sim.

Shimizu, KN+19

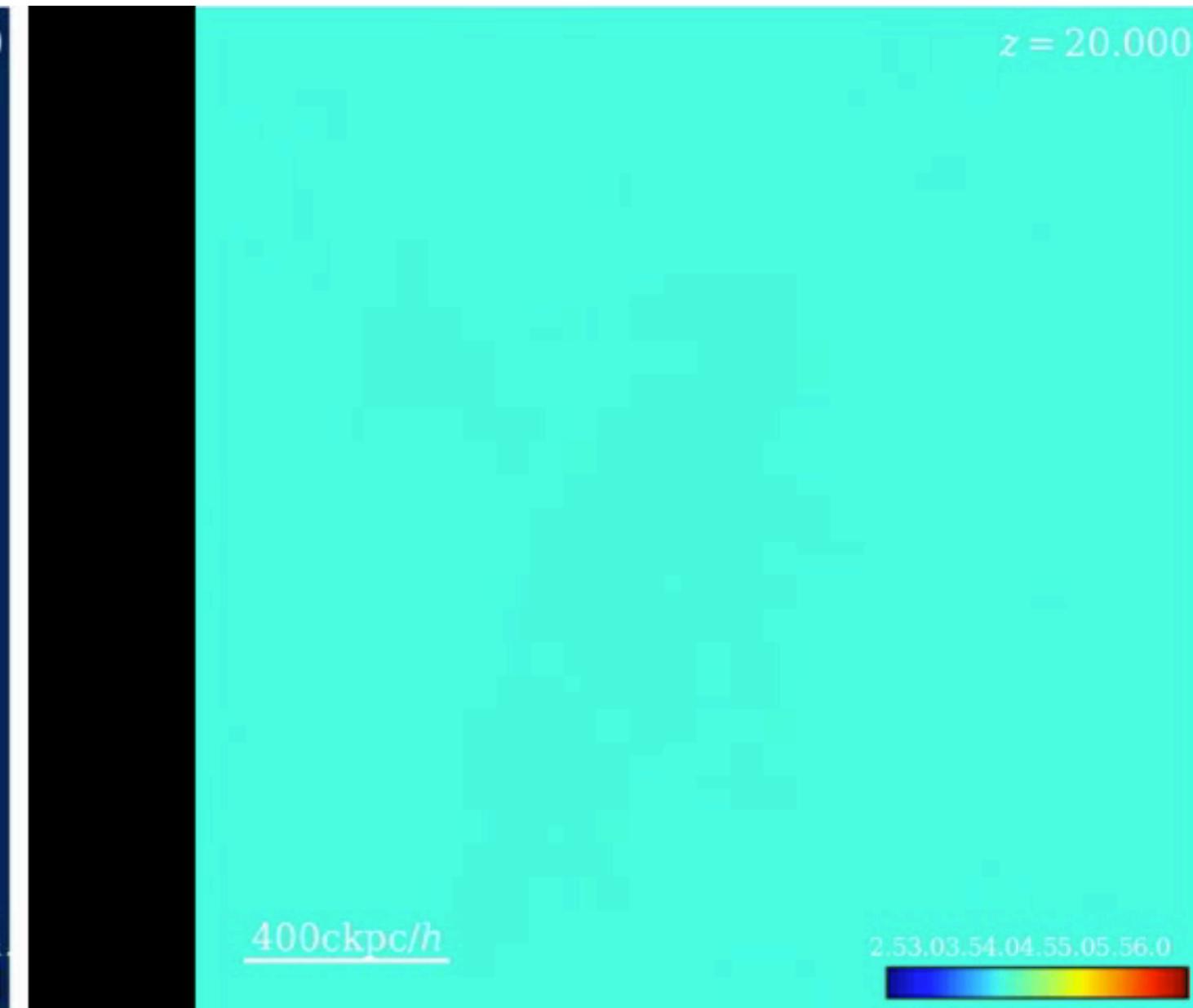
movie available in keynote and pptx here:

<https://www.dropbox.com/sh/ebocq9px5fyelwk/AAAHMhQsukOhsVJR7miESjama?dl=0>

Metallicity



Temperature



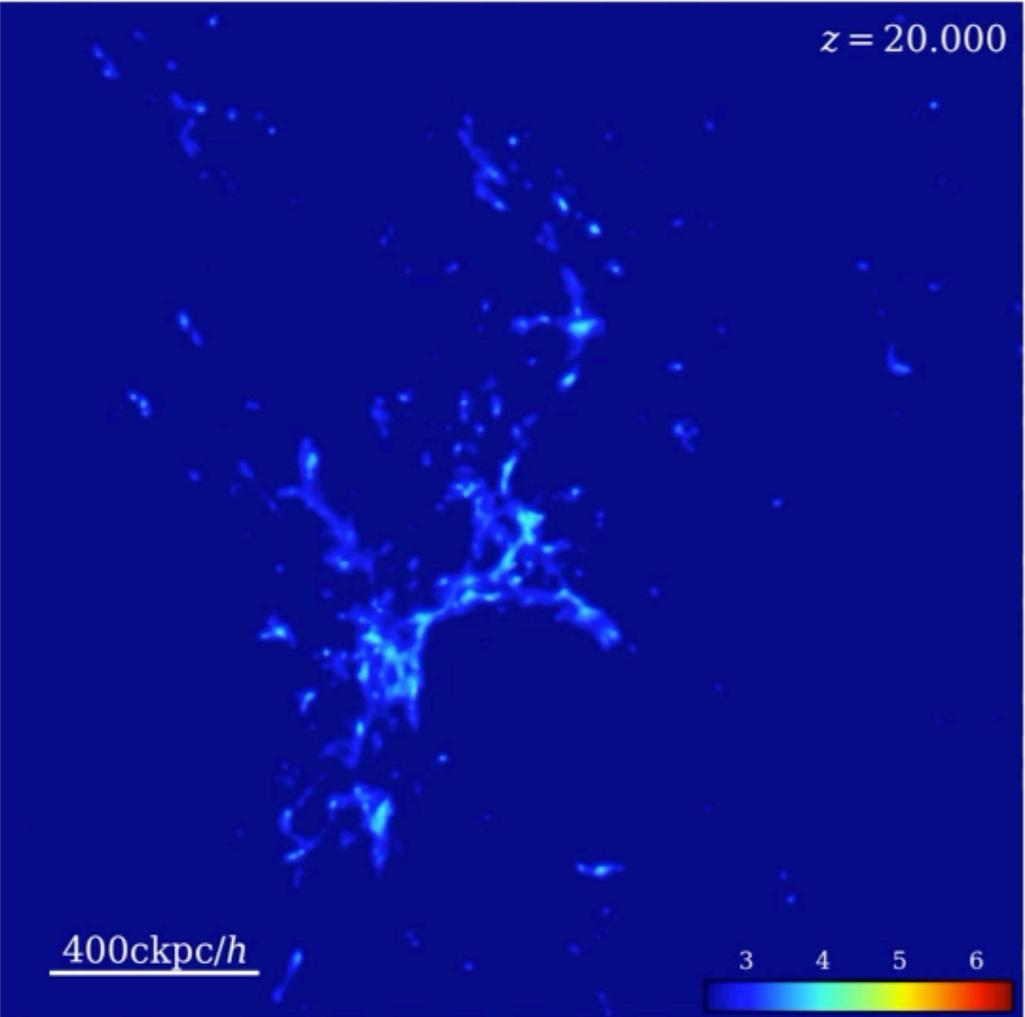
AGORA L12 sim.

Shimizu, KN+19

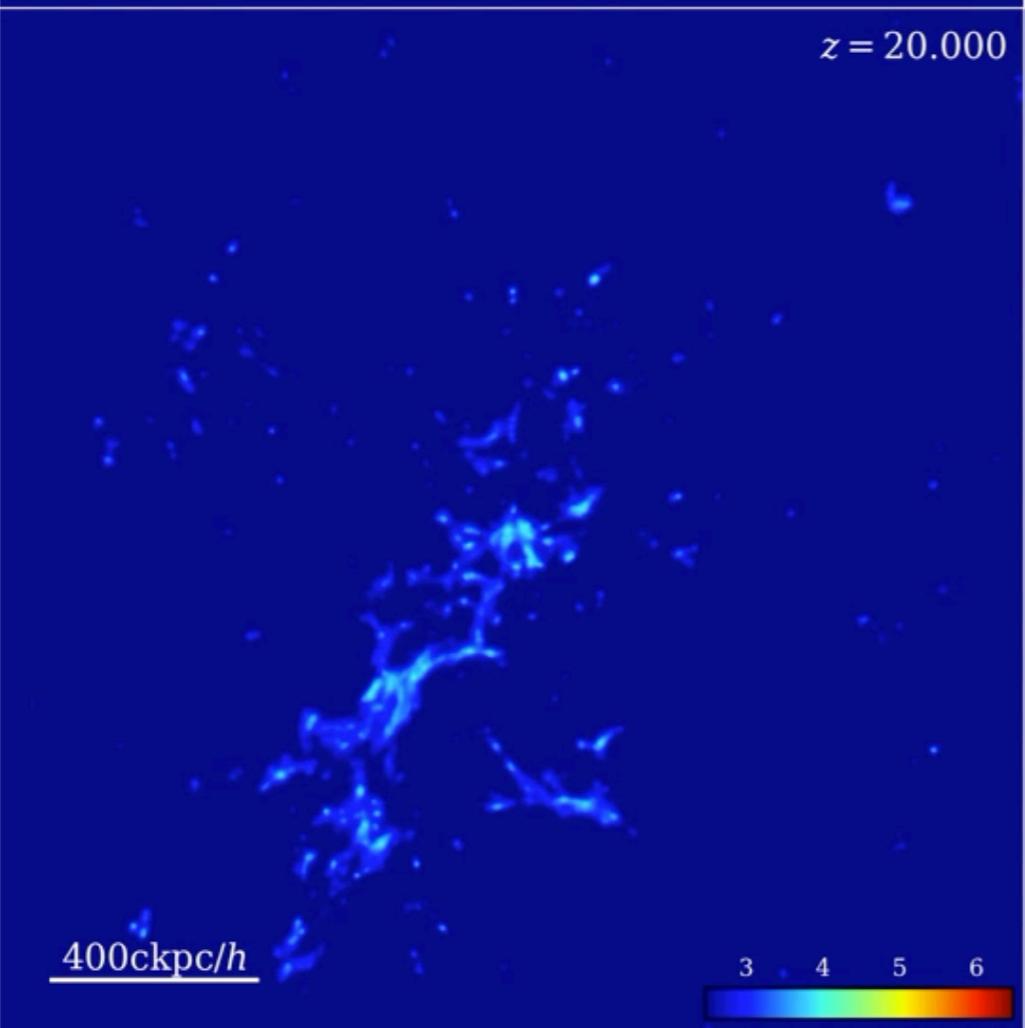
movie available in keynote and pptx here:

<https://www.dropbox.com/sh/ebocq9px5fyelwk/AAAHMhQsukOhsVJR7miESjama?dl=0>

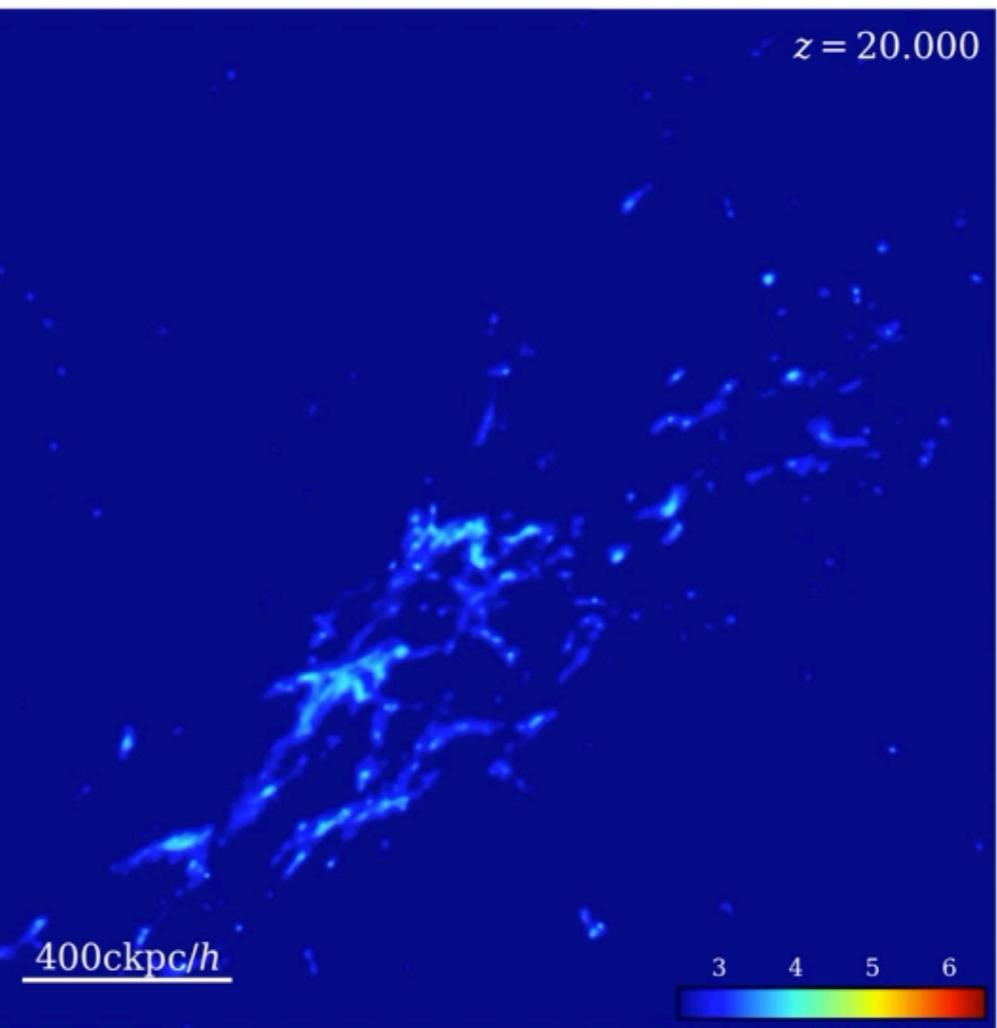
$z = 20.000$



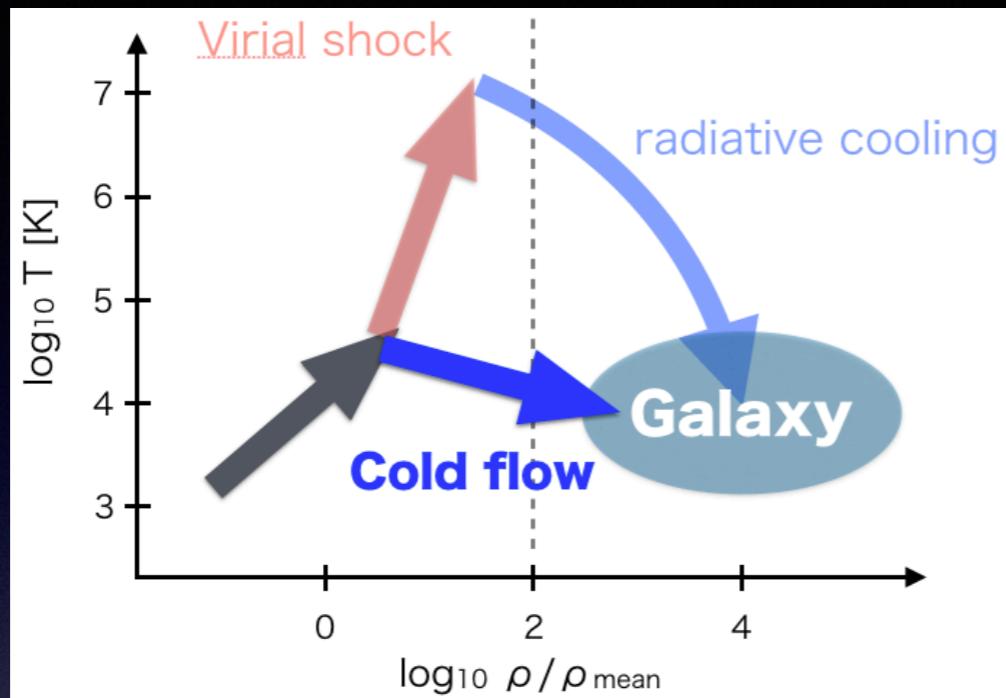
$z = 20.000$



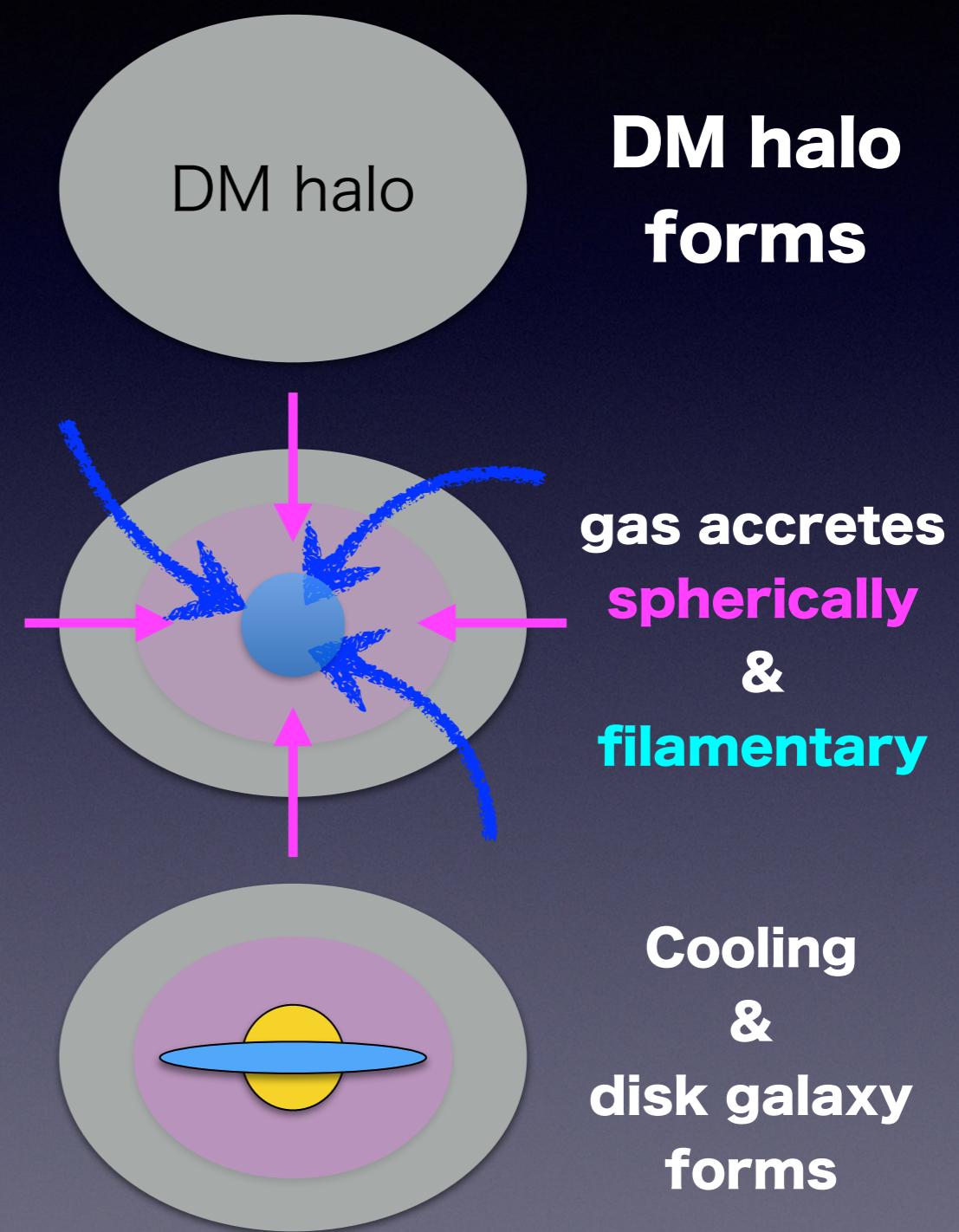
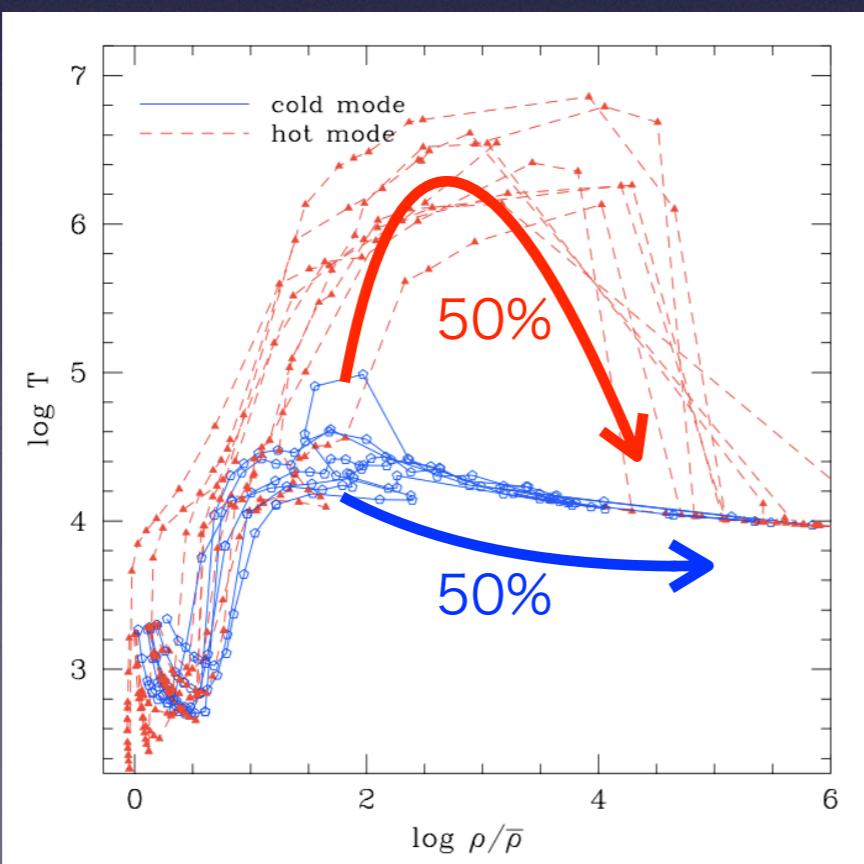
$z = 20.000$



Galaxy formation with cold flows

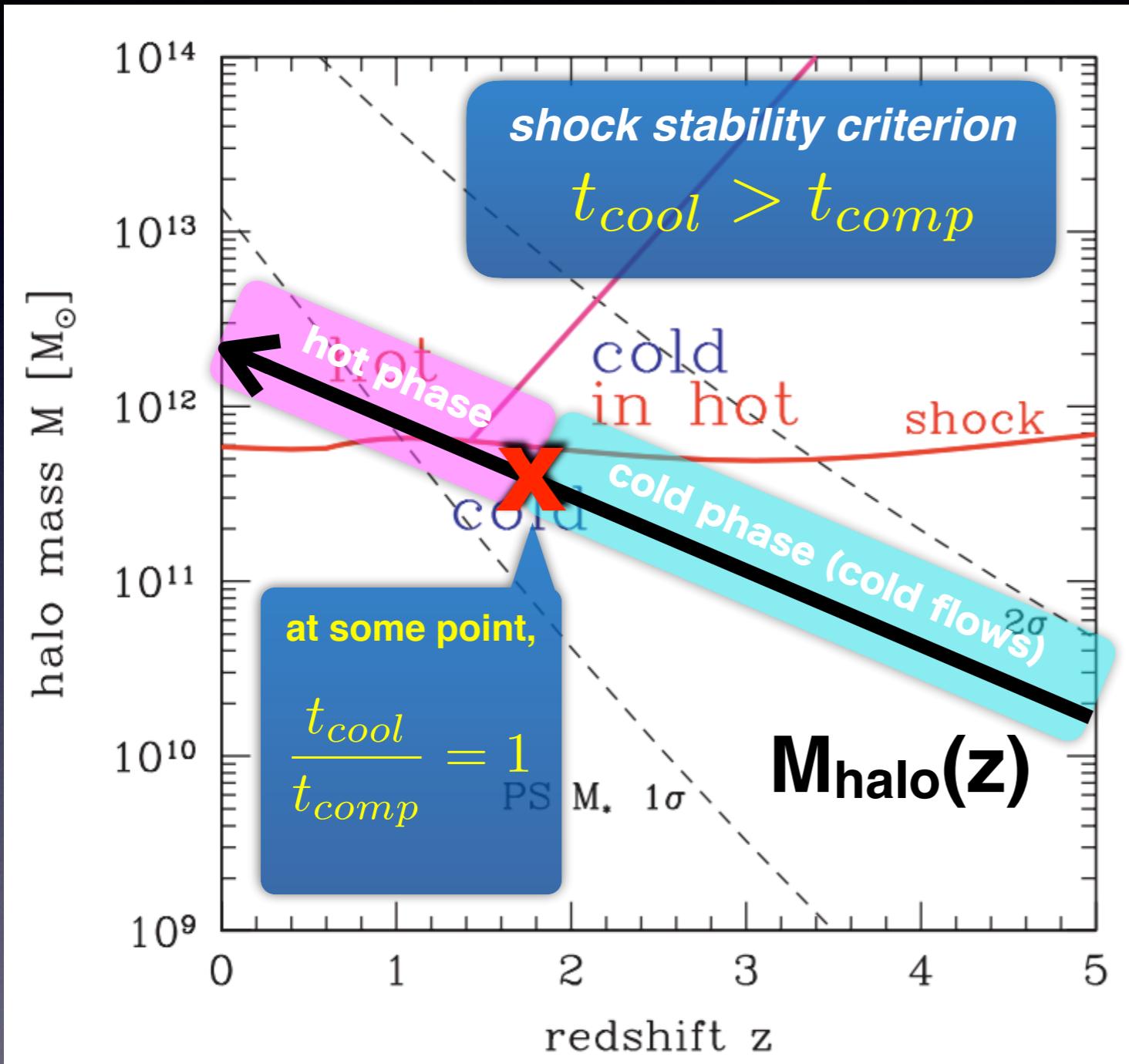


Keres+’05



cf. Kosei Matsumoto talk

Criteria for cold flows



$$t_{comp} = -\frac{\Gamma}{\nabla \cdot \mathbf{u}} \sim \Gamma t_{ff}$$

$$t_{cool} = \frac{\frac{3}{2} n k_B T}{n_H^2 \Lambda(T, Z)}$$

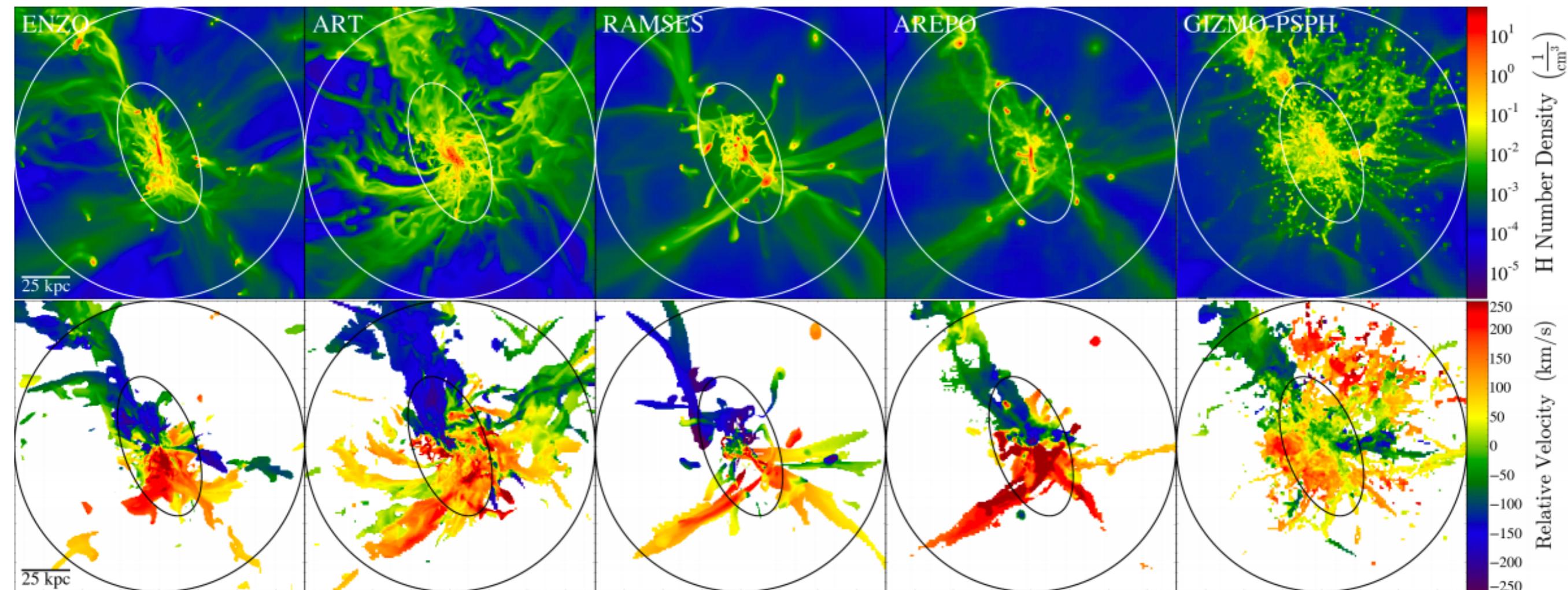
Compute

$$\frac{t_{cool}}{t_{comp}}$$

at R_v and examine the redshift evolution.

“Cold Flow Disk”

$z \sim 3$

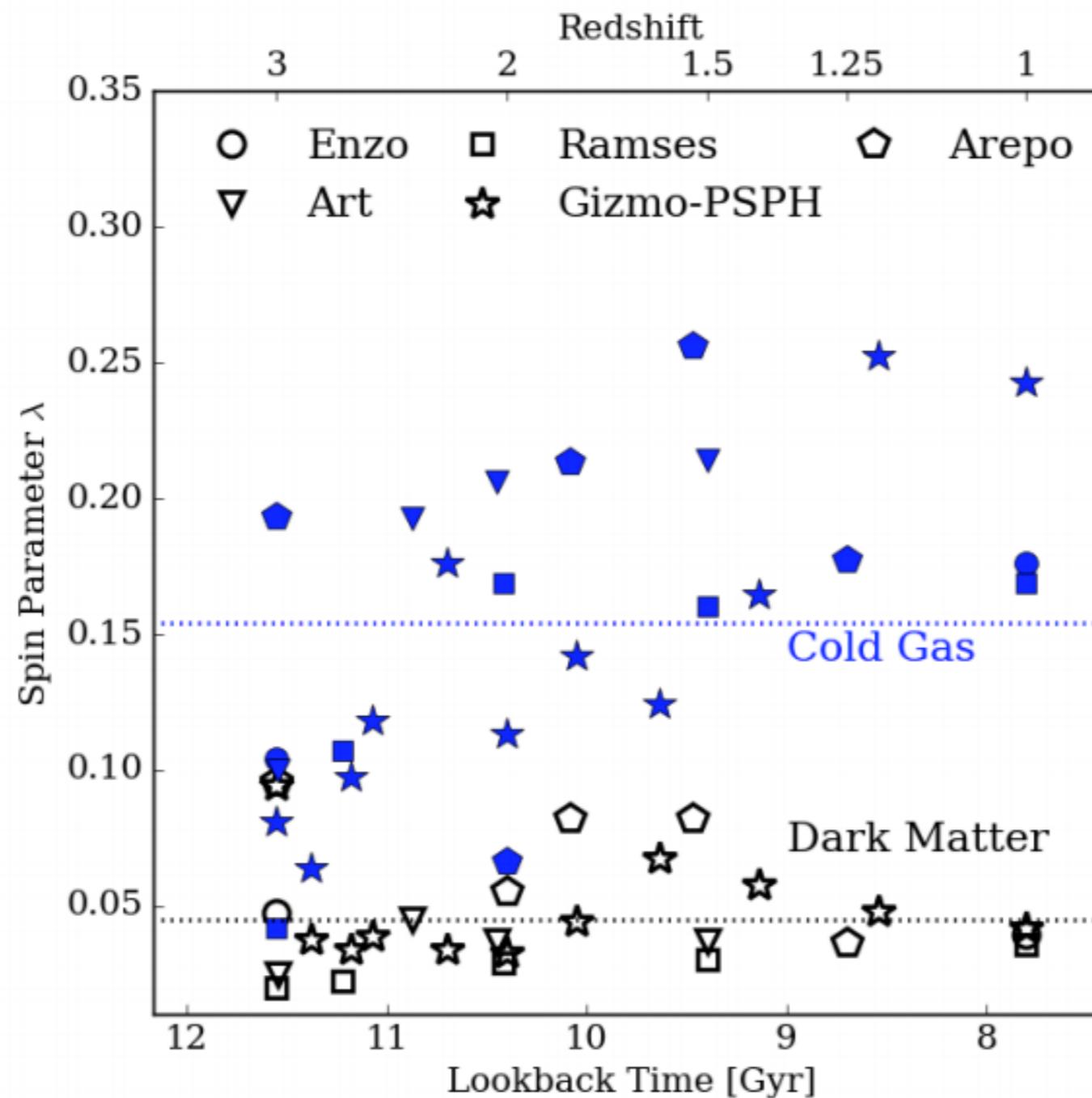


Extended, flattened rotating structures of high- J material.

Stewart+’17

Cold gas in halos have 4x J than dark matter

Stewart+'17



spin param.

$$\lambda_x \equiv j_x / (2V R)$$

λcold ≈ 0.15

$$\lambda_{\text{DM}} \approx 0.04$$

cf. angular momentum catastrophe in '90s (Steinmetz, ...)

History of SN Feedback Treatment

1st phase
'90s

Simple thermal feedback

“Overcooling problem”

2nd phase
'00-'10

Phenomenological subgrid model (thermal + kinetic)
based on galactic properties (SFR, M_{star} , M_{halo})

Multi-phase ISM
model

3rd phase
'10~
(w/ zoom sim)

More direct, local,
thermal+kinetic+radiative feedback

cf. Yuri Oku's talk

SN feedback efficiency

Type II SNe: ~ 0.01 SNe per $1 M_{\odot}$ of stars (IMF)

$$E_{\text{SN}} \sim 10^{51} \text{ erg per SN}$$
 injected into ISM.

$\sim 30\%$ of this couples to ISM as kinetic E \rightarrow galactic wind (GW)

Kinetic energy of GW:

$$E_w \sim 10^{51} \times 0.3 \times 0.01 = 3 \times 10^{48} \text{ erg } M_{\odot}^{-1}$$

Energy-mass deposition rate

$$\dot{E}_w = \epsilon_w \dot{M}_{\star} c^2$$

$$\dot{M}_w = \eta \dot{M}_{\star},$$

$$\epsilon_w = (E_w / M_{\star} c^2) \sim 2 \times 10^{-6}.$$

mass-loading factor

$$\eta \approx 0.25$$

$$\dot{E}_w = \frac{1}{2} \dot{M}_w v_w^2,$$

$$v_w \sim \left(\frac{\epsilon_w}{\eta} \right) c \sim 1500 \text{ km s}^{-1}$$

GW velocity

Galactic Wind (Kinetic) Feedback

Need to specify \dot{M}_w and V_w

cf. Choi & KN '10

“Energy-driven” vs. “Momentum-driven”

$$\dot{M}_W = \eta \dot{M}_\star,$$

η : mass-loading factor

Energy-driven:

$$\frac{1}{2} \dot{M}_W V_W^2 \sim \dot{E}_{\text{SN}} \sim SFR$$

$$\eta = \left(\frac{\sigma_0}{\sigma_{\text{gal}}} \right)^2$$

$$V_W \sim V_{\text{esc}} \sim \sigma_{\text{gal}}$$
$$\sigma_0 \approx 300 \text{ km s}^{-1}$$

Momentum-driven:

$$\dot{M}_W V_W \sim \dot{P}_{\text{rad}} \sim SFR$$

$$\eta = \frac{\sigma_0}{\sigma_{\text{gal}}}$$

Radiation pressure from massive stars and SNe is applied to the dust particles, which entrains the wind

Murray+ '05

Higher mass-loading factor for lower mass galaxies.

Feedback in Zoom-in Cosmo Sim

Models w/ more direct momentum input:

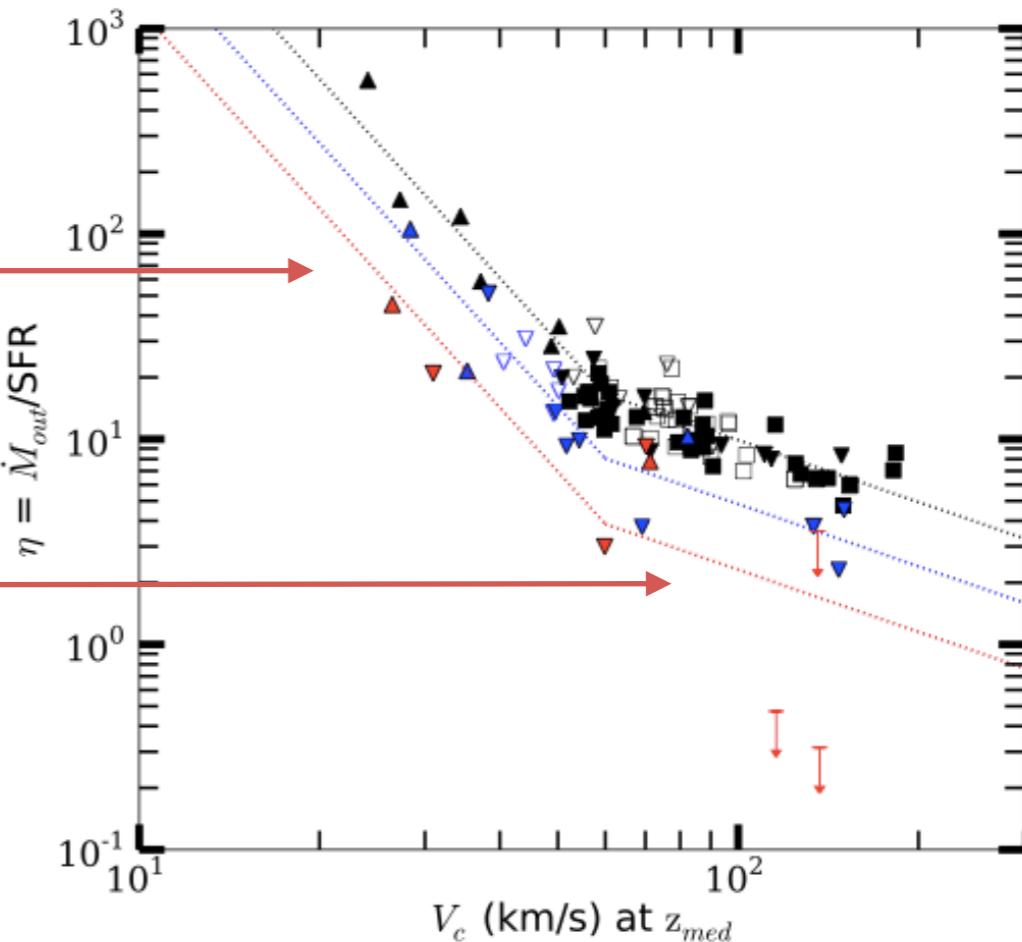
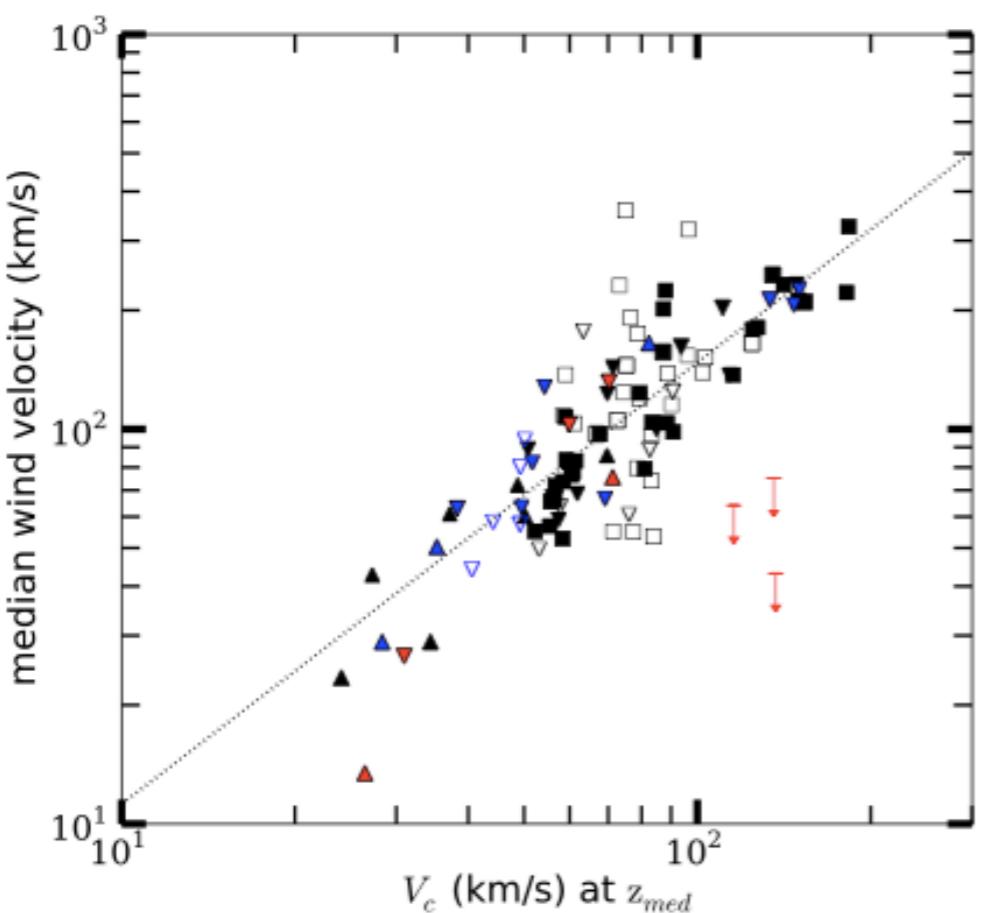
Mass Loading Factor : η

$$\eta = 2.91(1+z)^{1.25} \left(\frac{v_c}{60\text{km/s}} \right)^{-3.22}$$

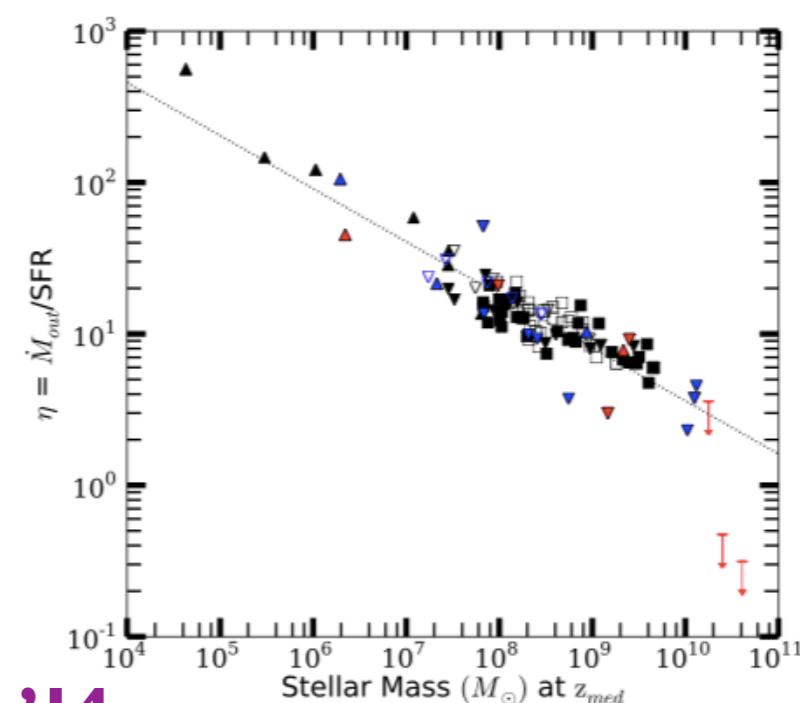
(steeper than Energy-driven)

$$\eta = 2.91(1+z)^{1.25} \left(\frac{v_c}{60\text{km/s}} \right)^{-1.00}$$

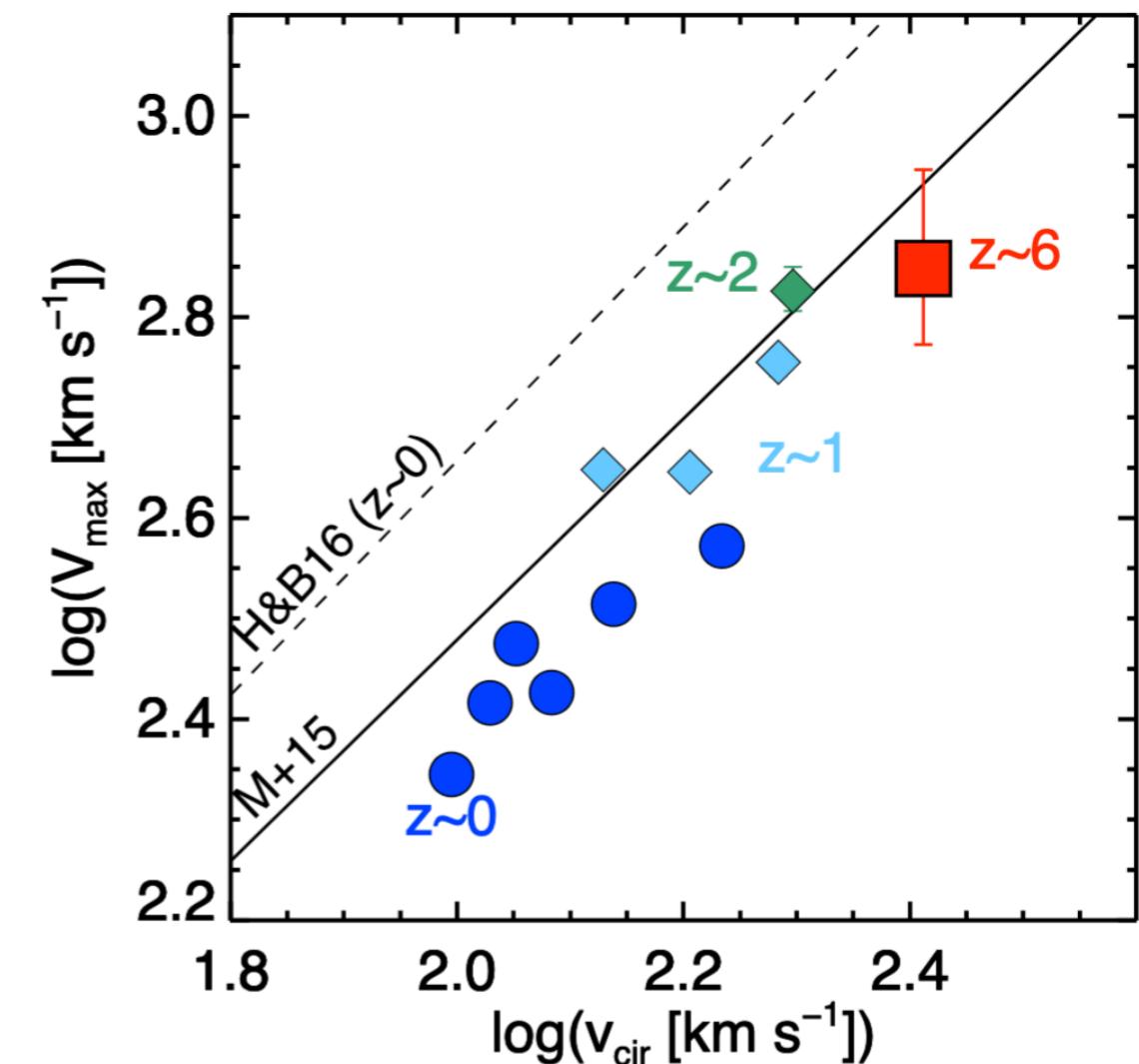
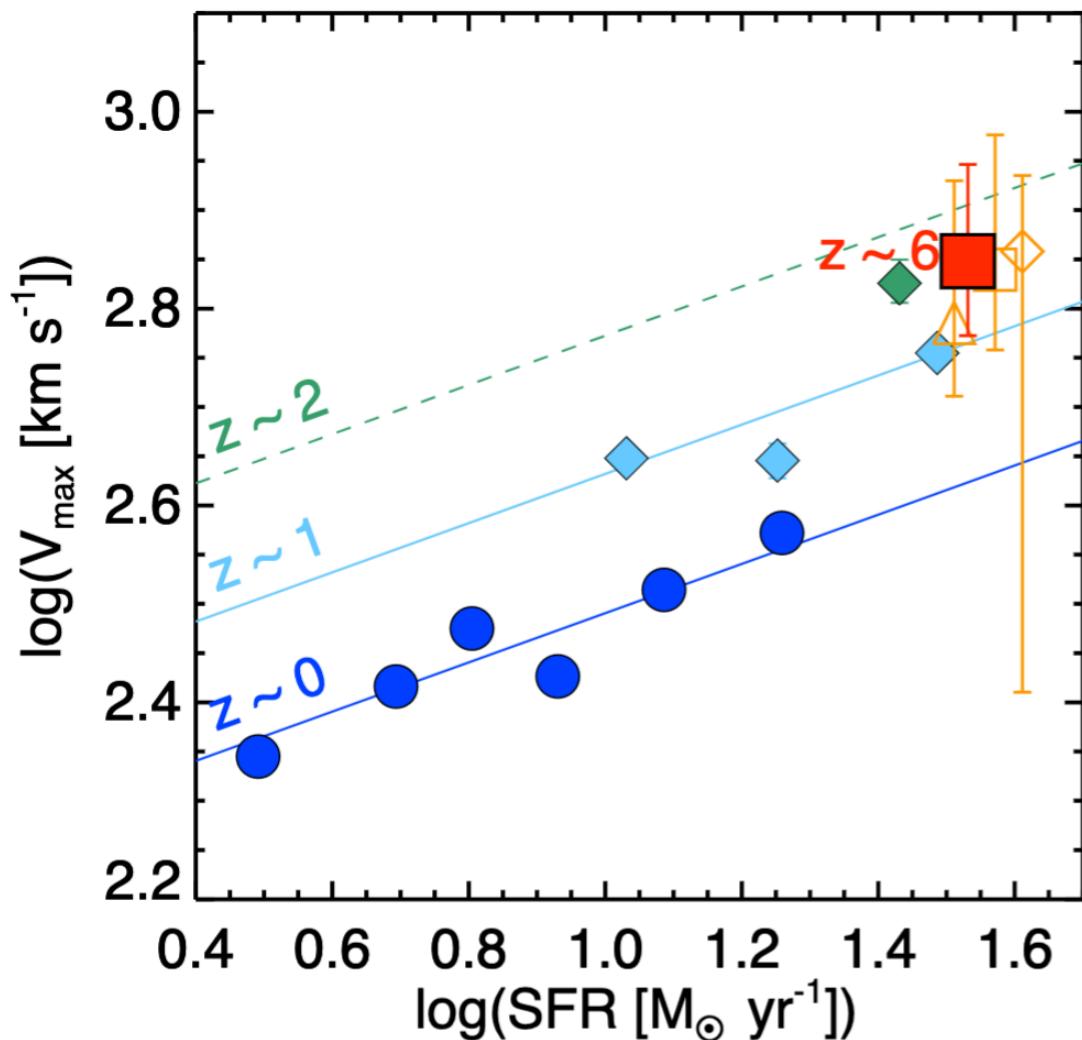
(≈ Momentum-driven)



Wind Velocity
($V_w \sim V_c \sim M_h^{1/3} \sim SFR^{1/2}$)

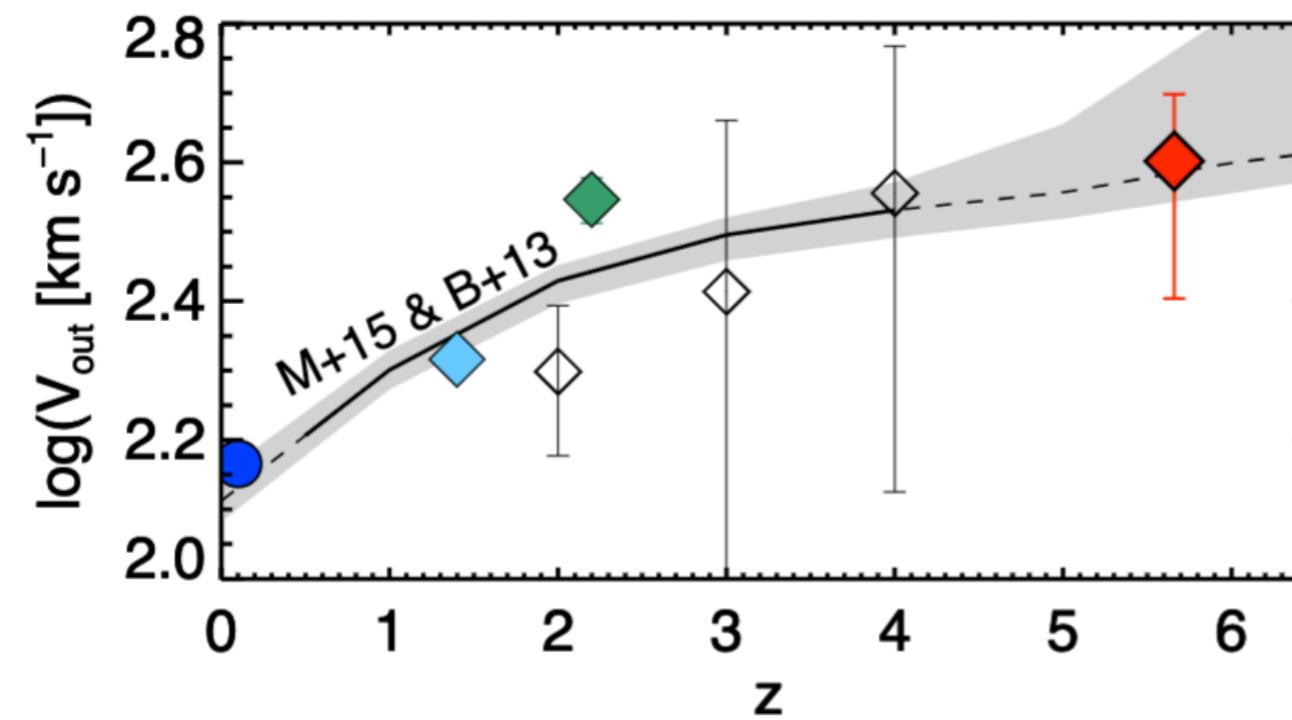


Hopkins+’13; Muratov+ ’14



Using blue-shifted absorption lines

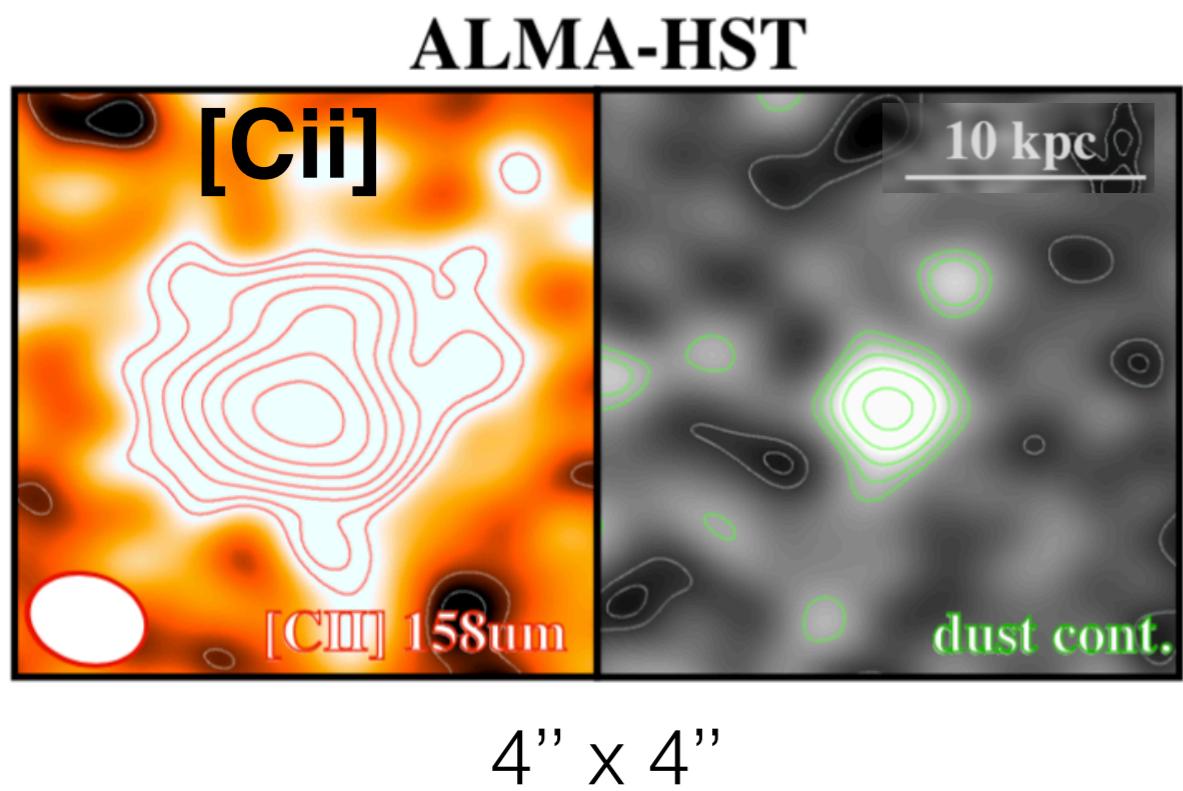
Si II $\lambda 1260$
C II $\lambda 1335$
Si IV $\lambda 1394, 1403$



Sugahara+19

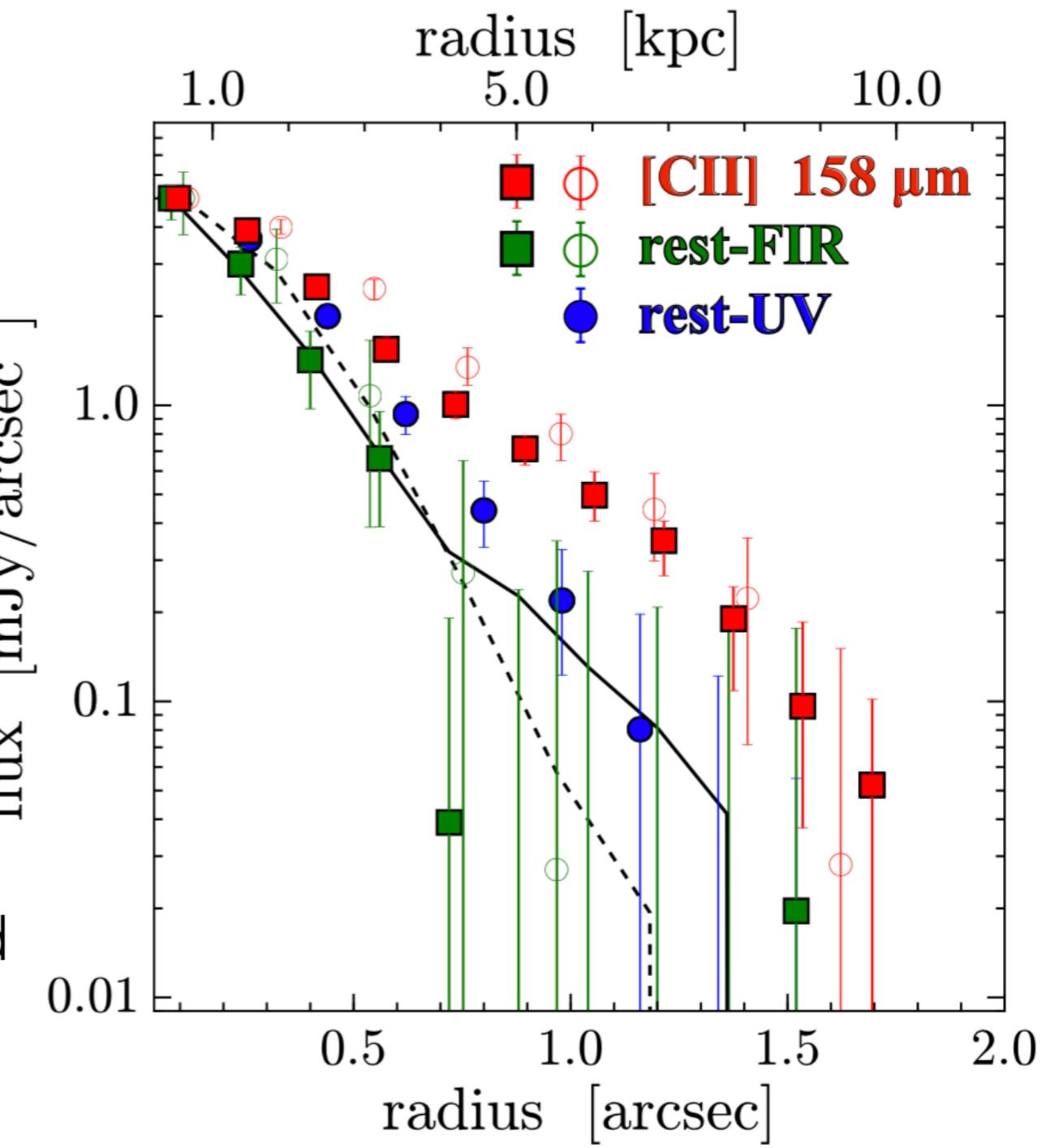
Extended [C_{II}] emission profile

from stacking ALMA & HST data



Fujimoto+ '19

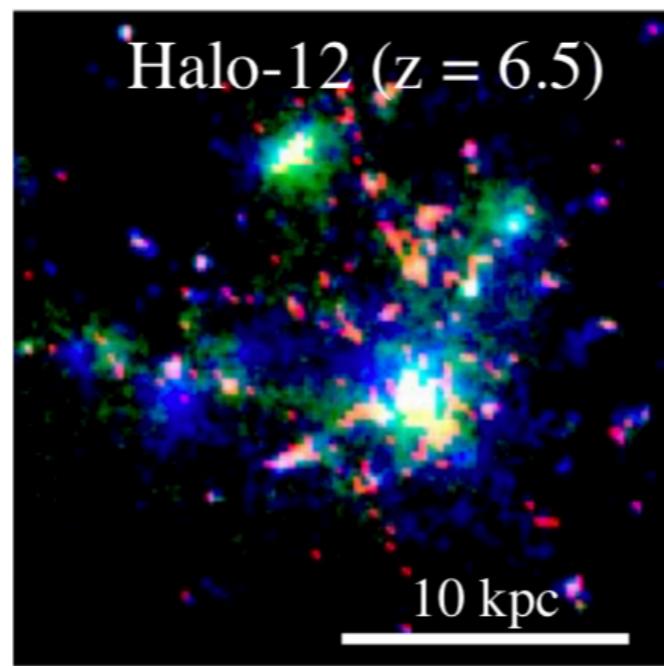
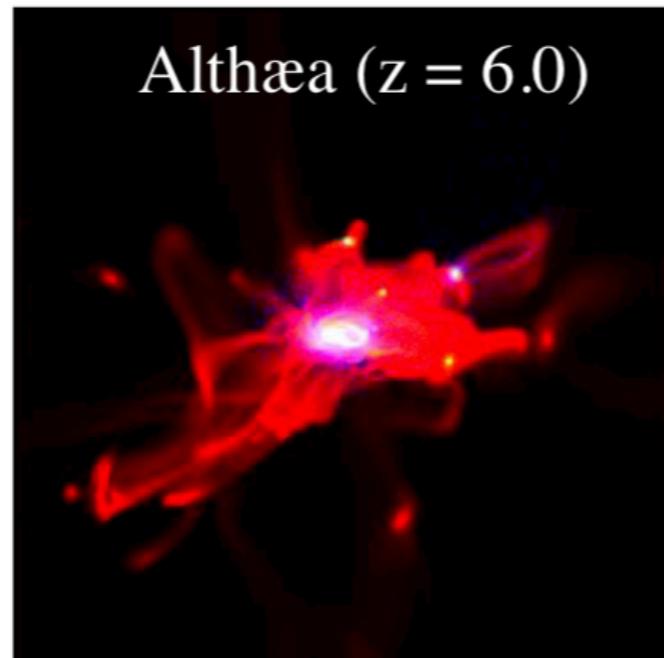
lines: synthesized
beam profile



[C II] profile: comparison w. simulation

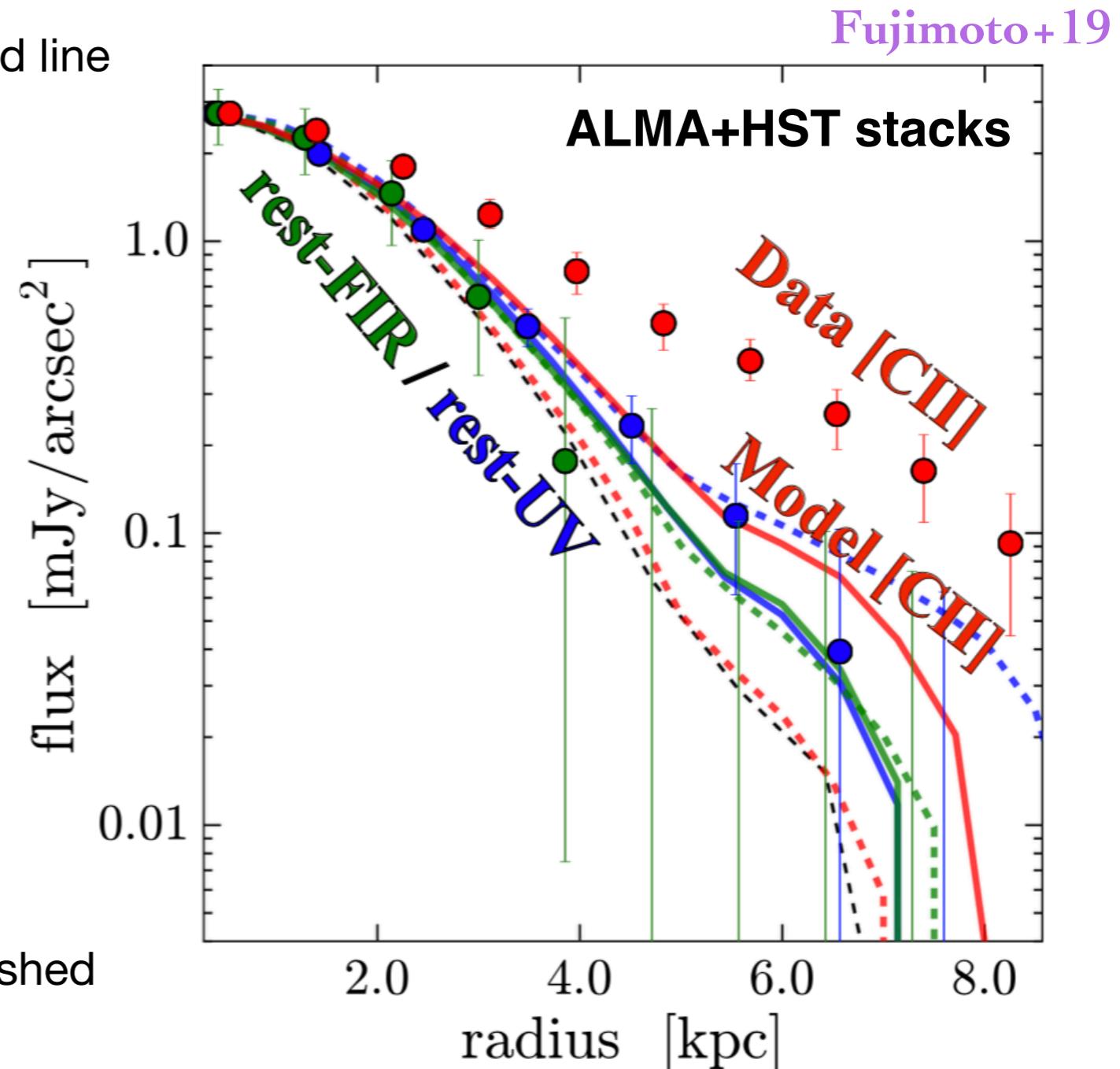
4" \times 4" sim
image

[CII] 158 μ m
rest-FIR
rest-UV



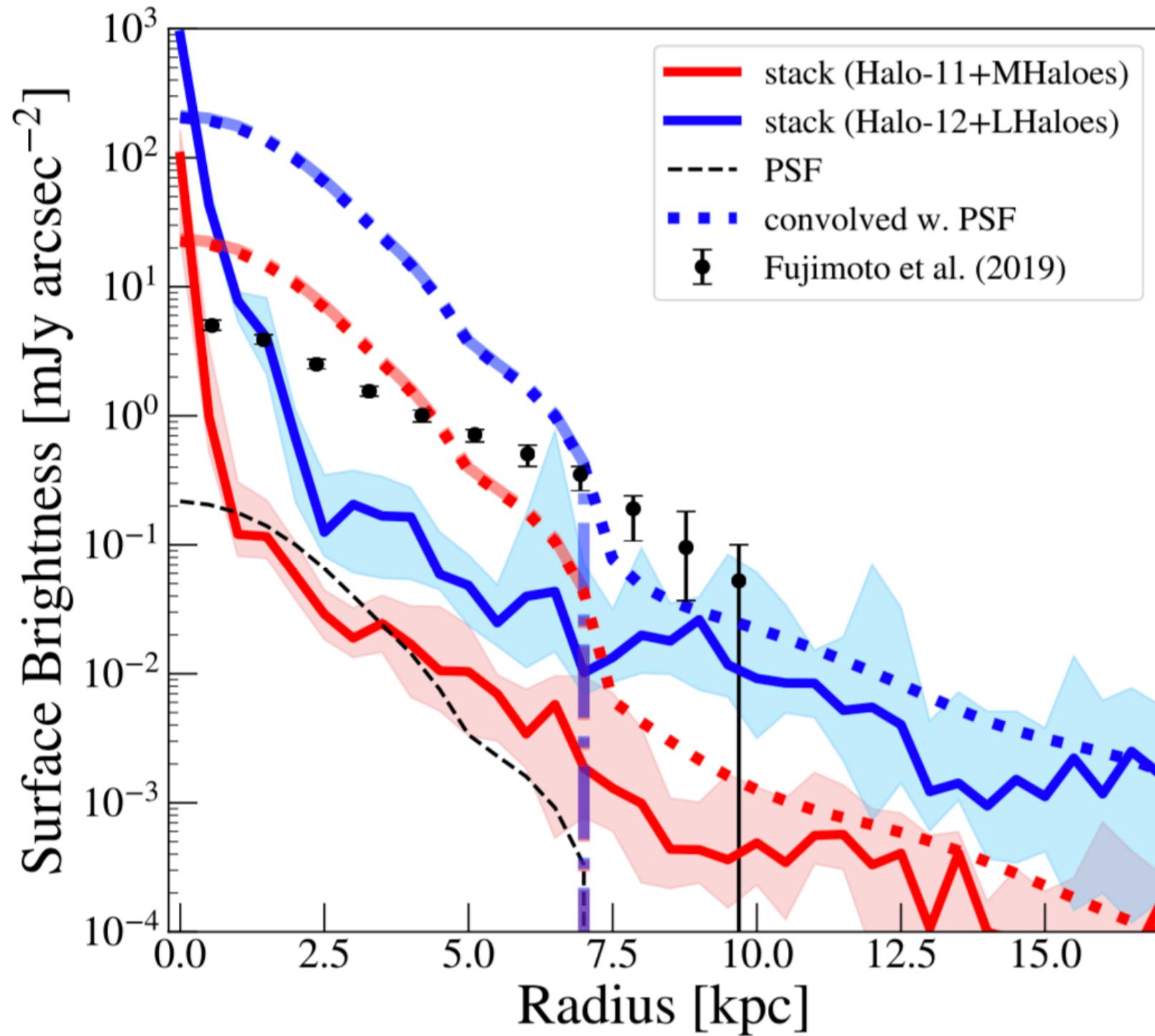
solid line

dashed



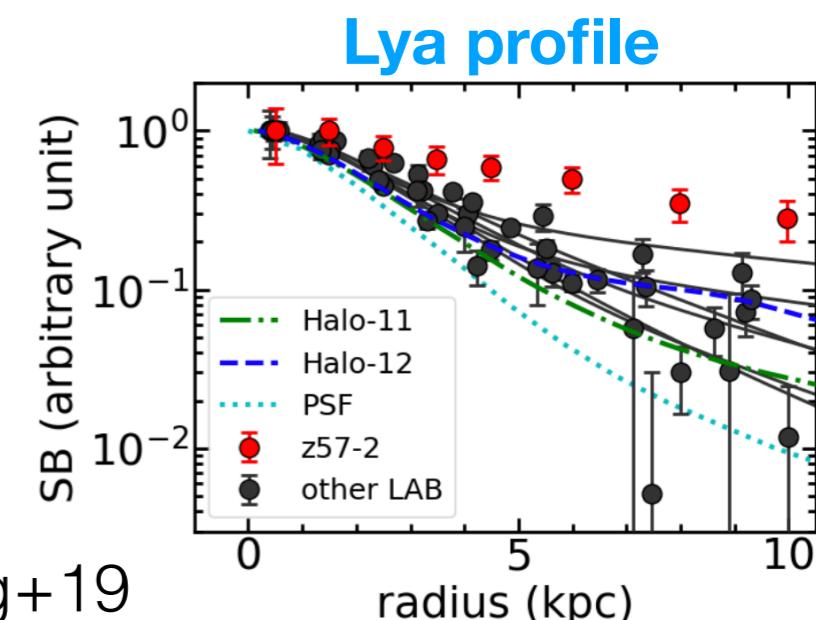
- How does carbon enrichment happens so widely in the early universe?
 - What powers the emission?

[C II] intensity profile & ALMA PSF



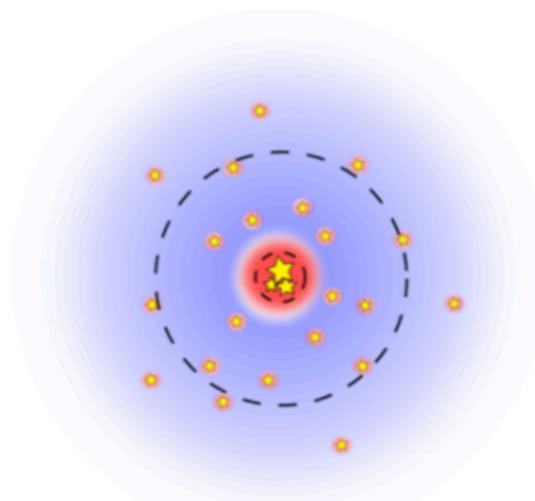
Sim. profile is
steeper near the
center.

More massive halo
→ more extended
profile.

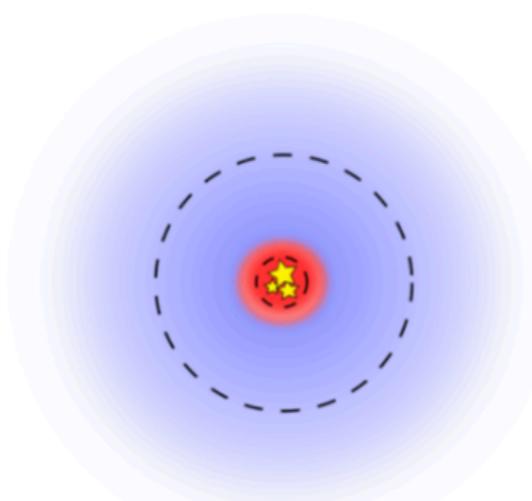


Different possibilities of [C_{II}] halo

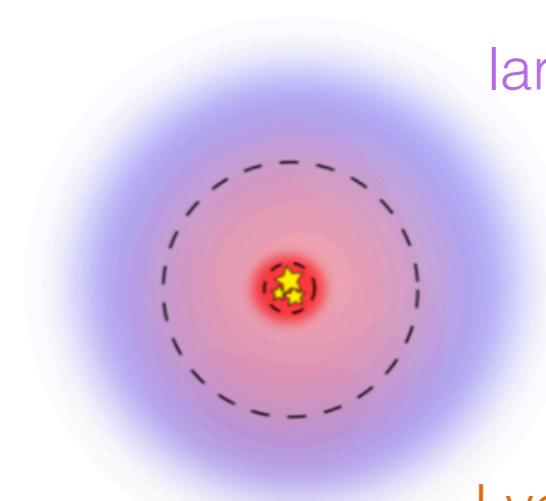
A. Satellite Galaxies



B. CG-PDR



C. CG-HII

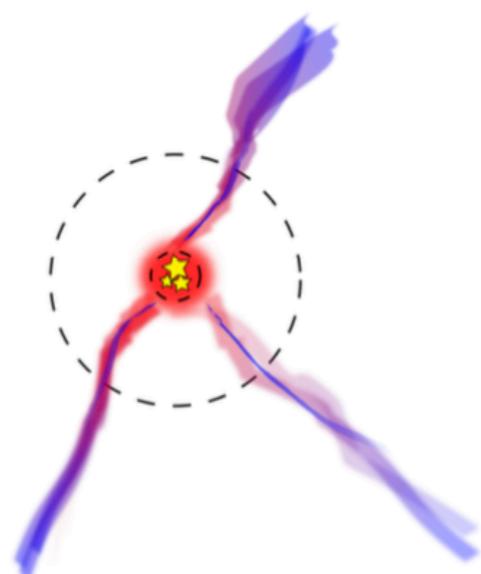


larger H_{II} region

Lya : scattering

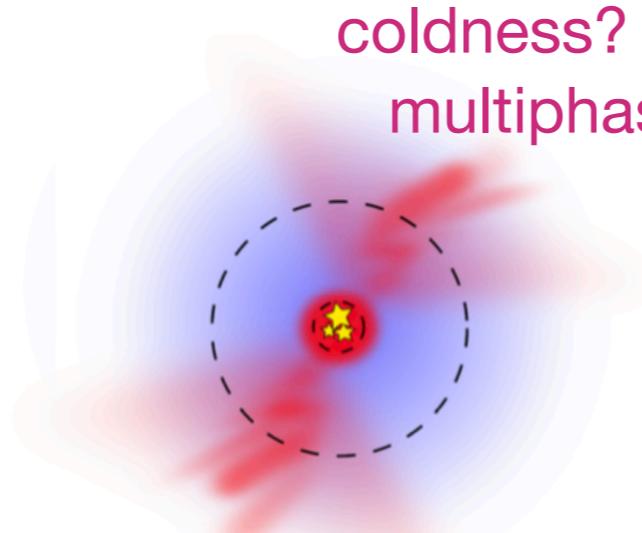
Lya : fluorescence

D. Cold Stream



E. Outflow

anisotropy?
coldness?
multiphase?



stars



ionized H (H_{II} region)



neutral H



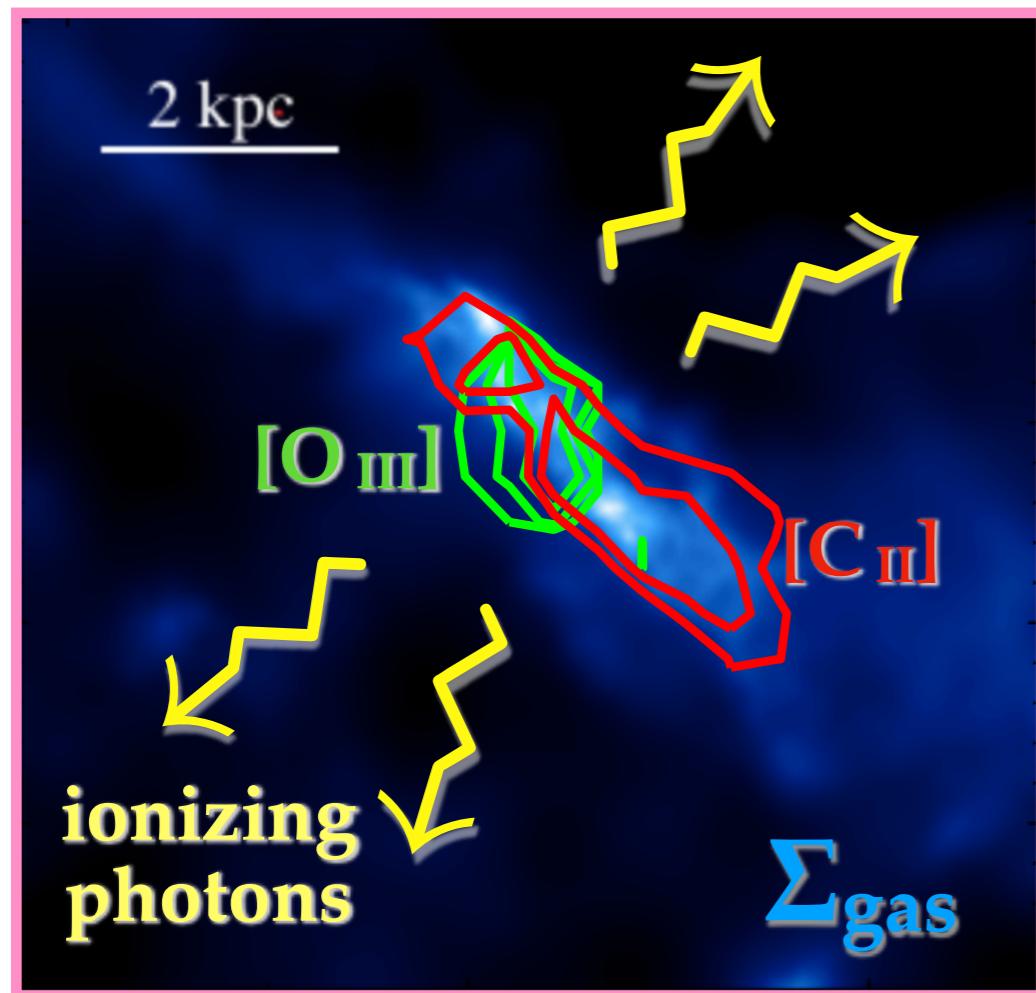
central r_e

halo r_e

~10kpc

Offset between [O_{III}] and [C_{II}]

Halo-11 @ $z = 11$



Ionizing photons escape
perpendicular to filament
 \Rightarrow Reionization

Combined obs of [O_{III}] and [C_{II}]
will reveal ionizing structure
of reionization

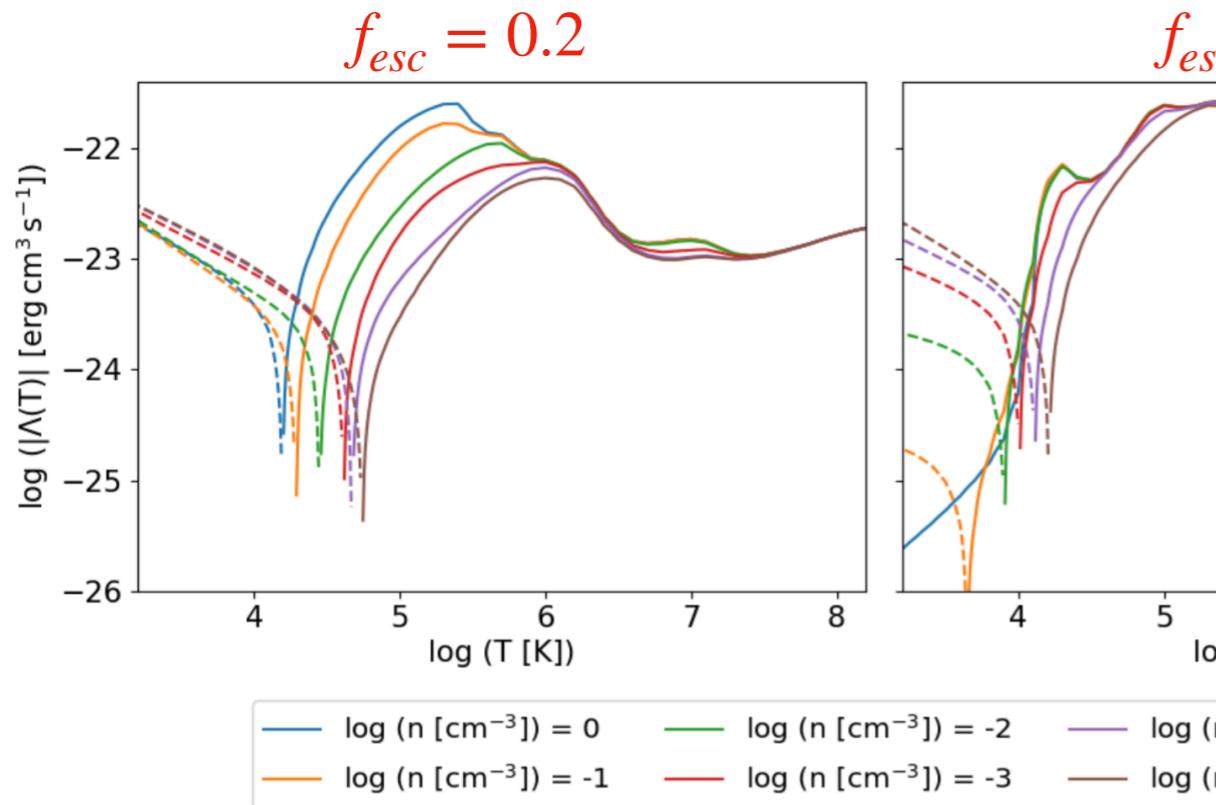
cf. Vallini+15; Pallottini+14,15,17,19; Moriwaki+18;
Katz+19; ...

Arata+ '20

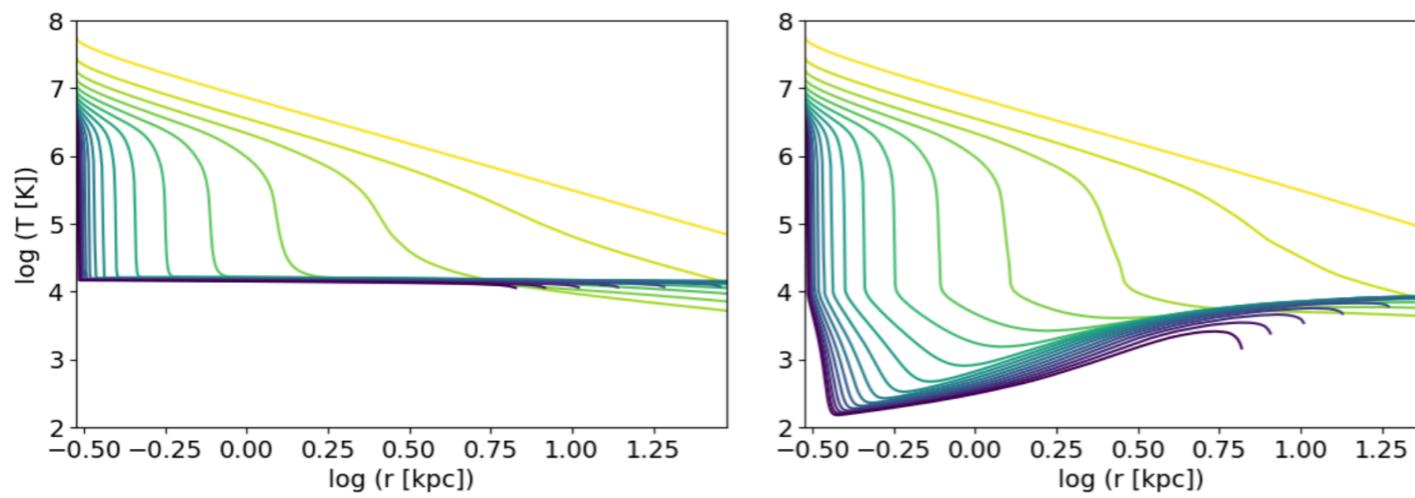
1D Cold Outflow model

Pizzati+20

net cooling rate



Temperature

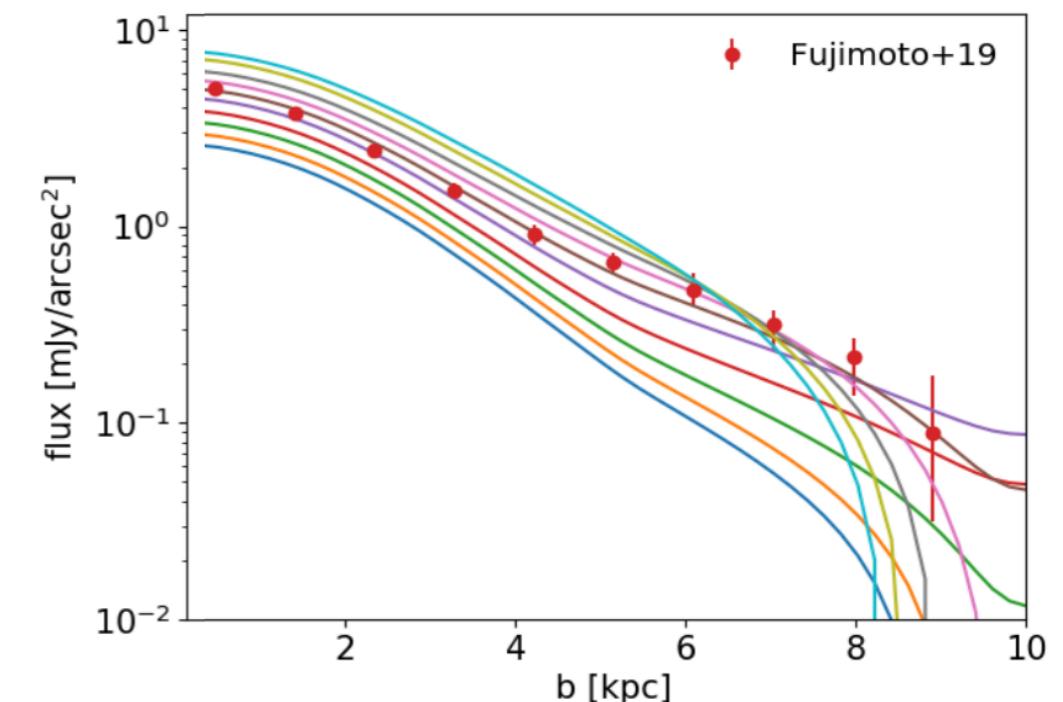


$$\dot{M}_{\text{out}} \sim 130 M_{\odot} \text{ yr}^{-1}$$

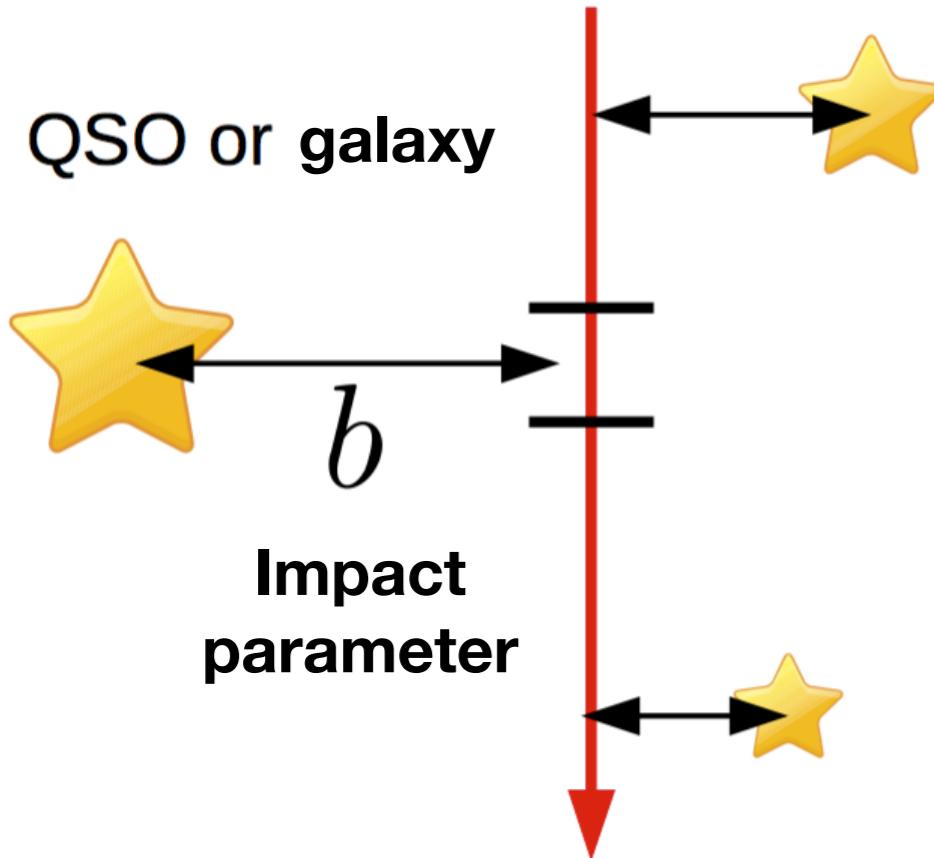
$$v_{\text{out}} \sim 300 - 500 \text{ km s}^{-1}$$

Galactic flux based on
Salpeter IMF
const SFR=50 M_⊙ yr⁻¹

best-fit : $\eta \sim 3$
 $v_{\text{circ}} \sim 170 \text{ km s}^{-1}$



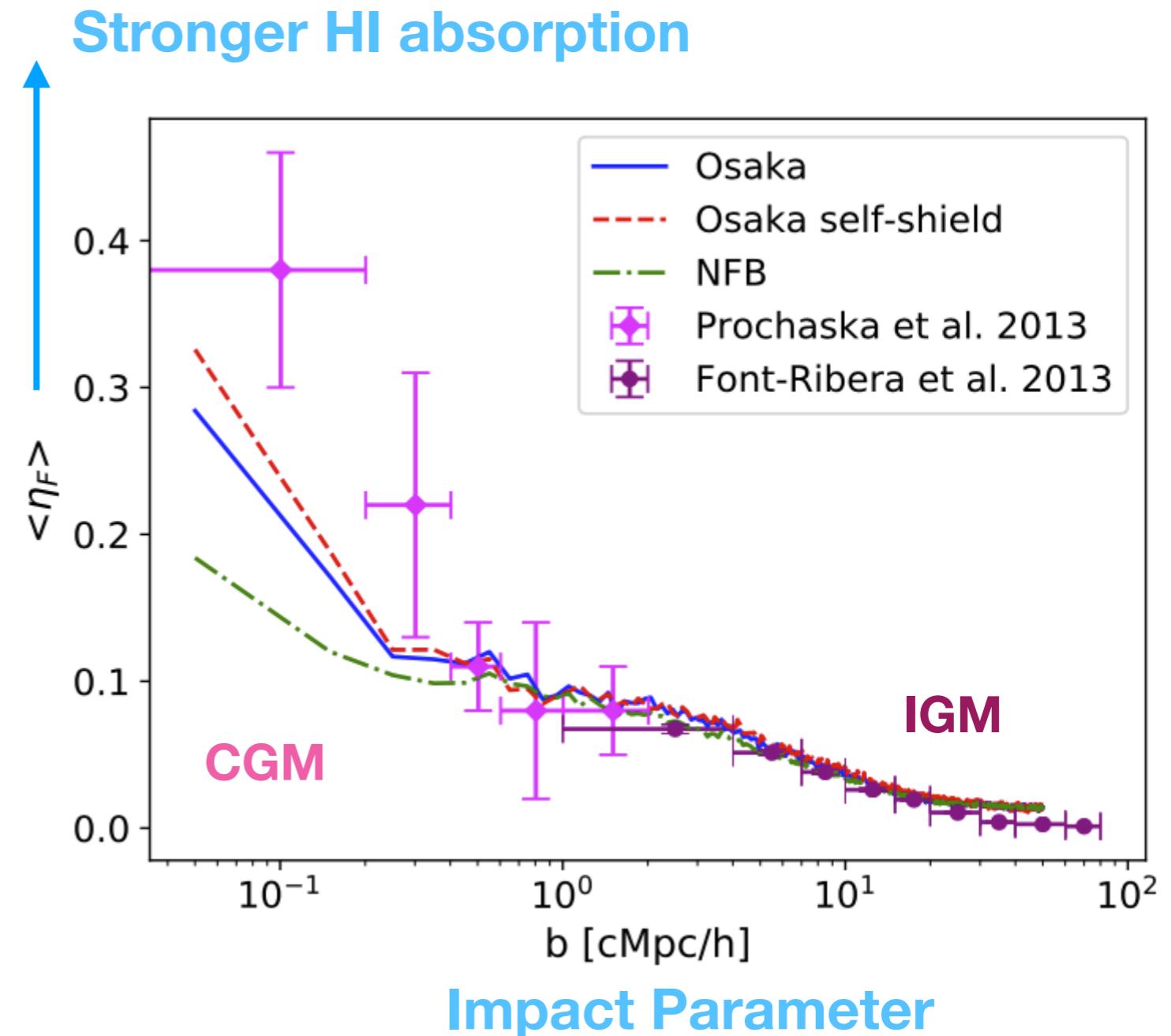
Lya forest mean flux contrast vs. Impact param.

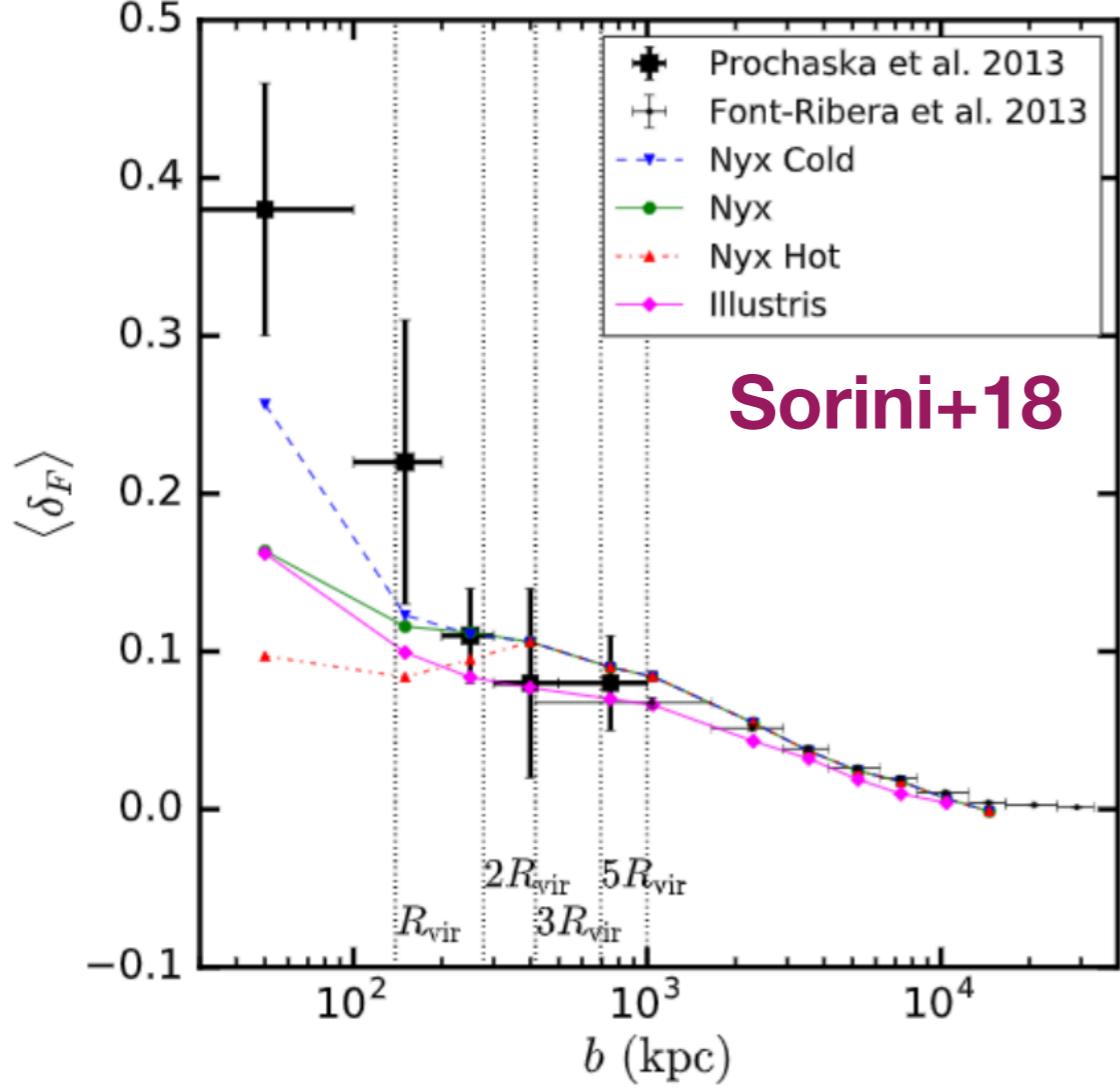


Flux Contrast

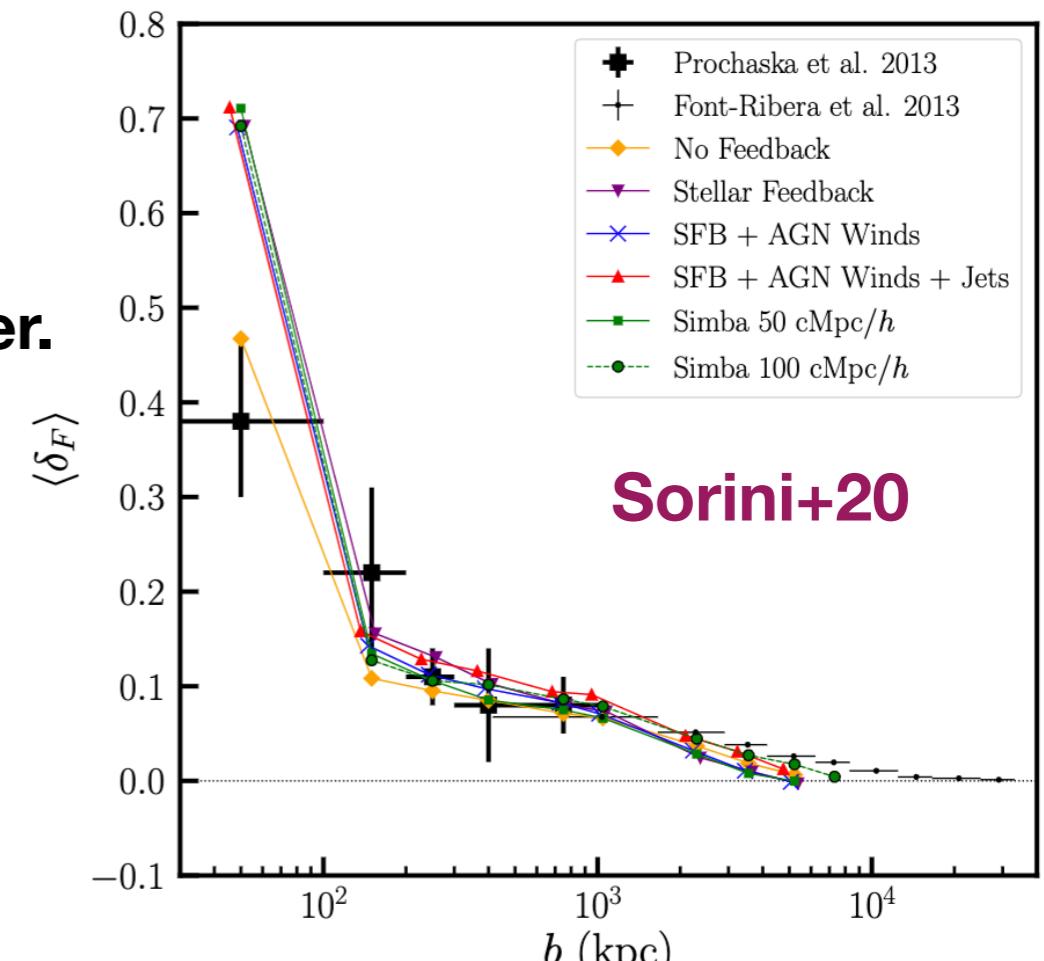
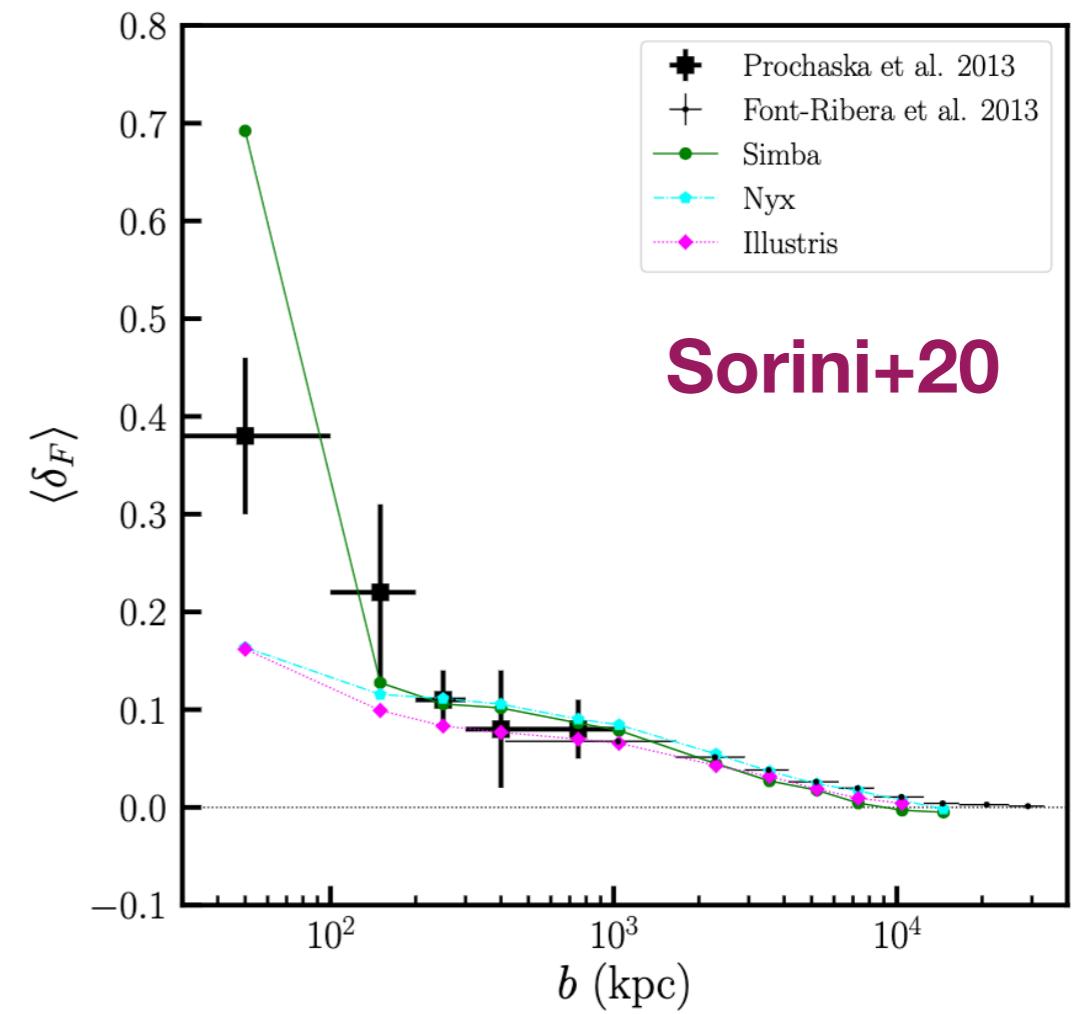
$$\eta_F \equiv -\delta_F = 1 - \frac{F}{\langle F \rangle}$$

$$F = e^{-\tau}$$

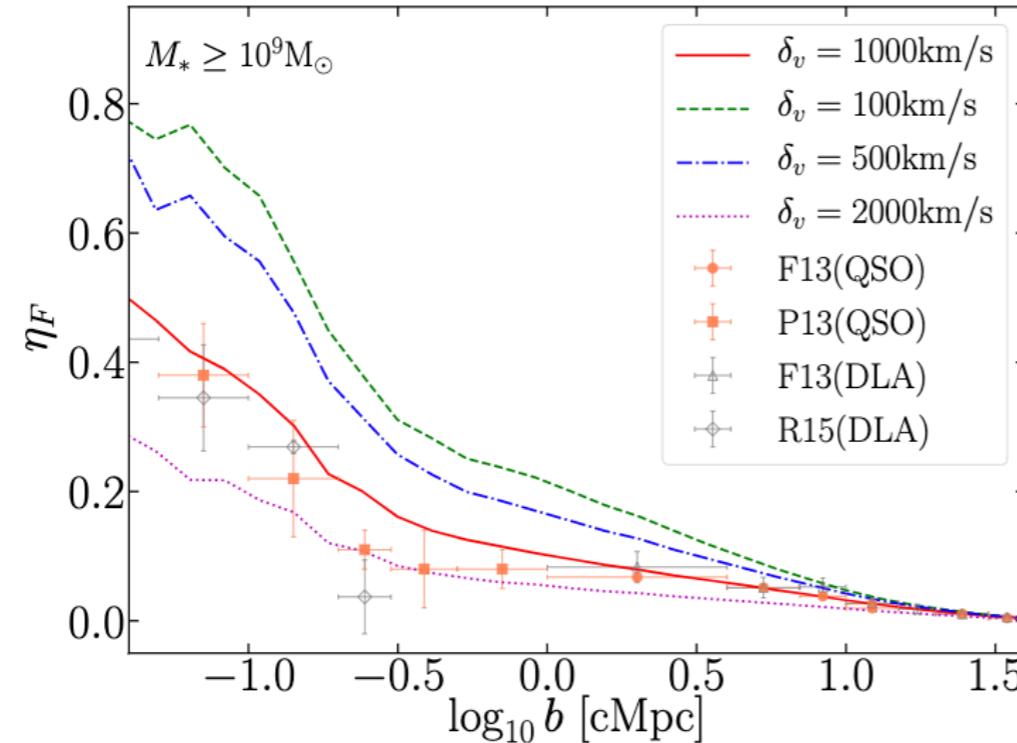




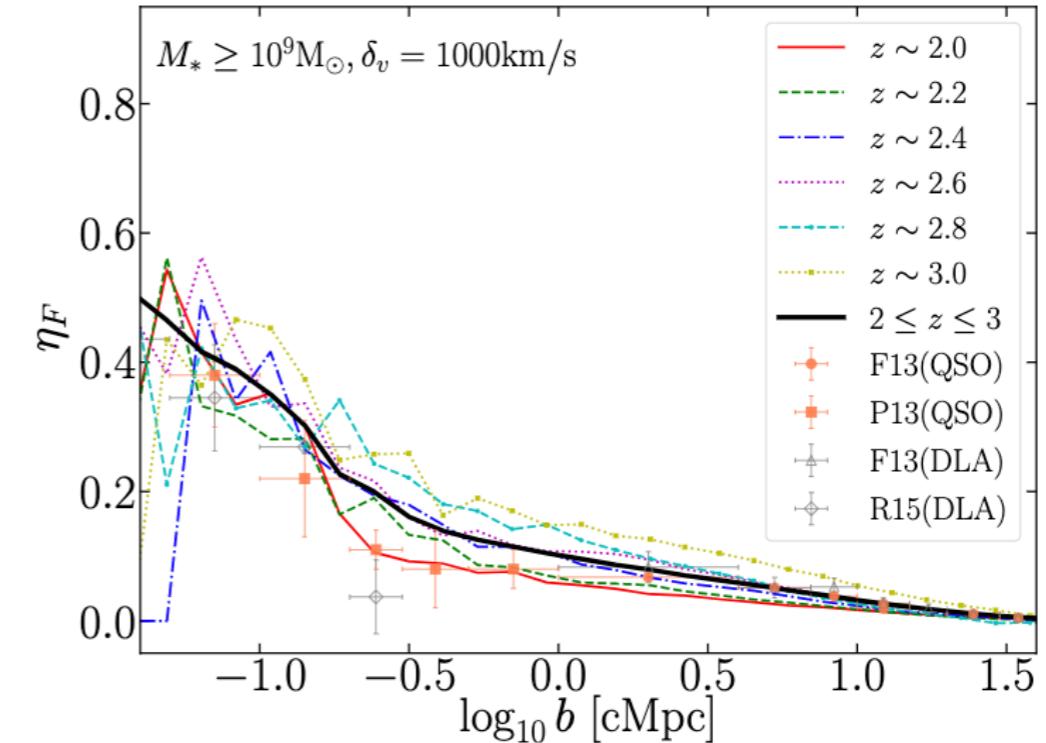
**Stellar feedback is the dominant driver.
(AGN subdominant.)**



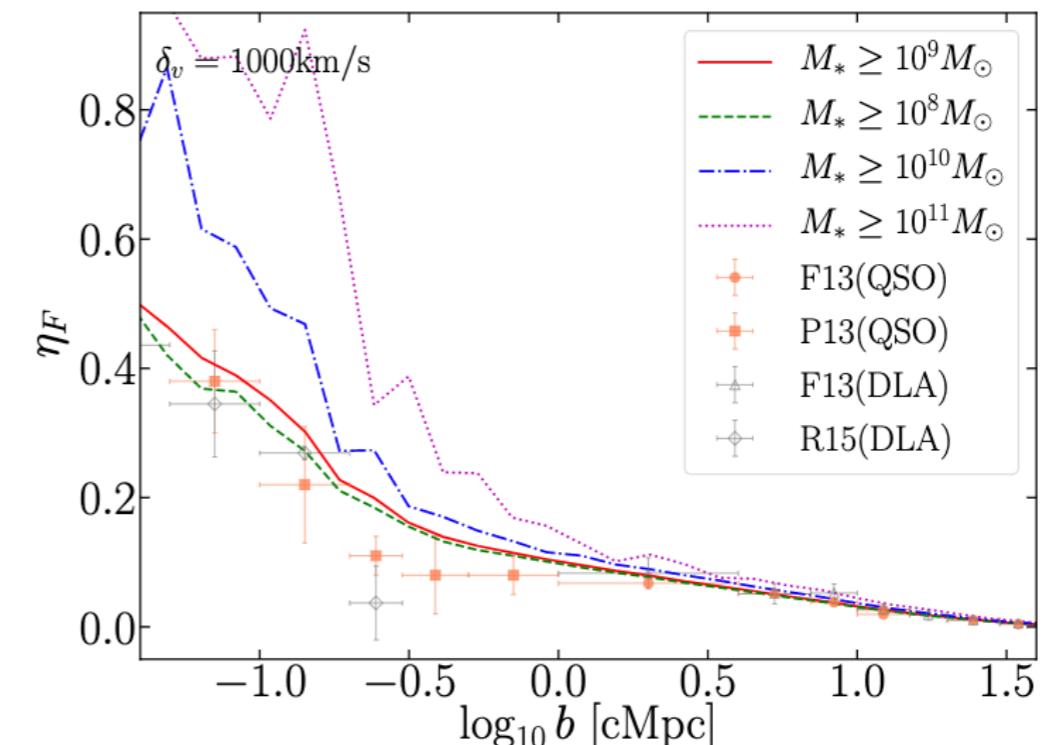
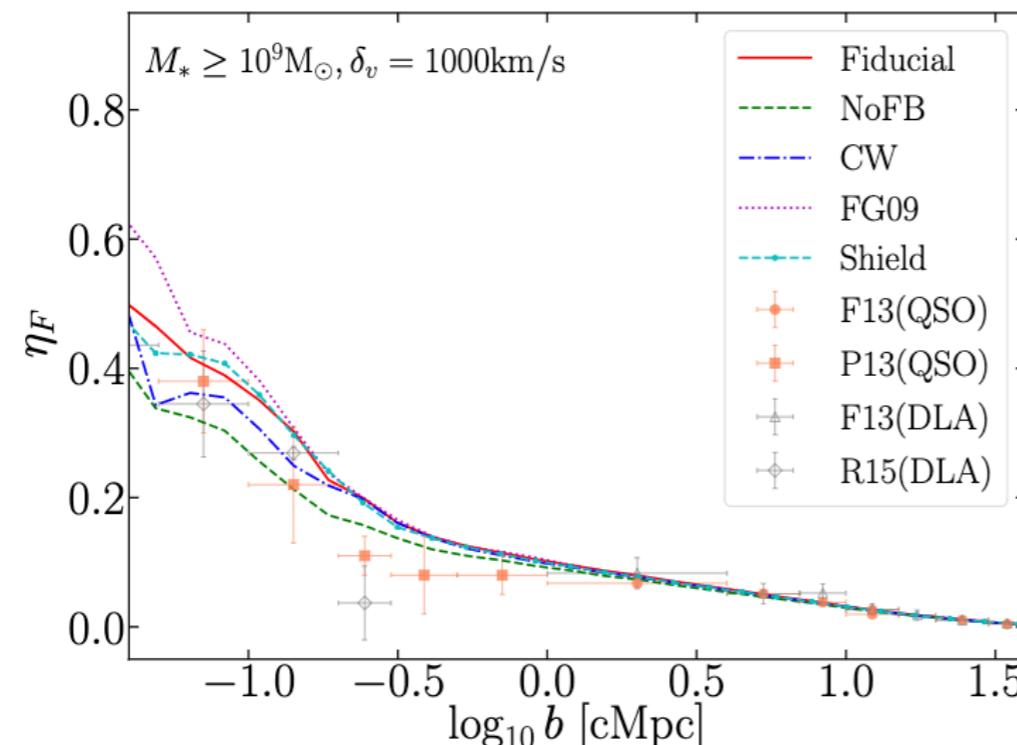
Flux Contrast : updated results



(a)

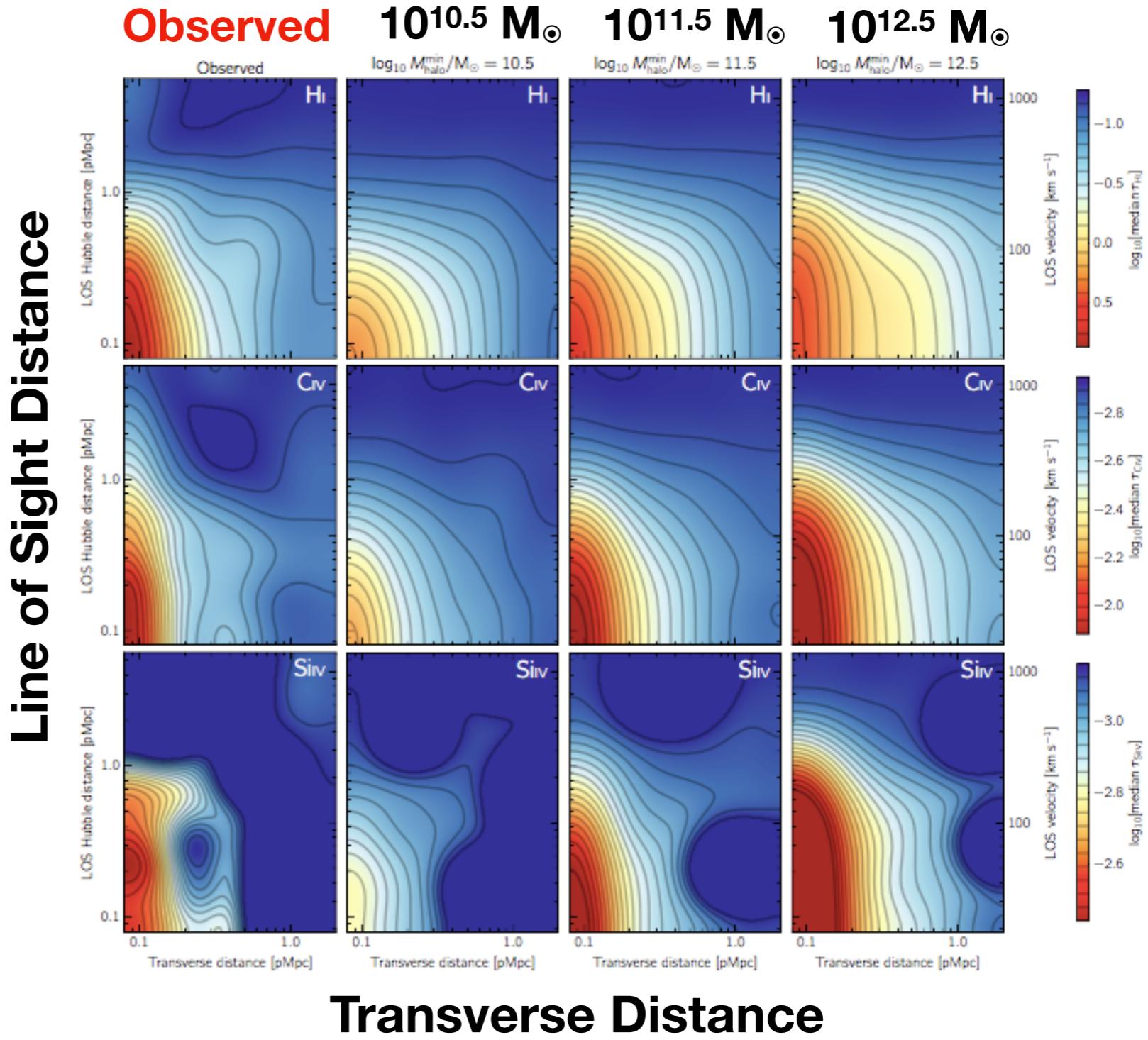
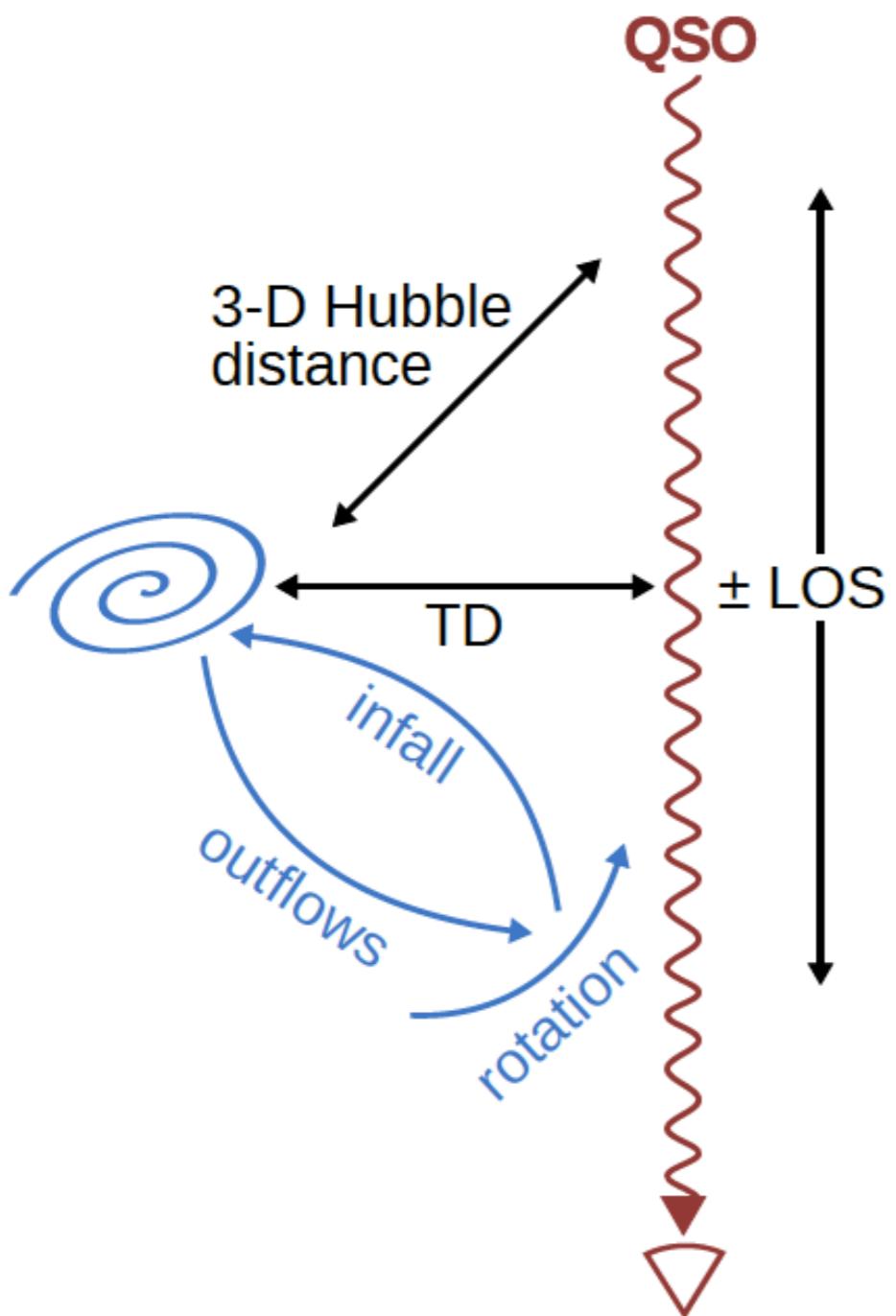


(b)

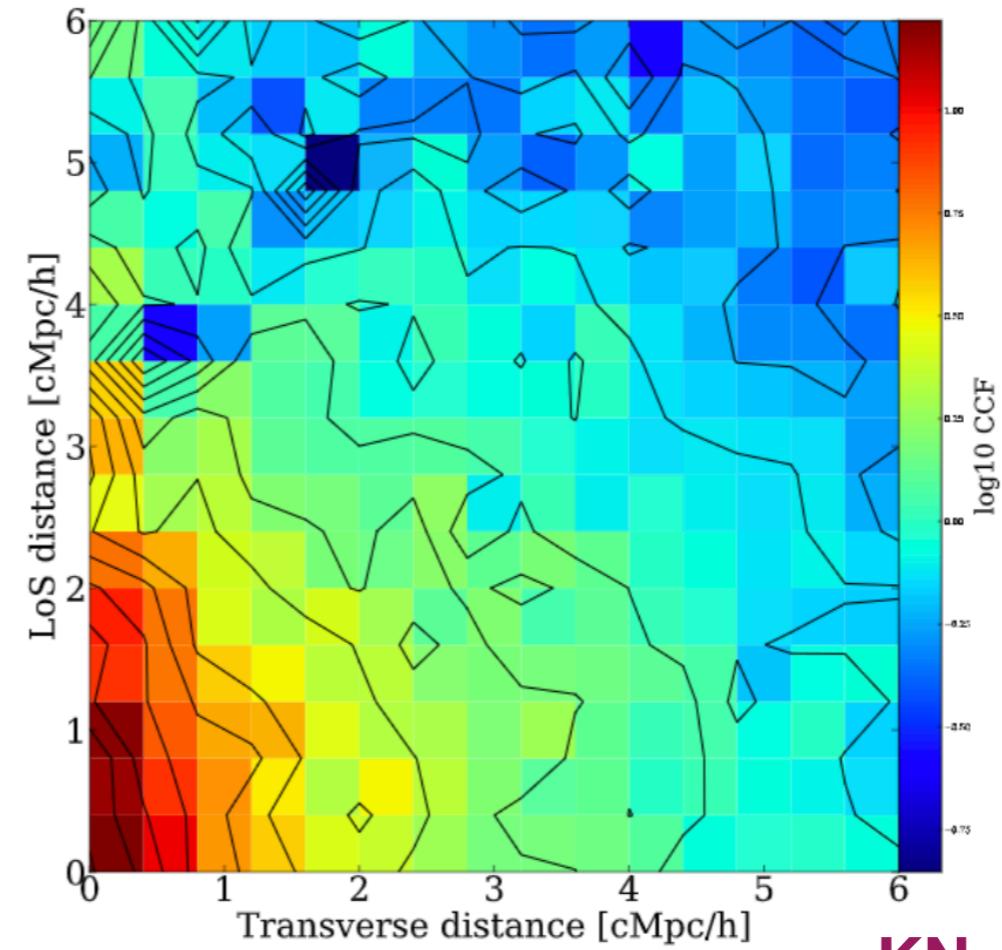
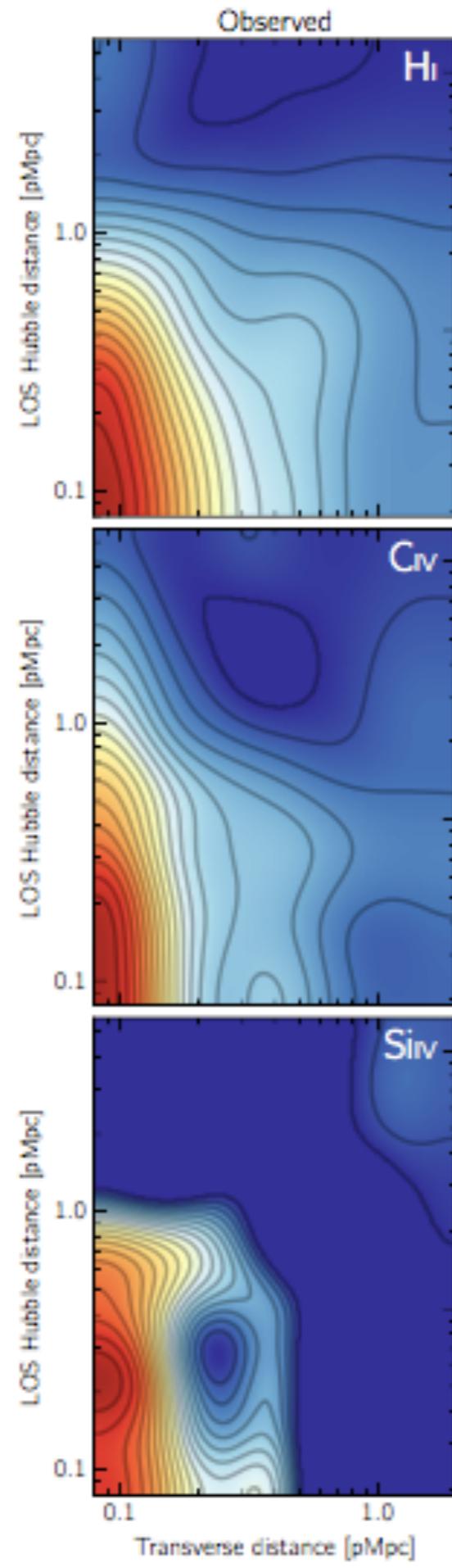


2D Velocity Structure

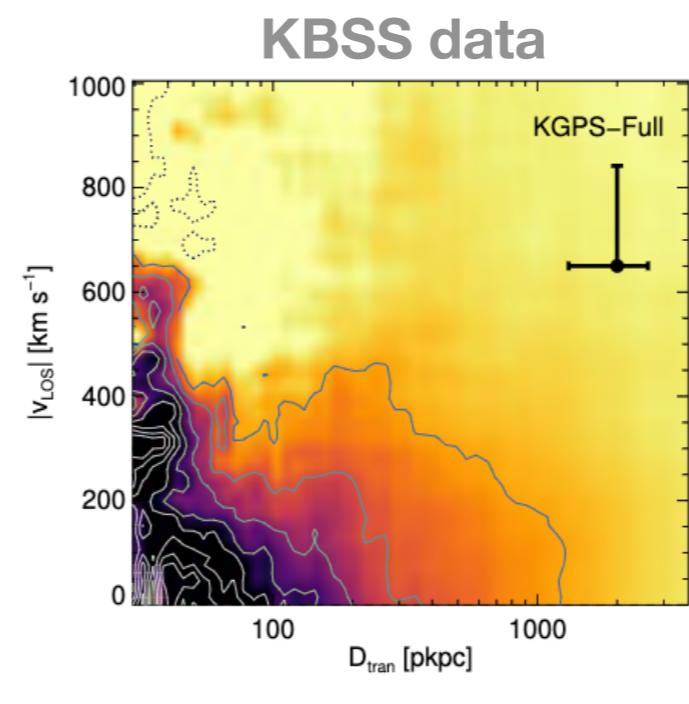
Turner+’17 (KBSS): $\log(\tau)$ in color



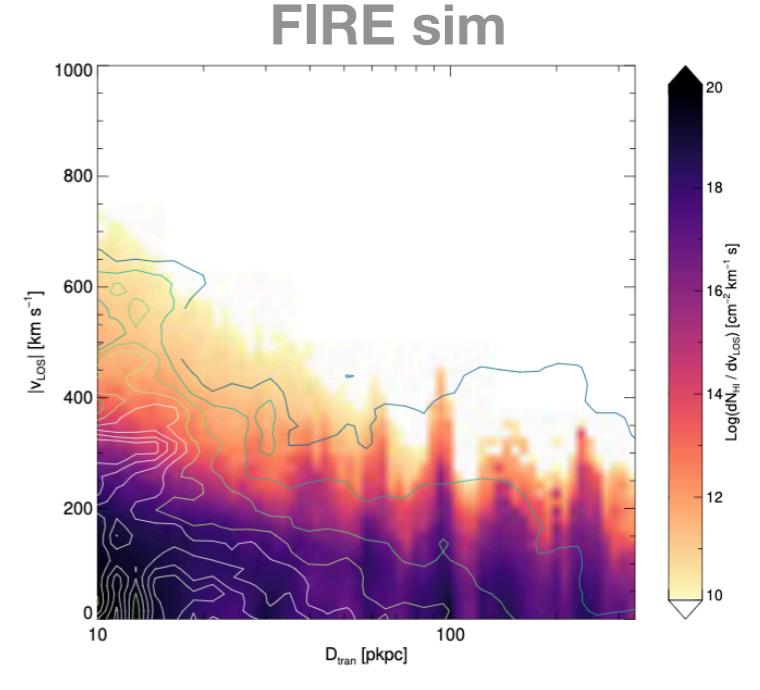
Turner+17



KN+'20, submitted



cf. Chen+20

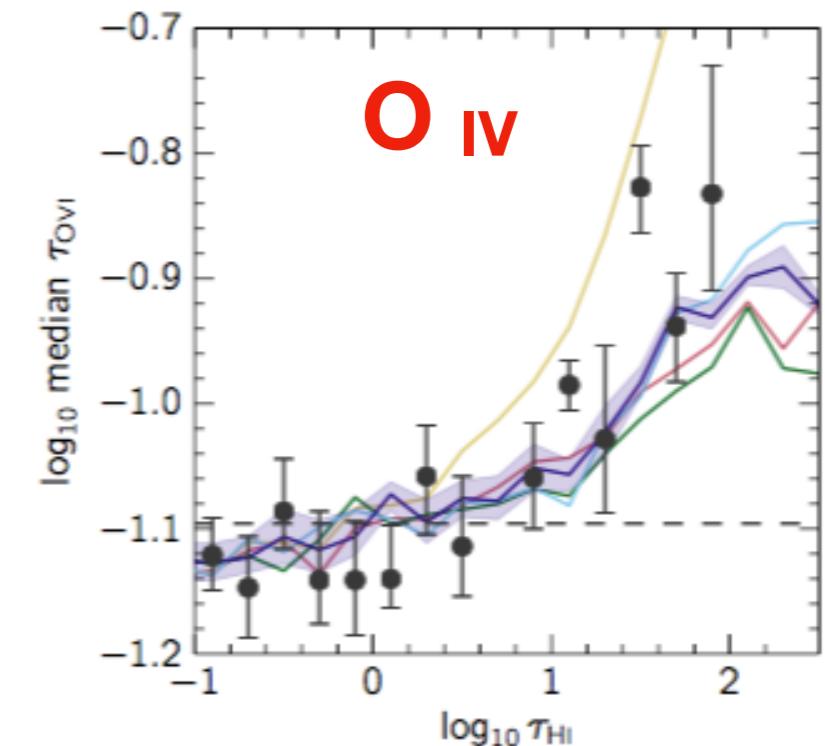
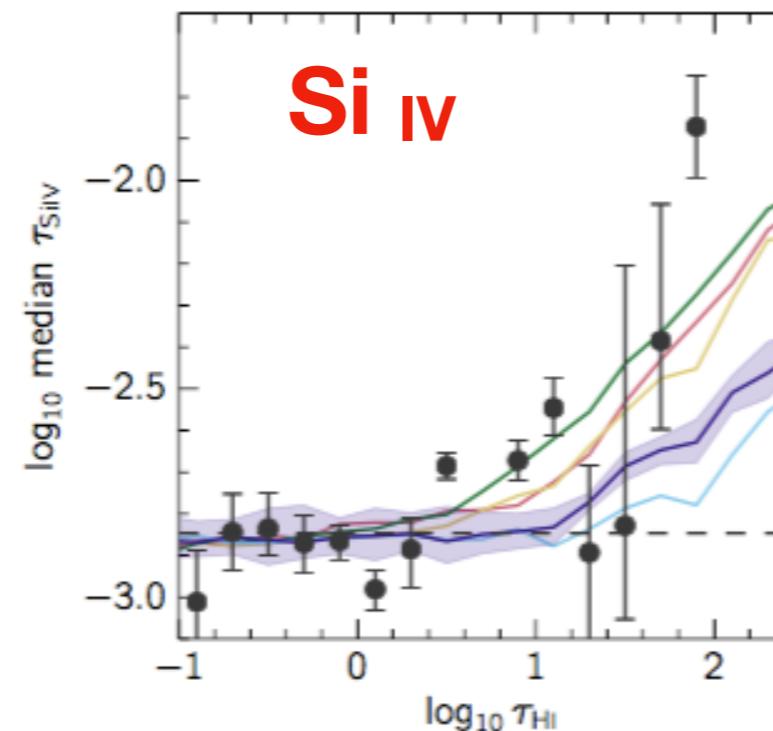
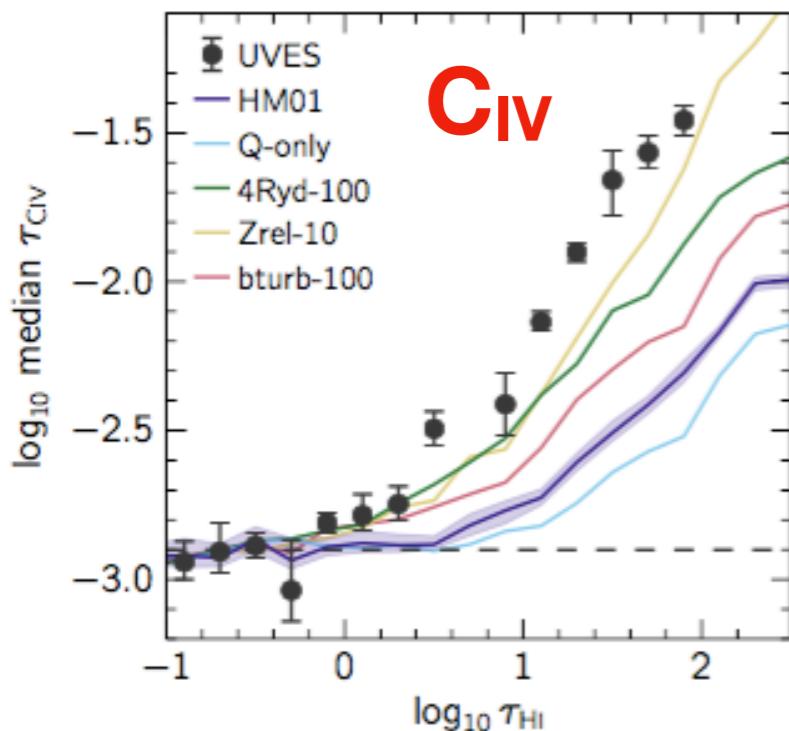


Sim. underpredicts $\tau_{\text{CIV}}(\tau_{\text{HI}})$ significantly.

8 QSOs, $\langle z \rangle \sim 3.75$

(comparison btw obs. & **EAGLE** sim.)

(but **O_{IV}** better agreement)



Possible solutions?

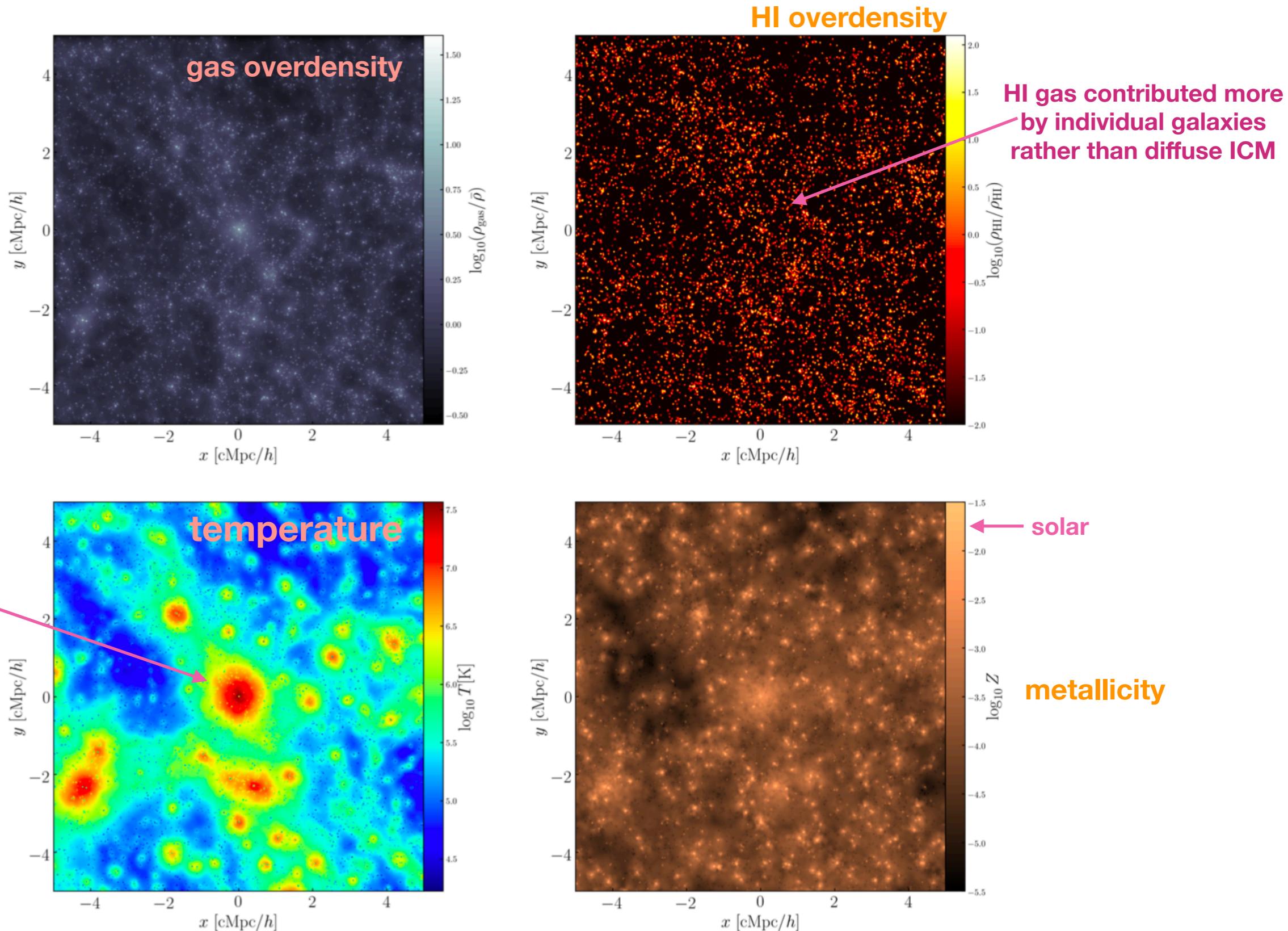
- (a) different models for the **ionizing background radiation**;
- (b) simulations run at a **higher resolution**;
- (c) inclusion of additional line broadening due to unresolved **turbulence**;
- (d) increased **elemental abundances**.

Outflows need to entrain more cold gas w. metals?

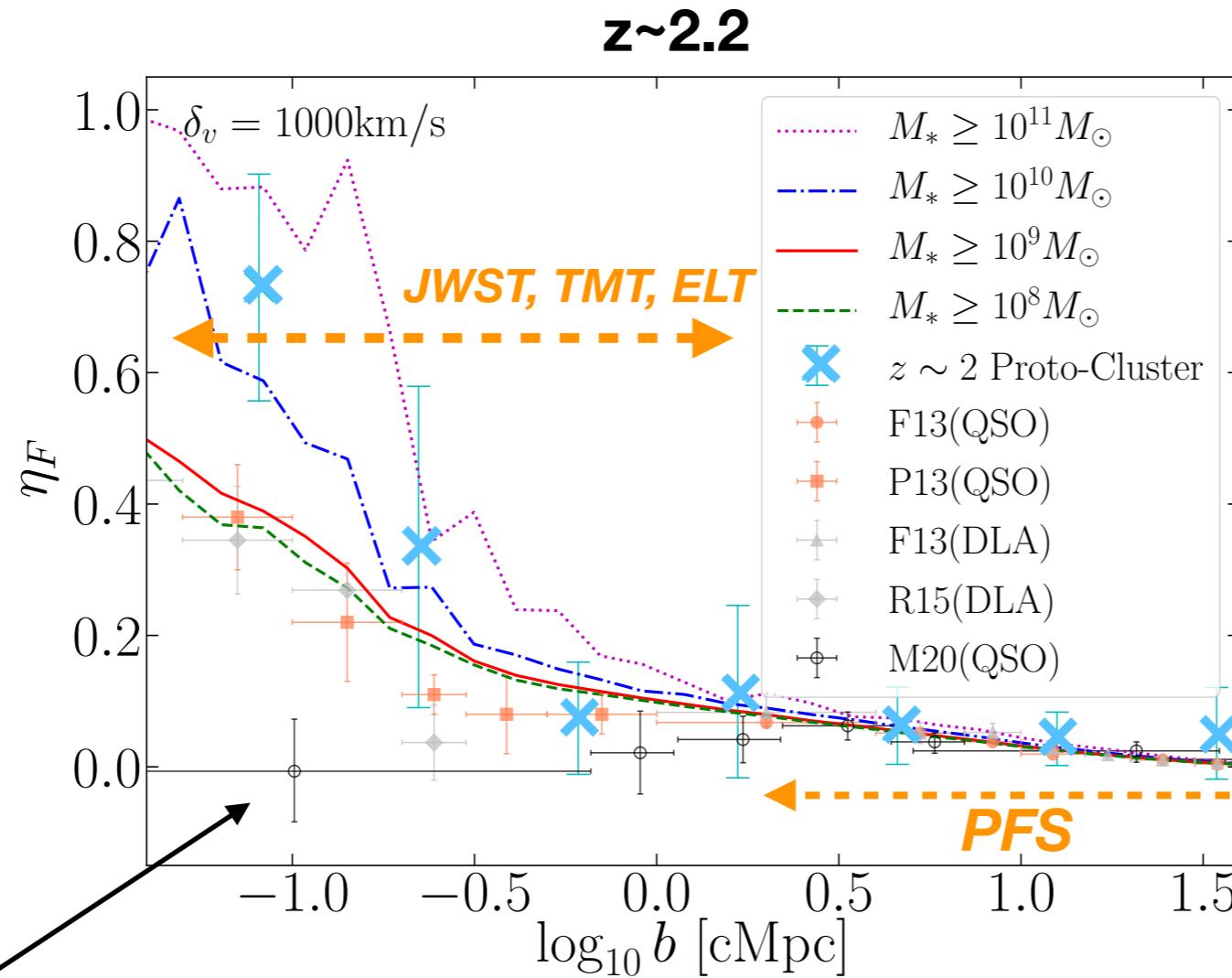
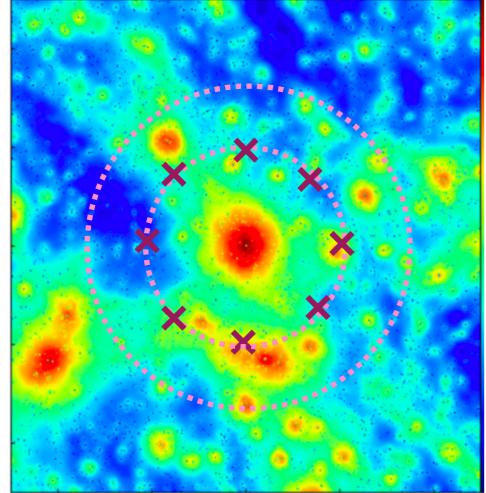
cf. similar suggestion from [Cii] obs.

Turner+ '15

Protocluster @ z~2.1



Flux contrast of a protocluster



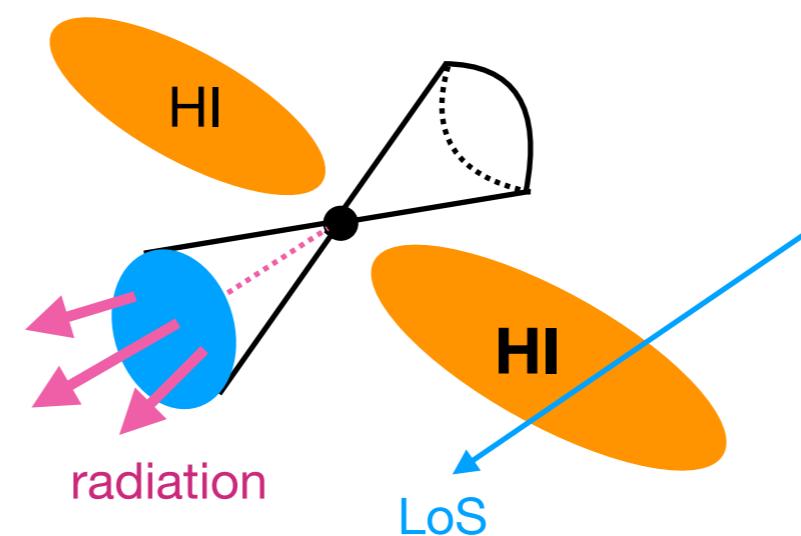
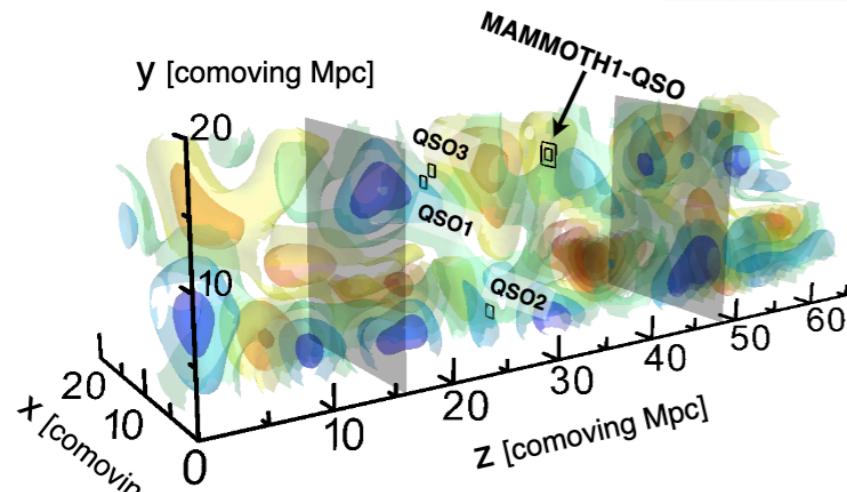
KN+'20

asymptote to
cosmic IGM

consistent w/
Sorini+'18

Mukae+'20

MAMMOTH QSO region
proximity effect?



→ support for Λ CDM

cf. Prochaska+13; Lee+14; Cai+16, 17; Liang+20; Miller+19

Summary

- High-z gal. formation: good testing lab of Λ CDM paradigm
- *Interplay btw SF & Cold flow, multiphase outflow*
 - gal. growth & quenching (\rightarrow Morishita review)
- *IR lines* — extended [Cii], Ly α , H α profiles — stronger cold outflow? (kinetic FB & thermal instability? cosmic rays?)
- Ly α flux contrast, IGM tomography (PFS) — ISM/CGM/IGM
 - (\rightarrow Kakiichi, Momose review)
- Proto-cluster flux contrast \rightarrow PFS, FOREVER22
- AGN feedback (\rightarrow Kohno, Sugimura review)

課題（銀河理論）

- $M_{\text{BH}} - \sigma$ ($M_{\text{BH}} - M_{\text{bulge}}$) 関係をもとに銀河とSMBHは共進化したと言われているが、そもそも本当に $M_{\text{BH}} - \sigma$ 関係は存在するのだろうか。本当に銀河とSMBHは共進化したのだろうか。これらを反証するにはどのような観測やシミュレーション研究を行えば良いだろうか。 It is often said that galaxies co-evolved with SMBH based on $M_{\text{BH}} - \sigma$ relation, but does the correlation really exist, and did they co-evolve? What kind of obs. & simulations shall we carry out to disprove it?
- 銀河の成長を制御していると言われている超新星・AGNフィードバックモデルを制限するためには、どのような観測やシミュレーション研究を行えば良いだろうか。それぞれ考えてプロジェクトを提案せよ。可能な限り具体的なパラメータなども示せ。 What kind of obs. & simulations shall we carry out to constrain SN & AGN feedback models? Propose some comparison projects with concrete parameters as much as possible.