

# ECE421 - Winter 2022

## Homework Problems - Tutorial #11

*Theme: PAC Learning and VC Dimension*

Due: April 10, 2022 11:59 PM

### Question 1 (Examples 2.2 from LFD)

Consider the following learning models.

1.  $\mathcal{H}$  is the set of positive rays consisting of all hypothesis  $h : \mathbb{R} \rightarrow \{-1, +1\}$  of the form  $h(x) = \text{sign}(x - a)$ .
2.  $\mathcal{H}$  is the set of positive intervals consisting of all hypothesis  $h : \mathbb{R} \rightarrow \{-1, +1\}$ , such that  $h(x) = +1$  if  $x$  is *within* some predefined interval and  $-1$  otherwise.

For each of the above learning models, compute

- the maximum number of dichotomies  $m_{\mathcal{H}}(N)$ ,
- the smallest breakpoint  $k$ , and
- the VC dimension  $d_{vc}$ .

Reason clearly how you arrive at the answers. The final expression for  $m_{\mathcal{H}}(N)$  is provided on page 44 of LFD to help you to verify the answer.

### Question 2 (Problem 2.3 from LFD)

Compute the maximum number of dichotomies  $m_{\mathcal{H}}(N)$  for the following learning models, and compute the VC dimension  $d_{vc}$ .

1. Positive *or* negative ray:  $\mathcal{H}$  contains the functions that are  $+1$  on  $[a, \infty)$  (for some  $a$ ) and  $-1$  otherwise, together with functions that are  $+1$  on  $(-\infty, a]$  (for some  $a$ ) and  $-1$  otherwise.
2. Two concentric spheres in  $\mathbb{R}^d$ :  $\mathcal{H}$  contains the functions which are  $+1$  for  $a \leq \sqrt{x_1^2 + \dots + x_d^2} \leq b$ , and  $-1$  otherwise.

### Question 3

Consider an arbitrary hypothesis space  $\mathcal{H}$ , where  $h \in \mathcal{H}$  is a binary linear classifier. Suppose that  $m_{\mathcal{H}}(1) = 2$  and  $m_{\mathcal{H}}(2) = 3$ . Find the largest possible value for  $m_{\mathcal{H}}(3)$ . (Hint: proceed by induction, for instance, what does it mean for  $m_{\mathcal{H}}(1) = 2$  and  $m_{\mathcal{H}}(2) = 3$ ? A table could be helpful.).