

# Chapter 4

## Algorithms

### 4.1 Syntax of an algorithm in pseudo-code

In this part, we consider an extension of the programming language **WHILE** presented in the Exercise sheet 2. Basically, the grammar is extended with *arrays* and *procedures*.

Let  $A$  be a finite alphabet, such as the English alphabet, and consider the following simple programming language **PROC**, an extension of **WHILE**, whose well-formed programs are those obtained by applying the rules of the following grammar:

Numbers:

$$Num ::= d \mid dNum \quad \text{where } d \in \{0, 1, \dots, 9\}$$

Identifiers:

$$\begin{aligned} Id &::= aId' && \text{where } a \in A \\ Id' &::= \lambda \mid aId' \mid NumId' \end{aligned}$$

Numeric expressions:

$$\begin{aligned} Exp ::= & Num \mid Id \mid Id[Exp] \mid \mathbf{length}(Id) \mid (Exp) \\ & \mid Exp + Exp \mid Exp - Exp \mid Exp * Exp \mid Exp / Exp \\ & \mid Id(Exp, \dots, Exp) \end{aligned}$$

Boolean expressions:

$$\begin{aligned} BExp ::= & \mathbf{true} \mid \mathbf{false} \mid Exp = Exp \mid (BExp) \\ & \mid Exp \leq Exp \mid Exp < Exp \\ & \mid Exp \geq Exp \mid Exp > Exp \\ & \mid \neg BExp \mid BExp \wedge BExp \mid BExp \vee BExp \end{aligned}$$

Procedure declaration

$$\begin{aligned} Pr ::= & \mathbf{procedure} \, Id(Id, \dots, Id) \, P \\ & \mid \mathbf{procedure} \, Id(Id, \dots, Id) \, P; \mathbf{return} \, Exp \end{aligned}$$

Programs:

$$\begin{aligned} P ::= & \mathbf{skip} \mid Id := Exp \mid Id[Exp] := Exp \mid P; P \\ & \mid \mathbf{if} \, BExp \mathbf{then} \, P \mathbf{else} \, P \mathbf{fi} \\ & \mid \mathbf{while} \, BExp \mathbf{do} \, P \mathbf{done} \\ & \mid \mathbf{for} \, Id := Exp \mathbf{to} \, Exp \mathbf{do} \, P \mathbf{done} \\ & \mid Id(Exp, \dots, Exp) \end{aligned}$$

## 4.2 Examples of algorithms

**Algorithm 4.2.1** (Maximum in a generic array). The following pseudo-code in **PROC** returns the maximum value occurring in the provided **array**.

```

procedure max(array)
  maxvalue := array[0];
  for i := 1 to length(array) - 1 do
    if array[i] > maxvalue then
      maxvalue := array[i]
    else
      skip
    fi
  done;
  return maxvalue

```

**Algorithm 4.2.2** (Index of a value in an array). The following pseudo-code in **PROC** returns the index of the specified **value** if it occurs in the provided **array**, otherwise **length(array)** is returned.

```

procedure indexOf(value, array)
  index := length(array);
  for i := 0 to length(array) - 1 do
    if array[i] = value then
      index := i
    else
      skip
    fi
  done;
  return index

```

**Algorithm 4.2.3** (Index of a value in a sorted array). The following pseudo-code in **PROC** returns the index of the specified **value** if it occurs in the provided sorted **array**, otherwise **length(array)** is returned.

```

procedure indexOfSorted(value, array)
    index := length(array);
    low := 0;
    high := length(array) - 1;
    while low < high do
        middle := (low + high)/2;
        if array[middle] < value then
            low := middle + 1
        else
            high := middle
        fi
    done;
    if array[low] = value then
        index := low
    else
        skip
    fi;
    return index

```

**Algorithm 4.2.4** (Swap elements in an array). The following pseudo-code in **PROC** swaps the elements at index *i* and *j* in the provided array.

```

procedure swap(array, i, j)
    temp := array[i];
    array[i] := array[j];
    array[j] := temp

```

**Algorithm 4.2.5** (Bubble sort). The following pseudo-code in **PROC** sorts the provided array.

```

procedure bubbleSort(array)
  for i := 0 to length(array) - 1 do
    for j := 0 to (length(array) - 1) - i do
      if array[j] > array[j + 1] then
        swap(array, j, j + 1)
      else
        skip
      fi
    done
  done

```

**Definition 4.2.6.** *The Tableau approach for the satisfiability problem of propositional logic: a propositional formula is satisfiable iff it has a consistent tableau.*

**Definition 4.2.7** (Decidability). • *A decision problem consists of a set of instances and a subset of yes-instances.*

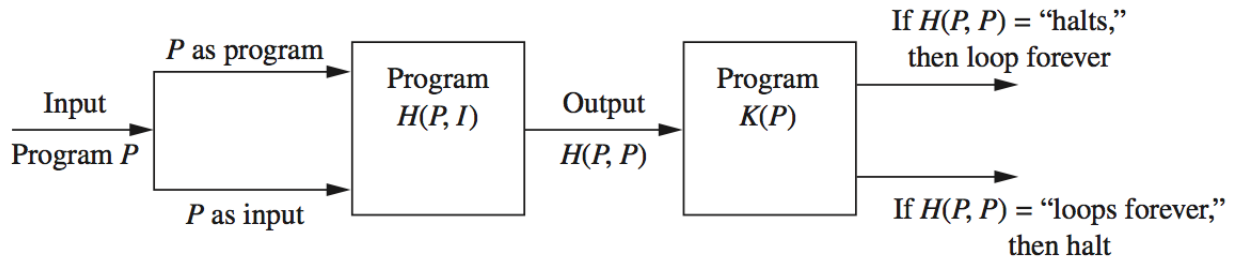
- *The Primality problem: is the instance  $x$  a prime number?*
- *The answer (solution) to any decision problem is just one bit (true or false).*
- *A problem  $Q$  is decidable iff there is an algorithm  $A$ , such that for each instance  $q$  of  $Q$ , the computation  $A(q)$  stops with an answer.*
- *A problem  $Q$  is semi-decidable iff there is an algorithm  $A$ , such that for each instance  $q$  of  $Q$ , if  $q$  holds, then  $A(q)$  stops with the positive answer; otherwise,  $A(q)$  either stops with the negative answer, or does not stop.*

**Definition 4.2.8** (The Halting Problem). *It takes as input a computer program and input to the program and determines*

whether the program will eventually stop when run with this input.

- If the program halts, we have our answer.
- If it is still running after any fixed length of time has elapsed, we do not know whether it will never halt or we just did not wait long enough for it to terminate.

*Undecidability of the Halting Problem*



## 4.3 The Growth of Functions

**Definition 4.3.1.** Let  $f$  and  $g$  be functions from the set of integers or the set of real numbers to the set of real numbers. We say that  $f(x)$  is  $O(g(x))$  if there are constants  $C$  and  $k$  such that  $|f(x)| \leq C|g(x)|$  whenever  $x > k$ .

**Definition 4.3.2.** Let  $f$  and  $g$  be functions from the set of integers or the set of real numbers to the set of real numbers. We say that  $f(x)$  is  $\Omega(g(x))$  if there are positive constants  $C$  and  $k$  such that  $|f(x)| \geq C|g(x)|$  whenever  $x > k$ .

Moreover, We say that  $f(x)$  is  $\Theta(g(x))$  if  $f(x)$  is  $O(g(x))$  and  $\Omega(g(x))$ .

**Exercise 4.3.3.** Show that:

1. Show that  $\log n!$  is  $O(n \log n)$ .
2. Show that  $n^2$  is not  $O(n)$ .
3. Suppose that  $f_1(x)$  is  $O(g_1(x))$  and that  $f_2(x)$  is  $O(g_2(x))$ . Then  $(f_1 + f_2)(x)$  is  $O(\max(|g_1(x)|, |g_2(x)|))$ .
4. Suppose that  $f_1(x)$  is  $O(g_1(x))$  and  $f_2(x)$  is  $O(g_2(x))$ . Then  $(f_1 f_2)(x)$  is  $O(g_1(x) g_2(x))$ .
5. Show that  $3x^2 + 8x \log x$  is  $\Theta(x^2)$ .
6. Assume  $a_n \neq 0$ . Show that  $\sum_{i=0}^n a_i x^i$  is  $\Theta(x^n)$ .

## 4.4 Complexity of Algorithms

We are interested in the *time complexity* of the algorithm.

**TABLE 1** Commonly Used Terminology for the Complexity of Algorithms.

| <i>Complexity</i>             | <i>Terminology</i>      |
|-------------------------------|-------------------------|
| $\Theta(1)$                   | Constant complexity     |
| $\Theta(\log n)$              | Logarithmic complexity  |
| $\Theta(n)$                   | Linear complexity       |
| $\Theta(n \log n)$            | Linearithmic complexity |
| $\Theta(n^b)$                 | Polynomial complexity   |
| $\Theta(b^n)$ , where $b > 1$ | Exponential complexity  |
| $\Theta(n!)$                  | Factorial complexity    |

**Definition 4.4.1.**    • *A problem that is solvable using an algorithm with polynomial worst-case complexity is called tractable. Tractable problems are said to belong to class  $P$ .*

- *Problems for which a solution can be checked in polynomial time are said to belong to the class  $NP$ .*
- *The  $P = NP$  problem asks whether  $NP$ , the class of problems for which it is possible to check solutions in polynomial time, equals  $P$ , the class of tractable problems.*
- *$NP$ -complete problems: It is an  $NP$  problem and if a polynomial time algorithm for solving it were known, then  $P = NP$ .*
- *The satisfiability problem is  $NP$ -complete.      Cook-Levin Theorem*

*A prize of 1,000,000 dollars is offered by the Clay Mathematics Institute for its solution.*