

# CSC 261/461

## Database Systems

Eustrat Zhupa

October 8, 2018



UNIVERSITY of  
ROCHESTER

# Functional Dependencies

## Second Normal Form

- ▶ Uses the concepts of *FDs* and *primary key*
- ▶ Definitions
  - ▶ **Prime attribute**: An attribute that is member of the primary key  $K$
  - ▶ **Full functional dependency**: a FD  $Y \rightarrow Z$  where removal of any attribute from  $Y$  causes FD to not hold any more
  - ▶  $X \rightarrow Y$  is a **partial dependency** if some attribute can be removed from  $X$  and the dependency still holds
- ▶ A relation schema  $R$  is in **second normal form** (2NF) if every non-prime attribute  $A$  in  $R$  is fully functionally dependent on the primary key

[6]



UNIVERSITY of  
ROCHESTER

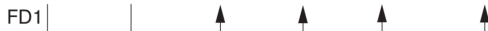
# Functional Dependencies

## Second Normal Form

(a)

EMP\_PROJ

<u>Ssn</u>	<u>Pnumber</u>	Hours	Ename	Pname	Plocation
------------	----------------	-------	-------	-------	-----------



[1]

2NF Normalization

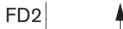
EP1

<u>Ssn</u>	<u>Pnumber</u>	Hours
------------	----------------	-------



EP2

<u>Ssn</u>	Ename
------------	-------



EP3

<u>Pnumber</u>	Pname	Plocation
----------------	-------	-----------



UNIVERSITY of  
ROCHESTER

# Functional Dependencies

## Third Normal Form

- ▶ **Transitive functional dependency**: a FD  $X \rightarrow Z$  that can be derived from two FDs  $X \rightarrow Y$  and  $Y \rightarrow Z$
- ▶ A relation schema R is in **third normal form (3NF)** if it is in 2NF and no non-prime attribute A in R is transitively dependent on the primary key.

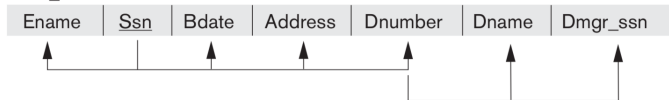


# Functional Dependencies

## Third Normal Form

(b)

EMP\_DEPT



3NF Normalization

ED1



ED2



UNIVERSITY of  
ROCHESTER

# Functional Dependencies

## Normal Forms

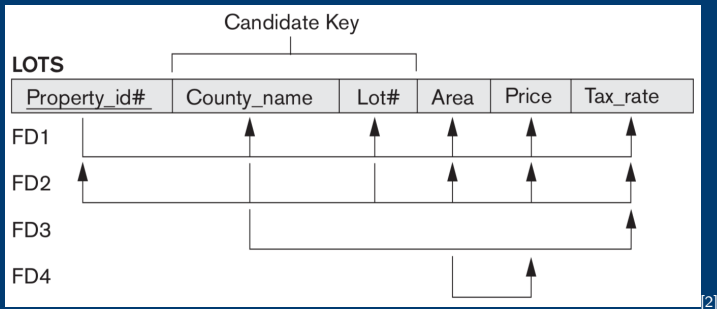
- ▶ **1st** normal form:  
All attributes depend on the key
- ▶ **2nd** normal form:  
All attributes depend on the whole key
- ▶ **3rd** normal form:  
All attributes depend on nothing but the key



# Normal Forms

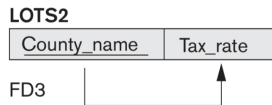
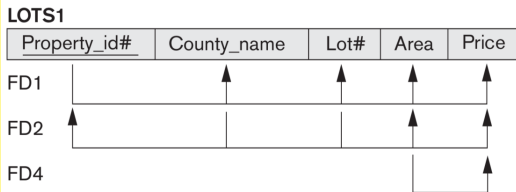
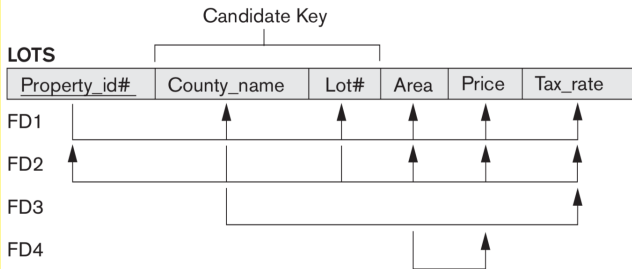
## General Definition of 2NF

A relation schema  $R$  is in second normal form (2NF) if every nonprime attribute  $A$  in  $R$  is not partially dependent on any key of  $R$ .



# Functional Dependencies

## Normal Forms



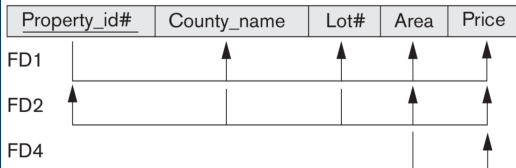


# Normal Forms

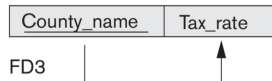
## General Definition of 3NF

A relation schema  $R$  is in third normal form (3NF) if, whenever a nontrivial functional dependency  $X \rightarrow A$  holds in  $R$ , either  $X$  is a superkey of  $R$ , or  $A$  is a prime attribute of  $R$ .

LOTS1

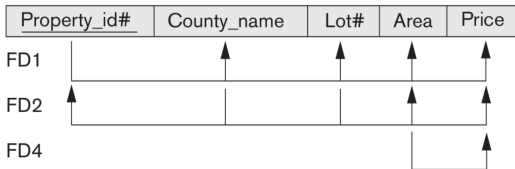


LOTS2

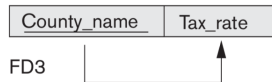


# General Definition of 3NF

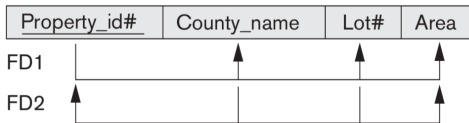
**LOTS1**



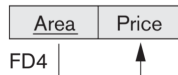
**LOTS2**



**LOTS1A**



**LOTS1B**



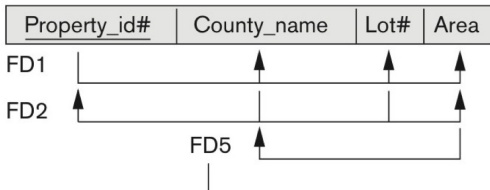
# Normal Forms

## Boyce-Codd Normal Form

A relation schema  $R$  is in Boyce-Codd Normal Form (BCNF) if whenever a *nontrivial* FD  $X \rightarrow A$  holds in  $R$ , then  $X$  is a superkey of  $R$

(a)

LOTS1A

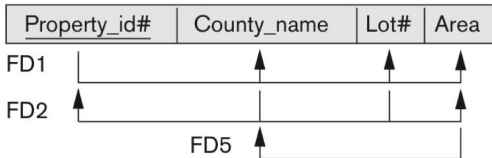


# Normal Forms

## Boyce-Codd Normal Form

(a)

**LOTS1A**



BCNF Normalization

**LOTS1AX**

<u>Property_id#</u>	Area	Lot#
---------------------	------	------

**LOTS1AY**

<u>Area</u>	County_name
-------------	-------------

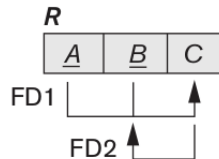


# Normal Forms

## Boyce-Codd Normal Form

### TEACH

Student	Course	Instructor
Narayan	Database	Mark
Smith	Database	Navathe
Smith	Operating Systems	Ammar
Smith	Theory	Schulman
Wallace	Database	Mark
Wallace	Operating Systems	Ahamad
Wong	Database	Omiecinski
Zelaya	Database	Navathe
Narayan	Operating Systems	Ammar



[3]



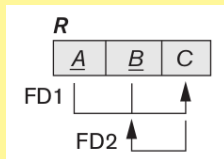
UNIVERSITY OF  
ROCHESTER

# Normal Forms

## Boyce-Codd Normal Form

FD1:  $\{Student, Course\} \rightarrow Instructor$

FD2:  $Instructor \rightarrow Course$



1.  $R_1(Student, Instructor)$  and  $R_2(Student, Course)$
2.  $R_1(Course, Instructor)$  and  $R_2(Course, Student)$
3.  $R_1(Instructor, Course)$  and  $R_2(Instructor, Student)$

# Decomposition

FD1:  $\{Student, Course\} \rightarrow Instructor$

FD2:  $Instructor \rightarrow Course$

1.  $R1(\underline{Student}, \underline{Instructor})$  and  $R2(\underline{Student}, \underline{Course})$
2.  $R1(Course, \underline{Instructor})$  and  $R2(\underline{Course}, \underline{Student})$
3.  $R1(\underline{Instructor}, Course)$  and  $R2(\underline{Instructor}, \underline{Student})$

## NJB (Nonadditive Join Test for Binary Decompositions)

A decomposition  $D = \{R_1, R_2\}$  of  $R$  has the *lossless* (nonadditive) join property with respect to a set of functional dependencies  $F$  on  $R$  if and only if either

- ▶ The FD  $((R_1 \cap R_2) \rightarrow (R_1 - R_2))$  is in  $F^+$ , or
- ▶ The FD  $((R_1 \cap R_2) \rightarrow (R_2 - R_1))$  is in  $F^+$

