

# Examining Predictive Models for Burnout Detection in South African Medical Doctors: A Scoping Review Protocol

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#### Abstract:

#### Introduction:

Burnout among South African medical doctors is a significant and escalating concern, adversely impacting both clinician well-being and healthcare delivery. While artificial intelligence (AI) offers promising avenues for early detection and intervention, there is limited evidence on the specific determinants most pertinent for AI-based detection in this context. But on the other hand there is extensive research about predictive AI-based models for burnout in the healthcare system.

#### Objective:

To identify the main types of predictive models used for burnout detection in healthcare and explore which are best suited for early detection among South African medical doctors, considering relevant determinants and the local context.

#### Methodology:

This scoping review protocol follows the Joanna Briggs Institute (JBI) framework. A search will be conducted across electronic databases (PubMed, Scopus, PsycINFO), grey literature sources and manual reference checks. Inclusion and exclusion criteria are predefined. The process was carried out by a single reviewer, which could affect how thoroughly studies were selected and interpreted.

#### **Expected Outcomes:**

The review will provide an overview of psychological, physiological, and organisational determinants relevant to Al-based early burnout predictive models among South African medical doctors. Findings will inform future research predictive Al model development, to enhance early identification and intervention for burnout.

Keywords: Al-tools, Early Burnout Detection, Medical Doctors, Psychological, Physiological, Organisational Determinants.

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#### 1. Introduction/Background

Burnout among medical doctors in South Africa is a significant concern, with prevalence rates reported between 4% and 84% before the COVID-19 pandemic, rising to as high as 78% during and after the pandemic. (1) Key psychological determinants of burnout include emotional exhaustion, anxiety, depression, and impaired concentration, which impact doctors' mental health and professional functioning. (2) Physiological manifestations often accompany these psychological symptoms, such as fatigue, insomnia, and loss of appetite. (2) Organisational determinants play a role, with excessive workload, poor job control, inadequate supervisory support, and a hierarchical work culture being significant contributors to burnout. (1,3)

The hierarchical nature of South African healthcare settings often limits doctors' autonomy and participation in decision-making, exacerbating stress and moral distress. (1) Additionally, administrative burdens and poor work-life balance further intensify burnout risk. (3) Importantly, supportive organisational environments and job satisfaction have been shown to mitigate burnout, highlighting the need for systemic interventions alongside individual resilience training. (1) Artificial intelligence (AI) offers promising opportunities for the early detection of burnout by integrating psychological, physiological, and work-related data to identify at-risk doctors before burnout becomes severe. (4) AI applications in mental health have demonstrated potential in diagnosis, prognosis, and monitoring, yet their use specifically for burnout detection in South African medical doctors remains limited. (5,6) Exploring the relevant determinants is therefore essential to guide the development of effective AI tools tailored to this context.

#### 2. Literature Review

#### 2.1 Prevalence and Definition of Burnout

Burnout among medical doctors in South Africa is a well-documented concern, with prevalence rates reported to vary widely. This variation is influenced by differences in study settings, populations, and measurement tools, yet the consistently high prevalence indicates a significant occupational health issue. Burnout is characterised by emotional exhaustion, depersonalisation, and a reduced sense of personal accomplishment. Psychological symptoms such as anxiety and depression are frequently reported among doctors experiencing burnout, affecting their mental well-being and professional performance.<sup>(3)</sup> Physiological symptoms, including fatigue and sleep disturbances, often accompany these psychological effects.<sup>(7)</sup> Organisational determinants such as excessive workload, limited job control, inadequate supervisory support, and hierarchical workplace cultures have been identified as important contributors to burnout in the South African context.<sup>(1,2)</sup>

#### 2.2 Working Conditions and Organisational Contributors

The working conditions for many South African doctors, particularly junior and community service doctors, are demanding. A Study noted that doctors often work extended hours, sometimes exceeding

80 hours per week, with long shifts and limited rest periods.<sup>(7)</sup> These conditions can exacerbate stress and contribute to the development of burnout. The hierarchical nature of healthcare workplaces may also limit doctors' autonomy and participation in decision-making, which has been associated with increased burnout risk.<sup>(1)</sup> Supportive organisational environments, including effective supervisory and peer support, have been shown to mitigate burnout. Job satisfaction and opportunities for professional development are also important protective determinants.<sup>(3)</sup> However, stigma surrounding mental health issues and reluctance to seek help remain barriers to addressing burnout effectively within the medical profession.<sup>(1)</sup> The tragic case of a South African intern doctor Dr Alulutho Mazwi (25) who died after being denied time off for his diabetes management has brought renewed attention to the challenges faced by medical practitioners in the public health sector.<sup>(8)</sup> This incident highlights the potential consequences of burnout and poor working conditions, emphasising the need for early detection and intervention strategies to support doctors' health and well-being.

#### 2. 3 Artificial Intelligence (AI) and Early Detection

Al applications in mental health have demonstrated potential in diagnosis, prognosis, and monitoring, through the integration of diverse data sources such as self-reported symptoms, physiological markers, and workplace variables. For example, machine learning models have been developed to predict burnout risk determinants among nursing staff in South Africa, illustrating the feasibility of Al-based approaches in this context. Despite these advances, challenges remain in implementing Al tools within healthcare settings. These include ensuring the reliability of Al models, addressing ethical considerations, and integrating Al systems into existing clinical workflows. Systematic reviews of Al implementation in primary healthcare further highlight the need for context-specific research to optimise Al applications and ensure they meet the needs of healthcare professionals and patients.

#### 2. 4 Key Determinants for Al-Based Early Detection

A comprehensive understanding of the psychological, physiological, and organisational determinants relevant to burnout is essential for developing effective Al-based early detection systems. Psychological determinants include emotional exhaustion, anxiety, and depression, physiological determinants encompass fatigue and other stress-related symptoms, organisational determinants involve workload, job control, supervisory support, and workplace culture. (1,3,7) Integrating these determinants into Al models could facilitate timely identification of doctors at risk, enabling early interventions that may prevent burnout progression. The importance of this research is underscored by real-world consequences such as the death of the intern doctor, which might have been preventable with earlier identification and support. Al tools designed to detect burnout early could serve as valuable safeguards, alerting healthcare managers and clinicians to intervene before burnout leads to severe health deterioration or loss of life. (8) Such innovations align with broader efforts to improve working conditions and support the mental health of medical practitioners in South Africa.

#### 2. 5 International Perspectives

Recent studies from various international contexts further elucidate the multifaceted nature of burnout among medical practitioners, reinforcing findings observed in South Africa. For instance, Karim Alenezi

et al.<sup>(10)</sup> reported a high prevalence of burnout among Saudi resident doctors, identifying workload, lack of support, and work–life balance as significant contributors. Similarly, Peltzer, Mashego, and Mabeba <sup>(11)</sup> highlighted occupational stress as a critical factor influencing burnout among South African medical practitioners, underscoring the persistent nature of this issue over time. Research from Egypt by Fadle et al.<sup>(12)</sup> during the COVID-19 pandemic also demonstrated elevated burnout rates among resident doctors, with pandemic-related stressors exacerbating psychological distress. Earlier work by Varga, Urdániz, and Canti<sup>(13)</sup> in general hospital doctors emphasised the role of organisational culture and job demands in burnout development.

#### 2. 6 Consequences and the Imperative for Early Detection

The serious implications of burnout, including increased risk of suicide, have been documented by Moukaddam et al.<sup>(14)</sup>, stressing the urgent need for effective mental health screening and intervention strategies, as also argued by Goldman, Bernstein, and Summers.<sup>(15)</sup> Furthermore, Denning et al.<sup>(16)</sup> identified determinants of burnout during the pandemic across healthcare workers internationally, highlighting the importance of supportive work environments and mental health resources. These international perspectives corroborate and expand upon the complex interplay of psychological, physiological, and organisational determinants contributing to burnout in South African doctors, reinforcing the imperative for comprehensive, context-sensitive approaches to detection and prevention.

#### 2.7 Models and Artificial Intelligence (AI) in Early Burnout Detection

Recent studies have highlighted the potential of artificial intelligence (AI) and predictive modelling to enhance early detection of burnout among healthcare professionals by analysing complex data from psychological, physiological, and organisational sources. (17,18) Various model types, such as machine learning algorithms and deep learning frameworks, have been used internationally to identify at-risk individuals based on determinants like electronic health records, wearable sensor data, and self-reported measures. (19) However, despite promising results in high-income settings, there remains a lack of robust evidence about which predictive models are most effective or adaptable within resource-limited healthcare systems such as South Africa's. (20) This gap underscores the need for exploring existing predictive models to evaluate their strengths, limitations, and contextual suitability for the South African medical workforce.

Burnout among South African medical doctors is influenced by a complex interplay of psychological, physiological, and organisational determinants. High prevalence rates and serious outcomes highlight the need for effective early detection and intervention strategies. All offers a promising avenue for addressing this need by integrating multidimensional data to identify at-risk individuals. Continued research to map relevant determinants and address implementation challenges will be critical to realise the potential of Al in supporting doctors' well-being and sustaining healthcare quality in South Africa.

#### 3. Rationale

Early identification of burnout is crucial to enable timely support and maintain healthcare standards. Recent developments in artificial intelligence (AI) have introduced promising predictive models capable of analysing these relevant determinants to detect burnout at an early stage. However, there remains a lack of comprehensive understanding regarding which types of predictive models have been applied to burnout detection and how suitable these approaches are within the South African medical context.

This scoping review protocol, guided by the JBI framework, aims to scope the existing literature on predictive models used for burnout detection in healthcare. It will explore the types of models employed, their strengths and limitations, and their potential applicability to South Africa's health system. By identifying gaps and synthesising available evidence, this review will help guide future development of AI tools tailored to support South African medical doctors, ultimately enhancing early detection strategies and promoting better healthcare outcomes.

#### 4. Problem Statement

There is limited knowledge on the effectiveness and cultural suitability of these AI interventions within South Africa's distinct healthcare context.<sup>(3)</sup> The use of predictive models based on artificial intelligence is emerging as a promising approach for early burnout detection by analysing clinical and behavioural data, such as electronic health records and physiological signals.<sup>(18)</sup> However, there is limited evidence on which predictive model types effectively incorporate critical determinants of burnout and how suitable these models are within the South African healthcare context. <sup>(21)</sup> Addressing this gap through scoping of published models is crucial to inform tailored, context-appropriate interventions that could support South African medical doctors. <sup>(22)</sup>

#### 5. Research Question

What predictive models have been used for burnout detection in healthcare, and what evidence supports their suitability for South Africa?

#### 6. Research Aim

To explore the main types of predictive models used for burnout detection in healthcare, and to assess which models are most suitable for early detection of burnout among South African medical doctors, considering psychological, physiological and work related determinants and the local healthcare context.

#### 7. Research Objectives

To identify predictive models used for burnout detection in healthcare.

To examine which predictive models are most suited for early detection of burnout among South African medical doctors, based on available determinants and local context.

#### 8. Methodology

#### 8.1 Eligibility Criteria (Inclusion and Exclusion)

#### a. Inclusion Criteria

The inclusion criteria for this review consists of doctors as the population of interest. The concept centres on studies examining Al-based predictive models designed for the early detection of mental health burnout or related psychological, physiological, and organisational outcomes among medical doctors, with an emphasis on applicability within the South African healthcare context and predictive Al-based models. Eligible study types include quantitative, qualitative, mixed-methods studies, and reviews addressing Al-driven early detection of burnout among South African medical doctors and clinical practitioners. The timeframe for included studies spans from 2018 to the present in order to capture recent developments. All healthcare settings within South Africa and global literature involving medical doctors are considered, including public and private sectors, hospitals, clinics, and other clinical environments where Al-based early burnout detection tools or related assessments may be applied.

#### b. Exclusion Criteria

Studies unrelated to healthcare settings, including those conducted exclusively in non-healthcare fields such as education, social sciences, or other unrelated disciplines, will also be excluded. Editorials, opinion pieces, conference abstracts without full data, and sources lacking supporting evidence will be excluded. Additionally, opinion articles authored by individuals without relevant expertise or experience in the field will be excluded to ensure the inclusion of credible and methodologically sound evidence. Studies published in languages other than English will be excluded due to resource limitations for translation and to maintain consistency in data interpretation.

#### 8.2 Information Sources

Electronic Databases: Key bibliographic databases relevant to healthcare, psychology, and technology will be searched, including PubMed, Scopus, and PsycINFO. These databases provide extensive coverage of peer-reviewed literature on Al applications, mental health, and occupational health. Grey Literature: To capture unpublished or non-traditional sources of evidence, grey literature will be searched through ProQuest Dissertations & Theses, OpenGrey, as well as reports from the World Health Organization (WHO), South African government departments, and relevant non-governmental organisations (NGOs). This approach aligns with JBI guidance to include diverse evidence sources beyond published studies. Manual Searching: Reference lists of all included studies and relevant systematic and scoping reviews will be hand-searched to identify additional pertinent studies.

#### 8.3 Logic Grid

Table 1: Logic Grid for search strategy

PCC Element	Keywords / Concepts	Notes	Search Logic Example
Population	Medical doctors, clinical practitioners, physicians	Focus on medical doctors and closely related clinical roles relevant to the review population.	(medical doctor* OR clinical practitioner* OR physician*)
Concept	Artificial intelligence, AI, machine learning, chatbot, digital intervention, wearable device, automated documentation, digital scribe, burnout detection, mental health monitoring, predictive models	Include AI technologies and digital tools relevant to early detection of burnout or mental health.	(artificial intelligence OR AI OR machine learning OR chatbot* OR digital intervention* OR wearable device* OR automated documentation OR digital scribe* OR burnout detection OR mental health monitoring OR predictive models)
Context	Healthcare settings, hospitals, clinics, public health facilities, primary care centres. South Africa	Capture healthcare environments and geographic focus relevant to the study context.	(healthcare setting* OR hospital* OR clinic* OR public health facility* OR primary care centre* OR resource-limited OR LMIC* OR "South Africa")

#### **8.4 Study Selection Process**

Two reviewers will independently screen all titles and abstracts using Rayyan software to efficiently manage and blind the screening process. (23) Studies deemed potentially eligible will undergo full-text review to confirm inclusion based on predefined criteria. (23) Any disagreements arising at either screening stage will be resolved through discussion and consensus between the reviewers; if consensus cannot be reached, a third reviewer will adjudicate. (24) The entire study selection process will

be transparently documented and reported using a PRISMA-ScR flow diagram, aligning with JBI and PRISMA-ScR guidance for methodological transparency.<sup>(24)</sup>

#### 8.5 Data Synthesis

Data will be synthesised using a descriptive and thematic approach, consistent with established methodologies for scoping reviews in healthcare and digital health research. (25) The descriptive analysis will provide an overview of study determinants, including the types of Al-driven predictive models and interventions employed for early burnout detection, the target population of South African medical doctors and clinical practitioners, and the healthcare settings involved. (25) This will facilitate clear visual and tabular presentation of the current evidence landscape, enabling identification of patterns and distribution of research.

The thematic analysis will explore key themes related to the implementation and applicability of Albased predictive models for burnout detection, including facilitators and barriers reported across studies.<sup>(25)</sup> It will also examine how burnout and Al concepts are defined and operationalised within the literature. This approach will support the identification of knowledge gaps and research priorities, thereby guiding future investigations and development of contextually relevant Al interventions.<sup>(25)</sup>

#### 9. Ethical Considerations/Dissemination

Since this scoping review is based solely on the analysis of existing published literature and does not involve the collection of new or identifiable data from human participants, formal ethics approval is not typically required. However, a submission for an ethical waiver will be made to the University of the Witwatersrand Human Research Ethics Committee (HREC) to confirm this status and ensure compliance with institutional requirements. The review will uphold principles of integrity, transparency, and respect for original authorship throughout the process. The findings of this review will be disseminated through publication in a peer-reviewed journal to support knowledge-sharing and inform future research and practice.

#### 10. Timeline: Gantt Chart



Table 2: Showing GANTT Chart for Health Sytems Science Honours Degree Scoping Review Protocol

#### 11. Strengths and Limitations

#### 11.1 Strengths

By exploring Al-based predictive models with particular attention to their adaptability and scalability across diverse healthcare settings within South Africa, the review lays a foundation for the development of personalised mental health support systems tailored to the needs of medical professionals. The review aims to support the development of Al-based predictive model approaches for early detection of burnout and to contribute to the broader understanding of determinants affecting medical professionals within digital healthcare environments.

#### 11.2 Limitations

This review is limited in that it only focuses on three specific determinants linked to predictive Al-based burnout models in South African medical doctors, which means other determinants of burnout may not be captured. The review mostly draws on South African research, so findings may not always reflect insights from international studies. In addition, the process was carried out by a single reviewer, which could affect how thoroughly studies were selected and interpreted.

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#### 13. Appendices

#### 13.1 Appendix A: Database Search Strings, Filters, and Article Retrieval Counts

Electronic	Filters	Number of	Search Logic/Key Words Used
Database		Articles	
		Retrieved	
PubMed	Publication Date: From 2019 to	116 Results	(burnout OR "burnout syndrome") AND
	2025		("medical doctors" OR physicians OR
	Text Availability: Abstract		"healthcare workers") AND ("South Africa"
	Language: English		OR Africa)
	Publication Date: From 2019 to	66 Results	(("artificial intelligence"[Title/Abstract] OR
	2025		AI[Title/Abstract] OR "machine
	Text Availability: Abstract		learning"[Title/Abstract] OR "predictive
	Language: English		model"[Title/Abstract]) AND
			(burnout[Title/Abstract] OR "burnout
			syndrome"[Title/Abstract]) AND
			(doctor[Title/Abstract] OR
			physician[Title/Abstract] OR "medical
			doctor"[Title/Abstract]))
	Publication Date: From 2019 to	29 Results	(burnout OR "burnout syndrome") AND
	2025		("medical doctors" OR physicians) AND
	Text Availability: Abstract		"South Africa"
	Language: English		
	Publication Date: From 2019 to	218 Results	(("artificial intelligence"[Title/Abstract] OR
	2025		AI[Title/Abstract] OR "machine
	Text Availability: Abstract		learning"[Title/Abstract])
	Language: English		AND (burnout[Title/Abstract] OR "mental
			health"[Title/Abstract]))
			AND (healthcare[Title/Abstract] OR
			medical[Title/Abstract] OR
			doctor[Title/Abstract] OR
			physician[Title/Abstract])
			AND (detection[Title/Abstract] OR
			screening[Title/Abstract] OR
			intervention[Title/Abstract])

Electronic	Filters	Number	of	Search Logic/Key Words Used
Database		Articles		
		Retrieved		

PsycINFO	Publication Date: From 2019 to	18 Results	(burnout OR "burnout syndrome") AND
	2025		("medical doctors" OR physicians OR
	Academic Journals		"healthcare workers") AND ("South
	Linked Full Text		Africa" OR Africa)
	Language: English		
	Publication Date: From 2019 to	3 Results	("artificial intelligence" OR AI OR
	2025		"machine learning" OR "predictive
	Academic Journals		model")
	Linked Full Text		AND (burnout OR "burnout syndrome")
	Language: English		AND ("natural language processing" OR
			NLP OR "text analysis" OR
			"psychological assessment" OR "early
			detection")
	Publication Date: From 2019 to	4 Results	(burnout OR "burnout syndrome") AND
	2025		("medical doctors" OR physicians) AND
	Academic Journals		"South Africa"
	Linked Full Text		
	Language: English		
	Publication Date: From 2019 to	507 Results	("artificial intelligence" OR Al OR
	2025		"machine learning")
	Academic Journals		AND (burnout OR "mental health")
	Linked Full Text		AND (healthcare OR medical OR doctor
	Language: English		OR physician)
			AND (detection OR screening OR
			intervention)

Electronic Database	Filters	Number of Articles Retrieved	Search Logic/Key Words Used
SCOPUS	Publication Date: 2019-Present Language: English Search Article Title, Abstract, Keywords	80 Results	TITLE-ABS-KEY(burnout OR "burnout syndrome") AND TITLE-ABS-KEY(doctor OR physician OR healthcare) AND TITLE-ABS-KEY(South Africa)
	Publication Date: 2019-Present Language: English Search Article Title, Abstract, Keywords	36 Results	TITLE-ABS-KEY(("artificial intelligence" OR AI OR "machine learning" OR "predictive model") AND (burnout OR "burnout syndrome") AND (doctor OR physician OR "medical doctor")

		AND (detection OR screening OR
		intervention))
Publication Date: 2019-Present	30 Results	TITLE-ABS-KEY((burnout OR "burnout
Language: English		syndrome") AND (doctor OR physician)
Search Article Title, Abstract,		AND ("South Africa"))
Keywords		
Publication Date: 2019-Present	346 Results	TITLE-ABS-KEY(("artificial intelligence"
Language: English		OR AI OR "machine learning")
Search Article Title, Abstract,		AND (burnout OR "mental health")
Keywords		AND (doctor OR physician OR
		"healthcare worker" OR clinician)
		AND (detection OR screening OR
		intervention))

#### 13.2 Appendix B: Data Extraction Template

Author(s)
Year
Country
Study Design
Population
Psychological, Physiological, Organisational determinants
Predictive Al-based Models
Applicable for South African Healthcare Systems

#### 13.3 Appendix C: PRISMA-ScR Checklist

Section	Item	Description	Included
Title	1	To identify the report as a scoping	YES
		review protocol	
Objectives	2	Clearly states the review questions	YES
		and objectives	
Eligibility Criteria	3	Includes a inclusion/exclusion criteria	YES
Information Sources	4	Lists databases and sources	YES
Search	5	Draft of full search strategy	YES
Selection Process 6		State how studies will be selected	YES
Data Charting 7		Describe data extraction process	YES
Synthesis of Results	8	Explain how data will be analysed and	YES
		summarised	
Strengths and	9	Describes potential strengths and	YES
Limitations		limitations	
Ethics and	10	Indicate plans to share results	YES
Dissemination			

Adapted from: Tricco et al., 2018. PRISMA Extension for Scoping Reviews (PRISMA-ScR).



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# Zertifikat Certificat

# Certificado Certificate

Promouvoir les plus hauts standards éthiques dans la protection des participants à la recherche biomédicale Promoting the highest ethical standards in the protection of biomedical research participants

#### Certificat de formation - Training Certificate

Ce document atteste que - this document certifies that



#### Heleen Roos

a complété avec succès - has successfully completed

#### Module 1 (2023) - Introduction to Research Ethics

du programme de formation TRREE en évaluation éthique de la recherche of the TRREE training programme in research ethics evaluation

Release Date: 2024/02/13

Professeur Dominique Sprumont Coordinateur TRREE Coordinateu



Foederatio Pharmaceutica Helvetiae Programmes de formation continue

Programmes de formation
postgraduée et continue

Ce programme est soutenu par - This program is supported by :

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Sette Academy of Medical Science (SAMMASSASSAMM) (vers. areas). Centraliston for Research Pattenship Developing (Centralis

[REV: 20220217]



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#### Ethan Terblanche

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#### Module 1 (2023) - Introduction to Research Ethics

du programme de formation TRREE en évaluation éthique de la recherche of the TRREE training programme in research ethics evaluation

Release Date: 2025/03/02

SIWF<sub>FMH</sub> Programmes de formation continue (2 crédin)

Professeur Dominique Sprumont Coordinateur TRREE Coordinator



[REV: 20250110]

Ce programme est soutenu par - This program is supported by :

European and Developing Countries Chical Trials Partnership (EECTP) (new acts p. cr). Solice Malered Science Foundation (ver. and. ch). Canadian Institute of Health Research (https://www.che-inc.go.cale/12891.html
Solice Academy of Medical Science (ASMASSAMSMASMAM) (newspare). Commission for Research Program with Developing Countries (news.html).

#### 13.7 Appendix G: Supervisors Agreement

#### Statement of principles for postgraduate supervision

In a context of academic freedom and within a framework of individual autonomy and the pursuit of knowledge, this statement is written in the belief that there is a reciprocal relationship and mutual accountability between supervisor and student

#### THE SUPERVISOR AND THE STUDENT:

- 1. Will establish agreed roles and clear processes to be maintained by both parties. In the case of joint supervision, the roles and responsibilities of each supervisor and the student need to be clarified.
- 2. Will meet regularly and as frequently as is reasonable to ensure steady progress towards the completion of the proposal, research report, dissertation or thesis. This time varies but the normal minimum requirement for face-to-face contact spread across each year of registration is: 10 contact hours for an Honours project, 15 contact hours for a Masters by coursework and research report and 24 contact hours for a Masters by dissertation and a PhD
- 3. Will keep appointments, be punctual and respond timeously to messages.
- 4. Will keep one another informed of any planned vacations or absences as well as changes in his or her personal circumstances that might impact on the work schedule. Unplanned absences or delays should be discussed as soon as possible and arrangements should be made, to catch up lost time.
- 5. Will ensure that research on animal or human subjects is conducted with prior approval and according to the procedures and the requirements of the relevant Ethics committee.
- 6. Will both complete Progress Reports on the research project as required/requested by the relevant Faculty Graduate Studies Committee.

#### THE SUPERVISOR:

- Undertakes to provide guidance for the student's research project in relation to the design and scope of the project, the relevant literature and information sources, research methods and techniques and methods of data analysis.
- Will provide guidance at the commensurate NQF level requirements for autonomy and accountability that the student is expected to demonstrate.
- 3. Has a responsibility to be reasonably accessible to the students.
- 4. Will be prepared for meetings with the student. This includes being up-to-date on the latest work in his/her area of expertise.
- Will expect written work as jointly agreed, and will return that work with constructive criticism within a timeframe (a suggestion of 2-4 weeks) jointly agreed at the outset of the research.
- 6. Will provide advice that can help the student to improve his/her writing. This may include referrals for language training and academic writing. The supervisor will provide guidance on technical aspects of writing such as referencing as well as on discipline specific requirements. Detailed correction of drafts and instruction in aspects of language and style are **not** the responsibility of the supervisor.
- Will guide the student in the production of a research report, dissertation or thesis. Provision should be allowed for adequate, mutually respectful, discussion around recommendations made.
- Will assist with the construction of a written time schedule, which outlines the expected completion dates of successive stages of the work.
- Will encourage the student to present work at postgraduate/ staff seminars/national/international conferences as appropriate.
- 10. Will assist with the publication of research articles as appropriate.

#### THE STUDENT:

- 1. Takes full responsibility for the research and its successful completion; including managing the process under the guidance of supervisor (s).
- Will attend such courses and lectures that are compulsory for the degree, and undertakes to catch up fully on any work, lectures and/or assignments, that are missed
- Undertakes to work independently under the guidance of the supervisor(s).
   This includes reading widely and critically to ensure that the seminal and current literature pertinent to his/her chosen topic has been identified, consulted and critiqued.
- Undertakes to work in accordance with the academic standards expected by the University for the commensurate NQF level of qualification.
- Is obliged to make appointments to consult the supervisor(s) and arrange meeting times convenient to both parties well in advance.
- Should submit written work for discussion with the supervisor(s) well in advance of a scheduled meeting. The kind and frequency of written work should be agreed with the supervisor(s) at the outset of the research.
- 7. Written work that is submitted to the supervisor, including final submissions to examiners, should be relatively free from basic spelling mistakes, incorrect punctuation and grammatical errors. Responsibility for the accuracy of language, the overall structure and coherence of the final research report, dissertation or thesis rests with the student.
- Cannot expect the supervisor to be proof-reader and editor of his/her work or to approve work with any of the weaknesses spelt out in 7 above.
- Undertakes to heed the advice given by the supervisor(s) and to engage in discussion around suggestions made. Ultimately the student has to take responsibility for the quality, integrity and presentation of the work.

We confirm that we have read and understood this statement and agree to be guided by its principles for as long as we continue to work together.

Name of student: Ethan Cowan Terblanche

Student Number: 3020408

 $Student's\ signature: \underline{EthanTerblanche}$ 

Name of Supervisor: Storm Makings

Supervisor's signature:

Name of Co-Supervisor: Dr Heleen Roos

Co-Supervisor's signature:

The broad area of study is: Examining Predictive Models for Burnout Detection in South African Medical Doctors: A Scoping Review Protocol

- 11. Will discuss the ownership of research conducted by the student in accordance with the University rules on intellectual property, copyright, **guidelines on authorship/co-authorship**, and **policy on research integrity.**
- 12. Will ensure that the student is aware of the University's Plagiarism Policy, knows what plagiarism is, and what the consequences are for academic dishonesty and violation of research integrity and intellectual property.
- 13. Will ensure that the student is made aware in writing of the inadequacy of progress and/or of any work where the standard is below par. Acceptability will be according to criteria previously supplied to the student.
- 14. Has a duty to refuse to allow the submission of sub-standard work for examination, regardless of the circumstances. If the student chooses to submit without the consent of the supervisor, then this should be clearly recorded and the appropriate procedures followed.

- Should strive to maintain a focus on his/her research area and to work diligently within the agreed time schedule.
- Agrees to honour agreements about ownership of the research and in accordance with the University's guidelines and rules in relation to coauthorship, copyright and intellectual property.
- 12. Will ensure that the work contains no instances of plagiarism, violation of intellectual property and research integrity standards, that all citations are properly referenced, and that the list of references is accurate, complete and consistent.
- Agrees to work in accordance with the criteria of acceptability as supplied by the supervisor(s).
- 14. Undertakes not to place the supervisor(s) under undue pressure to submit work for examination until the supervisor is satisfied that it has reached an acceptable, <u>examinable</u>\* level of quality.

Provisional submission date is: 15 July 2025

Degree: <u>Health Systems Science Honours</u> School: <u>Family Medicine and Primary Care</u>

Faculty: Health Science

Date: 14 July 2025

Specific agreements pertaining to: ownership, joint publication, funding, confidentiality and disclosures pertinent to the Certificate of Clearance and ETD Form which the student and/or supervisor are required to sign, must be attached to this agreement as and when appropriate and kept in the Faculty Office. In the event of disagreements between the supervisor(s) and student, the parties should act in accordance with the University Grievance Policy.

\*Note: Consent by supervisor(s) to submit work for examination does NOT guarantee that the work will pass. The appointed examiners assess and determine whether the work is of a passable standard.

(2019/09/30)



#### 13.8 Appendix H: HREC Medical Ethics Waiver Form

# HUMAN RESEARCH ETHICS COMMITTEE (MEDICAL) – WAIVER APPLICATION FORM 2025 FOR DEGREE AND OTHER INVESTIGATOR INITIATED RESEARCH

#### **IMPORTANT INSTRUCTIONS:**

- Read the Appendix before completing this application form. Answer all questions (Y/N), incomplete application will not be accepted. State N/A rather than leaving question blank.
- Please check the appropriate checkbox using an 'X'. This application form <u>must be typed</u>, and handwritten form will not be accepted.

#### **SECTION 1 – STUDY DETAILS: \***

1.1	Purpose of the Research:	
	Postgraduate Degree/Diploma:	Yes ☐ No ☐ (state which): Honours Degree
	Undergraduate Degree/Diploma:	Yes No (state which):
	Not for Degree Purposes:	Yes □ No □
1.2	Is this a New Submission?	Yes ☐ No ☐
1.3	Is this a Resubmission?	Yes □ No □
	(If yes, please give the initial HR	EC number):
CECT		III I (No abbreviations).
*	ION 2 – STUDY TITLE IN F	<b>ULL</b> (No appreviations):
	ining Predictive Models for Bur ng Review Protocol	nout Detection in South African Medical Doctors: A

#### <u>SECTION 3 – INVESTIGATOR(S)/ SUPERVISOR(S)/APPLICANT INFORMATION:</u>

\*

#### 3.1 PRINCIPAL INVESTIGATOR(S): \*

TITLE (Prof/Dr/Mr/Mrs/Miss/Ms/Other):	Mr
FIRST NAME	Ethan
SURNAME	Terblanche
TELEPHONE/CELL NO	083 440 0419
E-MAIL	Terblanche2003@gmail.com
WITS STAFF/STUDENT NUMBER	3020408

PROFESSIONAL STATUS, OR STUDENT YEAR OF STUDY AND DEGREE	Student, 4 <sup>th</sup> year, Honours degree in Health Systems Science
DEPARTMENT/DIVISION/RESEARCH ENTITY:	DFMPC
SITES(S) WHERE THE RESEARCH WILL BE CARRIED OUT (Please furnish hospital/institution and department)	N/A
NAME AND DATE OF ETHICS TRAINING (Please include/attach certificate)	TREE Ethics certificate 2025/03/02

#### 3.2 SUPERVISOR(S) DETAILS: \*

TITLE (Prof/Dr/Mr/Mrs/Miss/Ms/Other):	Miss	Dr
FIRST NAME	Storm	Heleen
SURNAME	Makings	Roos
TELEPHONE/CELL NO	060-456-1361	0727477880
E-MAIL	0415605e@students.wits.ac.	heleen.roos@wits.ac.
	za	za
DEPARTMENT/DIVISION/RESEAR CH ENTITY:	DFMPC	DFMPC
NAME AND DATE OF ETHICS	TREE Ethics certificate	TRREE Ethics
TRAINING	2024-02-13	Certificate
(Please include/attach certificate)		2024/02/13

#### 3.3 APPLICANT DETAILS (if applicable – applying on behalf of PI/Investigators): \*

TITLE (Prof/Dr/Mr/Mrs/Miss/Ms/Other):	
FIRST NAME	
SURNAME	
TELEPHONE/CELL NO	
E-MAIL	
DEPARTMENT/DIVISION/RESEARCH	
ENTITY:	
NAME AND DATE OF ETHICS TRAINING	
(Please include/attach certificate)	

#### **SECTION 4: Description of Research and Motivation for Waiver\***

# 4.1 List the objectives of the research: (Do not say "see attached") \* Primary (if applicable): To identify determinants linked to burnout in South African medical doctors. Secondary (if applicable): To explore how these determinants could support Al-based early detection of burnout. Other: n/a 4.2 Motivation for waiver: (Do not say "see attached") \* Select the appropriate box and include motivation below. 1. A review of information in the public domain. Provide the title of the data source, a motivation regarding the review and confirm there are no active human participants. Data should normally be anonymised and aggregated. There should be no gatekeeper,

member of the public, at no cost. A literature review would normally fall into this category.
 For an *in vitro* or microbiology laboratory study. If using cell lines, bacterial/viral/fungal cultures, materials, or whatever, confirm that no humans, human data or human bodily materials will be used. Provide a motivation in section 4.2 and the research protocol.

membership requirement, fee payable, or the like; access must be freely available to any

3. For An environmental surveillance study. Typically, this might involve topics as diverse as measurements of air quality or noise in the workplace, or in public places, the functional condition of hospital or other equipment and hospital waste disposal. A common feature is that there can be no human participation.

**4.** An observational study. This is where the movement of people in a public place might be observed. Individuals would not actively participate, or be visually identifiable, but they might be counted, or broadly categorized, *e.g.* by race or gender.

Motivation:

This scoping review protocol will use only publicly available data from sources such as published journal articles and openly accessible grey literature. No new data will be collected, and there will be no involvement of living human participants. All information is already anonymised and aggregated, with no access restrictions, fees, or memberships required. As such, there are no privacy or ethical risks, and an ethics waiver is requested in line with standard practice for literature reviews relying solely on public domain sources.

#### 4.3 Study protocol \*

\*PLEASE REMEMBER TO ATTACH A FULL STUDY PROTOCOL

## <u>SECTION 5: INFORMATION, DECLARATION AND SIGNATURES (To be kept on separate page)</u> \*

#### Investigator(s) Name and Surname: \*

In appending my signature below, I confirm that I am aware of and agree to abide by the University's policy on plagiarism, as referenced in the Appendix to this Application Form.

I have read and understood the terms and conditions in the Appendix of the HREC (Medical) Application Form. I acknowledge that it is my responsibility to ensure that I have received final HREC (Medical) clearance before commencing any research.

I declare that I have not and will not collect data or do secondary data analysis, or any other form of research involving human participants, prior to obtaining a Clearance Certificate from HREC (Medical).

Repeat Study Title here:	<b>Examining Predictive</b>	Models for	Burnout	Detection	in S	outh
African Medical Doctors:	A Scoping Review Proto	col				

#### PRINCIPAL INVESTIGATOR(S): \*

Name: Please Print Title, Name and Surname	Ethan Cowan Terblanche
Department:	DFMPC
Email:	Terblanche2003@gmail.com
Date:	17/07/2025
Signature:	EthanCowanTerblanche

#### APPLICANT (where applicable - applying on behalf of PI/Investigators): \*

Name: Please Print Title, Name and Surname	Ethan Cowan Terblanche
Department:	DFMPC
Email:	Terblanche2003@gmail.com

Signature:	EthanCowanTerblanche
Date	17/07/2025

#### SUPERVISOR(S) (where applicable): \*

Name: Please Print Title, Name and Surname	Storm Makings	Dr Heleen Roos
Department:	DFMPC	DFMPC
Email:	0415605e@students.wits.ac.za	heleen.roos@wits.ac.za
Date	22-07-2025	22/07/2025
Signature:	<u>بنہ</u>	JP.

## HEAD OF DEPARTMENT / UNIT OF INSTITUTION / RESEARCH ENTITY IN WHICH STUDY WILL BE CONDUCTED:

Name: Please Print Title, Name and Surname	
Head of Dept / Unit of Institution / Research Entity where study will be conducted:	
Date:	
Signature:	