

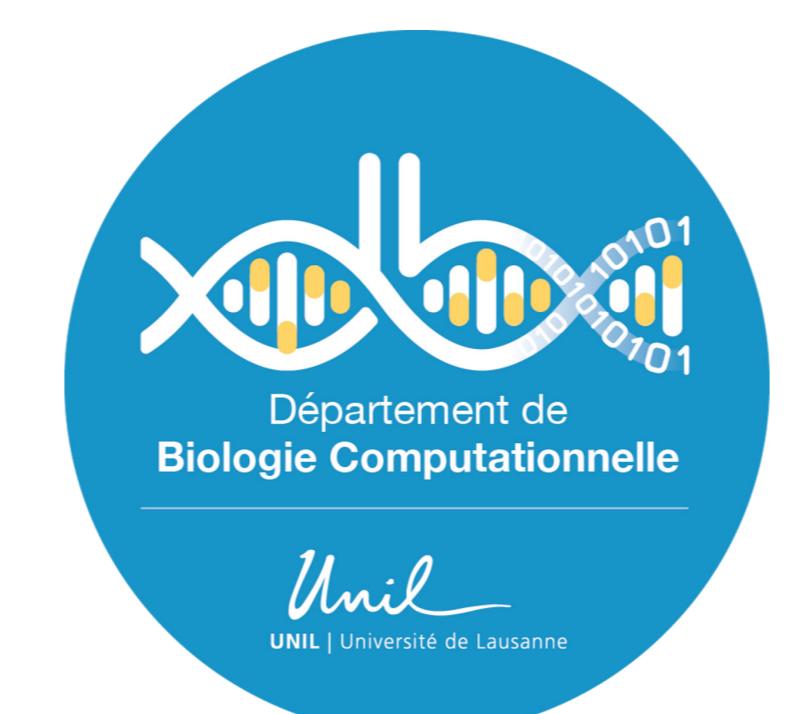
# A test of diversifying selection for a trait from within and between species genotypes and phenotypes

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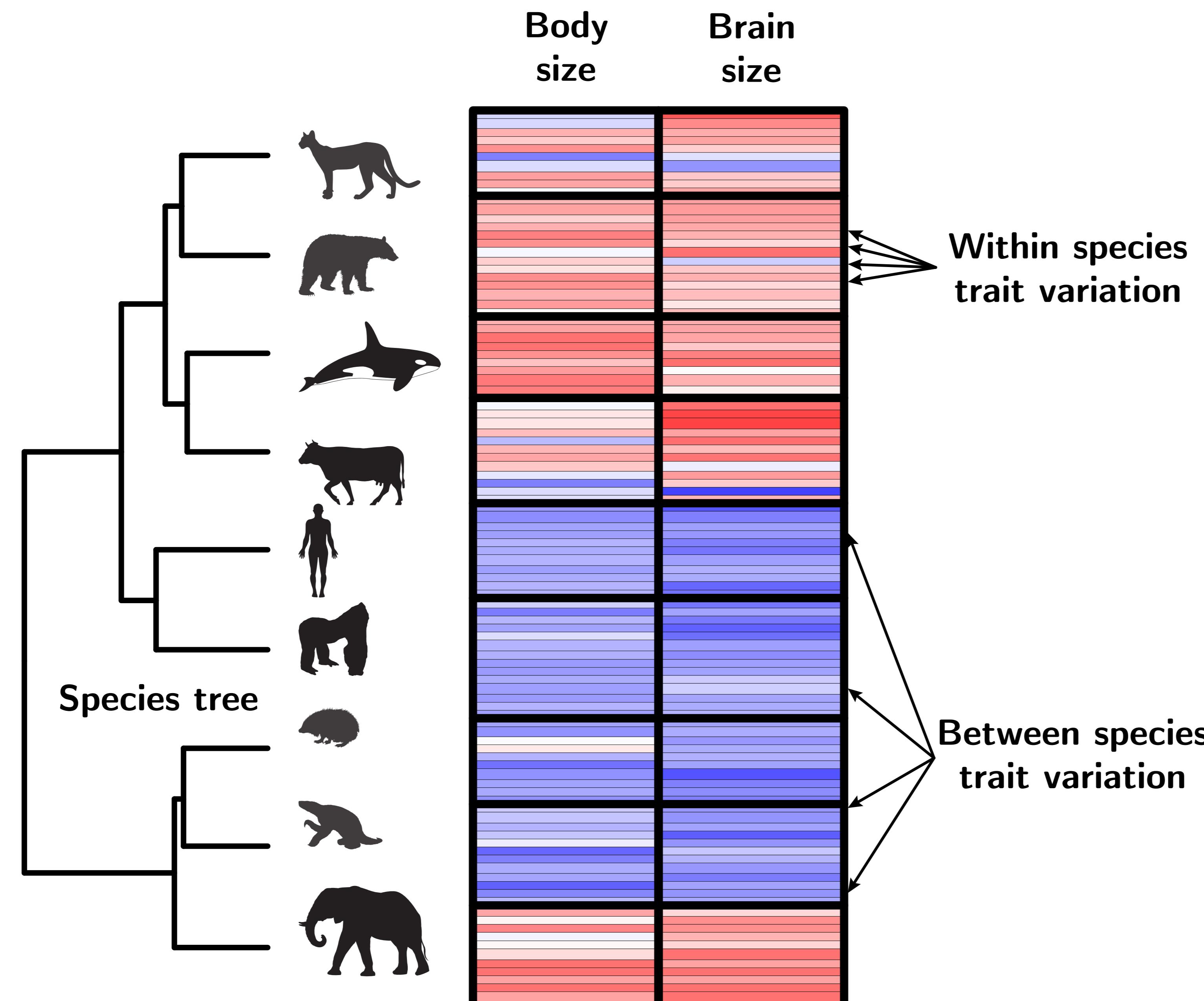
July 28, 2024

Evolution Joint Congress, Montréal



- Is a trait neutrally evolving or under selection?
- If not neutral, is the trait changing too fast or too slow?
- At which scale, between or within species variations?
- How to compute variations, and how to normalize it?

# Trait evolution between and within species



## Neutral and adaptive variation in gene expression

Andrew Whitehead\*† and Douglas L. Crawford‡

$Q_{ST}$ – $F_{ST}$  comparisons: evolutionary and ecological insights from genomic heterogeneity

Tuomas Leinonen<sup>1</sup>, R. J. Scott McCairns<sup>1</sup>, Robert B. O'Hara<sup>2</sup> and Juha Merilä<sup>1</sup>

Multivariate  $Q_{st}$ – $F_{st}$  Comparisons: A Neutrality Test for the Evolution of the G Matrix in Structured Populations

Guillaume Martin,<sup>\*,†,1</sup> Elodie Chapuis<sup>\*,‡</sup> and Jérôme Goudet\*

Phylogenetic Comparative Analysis: A Modeling Approach for Adaptive Evolution

Marguerite A. Butler\* and Aaron A. King†

Drift and Directional Selection Are the Evolutionary Forces Driving Gene Expression Divergence in Eye and Brain Tissue of *Heliconius* Butterflies

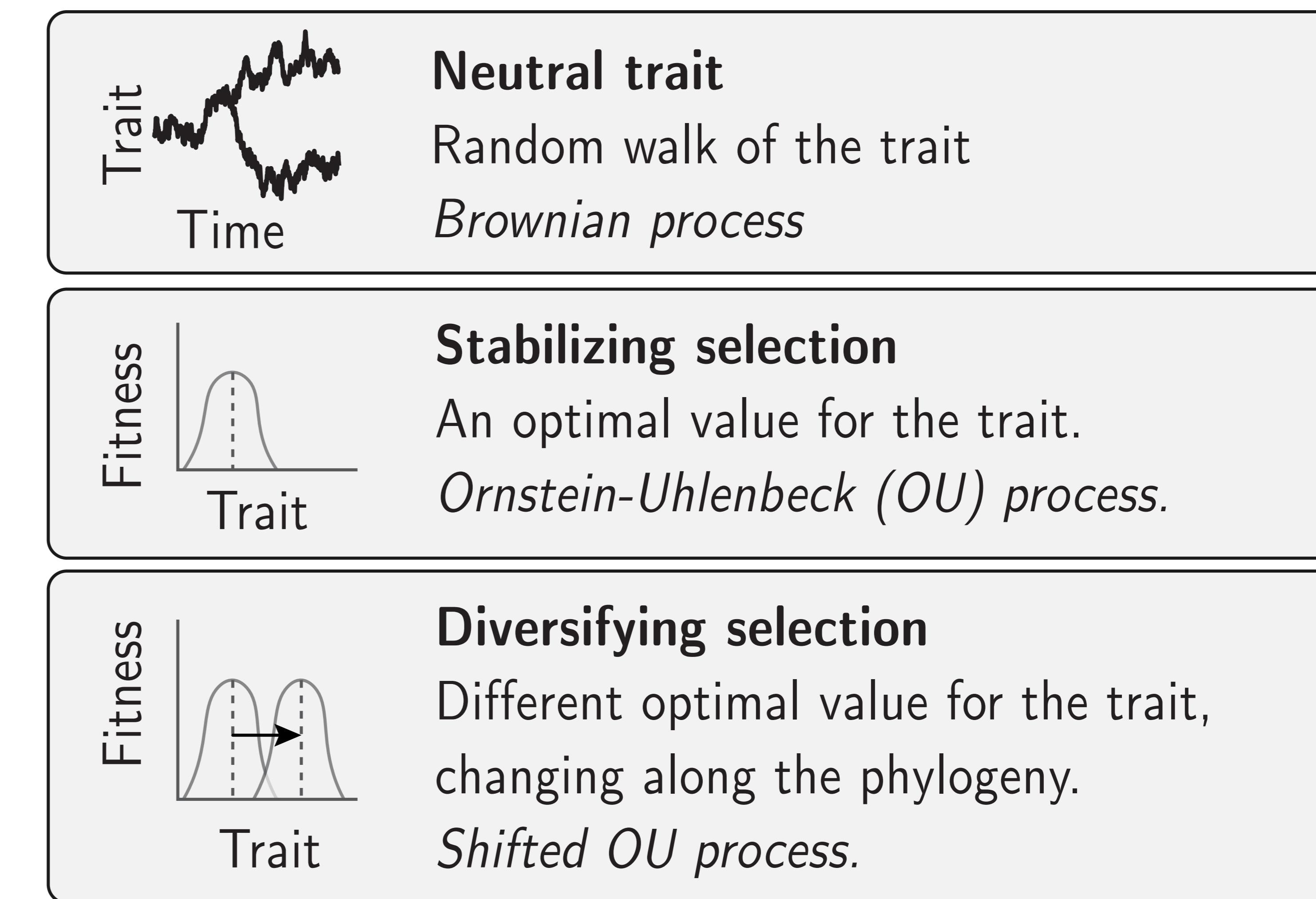
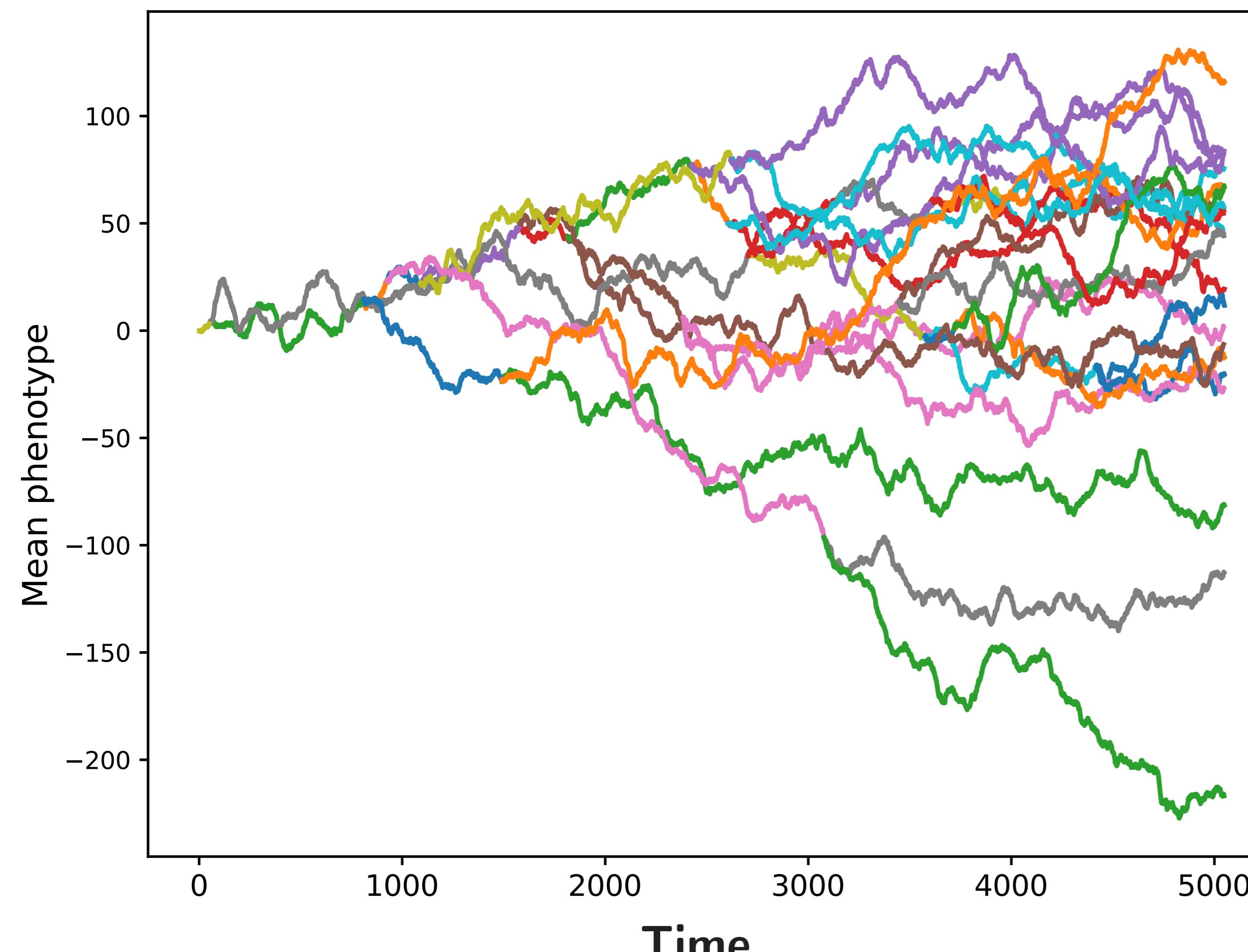
Ana Catalán,<sup>\*,†,1</sup> Adriana D. Briscoe,<sup>‡</sup> and Sebastian Höhna<sup>†,§,\*,1</sup>

A quantitative framework for characterizing the evolutionary history of mammalian gene expression

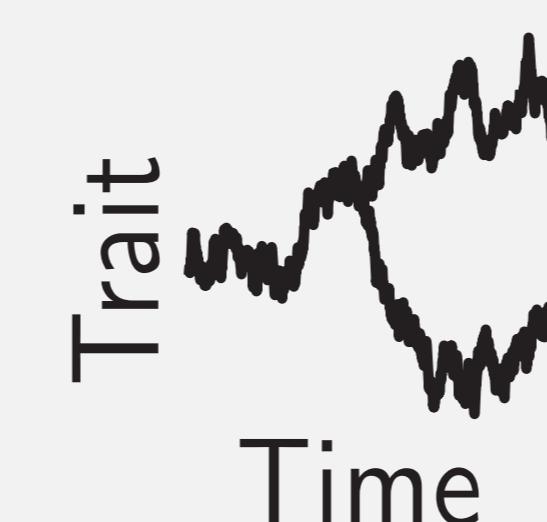
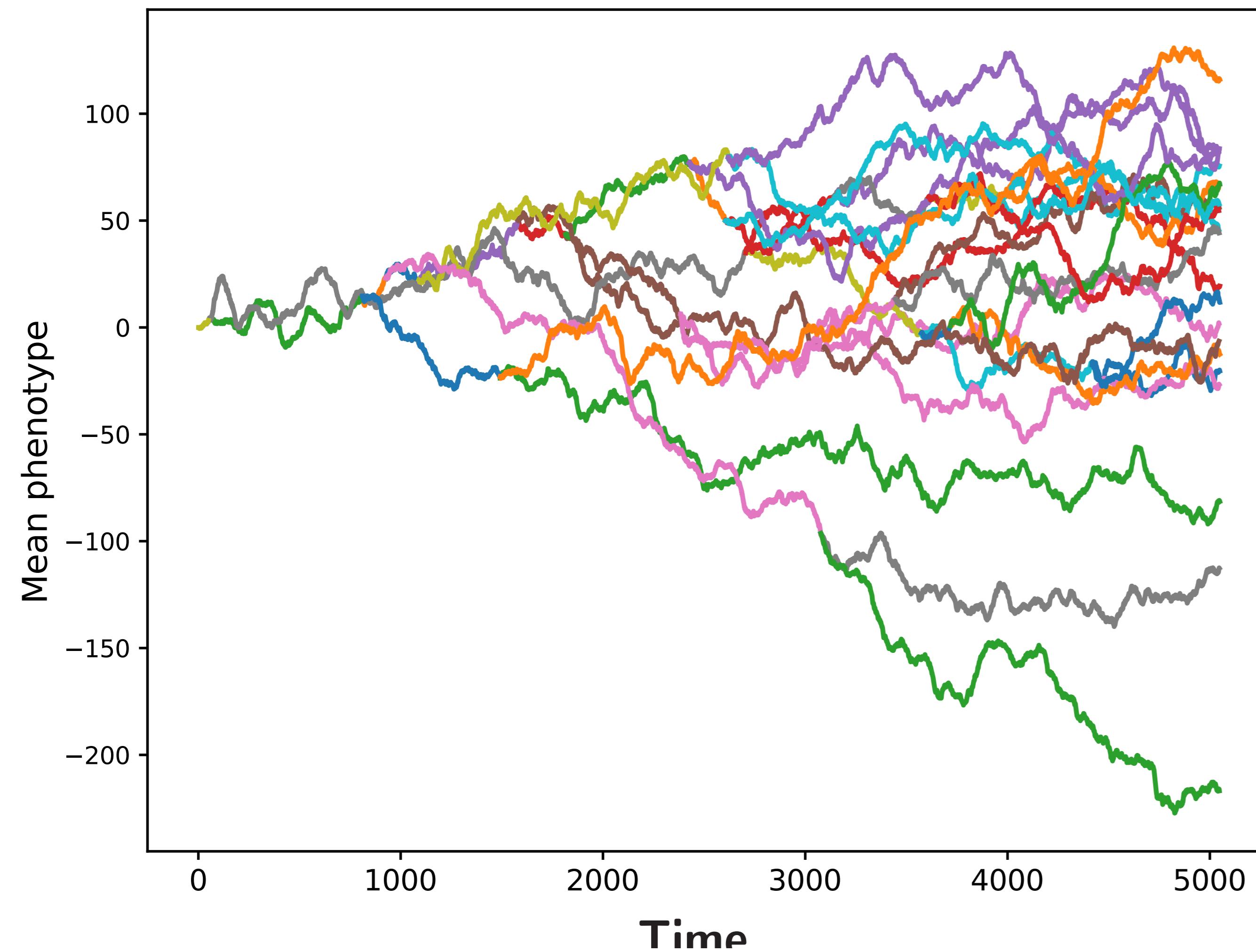
Jenny Chen,<sup>1,2</sup> Ross Swofford,<sup>1</sup> Jeremy Johnson,<sup>1</sup> Beryl B. Cummings,<sup>1,3</sup> Noga Rogel,<sup>4</sup> Kerstin Lindblad-Toh,<sup>1,5</sup> Wilfried Haerty,<sup>6</sup> Federica di Palma,<sup>6,7</sup> and Aviv Regev<sup>4,8,9</sup>

How fast should evolve a neutral trait?

# Modelling (mean) trait evolution between species



# Pitfalls of modelling (mean) trait evolution between species



**Neutral trait**  
Random walk of the trait  
*Brownian process*



**Stabilizing selection**  
An optimal value for the trait.  
*Ornstein-Uhlenbeck (OU) process.*

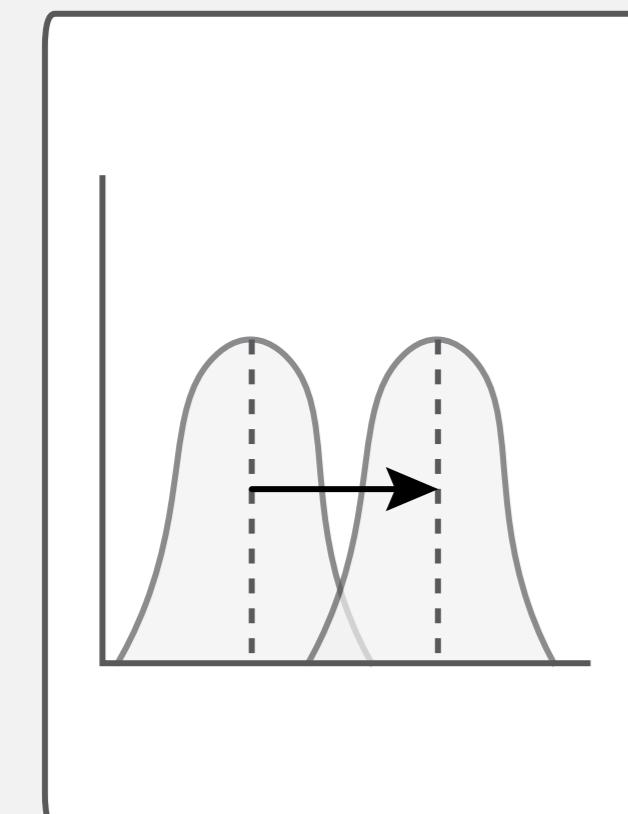


**Diversifying selection**  
Different optimal value for the trait,  
changing along the phylogeny.  
*Shifted OU process.*

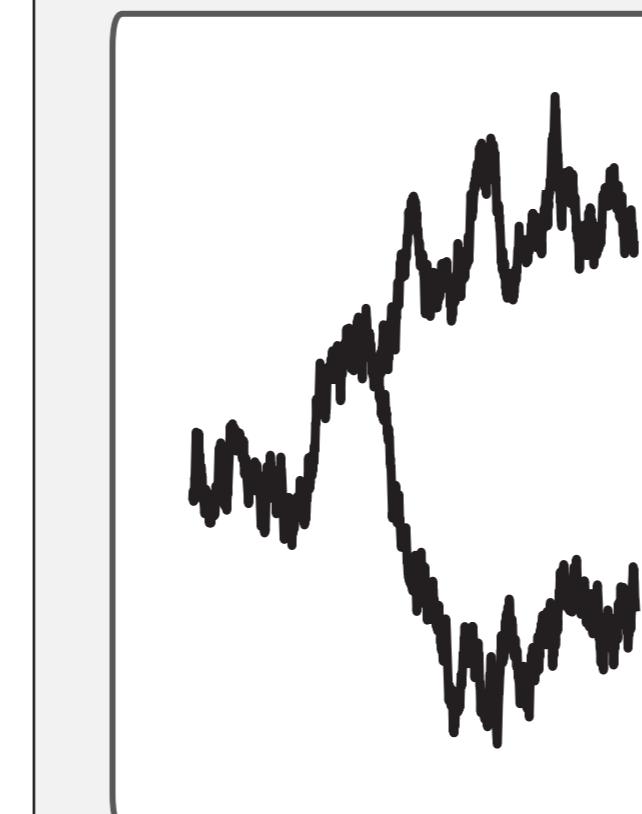
- **OU process can be favored a neutral trait** <sup>[1,2]</sup>  
→ Selection but it's not.
- **Brownian process can be favored for trait under diversifying selection**<sup>[3]</sup>  
→ Neutral evolution but it's not.

<sup>[1]</sup>Silvestro *et al* (2015); <sup>[2]</sup>Copper *et al* (2016); <sup>[3]</sup>Hansen & Martins (1996)

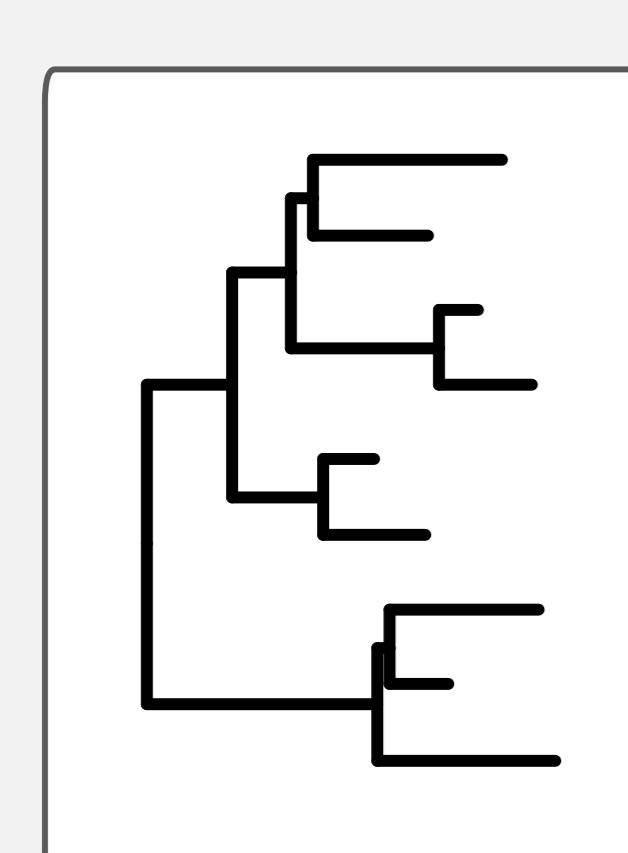
# What are you familiar with?



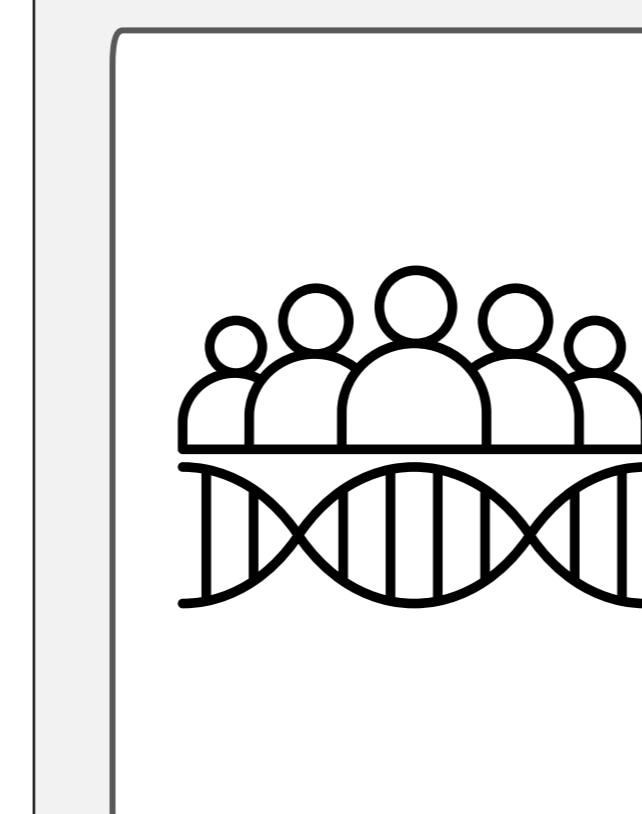
**Quantitative-genetics across populations.**  
→ How to adapt  $Q_{ST}$ - $F_{ST}$  methods across species?



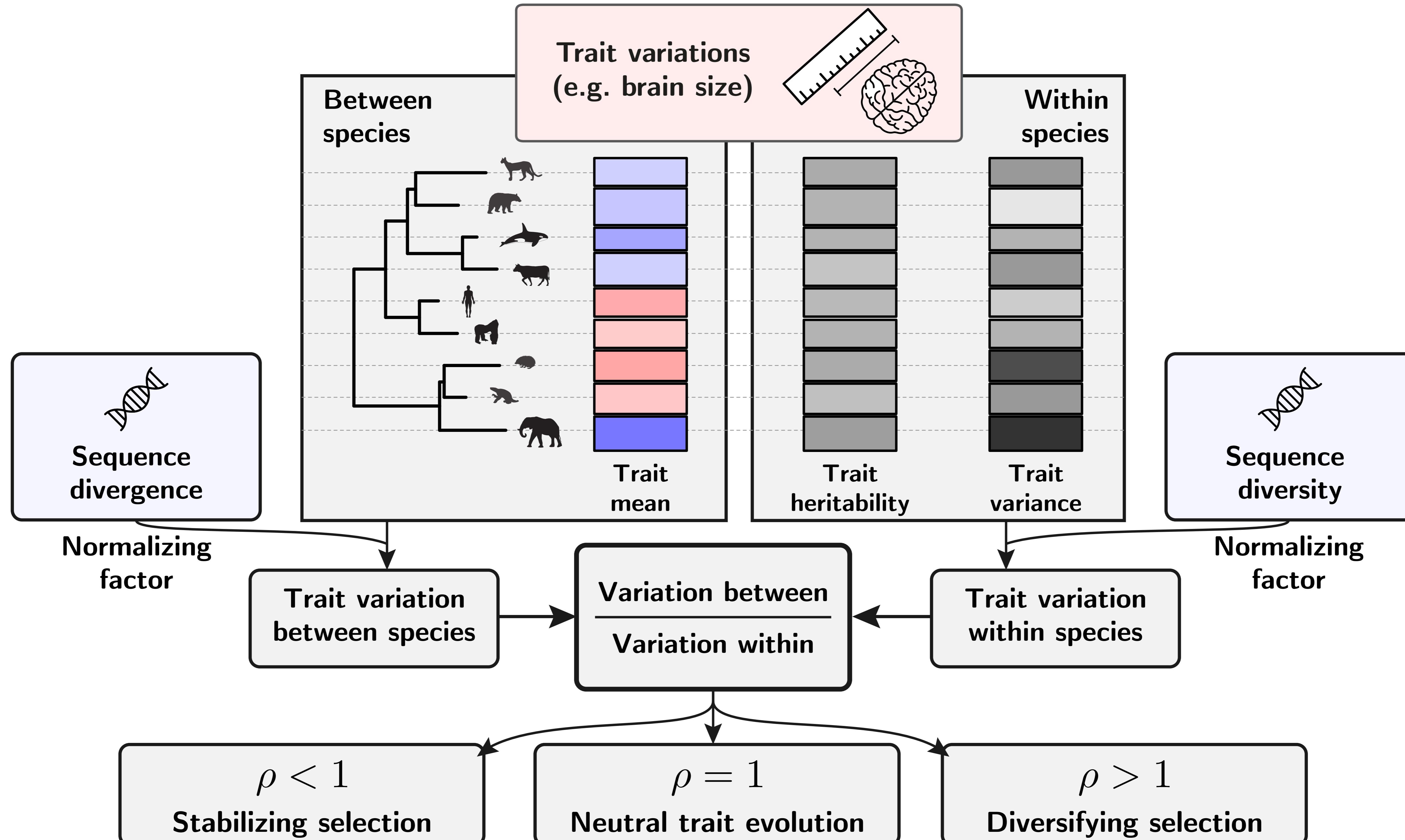
**Phylogenetic comparative method.**  
→ What is the expected rate of evolution for a neutral trait?



**Phylogenetic DNA evolution.**  
→ How to derive a  $d_N/d_S$  ratio but for a trait instead of protein coding DNA sequences?



**Contrast polymorphism & divergence.**  
→ How to adapt McDonald & Kreitman test ( $d_N/d_S > p_N/p_S$ ) for trait changes along a phylogeny?



- Ratio of between over within species trait variations
- Normalize using nucleotide variations (i.e. divergence and polymorphism)

# When are between and within species variations equal?

## Within species variation:

- $V_P$ : phenotypic variance.
- $h^2$ : heritability for the trait.
- $\pi$ : mutations per site between two haplotypes.

## Between species variation:

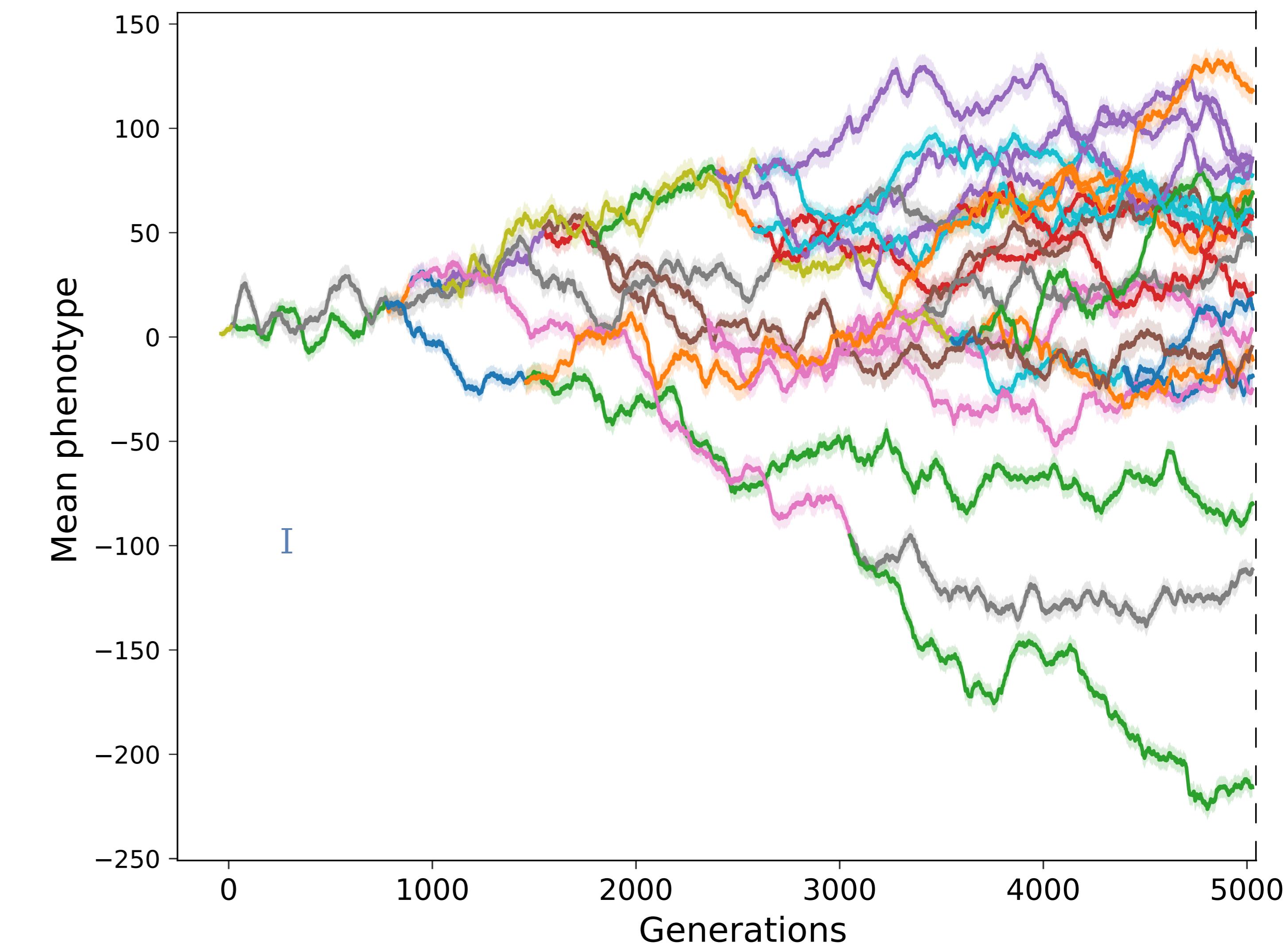
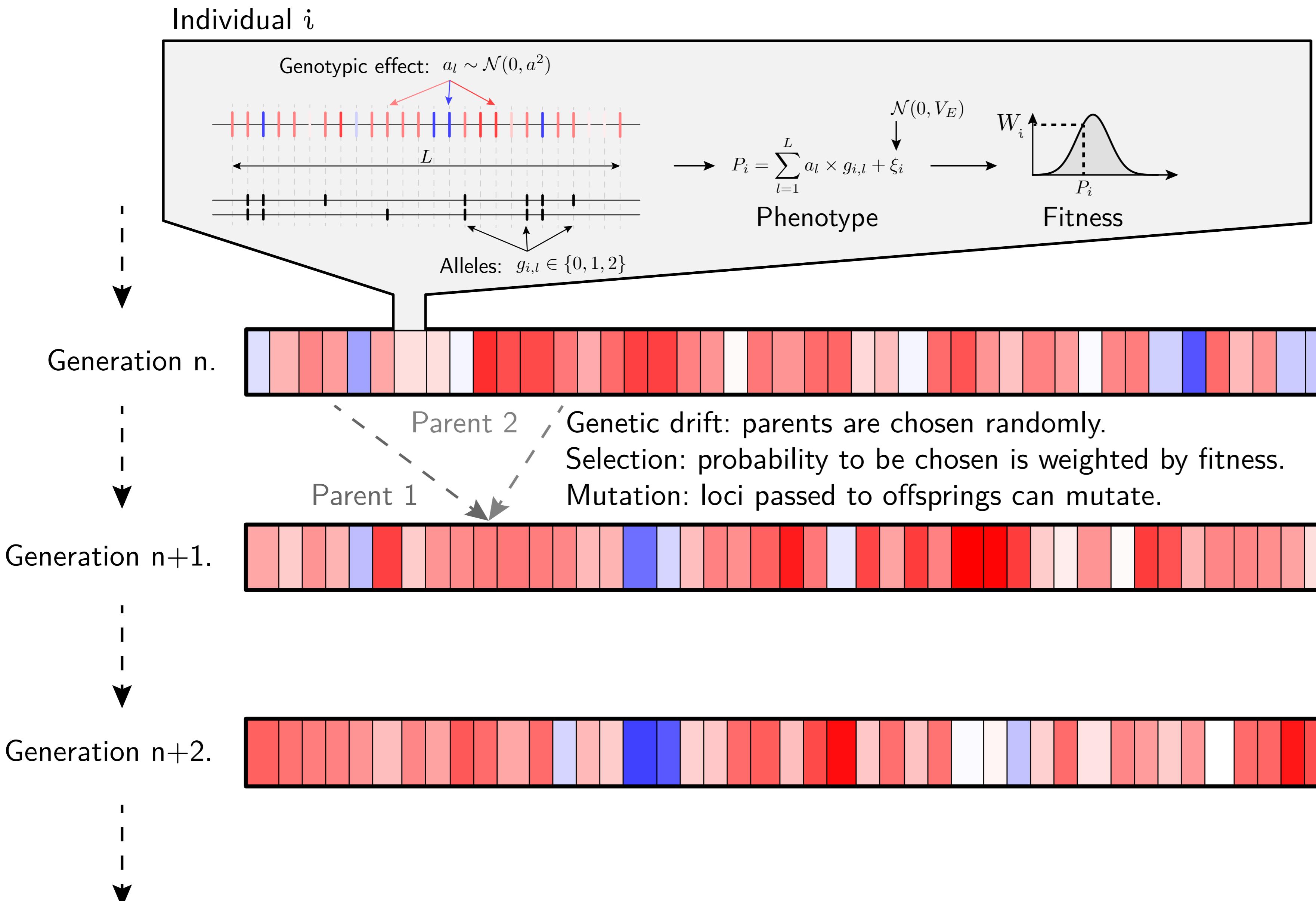
- $\text{cov}(\bar{P}_i, \bar{P}_j)$ : covariance in mean trait value between a pair of species.
- $d$ : shared nucleotide divergence between a pair of species.

$$\begin{cases} \sigma_W^2 \stackrel{\text{def}}{=} \frac{V_P \cdot h^2}{\pi} = \frac{4N_e \cdot \mu \cdot \sigma_M^2}{4N_e \cdot \mu} = \sigma_M^2, \\ \sigma_B^2 \stackrel{\text{def}}{=} \frac{\text{cov}(\bar{P}_i, \bar{P}_j)}{4d} = \frac{\mathcal{A}t \cdot \mu \cdot \sigma_M^2}{\mathcal{A}t \cdot q} = \sigma_M^2. \end{cases} \implies \sigma_B^2 / \sigma_W^2 = 1.$$

- |   |  |
|---|--|
| • $\mu$ : mutation rate per generation.   | • $N_e$ : effective population size.               |
| • $q$ : substitution rate per generation. | • $\sigma_M^2$ : effect on the trait per mutation. |

Lynch (1998); Hansen & Martins (1996); Kimura (1968); Tajima (1989)

# Can we test our estimate against simulated data?

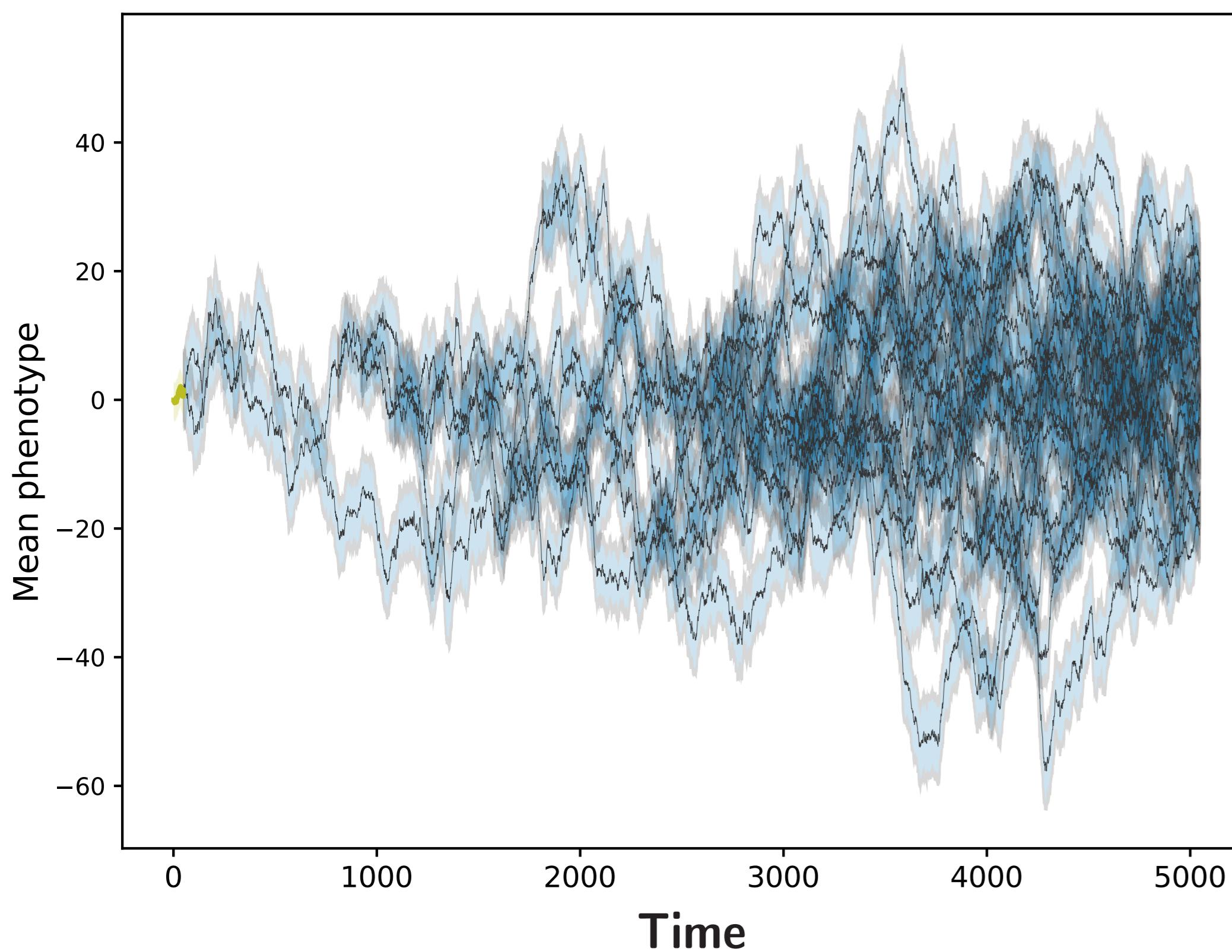


- Simulator across the phylogeny under different scenarios.

# Can we test our estimate against simulated data?

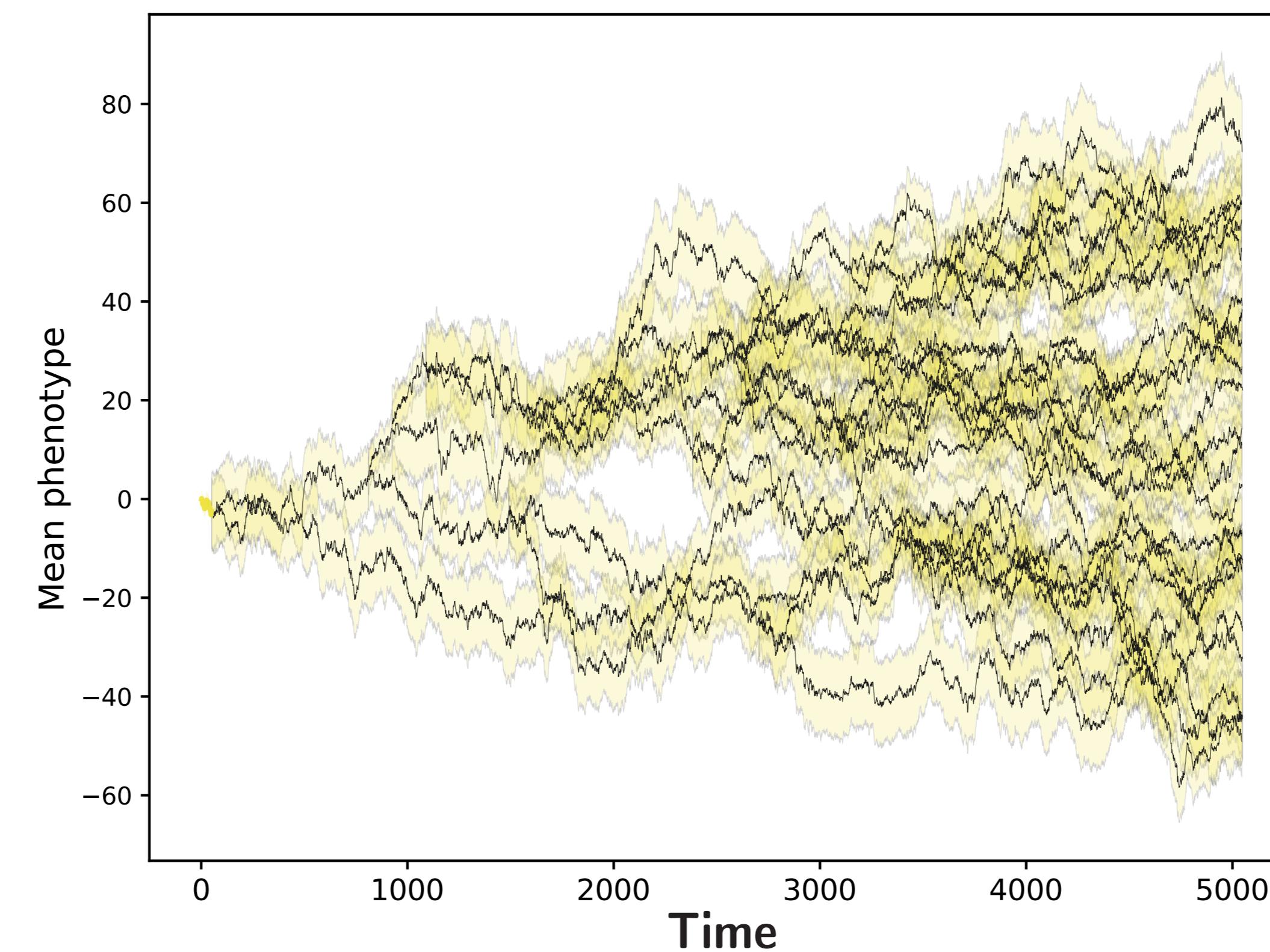
 **Stabilizing selection**

An optimal value for the trait.



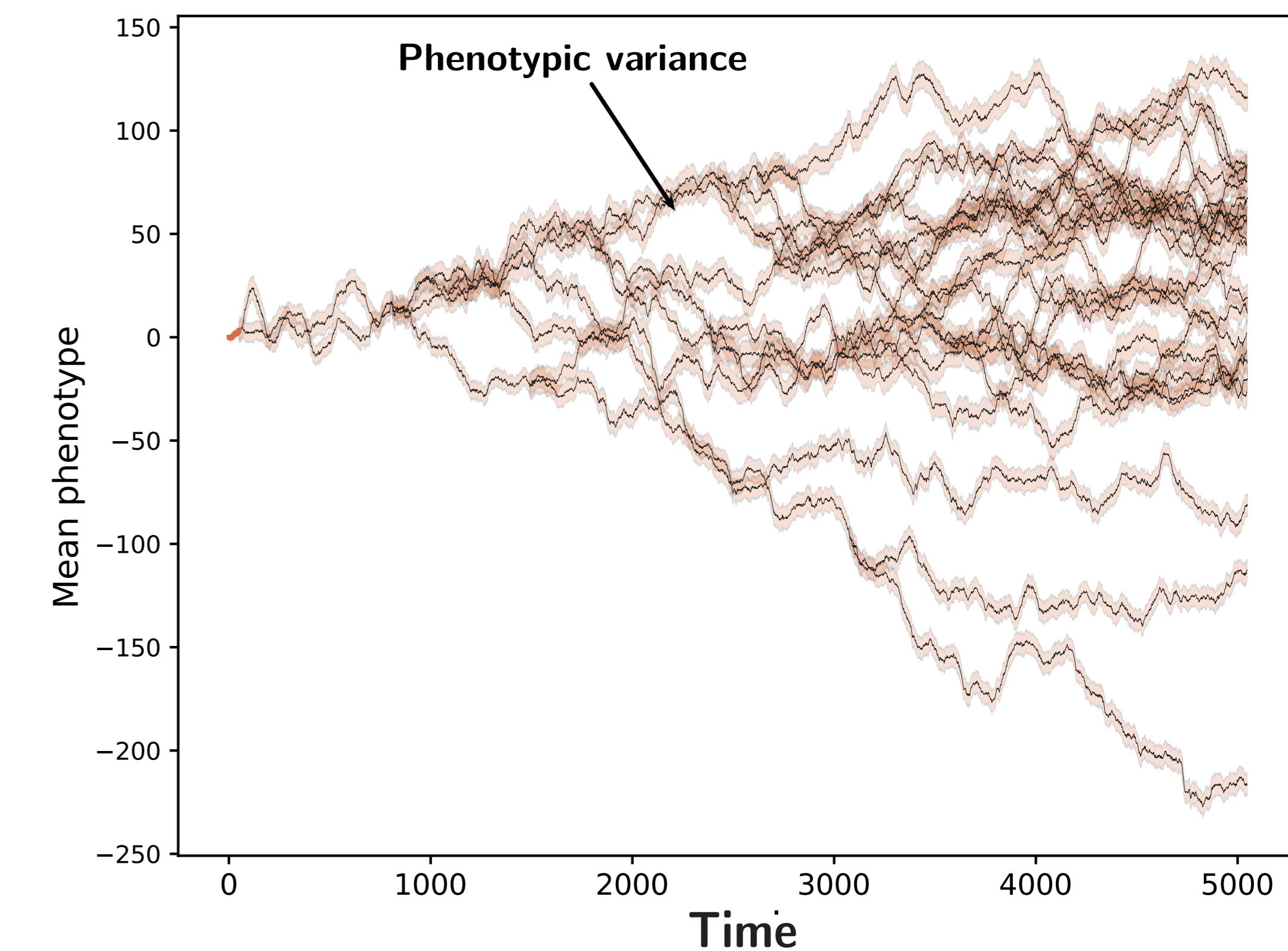
 **Neutral trait**

No fitness function.



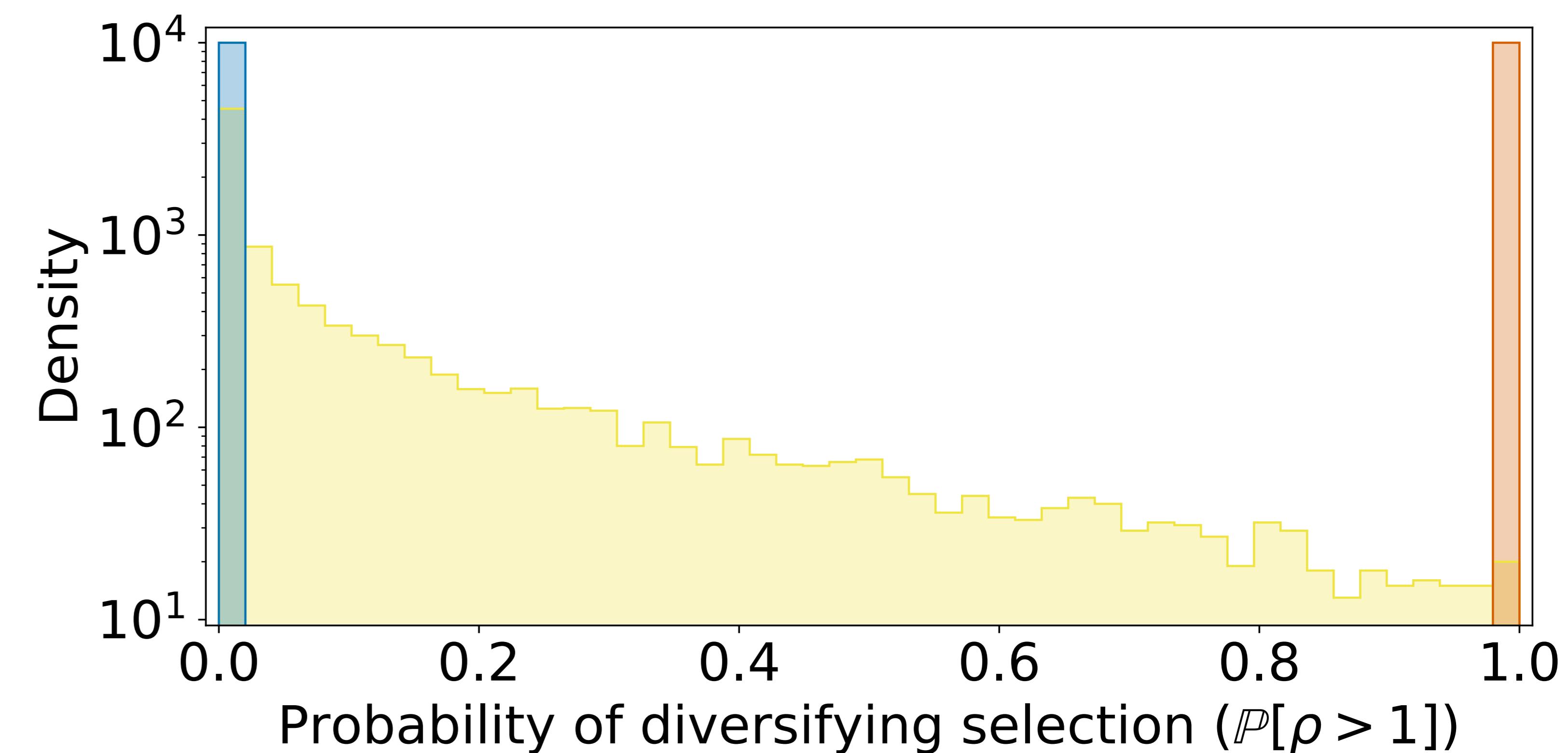
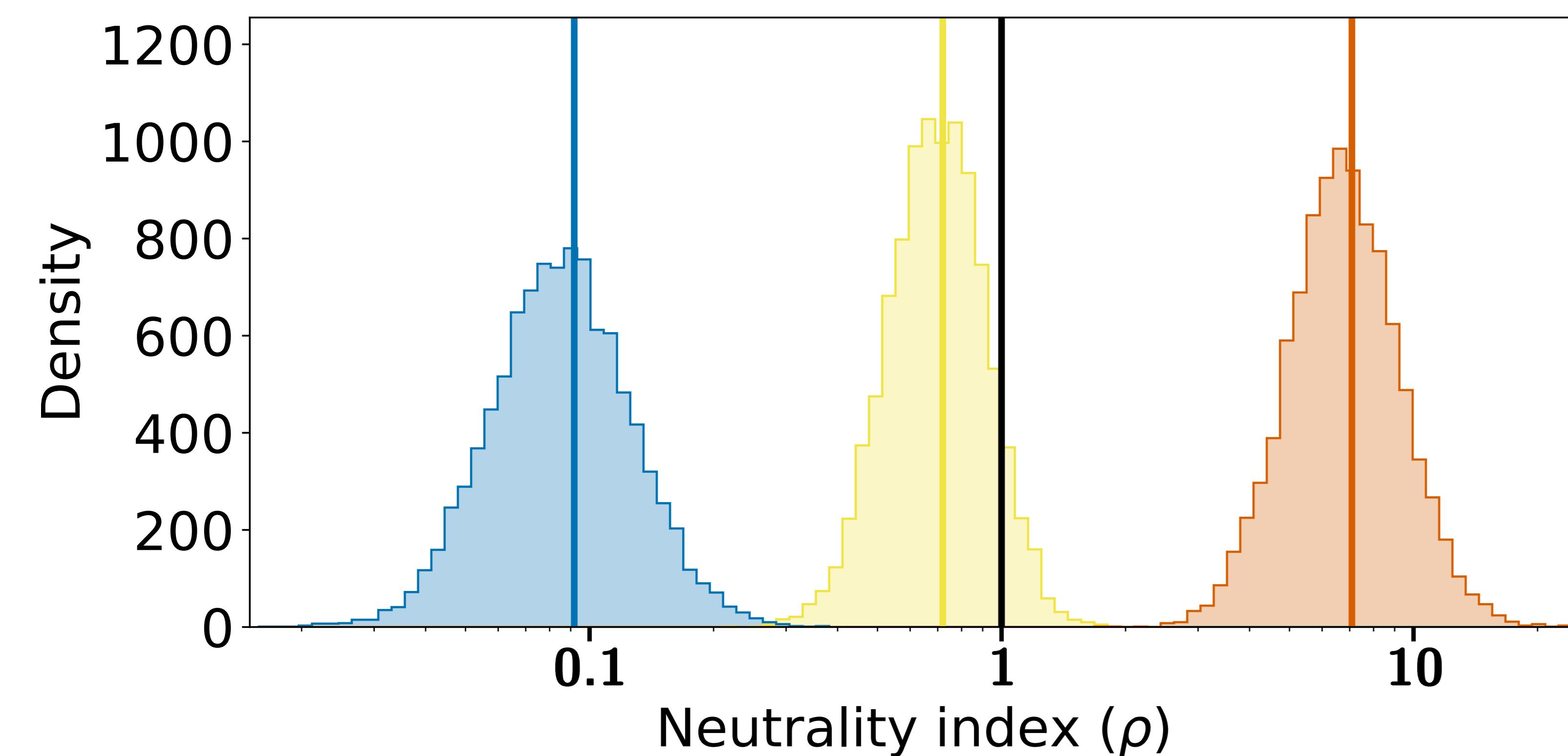
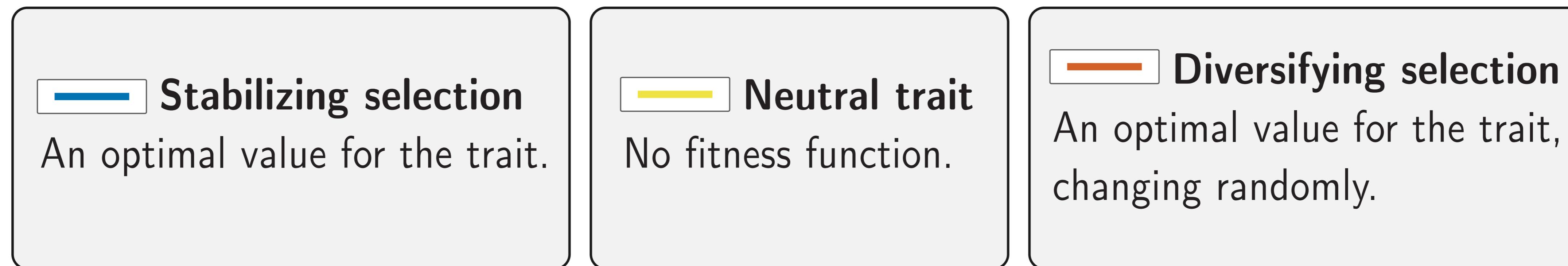
 **Diversifying selection**

An optimal value for the trait, changing randomly.



- Simulations across the phylogeny under different scenarios.

# Test of neutrality against simulations



- Can test for diversifying selection acting on a trait.
- False positive for detection of stabilizing selection.

# Application to brain and body size in mammals

ARTICLES

<https://doi.org/10.1038/s41559-018-0632-1>

nature  
ecology & evolution

## Breakdown of brain-body allometry and the encephalization of birds and mammals

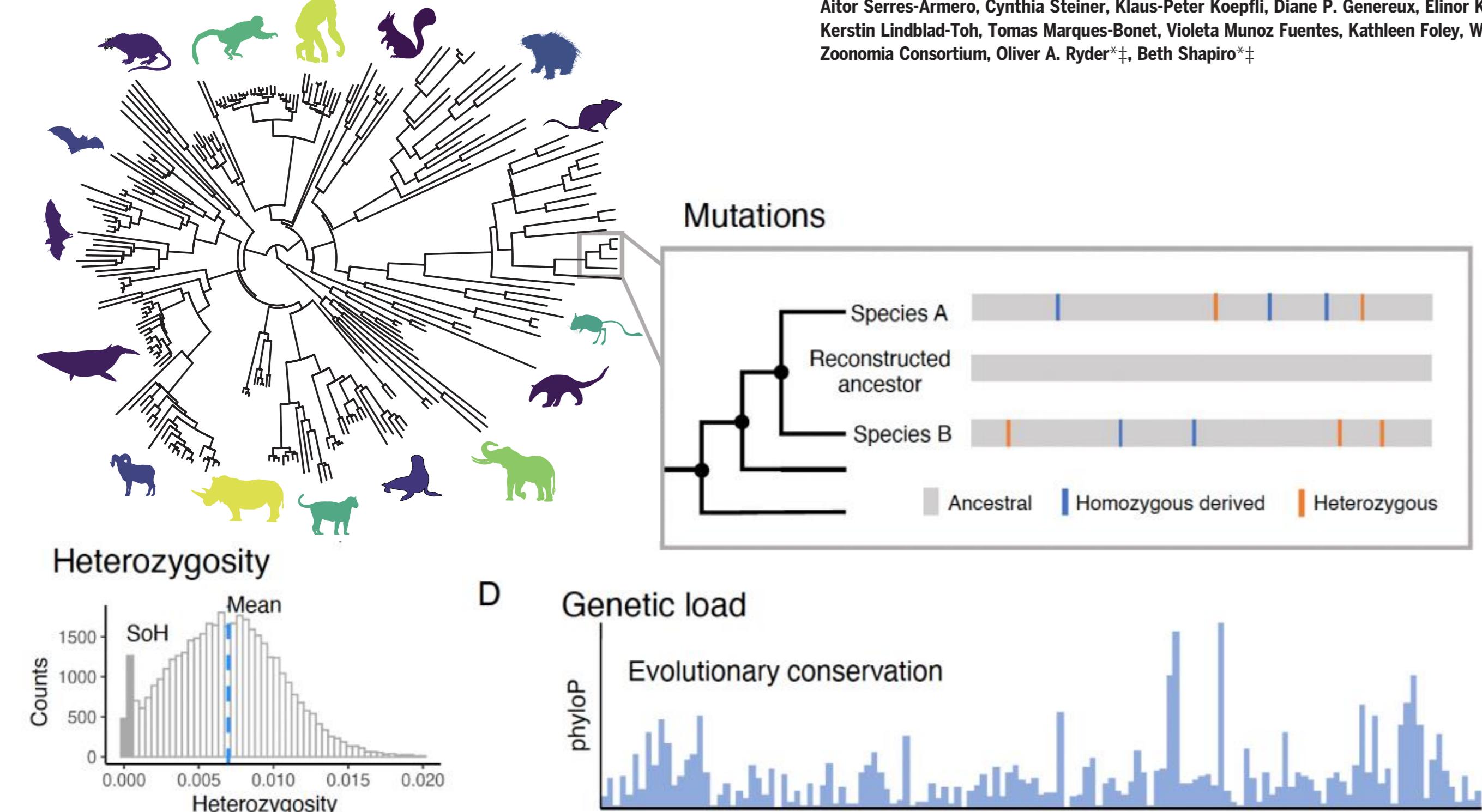
Masahito Tsuboi<sup>1,2,3\*</sup>, Wouter van der Bijl<sup>4</sup>, Bjørn Tore Kopperud<sup>1</sup>, Johannes Erritzøe<sup>4</sup>,  
Kjetil L. Voje<sup>1</sup>, Alexander Kotrschal<sup>1,5</sup>, Kara E. Yopak<sup>5,6</sup>, Shaun P. Collin<sup>6</sup>, Andrew N. Iwaniuk<sup>1,7</sup> and  
Niclas Kolm<sup>1,8</sup>

RESEARCH ARTICLE SUMMARY

ZOONOMIA

## A genomic timescale for placental mammal evolution

Nicole M. Foley et al.



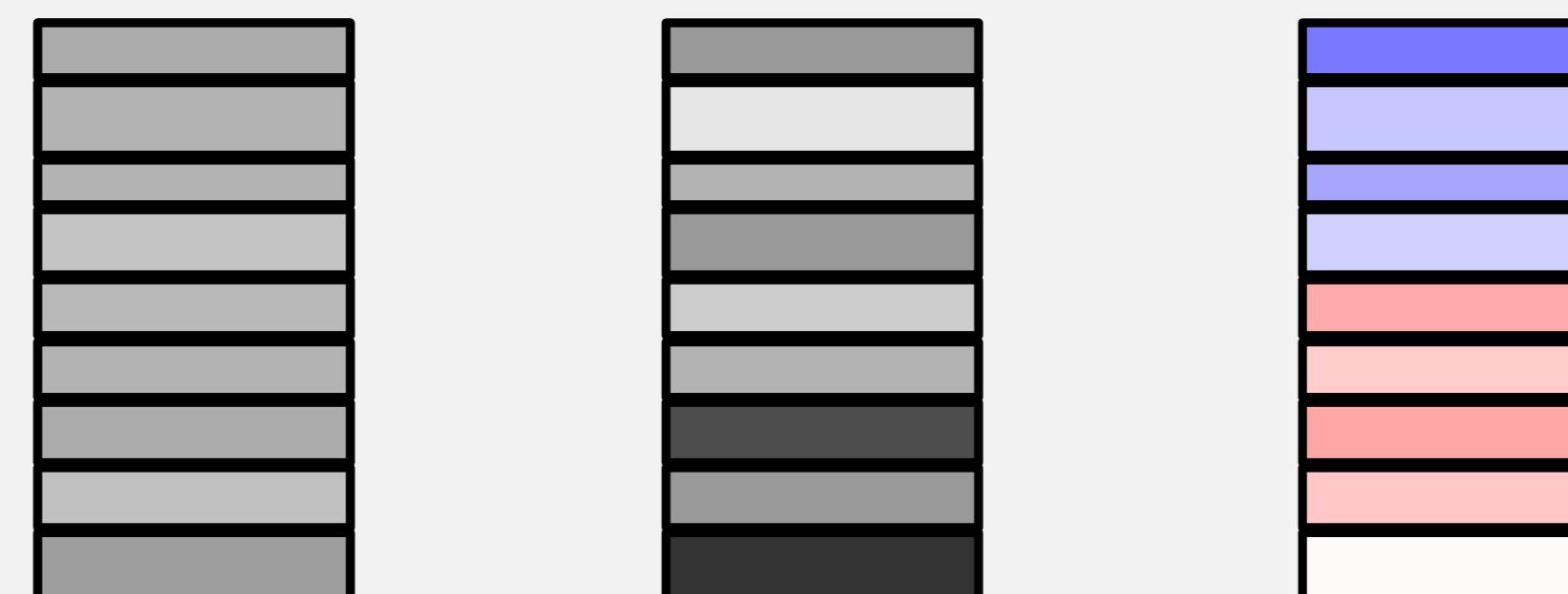
RESEARCH ARTICLE SUMMARY

ZOONOMIA

## The contribution of historical processes to contemporary extinction risk in placental mammals

Aryn P. Wilder\*, Megan A. Supple\*, Ayshwarya Subramanian, Anish Mudide, Ross Swofford, Aitor Serres-Armero, Cynthia Steiner, Klaus-Peter Koepfli, Diane P. Generoux, Elinor K. Karlsson, Kerstin Lindblad-Toh, Tomas Marques-Bonet, Violeta Munoz Fuentes, Kathleen Foley, Wynn K. Meyer, Zoonomia Consortium, Oliver A. Ryder\*,†, Beth Shapiro\*,‡

## Trait diversity

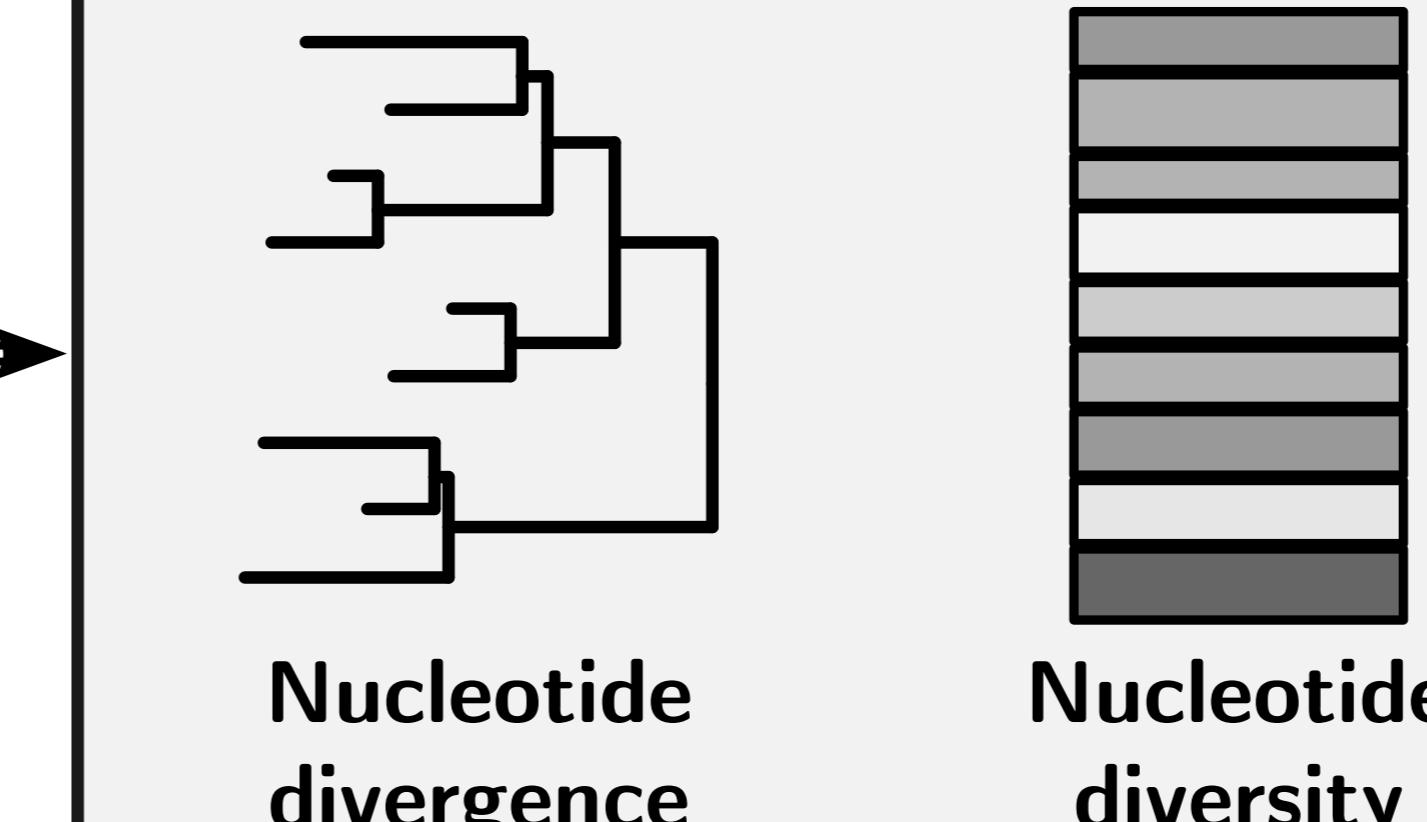


Trait  
heredity

Trait  
variance

Trait  
mean

## Sequence diversity



Nucleotide  
divergence

Nucleotide  
diversity

	$\rho$	Body size	Brain size
Males	1.24	4.53**	
Females	0.94	6.00**	

\* \*significant p-value with risk  $\alpha=0.05$

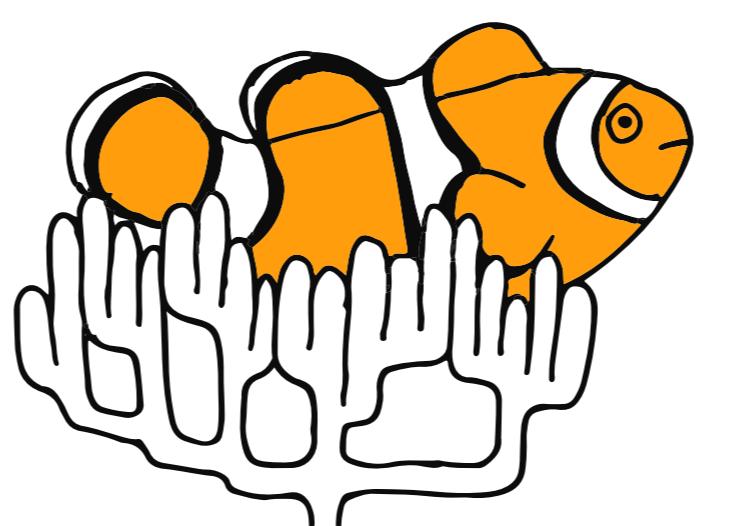
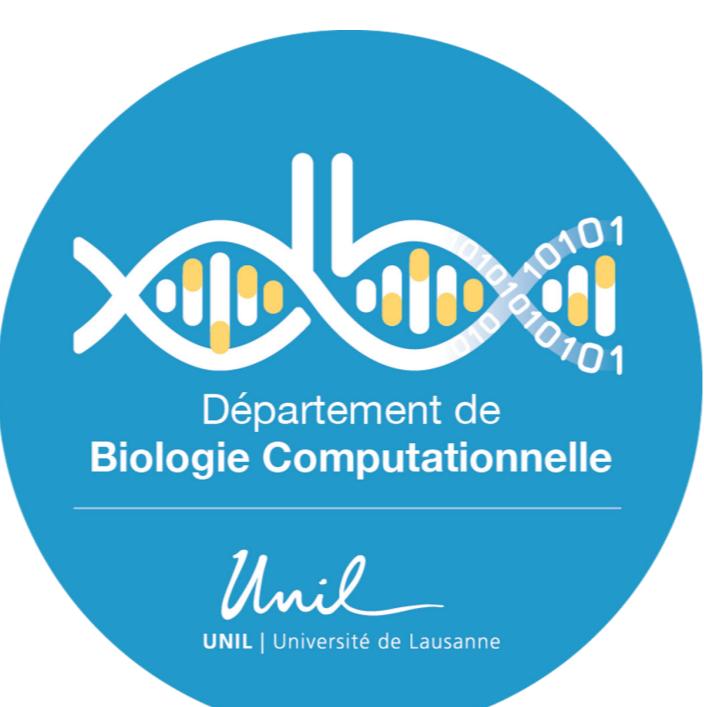
# Take home messages

- Use the ratio of between over within species trait variations.
- Normalized using nucleotide variations (i.e. divergence and polymorphism).
- Not good to detect of stabilizing selection (false positives).
- Good to detect diversifying selection.

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*Mélodie Bastian, Théo Gaboriau, Nicolas Salamin*



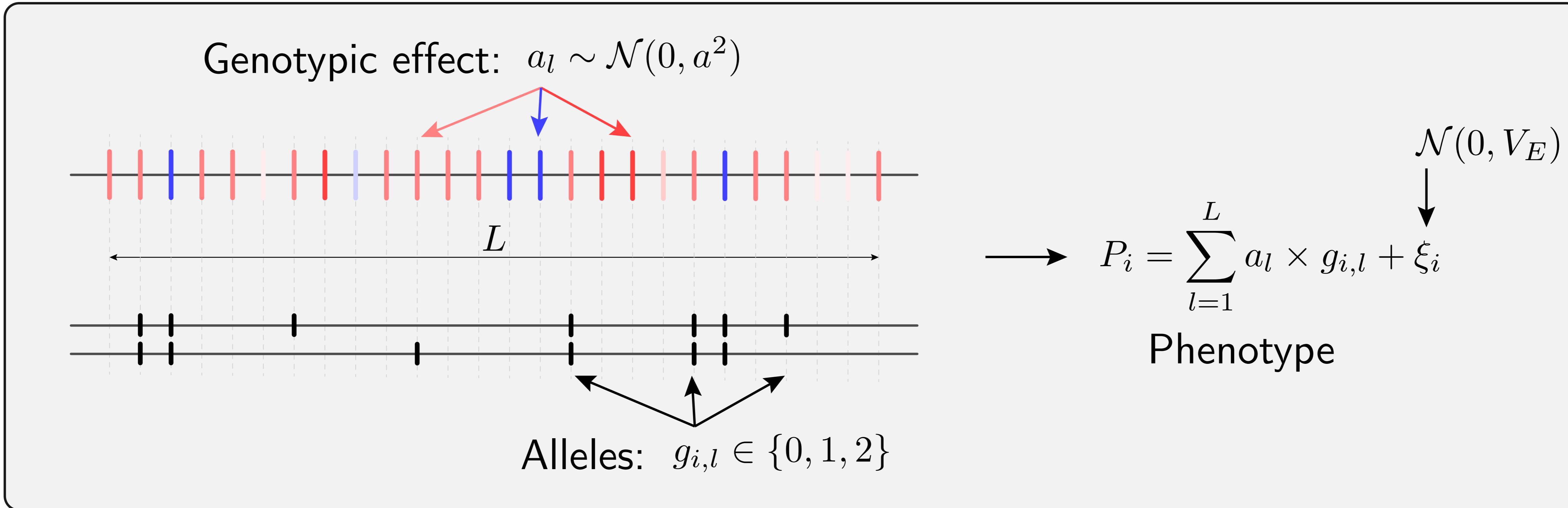
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Latrille Thibault Trait evolution at the population and phylogenetic scale

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# Genetic architecture of the trait and individual phenotype



- $L$  is the number of loci encoding the trait.
- $a_l \sim \mathcal{N}(0, a^2)$  is the effect of a mutation on the trait at locus  $l \in \{1, \dots, L\}$ .
- $g_{i,l} \in \{0, 1, 2\}$  is the genotype at locus  $l$  for individual  $i \in \{1, \dots, N_e\}$ .
- $G_i = \sum_{l=1}^L a_l \times g_{i,l}$  is the influence of genotype on the trait for individual  $i$ .

Lande (1978); Lynch (1998)

# Normalizing trait variation using polymorphism

For any neutral genomic region of interest, the genetic diversity ( $\pi$ ), the fraction of the region that are different between two randomly sampled haplotypes, is also a balance between mutations and drift<sup>[1]</sup>:

$$\pi = 4N_e \cdot \mu. \quad (3)$$

At the population level, we have:

$$\sigma_W^2 \stackrel{\text{def}}{=} \frac{V_A}{\pi} = \frac{4N_e \cdot \mu \cdot L \cdot a^2}{4N_e \cdot \mu} = L \cdot a^2. \quad (4)$$

$V_A$  is also the phenotypic variance ( $V_P$ ) multiplied by heritability ( $h^2$ ), giving:

$$\sigma_W^2 = \frac{V_P \cdot h^2}{\pi} = L \cdot a^2. \quad (5)$$

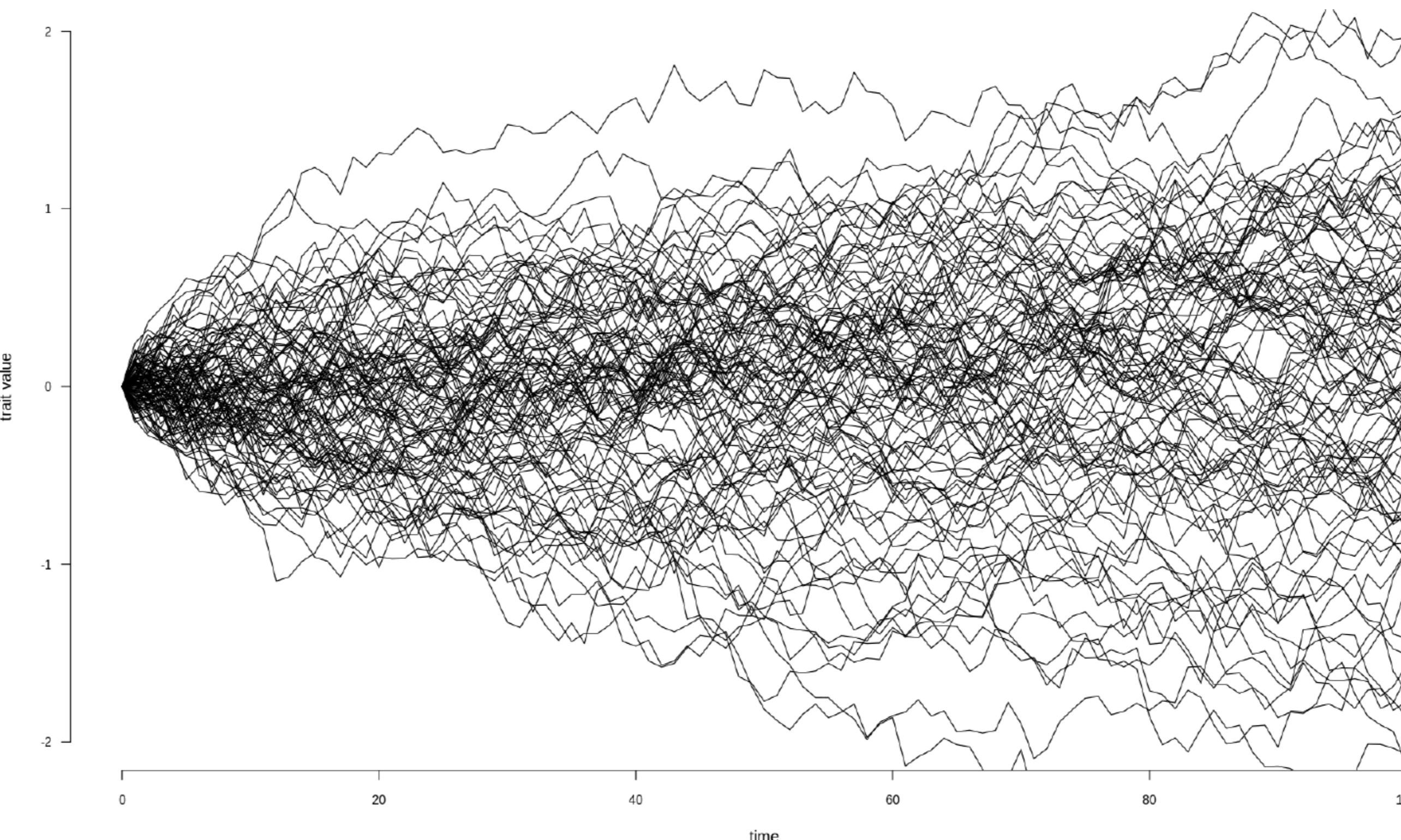
<sup>[1]</sup>Tajima (1989)

# Evolution of mean trait value as a function of trait architecture

$\bar{P}$  is the trait mean value. If the trait is neutral and encoded by many loci,  $\bar{P}$  evolves as Brownian process.  $\text{cov}(\bar{P}_i, \bar{P}_j)$  is the covariance in mean trait value between a pair of species<sup>[1]</sup>:

$$\text{cov}(\bar{P}_i, \bar{P}_j) = \frac{V_A}{N_e} \cdot t = \frac{4N_e \cdot \mu \cdot L \cdot a^2}{N_e} \cdot t, \quad (6)$$

$$= 4t \cdot \mu \cdot L \cdot a^2. \quad (7)$$



<sup>[1]</sup>Hansen & Martins (1996)

# Normalizing mean trait value evolution using divergence

For a neutral genomic region of interest, some mutations will eventually reach fixation in the population due to genetic drift ( $\mathbb{P}_{\text{fix}} = 1/2N_e$ )<sup>[1]</sup>, resulting in a substitution. The substitution rate per generation ( $q$ ) is<sup>[2,3]</sup>:

$$q = 2N_e \cdot \mu \cdot \mathbb{P}_{\text{fix}} = 2N_e \cdot \mu \cdot \frac{1}{2N_e} = \mu. \quad (8)$$

For  $t$  generations, the genetic distance ( $d$ ) measured as the number of substitution per site is:

$$d = t \cdot q = t \cdot \mu. \quad (9)$$

We thus have for a pair of species:

$$\sigma_B^2 \stackrel{\text{def}}{=} \frac{\text{cov}(\bar{P}_i, \bar{P}_j)}{4d} = \frac{4t \cdot \mu \cdot L \cdot a^2}{4t \cdot \mu} = L \cdot a^2. \quad (10)$$

<sup>[1]</sup>Kimura (1962); <sup>[2]</sup>Kimura (1968); <sup>[3]</sup>McCandlish & Stoltzfus (2014)

# Estimation at the phylogenetic scale

## Input:

- $n$  is the number of taxa.
- $D$  ( $n \times n$ ) is the symmetric distance matrix computed from the branch lengths and the topology of the phylogenetic tree.
- $\bar{P}$  ( $n \times 1$ ) is the vector of mean trait values at the tips of the tree.
- $1$  ( $n \times 1$ ) is a vector of ones.

## Output:

- $\phi$  is the estimate mean trait value at the root.
- $\sigma_B^2$  is the estimated trait variance measured at the phylogenetic scale.

At the phylogenetic scale, the maximum likelihood estimates of  $\phi$  and  $\sigma_B^2$  are<sup>[1]</sup>:

$$\begin{cases} \phi = (1^\top \times D^{-1} \times 1)^{-1} \cdot (1^\top \times D^{-1} \times \bar{P}), \\ \sigma_B^2 = \frac{1}{4} \frac{(\bar{P} - \phi \cdot 1)^\top \times D^{-1} \times (\bar{P} - \phi \cdot 1)}{n - 1}. \end{cases} \quad (11)$$

<sup>[1]</sup>O'Meara *et al.* (2006)