MA1057: INTRODUCTION TO ABSTRACT ALGEBRA PERMUTATIONS

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1. Permutations

- 1.1. **Basic Definitions.** Let A and B be non-empty sets.
 - (i) A function $f: A \to B$ is said to be one-to-one, or injective, if f(a) = f(a') implies that a = a'.
 - (ii) A function $f: A \to B$ is said to be onto, or surjective, if for every $b \in B$, there exists (a pre-image) $a \in A$ such that f(a) = b.
 - (iii) A function which is injective and surjective is called a bijection.

Definition 1.1.1. Let A be a (non-empty) set. A *permutation* of A is a bijective function from A to A.

Let us look at some elementary examples of permutations. In general, A can be any non-empty set, but our focus will be on the set $\{1, \ldots, n\}$, where n is a natural number.

Example 1.1.2. Let $A = \{1, 2, 3, 4\}$. Define $\alpha : A \to A$ as

$$\alpha(1) = 2, \alpha(2) = 3, \alpha(3) = 1, \alpha(4) = 4.$$

This can be represented in array form as

$$\alpha = \left[\begin{array}{cccc} 1 & 2 & 3 & 4 \\ 2 & 3 & 1 & 4 \end{array} \right].$$

Let us see how to compose two functions on A in this representation. Let $\beta(1) = 2$, $\beta(2) = 1$, $\beta(3) = 4$, $\beta(4) = 3$. Then

$$\beta = \left[\begin{array}{ccc} 1 & 2 & 3 & 4 \\ 2 & 1 & 4 & 3 \end{array} \right].$$

The compositions $\beta \circ \alpha$ and $\alpha \circ \beta$ are given by

$$\beta \circ \alpha = \left[\begin{array}{ccc} 1 & 2 & 3 & 4 \\ 1 & 4 & 2 & 3 \end{array} \right]$$

and

$$\alpha \circ \beta = \left[\begin{array}{ccc} 1 & 2 & 3 & 4 \\ 3 & 2 & 4 & 1 \end{array} \right].$$

We note straight away that $\alpha \circ \beta \neq \beta \circ \alpha$. That is, the product given by function composition is not *commutative*. We will denote the composition of two permutations $\alpha \circ \beta$ simply by $\alpha \beta$. We can also find the inverse of a permutation α , that is, the permutation which we denote by α^{-1} and satisfies

$$\alpha \alpha^{-1} = \alpha^{-1} \alpha = \text{ identity function on } \{1, 2, 3, 4\}.$$

For the particular example of α above, we get

$$\alpha^{-1} = \left[\begin{array}{ccc} 1 & 2 & 3 & 4 \\ 3 & 1 & 2 & 4 \end{array} \right].$$

Notation 1.1.3. For $n \in \mathbb{N}$, the set of permutations on $A = \{1, ..., n\}$ will be denoted by S_n . Elements of S_n can be represented in the following array form

$$\alpha = \left[\begin{array}{ccc} 1 & 2 & \cdots & n \\ \alpha(1) & \alpha(2) & \cdots & \alpha(n) \end{array} \right].$$

Proposition 1.1.4. For $n \in \mathbb{N}$, $|S_n| = n!$.

Proof. Let α be any permutation in S_n . As α is a bijection on $\{1, \ldots, n\}$, there is a choice of n values for $\alpha(1)$, n-1 values for $\alpha(2)$, and so on, with a single value left as a choice for $\alpha(n)$. Hence there are $n! = n \cdots (1)$ permutations on the set with n points.

Example 1.1.5. Consider S_3 , the set of permutations on $\{1, 2, 3\}$ whose cardinality is 3! = 6. We list the elements out explicitly, using the same array form as above.

$$S_{3} = \left\{ \varepsilon = \begin{bmatrix} 1 & 2 & 3 \\ 1 & 2 & 3 \end{bmatrix}, \alpha = \begin{bmatrix} 1 & 2 & 3 \\ 2 & 3 & 1 \end{bmatrix}, \alpha^{2} = \begin{bmatrix} 1 & 2 & 3 \\ 3 & 1 & 2 \end{bmatrix},$$

$$\beta = \begin{bmatrix} 1 & 2 & 3 \\ 1 & 3 & 2 \end{bmatrix}, \alpha\beta = \begin{bmatrix} 1 & 2 & 3 \\ 2 & 1 & 3 \end{bmatrix}, \alpha^{2}\beta = \begin{bmatrix} 1 & 2 & 3 \\ 3 & 2 & 1 \end{bmatrix} \right\}.$$

Here ε denotes the identity permutation. Note that $\alpha^3 = \varepsilon = \beta^2$ and that $\beta \alpha = \alpha^2 \beta$. We can also find inverses of all permutations by tracing backwards. For instance,

$$\alpha^{-1} = \left[\begin{array}{ccc} 1 & 2 & 3 \\ 3 & 1 & 2 \end{array} \right] = \alpha^2.$$

Example 1.1.6. In S_5 , consider

$$\alpha = \left[\begin{array}{ccccc} 1 & 2 & 3 & 4 & 5 \\ 2 & 1 & 4 & 3 & 5 \end{array} \right], \ \beta = \left[\begin{array}{ccccc} 1 & 2 & 3 & 4 & 5 \\ 4 & 3 & 2 & 5 & 1 \end{array} \right].$$

Find $\alpha\beta$, $\beta\alpha$, α^{-1} , β^{-1} , $(\alpha\beta)^{-1}$ and $\beta^{-1}\alpha^{-1}$. Also verify that $\alpha\alpha^{-1} = \alpha^{-1}\alpha = \varepsilon$, where ε is the identity function on $\{1,\ldots,5\}$.

1.2. Cycle Notation. Let $\alpha \in S_6$ be given by

$$\alpha = \left[\begin{array}{ccccc} 1 & 2 & 3 & 4 & 5 & 6 \\ 2 & 1 & 4 & 6 & 5 & 3 \end{array} \right].$$

We can write α as products of so-called *cycles* in the following way: $(1\,2)(3\,4\,6)(5)$. For instance, the cycle $(3\,4\,6)$ denotes that the action of the permutation α is as follows on $\{3,4,6\}$:



The permutation $\beta = \begin{bmatrix} 1 & 2 & 3 & 4 & 5 & 6 \\ 5 & 3 & 1 & 6 & 2 & 4 \end{bmatrix}$ can be expressed as (1, 5, 2, 3)(4, 6).

It is easily checked that $\alpha\beta = (15)(243)(6)$ and $\beta\alpha = (136)(25)(4)$. Often, a cycle with a single entry is omitted and it is understood that the point in question is fixed (for example, 6 in $\alpha\beta$ and 4 in $\beta\alpha$). The identity ε is often written as a single cycle, say (1).

Definition 1.2.1. For distinct numbers $a_1, \ldots, a_m \in \{1, \ldots, n\}$, a cycle of length m written as $(a_1 \cdots a_m)$ is the permutation which sends $a_1 \to a_2, a_2 \to a_3, \ldots, a_{m-1} \to a_m, a_m \to a_1$, and leaves all other elements of $\{1, \ldots, n\}$ unchanged.

Definition 1.2.2. The order of a permutation α is the smallest positive integer m such that $\alpha^m = (1)$, the identity permutation.

Example 1.2.3. In S_4 , let

$$\gamma = \begin{bmatrix} 1 & 2 & 3 & 4 \\ 2 & 3 & 4 & 1 \end{bmatrix} = (1 \, 2 \, 3 \, 4).$$

Then

$$\gamma^2 = \begin{bmatrix} 1 & 2 & 3 & 4 \\ 3 & 4 & 1 & 2 \end{bmatrix} = (13)(24), \ \gamma^3 = \begin{bmatrix} 1 & 2 & 3 & 4 \\ 4 & 1 & 2 & 3 \end{bmatrix} = (1432), \text{ and } \gamma^4 = \begin{bmatrix} 1 & 2 & 3 & 4 \\ 1 & 2 & 3 & 4 \end{bmatrix} = (1).$$

Hence the order of γ is 4.

Proposition 1.2.4. Any permutation $\alpha \in S_n$ has finite order.

Proof. The set $\{\alpha, \alpha^2, \alpha^3, \dots, \alpha^n, \dots\}$ is a subset of the set of all permutations S_n and hence must be finite. This means some of the powers of α must coincide, that is, $\alpha^k = \alpha^l$ for some $k < l \in \mathbb{N}$. Hence $\alpha^{l-k} = \alpha^0 = (1)$, so the order of α is less than or equal to (l-k).

Proposition 1.2.5. A cycle of length m has order m.

Proof. Consider a cycle of length m given by $\alpha = (a_1 \dots a_m)$. It is clear that $(a_1 \dots a_m)^m = (a_1) = (1)$, so the order of α is less than or equal to m. On the other hand, if 0 < k < m, then $\alpha^k(a_1) = a_{k+1} \neq a_1$, so α^k is not the identity permutation. So the order of an m-cycle is m.

Definition 1.2.6. Two cycles $(a_1 \cdots a_m)$ and $(b_1 \cdots b_l)$ are said to be disjoint if they are no elements in common, that is $a_i \neq b_j$ for all i, j.

Theorem 1.2.7. Every permutation of a finite set can be written as a cycle or as a product of disjoint cycles.

Proof. Let α be a permutation on $A=\{1,2,\ldots,n\}$. Choose $a_1^1\in A$ and let $a_2^1=\alpha(a_1^1),a_3^1=\alpha(a_2^1)=\alpha^2(a_1^1),\ldots$ until we arrive at $a_1^1=\alpha^{m_1}(a_1^1)$ for some m_1 . Such an m_1 must surely exist as the sequence $a_1^1,\alpha(a_1^1),\ldots$ takes values in the finite set A. To be precise, we must have $i< j\in \mathbb{N}_0$ such that $\alpha^i(a_1^1)=\alpha^j(a_1^1)$, so that $a_1^1=\alpha^{j-i}(a_1^1)$. We express this relationship among $a_1^1,\ldots,a_{m_1}^1$ as the cycle $(a_1^1\ldots a_{m_1}^1)$ and write $\alpha=(a_1^1\ldots a_{m_1}^1)\cdots$. If all the entries of A are not exhausted, select $a_1^2\in A$ such that a_1^2 does not belong to the cycle already considered. Repeat the same process as before to get a cycle $(a_1^2\ldots a_{m_2}^2)$. We claim that this cycle and the previously constructed cycle have no elements in common. Indeed, if $\alpha^i(a_1^1)=\alpha^j(a_1^2)$ for some $i,j\in\mathbb{N}_0$, then $\alpha^{i-j}(a_1^1)=a_1^2$, which contradicts the criterion for choosing a_1^2 . We continue building disjoint cycles in this manner until the (finitely many) elements of A run out, so that we get for some $k\in\mathbb{N}$ and $m_1,\ldots,m_k\in\mathbb{N}$,

$$\alpha = (a_1^1 \dots a_{m_1}^1)(a_1^2 \dots a_{m_2}^2) \cdots (a_1^k \dots a_{m_k}^k).$$

We next show that disjoint cycles commute.

Theorem 1.2.8. If the pair of cycles $\alpha = (a_1 \dots a_m)$ and $\beta = (b_1 \dots b_n)$ have no entries in common, then $\alpha\beta = \beta\alpha$.

Proof. Suppose α and β are permutations of $S = \{a_1, \ldots, a_m, b_1, \ldots, b_n, c_1, \ldots, c_s\}$ where the c_i -s are left fixed by α and β . We will show that $\alpha\beta(x) = \beta\alpha(x)$ for all $x \in S$.

First, suppose $x = c_i$ for some i. Then $\alpha\beta(c_i) = \alpha(c_i) = c_i = \beta(c_i) = \beta\alpha(c_i)$.

If $x = a_i$ for some i, then $\alpha\beta(a_i) = \alpha(a_i) = a_{i+1} = \beta(a_{i+1}) = \beta\alpha(a_i)$, with the understanding that $a_{m+1} = a_1$. Similarly, $\alpha\beta(b_i) = \alpha(b_{i+1}) = b_{i+1} = \beta(b_i) = \beta\alpha(b_i)$ with the understanding that $b_{n+1} = b_1$.

Exercise 1.2.9. Let α and β be disjoint cycles. Show that for all $k \in \mathbb{N}$,

- (i) $\alpha \beta^k = \beta^k \alpha$.
- (ii) $(\alpha\beta)^k = \alpha^k\beta^k$.

Exercise 1.2.10. Let $\alpha_1, \ldots, \alpha_M$ be disjoint cycles. Show that for all $k \in \mathbb{N}$, $(\alpha_1 \cdots \alpha_M)^k = \alpha_1^k \cdots \alpha_M^k$.

We will show that the order of a permutation can be determined from the lengths of disjoint cycles whose product is the permutation. Let us first prove a lemma that we will need.

Lemma 1.2.11. Suppose α is a permutation with order m and $N \in \mathbb{N}$ such that $\alpha^N = (1)$. Then N = mk for some $k \in \mathbb{N}$.

Proof. Clearly, $m \leq N$. Use the division algorithm to find $k, r \in \mathbb{N}$ such that N = mk + r, with $0 \leq r < m$. Then $\alpha^r = \alpha^{N-mk} = \alpha^N (\alpha^m)^{(-k)} = (1)$. As m is the smallest positive integer such that $\alpha^m = (1)$, we must have r = 0 and thus N = mk.

Theorem 1.2.12. The order of a permutation of a finite set written in disjoint cycle form is the least common multiple of the lengths of the cycles.

Proof. We proved in Proposition 1.2.5 that any cycle of length m has order m. We will call the elements c_1, \ldots, c_s that appear in a permutation $\gamma = (c_1, \ldots, c_s)$ symbols. Suppose that α and β are disjoint cycles of length m and n, and let k = lcm(m, n). Then $\alpha^k = \varepsilon = \beta^k$. Now $(\alpha\beta)^k = \alpha^k\beta^k = \varepsilon$ as α and β are disjoint, by Exercise 1.2.9. Let t be the order of $\alpha\beta$. By Lemma 1.2.11, t divides k. Now, $(\alpha\beta)^t = \alpha^t\beta^t = \varepsilon$, so $\alpha^t = \beta^{-t}$. As α and β are disjoint cycles, there is no common symbol that appears in both. Hence, the same is true of α^t and β^{-t} , as raising a cycle to a power does not introduce any new symbols. Hence the equality of α^t and β^{-t} means that we must have $\alpha^t = \varepsilon = \beta^{-t}$, so that the orders of α and β , respectively m and n, both divide t, by another application of Lemma 1.2.11. Hence, the least common multiple k of m and n also divides t so that k = t. That is, $|\alpha\beta| = \text{lcm}(m, n)$. The argument can now be extended to any finite product of disjoint cycles.

Example 1.2.13. Find the orders of the following permutations:

(i)
$$\alpha = \begin{bmatrix} 1 & 2 & 3 & 4 & 5 & 6 & 7 & 8 \\ 6 & 7 & 8 & 1 & 4 & 2 & 5 & 3 \end{bmatrix}$$

- (ii) $\beta = (123)(456)(78)$.
- (iii) $\gamma = (152)(4567)(125)$
- (iv) $\delta = (12)(23)(34)(45)(56)$.

1.3. Transpositions.

Definition 1.3.1. A cycle of length 2 is called a transposition.

Theorem 1.3.2. Every permutation in S_n for $n \geq 2$ can be written as a product of transpositions.

Proof. The identity can be written as $\varepsilon = (1,2)(2,1)$. By Theorem 1.2.7, we know that every permutation can be written as a product of disjoint cycles as follows:

$$(a_1 \ldots a_m)(b_1 \ldots b_n) \cdots (c_1 \ldots c_s).$$

It is easily verified that this can be written as

$$(a_1 a_m)(a_1 a_{m-1}) \cdots (a_1 a_2)(b_1 b_n)(b_1 b_{n-1}) \cdots (b_1 b_2) \cdots (c_1 c_s)(c_1 c_{s-1}) \cdots (c_1 c_2).$$

It is worth noting that the decomposition above is not unique. For example, the cycle (1,2,3,4,5) can be expressed as both (1,5)(1,4)(1,3)(1,2) and (5,4)(5,2)(2,1)(2,5)(2,3)(1,3). However, we observe that in both cases, the number of transpositions in the decomposition is even. Similarly, $\alpha = (13) = (12)(23)(12)$ can be written as a product of three transpositions, or a single transpositions, but in both cases, the number of transpositions is odd. We will show that if a permutation can be expressed as a product of an even number of transpositions of it into a product of transpositions must contain an even number of transpositions. Similarly, if a permutation can be expressed as a product of an odd number of transpositions, then every decomposition of it into a product of transpositions must contain an odd number of transpositions. In order to prove this, we will construct a function

$$sign: S_n \to \{-1, +1\},\$$

with the following properties:

- (i) $sign(\tau) = -1$ for any transposition τ .
- (ii) $\operatorname{sign}(\alpha\beta) = \operatorname{sign}(\alpha)\operatorname{sign}(\beta)$ for all $\alpha, \beta \in S_n$.

Hands on construction of the sign function: Write the permutation $\alpha \in S_n$ in its array representation. We will track down transpositions inside α by counting the number of crossings when we connect numbers from the first row with the same numbers on the second row.

Example 1.3.3. Suppose α is a permutation in S_5 with

$$\alpha = (3\,4) = \begin{bmatrix} 1 & 2 & 3 & 4 & 5 \\ 1 & 2 & 4 & 3 & 5 \end{bmatrix}$$

We note that there is a single crossing, between 3-s and 4-s, denoting that the permutation can be decomposed into a single transposition.

Suppose $\beta \in S_5$ with

$$\beta = (1\,3\,4\,5\,2) = \begin{bmatrix} 1 & 2 & 3 & 4 & 5 \\ 3 & 1 & 4 & 5 & 2 \end{bmatrix}$$

There are four crossings here denoting that the permutation can be decomposed into a product of four transpositions. Now, for instance, we have a crossing between 2-s and 4-s because the order in which 2 and 4 appear is reversed in the second line. That is

$$\beta(5) = 2 < 4 = \beta(3),$$

but

$$5 > 3$$
, or equivalently, $\frac{\beta(3) - \beta(5)}{3 - 5} < 0$.

Let us now define our sign function.

Definition 1.3.4. We define the sign of a permutation α as the following product:

$$\operatorname{sign}(\alpha) = \prod_{\substack{i < j, \\ i, j \in \{1, \dots, n\}}} \frac{\alpha(i) - \alpha(j)}{i - j}.$$

For β in Example 1.3.3, we get

$$sign(\beta) = \prod_{\substack{i < j, \\ i, j \in \{1, \dots, n\}}} \frac{\beta(i) - \beta(j)}{i - j}$$

$$= \frac{\beta(1) - \beta(2)}{1 - 2} \cdots \frac{\beta(1) - \beta(5)}{1 - 5} \cdot \frac{\beta(2) - \beta(3)}{2 - 3} \cdots \frac{\beta(2) - \beta(5)}{2 - 5} \cdot \frac{\beta(3) - \beta(4)}{3 - 4} \frac{\beta(3) - \beta(5)}{3 - 4} \cdot \frac{\beta(4) - \beta(5)}{4 - 5}$$

$$= (-1)^4 = 1.$$

Proposition 1.3.5. Let the sign function be as defined in 1.3.4. Then:

- (i) $sign(\alpha) \in \{-1, +1\}$ for all $\alpha \in S_n$.
- (ii) $\operatorname{sign}(\alpha\beta) = \operatorname{sign}(\alpha) \operatorname{sign}(\beta)$ for all $\alpha, \beta \in S_n$.
- (iii) If $\tau = (k l)$ is a transposition, then $sign(\tau) = -1$.
- (iv) $\operatorname{sign}(\tau_1 \tau_2 \dots \tau_m) = (-1)^m$ for any transpositions τ_1, \dots, τ_m .
- Proof. (i) We claim that in the product used to define the sign function, each of the terms $\alpha(i) \alpha(j)$ with i < j in the numerator of the fraction cancels with some term k-l, (k < l) in the denominator, leaving behind only ± 1 . Now α being a permutation in S_n means that the set $\{\alpha(1), \ldots, \alpha(n)\}$ is the same as the set $\{1, \ldots, n\}$. Hence the elements of the sets $\{\alpha(i) \alpha(j) \mid i < j\}$ and $\{i j \mid i < j\}$ are the same except possibly for the signs \pm (since i j < 0 for i < j but we may have $\alpha(i) \alpha(j)$ positive or negative). This means that for each i < j, $\alpha(i) \alpha(j) = \pm (k l)$ for some k < l, hence proving our claim.
 - (ii) For $\alpha, \beta \in S_n$,

$$\operatorname{sign}(\alpha\beta) = \prod_{\substack{i < j \\ i, j \in \{1, \dots, n\}}} \frac{\alpha(\beta(i)) - \alpha(\beta(j))}{i - j}$$

$$= \prod_{\substack{i < j \\ i, j \in \{1, \dots, n\}}} \frac{\alpha(\beta(i)) - \alpha(\beta(j))}{\beta(i) - \beta(j)} \prod_{\substack{i < j \\ i, j \in \{1, \dots, n\}}} \frac{\beta(i) - \beta(j)}{i - j}$$

$$= \operatorname{sign}(\alpha) \operatorname{sign}(\beta).$$

A note on why the first product is equal to $sign(\alpha)$: As β is a bijection on $\{1, \ldots, n\}$, each term $\frac{\alpha\beta(i)-\alpha\beta(j)}{\beta(i)-\beta(j)}$ can be written as

$$\frac{\alpha\beta(i) - \alpha\beta(j)}{\beta(i) - \beta(j)} = \frac{\alpha(k) - \alpha(l)}{k - l} = \frac{\alpha(l) - \alpha(k)}{l - k}, \ k, l \in \{1, \dots, n\}$$

depending on whether k < l or l < k.

(iii) Assume without loss of generality that k < l. Note that $\tau(i) = i$ for all $i \neq k, l$. Hence

$$\operatorname{sign}(\tau) = \prod_{\substack{i < j, \\ i, j \in \{1, \dots, n\}}} \frac{\tau(i) - \tau(j)}{i - j} = \frac{l - k}{k - l} = -1.$$

(iv) An easy consequence of (ii) and (iii).

Theorem 1.3.6. If a permutation α can be expressed as a product of an even (odd) number of 2-cycles, then every decomposition of α into a product of 2-cycles must have an even (respectively, odd) number of 2-cycles.

Proof. By (iv) of Proposition 1.3.5, if a permutation α can be expressed as a product of an even number of 2-cycles, then $\operatorname{sign}(\alpha) = 1$ and if a permutation α can be expressed as a product of an odd number of 2-cycles, then $\operatorname{sign}(\alpha) = -1$. As sign is a well-defined function, a permutation cannot take two different values simultaneously.

The above theorem allows us to make the following definition unambiguously.

Definition 1.3.7. A permutation that can be expressed as a product of an even (odd) number of 2-cycles is called an even (respectively, odd) permutation.

Definition 1.3.8. The set of even permutations in S_n is denoted by A_n .

Theorem 1.3.9. For $n \geq 2$, $|A_n| = \frac{n!}{2}$.

Proof. The map

$$T: A_n \to \{\text{odd permutations}\}\$$

given by $T(\alpha) := (1\,2)\alpha$ is a bijection (proof left as an exercise). Hence there are as many odd permutations as even, so that $|A_n| = \frac{|S_n|}{2} = \frac{n!}{2}$.

Example 1.3.10. From Example 1.1.5, we have the set of permutations on $\{1, 2, 3\}$ in transposition form as follows:

$$S_3 = \{ \varepsilon = (1), \alpha = (13)(12), \alpha^2 = (12)(13), \beta = (23), \alpha\beta = (12), \alpha^2\beta = (13) \}.$$

Hence the set of even permutations is given by

$$A_3 = \{(1), (13)(12), (12)(13)\}.$$

Exercise 1.3.11. Find S_4 , A_4 and $S_4 \setminus A_4$.

- 1.4. **Symmetric Groups.** We already observed that two permutations α and β in S_n can be composed to give another permutation $\alpha\beta$. We observe the following properties that are satisfied:
 - (i) Associativity: $(\alpha\beta)\gamma = \alpha(\beta\gamma)$ for all $\alpha, \beta, \gamma \in S_n$.
 - (ii) Existence of identity: There is an element $\varepsilon = (1) \in S_n$ such that $\alpha \varepsilon = \varepsilon \alpha = \alpha$ for all $\alpha \in S_n$.
 - (iii) Existence of inverse: For each $\alpha \in S_n$, there is an element $\beta \in G$ such that $\alpha\beta = \beta\alpha = \varepsilon$.

The algebraic object S_n with the binary operation of function composition is the prototype of a 'group'. What's more, every group is 'like' a subgroup of a symmetric group by a well-known theorem called Cayley's theorem.

References

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