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1  ┌────────────────────────── MODULE basicakgi ───────────────────────────┐
    │ a simplified specification for uniqorn. (1) a time oracle is used for versioning
    │ (2) we omit update operations, which have the same effect as create operations,
    │ (3) each record has only and exactly one alternate key. (4) a background garbage cleanup process
    │ is used to delete garbage index records. (5) when a create needs to reuse a garbage index record,
    │ it will not delete it, i.e. no mandatory garbage cleanup is used, only the background garbage
    │ cleanup process will delete garbage index records.
11 EXTENDS TLC, Integers, Sequences, FiniteSets, Bags
    │ phases for create (update operations have the same effect, so are omitted)
13 ───────────────────────────────────────────────────────────────────────────
14 CONSTANTS CREATE_INIT_DATA_RECORD, CREATE_PERSIST_INDEX_RECORD, CREATE_PERSIST
    │ phases for cleanup
16 ───────────────────────────────────────────────────────────────────────────
17 CONSTANTS CLEANUP_VALIDATE, CLEANUP_CHANGE_LOCK, CLEANUP_DELETE_GARBAGE
    │ delete has only one phase, so we ignore it
19 ───────────────────────────────────────────────────────────────────────────
21 set of integer keys for primary keys and alternate keys
22 CONSTANTS PKS, AKS
    │ a non-zero integer
24 ───────────────────────────────────────────────────────────────────────────
25 CONSTANTS VAL
    │ data or index records in data store partitions or index store partitions
27 ───────────────────────────────────────────────────────────────────────────
28 VARIABLES persistedDataRecords, persistedIndexRecords
    │ separate queues for all create/cleanup operations, delete has only one phase, no need a queue for it.
    │ an operation can enqueue and dequeue as it progress through its various phases. no operations, once
    │ enqueued, will be dequeued, in order to emulate duplicated operations
30 ───────────────────────────────────────────────────────────────────────────
31 VARIABLES inprogressCreates, inprogressCleanups
    │ we use global monotonic timestamp for the basic case
35 ───────────────────────────────────────────────────────────────────────────
36 VARIABLES timestamp
    │ ***** data store accesses start here *****
38 ───────────────────────────────────────────────────────────────────────────
39  $IsDummy(pk) \triangleq$  IF  $\wedge pk \in \text{DOMAIN } persistedDataRecords$ 
40  $\wedge persistedDataRecords[pk].ak = 0$ 
41  $\wedge persistedDataRecords[pk].val = 0$ 
42 THEN TRUE
43 ELSE FALSE
    │
45  $IsStale(pk, ts) \triangleq$  IF  $\wedge pk \in \text{DOMAIN } persistedDataRecords$ 
46  $\wedge persistedDataRecords[pk].ts > ts$ 
47 THEN TRUE
48 ELSE FALSE
    │
50  $isLockHeld(pk, ts) \triangleq$  IF  $\wedge pk \in \text{DOMAIN } persistedDataRecords$ 
51  $\wedge persistedDataRecords[pk].ts = ts$ 
52 THEN TRUE

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53                                     ELSE FALSE

55   $DataSetDelete(pk) \triangleq \wedge pk \in \text{DOMAIN } persistedDataRecords$ 
56       $\wedge persistedDataRecords' = [key \in (\text{DOMAIN } persistedDataRecords \setminus \{pk\}) \mapsto persistedDataRecords[key]]$ 
57       $\wedge \text{UNCHANGED } \langle persistedIndexRecords, inprogressCreates, inprogressCleanups \rangle$ 

59   $DataSetInitLock(pk, ak, ts) \triangleq$ 
60       $\vee \wedge pk \notin \text{DOMAIN } persistedDataRecords$ 
61           $\wedge persistedDataRecords' = persistedDataRecords @ @ (pk :> [pk \mapsto pk, ts \mapsto ts, ak \mapsto 0, val \mapsto 0])$ 
62           $\wedge inprogressCreates' = inprogressCreates \cup \{[phase \mapsto CREATE\_PERSIST\_INDEX\_RECORD, pk \mapsto pk, ts \mapsto ts, ak \mapsto 0, val \mapsto 0]]\}$ 
63       $\vee \wedge IsDummy(pk)$ 
64           $\wedge \neg IsStale(pk, ts)$ 
65           $\wedge persistedDataRecords' = [persistedDataRecords \text{ EXCEPT } ![pk].ts = ts]$ 
66           $\wedge inprogressCreates' = inprogressCreates \cup \{[phase \mapsto CREATE\_PERSIST\_INDEX\_RECORD, pk \mapsto pk, ts \mapsto ts, ak \mapsto 0, val \mapsto 0]]\}$ 
67       $\vee \text{UNCHANGED } \langle persistedDataRecords, inprogressCreates \rangle$ 

69   $DataSetUpdateOptimistically(pk, ak, ts) \triangleq$ 
70       $\vee \wedge isLockHeld(pk, ts)$ 
71           $\wedge persistedDataRecords' = [persistedDataRecords \text{ EXCEPT } ![pk].ts = @ + 1, ![pk].ak = ak, ![pk].val = 0]$ 
72       $\vee \text{UNCHANGED } persistedDataRecords$ 

74   $DataSetValidate(pk, ak, ts) \triangleq$ 
75      IF  $pk \in \text{DOMAIN } persistedDataRecords \wedge persistedDataRecords[pk].ak = ak$  THEN
76          UNCHANGED  $inprogressCleanups$ 
77      ELSE
78           $inprogressCleanups' = inprogressCleanups \cup \{[phase \mapsto CLEANUP\_CHANGE\_LOCK, pk \mapsto pk, ts \mapsto ts, ak \mapsto ak, val \mapsto 0]]\}$ 

80   $DataSetChangeLock(pk, ak, ts) \triangleq$ 
81      IF  $pk \in \text{DOMAIN } persistedDataRecords$  THEN
82          IF  $ak \neq persistedDataRecords[pk].ak$  THEN
83               $\wedge$  IF  $persistedDataRecords[pk].val = 0$  THEN
84                   $persistedDataRecords' = [key \in (\text{DOMAIN } persistedDataRecords \setminus \{pk\}) \mapsto persistedDataRecords[key]]$ 
85              ELSE
86                   $persistedDataRecords' = [persistedDataRecords \text{ EXCEPT } ![pk].ts = @ + 1]$ 
87               $\wedge inprogressCleanups' = inprogressCleanups \cup \{[phase \mapsto CLEANUP\_CHANGE\_LOCK, pk \mapsto pk, ts \mapsto ts, ak \mapsto ak, val \mapsto 0]]\}$ 
88          ELSE UNCHANGED  $\langle persistedDataRecords, inprogressCleanups \rangle$ 
89      ELSE
90           $\wedge inprogressCleanups' = \{inprogressCleanups\} \cup \{[phase \mapsto CLEANUP\_DELETE\_GARBAGE, pk \mapsto pk, ts \mapsto ts, ak \mapsto ak, val \mapsto 0]]\}$ 
91       $\wedge \text{UNCHANGED } persistedDataRecords$ 

93  data store partitioning/routing policies do not affect the correctness, so we ignore them
94  ***** data store accesses start here*****

96  ***** index store accesses start here*****
97  index store has only two accesses methods: insert and delete. Update and replace accesses can be derived from these two accesses
98   $IndexStoreDirectlyInsert(ak, pk, ts) \triangleq \vee \wedge ak \notin \text{DOMAIN } persistedIndexRecords$ 
99       $\wedge persistedIndexRecords' = persistedIndexRecords @ @ (ak :> [ak \mapsto pk, ts \mapsto ts])$ 

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100       $\wedge inprogressCreates' = inprogressCreates \cup \{[phase \mapsto CREATE\_P$ 
101       $\vee UNCHANGED \langle persistedIndexRecords, inprogressCreates \rangle$ 

103  IndexStoreDeleteOptimistically(ak, pk, ts)  $\triangleq$ 
104      IF  $\wedge ak \in \text{DOMAIN } persistedIndexRecords$ 
105       $\wedge persistedIndexRecords[ak].pk = pk$ 
106       $\wedge persistedIndexRecords[ak].ts = ts$ 
107      THEN
108       $persistedIndexRecords' = [key \in (\text{DOMAIN } persistedIndexRecords \setminus \{ak\}) \mapsto persistedIndexRecords[key]]$ 
109      ELSE UNCHANGED persistedIndexRecords
110  ***** index store accesses end here *****

114  make a create operation go through its phases
115  RunCreate(createOp)  $\triangleq$ 
116      LET phase  $\triangleq createOp.phase$ 
117      pk  $\triangleq createOp.pk$ 
118      ak  $\triangleq createOp.ak$ 
119      ts  $\triangleq createOp.ts$ 
120      IN  $\vee \wedge phase = CREATE\_INIT\_DATA\_RECORD$ 
121       $\wedge DataStoreInitLock(pk, ak, ts)$ 
122       $\wedge UNCHANGED \langle persistedIndexRecords, inprogressCleanups \rangle$ 
123       $\vee \wedge phase = CREATE\_PERSIST\_INDEX\_RECORD$ 
124       $\wedge IndexStoreDirectlyInsert(ak, pk, ts)$ 
125       $\wedge UNCHANGED \langle persistedDataRecords, inprogressCleanups \rangle$ 
126       $\vee \wedge phase = CREATE\_PERSIST\_DATA\_RECORD$ 
127       $\wedge DataStoreUpdateOptimistically(pk, ak, ts)$ 
128       $\wedge UNCHANGED \langle persistedIndexRecords, inprogressCleanups, inprogressCreates \rangle$ 

130  issue a create operation
131  Create(pk, ak)  $\triangleq$ 
132       $\wedge inprogressCreates' = inprogressCreates \cup \{[phase \mapsto CREATE\_INIT\_DATA\_RECORD, pk \mapsto pk, ak \mapsto ak, ts \mapsto ts]\}$ 
133       $\wedge UNCHANGED \langle persistedDataRecords, persistedIndexRecords, inprogressCleanups \rangle$ 

135  issue a cleanup operation
136  Cleanup(ak1, pk1, ts1)  $\triangleq \wedge inprogressCleanups' = inprogressCleanups \cup \{[phase \mapsto CLEANUP\_VALIDATE, ak \mapsto ak1, pk \mapsto pk1, ts \mapsto ts1]\}$ 
137       $\wedge UNCHANGED \langle persistedDataRecords, persistedIndexRecords, inprogressCreates \rangle$ 

139  make a garbage cleanup operation go through its phases
140  RunCleanup(cleanupOp)  $\triangleq$ 
141      LET phase  $\triangleq cleanupOp.phase$ 
142      pk  $\triangleq cleanupOp.pk$ 
143      ak  $\triangleq cleanupOp.ak$ 
144      ts  $\triangleq cleanupOp.ts$ 
145      IN  $\vee \wedge phase = CLEANUP\_VALIDATE$ 
146       $\wedge DataStoreValidate(pk, ak, ts)$ 

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147          $\wedge$  UNCHANGED  $\langle persistedDataRecords, persistedIndexRecords, inprogressCreates \rangle$ 
148      $\vee \wedge phase = CLEANUP\_CHANGE\_LOCK$ 
149          $\wedge DataStoreChangeLock(pk, ak, ts)$ 
150          $\wedge$  UNCHANGED  $\langle persistedIndexRecords, inprogressCreates \rangle$ 
151      $\vee \wedge phase = CLEANUP\_DELETE\_GARBAGE$ 
152          $\wedge IndexStoreDeleteOptimistically(ak, pk, ts)$ 
153          $\wedge$  UNCHANGED  $\langle persistedDataRecords, inprogressCreates, inprogressCleanups \rangle$ 
155     all aks and pks are initialized in each partition
156     Init  $\triangleq \wedge persistedDataRecords = [pk \in \{\} \mapsto \{\}]$ 
157          $\wedge persistedIndexRecords = [ak \in \{\} \mapsto \{\}]$ 
158          $\wedge inprogressCreates = \{\}$ 
159          $\wedge inprogressCleanups = \{\}$ 
160          $\wedge timestamp = 0$ 
162     Next  $\triangleq \wedge \vee \exists pk \in PKS : DataStoreDelete(pk)$ 
163          $\vee \exists pk \in PKS, ak \in AKS : Create(pk, ak)$ 
164          $\vee \exists ak \in DOMAIN persistedIndexRecords : Cleanup(ak, persistedIndexRecords[ak].pk, persistedIndexRecords[ak].ak)$ 
165          $\vee \exists createOp \in inprogressCreates : RunCreate(createOp)$ 
166          $\vee \exists cleanupOp \in inprogressCleanups : RunCleanup(cleanupOp)$ 
167          $\wedge timestamp' = timestamp + 1$ 
169     Spec  $\triangleq Init \wedge \Box[Next]_{\langle persistedDataRecords, persistedIndexRecords, inprogressCreates, inprogressCleanups, timestamp \rangle}$ 
171     no missing index record invariant
172     NoMissing  $\triangleq \forall pk \in DOMAIN persistedDataRecords :$ 
173         IF  $persistedDataRecords[pk].ak \neq 0$  THEN
174              $\exists ak \in DOMAIN persistedIndexRecords :$ 
175                  $\wedge persistedIndexRecords[ak].pk = pk$ 
176                  $\wedge persistedDataRecords[pk].ak = ak$ 
177             ELSE TRUE
179     THEOREM Spec  $\Rightarrow$  NoMissing
180
    \ * Modification History
    \ * Last modified Mon Mar 05 09:24:04 PST 2018 by jyi
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